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[annual report to Congress]

Office of Technology Assessment, Congress of the United States

[ fiscal year 1995 ]

annual report to Congress

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# annual report to Congress



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d e d i c a t i o n  
*to the Technology Assessment Board*

"The Office of Technology Assessment shall consist of a Technology Assessment Board (hereinafter referred to as the 'Board') which shall formulate and promulgate the policies of the Office,....." (P.L. 92-484 § 3b). This Board, appointed by the leadership of the House and Senate, and consisting of six Senators and six Representatives equally divided by party, has been a unique and vital element of OTA since 1972, the year the Office was established and a Board first appointed. The Board's first meeting on April 10, 1973, preceded the receipt of funding for OTA in November 1973 and the beginning of operations in January 1974. Traditionally, OTA Annual Reports have not contained a separate section exclusively devoted to the Board. OTA's last Annual Report, however, would not be complete without recognition of this group of men and women who formed the continuing, integral core of the Office.

[▲]Certain congressional agencies may fall under the jurisdiction of one or another Committee or Joint Committee of Congress; but no agency except OTA has enjoyed the kind of equally bipartisan, close supervision, oversight, guidance and support given by the Board. The management and staff of OTA came to place great value and trust in the work of the Board and pride in the dedication of the Board and willingness of its members to attend meetings and spend time on the affairs of the Office. This was not a figurehead or rubber stamp group, nor were they, as they proved in the last year, fair-weather friends.

[▲]At the first meeting on April 10, 1973, the Board consisted of Senators Edward Kennedy, Ernest Hollings, Hubert Humphrey, Clifford Case, Peter Dominick, and Richard Schweicker and Representatives John Davis, Morris Udall, Charles Mosher, Charles Gubser, Olin Teague and Marvin Esch. Other Members of Congress who served on the Board, some such as Senator Ted Stevens for close to twenty years, were Senators Howard Cannon, Dave Durenberger, Charles Mathias, Jr., and Adlai Stevenson and Representatives Cooper Evans, James Harvey, Marjorie Holt, Joan Horn, Mike McCormick, Clarence Miller, Don Sundquist.



Larry Winn, and John Wydler. OTA's last Board meeting was held on October 13, 1995, at which time the Board consisted of two original members, Senators Kennedy and Hollings, and Senators Orrin Hatch, Charles Grassley and Claiborne Pell and Representatives Amo Houghton, Mike Oxley, George Brown, Jr., John Dingell and Jim McDermott. The members of OTA's Board were distinguished and thoughtful legislators, often Committee Chairmen or Ranking Minorities. They sought to join the Board and, with few exceptions, enjoyed and continued their service as long as they remained in office. They functioned in an exceptionally constructive and cordial spirit of bipartisanship toward the improvement of OTA and the provision of quality information and analysis to the Congress.

(▲) Domestic and international observers of technology assessment and the U.S. political scene have asked about the Board's role during this last year in pressing the case for OTA as a continuing intellectual resource to the Congress and the Nation. Without exception Board members spoke out publically at Appropriations Committee hearings, to the news media and on the floor of the House and Senate and privately in offices, hallways, conferences and caucuses to other Members of Congress. Representative Houghton and Senators Hollings, Hatch, and Kennedy introduced, with Representative Vic Fazio and Senator Ted Stevens, and others, amendments to fund OTA in the House and Senate. Led by Chairman Amo Houghton, the Board, Republicans and Democrats alike, communicated a clear and sincere commitment to the words and concepts of OTA's enabling statute, P.L. 92-484, and followed up through the final Conference Committee decision, by word and deed earning the lasting gratitude and respect of OTA staff.

s t a t e m e n t

*of the TAB chairman—Amo Houghton*

CONGRESSIONAL RECORD. EXTENSION OF REMARKS

*[In Memoriam: The Office of Technology Assessment, 1972-95. Hon. Amo Houghton of New York, in the House of Representatives, September 28, 1995]*

Mr. HOUGHTON. Mr. Speaker, the Congressional Office of Technology Assessment [OTA], which served the Congress with such great distinction for more than 20 years, will close its doors on September 29, 1995. On behalf of all the Members of this body, I would like to express my deep appreciation to the more than 200 dedicated and talented individuals at OTA who have served us so selflessly. And I want to share with you a brief summary of their accomplishments

As you know, OTA's job was to provide the Congress with an objective, thorough analysis of many of the critical technical issues of the day. And that it did, examining cutting edge science in medicine, telecommunications, agriculture, materials, transportation, defense, indeed in every discipline and sector important to the United States. The agency appraised the costs and benefits of diverse technological systems: The computerization plans of Federal agencies;

satellite and space systems; methods for managing natural resources; systems for disposing of wastes. The list is endless. But to mention just a few more:

OTA evaluated the environmental impacts of technology and estimated the economic and social impacts of rapid technological change. The agency offered sound principles for coping with, reaping the benefits of, that technological change—in industry, in the Federal Government, in the workplace, and in our schools. The agency took on controversial subjects, examining them objectively and comprehensively for our benefit. It helped us to better understand complex technical issues by tailoring reports for legislative users. It provided us with early warnings on technology's impacts and it enabled us to better oversee the science and technology programs within the Federal establishment.

While pulling issues down to practical grounds, OTA has usually erred on the optimistic side. For example, OTA regularly spelled out its belief in the power of technology to improve our lives and help solve the Nation's problems. It worked through a basic understanding of how technology works, how institutions need to change to accommodate new technology, how resistant to change such institutions can be when the conditions are wrong, and how swiftly they can adapt when the conditions are right. OTA helped us discover the conditions for change.

*[A Scope Wide and Deep]*

Once OTA was well underway, it had 30-60 projects in progress, published up to 55 reports, and started approximately 20 new projects each year. Its work ran the gamut of subject matter, with approaches tailored for each topic and congressional request. For example:

[▲]In 1975, one OTA program began a comprehensive policy analysis of the Nation's energy future, which it

provided incrementally throughout the energy crisis.

[▲]Between 1975 and 1980, another OTA group set the stage for today's booming industry in the technology assessment of health care by demonstrating the inadequacy of information on which decisions about technology were made; laying out the strengths and weaknesses of methods to evaluate technology; and crystallizing the process by which economic tradeoffs could be incorporated in decisions.

[▲]In 1979, OTA expanded its work in agriculture to include all renewable resources and laid the foundation for others' efforts on sustainable development and, later, ecosystem management.

[▲]One OTA group examined each key mode of transportation in turn, focusing especially on urban transportation; better and less expensive ways to move goods; and technologies which used less petroleum. Another OTA program tracked materials through their total life-cycle—from

exploration and extraction through production to use, reuse, and eventual disposal. A third investigated policies related to the private use of Federal public lands and other resources, addressing questions of public equity, the responsibility of industry, and the long-term protection of the environment. In sum, OTA brought new, old important science into the center of many congressional discussions. At times, OTA took part in high-profile debates on major pieces of legislation such as the 1980 Energy Security Act; Superfund; the Clean Air Act; and the Foreign Assistance Act. Also, the agency contributed to specific technical issues that puzzled nontechnical congressional staff—from risk reform to long-term African development; from acid rain to dismantling nuclear weapons; from the Strategic Defense Initiative to police body armor. One study on global climate change helped Congress evaluate more than 131 pieces of legislation. At its busiest, OTA's testimony for various

committees averaged more than once a week.

The executive branch and State governments were not outside the OTA reach. OTA published the landmark work on computers in schools. This eventually led to support for teachers as the way to make the best investment in technology—a key policy change in education. OTA's repeated work on the farm bill prompted important changes in the U.S. Department of Agriculture. And OTA's comprehensive series of analyses on nuclear waste management set out issues of technology and policy for both industry and the military.

*[Careful Analysis, Shared With the World]*

In the course of every study, OTA accumulated vast amounts of raw information. By a project's completion, OTA had created a report with 'value-added.' OTA staff excelled at identifying the principal strands of analysis, weighing the evidence of each, and synthesizing essential pieces. The creed of OTA was to come

as close as possible to objective analysis. It was a point of pride when reports were cited both by an issue's defenders and its detractors, as happened most recently in debates regarding the North American Free Trade Agreement and Oregon's Medicaid program.

The public and private sectors have recently discovered the benefits of organizing work around functional teams. OTA started with this model. It was used in every project. Team members came from different disciplines and backgrounds, with different experiences and perspectives, yet they always seemed to share a commitment to their product and not incidentally to the American people.

When work took OTA into new subject areas, staff broke ground for new intellectual pursuits. This was true in risk policy. And it was true when OTA developed the analytical methods to identify priorities for agricultural conservation. During OTA's lifetime, 'international interdependence' changed from slogan to reality. OTA was ahead of the

curve, conducting international case studies and exploring previously ignored aspects of international security. In fact, between 1985 and 1990, OTA's studies of the impacts of technology on the economy, environment, and security of the U.S.S.R. and Eastern Europe made clear that the demise of centrally planned economies was inevitable.

As a result of all this, OTA gradually became recognized worldwide as the top institution of its kind. Representatives from about one-third of the world's nations visited OTA one or more times to learn how OTA worked; how it became so valuable to Congress and the American people; and how these foreign nations might develop their own "OTA's." Austria, Denmark, the European Community, France, Germany, Great Britain, the Netherlands, and Sweden have copied or adapted the OTA style. Similar organizations are being discussed or formed in Hungary, Japan, Mexico, the People's Republic of China, Russia, Switzerland, and Taiwan.



The above is simply the most visible aspect of OTA's international impact. Visitors from other countries stopped by OTA almost every week to discuss specific technologies or technology-related issues. Several OTA staff spoke frequently about OTA in other countries. A number accepted temporary details to academic or government positions overseas. And still others traveled abroad to teach short courses on technology assessment.

*[The Written Word]*

In its 24 years, OTA published nearly 750 full assessments, background papers, technical memoranda, case studies, and workshop proceedings. OTA reports were recorded as being "remarkably useful," "thorough," "comprehensive," "rigorous." At their best, OTA reports were among the most cited references on their subjects. "Landmarks," they were called, "definitive," and the "best available primers." From 1992 to 1994, twelve assessments won the National Association for Government

Communicator's prestigious Blue Pencil Award, successfully competing against as many as 850 other publications in a single year. In the same 3 years, 12 additional reports were named among the 60 Notable Government Documents selected annually by the American Library Association's Government Documents Round Table—representing the best Federal, State, and local government documents from around the world.

In typical comments, the *Journal of Foreign Affairs* claimed that, "The Office of Technology Assessment does some of the best writing on security-related technical issues in the United States." A former Deputy U.S. Trade Representative called OTA's 1992 report on trade and the environment, "the Bible." A Senator described OTA's work on the civilian impacts of defense downsizing as "• • • a superb study and the standard by which all similar efforts will be judged." And the head of one state's plant protection agency described OTA's study of

non-indigenous species as " . . . a benchmark which will be the most heavily referenced document for years to come."

OTA's reports were often bestsellers at the Government Printing Office and the National Technical Information Service: GPO sold 48,000 OTA reports in 1980 alone. Commercial publishers reprinted at least 65 and translated two reports all or in part. The Superintendent of Documents selected 27 OTA reports to display in the People's Republic of China in 1981. And OTA itself reissued reports that had unusual staying power. For example, OTA's 1975 report on tanker safety and the prevention of oil spills was reissued in 1990 after the Exxon Valdez accident. Likewise, OTA combined the summaries of two particularly popular reports—on tropical forests and biological diversity—and reprinted them in 1992.

*[The People Behind the Projects]*

OTA staff represented every major field of science and technology, ranging from board-certified internists

to Ph.D. physicists. OTA staff were sought out to serve their respective professional associations. A number were elected to offices or boards—the International Society for Technology Assessment, the International Association for Impact Assessment, the Association for Women in Development, the Ecological Society of America, etc. Two staff formed the Risk Assessment and Policy Association and others went on to found their own companies.

Above all else, OTA staff were teachers. As a result of their efforts, hundreds of thousands of people are better informed not only about science and technology but also about the structure and function of Congress. OTA served 30-60 congressional committees and subcommittees each year. Thirty-one Senators and Representatives had the privilege to serve on OTA's Technology Assessment Board and we became among the Congress' most knowledgeable members on issues of science and technology.

Each year, at least several hundred advisory panelists and workshop participants also took part in OTA's work. Some years, OTA tapped as many as 1,500 leaders from academia, non-governmental groups, State and local governments, and industry. OTA's advisors valued the experience and said it made them more fit for decisionmaking in their own fields. Some were experts; some were stakeholders. Still others were members of the larger public. As early as 1975, OTA incorporated public participation and stakeholder involvement into a major study of offshore energy development. Nearly 15,000 people were involved. Later approximately 800 African farmers and herders were included in an evaluation of the United States-funded African Development Foundation.

In addition, OTA provided 71 scientists and engineers with a challenging and memorable year on Capitol Hill as Morris K. Udall Congressional Fellows or congressional fellows in health policy. Many of

OTA's younger employees gained a taste for research—and for public service—at OTA and went on to graduate school to become the next generation of business leaders, scientists, engineers, and policy analysts.

OTA's record depended upon remarkable support staff as much as it did on the agency's analytical staff. Their work was the standard against which other Government agencies were measured—and often found lacking. People came from around the world to attend OTA meetings—and often commented that OTA's workshops were the most well supported, best organized, and most productive they had ever attended. Contractors were gratified by the ease with which their travel arrangements and invoices were handled. OTA processed hundreds of security clearances efficiently and without incident—without which OTA could not have done its work in national defense. Reports sped through OTA's publishing process

and grew steadily more attractive through the years. The staff of OTA's Information Center could find even the most obscure research material—and provided a friendly agencywide gathering place. The Information Center, the technical support office, and the agency's electronic dissemination program kept OTA at the cutting edge of technology for research and for public access to the agency's work.

OTA was a small agency. It was a generous place. For some, colleagues became like second families and these relationships extended to committee and personal staffs. Friendship, joy, and grief seemed to be shared without regard to job description. Many at OTA value this legacy as much as any other. But of course, OTA was not perfect. At times, its greatest strengths—flexibility, tolerance, the preponderance of technical skills—became its biggest weaknesses. One outsider looked at OTA's work and commented,

'You must have just about the most interesting job there is.' I know that many at OTA, for much of their time, felt exactly that way.

Although OTA closes on September 29, 1995, the Congress will continue to benefit from its work. Stark evidence of the dedication of OTA staff is the fact that they continued working to the end. More than 30 reports will be delivered to requesting committees even after the doors are closed.

OTA soon will be a memory, and we will discover what is lost. But we can salvage something. Those of us who have used OTA reports know that most of them have long shelf lives. The really important issues—the issues OTA worked on—do not get solved and go away in one Congress. In January 1996, all of OTA's reports will be issued on CD-ROM—OTA's final legacy. We should be proud of it.

s t a t e m e n t  
of the TAB vice chairman—Edward M. Kennedy

EDWARD M. KENNEDY  
MASSACHUSETTS

**United States Senate**  
WASHINGTON, DC 20510-2101

October 13, 1995

The Honorable Arno Houghton  
Chair, Technology Assessment Board  
Congress of the United States  
Washington, D.C. 20515

Dear Arno and Friends of OTA:

I wish I could be with all of you today for the final meeting of the Technology Assessment Board.

As one of the sponsors of the bipartisan legislation that created OTA 23 years ago, I watched with pride as this unique agency became a world-renowned source of information and analysis on technology issues. Standing at the intersection of science and government, OTA played an extremely valuable role in helping Congress to understand the significance of scientific and technological advances and harness them for the benefit of the American people. OTA helped us evaluate and respond effectively to challenges in fields ranging from agriculture to law enforcement, from adolescent health to nuclear disarmament.

In my view, Congress made a serious mistake in choosing to terminate OTA. It is ironic that OTA is being eliminated to save money. The fact is, OTA has saved money for the federal government many times over, by guiding us away from unwise expenditures and toward cost-effective ones.

OTA was a bargain for the America people. Its large impact on the legislative process was far out of proportion to the relatively small sums allotted to the agency each year.

You recognized these facts, Arno, and fought hard to save OTA in the last few months. Fritz, Ted, Orrin, Chuck, Claiborne and I did our best in the

Senate to match your efforts in the House. We came up a few votes short, but it wasn't for lack of trying. We won hands down on the merits, but we lost narrowly on the politics, and I regret that very much.

Roger Herdman has been an outstanding captain of this ship. He has been a gifted administrator and a good friend to all of us. That we came so close to saving OTA was a measure of how far Roger and OTA's superb staff brought us.

In his Inaugural Address, President Kennedy challenged the American people to "invoke the wonders of science, instead of its terrors...Together," he said, "let us explore the stars, conquer the deserts, eradicate disease, and tap the ocean depths."

OTA has helped us meet those challenges, and more. I'm proud to have worked with all of you, and prouder than ever of OTA.

Sincerely,



Edward M. Kennedy



m e s s a g e  
to the Office of Technology Assessment staff

Message to the OTA Staff

October 13, 1995

*"I do not think we can impose limits on research. Through hundreds of thousands of years, man's intellectual curiosity has been essential to all the gains we have made. Although in recent times we have progressed from chance and hit-or-miss methods to consciously directly research, we still cannot know in advance what the results may be. It would be regressive and dangerous to trammel the free search for new forms of truth."*

Margaret Mead  
American Anthropologist

Politicians are famously ill equipped to make scientific decisions. That's precisely why the Office of Technology Assessment was established.


