# Temperature dependent evolution of the electronic and local atomic structure in the cubic colossal magnetoresistive manganite $La_{1-x}Sr_xMnO_3$

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## ABSTRACT

We have studied the temperature-dependent evolution of the electronic and local atomic structure in the cubic colossal magnetoresistive manganite $La_{1-x}Sr_xMnO_3$ (x= 0.3 – 0.4) with core and valence level photoemission (PE), x-ray absorption specroscopy (XAS), x-ray emission spectroscopy (XES), resonant inelastic x-ray scattering (RIXS), extended x-ray absorption fine structure (EXAFS) spectroscopy and magnetometry.  As the temperature is varied across the Curie temperature $T_C$, our PE experiments reveal a dramatic change of the electronic structure involving an increase in the Mn spin moment from $\approx 3$ $\mu_B$ to $\approx$ 4 $\mu_B$, and a modification of the local chemical environment of the other constituent atoms indicative of electron localization on the Mn atom.  These effects are reversible and exhibit a slow-timescale $\approx 200$ K-wide hysteresis centered at $T_C$.  Based upon the probing depths accessed in our PE measurements, these effects seem to survive for at least 35-50 Å inward from the surface, while other consistent signatures for this modification of the electronic structure are revealed by more bulk sensitive spectroscopies like XAS and XES/RIXS.  We interpret these effects as spectroscopic fingerprints for polaron formation, consistent with the presence of local Jahn-Teller distortions of the $MnO_6$ octahedra around the Mn atom, as revealed by the EXAFS data.  Magnetic susceptibility measurements in addition show typical signatures of ferro-magnetic clusters formation well above the Curie temperature.

# INTRODUCTION

Hole-doped manganese oxides with the perovskite structure and formula unit $R_{1-x}A_xMnO_3$ (where R and A denote a trivalent rare-earth and a divalent atom respectively), have received a great deal of attention because of their unusual electronic and magnetic properties [1,2,3,4,5]. These materials are termed *colossal magnetoresistive* (CMR) since they exhibit a negative magnetoresistance (CMR effect) in which the relative drop of the resistivity upon application of a magnetic field can be orders of magnitude greater than that achieved for example in magnetic multilayer structures of the giant magnetoresistive (GMR) type [6]. The large CMR effect and the half-metallic ferromagnet nature [7,8,9] of their electronic structure make the CMR materials suitable for applications such as magnetic sensors, magnetic storage devices and spin injectors, and model devices based on heterostructures with tailored magnetic and transport properties involving CMR thin films are currently under study [10].

Besides these technological applications, the CMR oxides have been intensively studied in the past few years because of the possibility of accessing different crystallographic and electronic phases by experimentally controlling external parameters like, for example, temperature, pressure, and doping concentration. This possibility allows testing various theoretical models for the description of strongly correlated electron systems, of which the CMR oxides are a prototypical example. A proper description of the physics of the CMR oxides requires a detailed understanding of the interplay between different degrees of freedom like charge, spin, lattice and orbital orientation.

In spite of much study, the CMR oxides are still not fully understood, and pose continuing theoretical and experimental challenges. The long-standing double exchange (DE) model provides a qualitatively correct description of the CMR effect and is commonly adopted as the main ingredient to explain the physics of the manganites [11]. However, it has been pointed out that the DE model alone cannot quantitatively account for the large magnetoresistance observed [12,13]. Several theoretical and experimental results suggest that more complex, although not necessarily mutually exclusive, mechanisms such as short-range-ordered Jahn-Teller distortions (JTD) in the local environment of the magnetic atom, with resulting electron localization and polaron formation [12,13,14,15,16], charge and orbital ordering [17,18] and phase separation [5,19,20] are needed to quantitatively explain the properties of these materials.

Since the CMR effect exploits a magnetic phase transition between a low-temperature ferromagnetic (FM) state and a high-temperature paramagnetic (PM) state, a correct understanding of the electronic structure in the FM and PM states and its temperature-dependent evolution above the Curie temperature $T_C$ at which long-range magnetic order disappears is of paramount importance for a correct understanding of the physics in CMR manganites. In order to provide a more complete description of the electronic, magnetic and local atomic structure of a CMR material across the ferromagnetic-paramagnetic phase boundary, we have applied temperature-dependent core and valence level photoemission (PE), x-ray absorption specroscopy (XAS), x-ray emission spectroscopy (XES) and its close relative resonant inelastic x-ray scattering (RIXS), extended x-ray absorption fine structure (EXAFS) spectroscopy and magnetometry to the $La_{1-x}Sr_xMnO_3$ system (LSMO, with x = 0.3, 0.4). A brief description of some of this data has appeared previously [21].

Although much-studied as a prototypical CMR material, LSMO is still a rather controversial system. On the one hand, LSMO is commonly referred to as a "canonical" DE system, that is, capable of description by the DE model alone, since it shows the largest one-electron bandwidth and consequently is expected to be less affected by electron-lattice coupling and Coulomb correlation effects [1,4,22,23,24,25]. On the other hand, based on the observation of a splitting of the Mn-O peak into two distinct peaks in a pair distribution function (PDF) analysis of neutron data [16,26,27], it has been suggested that JTDs are present in LSMO. However, other authors who have investigated LSMO with neutron scattering have

recently questioned these prior results, so that unanimous agreement is lacking concerning the local atomic structure in LSMO [28,29].

The data that we will present here indicate a dramatic change of the electronic structure in LSMO as temperature is increased through $T_C$. As revealed by the PE measurements, on crossing $T_C$ the Mn spin moment increases from $\approx 3$ $\mu_B$ to $\approx 4$ $\mu_B$, while the binding energy of the O, Sr and La atoms increases, suggesting the presence of electron localization on the Mn atom. These effects, which are reversible and exhibit a slow-timescale $\approx 200$ K-wide hysteresis centered at $T_C$, seem to survive for at least 35-50 Å inward from the surface. Other signatures of this profound modification of the electronic structure are revealed by more bulk sensitive spectroscopies like EXAFS, XAS and XES/RIXS. In particular, the EXAFS measurements indicate the presence of local JTDs of the constituent $MnO_6$ octahedra around the Mn atom above $T_C$, while the XAS data show a correlation between the presence of JTDs and the absence of the splitting in the pre-edge region. While our spectroscopy data are indicative of polaron formation, the magnetic susceptibility measurements further show typical signatures of ferromagnetic clusters forming above $T_C$ and beginning at a temperature $T^* \cong 1.3$ $T_C$.

## EXPERIMENTAL

The phase diagram of the LSMO compounds is shown in Fig. 1. For x = 0.3 and 0.4, LSMO is in a metallic state and a rhombohedral crystallographic phase for the full temperature range accessed by our measurements ($110 \leq T \leq 600$ K), as indicated by the vertical bars. A phase transition from a ferromagnetic metallic (FM) to a paramagnetic metallic state (PM) occurs as the temperature is raised above the Curie temperature $T_C \approx 365$ K [30,31,32]. For all measurements, we used high-quality single crystals grown by the floating-zone method, with details on growth conditions and characterization of the samples being reported elsewhere [31].

The PS, XAS and XES data have been taken with the Multi-Technique Advanced Photoelectron Spectrometer/Diffractometer endstation [33] located on the elliptically-polarized undulator beamline 4.0.2 (at the Berkeley Advanced Light Source), which provided photons in the energy range 85 – 1400 eV [34]. The PS, XAS and XES spectra have been measured on single crystals which have been fractured at room temperature *in situ* at base pressures better than $2 \times 10^{-10}$ torr, with the average surface plane being perpendicular to the [211] direction as identified by Laue diffraction. The exposed surfaces are crystalline, as verified by x-ray photoelectron diffraction [35], but not atomically flat due to the absence of cleavage planes that are found in the closely related layered manganites [1,5]. We choose this approach in order to avoid possible deviations from the ideal stoichiometry introduced by more conventional surface preparation methods such as thin-film deposition and/or sputtering/annealing cycles [36] which, however carefully done, can alter the surface composition and atomic structure.

The PE, XAS and XES spectra have been excited with linearly p-polarized light. A Scienta ES200 electron analyzer was used to record the PE and XAS spectra, with the latter measured in the electron yield mode by collecting secondary electrons of $\approx 100$ eV kinetic energy. The analyzer was fully corrected for detector non-linearity effects according to a procedure described elsewhere [37]. In order to maximize the probing depth, the spectra were collected in normal emission, i.e. with the electron analyzer set perpendicular with respect to the sample surface. The XES spectra have been collected using a Scienta XES 300 grating spectrometer. The same spectrometer was used to record additional O K-edge XAS spectra in the more bulk sensitive fluorescent yield mode by integrating the x-ray emission signal of the O $2p \rightarrow$ O 1s transition. Additional information regarding the experimental geometries is shown in Fig. 2.

Bulk-sensitive EXAFS measurements were carried out on a polished single-crystal LSMO surface and collected at the Stanford Synchrotron Radiation Laboratory (SSRL) on BL 4-1 with a 4-pixel Ge fluorescence detector [38]. Fitting methods and most other experimental details are the same as in Ref. [39], except that a self-absorption correction was applied and a third-cumulant was included in the fit.

Magnetic susceptibility was measured using a Quantum Design Magnetic Properties Measurement System (MPMS) with a high temperature oven insert. The sample was contained in an evacuated quartz tube, and held in place with a small amount of quartz wool. The data are corrected for the magnetization of the container. The magnetic field was set to 100 kOe.


## RESULTS AND DISCUSSION

### Photoemission spectra – general phenomenology

An overall photoelectron spectrum from a cleaved surface of $La_{0.7}Sr_{0.3}MnO_3$ is shown in Fig. 3, with various spectral features labeled. The inset shows a higher-resolution spectrum extending from the Fermi level to a binding energy (BE) of about 95 eV. The spectra recorded in our measurements include both the valence-band (VB) region and various core levels from Mn, O, La and Sr atoms. The core spectra provide an element-specific look at composition, as well as electronic and magnetic states, and further allow monitoring the individual responses to temperature variations of all of the constituent atomic species.