History is replete with examples of shortsighted political decisions in the research field. Everyone knows the vital role King Ferdinand and Queen Isabella of Spain played in financing the crucial voyages of Christopher Columbus. Few can identify the people in the other countries who refused to finance Columbus' research. They are deservedly anonymous.

Yet the people who turned Columbus down probably were making sound political decisions for their time. It was only later those decisions proved disastrous.

As avid supporters of the Office of Technology Assessment, we fear a similar mistake has been made by the current Congress.

Politics is about today's vote. Research is about the future. The ability of Congress to harness science for the good of the American people will be diminished in your absence.

It would be a great favor to us if you would please accept our deep appreciation for your years of extraordinary service in behalf of this country.

  
Sen. Edward Kennedy  
Senate Co-Chair  
Technology Assessment Board

  
Rep. Amo Houghton  
House Co-Chair  
Technology Assessment Board

s t a t e m e n t

of TAB member—George E. Brown, Jr.

CONGRESSIONAL RECORD. EXTENSION OF REMARKS

*[Office of Technology Assessment: Defense Against the Dumb. Hon. George E. Brown, Jr. of California, in the House of Representatives, September 29, 1995]*

Mr. BROWN of California. Mr. Speaker, today marks the last day of existence for the Congressional Office of Technology Assessment. For 23 years OTA has served the American public by giving invaluable guidance and analysis on the dizzying array of technological advances we face in modern society. In its ignorance, Congress has voted to end this institution. It will be missed.

In recent months, I have seen a lot of mindless things being done in the American public's name. First we saw science-based regulatory decisionmaking being used as a slogan for the process of gutting Federal health and safety regulations. Then we have witnessed the slashing of research budgets designed to provide the science upon which these decisions were to be based. Across government, research and development budgets have been cut

in order to pay for tax cuts that we don't need.

This mindless approach to government substitutes public relations gimmicks for policy, trying to palm off as reforms simplistic proposals to sell House office buildings, dissolve cabinet agencies, and end daily ice deliveries to House offices. The unfortunate irony of this process is that the victim of this irrationality has been an agency set up to make the legislative process more rational: OTA.

I was serving in Congress in the mid-1960's when we first discussed the need for OTA. In what seems like the dark ages, before e-mail, genetic engineering, flip phones, and dozens of other technologies that have changed our lives, we were concerned that the rush of technological advance would overwhelm our ability to make rational political judgments.

We looked over the various congressional support agencies and did not find the kind of scientific and technological expertise needed to address the challenge. So, we created OTA, an agency that has served Congress well in the intervening years. In recent months we have heard many criticisms of OTA, as those intent upon issuing press releases on the downsizing of government focused upon that agency's elimination. Some said that OTA studies took too long. But the OTA was established to provide comprehensive, balanced analysis of complex questions. It looked at the technology, at its social and economic impacts, and then made a range of recommendations for congressional action. That process takes a long time. For those with short attention spans, those who fear factual information because their minds are already made up, and those who never get past the executive summary of "shake and bake" boiler-plate policy reviews, OTA probably takes too long. For those of us who take our elective

responsibilities seriously, careful analysis is a necessity.

Some critics have maintained that other congressional support agencies could accomplish the same task. That was not the case in 1972 and is even less true today. None of the support agencies have the expertise that OTA had on science and technology issues. None of these agencies employ the use of a balanced panel of outside experts and stakeholders to review the issue under examination. None of these agencies have a bipartisan, bicameral governing body to insure neutrality and independence. None of these agencies have a science advisory panel composed of world-class science and technology leaders. Each of these agencies have expertise and produce competent studies, but none can produce the high-quality in-depth studies for which OTA has become internationally known. And I disagree with those who say that the executive branch, or the National Academy of Sciences, or some department of science

could provide this information. These are not congressional agencies.

They cannot tailor information to the unique needs of the legislative branch. And, as we determined when we first looked at this issue in the 1960's, we did not want the legislature held captive to information produced by the executive branch, without regard to which party is in the White House.

Mr. Speaker, as someone who was around at the birth of this agency, it saddens me to be present at its death. It saddens me to see dedicated public servants turned out of jobs that they performed with outstanding competence, even up until the final hours today. Each of us owes a debt

of gratitude to those people and each of us has a responsibility to help them make the transition to another position. For those of my colleagues who are unaware, these people cannot use the Ramspeck provisions to move into civil service jobs. In fact they do not even have active civil service status. We have treated these people poorly and they deserve much better.

Let me conclude with an observation made by a former OTA employee who stated OTA's task as being to create for Congress a "defense against the dumb." It is shameful that in the end, OTA was defenseless against a very dumb decision by Congress.

s t a t e m e n t

*of the TAAC chairman—James C. Hunt*

As a member of the Technology Assessment Advisory Council for the past eight years and as Chair of the Council this last year, I had the opportunity to learn about and review each of OTA's research and assessment programs. In addition, I served on OTA's project Advisory Panels, chairing one of them, and worked with OTA staff on two reports.

To me, OTA's well-hidden, most valuable resource was the diverse, experienced and motivated professional staff who had an amazing capacity, despite limited resources, to attract the best minds, expert in any given field, and to gain their enthusiastic participation in developing, for the Congress, reports that are broadly desired by a multitude of other agencies, institutions, and individuals.

Indeed, the broadly based relationship among OTA leadership and professional staff, industry and academic institutions and their people impressed on me the quality value that OTA's information and analysis provided the Congress. By working side-by-side with Advisory Panel Members, I grew to respect and appreciate the expertise and commitment of the OTA staff and their dedication to nonpartisan, accurate and evenhanded study and analysis. My fellow members of the Council and I did all that we could to make the case for OTA during the 1995 debate. It was gratifying to see that those very people most familiar with OTA's contributions not only recognized the importance of OTA, but also allocated time from very busy schedules to make the case for OTA's needed continued existence. I share the sense of loss and regret in the outcome. The Congress and the public will miss an impartial, omnipresent arbiter of technology applications. My eight year association with the people of OTA provided a wonderfully instructive, enjoyable life experience—an experience that means a lot to me,

one that I will always treasure. OTA made a difference for thoughtful legislative policy that will be difficult to replace. Indeed, it seems to me that the challenge to the Congressional leadership is to develop an even better approach and a more superior mechanism for technology assessment. For their steady hand on the tiller at OTA, the Congress and the public at large owe Roger Herdman and Jack Gibbons a debt of gratitude.



s t a t e m e n t

*of the director—Roger C. Herdman*

Early in fiscal year 1995 Congress signaled that the overwhelming priority to achieve a balanced budget would require such budgetary restrictions that funds could no longer be appropriated to continue OTA. This communication in the first quarter of the year influenced the agenda for FY 1995 and initiated the events of the last three quarters of the year. During that time:

[▲]OTA and OTA's Board made as persuasively as possible the case that OTA's contributions to Congress were valuable and not obtainable elsewhere. Comprehensive, non-partisan, unbiased, accurate information and analysis on complex science and technology issues are important to informed policy making.

[▲]Expressions of support for OTA's funding came from academia, industry, public interest groups, distinguished citizens and scientific societies. Particularly gratifying and appreciated was written support from the National Academy of Sciences, National Academy of Engineering and Institute of Medicine, since these institutions by their quality, scope of work and expertise have been scientific colleagues to OTA and now should be the natural places for Congress to turn for help in filling the analysis and information gaps left by OTA's abolishment.

[▲]OTA's Board held press conferences, testified at hearings, spoke on the floor of the House and Senate and in conference, introduced amendments to fund OTA in both houses of Congress and voted for OTA at every opportunity.

[▲]A final decision on OTA funding was not reached until conference committee, at which point it was decided to give OTA a closeout budget which allowed for 60 calendar days severance to staff and a small team to ensure an orderly closeout of the agency and proper conservation and dissemination of OTA work and other assets.

[▲] Few new requests were received and then only for short term projects that OTA could (and did) deliver before the end of the fiscal year.

[▲] OTA staff worked to the final day of FY 1995 and in the process prepared a record 61 reports (full Assessments or Background Papers), either finishing or at least issuing a partial report on almost all requests pending.

In early FY 1996 the closeout staff distributed all Reports, prepared electronic versions for the Internet of recent OTA work and a set of CD-ROMs of all of OTA's work—755 Reports. The completion of OTA's responsibilities and the conservation and dissemination of the agency's work were made possible only by the thoughtful provision of the closeout budget and severance initiated by the Legislative Branch Subcommittee of the Senate Appropriations Committee. The required archiving to the National Archives, financial accounting, and the orderly distribution of OTA's physical assets to other congressional agencies were also enabled by this appropriation.

A complete set of OTA reports was made available for the University of Maryland (College Park), George Mason University in Fairfax, VA., the University of California at Santa Barbara, and the Library, Naval Postgraduate School, Monterey, California. Sets of recent reports (1991-1995) were made available to a number of academic and technology assessment centers: Princeton University, Virginia Polytechnic Institute and State University, Cornell University, Stanford University, University of Oklahoma, Washington University, St. Louis, MO., NAS/NRC, George Washington University, Harvard, Rensselaer Polytechnic Institute, and Carnegie Mellon University. Internet websites for 1994 and 1995 electronic reports were arranged at the Government Printing Office (<http://www.access.gpo.gov/ota>), the National Academy of Sciences (<http://www.nas.edu>), and the Woodrow Wilson School of Public and International Affairs at Princeton University (<http://www.wws.princeton.edu>). OTA's archival CD-ROMs are available from the Government Printing Office.

OTA's FY 1995 productivity was at record heights as staff met their responsibility to complete millions of dollars of work in progress and provided almost without exception a report on every pending congressional request—some by the regular process, sixteen that were distributed on return from the Government Printing Office after the close of FY 1995, and some by in-house desktop publishing or photo duplication.

This final body of work covering the spectrum of science and technology issues coming before Congress and the American people completes the legacy of OTA—a legacy of quality and commitment.

“OTA soon will be a memory, and we will discover what is lost. But we can salvage something. Those of us who have used OTA reports know that most of them have long shelf lives. The really important issues—the issues OTA worked on—do not get solved and go away in one Congress. In January 1996, all of OTA's reports will be issued on CD-ROM—OTA's final legacy. We should be proud of it.” Amo Houghton, M.C., Congressional Record, September 28, 1995.

o r d  
*of OTA's organization, operations, and abolishment*

The beginning of FY95 found management and staff of the Office of Technology Assessment involved in adjusting to and continuing the implementation of a major reorganization. The major structural and personnel actions of that reorganization took place during FY94, but as OTA learned, any major restructuring is an ongoing process of adaptation and adjustment. New policies and procedures designed to complement the reorganization—such as a formal project tracking system and a process of project-long internal peer review (shadow panels and “project kibitzers”)—were also being developed and put in place.

In addition, the analytical agenda of the agency was a full and challenging one. Due to the elections of November 1994, OTA staff were beginning the process of ascertaining the research needs of the new Republican chairpersons, and planning the year's research agenda in ways that could accommodate new requests from them. Thus, the activities at the opening of FY95, in early fall of 1994 had a double focus: the conduct of a wide range of important research and the continuing challenge of guiding a newly reorganized agency. This focus was blurred in December 1994, when the Senate Republican Caucus voted in favor of the elimination of OTA, and shifted entirely in the ensuing months, as further legislative actions moved closer and closer to a formal decision for elimination.

*[Organization]*

The Office of Technology Assessment was established by the Technology Assessment Act of 1972 [86 Stat.797] as a congressional support agency with the mission of helping Congress deal with policy issues affected by the complexities of science and technology, from biotechnology to fusion energy, from telecommunications to space launch capabilities. OTA was designed to operate in a uniquely expert, objective, and nonpartisan fashion.

OTA's staff represented every major field of science and technology. It was organized into two major divisions (down from three in previous years) comprising six research programs (down from nine); these are described in the next section.

Administrative support offices supported the analytical work of OTA. There were offices for budget and finance, personnel, contracts, information services, telecommunications and information systems, building services, and publishing. The Congressional and Public Affairs Office handled much of the agency's congressional liaison and press work, and relations with the Board and the Technology Assessment Advisory Council.

OTA was governed by a 12-member, bipartisan congressional Technology Assessment Board of six Senators and six Representatives, equally divided by party. In addition, a distinguished council of 10 leaders from science and technology, business and industry, and education provided advice as the Technology Assessment Advisory Council.

OTA undertook assessments at the request of any congressional committee Chairman or Ranking Minority Member. The OTA Board could also request work, as could OTA's Director. In practice, most assessments were requested by the Chairman and the Ranking Minority Member of a committee, and a great many were supported by more than one committee. The Technology Assessment Board made the final decision on whether OTA could proceed with an assessment and reviewed all reports prior to their release.

Most of OTA's work concentrated on in-depth assessment that took one to two years to complete. Drawing on past and current work, OTA also met immediate congressional needs with a variety of analytical support such as briefings, testimony, and special reports.

*[ Fiscal Year 1995 Activities ]*

The key overlay for the agency's activities was a nearly physical sense of uncertainty, a 10 month long environment of clear and immediate uncertainty about the agency's entire future. It was a period of extreme ups and downs, with the "ups" being a sense that the agency would continue to exist in some form but with the loss of a substantial proportion (from 20 to 50 percent) of its resources and, especially, staff. The "downs" were periods when OTA's termination seemed the most likely outcome.

We mention the mood, or climate, of the agency during most of FY95 because the agency's operations took place in that context. Despite the uncertainty of each staff person's future and the decision by the Congress that OTA was not worth saving, or perhaps because of these factors, the agency's productivity reached all time highs. As indicated in the Director's statement, OTA produced and released in some form a total of 61 research Reports and Background Papers plus the usual administrative documents (an Annual Report and catalogs of publications).

The 61 research documents delivered to the requesting Committees and the Congress as a whole comprise several classes of products. Many were finished as originally planned, with scope, format, and timing unchanged. Others, however, were revised—some in depth of analysis, some in scope of topics addressed—and some were unchanged in scope but collapsed in time by working many many extra hours—in order to deliver before OTA's elimination. Some of the projects OTA had underway at the beginning of FY95 had to simply be dropped, or had one or more of multiple planned documents dropped, and these projects are thus not in the 61 completions.

The 61 Reports and Background Papers completed and delivered are listed, along with the requesting and endorsing Members and Committees, at the conclusion of this statement.



**[Abolishment]**

The decision by the Congress to abolish OTA effective September 30, 1995, followed a year of uncertainty and ever changing prospects for survival. The process began in December 1994 with a vote by the Senate Republican Caucus to recommend termination of the agency and gathered momentum with the naming of Senator Mack as Chairman of the Senate Legislative Branch Appropriations Subcommittee. Senator Mack co-authored the Caucus report and had publicly vowed to abolish the agency. Considerable debate followed in both houses of the Congress, beginning with a joint hearing between the House and Senate Legislative Branch Appropriations Subcommittees. The Subcommittees discussed alternative proposals for trimming the \$1.3 billion legislative branch budgets.

Although OTA represented less than 1 percent of the legislative branch budget (1/20th the size of the General Accounting Office alone), early in the 104th Congress OTA became a symbol of the Congressional Leadership's ability to reduce the size of government—a function, an agency, and its associated cost within the legislative branch of government. This became the principal argument behind the House and Senate Leadership's decision to debate the future of OTA in the appropriations process rather than through the agency's authorizing and oversight committees, the Senate Rules and House Science Committees. In the House, most questions put to the leadership regarding OTA's fate were referred to Representative Bob Walker, Chairman of the House Science Committee and close colleague of Speaker Gingrich. Chairman Walker, nonetheless, was silent in all open Committee and floor debates regarding OTA's fate although he occasionally made statements to the press praising the quality of OTA's work but expressing concern about synchronization of OTA's work products with the "Congressional rhythm." In the Senate, only Senator Mack seemed openly intent on eliminating OTA with virtually all others professing an open mind on the subject.

With proposed elimination on the table, OTA's supporters in Congress, led by the members of the Technology Assessment Board and many others, argued the case for OTA's unique contributions to the legislative process, including its nonpartisan oversight and management, its outreach-oriented research process (reaching over 5,000 distinguished experts annually), the exceptional quality of its staff and the work they produced (over half of OTA's professional staff held PhDs spanning the science and technology-related disciplines—unique in the legislative branch), and the importance of the function in today's increasingly technology dependent world (OTA's structure is being emulated in governments around the world), especially when few in Congress come from science and technology backgrounds. The science and technology community also registered its support for OTA with strong letters of endorsement from the National Academy of Sciences, The National Academy of Engineering, the Institute of Medicine, The American Association for the Advancement of Science, the Institute of Electrical and Electronics Engineers, the American Physical Society, the Federation of American Scientists, and many others from academia, industry, and overseas.

[HOUSE DEBATE]

The formal process for elimination began in the House Legislative Appropriations Subcommittee. In their testimony the heads of the Legislative support agencies as well as Members of TAB, including Reps. Houghton, Oxley, Brown, Dingell, and McDermott sharply distinguished the roles of these agencies. Chairman Packard, however, delivered an appropriations bill eliminating funding for OTA arguing that the information provided by OTA can be acquired elsewhere. This position did not prevail; Mr. Houghton, Chair-designee of TAB, and Mr. Fazio, ranking minority member of the Legislative Branch subcommittee, and a long time OTA supporter, fashioned a floor amendment (actually two different versions with Mr. Fazio subsequently deferring to Mr. Houghton's version) designed to preserve

the function of OTA and making it a part of the Library of Congress. However, the House Rules Committee would only allow floor debate on amendments that were revenue-neutral compared with the Committee-passed bill, though that bill was below the legislative branch allocation included in the draft House budget resolution. As a result, Mr. Fazio and Mr. Houghton chose, as a placeholder, to propose reducing the Library of Congress appropriation by several percent to provide for OTA since that line item was the only amount increased over the previous year in the Committee-passed bill, vowing to restore the amount reduced in the House-Senate Conference on the bill. Apparently, the LOC feared the restoration of the reduction might not be delivered in the conference since the Librarian of Congress began to counsel members against the Houghton-Fazio amendment.

The floor debate on this amendment was heavily in favor of OTA with only Chairman Packard arguing against the amendment. The amendment prevailed by a wide margin. Floor statements in support of the agency came from both sides of the aisle including Representatives Weldon, Boehlert, Hyde, Houghton, Clinger, Walsh, Morella, Fazio, Brown, Dingell, Kennedy, Engel, Moran, and Skaggs.

EXCERPTS FROM STATEMENTS OF SUPPORTERS ON A PROPOSED AMENDMENT TO RESTORE FUNDING TO OTA DURING THE HOUSE FLOOR DEBATES INCLUDED:

*[ Representative Brown ]*

At a time when budget cuts are a priority, some have questioned whether Congress needs a support agency whose primary mission is to assess technology and its implications for society. I hope you will answer that question with an emphatic yes because I believe today we need OTA more than ever before. I have been involved with OTA from the very beginning and have watched its development from my vantage point on the OTA Board since 1975. Congress established OTA because there was a great need to have our own independent and objective source of

information on complicated scientific and technological issues. I am convinced that this need is stronger than ever because science and technology permeate so many of the issues that we consider, such as space, energy, environment, and health. When OTA was created, no one knew exactly how it was going to work. There were times during the early years when we were not quite sure it would work at all. I think few of us would have predicted what a vital role OTA would play in the legislative processes over the years, and how valuable its work would be to so many different committees and to Members from both sides of the aisle.

*[Representative Houghton]*

We should not go blind into the 21st century thinking about a whole variety of things, not understanding science. There are only 3 scientists in this body. Most people do not consider the scientific implications here. They are critically important. I have been involved as a businessman, before I came here, in cutting, cutting, cutting all my life. That is the nature of what business does. Never once did we cut the research, because it not only affects the cost but particularly it affects the revenues. If we are going to go into this next century and our major war will be economic rather than military, we must know what our legislative body can do and what other people are going to do in the world around us. Therefore, I plead either to support the Fazio amendment or my particular amendment in terms of preserving an element of scientific understanding without which I think we are going to be in terrible trouble.

*[Representative Fazio]*

I think is important to restore the Office of Technology Assessment to that group of agencies that have shown an outstanding ability to assist this Congress in its workload. There is no question in my mind that this is an organization that, if eliminated, would be seriously missed by this institution and I think by the people who elect us and send us to Washington

to serve every 2 years. Mr. Chairman, this is a very complex world we are part of. Many of us are trained in the social sciences and humanities. We are not physicists, chemists. There are very few of us that have scientific degrees. Yet we as a Congress, in almost every committee of jurisdiction, are assigned a responsibility of very frequently, particularly in the appropriations process, making fundamental judgments about questions relating to science and technology that are beyond our ability to understand without the assistance of people who are expert. What have we done? Instead of going out and hiring a group of people who are standing by to advise us, we have created a small entity with a core staff that works with thousands of people, from the academic world, from the private sector, from national laboratories, from any number of places where scientists are employed in this country, to help us solve the problems that come to us on a regular basis. We have had this agency, which has a \$22 million budget, pay for itself hundreds of times over by giving this Congress the kind of advice it needs to prevent mistakes from being made. Some are, anyway. We have not always used OTA to the extent we should. But my suggestion is, rather than eliminate it, let's let the new majority, if they are so inclined, to change it, to reform it, to mold it, to make it more useful. I think this meat ax approach should be rejected.

*[Representative Weldon]*

Mr. Chairman, I want to speak to one issue during the brief time that I have here today, and that is the issue of the elimination of the Office of Technology Assessment. As a senior member of the Committee on Science and as chairman of the Subcommittee on Military Research and Development of the Committee on National Security, it is extremely important that we not take this short-sighted approach to eliminate what amounts to approximately a \$22 million item in our legislative branch appropriations bill. The Office of Technology Assessment touches the acts of this Congress in ways

that none of us really are aware of or understand. In the area of defense, the subcommittee that I chair oversees approximately \$35 billion of expenditures. That is more than five Cabinet-level agencies. Much of the research that we do is dependent upon the long-term work that has been done by the Office of Technology Assessment. Just last week we marked up the 1996 authorization bill for the military and we plussed up the national missile defense accounts and theater missile defense accounts by \$800 million. Much of the documentation and the arguments to justify that plus-up came from reports and studies done by the Office of Technology Assessment; their study on missile proliferation around the world, their work on the development of arms and the need for arms control and the needs of defending the American people. All of that factual investigative work that took in some cases months and years was done by OTA. It would be extremely short-sighted for us to eliminate this agency. And, in fact, we and the taxpayers would be the losers in the end. And there is no other agency that can do that work.

*[Representative Dingell]*

[1]n a time when we are talking about risk assessment and cost-benefit analysis, getting the Congress the best possible information we can get is a very important undertaking. And having OTA to provide that kind of assistance to the Congress is absolutely indispensable. OTA, because of the fine technical work and because of the careful research which it has done on advanced questions involving technology and advanced information systems, has saved the Congress literally hundreds of millions of dollars over the time of its existence. To cut it back at a time when other nations are beginning to recognize the importance of this kind of advice to a legislative body would be a great shame, and would indeed cost us vastly more than any piddling savings that could be made by eliminating that agency. I would urge my colleagues to recognize this is a cost-benefit, efficient, and desirable step in continuing the existence of OTA.



*[Representative Boebert]*

I rise in strong support of this amendment to preserve the Office of Technology Assessment [OTA]. I fail to see precisely what problem the elimination of OTA is supposed to solve. Is the problem that we suffer from a surfeit of clear, objective, analysis on the complex technical issues confronting the Nation? Is the problem that we expect that the questions facing the Congress are likely to become simpler and less related to technology? Is the problem that as individual Members we have more time, energy, and staff to delve into perplexing scientific and technical materials? Obviously, the answer to all these questions is a resounding no. And for that reason, the response to the proposal to eliminate OTA should also be a resounding no. OTA is the Agency that gives Congress half a chance at making sense of the growing welter of complex, technical issues we must consider. Without OTA, we will be ever more at the mercy of special interests, who appear at our doors with their particular take on the issues, their own tailored explanations, their specifically crafted data. Now of course I know why some Members want to eliminate OTA—to save a little money. But as I have said before, the public has asked us to do more with less—not to do more knowing less. There are other items we should examine before limiting our access to the most precious commodity in Washington—reliable information. The writer Kurt Vonnegut once defined the 'information revolution' as the ability of human beings to actually know what they are talking about, if they really want to. OTA has given us the ability to participate in that revolution. It is a revolution we should embrace, not reverse. Support this amendment, and support the ability of Congress to know what it is talking about.

*[Representative Kennedy]*

Since its inception in 1972, OTA has served as the scientific arm of Congress. In the effort to spend the dollars more wisely, it seems to me that OTA is more critical today than ever before. OTA helps Congress determine what

projects should be undertaken, streamlined and made more effective. It is often said that knowledge is power. Having the right information, the right knowledge, will allow us to better be able to make the right decisions. In this case, OTA provides us with the knowledge, gives us the power.

*[Representative Clinger]*

I think it really does not make a whole lot of sense as we move into a more technologically driven era to be taking away the tool that really give us in Congress the opportunity to assess the effectiveness or ineffectiveness of various technologies. I know as the chairman of the Committee on Government Reform and Oversight that we rely, in doing that oversight as to the effectiveness of programs, OTA provides us with invaluable information. So, you know, we seem to be going in the wrong direction when we really are going to have a much more scientifically, technically driven society, to be taking away the resource that enables us to make rational decisions as to what we should be investing in. I think it would be a terrible mistake to do away with OTA entirely.

*[Representative Morella]*

As the chair of the Science Subcommittee on Technology, I can attest to the importance of OTA. It provides in-depth analyses of science and technology issues for Congress on a bipartisan basis. Reports are initiated only after OTA's congressional governing board, consisting of an equal number of Republicans and Democrats, agrees to proceed. OTA is a small agency that is able to do its job effectively because of its access to expertise from across the country, calling on industry, academia, and other experts to obtain free assistance. It has voluntarily reduced its management staff by 40 percent since 1993, and it continues to save Federal dollars by relying on temporary experts on staff. OTA's reports have led to important cost-saving innovations for our agencies as well. OTA's continued existence is critical to our resolution of complicated policy questions through an

objective analysis of difficult issues. Currently, OTA is working on reports examining weapons proliferation, the human genome project, air traffic control, nuclear waste cleanup, and advanced telecommunications networks.

*[Representative Engel]*

Yes, let us cut waste. Let us cut the things that do not work. But let us not throw the baby out with the bath water. Eliminating OTA? Give me a break. That is one of the things that has worked. It is one of the things that has been good.

*[Representative Skaggs]*

So much of the work of this place now goes on really in a second language, the language of science and technology, whether it is space issues or research issues or environmental issues. Without OTA, essentially, to do simultaneous translation of the language that is very inaccessible to most of us who have not been trained in technical fields, we will essentially be engaging in an act of unilateral disarmament on very, very key national issues. Far from being a luxury that we could do without, this is a necessity that we would be foolish to try to do without. The idea that there is play or leeway in the budgets of any of the other support agencies, GAO or CRS, is simply not true. Those budgets are being held static. There is no place else to put these functions. We need to keep them alive and well at the OTA.

*[Representative Hyde]*

It just seems to me in this era of fiber optics and lasers and space stations, we need access to an objective, scholarly source of information that can save us millions and billions. We should not eviscerate everything that makes us a more effective Congress. So, I support the Houghton amendment.

*[Representative Moran]*

The Office of Technology Assessment has done a great job over the years in supplying us with the information we need to make difficult decisions.

*[Representative Walsb]*

Efforts to eliminate funding for this program are a short-sighted move that Congress will regret as the OTA is an invaluable resource in determining the budgetary impact of new scientific developments. The OTA is a bipartisan agency that relies on technical and scientific expertise from a broad cross-section of industry, academia, and other well-respected institutions. The reports that OTA submits to congressional committees are thorough, top-notch documents that provide expert guidance in advising how Congress should adapt to emerging technologies. Furthermore, OTA is an efficient, unbiased organization that has made recommendations which have saved the U.S. Government millions of dollars. For example, the OTA's study of a Social Security Administration plan to purchase computers helped save the Government \$368 million. Other OTA recommendations have been influential in public policy decisions. OTA's reports on preventative Medicare services validated the benefits of mammography screening in the elderly. Another study demonstrated how cost prohibitive it would be to institute cholesterol screening in the elderly. The point I am trying to make is that OTA is a proven organization that provides tangible benefits, expertise, and savings to Congress. Efforts to eliminate all of the functions and personnel of the OTA are misguided.

[HOUSE DEBATE: FINAL ACTION]

The leadership, seemingly surprised by the vote supporting OTA, required a second vote this time, according to Members, with instructions from the leadership's whip organization to defeat the amendment. Mr. Houghton's amendment was actually accepted as a substitute amendment for Mr. Fazio's amendment on the first vote so, technically, a second vote was required to insert the amendment, but in virtually all circumstances such a vote would be handled by a routine voice vote since the same language would be the subject of both votes. The effect of the whip action narrowed the gap and near the

end of the time allocated for the vote with passage of the amendment losing by one vote, the speaker pro-tem "gaveled down" the vote on signal, according to Members, from a member of the House whip team. At the time, two Members intending to vote aye were dashing down the aisle wishing to cast their votes. The House erupted in pandemonium; the leadership called for recess until the next day. At that time, the House leaders, commenting that they did not wish to have even a perception that Members might be cut off voting, proposed a de novo vote on the Houghton amendment that, this time, passed by a substantial margin. By the end of House action, members had voted on exactly the same amendment three times within twelve hours. OTA had survived House action with a 25 percent budget cut.

[SENATE DEBATE]

The debate then moved to the Senate Appropriations Committee. The Legislative Branch Subcommittee, chaired by Senator Mack and accompanied by Senator Bennett, heard testimony prior to the final House action on the legislative appropriations bill from Senators Grassley, Hatch, and Kennedy as well as Director Herdman. Sen. Grassley argued that Sen. Mack, in presenting the host of recommendations he co-authored with Senator Domenici included in the Republican Conference Resolution of December 1994, had agreed to revisit the OTA issue on March 1 as he had revisited the issue of elimination of the Joint Economic Committee (JEC). Senator Mack stated that Sen. Grassley had misunderstood and no such discussion was planned and that the JEC case was different from the OTA case since the House Appropriations Committee agreed to preserve the JEC. Senators Hatch and Kennedy made strong presentations for OTA's appropriation and Senators Mack and Bennett commented that there were plenty of reports available on subjects OTA has studied.

Senator Mack presented a Chairman's mark to the full Appropriations Committee that included the closing of OTA. Senators Hollings and Stevens offered an

amendment to preserve OTA with a one percent reduction in the appropriations of the other support agencies, necessary to preserve revenue neutrality of the bill. They repeated the arguments for preserving the agency but the LOC had continued to express concern to members in the Senate, arguing that even a 1 percent reduction of its budget was too much. At the final Appropriations Committee meeting the amendment failed to pass once again, setting the stage for a floor amendment debate. Senator Hollings offered the amendment on the floor, co-sponsored by Senators Hatch, Stevens, Robb, Lieberman, Wellstone, and Kennedy. Forceful support was offered in favor of the amendment by Senators Stevens, Hatch, Grassley, Kennedy, Glenn, Pell, Moynihan, and Murray (and subsequent extension of remarks by Senator Inouye, who was not present for the vote), but the amendment was tabled and Senator Mack's close-out budget mark was approved.

EXCERPTS FROM STATEMENTS OF SUPPORTERS ON A PROPOSED AMENDMENT TO RESTORE FUNDING TO OTA DURING THE SENATE FLOOR DEBATES INCLUDED:

*[Senator Stevens]*

When it comes down to it, we have used technology in this country to stay ahead militarily, to stay ahead economically, to meet the needs of our people, and yet here we are about ready to do away with the one entity in the Congress that tries to collate and analyze and deliver to Members of Congress credible, timely reports on the development of technology. I believe, more than most people realize, that we are changing the course of history in this Congress, but this is not one of the hallmarks of that change. This entity [OTA] ought to be out in the forefront of that change, and it will not be unless it is properly funded and maintained.

*[Senator Hollings]*

Those who are frustrated and say, 'If I cannot cut this, where can I cut?' I cannot understand those who are committed to ignorance. We are trying to find out. We are trying to learn. We, who have been dealing with the Office of Technology Assessment, study very closely and look at their particular commitments. We just do not take anything and everything. In fact, all of the requests made are bipartisan. They come from the chairmen and the ranking members of the committees themselves. We get way more requests than we respond to and cannot take on each and every question that would come. So it comes with a real need from the Congress itself. OTA has responded. It has done a professional job. There is no criticism in this debate about the quality of work. I am not going to try to overwhelm you and bring all the studies and everything else. But we can get into a few of them. I am pleased—I have checked this amendment through with our distinguished ranking member, the Senator from Washington, and I will be glad to adjust it. Do not tell me that we can give everything to GAO; we know GAO can do it. That is not true. I worked closely for years as chairman of the Legislative Appropriations Subcommittee, working with Elmer Staats and everything else. What we had to do was cut out all the term papers that were being made for high school graduates and everything over there. They will take on anything to keep the work going. Let us not do that. Let us keep the Office of Technology Assessment at an economical price and continue it and not abolish it in the political urge to get rid of something here.

*[Senator Grassley]*

By statute, OTA must secure unbiased information regarding the impact of technological application. OTA is one of the few truly neutral sources of

information for the Congress. In a very real sense, OTA is our source of objective counsel when it comes to science and technology and its interaction with public policy decision making. There are plenty of places for information in this town, but so many of these sources of information come from the private sector—and there is nothing wrong with the private sector; there is nothing wrong with organizations protecting their own interests, even if it is in the area of science and technology. But if we do not have an unbiased source of information, then we have to rely on organizations with a stake in keeping alive programs that benefit their interests.

*[Senator Kennedy]*

The Office of Technology Assessment has performed the task we assigned to it superbly. It continues to serve an indispensable role. It should bear its fair share of the current budget crisis—but it should not be abolished.

*[Senator Hatch]*

I do not think we should make the mistake of cutting OTA yet. I am the first to admit that we have to make cutbacks here. I think OTA has to suffer its fair share. So I am not arguing for 100 percent of OTA's budget. I wish we could because I think it is working over the long run, because this is the one arm of Congress that does give us, to the best of their ability, unbiased, scientific and technical expertise that we could not otherwise get where most everybody has confidence in what they do.