In particular, we have focused on the temperature dependence of the Mn 3s core level spectra, in order to provide information on magnetic states. Such spectra exhibit the expected doublet due to a multiplet splitting of the binding energy (BE), a well-known effect in transition metals which can sensitively probe the local spin moment of magnetic atoms [40,41,42], and which has recently been analyzed for several CMR materials, although with lower energy resolution than in the present data [43,44]. Upon emitting an electron from the 3s core level, two final states for the Mn ion are possible, depending upon the coupling of the remaining 3s electron with the electrons in the unfilled 3d shell. Such multiplets, which thus arise from the exchange coupling of the core remaining 3s electron with the net valence spin $S_v$ of the emitter, provide a source of spin-polarized photoelectrons, with the spin-polarization being referenced to the emitter magnetic moment, and not to any laboratory frame [45]; the dominant polarizations are indicated at the bottom of Fig. 4a. The multiplet energy separation $\Delta E_{3s}$ can be qualitatively estimated from $\Delta E_{3s} = (2S_v + 1)J^{eff}_{3s-3d}$, where $J^{eff}_{3s-3d}$ denotes the effective exchange integral between the 3s and the 3d shells, after allowing for final-state intra-shell correlation effects [41,42,46]. The multiplet energy separation therefore permits estimating the local magnetic moment or, in other words, the net spin of the emitter atom. This simple exchange-splitting interpretation of the 3s spectra in transition metal oxides is no longer complete as the number of electrons increases (as in the case of Cu and Ni oxides) and/or as the electronegativity of the ligand decreases, in which case charge transfer final-state screening effects become important and can lead to additional spectral structures. Nonetheless, the Mn 3s spectra of Mn oxides have been found in prior work to be dominated by exchange effects, with charge transfer satellite structures possibly being present but with low spectral weight [41,42].

In mixed valence manganites with formula unit $R_{1-x}A_xMnO_3$, according to the simplest ionic model, the valencies of O, La and Sr atoms are –2, +3 and +2, respectively, so as to yield by electron counting an average valency for the Mn atom of $(1-x) \times Mn^{3+} + x \times Mn^{4+} = Mn^{(3+x)+}$. If all of the Mn $e_g$ electrons were localized, the Mn 3s spectra for x = 0.3 can in principle originate from either a linear combination of two doublets corresponding to 30% $Mn^{+4}$ (S = 3/2) and 70% $Mn^{3+}$ (S = 2), namely two doublets whose separations amount to $\Delta E_{3s}^{4+} = 4\ J^{eff}_{3s,3d}$ and $\Delta E_{3s}^{3+} = 5\ J^{eff}_{3s,3d}$, or from an average between the $Mn^{3+}$ and $Mn^{4+}$

spins, with a net valence spin $S_v$ of the emitter (Mn in this case) given by $S_v = \frac{1}{2}(4-x)$, thus yielding $\Delta E_{3s} \approx [5-x]\, J^{eff}_{3s,3d}$ or $4.7\, J^{eff}_{3s,3d}$ for our example. In either case, the Mn 3s multiplet is thus expected to be sensitive to changes in the relative numbers of $Mn^{3+}$ and $Mn^{4+}$ species that are thought to be present in these materials via the dependence of the peak separation $\Delta E_{3s}$ on the hole concentration $x$, as actually confirmed by prior results from our and other groups [43,44].

In Fig. 4 we show a set of temperature-dependent core and valence photoemission spectra obtained in a single temperature scan on a sample of composition $La_{0.7}Sr_{0.3}MnO_3$ ($x = 0.3$). The photon energy was set to 212.5 eV for the Mn3s spectra (Fig. 4a) and the high-lying core and valence band spectra (Fig. 4c), and 652 eV for the O1s spectra (Fig. 4b), such that the O 1s photoelectrons have very nearly the same kinetic energy (and thus escape depth) as the Mn 3s electrons. The temperature range was 110 K – 500 K, with a step of approximately 20 K. A full scan from low to high temperature and back again took about 24 hours, with approximately equal time intervals for each step. In some experiments, more time (ca. 3 hours) was spent at the maximum temperature, to permit doing survey XPS scans, and taking XAS, XES and RIXS data, but the sample was observed in all scans to be very stable at this temperature.

The Mn3s splitting in Fig. 4a changes markedly, from 4.50 to 5.55 eV, as the temperature is raised from $T_C$ to a higher "saturation" temperature $T_{SAT} \approx 470$ K beyond which no change is observed. On cooling the sample in the same stepwise fashion, this splitting tends to return to its original value, but with a $\approx 200$ K –wide hysteresis (Fig. 5a). It was furthermore not possible to fit the Mn 3s spectra with a composition-weighted linear combination of two doublets corresponding to 30% $Mn^{4+}$ ($S = 3/2$) and 70% $Mn^{3+}$ ($S = 2$), namely two doublets whose separations amount to $\Delta E_{3s}^{4+} = 4\, J^{eff}_{3s,3d} = 4.4$ eV and $\Delta E_{3s}^{3+} = 5\, J^{eff}_{3s,3d} = 5.5$ eV, where $J^{eff}_{3s,3d} \approx 1.1$ eV as indicated by prior results from our and other groups for a range of inorganic Mn compounds [41,42,44,47]. On the contrary, the Mn 3s multiplets appear to mainly originate from an average between the $Mn^{3+}$ and $Mn^{4+}$ spins, thus yielding $\Delta E_{3s} \approx [5-x]\, J^{eff}_{3s,3d}$. This relationship between the multiplet separation and doping level x is generally well obeyed in the manganites [43,44]. When x = 0.3, the value of the Mn 3s splitting is thus given by $\Delta E_{3s} = 5.17$ eV, within the range of measured values. Interestingly, in a prior study of Mn 3s splittings in various CMR compounds [44], the room temperature value reported for the Mn splitting of 5.3 eV in $La_{0.7}Sr_{0.3}MnO_3$ lies between our two extreme values (4.4 eV and 5.55 eV), but is much closer to the high-temperature value of 5.55 eV. However, Fig. 5a indicates that this splitting should be much lower at room temperature, i.e. $\approx 4.4$ eV, and this is a value we have reproduced over several samples. Besides the lower energy resolution used in this prior work, some of this large discrepancy is most likely a result of the different modalities according to which experiments are performed.

Fig. 4b shows O 1s spectra that were obtained simultaneously with those shown in Fig. 4a, and again demonstrate significant changes with temperature on passing $T_C$. As we will further comment below, we have verified by changing photon energy that the dominant low-BE O 1s peak in Fig. 4b is due to O atoms at greater depths below the surface, and it is this "bulk" feature that we choose to analyze further. The "bulk" O-atom BE exhibits a sharp increase by about 400 meV on going above $T_C$, as well as hysteresis when the temperature is decreased, as shown in Fig. 5b. These results are in qualitative agreement with the findings by Nylen et al., who studied LSMO single crystals fractured in UHV and reported shifts toward lower BE for the O 1s "bulk" peak when samples were cooled from room to liquid nitrogen temperature [48]. While the O 1s BE loop closes on the time scale of our measurement, as shown in Fig. 5b, the Mn loop does not (cf. Fig. 5a); however, upon remaining at the lowest temperature for longer times, in data not shown here, the Mn loop does eventual close, albeit on a slightly longer time scale. We attribute this difference to the additional collective magnetic character in the Mn 3s spectrum, perhaps due to ferromagnetic cluster formation (as discussed further below), with this resulting in a slower time scale. Note also that the O 1s "surface" peak shows an even more dramatic shift on going to high temperature, but, as for the bulk feature, this returns to its initial position and shape on returning to low temperature.

Simultaneous with measuring the Mn 3s and O 1s spectra, O 2s, La 4d, La 5p, Sr 3d, Sr 4p and valence-band (VB) spectra were also recorded, with all but Sr 3d and La 4d being shown in Fig. 4c. The BEs of all of the O, La, and Sr core peaks were observed to increase by between 0.4 eV and 0.6 eV as the temperature was increased from $T_C$ to $T_{SAT}$, in parallel with the change of the Mn 3s splitting and the increase of the O 1s BE, indicating a significant temperature dependent change of the electronic structure. The increase of the core level BEs for O, La, and Sr is not an artifact due to charging, as is unambiguously shown by the absence of any shift of the Fermi level (within the accuracy of our measurements of 50 meV, cfr. Fig. 4c). Fig. 4c also indicates that the shape of the VB spectrum changes on going through $T_C$ and reaching $T_{SAT}$, with several features labeled $VB_1$-$VB_4$ showing significant temperature dependence and, similar to the O, La, and Sr core peaks, also reversibility with hysteresis. More specifically, the relative intensities of $VB_1$ and $VB_2$ change somewhat, with the features $VB_3$ and $VB_4$ at higher BE showing much more pronounced shifts and changes in relative intensity; in fact, $VB_3$ is only weakly visible at low temperature.

By contrast, the weighted-average of the BEs in the Mn 3s multiplet decreases slightly with increasing temperature (Fig. 5b), that is in an opposite direction to the core shifts of all of the other chemical species. Although all of the results presented here are for $La_{0.7}Sr_{0.3}MnO_3$, we have seen identical effects in similar experiments on $La_{0.6}Sr_{0.4}MnO_3$, with the only difference being that $T_{SAT}$ does not occur until about 150 K above $T_C$. These phenomena are also not the result of artifacts due to surface contamination/alteration effects but are indicative of an intrinsic and profound modification of the electronic structure occurring across the FM – PM phase boundary, a point that we now discuss below and which has been addressed more extensively elsewhere [49].