*[Senator Pell]*

I am in support of the effort to preserve the Congressional Office of Technology Assessment. The OTA, on whose board I currently sit, has been of profound and indispensable use to the Congress in the carrying out of its function of an independent source of complex, unbiased analysis



of the technology issues facing our country today. I firmly believe that it would be short-sighted and unwise for us to eliminate entirely this agency, even as we strive to effectuate budget savings with the Legislative Branch.

*[Senator Murray]*

OTA is a unique and valuable asset of the Congress. For many years it was also unique to the United States; but within the past few years, it has been used as a model by many democratic nations for establishing their own technology assessment organizations.

*[Senator Glenn]*

[T]he OTA has proved itself time and again in hundreds of studies across the board spectrum of technology assessment. Throughout its tenure, it has become recognized around the world of its cogent, professional, and unbiased work. It would be foolhardy to shelve that expertise now in a blind effort to simply slash budgets.

*[Senator Moynihan]*

I am sure most of us will also agree that the Office of Technology Assessment has an important role. It has been here a quarter century. It was established for a role and it ought to continue.

**[CONFERENCE COMMITTEE ACTION]**

OTA's last chance for survival was in the House-Senate conference committee to resolve the differences between the House and Senate versions of the Legislative Appropriations bill. Chairman Packard offered to accede to the Senate position for elimination but Representative Fazio introduced an amendment to fund OTA without a reduction in the LOC appropriation. The Committee registered a tie vote; thus the amendment failed to be approved.

The Senate mark for closing down OTA was thus agreed to in conference and any possibility of additional attempts would be frustrated by the overall federal budget impasse with the White House; OTA quietly began preparations for suspending operations, transferring assets to other support agencies as instructed in the legislation, and out-placement of OTA staff to other careers. It should be noted that the Senate mark included funds for 60-day severance for all OTA employees and arrangements for a closeout staff of seventeen during fiscal year 1996. This provision enabled OTA staff to concentrate up to the last days on completing almost all pending requests for committees, issuing 61 reports (sixteen of which were distributed after October 1) and also allowed the closeout team to carry out necessary final tasks, such as proper archiving to the National Archives and to create a set of CD-ROM's comprising all 75 of OTA's reports from 1972 to closure. Furthermore, with the help of the Architect of the Capitol, physical assets were made available to other congressional agencies. Recognition for this constructive provision, which maximized responsible preservation of OTA assets and responsibilities, is due to Senator Mack and the Appropriations Committees.

[A NOTE ABOUT FISCAL YEAR 1996 ACTIVITIES]

Because OTA's research activities were terminated as of the end of FY95, there will be no Annual Report for fiscal year 1996. As mentioned in the Director's statement, OTA was given an appropriation sufficient to conduct agency closeout activities and authority to continue 17 staff members for a few months for that purpose. Most of those activities are described elsewhere in this Annual Report, but they comprise personnel and financial recordkeeping and processing; delivery to Congress and dissemination to a wider audience of the reports finished at the close of FY95; preparation of the archival CD-ROM and establishment of continuing Internet sites for OTA OnLine; distribution of OTA's computers and other information

technology, furniture, and other physical assets, through the administrative control of the Architect of the Capitol; distributing to the extent possible the remaining stocks of OTA's publications; closing out and paying final invoices for contracts and purchase orders; and the archiving of OTA's records and other essential papers for inclusion in the National Archives.

[IN CONCLUSION]

Five aspects of the final year and termination stand out dramatically in retrospect. First, the staff of OTA worked extremely hard, when some would argue they had little reason to, to finish as many of the projects as possible before the shutdown. The result is an impressive body of work that will be of value to Congress and the public for years to come. The actions of the staff clearly and convincingly demonstrated their belief in the importance of the work they were doing.

Second, the staff of the agency conducted themselves during this trying period with an unmatched level of professionalism that serves only to enhance the reputation of the agency and stands as a true piece of evidence of the dedication of those individuals. No individual lashed out in the media at the Congress or its Members or staff, even when frustrated or angered by some of the misinformation about OTA that was circulating from time to time or simply by the idea that the staff's work was of insufficient value to continue. And no one threw down work in progress and walked away.

Third, in general, staff members have found new employment in good positions. OTA evidently is, as we have been told often, a very good place to have been in terms of career prospects. This excellent record of finding new positions holds in spite of the fact that a great many project staff, as mentioned above, continued working up to the very last days in September, although there is some correlation with how long it is taking some individuals to find new jobs with how long they delayed their job search in order to complete work.

Fourth is the sense of frustration that permeated these final months, as staff struggled to understand the decision to eliminate the agency despite their efforts over the years to operate in a nonpartisan fashion, building relationships and working for both Republicans and Democrats, both House and Senate, and conducting what we believed to be accurate, independent, valuable research.

The fifth, and last, aspect that stands out is the sense of pride all OTA staff feel at the often heroic efforts undertaken by those who knew us best: the Members of the congressional Board, their staffs, the Technology Assessment Advisory Council, and a great many of our peers and colleagues in academia, industry, and other sectors. It made a difference in the attitude of staff, and was personally rewarding to see those efforts, even though they ultimately failed, on OTA's behalf.

r e l e a s e s

*of the industry, commerce, and international  
security division*

*[The Industry, Commerce, and International Security Division was comprised of three  
research programs: Energy, Transportation, and Infrastructure; Industry, Telecommunications,  
and Commerce; and International Security and Space.]*

In FY 1995, this division published 14 assessment reports and 15  
background papers.

Fusion Energy Program: The Role  
of TPX and Alternate Concepts (BP)

[ requested by ]

House Committee on Science

U.S.-Russian Cooperation  
in Space

[ requested by ]

House Committee on Science

Nuclear Safeguards and the  
International Atomic Energy Agency

[ requested by ]

Senate Committee on Governmental  
Affairs

Senate Committee on Foreign  
Relations

[ endorsed by ]

House Permanent Select Committee  
on Intelligence

House Committee on Armed Services

Senate Committee on Banking,  
Housing, and Urban Affairs

Other Approaches to Civil-Military  
Integration: The Chinese and  
Japanese Arms Industries (BP)

[ requested by ]

House Committee on Armed Services

The National Space Transportation  
Policy: Issues for Congress

[ requested by ]

House Committee on Science

Reducing the Costs of Collecting  
Meteorological Data (BP)

[ requested by ]

House Committee on Science,  
Subcommittee on Energy  
and Environment

Electronic Surveillance in  
a Digital Age (BP)

[ requested by ]

Congressman Michael G. Oxley

**Issue Update on Information  
Security and Privacy in Network  
Environments (BP)**  
[ requested by ]  
**Senate Committee on Governmental  
Affairs**

**The Lower Tiers of the Space  
Transportation Industrial Base (BP)**  
[ requested by ]  
**House Committee on Science, Space,  
and Technology**

**Telecommunications Technology and  
Native Americans**  
[ requested by ]  
**Senate Committee on Indian Affairs**

**Environmental Technology: Analysis  
of Selected Federal R&D  
Programs (BP)**  
[ requested by ]  
**House Committee on Science  
Senate Committee on Environment  
and Public Works**

**Foreign Eligibility for U.S. Technology  
Funding (BP)**  
[ requested by ]  
**Senator John D. Rockefeller, IV**

**Wireless Technologies and the  
National Information Infrastructure**  
[ requested by ]  
**House Committee on Science**

**International Partnerships in Large  
Science Projects (BP)**  
[ requested by ]  
**House Committee on Science**

**A History of the Department of  
Defense Federally Funded Research  
and Development Centers (BP)**  
[ requested by ]  
**Senate Committee on Armed Services  
and its Subcommittee on Defense  
Technology, Acquisition, and  
Industrial Base**  
**House Committee on Armed Services**

**Renewing our Energy Future**  
[ requested by ]  
**House Committee on Science and  
its Subcommittee on Energy  
and Environment**  
**Senator Charles E. Grassley**  
**House Committee on Agriculture,  
Subcommittee on Department  
Operations, Nutrition, and  
Foreign Agriculture;**

and Subcommittee on Resource  
Conservation, Research and Forestry  
House Committee on Appropriations,  
Subcommittee on Energy and Water  
Development

Distributed Interactive Simulation  
of Combat (BP)  
[ requested by ]  
Senate Committee on Armed Services  
and its Subcommittee on Defense  
Technology, Acquisition, and  
Industrial Base  
House Committee on Armed Services

Assessing the Potential for Civil-  
Military Integration: Selected  
Case Studies (BP)  
[ requested by ]  
Senate Committee on Armed Services  
and its Subcommittee on Defense  
Technology, Acquisition,  
and Industrial Base  
House Committee on Armed Services

Reducing Earthquake Losses  
[ requested by ]  
House Committee on Science,  
Space, and Technology and  
its Subcommittee on Science

Bringing Health Care Online: The  
Role of Information Technologies  
[ requested by ]  
Senate Committee on Labor and  
Human Resources

Information Technologies for the  
Control of Money Laundering  
[ requested by ]  
Senate Committee on Governmental  
Affairs, Permanent Subcommittee  
on Investigations

Flat Panel Displays in Perspective  
[ requested by ]  
Senate Committee on Armed Services

Advanced Automotive Technology:  
Visions of a Super-Efficient  
Family Car  
[ requested by ]  
House Committee on Commerce  
House Committee on Science  
Senate Committee on Energy and  
Natural Resources  
Senate Committee on Governmental  
Affairs

**Environmental Monitoring for  
Nuclear Safeguards (BP)**

**[ requested by ]**

**Senate Committee on Governmental  
Affairs**

**Senate Committee on Foreign  
Relations**

**[ endorsed by ]**

**House Permanent Select Committee  
on Intelligence**

**House Committee on Armed Services  
Senate Committee on Banking,  
Housing, and Urban Affairs**

**Improving the Prospects for Success  
in Future International Peace  
Operations: Tactics, Technology,  
Training (BP)**

**[ requested by ]**

**House Committee on Armed Services  
Senate Committee on Armed Services**

**Global Communications:  
Opportunities for Trade and Aid**

**[ requested by ]**

**House Committee on International  
Relations**

**Innovation and Commercialization  
of Emerging Technologies**

**[ requested by ]**

**Senate Committee on Commerce,  
Science and Transportation**

**House Committee on Science, Space  
and Technology**

**The Technological Reshaping of  
Metropolitan America**

**[ requested by ]**

**Senate Committee on Banking,  
Housing and Urban Affairs**

**House Committee on Banking, Finance,  
and Urban Affairs and its  
Subcommittee on Economic Growth  
and Credit Formation**

**House Committee on Public Works  
and Transportation and its  
Subcommittee on Investigations  
and Oversight**

**The Effectiveness of Research and  
Experimentation Tax Credits (BP)**



r e l e a s e s  
*of the health, education, and environment division*

*[The Health, Education, and Environment Division was comprised of three research programs: Education and Human Resources; Environment; and Health.]*

In FY 1995, the Health, Education, and Environment Division published 12 assessment reports and 20 background papers.

Teachers and Technology: Making  
the Connection

[ requested by ]

Senate Committee on Labor and  
Human Resources

[ endorsed by ]

House Committee on Education  
and Labor

Senate Appropriations Committee

The Cost-Effectiveness of Colorectal  
Cancer Screening in Average-Risk  
Adults (BP)

[ requested by ]

House Committee on the Budget

House Committee on Ways and Means

Agriculture, Trade, and Environment:  
Achieving Complementary Policies

[ requested by ]

Senate Committee on Agriculture,  
Nutrition, and Forestry

House Committee on Agriculture

House Committee on Foreign Affairs

Costs and Effectiveness of Prostate  
Cancer Screening in Elderly Men (BP)

[ requested by ]

House Committee on Ways and Means,  
Subcommittee on Health

Hospital Financing in Seven  
Countries (BP)

[ requested by ]

House Committee on Ways and Means  
and its Subcommittee on Health

[ endorsed by ]

Senator Edward Kennedy

Senator Charles Grassley

State of the States on Brownfields:  
Programs for Cleanup and Reuse  
of Contaminated Sites (BP)

[ requested by ]

House Committee on Commerce,  
Subcommittee on Commerce, Trade,  
and Hazardous Materials

**EPA Superfund Actions and ATSDR  
Public Health Data (BP)**

[ requested by ]

**House Committee on Commerce,  
Subcommittee on Commerce, Trade  
and Hazardous Materials**

**Effectiveness and Costs of  
Osteoporosis Screening  
and Hormone Replacement  
Therapy (BP), Vol. 1**

[ requested by ]

**Senate Special Committee on Aging**

**Effectiveness and Costs of  
Osteoporosis Screening  
and Hormone Replacement  
Therapy (BP), Vol. 2**

[ requested by ]

**Senate Special Committee on Aging**

**Coverage of Laser Technology  
by Health Insurers (BP)**

[ requested by ]

**Senator Edward Kennedy**

[ endorsed by ]

**Senate Special Committee on Aging**

**Senate Committee on the Budget**

**Congressman John Dingell**

**Adverse Reactions to HIV Vaccine:  
Medical, Ethical and Legal  
Issues (BP)**

[ requested by ]

**House Committee on Ways and Means,  
Subcommittee on Health**

**Federal Technology Transfer and the  
Human Genome Project (BP)**

[ requested by ]

**Senate Committee on Appropriations**

**Senate Committee on Labor and**

**Human Resources**

**Cleaning Up Contaminated Wood-  
Treating Sites (BP)**

[ requested by ]

**House Committee on Appropriations,**

**Subcommittee on VA, HUD, and**

**Independent Agencies**

**Learning to Work: Making the  
Transition from School to Work**

[ requested by ]

**Senate Committee on Labor and**

**Human Resources**

**House Committee on Education**

**and Labor**

**Environmental Policy Tools:**

**A User's Guide**

[ requested by ]

**Senate Committee on Environment  
and Public Works**

**Challenges for U.S. Agricultural  
Research Policy**

[ requested by ]

**Senate Committee on Agriculture,  
Nutrition, and Forestry**

**Targeting Environmental Priorities  
in Agriculture: Reforming  
Program Strategies**

[ requested by ]

**Senate Committee on Agriculture,  
Nutrition, and Forestry**

**Gauging Control Technology and  
Regulatory Impacts in Occupational  
Safety and Health: An Appraisal  
of OSHA's Analytic Approach**

[ requested by ]

**Senate Committee on Labor and  
Human Resources  
House Committee on Education  
and Labor**

**Impacts of Antibiotic-Resistant  
Bacteria**

[ requested by ]

**House Committee on Energy  
and Commerce  
Senate Committee on Labor  
and Human Resources**

**Risks to Students in School**

[ requested by ]

**House Committee on Energy  
and Commerce and its Subcommittee  
on Health and the Environment  
House Committee on Education  
and Labor**

**Biologically Based Technologies  
for Pest Control**

[ requested by ]

**House Committee on Agriculture  
House Committee on Merchant  
Marine and Fisheries  
House Committee on Natural  
Resources, Subcommittee  
on National Parks, Forests,  
and Public Lands**

**Fish Passage Technologies: Protection  
at Hydropower Facilities**

[ requested by ]

**House Committee on Merchant  
Marine and Fisheries**

**Nuclear Wastes in the Arctic: An  
Analysis of Arctic and Other  
Regional Impacts from  
Soviet Nuclear Contamination**

[ requested by ]

**Senate Committee on Appropriations,  
Subcommittee on Defense  
Senate Committee on Governmental  
Affairs**

**Education and Technology:  
Future Visions (BP)**

[ requested by ]

**Senate Committee on Labor and  
Human Resources**

[ endorsed by ]

**House Committee on Education  
and Labor**

**Senate Appropriations Committee**

**Screening and Testing Chemicals  
in Commerce (BP)**

[ requested by ]

**Senate Committee on Environment  
and Public Works, Subcommittee  
on Toxic Substances, Research  
and Development**

**Current Status of Federal Involvement  
in U.S. Aquaculture (BP)**

[ requested by ]

**House Committee on Merchant  
Marine and Fisheries**

**Selected Technology Issues in  
U.S. Aquaculture (BP)**

[ requested by ]

**House Committee on Merchant  
Marine and Fisheries**

**The Effectiveness of AIDS  
Prevention Efforts (BP)**

[ requested by ]

**House Committee on Commerce,  
Subcommittee on Health and  
Environment**

**Impact of Health Reform on Rural  
Areas: Lessons from the States (BP)**

**Occupational Training for Young  
People in the United Kingdom (BP)**

**[ requested by ]**

**Senate Committee on Labor**

**and Human Resources**

**House Committee on Education**

**and Labor**

**Does Vocational Education Help the  
“Forgotten Half”? Short-Term  
Economic Consequences of  
High School Vocational Education  
for Non-College-Students (BP)**

**[ requested by ]**

**Senate Committee on Labor and**

**Human Resources**

**House Committee on Education**

**and Labor**

**Technology and Policy for Suppressing  
Grain Dust Explosions in Storage  
Facilities (BP)**

m e m b e r s  
*of the Technology Assessment Advisory Council*

*[The Technology Assessment Advisory Council (TAAC) was established by OTA's statute, and members were appointed by OTA's Congressional Technology Assessment Board (TAB). The Council advised TAB and the Director on issues and other matters related to science, technology, and technology assessment.]*

James Hunt, [Chairman]

Dr. Hunt is Distinguished Professor, Health Sciences Center, at the University of Tennessee in Memphis. He previously served as Chancellor of the Health Science Center and as the Dean of Medicine for the University. Prior to joining the University, he served as Chairman of the Department of Medicine at the Mayo Clinic.

Max Lennon, [Vice Chairman]

Dr. Lennon is President and CEO of Eastern Foods, Inc., Atlanta, Georgia. Previously he served as President of Clemson University. He also served on the faculties of Ohio State University, (Vice-President for Agricultural Administration and Executive Dean for Agriculture, Home Economics and Natural Resources), University of Missouri, and Texas Tech University.

Lewis M. Branscomb

Dr. Branscomb is the Albert Pratt Public Service Professor at Harvard's John F. Kennedy School of Government. He is a former executive of International Business Machines. Prior to joining IBM, he was the Director of the National Bureau of Standards.

Herbert D. [Ted] Doan

Mr. Doan is a Partner with Doan Associates. He was Chairman and Founder of Doan Resources Corporation. He served as President of the Dow Chemical Company for nine years.

**Neil E. Harl**

**Dr. Harl is the Charles F. Curtiss Distinguished Professor of Economics at Iowa State University, where he has served on the faculty since 1964.**

**Joshua Lederberg**

**Dr. Lederberg was President of Rockefeller University, New York. He is the former Chairman of the Department of Genetics at Stanford University School of Medicine. He is a member of the National Academy of Sciences and is a Nobel Laureate in Physiology and Medicine.**

**John F. M. Sims**

**Dr. Sims is Vice President for Marketing for Usibelli Coal Mine, Inc. He previously served as Director of the Office of Mineral Development with the Alaska Department of Commerce and Economic Development. Dr. Sims also taught Geological Engineering at the University of Alaska-Fairbanks.**

**L. Douglas Smoot**

**Dr. Smoot is Dean Emeritus, College of Engineering and Technology, at Brigham Young University. He is also Director of the Advanced Combustion Engineering Research Center. He has been associated with the Brigham Young University since 1967. Dr. Smoot previously served as a visiting assistant professor at the California Institute of Technology and as an engineer with Lockheed Propulsion.**

**Marina v.N. Whitman**

**Dr. Whitman is a Professor at the Institute of Public Policy Studies at the University of Michigan. Previously she served as the Vice President and Group Executive for Public Affairs Staffs Group at General Motors Corporation. She also served as Vice President and Chief Economist at General Motors. Prior to joining General Motors she taught at the University of Pittsburgh.**

**[Statutory Members]**

**Charles A. Bowsher**

**Mr. Bowsher is Comptroller General of the United States and Director of the U. S. General Accounting Office.**

**Daniel Mulhollan**

**Dr. Mulhollan is Director of the Congressional Research Service, U. S. Library of Congress.**



l i s t i n g  
*of the staff for fiscal year 1995*

OFFICE OF THE DIRECTOR

Roger Herdman, Director  
Barbara Linkins, Executive Assistant  
Debra Datcher, Manager, Special  
Projects  
Kerry Kemp, Managing Editor  
Gilda Squire, Secretary

*[Congressional and Public Affairs]*

James Jensen, Director, Congressional  
Affairs  
Jean McDonald, Director, Press Affairs  
Barbara Ketchum, Administrative  
Secretary  
Karen Piccione, Administrative  
Assistant  
Eugenia Ufholz, Congressional Affairs  
Officer

INDUSTRY, COMMERCE, AND  
INTERNATIONAL SECURITY  
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Peter Blair, Assistant Director  
Pidge Chapman, Division  
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James Curlin, Senior Associate

*[Energy, Transportation, and Infrastructure  
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Gregory Eyring, Senior Analyst  
Marsha Fenn, Office Administrator  
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G..y Jackson, PC Specialist  
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*[International Security and Space Program]*

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Don Gallagher, Secretary  
Yousef Hashimi, Analyst

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Brian McCue, Senior Analyst  
Jack Nunn, Senior Associate  
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Mary Tyszkiewicz, Analyst  
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Ray Williamson, Senior Associate

*[Industry, Telecommunications, and  
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Alan Buzacott, Analyst  
Vary Coates, Senior Associate  
William Creager, Congressional Fellow  
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Administrator  
Wendell Fletcher, Senior Associate  
Karry Fornshill, Secretary  
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Frank Gallo, Analyst  
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Diane Jackson, Administrative  
Secretary

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Jerry Sheehan, Analyst  
Jean Smith, Senior Analyst  
Michael Snyder, Analyst  
Rodney Sobin, Analyst  
Karolyn St. Clair, PC Specialist  
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Robert Weissler, Senior Analyst  
Joan Winston, Senior Associate  
Fred Wood, Senior Associate  
David W. c., Senior Analyst

#### HEALTH, EDUCATION, AND ENVIRONMENT DIVISION

Clyde Behney, Assistant Director  
Carol Bock, Division Administrator  
Phyllis Windle, Senior Associate

*[Education and Human Resources Program]*

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Paula Bruening, Senior Analyst  
Kathleen Fulton, Senior Analyst  
Gregg Jackson, Senior Analyst  
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Martha Livingston, Analyst  
Katie Maslow, Senior Associate  
Kathleen McCormally, Research  
Assistant

Mary McDonald, Analyst  
Robyn Nishimi, Senior Associate  
Cecile Parker, Office Administrator  
Linda Rayford, PC Specialist  
Isabelle Smith, Analyst  
John Wirt, Senior Analyst

*[Environment Program]*

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David Butler, Analyst  
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Donna Downing, Senior Analyst  
Matt Draud, Analyst  
Patricia Durana, Senior Analyst  
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Betsy Gunn, Senior Analyst  
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Elise Holland, Research Analyst  
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Sharon Knarvik, Secretary  
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Michael Phillips, Senior Associate  
Cameron Proffitt, Research Analyst  
German Reyes, Senior Analyst  
William Westermeyer, Senior Analyst  
Robin White, Senior Analyst

*[Health Program]*

Sean Tunis, Program Director  
Elise Berliner, Congressional Fellow  
Charlotte Brown, Secretary  
Jill Eden, Senior Analyst  
Monica Finch, Secretary  
Hellen Gelband, Senior Associate  
Michael Gough, Senior Associate  
Elizabeth Hadley, Senior Analyst  
Jacqueline Keller, Research Analyst  
David Klingman, Senior Analyst  
Arna Lane, Research Analyst  
Justin Latus, Research Analyst  
Robert McDonough, Senior Analyst  
Cynthia Palmer, Milbank Fellow  
Elaine Power, Senior Associate  
Helga Rippen, Analyst  
Rochelle Rollins, Milbank Fellow  
Eric Rosenthal, Analyst  
Dwayne Smith, Research Assistant

Louise Staley, Office Administrator  
Carolyn Swann, PC Specialist  
Judith Wagner, Senior Associate

#### **BUDGET AND FINANCE OFFICE**

Jack Boertlein, Budget and Finance  
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Carolyn Datcher, Senior Accounting  
Technician  
Frances Hemingway, Senior Finance  
Analyst  
Alan King, Accounting Technician  
Carrie Miller, Accounting Technician

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Barbara Bradley, Administrative  
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Lola Crow, Payroll/Benefits Officer  
Gail Turner, Personnel Specialist

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Susan Hoffmeyer, Graphic Designer

Linda Long, Electronic Dissemination  
Specialist

William Moore, Production Editor

J. Christine Onrubia, Senior Graphic  
Designer

Bonnie Sparks, Electronic Publishing  
Specialist

Theodore Williams, Publications  
Distribution Technician

#### **TELECOMMUNICATIONS AND INFORMATION SYSTEMS**

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nications and Information Systems

Mary Beth Breitbach, Systems Support  
Analyst

Brenda Hahn, Systems Support  
Analyst

Philip Jackson, Assistant Manager,  
Telecommunications and Information  
Systems

Vincent McCall, Systems Technician

Wazir Shpoon, Systems Technician

#### **CONTRACTS AND PROCUREMENT OFFICE**

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Paul Start, General Counsel

Greg Joyce, Senior Procurement  
Specialist

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**Tracey Amey, Librarian**

**Nancy Bennett, Reference Librarian**

**Jacqueline Curro, Reference Librarian**

**Sandra Massengill, Information**

**Specialist**

**Debra McCurry, Assistant Manager,  
Information Center**

**SERVICE CENTER**

**Carlton Agee, Service Center  
Supervisor**

**Michael Brown, Service Center  
Technician**

**BUILDING SERVICES**

**Kevin McNair, Facilities Manager**

**Robert Raines, Clerk/Courier**

**MEDICAL SERVICES**

**Janet Hammond, Resident Nurse**

**CONFERENCE CENTER**

**Edie Grandstaff, Coordinator**

**Sean Copeland, Assistant Coordinator**

l i s t i n g  
*of awards and fellowship programs*

**OTA REPORTS RECOGNIZED AS NOTABLE**

*[Office of Technology Assessment reports, prepared to provide the U.S. Congress with thorough analyses of cutting-edge science and technological issues, have garnered awards for outstanding quality in publications. The following OTA titles have been honored for writing, editorial content, layout and design, and cost-effectiveness.]*

**NOTABLE DOCUMENTS PANEL OF THE AMERICAN LIBRARY  
ASSOCIATION'S GOVERNMENT DOCUMENTS ROUND TABLE**

*[GODART selects those documents they consider to be the best of the government information sources produced at the federal, state, and local levels and across the globe. These documents "expand our knowledge, enhance the quality of life, and/or contribute to an understanding of government."]*

**After the Cold War: Living with Lower Defense Spending**

**Biological Rhythms: Implications for the Worker**

**Changing by Degrees: Steps to Reduce Greenhouse Gases**

**Complex Cleanup: The Environmental Legacy of Nuclear Weapons Production**

**Genetic Monitoring and Screening in the Workplace**

**Global Arms Trade**

**Harmful Non-Indigenous Species in the United States**

**Health Care in Rural America**

**Making Government Work: Electronic Delivery of Federal Services**

**Preparing for An Uncertain Climate, Volume 2**

**Proliferation of Weapons of Mass Destruction: Assessing the Risks**

**Testing in American Schools: Asking the Right Questions**

**U.S. Dairy Industry at a Crossroads: Biotechnology and Policy Choices**

## BLUE PENCIL AWARDS OF THE NATIONAL ASSOCIATION OF GOVERNMENT COMMUNICATORS

*[NAGC conducts the Blue Pencil Competition as an annual recognition of outstanding government communications projects and producers. OTA reports consistently recognized in this competition included:]*

Adult Literacy and New Technologies: Tools for a Lifetime  
Biological Components for Substance Abuse and Addiction  
Civilian Satellite Remote Sensing: A Strategic Approach  
Cystic Fibrosis & DNA Tests: Implications of Carrier Screening  
Electronic Bulls and Bears: U.S. Securities Markets and Information Technology  
Energy Efficiency in the Federal Government: Government by Good Example?  
Evaluation of the Oregon Medicaid Proposal  
Exploring the Moon and Mars  
Genetic Monitoring and Screening in the Workplace  
HIV in the Health Care Workplace  
Redesigning Defense: Planning the Transition to the Future U.S. Defense  
Industrial Base  
Rural America at the Crossroads: Networking for the Future  
Technologies for Understanding and Preventing Substance Abuse and Addiction  
Testing in American Schools: Asking the Right Questions

## THE MORRIS K. UDALL FELLOWSHIP PROGRAM

*[The Udall Fellowship Program was awarded to up to six individuals each year for a one-year appointment at OTA. It was established in April 1991 by the Technology Assessment Board in honor of Morris K. Udall, retired congressman from Arizona who served 30 years in the House of Representatives and 18 years on the Technology Assessment Board. Qualified candidates demonstrated exceptional ability in areas needed in OTA's work, such as the physical or biological sciences, engineering, law, economics, environmental and social sciences, and public policy. Candidates possessed significant experience in technical fields or management or had completed research at the doctoral level.]*

[*Fellow*, 1995-96]

Joyce Smith

[*Fellows*, 1994-95]

Elise Berliner

William Creager

[*Fellows*, 1993-94]

Dean Cheng

Betsy Gunn

Lois Joellenbeck

[*Fellows*, 1992-93]

Lucian Hughes

Thomas Vischi

[*Fellow*, 1991-92]

Carol Edwards

[*Fellows*, 1990-91]

Jacqueline Corrigan

Kathy Hudson

David Recker

[*Fellows*, 1989-90]

Robin Gaster

Evridiki Hatziandreu

Paul Komor

[*Fellows*, 1988-89]

Mary Bruns

Gale Morse

Willie Pearson

Marie Walsh

Marc Zimmerman

[*Fellows*, 1987-88]

Barbara Boardman

Michael Gluck

Jana Milford

Robin Roy

Mark Schaefer

[*Fellows*, 1986-87]

Susan Koch

Philip Shapira

[*Fellows*, 1985-86]

Stephen Budiansky

Gregory van der Vink

Theodora Colborn

Kathy Wagner

[*Fellows*, 1984-85]

Richard Denison

Greg Eyring

Marcel LaFollette

Arati Prabhakar

[*Fellows*, 1983-84]

Gerald Epstein

Gary Ellis

Randolph Ware

Howard Levenson

Miriam Heller



*[Fellows, 1982-83]*

Robert Cook-Deegan

Julia Crowley

Richard Hersh

Eric Hyman

*[Fellows, 1981-82]*

Nanette Newell

Susan Cohen

Robert Dillon

Linda Curran

*[Fellows, 1980-81]*

Judith Randal

James Ryan

Rosina Bierbaum

Norman Balmer

Arthur Kohrman

Gerald Kleinenberg

*[Fellows, 1979-80]*

Yupo Chan

Pamela Doty

Raymond Williamson

Chris Elfring

*[Fellows, 1978-79]*

James Beall

James Cornehl

Robert Friedman

Arlene Maclin

Daniel Panshin

Leonard Saxe

William Scanlon

Irene Szopo

*[Fellows, 1977-78]*

Ruann Pengov

Lynne Pietz

Michael Riddiough

## CONGRESSIONAL FELLOWSHIP IN HEALTH POLICY

*[The Milbank Memorial Fund and OTA established the Congressional Fellowship in Health Policy in 1992 to be served at OTA beginning in September 1992. The program sought candidates with substantial training and experience in research and a strong interest in health policy. The fellowship provided an opportunity for an individual of proven ability and considerable promise to work with OTA researchers to assist Congress in its deliberations of science and technology issues affecting our Nation's health policy and to gain a better understanding of the ways in which Congress establishes national policy related to these issues.*

*The Fund and OTA invited applications from individuals who had demonstrated ability in research on issues of health policy. Applicants possessed doctorates in the social sciences or related areas or had terminal scientific or professional degrees and considerable training in research using the policy sciences.]*

*[Fellows, 1994-95]*

Cynthia Palmer

Rochelle Rollins

*[Fellow, 1993-94]*

Gerard Fergerson

*[Fellow, 1992-93]*

Sean Tunis

l i s t i n g  
*of the advisory panel members\**

INDUSTRY, COMMERCE, AND  
INTERNATIONAL SECURITY  
DIVISION

*[Energy, Transportation, and  
Infrastructure Program]*

[▲]Advanced Automotive Technology  
Project

Don Kash [*Chairperson*]  
Professor of Public Policy  
George Mason University

Steve Barnett, Principal  
Global Business Network

Ron Blum, Senior Auto Analyst  
International Union United  
Auto Workers

Tom Cackette, Chief Deputy  
Executive Officer  
California Air Resources Board

Malcolm R. Currie, Chairman  
M-B Resources, Inc.

John DeCicco, Senior Research Associate  
American Council for an  
Energy-Efficient Economy

Kennerly H. Digges, Assistant Director  
National Crash Analysis Office Center  
George Washington University

Christopher Flavin, Vice President  
for Research  
Worldwatch Institute

Christopher Green, Director  
General Motors NAO R&D Center

Dave Greene, Senior Research Staff  
Center for Transportation Analysis  
Oak Ridge National Laboratory

Maurice Isaac, Manager  
Automotive Technical Programs  
GE Automotive

Mary Ann Keller, Managing Director  
Furman, Selz, Inc.

Gunnar Larsson, Vice President  
of Research  
Volkswagen AG

Marianne Mintz, Transportation Systems  
Engineer  
Environmental & Economic Analysis  
Section  
Argonne National Laboratories

Robert Mull, Director  
Partnership for a New Generation  
of Vehicles  
Ford Motor Company

Nobukichi Nakamura, Project General  
Manager  
Toyota Motors

---

[\*Affiliations are at time of  
appointment to panel or workshop.]