As a first point, we note that all of the effects discussed so far are reversible and with slow hysteresis; moreover, measurements on samples immediately after cleaving and significantly later (approx. 1 day) in the same UHV environment yielded the same effects on crossing $T_C$. It is thus very unlikely that an adsorption/desorption phenomenon or surface composition change is responsible for the change in the electronic structure. Beyond these qualitative considerations, we have studied the surface composition of our samples by analyzing core level intensity ratios, both as extracted from broad survey spectra such as those in Fig. 2, and as taken over narrower energy ranges with higher energy resolution, smaller energy step and better signal to noise ratio, and reported in detail elsewhere [49]. Based on the results of these analyses, we rule out temperature-dependent surface segregation or compositin change and extrinsic contamination as a possible cause for the appearance of the effects discussed so far. In fact, the fractured surfaces studied here have routinely shown a high degree of cleanliness and stability in UHV, with XPS-determined surface compositions after fracture being the same as that of the nominal (bulk) stoichiometry to within experimental accuracy, and with minimal surface contamination or stoichiometry alteration with time, in contrast to what has been reported for certain CMR thin films [36c-d]. As one indicator of this, for the experiment which yielded most of the results described in this work, the C surface contamination was found to be between 0.035 monolayers just after fracture and 0.068 monolayers at the end of measurements. By measuring the ratio of the Sr 3d and La 4d intensities, we routinely extracted a value for the doping level x = 0.3 ± 0.02 in samples with nominal doping value x = 0.3.

In addition to the use of core peak intensity ratios to directly determine sample stoichiometries and contaminant levels, another useful approach we used for surface characterization consists of comparing the temperature-dependent increase of the Mn 3s multiplet separation (the most important indicator of the change in electronic/magnetic state of the sample) with the temperature-dependent variation of the intensity-ratios of several spectral features, expressed as percentage changes and normalized to the low-temperature starting point [49]. These strictly empirical ratios do not involve any sort of theoretical input, and should accurately mirror any subtle changes in relative intensity through a given temperature scan. As one example of this, Fig. 6a shows the percentage changes of the [Sr 4p]/[La 5p] intensity ratio along with the Mn 3s multiplet separation as a comparative indicator of the electronic/magnetic structure

change observed. The near constancy of the [Sr 4p]/[La 5p] ratio, with a +10%/-6% variation, not far from the expected error bars, is consistent with these two elements occupying the same average site types, and with a negligible degree of surface segregation of one species as temperature is varied. We found that all of similar ratios involving Sr 4p, La 5p and Mn 3s core levels are confined to within small ranges of $\approx \pm$ 10 %, and that they return within experimental error to their low temperature values at the end of a cycle, thus suggesting that the near-surface composition of the LSMO is not significantly changed by this temperature excursion. Although such small changes may indicate some small variation in near-surface composition, they can also be linked to small changes in atomic structure as temperature is raised that could change the strong and different photoelectron diffraction (PD) effects that will occur for each of the peaks studied [35].

To amplify on the potential influence of PD effects in inducing significant variations in the core level intensity peak ratios, we note that the modulations in peak intensities due to PD effects are not negligible [50]. Indeed, the PD modulations for Mn 2p, Sr 3d and La 4d vary over roughly 20-30%, as computed according to the expression $(I_{MAX} - I_{MIN})/I_{MAX}$, where $I_{MAX}$ and $I_{MIN}$ are the maximum and minimum intensities, respectively, over some emission angle scan. A convenient approach for addressing the influence of PD effects on the peak intensity ratios (and hence stoichiometric conclusions drawn from them) consists of comparing the azimuthal angle-dependence of various intensity-ratios of the Mn 2p, Sr 3d and La 4d spectra, expressed as percentage changes referenced to the ratio at the value of the azimuthal angle $\phi$ set to zero (Fig. 6b). These strictly empirical ratios, which again do not involve any sort of theoretical input, clearly show that purely due to diffraction effects the assessment of relative Sr and La concentrations via the [Sr 3d]/[La 4d] ratio can vary by at least $\pm$ 4 – 5 %; again, this is due to the fact that they occupy the same lattice site, but it further suggests that there is little or no surface segregation of one species or another, which would be expected to produce bigger differences. However, the larger variations in [Sr 3d]/[Mn 2p] and [La 4d]/[Mn 2p] ratios seen in Fig. 6b of $\approx$ +20 to -15%, undoubtedly associated with their much different lattice-site occupations, implies also that the temperature dependence of PD, e.g. via vibrational effects that are different for the atoms involved, and/or slight changes in mean atomic positions with temperature due to what we know are Jahn-Teller distortions at high T [21], could have a significant impact on the ratios in Fig. 6b.

As noted above, our data show the presence of additional "satellite" structures which appear on the high BE side of the O 1s peak (labeled "surface" in Fig. 4b) and in the high BE region of the valence spectra (VB$_4$ in Fig. 4c). Some authors have identified these structures either as spectroscopic signatures of surface contaminations [51] or surface modification like surface segregation effects and/or oxygen desorption in UHV [48,52]. Our data unambiguously show that the high-BE O 1s peak and the structure in the VB located at 9.5 – 10 eV are indeed due to atoms located in the outermost layers of the surface. In fact, these structures are much less pronounced at higher KE (and thus larger escape depth) of the photoelectrons, as shown by O 1s and VB spectra taken at higher photon energies [49]. Even though oxygen desorption in UHV is in general a possible phenomenon at higher temperatures, our data seem to rule it out as a more plausible cause for the presence of the features in question. This is shown for example by the surface-associated O 1s peak at higher BE exhibiting similar hysteresis to the bulk peak at lower BE (Fig. 4b), these structures appeared in our experiments immediately after fracture and exhibit reversibility with temperature cycling, a behavior which is not compatible with the occurrence of O adsorption/desorption phenomena. As a matter of fact, though always present in our data, these structures are lower in intensity at higher temperatures, where O desorption is more likely to take place (cf. Figs. 7b and 10) [49]. We estimated the surface coverage in monolayers (ML) associated with the O 1s "surface" peak by considering it as originating from a non-attenuating layer of O atoms sitting on or chemisorbed onto the surface [49]. This calculation yields an estimate for the quantity of this non-attenuating layer ranging from 0.35 ML at low temperatures to 0.24 ML at high temperatures. Since the high BE O 1s "surface" peak originates from atoms located in the outermost layer, these atoms are not necessarily bound to Mn atoms, or at least not in the same configuration as within the bulk. The more relevant [O 1s "bulk"]/[Mn

3s] ratio shown in Fig. 6c varies over +8/-15%, a range of 23%. Even though these numbers might at first sight seem to indicate O desorption related to the bulk peak of $\approx$ 15 % at the highest temperatures, we note that in the temperature range 350 - 500 K, where the maximum change of the Mn 3s splitting takes place, the change in the ratio amounts to only $\approx$ 10 % and, most importantly, it does not at all track with the change of the Mn 3s splitting. The kinetic energies being considered in this analysis are also low enough to yield a high surface sensitivity, with electron inelastic mean free path (IMFP) values expected to be in the 5-6 Å range.

In order to investigate further the potential impact of the small changes in peak ratios seen with temperature, we also considered the effects they might have on the Mn 3s splitting due to a deviation from the ideal surface stoichiometry. Most importantly, our analysis rules out that the increase of the Mn 3s splitting is caused by an increase of the Sr content with increasing temperature, as reported for the case of crystalline films [36c-d]. Indeed, a Sr-enriched surface would have the effect of *decreasing* the magnitude of the splitting because there would be more $Mn^{4+}$ character. Our data thus strongly indicate that the high-T increase of the multiplet separation is not explicable by a change of the near-surface stoichiometry, and that the temperature-driven changes of the electronic structure are not artifacts due to surface contamination, adsorption/desorption phenomena and/or surface segregation of the atomic constituents. More detailed discussions of all of these points appear elsewhere [49].

**Photoemission spectra – electron localization effects**

We now turn to the interpretation of the experimental PE results presented so far. The temperature dependence of the Mn 3s spectra suggests a significant increase in the Mn spin moment $S_v$ at high temperature. Since the exchange interaction between the Mn 3s and 3d levels is mainly localized on the Mn atom, we can reasonably assume that the exchange integral $J^{eff}_{3s\text{-}3d} = 1.1$ eV is constant with temperature. This change in the Mn3s splitting yields an estimate of an increase in its average spin moment from $\approx$ 3 $\mu_B$ to $\approx$ 4 $\mu_B$. Above $T_C$, the multiplet separation indicates the presence of a high spin state. In particular, the value of the magnetic moment extracted from the Mn 3s multiplet separation $\Delta E_{3s} = 5.55$ eV for temperatures higher than $T_{SAT} \approx 470$ K is 4 $\mu_B$ (i.e. S = 2), higher than the nominal value for x = 0.3 of 3.7 $\mu_B$ (S = 1.85), but rather close to the value 3.84 $\mu_B$ (S = 1.92) as yielded by the linear fit of inverse magnetic susceptibility data for temperatures higher than $T_{SAT}$ as measured by our group on the very same crystals used in the spectroscopy experiments, a point to which we will return below.

On the contrary, particularly puzzling is the value of the multiplet separation $\Delta E_{3s} = 4.5$ eV below $T_C$, indicating that S = 1.5, considerably lower than the nominal value S = 1.85. If one considers the possibility that below $T_C$ the $Mn^{3+}$ ions can be found in a low spin state, namely with a configuration $t_{2g}^4$, the value of the spin would be S = 1, so that the value S = 1.5 extracted from the multiplet separation $\Delta E_{3s} = 4.55$ eV could be the result of a superposition of a low spin state $t_{2g}^{3.7}$ and a high spin state $t_{2g}^3 e_g^{0.7}$.

Rather, the variation with temperature of the Mn 3s separation and the variation of the BEs of La, Sr and O could indicate a profound change of the degree of covalency, namely from a more covalent to a more ionic character at low and high temperatures, respectively. Thus, we find that it is easier to rationalize our findings by explaining the increase of the magnetic moment from 3 μB to 4 μB as a signature of an electron localization process. The $e_g$ electron, fully delocalized at low temperature and with charge shared with the neighboring O, La and Sr atoms, is more localized onto the Mn atom for temperatures higher than $T_C$, thus effectively increasing its exchange interaction with the 3s electrons and thus assisting in increasing the splitting of the Mn 3s multiplet. The high-T increase of the core BEs for the O, La, and Sr core levels indicate a marked change of the chemical environment of these constituent atoms which is

indeed suggestive of a process in which electronic charge is transferred from these atoms onto the Mn atom. The high-T increase of the core BEs for the O, La, and Sr is qualitatively consistent with this localization process, as also suggested by the increase of the Mn 3s splitting. If the $e_g$ electron, with some charge being shared with the O, La and Sr atoms, is transferred to and localized on the Mn atom, La, Sr, and O core electrons are indeed expected to experience a more positive environment and therefore be detected at higher BE. Consistent with this picture is also the observation that the weighted-average of the BE of the Mn 3s multiplet decreases slightly with increasing temperature, suggesting that the Mn experiences a more negative environment (cfr. Fig. 5b). As a final important aspect of this different behavior of the BEs of Mn with respect to that of the other atoms, we show in Fig. 7 a comparison between the Mn 2p and O 1s core levels recorded below and above $T_C$. The high-temperature spectra exhibit a shift by about 0.70 eV towards lower BE for the Mn 2p spectra, while the shifts are towards higher BE for the O 1s peak and for all of the other core levels not shown, as suggested already by the measurements performed at lower photon energy with better instrumental resolutions. This result further supports the idea that there is a significant charge transfer to Mn, thus lowering its Mn 2p binding energy, while the other elements lose charge, thus increasing their BEs.

According to the above described scenario, the Mn valency in LSMO for temperature below $T_C$ is thus $Mn^{4+}$, markedly different from the value $Mn^{3.3+}$ predicted by the most simple ionic model. In fact, the close coincidence of the upper and lower values for the predicted and measured splittings suggests a transition between a nearly pure $Mn^{4+}$ spin state at lower temperatures and a nearly pure $Mn^{3+}$ state at higher temperatures. Although somewhat surprising at first, this scenario is also supported by a recent self-interaction corrected local spin-density approximation calculation by Banach and Temmermann which predicts that for $0.2 \leq x \leq 0.4$ the groundstate of LSMO has a valency $Mn^{4+}$, while the value $Mn^{3+}$is reached at higher temperatures as a consequence of the elongation of the $MnO^6$ octahedra [53].

Further insights into this localization scenario are provided by the temperature dependence of the O core-valence-valence (CVV) $O_{KLL}$ Auger transition. In absence of any correlation effects, if $E_{VB}^1$ and $E_{VB}^2$ denote the BEs of two electrons in the VB, the KE of the Auger electron is given by $KE = E_C - E_{VB}^1 - E_{VB}^2$, where $E_C$ denotes the BE of the core level involved, O 1s in this case. The maximum KE of a CVV Auger transition in a metallic system should thus be equal to the Fermi-referenced BE of the core level. Moreover, the lineshape of the CVV Auger transition is expected to be given by the self-convolution of the density of states, as first recognized by Lander [54]. Indeed, the effect of the correlation of the two holes in the final state is to reduce the maximum KE of the CVV Auger transition by a quantity $U$ and possibly to distort the spectral lineshape [55,56]. As shown in Fig. 8a, the Auger peak, measured with photons of 990 eV, shifts towards lower KE for temperatures higher than $T_C$. This behavior is particularly interesting when compared to the shift towards higher BE of the O 1s peak for temperature above $T_C$, as shown in Fig. 8b (cfr. also Figs. 4b and 7b). In order to resemble the density of occupied states, the VB was measured with a high-photon energy of 950 eV, corresponding to the so-called XPS or density-of-states limit (Fig. 8c). The states located at $\approx$ 1 and $\approx$ 2 eV correspond to the Mn $e_g$ and $t_{2g}$ states, respectively, as identified by resonant PE [52,57]. We note that, at high-T, there is a shift of the average of the full VB spectrum to higher BE, which is at least qualitatively consistent with the changes noted in Fig. 4c, which we expect to be selective to some specific region of the Brillouin zone (the UPS limit). The self-convolutions of the VB at different temperatures are virtually indistinguishable, as expected since the self-convolution operation washes out the different details induced by different temperatures on the VB spectra. Since we are considering the $O_{KLL}$ Auger line, the self convolution of the VB has also been computed after subtracting the Mn $e_g$ and $t_{2g}$ states from the VB by using a linear background subtraction over the BE region 0-3 eV. The $O_{KLL}$ Auger spectrum and the self-convolution of the density of states (SCDOS) as obtained considering both the full VB and the partial VB without the Mn $e_g$ and $t_{2g}$ states are shown in Fig. 8d on a two-hole energy scale, i.e. the difference between the O1s core level BE and the KE of the Auger spectrum. (Note that this energy scale effectively reverses the curves shown in Fig. 8a.) As

expected in strongly correlated materials, the Auger lineshape does not resemble the SCDOS. On the contrary, the Auger line and the center of the SCDOS are displaced by an amount equal to $U$ [56]. In the case shown here, $U$ is estimated to range between 6 eV and 8 eV circa, within the $U / W \cong 1$ limit, where $W$ denotes the VB bandwidth. These results and the shape of the Auger lines are similar for other sample of different composition that we measured as well as to what has been reported for polycrystalline samples [58]. Since the limit $U / W \cong 1$ seems to be unaffected by the temperature change, as suggested by the absence of any appreciable change in the Auger lineshape as a function of temperature, the shift toward higher energy on the two-hole scale for temperature higher than $T_C$ could be ascribed to an increase of the interaction strength $U$ between the two electrons in the two-hole final state. Besides being further indicative of a change of the degree of covalency, from a more covalent character at low temperature to a more ionic character above $T_C$, these results are consistent with electron localization onto the Mn atom, with less electronic charge on the O site being thus available for screening the two holes in the Auger final state, resulting in an increase of the hole-hole interaction energy $U$.

**Photoemission- depth distribution of the observed effects**

So far we have discussed spectra with the kinetic energy (KE) of the photoelectrons being $\approx$ 130 eV for the deeper core levels (Figs. 4a and 4b) and 190-210 eV for shallower core levels and valence band (Fig. 4c), which yield estimated elastic photoelectron escape depths of $\Lambda_e \approx$ 5-6 Å [49]. An important issue is thus to establish unambiguously whether the observed changes in the electronic structure affect only the outermost atomic layers or whether it also affects deeper layers, and perhaps the bulk as well. As a first point, the transition for both x = 0.3 and 0.4 compositions always starts at the known bulk $T_C$, indicting that the strong perturbation of the electronic structure is not exclusively related to the LSMO surface.

To further explore the depth distribution of the effects we observe, we have chosen to access different probing depths by varying the escape depth of the photoelectrons through changing photon energies. Unfortunately we were not able to take advantage of selectively varying the surface sensitivity in our PS spectra by using the well-established method of decreasing the take-off angle [59]. This is because the surfaces that we obtained by fracturing samples were not atomically flat, and their roughness prevented us from correctly estimating the take-off angle, which is a crucial parameter for this kind of analysis.

In separate experiments, we have measured for a samples of composition x = 0.3 the VB, the O1s and all the core levels with BE below 100 eV with photon energies tuned so as to yield photoelectrons with KE $\approx$ 900 eV and inelastic mean free path $\approx$ 15 Å, which corresponds to 3 - 4 cubic unit cells, while the temperature was varied in the 110 K – 500 K range (with a step of approximately 20 K). The Mn 3s splitting, shown here in Fig. 9a, and the BEs of all the other O, La and Sr core levels mentioned previously showed qualitatively the same temperature dependent behavior observed in Figs. 4 and 5, although with magnitudes which are reduced somewhat, providing unambiguous evidence that, the effects that we have observed at lower photon energy are not occurring exclusively in the outermost surface layers, but seem to survive at least over the first 30–50 Å inward from the surface, or roughly 6 – 10 unit cells, as extensively discussed elsewhere [49]. In addition, it was possible to measure the Mn 3p core level spectrum, whose structure is affected by the presence of multiplets as well, even though the analysis is not as straightforward as in the case of Mn 3s spectra due to the non-zero orbital angular momentum in the initial state (i.e. a $p$ rather than an $s$ orbital) [40]. The BE of the main peak in the Mn 3p spectrum (Fig. 9b) decreases by about 0.5 eV when the temperature is increased, consistent with the weighted average behavior of the Mn 3s spectra (Fig. 5b) and the Mn 2p spectra (cfr. Fig. 7a), again suggestive of a net increase of electron charge on the Mn atom. Although the temperature dependent variation of the multiplet separation and the BEs of the O, La and Sr core levels look qualitatively similar to those measured at

lower photon energies, there are some differences that we now address. First, although the BEs increase of O, La and Sr amounts to 400-500 meV, in full agreement with the measurements at lower photon energy shown in Fig. 4, the hysteresis loops for the BEs of these core levels do not close on the time scale of the measurement [49]. The second one consists of a different ratio between the two peaks of the Mn3s spectrum, a difference which is due to a change from an adiabatic to a sudden photoemission regime as the kinetic energy of the photoelectrons increases [47]. Moreover, the magnitude of the temperature-dependent change of the multiplet separation for the Mn 3s spectra amounts to ≈ 700 meV, and it is thus only about 64% of that measured with photons of 212 eV (≈ 1100 meV, cf. Fig. 4a and Fig. 5a).