**Peter T. Peterson, Director  
Marketing Strategies and Product  
Applications  
U.S. Steel**

**[▲]Reducing Earthquake Losses**

**Gilbert F. White [Chairperson]  
Professor  
University of Colorado**

**Jesus Burciago, Assistant Fire Chief  
Los Angeles County Fire Department**

**Charles D. Eadie, Assistant Planning  
Director  
City of Watsonville, California**

**Dean C. Flesner, Vice President of  
Operations  
State Farm Fire and Casualty Company**

**I.M. Idriss, Professor  
Department of Civil and Environmental  
Engineering  
University of California at Davis**

**Cynthia Ingham, Assistant Director  
for Capital Programs  
University of California at Los Angeles**

**Tom Jordan, Professor and  
Department Chair  
Department of Earth, Atmospheric  
and Planetary Sciences  
Massachusetts Institute of Technology**

**Joseph Kelly, Senior Consulting Engineer  
Port Authority of New York and  
New Jersey**

**Howard Kunreuther, Director of Risk  
Management Center  
The Wharton School  
University of Pennsylvania**

**Mike Lynch, Earthquake Program  
Manager  
Kentucky Department of Emergency  
Services**

**Steven A. Mahin, Professor  
Earthquake Engineering Research Center  
University of California at Berkeley**

**Diane F. Merten, Chair  
Benton County Emergency  
Management Council**

**Joanne M. Nigg, Director  
Disaster Research Center  
University of Delaware**

**Dennis K. Ostrum, Consulting Engineer  
Southern California Edison**

**Vernon H. Persson, Chief  
Division of Safety of Dams  
California Department of Water  
Resources**

**James Smith, Executive Director  
Building Seismic Safety Council**

**Paul G. Somerville, Senior Associate  
Woodward Clyde Consultants**

**Robert S. Yeats, Professor  
Department of Geosciences  
Oregon State University**

**Nabih Youssef, President  
Nabih Youssef and Associates**

**[▲]Renewing Our Energy Future**

**Robert W. Fri (Chairperson)  
President  
Resources for the Future**

**Jim Batchelor, Vice President  
Technical Services  
SF Services, Inc.**

**Art Brooks, President  
Sun Earth, Inc.**

**Edward J. Carlough, General President  
Sheet Metal Workers International  
Association**

**John Corsi, Chairman and CEO  
Solarex**

**J. Michael Davis, Director  
Sales and Marketing  
Golden Technologies Company, Inc.**

**David Dawson  
Forest Policy Consultant**

**Elizabeth Paine Hughes  
Commissioner, State of Maine**

**W. Densmore Hunter, Department  
Manager, Process R&D  
Weyerhaeuser Company**

**Renz D. Jennings, Commissioner  
Arizona Corporation Commission**

**David Kearney, President  
Kearney and Associates**

**John Kennedy, Product General Manager  
Space Systems and Advanced  
Applications  
Allied-Signal Aerospace**

**Alden Meyer, Director  
Climate Change and Energy Program  
Union of Concerned Scientists**

**Roberta Nichols, Manager  
Electric Vehicle Strategy and Planning  
Car Product Development  
Ford Motor Company**

**Mike Nicklas, President  
Innovative Design**

**Dale Osborn, Vice President  
Kenetech/U.S. Windpower, Inc.**

**Bruce Pasternack, Senior Vice President  
Booz Allen and Hamilton**

**Maria Richter, Principal  
Morgan Stanley & Company, Inc.**

**Victor Shaio, President  
New Energy Corporation of Indiana**

**Scott Sklar, Executive Director  
Solar Energy Industries Association**

**Carl Weinberg  
Weinberg Associates**

**Robert H. Williams, Senior Research  
Scientist  
Center for Energy and Environmental  
Studies  
Princeton University**

**Kurt E. Yeager, Senior Vice President  
Technical Operations  
Electric Power Research Institute**

**[▲] The Technological Reshaping of  
Metropolitan America**

**Marie Howland [*Chairperson*]  
Director, Department of Urban Planning  
University of Maryland**

**Marc Bendick, Principal  
Bendick and Egan Economic  
Consultants, Inc.**

**Scott Bernstein, President  
Center for Neighborhood Technology**

**John A. Butler, Vice President  
National Urban League**

**John Claypool, Executive Director  
Greater Philadelphia First**

**Robert Embry, President  
Abell Foundation**

**Pete C. Garcia, President and CEO  
Chicanos por la Causa, Inc.**

**Peter R. Gilezan<sup>1</sup>  
Environmental Consultant  
PR Gilezan Company**

**Franklin James, Professor of Public Policy  
University of Colorado**

**Mark Kaufman, Senior Vice President  
Director of Corporate Development  
Chase Manhattan Bank, N.A.**

**Thomas Larson  
Transportation Consultant**

**Tom Moody<sup>2</sup>  
Former Mayor of Columbus, Ohio**

**Mitchell L. Moss, Director  
Urban Research Center  
New York University**

**Robert Paaswell, Director  
University Transportation Research  
Center City College, New York**

**Sergio Rodriguez, Deputy City Manager  
City of Miami Beach**

**Charles Royer, Former Mayor of Seattle  
Senior Lecturer  
Department of Public Health and  
Community Medicine  
University of Washington**

**Paul L. Silverman, Vice President  
Geltmore, Inc.**

**Carl Swearingen, President  
BellSouth Georgia  
BellSouth Telecommunications, Inc.**

**Joel Tarr, Professor of History  
Carnegie Mellon University**

**Mary Margaret Whipple, Chairman  
Arlington County Board**

**Regina Williams, City Manager  
City of San Jose**

**Robert D. Yaro, Executive Director  
Regional Plan Association**

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**[Retired Director of Environmental  
and Energy Affairs, Chrysler  
Corporation**

**[Retired]**

**[Industry, Telecommunications, and  
Commerce Program]**

**[▲]Bring Health Care Online: The Role  
of Information Technologies**

**Clement McDonald [Chairperson]  
Distinguished Professor of Medicine  
Indiana University School of Medicine**

**June Abbey, Director of Research  
Institute of Innovation  
Shadyside Hospital**

**Stephen Deutsch, Professor  
Labor Education and Research Center  
University of Oregon**

**Elliott Fisher, Associate Professor of  
Medicine  
Dartmouth Medical School**

**Bonnie Guiton Hill, Dean  
McIntire School of Commerce  
University of Virginia**

**James Hazelrigs, Executive Director  
Medical Database Commission  
State of North Carolina**

**Susan Horn, Senior Scientist  
Institute for Health Care Delivery  
Research  
Intermountain Health Care**

**James Hunt, Distinguished Professor  
Health Sciences Center  
University of Tennessee**

**Nancy Milio, Professor of Health  
Policy & Administration  
University of North Carolina**

**Lori Muhlstein\*, Market Manager  
of Health Care  
Bell Atlantic Corporation**

**John Nyman, Associate Professor  
Institute for Health Services Research  
University of Minnesota**

**Madison Powers, Senior Research Scholar  
Kennedy Institute of Ethics  
Georgetown University**

**Jane Preston, President  
American Telemedicine Association**

**Marsha Radaj, Vice President of  
Operations  
Wisconsin Health Information Network**

**William Reed  
Quantum Health Resources, Inc.**

**Bert Tobin, Executive Vice President  
Benton International**

**Bradley Ware  
Fairfax, VA**

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**[\*Until December 1994]**

**[▲]Environmental Technology: Analysis  
of Selected Federal R&D Programs**

**Thomas Gladwin [Chairperson]  
Leonard N. Stern School of Business  
New York University**

**Alvin Alm, Director & Vice President  
SAIC**

**Carol Andress, Economic Development  
Specialist  
Environmental Defense Fund**

**Darryl Banks, Director  
Technology and Environment Program  
World Resources Institute**

**Mary Bernhard  
U.S. Chamber of Commerce**

**Harry Fatkin, Division Vice President  
Polaroid Corporation**

**Robert Frosch, Senior Research Fellow  
John F. Kennedy School of Government  
Harvard University**

**Stephen Gage, President  
Cleveland Advanced Manufacturing  
Program  
Great Lakes Manufacturing Technology  
Center**

**Kenneth Geiser, Director  
Toxics Use Reduction Institute  
University of Massachusetts-Lowell**

**Gary Hunt, Director  
North Carolina Office of Waste  
Reduction**

**Greg Pitts, Director  
Environmental Programs  
Microelectronics and Computer  
Technology Corporation**

**Robert Pojasek, Senior Program Director  
Cambridge Environmental, Inc.**

**Linda Giannelli Pratt, Program Manager  
San Diego County Department of  
Environmental Health**

**Elizabeth Rose, Assistant Vice President  
Environmental Affairs  
Northern Telecom, Ltd.**

**C. Thomas Sciance  
Sciance Consulting Services, Inc**

**John Sheehan, Legislative Director &  
Assistant to the President  
United Steel Workers of America**



**William Sonntag, Jr., Director  
Government Relations  
National Association of Metal Finishers**

**[▲]Information Technologies for the  
Control of Money Laundering**

**Eloy Garcia, Assistant Special Agent  
in Charge  
Operational Commander**

**W. Douglas Johnson, Assistant Director  
Division of Banking  
Office of the Comptroller  
State of Florida**

**Robert MacAllister, Vice President &  
Senior Associate Counsel  
The Chase Manhattan Bank, N.A.**

**Bruce Porter, Associate Professor  
of Computer Science  
University of Texas at Austin**

**Priscilla Regan, Assistant Professor  
Department of Public &  
International Affairs  
George Mason University**

**Joel Reidenberg, Associate Professor  
of Law  
Fordham University School of Law**

**Robert Serino, Deputy Chief Counsel  
Office of the Comptroller of  
the Currency  
U.S. Department of the Treasury**

**John Stern  
University of South Carolina**

**David Vogt, Assistant Director  
Financial Crimes Enforcement Network  
[FinCEN]**

**Sarah Welling, Professor of Law  
College of Law  
University of Kentucky**

**[▲]Innovative and Commercialization of  
Emerging Technologies**

**Avtar S. Oberai [*Chairperson*]  
Consultant**

**Paul Brickmeier, Vice President of  
Advanced Development  
SVG Lithography Systems, Inc.**

**Linda A. Capuano, Vice President  
Conductus, Inc.**

**Sidney C. Chao  
Hughes Environmental Systems, Inc.**

**Robert Cook-Deegan, Director  
Division of Behavioral Sciences and  
Mental Disorders  
Institute of Medicine  
National Academy of Sciences**

**Mark Cunningham  
Oppenheimer and Company**

**Mark Eaton, President  
JMC Ventures**

**Robert T. Fraley, Group Vice President  
and General Manager  
New Products Division  
Monsanto Company**

**Charles E. Harris, Chairman and CEO  
Harris & Harris Group, Inc.**

**Maryellen R. Kelley, Visiting Professor  
Industrial Performance Center  
Massachusetts Institute of Technology**

**Ken Kennedy, Director  
Center for Research in Parallel  
Computation  
Rice University**

**William G. Morin, Director of  
Technology Policy  
National Association of Manufacturers**

**Mark Myers, Senior Vice President  
Xerox Corporation**

**Walter H. Plosila, Executive Director  
North Carolina Alliance for  
Competitive Technologies**

**John T. Preston  
Director of Technology Development  
Massachusetts Institute of Technology**

**Michael A. Rappa, Associate Professor  
Sloan School of Management  
Massachusetts Institute of Technology**

**Richard S. Rosenbloom  
David Sarnoff Professor of Business  
Administration  
Harvard Business School**

**Maxine L. Savitz  
Garrett Processing Division  
Allied-Signal Aerospace**

**Louis Tornatzky, Director  
Southern Technology Council  
Southern Growth Policies Board**

**Stephen Turner, President and CEO  
Oncor Inc.**

**Les Vadasz, Senior Vice President  
Intel Corporation**

**[▲]Telecommunications Technology and  
Native Americans: Opportunities and  
Challenges**

**David Iha [*Chairperson*]  
Provost  
Kauai Community College**

**Haunani Apoliona, President  
Alu Like  
Honolulu, Hawaii**

**George Baldwin, Professor  
California State University at  
Monterey Bay**

**Manley Begay, Executive Director  
American Indian Economic  
Development Project  
John F. Kennedy School of Government  
Harvard University**

**Ann Bishop, Assistant Professor  
Graduate School of Library  
and Information Science  
University of Illinois at Urbana-  
Champaign**

**Connie Buffalo, President**  
Electronic Pathways  
Vice President, Mind Extension Institute  
Jones Interactive

**Steve Cisler, Senior Scientist**  
Advanced Technology Group  
Apple Computer, Inc.

**Nedra Darling, Director**  
Reel Indian Productions

**Gary Garrison, Telecommunications  
Project Manager**  
American Indian Higher Education  
Consortium

**Willie Hensley, Commissioner**  
Economic Development  
Alaska Department of Commerce

**Russell Huffman, Jr., Public Health  
Director**  
Yukon-Kuskokwim Health Corporation

**Rio Lara Bellon**  
Telecommunications Network Project  
Extension Indian Reservation Program  
Washington State University and  
Confederated Tribes of the Chehalis

**Joseph Orozco, Producer**  
California Indian Radio Project  
Indigenous Communications Association

**Randy Ross**  
Telecommunications Consultant

**Joan Timeche, Program Director**  
Center for American Indian Economic  
Development  
Co-Executive Director, National  
Executive Education Program for Native  
American Leadership  
Northern Arizona University

**Charles Trimble, President**  
Red Willow Institute

**Dave Warren, Vice President**  
Media Resources Associates, Inc.

**Madonna Peltier Yawakie, Tribal Nations  
Market Manager**  
US West Communications

**[▲] Wireless Technologies and  
the National Information Infrastructure**

**Rob Kling [Chairperson]**  
Professor  
University of California, Irvine

**Alfred F. Boschulte, President  
and Chairman**  
NYNEX Mobile Communications  
Company

**Timothy J. Brennan**  
Resources for the Future

**Steven D. Dorfman, President**  
Hughes Telecommunications and Space  
Company

**Franz J. Erbrick, Senior Vice President**  
United Parcel Service

Susan Hadden<sup>1</sup>, Professor  
LBJ School of Public Affairs  
University of Texas at Austin

Ellwood R. Kerkeslager, Vice President  
AT&T

Jim Lovette, Principal  
Scientist Apple Computer, Inc.

John Major, Senior Vice President  
Motorola, Inc.

Howard Miller, Senior Vice  
President-Broadcast  
Public Broadcasting Service

Alex Netchvolodoff, Vice  
President-Public Policy  
Cox Enterprises, Inc.

Stewart D. Personick, Assistant  
Vice President  
Bellcore

William W. Redman, Jr., Commissioner  
North Carolina Utilities Commission

W. Scott Schelle, Chief Executive Officer  
American Personal Communications

Jim Strand, President  
Lincoln Telecommunications

William F. Sullivan, General Manager  
KPAX-TV

Laurel L. Thomas  
Telecommunications Consultant

Marilyn B. Ward, Division Commander  
Orlando Police Department

Daniel Weitzner, Deputy Director  
Center for Democracy and Technology

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[Deceased.]

[International Security and Space Program]

[▲] Defense Modeling and Simulation  
Project

George Rathjens [Chairperson]  
Professor of Political Science  
Massachusetts Institute of Technology

Donald Blumenthal, Consultant  
Lawrence Livermore National Laboratory

Jerome Bracken, Adjunct Professor  
of Operations Research  
Yale University

Edward C. Brady, Managing Partner  
Strategic Perspectives, Inc.

David R. Cheriton, Professor  
of Computer Science  
Stanford University

Paul K. Davis, Corporate Research  
Manager  
The RAND Corporation

Col. Trevor N. Dupuy\*, President  
The Dupuy Institute

John Englund, President  
Analytic Services, Inc.

Joseph P. Fearey, Project Scientist  
Corps Battle Simulation  
Jet Propulsion Laboratory

Amoretta M. Hoerber, President  
AMH Consulting

John D. Kettelle  
Consultant

Frank Lanza, President and Chief  
Operating Officer  
Loral Corporation

Creve Maples, Principal Investigator  
Sandia National Laboratory

Jed Marti, Senior Computer Scientist  
Sarcos Research, Inc.

Duncan Miller, Senior Staff  
Lincoln Library  
Massachusetts Institute of Technology

Stuart H. Starr, Director of Plans  
Massachusetts Institute of Technology

Lawrence D. Stone, Senior Vice President  
Metron, Inc.

Jack Thorpe, Corporate Vice President  
Science Applications International  
Corporation

Verena S. Vomastic, Research Analyst  
Institute for Defense Analyses

Jordan Weisman, President  
Virtual World Entertainment

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[\*Deceased June 5, 1995.]

[▲]The National Space Transportation  
Policy: Issues for Congress

Ronald Brunner [*Chairperson*]  
Director  
Center for Public Policy Research  
University of Colorado

Edward C. Aldridge, Jr., President  
and CEO  
The Aerospace Corporation

Buzz Aldrin  
Apollo 11 Astronaut and Consultant

Radford Byerly, Jr.  
Consultant

Thomas Burson, Vice President  
and General Manager  
Space Transportation  
McDonnell Douglas Aerospace

Paul J. Coleman, Jr., Director  
National Institute for Global  
Environmental Change  
University of California at Los Angeles

Lt. Gen. Donald L. Cromer,  
USAF [*retired*]  
President  
Hughes Space and Communications  
Company

Henry J. Dinunno, Vice President  
Advanced Programs & Business  
Development  
Space Systems Division  
Rockwell International Corporation

Isaac T. Gillam, IV, Senior Vice President  
OAO Corporation

Michael D. Griffin, Senior Vice President  
Program Development  
Space Industries

Frederick H. Hauck, President and CEO  
INTEC

Clark W. Hawk, Director  
Propulsion Research Center  
University of Alabama at Huntsville

Douglas A. Heydon, President  
Arianespace, Inc.