The fact that the hysteresis curves do not fully close in this higher-energy more bulk sensitive dataset can be easily explained by an experimental procedural difference: a compressed timescale of the higher-energy measurements resulting in some temperature jumps larger than those in the experiments shown in Fig. 4, with the available experimental time not permitting us to wait for true equilibrium [60]. Indeed, we found that the O 1s BE decreases by ≈ 100 meV in a time of 4 hours at the end of the experiment, after the sample has been cooled back down to ≈ 140 K, thus bringing into focus the importance of effects linked to the kinetics of the electronic/structural phase transition indicated by our data. This statement is further corroborated by some additional results, shown here in Fig. 10, and consisting of Mn 3s and O 1s spectra measured in the same experimental run as of the spectra shown in Fig. 4 at the lowest and highest temperatures with photon energies equal to 1000 eV and 1400 eV respectively. In this case, the KEs and the IMFP of the photoelectrons from both levels were ≈ 900 eV and ≈ 15 Å, corresponding to roughly 3 - 4 unit cells. These two sets of data (Fig. 10) show the same temperature dependent behavior as shown by the spectra in Figs. 4a – b, 8b and 9a: at high temperature the Mn3s splitting increases and the O1s binding energy increases. We note that the shoulder on the high BE side of the O 1s spectra is much less pronounced at the higher KE, indicating, as we have noted before, that this shoulder is related to O atoms near to or on the surface. Because this peak is present immediately after fracturing the sample, does not track in any systematic way with the (very low) C contamination level, and has the same reversible behavior as the core peaks, we do not believe that it is connected with surface contamination or reaction. In this case, the values for the variation of the O 1s BE are nearly equal (≈ 400 meV) for the spectra taken at low (652 eV, Fig. 10b) and high (1400 eV, Fig. 10d) photon energy. Moreover, the O 1s spectra taken at high photon energy (1400 eV, Fig. 10d) recorded at low temperature at the beginning (110 K) and at the end (130 K) of the experiment are nearly identical and almost superpose perfectly (Fig. 10d). This strongly suggests that when the temperature scans are performed according to the same modalities, the measurements with low- and high-photon energy yield the same results, at least for the O 1s spectra. The magnitude of the temperature-dependent change of the multiplet separation for the Mn 3s spectra in Fig. 10c amounts to ≈ 790 meV, ≈ 90 meV larger than that yielded by the data shown in Fig. 9a (≈ 700 meV), and now 72% of the low-temperature result, again suggesting that the magnitude of the effects that we observe may also considerably depend on the time scale of the measurements. Nonetheless, the variation of the multiplet separation for the Mn 3s spectra is still considerably lower than that shown in Figs. 4a and 10a (≈ 1100 meV) for the spectra taken with photon energy of 212 eV. We have systematically explored the possible effects on the multiplet separation caused by different inherent widths in the spectra due to the use of different instrumental resolutions while recording the spectra at low and high photon energy. Our analysis shows that the difference in the observed change in $\Delta E_{3s}$ between the spectra taken at low and high photon energies cannot be explained entirely in terms of a resolution difference alone. Nonetheless, the same analysis suggest that, although with magnitudes which may be reduced inward from the surface, the effects that we observed seem to survive at least over the first 30–50 Å inward from the surface, or roughly 6 – 10 unit cells, and therefore are not occurring exclusively in the outermost surface layers [49].

It is quite interesting that the effects related to the Mn 3s splitting show a stronger dependence on the probing depth than those affecting the other atoms. Moreover, while the BE loops of all the elements

close on the time scale of the measurements shown in Figs. 4 and 5, the loop for the Mn 3s splitting does not, providing another peculiarity of the behavior of the Mn 3s splitting. Preliminary investigations of the temperature dependence of Mn 2p spectra from samples of the same composition carried out with photons of 7050 eV, with an attenuation length of $\approx$ 85 Å and hence yielding a much truer sampling of bulk properties, exhibit important differences with respect to the spectra shown in Fig. 7a [61]; these results will be reported elsewhere. Although the general shape of the Mn 2p doublet is the same, the chemical shift with soft x-ray excitation of both Mn 2p components to higher BE on lowering the temperature to 150 K is much smaller and difficult to discern with hard x-ray excitation, thus suggesting that the effects seen in Figure 7a are more localized near the surface. Another marked difference in the hard x-ray Mn 2p spectrum is the presence below $T_C$ of a satellite peak which appears on the low BE side of the $2p_{3/2}$ peak, as already reported for other closely related manganite compounds [62,63]. This type of satellite has been interpreted as a screening peak associated with highly delocalized electrons, consistent with the scenario that we have proposed to explain the data here reported [64]. This satellite is also observed to slowly disappear as temperature is raised above $T_C$, thus indicating a temperature dependent modification of the electronic structure taking place at greater depth than that of the effects discussed so far. It is thus possible that, rather than reflecting intrinsic differences between the surface and bulk properties of LSMO, the different effects seen in soft and hard photoemission experiments are indeed different signatures of the same phenomena caused by the different regimes accessed in the photoemission process. Indeed, additional bulk sensitive XAS, XES and EXAFS spectroscopy data to be discussed below indicate the presence of a modification of the electronic structure as temperature is increased through $T_C$, with results that are consistent with the electron localization scenario suggested by our PE data.


**XAS and XES/RIXS data**

More bulk sensitive XAS spectra (Figs. 11 and 12) measured over the O K- and Mn L-edges and detected with secondary electrons of $\approx$ 100 eV kinetic energy as well as photons in the fluorescence-yield mode show remarkable changes when the temperature is varied through $T_C$ and up to $T_{SAT}$. These results further indicate the presence of a drastic change in the electronic structure which is not limited to the outermost surface layers.

The temperature dependence of the XAS spectra is remarkably similar to that reported by Toulemonde et al. on $Pr_{0.7}Sr_{0.3}MnO_3$ and $Pr_{0.7}Ca_{0.15}Sr_{0.15}MnO_3$ perovskites, as we have in particular already discussed for the O K-edge spectra [65,66]. The O pre-edge spectral region extending approximately 4 eV below the primary absorption threshold at ca. 532 eV, which has received a great deal of attention since it represents the Mn 3d-derived unoccupied states, is shown in Fig. 11a for three different temperatures. This spectrum was obtained using secondary electron detection. The presence of strong absorption in the pre-edge region reveals strong hybridization between the O 2p and Mn 3d states, thus indicating that the holes introduced upon doping with a divalent metal have a mixed Mn 3d – O 2p character [67]. It is therefore not surprising that the profound change of the degree of covalency across $T_C$, as evidenced by the temperature dependence of the PE spectra, is also markedly visible in the XAS spectra. The most remarkable feature of the temperature dependence of the O K-edge XAS data is the disappearance of the double peak in the pre-edge structure, primarily through a loss of intensity of the peak located at 529 eV, for temperatures higher than $T_C$. Also noticeable is the suppression of a weak, but reproducible, structure at 531.5 eV for temperatures higher than $T_C$, as most clearly shown in the spectra collected in the fluorescent yield mode (cf. Fig. 11b). The spectra detected with secondary electrons and those collected in the more bulk sensitive fluorescent yield mode exhibit the same spectral features and the same temperature dependence, indicating that that these effects cannot originate from the surface exclusively. The only difference between these two sets of data is that the structure at $\approx$ 531.5 eV is much more pronounced in the spectra collected in the fluorescent yield mode, possibly a consequence of the use of different detection methods resulting in different matrix element

and fluorescent-yield effects. This structure is equally prominent in the fluorescent yield spectra reported in ref. [65]. We interpret these O XAS data as a further signature of a charge transfer to the Mn atom for temperatures above $T_C$, as suggested by the loss of intensity of the structure located at 529 eV for temperatures above $T_C$ [66]. Our results are in complete agreement with the $La_{0.7}Sr_{0.3}MnO_3$ data reported by Park et al., who interpreted the disappearance of the splitting as a spectral weight transfer associated with the reduction of the density of the unoccupied $e_g^{\uparrow}$ states at the Fermi level at high temperatures [68], an interpretation fully consistent with ours.

The interpretation of similar Mn L-edge spectra (Fig. 12) is not as straightforward as that of the O K-edge spectra, although we note that our data are remarkably similar to those reported by Toulemonde et al. on $Pr_{0.7}Sr_{0.3}MnO_3$ and $Pr_{0.7}Ca_{0.15}Sr_{0.15}MnO_3$ perovskites [65]. In particular, the structure at $\approx 638$ eV in the $L_3$-edge loses intensity as temperature is decreased below $T_C$, a behavior which has been attributed to an increase of the low-spin configuration in the absence of Jahn-Teller distortions (JTD) [65]. Again this is consistent with our interpretation of the PE data. The signatures of JTD in our XAS spectra will be discussed below.

Finally, the most bulk sensitive XES and closely related resonant inelastic x-ray scattering (RIXS) data reveal a strong temperature dependence of the Mn 3d $\rightarrow$ Mn 2p and O 2p $\rightarrow$ O 1s transitions on crossing $T_C$ and approaching $T_{SAT}$, as shown in Fig. 13, again indicating the presence of a profound modification of the electronic structure which does affect exclusively the outermost layer, but indeed more likely to be a bulk phenomenon. Figs. 13a and 13c represent RIXS, in which the incident photon energy is positioned over a strong absorption resonance, whereas Figs. 13b and 13d represent simple XES, for which the incident photon energy is well above the relevant absorption edges. As shown in Figs. 13a and 13b, there is a progressive reduction of the width of the Mn RIXS and XES spectra as the temperature is increased above $T_C$, which is consistent with a more localized and thus narrower overall Mn 3d density of states. However, for the O RIXS and XES spectra shown in Figs. 13c and 13d, width changes are less pronounced, and if anything are in the opposite direction, with low-T spectra showing slightly narrower widths. Also different from Mn is that the O spectra exhibit a shift toward higher energies (Figs. 13 c-d). The most bulk sensitive Mn and O spectra collected well above resonance (Figs. 13b and 13d) furthermore exhibit opposite shifts as a function of temperature, quite reminiscent of the shifts in opposite direction of the O 1s and Mn 2p core level PE spectra (cf. Figs. 5b and 7). That is, as the temperature is increased above $T_C$, the spectrum corresponding to the Mn 3d $\rightarrow$ Mn 2p transition shifts to lower energies, while the that for the O 2p $\rightarrow$ O 1s transition shifts to higher energies. Although we are aware of the necessity of proper theoretical calculations to fully describe the lineshapes and their temperature behavior, we note that the opposite directions of the shifts for the Mn and O XES spectra are at least qualitatively consistent with the shifts towards lower and higher BE of the Mn 2p and O 1s core level spectra, respectively.

**EXAFS data**

Our PE data demonstrate a temperature-driven change of the electronic structure which is reversible and exhibits a $\approx 200$-K-wide hysteresis centered at $T_C$ with a time constant of several hours (cf. Fig. 5). This time dependence suggests that slow-relaxing atomic displacements are responsible for the perturbations of the core and valence levels. However, our samples are rhombohedral at all temperatures, and indeed no obvious fingerprints of a modification of the crystal structure are shown by x-ray crystallography. It is thus possible that local, short-range-ordered modifications of the crystal structure occur on passing $T_C$. To investigate this possibility, bulk-sensitive Mn *K*-edge EXAFS measurements have been performed on a $La_{0.7}Sr_{0.3}MnO_3$ sample in the fluorescence-yield mode, with the temperature being raised from 30 K to 600 K. The EXAFS technique permits extracting the temperature dependence of the variance $\sigma^2$ of the Mn-O bond length distribution, as shown in Fig. 14. For temperatures well below and

above $T_C$, $\sigma^2$ appears to have a gradual increase, consistent with a standard thermal broadening and described by the correlated Debye model, similar to that of the JTD-free $CaMnO_3$ material, also shown for comparison. However, as the temperature is increased toward $T_C$, $\sigma^2$ increases more rapidly than one expects from a vibrational analysis such as the correlated-Debye model (solid curves) [39]. The temperature dependence of the Mn-O bond length distribution width is qualitatively very similar to the one reported in a previous EXAFS study of $La_{1-x}Ca_xMnO_3$ (x = 0.21, 0.25 and 0.3), one curve from which is shown in Fig. 14: these data provided experimental evidence that the lattice distortions observed above $T_C$ are due to local JT distortions around the $Mn^{3+}$ ion. Our data on LSMO thus suggest the occurrence of a JTD that develops with increasing temperature, saturating once the system becomes paramagnetic, as observed in a previous EXAFS study of $La_{1-x}Ca_xMnO_3$ [15]. An interesting difference is that the apparent size of the JTD as inferred from $\sigma^2$ is only about half that in the Ca-doped compounds. This difference may be the structural signature of the metallic state that survives in the paramagnetic state of the Sr-doped materials. Local JTDs and polaron formation have been observed by neutron scattering measurements with pair distribution function analysis (PDF) for LSMO up to x = 0.4, although the magnitude of the JTDs are larger than reported here [16,26,27]. However, our results are consistent with another recent EXAFS study [69].

The presence of JTD is also suggested by the temperature dependence of the O K-edge pre-peak structure (Fig. 11). The pre-edge structure extending from $\approx$ 528.5 eV to $\approx$ 530.3 eV can exhibit a double peak whose disappearance has been discussed by some authors as a signature for the presence of JTDs [65,66]. In fact, the suppression of the splitting of the oxygen pre-edge peak at $\approx$ 529-530 eV when the temperature is raised above $T_C$ may be an indication of the unfolding of the unoccupied Mn 3d $e_g^{\uparrow}$ and $t_{2g}^{\downarrow}$ levels as a consequence of the symmetry breaking caused by the JTD, as first suggested by Toulemonde et al [65]. The same temperature dependent presence/absence of the O pre-edge splitting has also been observed by our group for $La_{1-x}Ca_xMnO_3$, a system for which unambiguous evidence for the presence of local JTD and polaron formation has been reported [66].

We thus regard our results as shown e.g. in Figs. 4,5, 10, 11 and 14 as spectroscopic signatures for the formations of JT polarons in the paramagnetic phase above $T_C$, as indeed predicted by Millis et al. in LSMO for x > 0.2, with the JT energy remaining important even in the metallic state [12,13]. The occurrence of local JTD, as shown by the EXAFS measurements (Fig. 14), is in fact concomitant with the transfer to and/or localization of charge on the Mn atom. Evidence for this transfer/localization mechanism is provided by the observation for T > $T_C$ of the increase of the Mn 3s splitting and the opposite shifts to lower BE of the Mn 3s and Mn 2p core levels, and to higher BE of the O, La and Sr core levels, respectively (cf. Figs. 4, 5, 7 and 10). Moreover, the loss of intensity of the peak located at 529 eV and the consequent disappearance of the splitting in the O K-edge XAS pre-peak structure in the paramagnetic phase (Fig. 11) is consistent with the presence of local JTD and consequent polaron formation, as already suggested by Toulemonde et al. [65,66]. In fact, the loss of intensity of the peak located at 529 eV can be easily explained by a reduction of the density of the lowest unoccupied states due to the electron localization above $T_C$ associated with JTDs, and resulting reduced O 2p-Mn 3d hybridization, an interpretation also consistent with the one provided by Park [68].

We stress that, contrary to what suggested by some as conventional wisdom, the presence of polarons and metallic conduction (our samples are always in a metallic phase) are not mutually exclusive, as already indicated by many theoretical works in polaron theory [70]. For the particular case of the manganites, neutron scattering measurements with PDF analysis have shown local JTDs in the metallic phases of $La_{1-x}Sr_xMnO_3$ up to x = 0.4 [16,26,27]. Similar results have been reported in a recent EXAFS study, even though the distortion in the metallic phase is observed to a lesser degree than in the PDF analysis [69]. Previous EXAFS studies have shown that below $T_C$ the polarons are not completely delocalized in $La_{1-x}Ca_xMnO_3$ as well [71]. Louca et al. have discussed some of the possible mechanisms for the coexis-

tence of metallic conduction and polarons, with the JT distorted regions being considered as regions which can spatially confine carriers, sometimes localizing them (insulating phase) and sometimes scattering them (metallic phase) [27]. For our samples, the resistivity in the metallic state is high even at low T ($\approx$100 $\mu\Omega$cm below 100 K), indicating strong scattering of charge carriers in the metallic state, with the local distortions discussed above possibly being the source of such strong scattering.

## Photoemission and magnetic susceptibility data – Phase separation and cluster states

In the last few years, some theoretical evidence has suggested that the phase-separated texture of the manganites is a key ingredient for understanding the physics of the CMR effect, perhaps without the necessity of invoking localization mechanisms [72,73]. Other authors have suggested a possible presence of phase separation for the $La_{1-x}Sr_xMnO_3$ system as well. Louca et al. proposed that charges might be partially confined by spin and lattice rearrangements resulting in microscopic separation of the charge-rich and charge-poor regions [27], while Shibata et al. found that Sr clustering could give rise to structural inhomogeneities on the nanometer scale and could provide a mechanism for the nucleation of a larger scale phase separation [74]. The noticeable $\approx$ 200-K-wide hystereses centered at $T_C$ in our PE data (cf. Fig. 5) are strongly indicative of a first order phase transition with marked effects linked to the overheating and undercooling dynamics. In order to determine whether the change in electronic structure that we observe on crossing $T_C$ is consistent with the occurrence of phase coexistence, we have fitted the temperature dependent Mn 3s and O 1s core spectra with a linear superposition of the spectra at low temperature (< 200K) and high temperature ($\geq T_{SAT}$). The only free parameter used in the fit is the fraction $f$ of the high temperature spectrum. Some of the results of this type of fit spectra are shown in Fig. 15, which shows that there is indeed excellent agreement with experiment. By demonstrating that the spectra taken with $T_C < T < T_{SAT}$ can be expressed as a linear combination of spectra acquired at low and high temperature, these results thus suggest the coexistence of unique low- and high- temperature electronic states and are at least consistent with the presence of phase coexistence in these materials. However, our core-level measurements probe only the short-range electronic structure, and so do not provide any information on the sizes of the domains of these two phases.

Theoretical studies predict that the intrinsic phase separation at the nanoscale would be responsible for both the existence of a new temperature scale T* and the presence of ferromagnetic clusters in the temperature range $T_C \leq T \leq T^*$ which would grow in size when the temperature is reduced toward $T_C$ [5,73]. The presence of ferromagnetic clusters above $T_C$ has also been proposed on the basis of arguments involving the existence of magnetic polarons [14,75,76,77,78]. Above $T_C$ the carriers become localized as the lattice is distorted and magnetically polarize the neighboring Mn atoms, forming ferromagnetic clusters. Experimental evidence for the existence of such magnetic polarons has been reported for other closely related manganite compounds [14,75,77]. The mechanism of a temperature-driven ferromagnetic-to-paramagnetic phase transition would be equivalent to that proposed by De Teresa et al. for the case of a magnetic-field-driven transition [14,75]: decreasing the temperature through $T_C$ reduces the carrier localization, enhancing the hopping of the carriers between different lattice sites. The number of ferromagnetic clusters decreases but the spatial extension of the remaining clusters grows because the Mn magnetic moments become aligned on a longer order scale.