Joan Johnson-Freese, Associate Professor  
Department of National Security Studies  
Air War College

Jon B. Kutler, President  
Quarterdeck Investment Partners, Inc.

Ronald G. Peterson, Vice President &  
General Manager  
Space/Strategic Propulsion  
Hercules Aerospace Company

James D. Phillips, Director of  
Engineering Development [retired]  
Kennedy Space Center

Thomas F. Rogers, President  
Sophron Foundation

Jerome Simonoff  
Consultant

Larry N. Speight, Vice President  
Space and Strategic Systems  
Honeywell

Courtney A. Stadd, Managing Partner  
Global Technology Ventures

Peter B. Teers, President  
Information and Services Sector  
Lockheed Martin Corporation

David W. Thompson, President and CEO  
Orbital Sciences Corporation

Joseph P. Zimonis, Executive Vice  
President & General Manager  
USBI Company

[▲] Nuclear Safeguards and the  
International Atomic Energy Agency

James E. Goodby<sup>1</sup> [*Chairman through  
March 23, 1993*]  
Distinguished Service Professor  
Carnegie-Mellon University

James F. Leonard<sup>2</sup> [*Chairman since  
June 1, 1993*]  
Executive Director  
Washington Council on  
Non-Proliferation

George Anzelon, Associate Division  
Leader  
Lawrence Livermore National  
Laboratories

Will D. Carpenter  
Chemical Industry Consultant

Lewis A. Dunn, Assistant Vice President  
Science Applications International  
Corporation

Randall Forsberg, Executive Director  
Institute for Defense and  
Disarmament Studies

Thomas R. Fox, Director  
Office of National Security Technology  
Pacific Northwest Laboratories

Alan R. Goldhammer, Director of  
Technical Affairs  
Industrial Biotechnology Association

John M. Googin<sup>3</sup>, Senior Staff  
Consultant  
Martin Marietta Energy Systems, Inc.

Robert G. Gough, Senior Member  
Technical Staff  
Sandia National Laboratories

Elisa D. Harris<sup>4</sup>, Senior Research Analyst  
The Brookings Institution

Geoffrey Kemp, Senior Associate  
Carnegie Endowment for  
International Peace

Joshua Lederberg<sup>5</sup>, Professor  
Rockefeller University

John W. Lewis  
Center for International Security  
and Arms Control  
Stanford University

Lee W. Mercer, Corporate Export  
Manager  
Digital Equipment Corporation

Matthew S. Meselson  
Department of Biochemistry and  
Molecular Biology  
Harvard University

Stephen M. Meyer  
Center for International Studies  
Massachusetts Institute of Technology

Gary Milhollin, Director  
Wisconsin Project on Nuclear Arms  
Control

Marvin M. Miller, Senior Research  
Scientist  
Department of Nuclear Engineering  
Massachusetts Institute of Technology

Janne E. Nolan, Senior Fellow in  
Foreign Policy  
The Brookings Institution

William C. Potter, Director  
Center for Russian and Eurasian Studies  
Monterey Institute of International  
Studies

Barbara Hatch Rosenberg, Professor  
Division of Natural Sciences  
State University of New York at Purchase

Lawrence Scheinman<sup>6</sup>, Associate Director  
Peace Studies Program  
Cornell University

Leonard S. Spector, Senior Associate  
Carnegie Endowment for International  
Peace

Sergio C. Trindade, President  
SET International, Ltd.

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<sup>1</sup>Resigned March 22, 1993, to become  
Chief U.S. Negotiator for Safe and  
Secure Dismantlement of Nuclear  
Weapons.

<sup>2</sup>Panel member until June 1, 1993;  
panel chair after June 1, 1993.

<sup>3</sup>Deceased.

<sup>4</sup>Resigned January 29, 1993, to join  
National Security Council staff.

<sup>5</sup>Ex-officio; Member of Technology  
Assessment Advisory Council.

<sup>6</sup>Resigned August 13, 1993, to become  
Counselor for Nonproliferation in  
the U.S. Department of Energy.]

[workshops]

Assessing the Potential for Civil-  
Military Integration

The Effectiveness of Research and  
Experimentation Tax Credits

Fusion Energy Program: The Role of  
TPX and Alternate Concepts

Global Communications: Opportunities  
for Trade and Aid

Improving the Prospects for Success  
in Future International Peace  
Operations: Tactics, Technology,  
Training

International Partnerships in Large  
Science Projects

Issue Update on Information Security  
and Privacy in Network Environments

Other Approaches to Civil-Military  
Integration: The Chinese and  
Japanese Arms Industries

Reducing the Costs of Collecting  
Meteorological Data

U.S.-Russian Cooperation in Space



**HEALTH EDUCATION, AND  
ENVIRONMENT DIVISION**

*[Education and Human Resources Program]*

**[▲]Federal Technology Transfer and the  
Human Genome Project**

**LeRoy B. Walters [Chairperson]  
Center for Bioethics  
Kennedy Institute of Ethics  
Georgetown University**

**Charles Auffray, Project Director  
Genethon  
Evry, France**

**David Botstein, Professor  
Department of Genetics  
Stanford University Medical Center**

**Robert M. Cook-Deegan, Senior Program  
Officer  
National Academy of Sciences**

**Rebecca S. Eisenberg, Professor  
University of Michigan Law School**

**James F. Haley, Jr., Partner  
Fish and Neave**

**Marilyn Hartig  
Bristol-Myers Squibb**

**Max D. Hensley, Vice President for  
Intellectual Property  
Gilead Sciences, Inc.**

**Thomas D. Kiley  
Consultant**

**William A. Linton, III, President  
and Chairman  
Promega Corporation**

**Lita L. Nelsen, Director  
Technology Licensing Office  
Massachusetts Institute of Technology**

**Deborah Nickerson  
Department of Molecular Biotechnology  
University of Washington**

**David A.A. Owen, Director  
Industrial Collaboration & Licensing  
Medical Research Council  
London, United Kingdom**

**J. David Roessner, Professor  
School of Public Policy  
Georgia Institute of Technology**

**Joseph Straus  
Max Planck Institut for Foreign and  
International Patent, Copyright, and  
Competition Law  
Munich, Germany**

**J. Craig Venter, President and Director  
The Institute for Genomic Research**

**Teri F. Willey, Associate Director  
Purdue Research Foundation**

**Ronald G. Worton, Geneticist-in-Chief  
Hospital for Sick Children Toronto,  
Canada**

**[▲] Learning to Work: Making the  
Transition From School to Work**

**Edward Donley [Chairperson]  
Former Chairman  
Air Products and Chemicals, Inc.**

**Thomas Bailey, Director  
Institute on Education and the Economy  
Teachers College  
Columbia University**

**Sue E. Berryman, Senior Education  
Specialist  
The World Bank**

**David Finegold, Policy Analyst  
The RAND Corporation**

**Douglas Fraser, Professor  
Labor Studies  
Wayne State University**

**Daniel Gescheidle, President  
Educational Foundation**

**Thomas Kane, Visiting Fellow  
Economic Studies  
The Brookings Institution**

**Robert Klabenes, Provost  
Oklahoma State University-Okmulgee**

**Alan Lesgold, Professor of Psychology  
Learning Research and Development  
Center  
University of Pittsburgh**

**Paul Osterman, Professor  
Alfred P. Sloan School of Management  
Massachusetts Institute of Technology**

**Hilary Pennington, President and CEO  
Jobs for the Future**

**Hillard Pouncy  
Consultant**

**Marilyn Raby, Director  
Curriculum Services  
Sequoia Union High School District**

**Piedad Robertson, Superintendent and  
President  
Santa Monica Community College**

**Nan Skelton, Director  
Training and Youth Development  
Hubert Humphrey Institute**

**David Stern, Professor of Education and  
Executive Director  
National Center for Research in  
Vocational Education**

**Susan Stucky, Associate Director  
Institute for Research on Learning**

**Marina v.N. Whitman, Professor  
Institute for Public Policy Studies  
University of Michigan**

**Joan Wills, Director  
Center for WorkForce Development  
Institute for Educational Leadership**

**[▲] Teachers and Technology: Making the Connection**

**Allen Glenn [Chairperson]**  
Dean, College of Education  
University of Washington

**Milton Chen, Director**  
Center for Education and Lifelong Learning  
KQED

**Chris Cross, President**  
Council for Basic Education

**Molly Drake, Director**  
Alternate Teacher Preparation Program  
University of South Florida

**Lee Ehman, Professor of Education**  
Indiana University

**Geoffrey Fletcher, Interim Executive Deputy Commissioner**  
Curriculum, Assessment, and Professional Development  
Texas Education Agency

**Keith Huettig, Board of Directors**  
National School Boards Association

**Yolanda Jenkins, Education Specialist**  
Compaq Computers, Inc.

**Stanley Johnson, Science Teacher**  
Jefferson Junior High School

**Leslie Lemon Hunt, Second Grade Teacher**  
Beauvoir Elementary School

**Henry R. Marockie, Superintendent of Schools**  
West Virginia State Department of Education

**Argelio B. Perez**  
Education Consultant

**Dwight Prince, Principal**  
Robert E. Lee Elementary School

**Tom Snyder, President**  
Tom Snyder Productions

**Adam Urbanski, President**  
Rochester Teachers Association

**Valerie J. Wilford, Executive Director**  
Illinois Valley Library System

**Art Wise, President**  
National Council for Accreditation of Teacher Education

**Kristina Woolsey, Distinguished Scientist**  
Advanced Technology Group  
Apple Computer, Inc.

*[Environment Program]*

**[▲] Agriculture, Trade, and Environment: Achieving Complementary Policies**

**Alexander F. McCalla [Chairperson]**  
Director, Agriculture & Natural Resources Department  
The World Bank

Sandra Batic  
Elton R. Smith Professor of Food &  
Agricultural Policy  
Michigan State University

William L. Bryant, Chairman  
W.L. Bryant Company

Anne Chadwick, Trade Policy Advisor  
California Department of Food &  
Agriculture  
The Chadwick Company

John M. Duxbury, Director  
Agricultural Ecosystems Program  
Cornell University

Peter Emerson, Senior Economist  
Environmental Defense Fund

Dan Esty, Director  
Yale Center for Environmental Law &  
Policy

David Frederickson, President  
Minnesota Farmers Union

Stephen R. Gliessman, Director  
Department of Agroecology Program  
University of California

Ralph W.F. Hardy, President  
Boyce Thompson Institute

Robbin Johnson, Corporate Vice  
President  
Cargill, Inc.

Jack Laurie, President  
Michigan Farm Bureau

Kitty Reichelderfer Smith, Director  
of Policy Studies  
Henry A. Wallace Institute for Alternative  
Agriculture

Ann Veneman, Counsel  
Patton, Boggs, & Blow

Justin R. Ward, Senior Resource  
Specialist  
Natural Resources Defense Council

Cecil A. Watson  
Farmer

Pete Wenstrand, President  
National Corn Growers Assoc.

[▲]Aquaculture: Food and Renewable  
Resources from U.S. Waters

Don Abt  
Marine Biological Laboratory  
Oceanographic Institute  
Woods Hole

Jan Auyong, Project Manager  
Mar Res Associates

John Bardach, Senior Marine Advisor  
Environment and Policy Institute

Merry Camhi, Ecologist/Staff Scientist  
Audubon Society

John S. Corbin, Manager  
Aquaculture Development Program  
Honolulu, Hawaii

Mike Freeze, Vice President  
Keo Fish Farm, Inc.

Michael Hastings, Executive Director  
Maine Aquaculture Innovation Center

Timothy K. Hennessy, President  
Ekk Will Waterlife Resources

Bille Hougart, Vice President  
Oceanic Institute

Robert Hulbrock, Aquaculture  
Coordinator  
California Department of Fish and Game

Ann Kapuscinski, Associate Professor  
Sea Grant College Program  
University of Minnesota

Roy Martin, Executive Director  
National Aquaculture Council  
National Fisheries Institute

Joseph McCraren, Executive Director  
National Aquaculture Association

Ted McNulty, Aquaculture Coordinator  
Arkansas Development Finance Authority

David Ortman, Director  
North West Office  
Friends of the Earth

John Pitts  
Consultant

Bradley H. Powers, Director  
Aquaculture/Seafood Programs  
National Association of Aquaculture  
Coordinators

Robert R. Stickney, Professor  
School of Fisheries  
University of Washington

Hugh Warren, III, Executive Vice  
President  
Catfish Farmers of America

[▲]Biologically Based Technologies for  
Pest Control

Katherine Reichelderfer Smith  
[Chairperson]  
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Henry A. Wallace Institute for  
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Paul A. Backman, Professor and Director  
Biological Control Institute  
Department of Plant Pathology  
Auburn University

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Department of Entomology  
University of Massachusetts

Willard A. Dickerson, Plant Pest  
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Roger C. Funk, Vice President of  
Human & Technical Resources  
The Davey Tree Expert Company

Harry J. Griffiths, Chairman  
Entomological Services, Inc.

Judith A. Hansen, Superintendent  
Cape May County Mosquito  
Extermination Commission

Dennis L. Isaacson, Program Director  
Noxious Weed Control Section  
Oregon Department of Agriculture

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Conservation Science & Stewardship  
The Nature Conservancy

Gary H. Johnston  
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California Environmental Protection  
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University of Washington

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University of Arkansas

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David M. Whitacre, Vice President  
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[<sup>1</sup>Until February 1995.

<sup>2</sup>From April 1995.

<sup>3</sup>From June 1995.

<sup>4</sup>Until March 1995.]

[▲] Environmental Policy Tools:  
A User's Guide

Richard N.L. Andrews, Professor  
and Director  
Environmental Management  
and Policy Program  
Department of Environmental  
Sciences & Engineering  
University of North Carolina,  
Chapel Hill

Donald A. Deieso, President and CEO  
Metcalf & Eddy, Inc.

E. Donald Elliott, Chairman  
Environmental Law and Litigation  
Yale Law School

Deoohn Ferris  
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Office of Water Management  
Department of Environmental Resources  
State of Pennsylvania

Joseph Goffman, Senior Attorney  
Environmental Defense Fund

Daniel S. Greenbaum, President  
Health Effects Institute

Linda E. Greer, Senior Scientist  
Natural Resources Defense Council

F. Henry Habicht, II, Senior Vice  
President  
Strategic/Environmental Planning  
Safety-Kleen Corporation

Randy Johnson, Commissioner  
Board of Hennepin County  
Commissioners

Howard A. Latin, Professor of Law &  
Justice  
John J. Francis Scholar  
Rutgers University School of Law

Lester B. Lave  
James H. Higgins Professor  
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Graduate School of Industrial  
Administration  
Carnegie-Mellon University

Pat Leyden, Deputy Executive Officer  
Stationary Source Compliance  
South Coast Air Quality Management  
District

Steven B. Lovejoy, Professor  
Department of Agricultural Economics  
Purdue University

Warren R. Muir, President  
Hampshire Research Associates, Inc.

Helen Petrauskas, Vice President  
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Ford Motor Company

Ernest S. Rosenberg, Director  
External Affairs & Compliance Support  
Health, Environment, and Safety  
Occidental Petroleum Corporation

[▲]Fish Passage Technologies: Protection  
at Hydropower Facilities

Don Kash [*Chairperson*]  
John T. Hazel Sr. & Ruth D. Hazel  
Chair of Public Policy  
The Institute of Public Policy  
George Mason University

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Environmental Affairs  
Penobscot Indian Nation

Tom Bowes, Director of Hydro  
Operations  
Consumers Power

Paul Brouha, Executive Director  
American Fisheries Society

Glenn Cada, Aquatic Ecologist  
Oak Ridge National Laboratory

Tom Carlson, Lead Scientist  
Pacific Northwest Laboratory

George Eicher, President  
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Christopher Estes  
Statewide Instream Flow Coordinator  
Alaska Department of Fish and Game

John Hall  
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[retired]

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Trout Unlimited

Chris Katopodis, Habitat Management  
Engineer  
Freshwater Institute

Julie Keil, Director  
Hydro Licensing  
Portland General Electric Company

Dale Kelley, Executive Director  
Alaska Trollers Association

Jack Mattice, Senior Project Manager  
Electric Power Research Institute

C. Paul Ruggles  
Fisheries & Environmental Consultant

Jerry Sabattis, Program Coordinator  
Hydro-Licensing  
Niagara Mohawk Power Corporation



Ted Strong, Director  
InterTribal Fish Commission

Ned Taft, Program Manager  
Environmental Services  
Alden Research Laboratory, Inc.

Gary Whelan, FERC Project Coordinator  
Michigan Department of Natural  
Resources

Ron Wilson  
Attorney

[▲] Gauging Control Technology and  
Regulatory Impacts in Occupational  
Safety and Health: An Appraisal of  
OSHA's Analytic Approach

John Froines [*Chairperson*]  
Professor  
Center for Occupational &  
Environmental Health  
University of California

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Center for Technology, Policy &  
Industrial Development  
Massachusetts Institute of Technology

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The Brookings Institution

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Department of Economics  
University of California

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Employment Policy Foundation

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Fibres Division  
Carborundum Company

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School of Public & International Affairs  
University of Pittsburgh

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University of North Carolina

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AFL-CIO

Barbara Silverstein<sup>1</sup>, Director of Research  
Department of Labor and Industries  
State of Washington

James Smith  
Chief Economist *[retired]*  
United Steel Workers of America

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[<sup>1</sup>Resigned December 1993 upon  
accepting federal service  
appointment.]

[▲]Nuclear Waste in the Arctic: An  
Analysis of Arctic and Other  
Regional Impacts from Soviet Nuclear  
Contamination

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Professor of Technology and  
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The Scientific Research Society

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Susan Eisenhower, Director  
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Paula Garb, Researcher  
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Marvin Goldman, Professor Emeritus  
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Joshua Handler, Research Coordinator  
Greenpeace International

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Pacific Northwest Laboratory

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[*Health Program*]

[▲] Effectiveness and Costs of  
Osteoporosis Screening and Hormone  
Replacement Therapy

Robert P. Heaney  
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Barbara L. Drinkwater, Research  
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Center for Metabolic Bone Disorders  
Providence Medical Center

Robert Marcus, Director  
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Lee Joseph Melton, III, Head  
Clinical Epidemiology  
Department of Health Sciences Research  
Mayo Clinic

Gregory D. Miller, Vice President  
Nutrition Research/Technical Services  
National Dairy Council

Morris Notelovitz, President & Medical  
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Women's Medical & Diagnostic Center &  
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Diana B. Petitti, Director  
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Kaiser Permanente  
Southern California Permanente  
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Geriatrics  
Brigham and Women's Hospital

Gideon A. Rodan, Executive Director  
Department of Bone Biology  
Merck, Sharp & Dohme Research

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Physical Medicine and Rehabilitation  
Mayo Medical School

Milton C. Weinstein  
Henry J. Kaiser Professor  
Health Policy and Management  
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[▲] Hospital Financing in Seven  
Countries

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Blue Cross and Blue Shield Association

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Annetine Gelijns  
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Health Affairs

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[▲] Impacts of Antibiotic-Resistant  
Bacteria

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Anti-Infective Assessment  
SmithKline Beechman Animal Health

Barry Eisenstein, Vice President  
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Biomolecular Screening

Winston Frederick  
Infectious Disease Research  
Howard University Hospital

Joshua Lederberg, Professor  
The Rockefeller University

Stephen Lerner, Professor of Medicine  
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Medicine Harper Hospital/Division of  
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Lone Simonsen  
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Alexander Tomasz, Professor & Head  
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The Rockefeller University

Richard Wenzel, Associate Chairman  
Department of Internal Medicine  
The University of Iowa

Craig Townsend, Chairman  
Department of Chemistry  
The Johns Hopkins University

Michael Zasloff, President  
Magainin Research Institute

[workshops]

Adverse Reactions to HIV Vaccine:  
Medical, Ethical, and Legal Issues

Education and Technology:  
Future Visions

Impact of Health Reform on Rural  
Areas: Lessons from the States

Risks to Students in School

Screening and Testing Chemicals in  
Commerce

n a r r a t i v e

*of the Office of Technology Assessment Act*

PUBLIC LAW 92-484. 92d CONGRESS, H.R. 10243. OCTOBER 13, 1972.  
AN ACT

*[To establish an Office of Technology Assessment for the Congress as an aid in the identification and consideration of existing and probable impacts of technological application; to amend the National Science Foundation Act of 1950; and for other purposes. Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, That this Act may be cited as the "Technology Assessment Act of 1972."]*

[Findings and Declaration of Purpose]

SEC. 2 The Congress hereby finds and declares that:

[a] As technology continues to change and expand rapidly, its applications are—

1. large and growing in scale; and
2. increasingly extensive, pervasive, and critical in their impact, beneficial and adverse, on the natural and social environment.

[b] Therefore, it is essential that, to the fullest extent possible, the consequences of technological applications be anticipated, understood, and considered in determination of public policy on existing and emerging national problems.

[c] The Congress further finds that:

1. the Federal agencies presently responsible directly to the Congress are not designed to provide the legislative branch with adequate and timely information, independently developed, relating to the potential impact of technological applications, and
2. the present mechanisms of the Congress do not and are not designed to provide the legislative branch with such information.

[d] Accordingly, it is necessary for the Congress to—

1. equip itself with new and effective means for securing competent,

- unbiased information concerning the physical, biological, economic, social, and political effects of such applications; and
2. utilize this information, whenever appropriate, as one factor in the legislative assessment of matters pending before the Congress, particularly in those instances where the Federal Government may be called upon to consider support for, or management or regulation of, technological applications.

[Establishment of the Office of Technology Assessment]

SEC. 3

- (a) In accordance with the findings and declaration of purpose in section 2, there is hereby created the Office of Technology Assessment [hereinafter referred to as the "Office"] which shall be within and responsible to the legislative branch of the Government.
- (b) The Office shall consist of a Technology Assessment Board [hereinafter referred to as the "Board"] which shall formulate and promulgate the policies of the Office, and a Director who shall carry out such policies and administer the operations of the Office.
- (c) The basic function of the Office shall be to provide early indications of the probable beneficial and adverse impacts of the applications of technology and to develop other coordinate information which may assist the Congress. In carrying out such function, the Office shall:
  1. identify existing or probable impacts of technology or technological programs;
  2. where possible, ascertain cause-and-effect relationships;
  3. identify alternative technological methods of implementing specific programs;



4. identify alternative programs for achieving requisite goals;
  5. make estimates and comparisons of the impacts of alternative methods and programs;
  6. present findings of completed analyses to the appropriate legislative authorities;
  7. identify areas where additional research or data collection is required to provide adequate support for the assessments and estimates described in paragraph 1 through 5 of this subsection; and
  8. undertake such additional associated activities as the appropriate authorities specified under subsection (d) may direct.
- (d) Assessment activities undertaken by the Office may be initiated upon the request of:
1. the chairman of any standing, special, or select committee of either House of the Congress, or of any joint committee of the Congress, acting for himself or at the request of the ranking minority member or a majority of the committee members;
  2. the Board; or
  3. the Director, in consultation with the Board.
- (e) Assessments made by the Office, including information, surveys, studies, reports, and findings related thereto, shall be made available to the initiating committee or other appropriate committees of the Congress. In addition, any such information, surveys, studies, reports, and findings produced by the Office may be made available to the public except where—
1. to do so would violate security statutes; or
  2. the Board considers it necessary or advisable to withhold such information in accordance with one or more of the numbered paragraphs in section 552(b) of title 5, United States Code.

**[Technology Assessment Board]**

**SEC. 4**

**(a) The Board shall consist of thirteen members as follows:**

- 1. six Members of the Senate, appointed by the President pro tempore of the Senate, three from the majority party and three from the minority party;**
- 2. six Members of the House of Representatives appointed by the Speaker of the House of Representatives, three from the majority party and three from the minority party; and**
- 3. the Director, who shall not be a voting member.**

**(b) Vacancies in the membership of the Board shall not affect the power of the remaining members to execute the functions of the Board and shall be filled in the same manner as in the case of the original appointment.**

**(c) The Board shall select a chairman and a vice chairman from among its members at the beginning of each Congress. The vice chairman shall act in the place and stead of the chairman in the absence of the chairman. The chairmanship and the vice chairmanship shall alternate between the Senate and the House of Representatives with each Congress. The chairman during each even-numbered Congress shall be selected by the Members of the House of Representatives on the Board from among their number. The vice chairman during each Congress shall be chosen in the same manner from that House of Congress other than the House of Congress of which the chairman is a Member.**

**(d) The Board is authorized to sit and act at such places and times during the sessions, recesses, and adjourned periods of Congress, and upon a vote of a majority of its members, to require by subpoena or**

otherwise the attendance of such witnesses and the production of such books, papers, and documents, to administer such oaths and affirmations, to take such testimony, to procure such printing and binding, and to make such expenditures, as it deems advisable. The Board may make such rules respecting its organization and procedures as it deems necessary, except that no recommendation shall be reported from the Board unless a majority of the Board assent. Subpoenas may be issued over the signature of the chairman of the Board or of any voting member designated by him or by the Board, and may be served by such person or persons as may be designated by such chairman or member. The chairman of the Board or any voting member thereof may administer oaths or affirmations to witnesses.

(Director and Deputy Director)

SEC. 5

- (a) The Director of the Office of Technology Assessment shall be appointed by the Board and shall serve for a term of six years unless sooner removed by the Board. He shall receive basic pay at the rate provided for level III of the Executive Schedule under section 5314 of title 5, United States Code.
- (b) In addition to the powers and duties vested in him by this Act, the Director shall exercise such powers and duties as may be delegated to him by the Board.
- (c) The Director may appoint with the approval of the Board, a Deputy Director who shall perform such functions as the Director may prescribe and who shall be Acting Director during the absence or incapacity of the Director or in the event of a vacancy in the office of Director. The Deputy Director shall receive basic pay

at the rate provided for level IV of the Executive Schedule under section 5315 of title 5, United States Code.

- (d) Neither the Director nor the Deputy Director shall engage in any other business, vocation, or employment than that of serving as such Director or Deputy Director, as the case may be; nor shall the Director or Deputy Director, except with the approval of the Board, hold any office in, or act in any capacity for, any organization, agency, or institution with which the Office makes any contract or other arrangement under this Act.

(Authority of the Office)

**SEC. 6**

- (a) The Office shall have the authority, within the limits of available appropriations, to do all things necessary to carry out the provisions of this Act, including, but without being limited to, the authority to-
1. make full use of competent personnel and organizations outside the Office, public or private, and form special ad hoc task forces or make other arrangements when appropriate;
  2. enter into contracts or other arrangements as may be necessary for the conduct of the work of the Office with any agency or instrumentality of the United States, with any State, territory, or possession or any political subdivision thereof, or with any person, firm, association, corporation, or educational institution, with or without reimbursement, without performance or other bonds, and without regard to section 3709 of the Revised Statutes [41 U.S.C. 5];
  3. make advance, progress, and other payments which relate to technology assessment without regard to the provisions of section 3648 of the Revised Statutes [31 U.S.C. 529];

4. accept and utilize the services of voluntary and uncompensated personnel necessary for the conduct of the work of the Office and provide transportation and subsistence as authorized by section 5703 of title 5, United States Code, for persons serving without compensation;
  5. acquire by purchase, lease, loan, or gift, and hold and dispose of by sale, lease, or loan, real and personal property of all kinds necessary for or resulting from the exercise of authority granted by this Act; and
  6. prescribe such rules and regulations as it deems necessary governing the operation and organization of the Office.
- (b) Contractors and other parties entering into contracts and other arrangements under this section which involve costs to the Government shall maintain such books and related records as will facilitate an effective audit in such detail and in such manner as shall be prescribed by the Office, and such books and records (and related documents and papers) shall be available to the Office and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination.
- (c) The Office, in carrying out the provisions of this Act, shall not, itself, operate any laboratories, pilot plants, or test facilities.
- (d) The Office is authorized to secure directly from any executive department or agency information, suggestions, estimates, statistics, and technical assistance for the purpose of carrying out its functions under this Act. Each such executive department or agency shall furnish the information, suggestions, estimates, statistics, and technical assistance directly to the Office upon its request.

- (e) On request of the Office, the head of any executive department or agency may detail, with or without reimbursement, any of its personnel to assist the Office in carrying out its functions under this Act.
- (f) The Director shall, in accordance with such policies as the Board shall prescribe, appoint and fix the compensation of such personnel as may be necessary to carry out the provisions of this Act.

**(Establishment of the Technology Assessment Advisory Council)**

**SEC. 7**

- (a) The Office shall establish a Technology Assessment Advisory Council (hereinafter referred to as the "Council"). The Council shall be composed of the following twelve members:
  - 1. ten members from the public, to be appointed by the Board, who shall be persons eminent in one or more fields of the physical, biological, or social sciences or engineering or experienced in the administration of technological activities, or who may be judged qualified on the basis of contributions made to educational or public activities;
  - 2. the Comptroller General; and
  - 3. the Director of the Congressional Research Service of the Library of Congress.
- (b) The Council, upon request by the Board, shall—
  - 1. review and make recommendations to the Board on activities undertaken by the Office or on the initiation thereof in accordance with section 3(d);
  - 2. review and make recommendations to the Board on the findings of any assessment made by or for the Office; and
  - 3. undertake such additional related tasks as the Board may direct.
- (c) The Council, by majority vote, shall elect from its members appointed under subsection (a)(1) of this section a Chairman and a Vice Chairman, who shall serve for such time and under such conditions as

the Council may prescribe. In the absence of the Chairman, or in the event of his incapacity, the Vice Chairman shall act as Chairman.

(d) The term of office of each member of the Council appointed under subsection (a)(1) shall be four years except that any such member appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed for the remainder of such term. No person shall be appointed a member of the Council under subsection (a)(1) more than twice. Terms of the members appointed under subsection (a)(1) shall be staggered so as to establish a rotating membership according to such method as the Board may devise.

(e)

1. The members of the Council other than those appointed under subsection (a)(1) shall receive no pay for their services as members of the Council, but shall be allowed necessary travel expenses (or, in the alternative, mileage for use of privately owned vehicles and per diem in lieu of subsistence at not to exceed the rate prescribed in sections 5702 and 5704 of title 5, United States Code), and other necessary expenses incurred by them in the performance of duties vested in the Council, without regard to the provisions of subchapter 1 of chapter 57 and section 5731 of title 5, United States Code, and regulations promulgated thereunder.
2. The members of the Council appointed under subsection (a)(1) shall receive compensation for each day engaged in the actual performance of duties vested in the Council at rates of pay not in excess of the daily equivalent of the highest rate of basic pay set forth in the General Schedule of section 5332(a) of title 5, United States Code, and in addition shall be reimbursed for travel, subsistence, and other necessary expenses in the manner provided for other members of the Council under paragraph (1) of this subsection.

**(Utilization of the Library of Congress)**

**SEC. 8**

- (a) To carry out the objectives of this Act, the Librarian of Congress is authorized to make available to the Office such services and assistance of the Congressional Research Service as may be appropriate and feasible.**
- (b) Such services and assistance made available to the Office shall include, but not be limited to, all of the services and assistance which the Congressional Research Service is otherwise authorized to provide to the Congress.**
- (c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the Congressional Research Service under law performs for or on behalf of the Congress. The Librarian is, however, authorized to establish within the Congressional Research Service such additional divisions, groups, or other organizational entities as may be necessary to carry out the purpose of this Act.**
- (d) Services and assistance made available to the Office by the Congressional Research Service in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Librarian of Congress.**

**(Utilization of the General Accounting Office)**

**SEC. 9**

- (a) Financial and administrative services (including those related to budgeting, accounting, financial reporting, personnel, and procurement) and such other services as may be appropriate shall be provided the Office by the General Accounting Office.**



- (b) Such services and assistance to the Office shall include, but not be limited to, all of the services and assistance which the General Accounting Office is otherwise authorized to provide to the Congress.
- (c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the General Accounting Office under law performs for or on behalf of the Congress.
- (d) Services and assistance made available to the Office by the General Accounting Office in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Comptroller General.

[Coordination With the National Science Foundation]

SEC. 10

- (a) The Office shall maintain a continuing liaison with the National Science Foundation with respect to—
  1. grants and contracts formulated or activated by the Foundation which are for purposes of technology assessment; and
  2. the promotion of coordination in areas of technology assessment, and the avoidance of unnecessary duplication or overlapping of research activities in the development of technology assessment techniques and programs.
- (b) Section 3(b) of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1862(b)), is amended to read as follows:
  - “(b) The Foundation is authorized to initiate and support specific scientific activities in connection with matters relating to international cooperation, national security, and the effects of scientific applications upon society by making contracts or other arrangements (including grants, loans, and other forms of assistance)

for the conduct of such activities. When initiated or supported pursuant to requests made by any other Federal department or agency, including the Office of Technology Assessment, such activities shall be financed whenever feasible from funds transferred to the Foundation by the requesting official as provided in section 14(g), and any such activities shall be unclassified and shall be identified by the Foundation as being undertaken at the request of the appropriate official."

[Annual Report]

SEC. 11

The Office shall submit to the Congress an annual report which shall include, but not be limited to, an evaluation of technology assessment techniques and identification, insofar as may be feasible, of technological areas and programs requiring future analysis. Such report shall be submitted not later than March 15 of each year.

[Appropriations]

SEC. 12

- [a] To enable the Office to carry out its powers and duties, there is hereby authorized to be appropriated to the Office, out of any money in the Treasury not otherwise appropriated, not to exceed \$5,000,000 in the aggregate for the two fiscal years ending June 30, 1973, and June 30, 1974, and thereafter such sums as may be necessary.
- [b] Appropriations made pursuant to the authority provided in subsection [a] shall remain available for obligation, for expenditure, or for obligation and expenditure for such period or periods as may be specified in the Act making such appropriations.

**[Approved October 13, 1972.]**

**[Legislative History]**

**House Reports-**

**No. 92-469 [Comm. on Science and Astronautics] and  
No. 92-1436 [Comm. of Conference].**

**Senate Report-**

**No. 92-1123 [Comm. on Rules and Administration].**

**Congressional Record, Vol. 118 (1972)-**

**February 8, considered and passed House.**

**September 14, considered and passed Senate, amended.**

**September 22, Senate agreed to conference report.**

**October 4, House agreed to conference report.**

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