Because of the crucial importance that magnetic cluster formation might have on the physics of the CMR manganites, along with the lack of unanimous agreement among the authors about the presence of magnetic polarons, it is interesting to ask whether our data can shed light on this important issue. An inspection of our spectroscopic results, yielded by techniques which provide information only on short range length scales of the electronic structure, indeed reveals that our data are consistent with this description of a temperature-driven phase transition. The increase of the Mn 3s splitting (which sensitively

probes the local spin moment of the Mn atoms) and the concomitant increase of the O, Sr and La BEs are due to changes in the local magnetic state and chemical environment as the temperature is increased through $T_C$, with the loss of long range order occurring at $T_C$ but short-range order still possibly existing 50-100 K above the ordering temperature $T_C$ up to the saturation temperature $T_{SAT}$. In light of these considerations, it is interesting to address the possible relationship between the ferromagnetic transition and polaron formation, and ask whether the saturation temperature $T_{SAT}$ can be identified indeed with the temperature at which cluster formation starts occurring, and thus also with the temperature $T^*$ in ref. [73].

Fig. 16 shows the inverse magnetic susceptibility $X^{-1}$ extracted from magnetic susceptibility measurements on the same crystal used for the experiment shown in Fig. 4. Such measurements are of course bulk sensitive without question. The linear fit of the high temperature portion of the data set permits extracting the value of the magnetic moment from the expression $X^{-1} = (T - \Theta)/C$, where $\Theta$ and C denote the paramagnetic Curie temperature and the Curie constant, respectively. For a collection of $N$ atoms with spin $S$, the Curie constant is given by $C = (N\mu_B^2/3k_B)\,p^2$, with $p^2$ denoting the square of the magnetic moment $p^2 = 4S(S+1)$ [79]. From the high temperature fit of the data in Fig. 16 we obtained $\Theta = 389.2$ K and $C \cong 2.8$ emu K Mol$^{-1}$, thus yielding $p^2 = 22.42$, which corresponds to a value for the spin $S = 1.92$. These values for $S$ and $p^2$ are remarkably close to the values $S = 2$ and $p^2 = 24$ as extracted from the Mn 3s multiplet separation $\Delta E_{3s} = 5.55$ eV for temperatures higher than $T_{SAT} \approx 470$ K (cf. Fig. 5a), and slightly higher than the nominal value $p^2 = 21.3$ corresponding to the doping value x = 0.3. The temperature dependence of $X^{-1}$ starts deviating from the linear behavior predicted by the Curie-Weiss law for temperatures in the range 470 – 490 K, below which the behavior is roughly quadratic with a positive concavity, thus indicating an increases of the effective magnetic moment. We stress that this deviation from the linear Curie-Weiss type behavior is not due to the onset of ferromagnetic correlations as typically observed in normal magnetic metals like Fe or Gd for temperature very close to $T_C$. Indeed, in this case the deviation from the linear behavior takes place at remarkably high temperatures, of the order of 1.3 $T_C$, in fairly good agreement with similar measurements whose results have been interpreted as signatures for the presence of magnetic clusters [14,75,77]. If a collection of N atoms is redistributed in clusters with an average number of $n$ atoms per cluster, then the number of clusters and the square of the magnetic moment per cluster will respectively be $N/n$ and $p_{eff}^{\,2} = (np)^2$, with the Curie constant being given by $C = (N\mu_B^2/3nk_B)\,p^2_{eff} = (N\mu_B^2/3k_B)\,np^2$, i.e. with an effective magnetic moment whose square value $p^2_{eff}$ is $n$-times larger than that obtained in the Curie-Weiss regime. A linear fit of the data in Fig. 16 for temperatures very close to $T_C = 365$ K yields $C = 4.89$ emu K Mol$^{-1}$, 1.75 times larger than the value obtained from the high temperature fit, thus suggesting that the Mn ions for temperatures approaching $T_C$ exist as dimers. Similar conclusions have been previously reached in an investigation of the $La_{0.7}Ca_{0.3}MnO_3$ (x = 0.3) system, although the deviation from the linear Curie-Weiss behavior has been observed at higher temperatures (1.8 $T_C$) than here [77]. Indeed, it is expected that in the presence of magnetic clusters the effective moment yielded by susceptibility measurements is larger than the value given by the nominal average of 0.7 $Mn^{3+}$ and 0.3 $Mn^{4+}$ [76,78]. Our magnetic susceptibility data thus show the existence of a temperature scale $T \approx 470 - 490$ K at which cluster formation starts occurring, and also suggest that we can identify the saturation temperature $T_{SAT} \approx 470$ K revealed by the PE experiments with this temperature scale due to their remarkable close values.

While the magnetic susceptibility data indicate an increase of the magnetic moment on approaching $T_C$, the PE measurements suggest that the Mn magnetic moment increases for increasing temperatures above $T_C$. These are not contradictory findings, since the PE measurements of the Mn 3s splitting yield the magnetic moment of the single Mn atom, while the susceptibility data provide in general information about the effective moment of magnetic clusters of atoms, with the magnitude of the magnetic moment of single atoms being yielded only in the Curie-Weiss limit at high temperatures. Taking into account both the susceptibility and the PE data, our findings suggest indeed that the number of atoms in the clusters might be larger than the average number $n \cong 1.75$ yielded by analyzing only the susceptibility data.

In an attempt to provide a more complete picture of the temperature evolution of cluster formation across the ferromagnetic to paramagnetic transition, we have first calculated the variation with temperature of $p^2_{eff}(T)$ by fitting the $X^{-1}$ vs. T curve shown in Fig. 16 with a high degree polynomial and then taking the first derivative of the resulting fit. We verified that using different polynomial degrees does not affect the determination of the first derivative, thus confirming the robustness of our procedure. When $p^2_{eff}$ (T) is divided by the value of $p^2$ yielded by the linear fit of the $X^{-1}$ vs. T curve at high temperatures, we obtain the temperature variation of the average number of atoms in the cluster $n(T)$ under the assumption that the magnitude of the atomic magnetic moment does not change with temperature (a simplification, since the PE data indicate that it does increase, by about 1 $\mu_B$, i.e. 33 %), with the result being shown in Fig. 17. We have then combined the magnetic susceptibility and the PE data in determining the average number of atoms in the clusters as a function of temperature by dividing $p^2_{eff}$ (T) by the values of $p^2$ (T) yielded by the analysis of the multiplet separation of the Mn 3s core levels shown in Fig. 5a. As shown in Fig. 17, the results of this approach suggest that, upon approaching $T_C$ from above, the increase with temperature of the average number of atoms in the clusters is more gradual than what suggested by the susceptibility data exclusively.

The possibility that the spins of the $x$ Mn$^{3+}$ and ($1-x$) Mn$^{4+}$ ions could effectively change as a result of the process of cluster or magnetic polaron formation has already been considered in the literature. If carriers become localized above $T_C$ as the lattice is distorted and magnetically polarize a number $P$ of neighboring Mn atoms, thus forming ferromagnetic clusters, we can express the square of the effective spin $S_{eff}$ like [76]

$$S_{eff}^2 = x(S_1 + PS_2)(S_1 + PS_2 + 1) + (1 - x - Px)S_2(S_2 + 1),  \qquad (1)$$

where $S_1$ and $S_2$ denote the spins of the Mn$^{4+}$ and Mn$^{3+}$ ion, respectively. Since the number of atoms in the cluster is simply $n = P + 1$, the variation of $p^2_{eff} = 4 S_{eff}^2$ as a function of $P$ is markedly different from the linear behavior expected when the magnitude of the atomic magnetic moments is not allowed to vary. Interestingly, when the variation of the atomic magnetic moment $p(T)$ yielded by the PE measurements is taken into account, the average number of atoms in the cluster is given by $n(T) = p^2_{eff} (T)/p^2 (T)$, so that the variation of $p^2_{eff}$ (T) vs. $P$ follows more closely the curve extracted from Eq. 1, as shown in Fig. 18, thus suggesting that in general one has to take into account the possible temperature variation of the atomic magnetic moments to properly describe ferromagnetic cluster formation.

A complete picture of the temperature evolution of cluster formation across the ferromagnetic to paramagnetic transition would necessarily require the evaluation of the expression $n(T) = p^2_{eff} (T)/p^2 (T)$ for all of the temperatures accessed by the PE experiments of Fig. 4. It is significant that we have found that the magnetic susceptibility data do not show any hysteresis, not even when the temperature steps were changed in such a way that the whole magnetometry experiment would last as long as the PE experiments shown in Fig. 4, i.e. $\approx$ 24 hours. This suggests that, even though the effects shown by the PE measurements do not seem to affect only the outermost surface layers, and despite the fact that other bulk sensitive spectroscopies like XAS, XES and EXAFS have detected signatures of a profound change of the electronic and local crystal structure, the magnetic properties in LSMO seems to exhibit different behaviors depending on the depth inward from the surface. Although it is plausible that strain effects may induce differences between the bulk and the first few hundreds of nanometers within the surface of LSMO, possible resulting in different dynamics of the magnetic transition, at present we do not have a clear explanation for this particular aspect of our data, whose study should constitute the object of future stimulating investigations.

## CONCLUDING REMARKS

In conclusion, we have studied the temperature dependent evolution of the electronic and local crystal structure in cubic colossal magnetoresistive manganites $La_{1-x}Sr_xMnO_3$ (x= 0.3 – 0.4) with core and valence level photoemission (PE), x-ray absorption specroscopy (XAS), x-ray emission spectroscopy (XES), resonant inelastic x-ray scattering (RIXS), extended x-ray absorption fine structure (EXAFS) spectroscopy and magnetometry.

As the temperature is varied across the Curie temperature $T_C$, our PE experiments reveal a dramatic change of the electronic structure that is associated with an apparent increase in the Mn spin moment from $\approx 3$ $\mu_B$ to $\approx 4$ $\mu_B$ and an increase of the BEs of the O, Sr and La atoms, indicative of a modification of the local chemical environment of these constituent atoms. The temperature dependent changes in the Mn 3s splitting and in BEs are reversible and exhibit exhibit an $\approx 200$ K-wide hysteresis centered at $T_C$ with an hours-long timescale, indicative of a first order phase transition. The possibility of expressing the Mn 3s and O 1s spectra taken with $T_C < T < T_{SAT}$ as a linear combination of spectra acquired at low and high temperature indicates the coexistence of unique low- and high- temperature electronic states, consistent with the presence of phase coexistence in these materials. However, our core-level measurements probe only the short-range electronic structure, and so do not provide any information on the sizes of the domains of these two phases. We have further shown that the effects seen in our data cannot be explained by a change of the near-surface stoichiometry, and that they are not artifacts due to surface contamination, adsorption/desorption phenomena and/or surface segregation of atomic constituents. Rather, the effects revealed by our PE measurements are indicative of an intrinsic and profound modification of the electronic structure occurring across the FM – PM phase boundary. In light of these findings, we stress that the role played by different electronic and/or crystallographic phases have to be carefully taken into account when measurements of the type shown here are carried out in different portions of the phase diagrams, especially when data are recorded across a phase boundary.

We have interpreted our PE data as signatures of a localization of a Mn $e_g$ electron onto the Mn atom as temperature is increased above $T_C$. The $e_g$ electron, fully delocalized at low temperature, is more localized onto the Mn atom above $T_C$, thus effectively increasing its exchange interaction with the 3s electrons, resulting in increase of the Mn 3s splitting. The temperature behavior of the BEs of the constituent atoms supports this localization scenario. If the $e_g$ electron, with some charge being shared with the O, La and Sr atoms, is transferred to and localized on the Mn atom, La, Sr, and O core electrons are indeed expected to experience a more positive environment and therefore be detected at higher BE, as indeed observed in our experiments. The weighted-average of the BE of the Mn 3s multiplet and the BE of the Mn 2p core level spectrum decrease slightly with increasing temperature, suggesting that the Mn atom experiences a more negative environment, and consistent with a significant charge transfer to the Mn atom. Our results strongly suggest that, in order to capture the complexity of the physics of the CMR oxides, it is thus necessary to abandon the most simplistic ionic model according to which the valencies of O, La, Sr and Mn atoms are strictly $2^-$, $3^+$, $2^+$ and $(1-x) \square Mn^{3+} + x \square Mn^{4+}$, respectively. The inadequacy of the ionic model is also reflected by the presence of absorption in the pre-edge region of the O K edge XAS spectra, which reveals strong hybridization between the O 2p and Mn 3d states, thus indicating that the holes introduced upon doping with a divalent metal have a mixed Mn 3d – O 2p character, as already recognized by many authors.

Although the magnitudes of the PE effects we have observed may be reduced inward from the surface, the effects do not exclusively occur in the outermost surface layers. Based upon the probing depths accessed in our PE measurements, these effects seem to survive for at least 35-50 Å inward from the surface, or roughly 6 – 10 unit cells, while other signatures indicative of a profound modification of the electronic and local crystal structure are revealed by more bulk sensitive spectroscopies like XAS, XES/RIXS and EXAFS. Interestingly, we observed that when the temperature scans are performed according to the

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same modalities, the measurements with low- and high-photon energy yield the same results, at least for the O 1s spectra, bringing into focus the importance of effects linked to the kinetics of the electronic phase transition indicated by our data. We stress the importance of this point, which should not be over-looked, especially in the study of systems like the manganites which exhibit a pronounce instability towards different phases. In general, because of their first order character, many phase transitions found in the manganites are expected to be strongly affected by overheating and undercooling dynamics, which is very sensitive to the kinetics and modalities of the experiments. Therefore, the details of the kinetics associated with a transition should be fully elucidated, in particular in those cases in which experiments are indicative of phase coexistence, in order to unambiguously determine whether the latter is a fingerprint for the presence of phase separation or, more simply, an effect associated with the overheating-undercooling dynamics of the transition.

The EXAFS measurements indicate the presence of local JTDs of the constituent $MnO_6$ octahedra around the Mn atom that develops with increasing temperature and then saturate once the system becomes paramagnetic. Our results are consistent with another recent EXAFS study and neutron scattering measurements with PDF analysis, although the magnitude of the JTDs for the neutron measurements are larger than reported here. Interestingly, the size of the JTD is only about half that in the Ca-doped compounds, with this difference possibly being the structural signature of the metallic state that survives in the paramagnetic state of the Sr-doped materials.

We have interpreted our data as spectroscopic fingerprints for polaron formation, consistent with the presence of local Jahn-Teller distortions of the $MnO_6$ octahedra around the Mn atom as revealed by the EXAFS data and localization of the Mn $e_g$ electron as suggested by the PE measurements. Support for this scenario is also provided by the O K-edge XAS data, which show a correlation between the presence of JTDs and the absence of the splitting in the pre-edge region, a behavior which has already been observed in other systems for which unambiguous evidence for the presence of local JTD and polaron formation has been reported [65]. By suggesting that polaron formation is a defining characteristic of the high-temperature paramagnetic state for the LSMO compounds, even when the electronic phase is metallic, our results assist in resolving the long standing controversy of the presence of polarons in LSMO, and thus challenge the division of the CMR materials into the two "canonical" and non canonical distinct classes. Our results thus suggest that the presence of polarons above the Curie temperature is a general defining characteristic of all the CMR materials.

Our work provides an insightful description of the interplay between polaron formation and the ferromagnetic transition across the FM - PM phase boundary. In fact, the magnetic susceptibility measurements show typical signatures of ferromagnetic clusters formation above $T_C$ occurring at a temperature $T^* \approx 470 - 490$ K $\cong 1.3$ $T_C$. Interestingly, the temperature $T^*$ at which cluster formation starts occurring is remarkably close to the value of the saturation temperature $T_{SAT} \approx 470$ K unveiled by the PE experiments, thus suggesting that $T_{SAT}$ and $T^*$ could be identified with each other.

Finally, we have pointed out that in general one has to take into account the possible temperature variation of the atomic magnetic moments to properly describe ferromagnetic cluster formation. Our approach, consisting in combining the magnetic susceptibility data and the analysis of the multiplet separation of the Mn 3s core levels PE spectra, suggests that upon approaching $T_C$ from above the increase with temperature of the average number of atoms in the clusters is more gradual than what suggested by the susceptibility data exclusively.

## FIGURE CAPTIONS

Fig. 1    Phase diagram of the LSMO compounds, adapted from ref. [31].  The orange lines denote the increasing-then-decreasing temperature scans involved in our measurements.

Fig. 2    Schematic layout of the experimental geometries.  (a) PE and XAS measurements in the electron yield mode were performed with $\theta = 90°$ as shown.  Later azimuthal PD measurements were with $\theta = 45°$ and the rotation axis about the surface normal. (b) XES/RIXS and XAS measurements in the fluorescence yield mode.

Fig. 3    Overall photoelectron spectrum excited with synchrotron radiation of 1253.6 eV from a fractured surface of $La_{0.7}Sr_{0.3}MnO_3$.  The inset shows a higher-resolution spectrum extending from the Fermi level to a binding energy of about 95 eV.

Fig. 4    Temperature dependence of (a) Mn 3s spectra, (b) O 1s spectra, and (c) VB spectra, including the shallow La 5p, Sr 4p and O 2s core levels.  The photon energy indicated in each panel was chosen so as to yield the same inelastic mean free path $\approx$ 5-6 Å.  The full dataset was completed in about 24 hours.

Fig. 5    Temperature-dependent change of (a) the Mn 3s multiplet separation and (b) the O 1s BE and the weighted average Mn 3s BE.  Note the opposite direction of the BE shifts for the O 1s and Mn 3s core levels.  The dotted blue line denotes the Curie temperature $T_C$.

Fig. 6    (a) Temperature dependent change of the intensity ratio of the Sr 4p and La 5p core level intensities. Also shown for comparison is the temperature dependent change of the Mn 3s multiplet separation. (b) Intensity ratio changes as a function of azimuthal angle rotation for the Sr 3d, La 4d and Mn 2p core level spectra.  Note the minimal variation of the [Sr 3d] / [La 4d] intensity ratio, consistent with Sr and La occupying equivalent lattice sites.  The angle $\theta$  between the direction of the outgoing photoelectrons and the sample surface normal was set to 45°.  (c) Temperature-dependent change of the intensity ratio of the "bulk" O 1s and Mn 3s core level spectra, along with the temperature-dependent change of the Mn 3s multiplet separation for comparison.  The green line in a) and c) denotes the Curie temperature $T_C$.  In a) and c), the blue and black lines are a guide to the eye, while the orange lines are five-point Savitzky-Golay smoothing curves of the data points.  The arrowheads along the orange lines denote the scan direction.

Fig. 7    (a) Mn 2p (a) and (b) O 1s core level spectra below (black) and above (red) $T_C$.  Note the opposite shifts in BE as temperature is increased through $T_C$.  The photon energies used were 1090 eV and 1253.6 eV for the Mn 2p and O 1s spectra, respectively.

Fig. 8  (a) O KLL Auger spectra recorded below (120 K, black line) and above (500 K, red line) $T_C$. The Auger spectra were excited with photons of 990 eV in order to avoid superpositions of the Auger peak with other core level peaks.  (b) O 1s core level spectra recorded below and above $T_C$ with a photon energy of 990 eV.  (c) VB spectra recorded below and above $T_C$ with photons of 950 eV, which should be approximately in the XPS (density of states) limit.  (d) The Auger spectra below and above $T_C$ plotted on the two-hole scale.  Also shown are the SCDOS determined by the self-convolution of the whole VB (green line), and by the self-convolution of the VB after removing the Mn $e_g$ and $t_{2g}$ states (blue line). Note the increase of U (the distance between the center of the SCDOS and the Auger peak) for temperatures higher than $T_C$.

Fig. 9  PE spectra of the Mn 3s (a) and Mn 3p (b) core level spectra excited with 950 eV photons, thus yielding an inelastic mean free path $\approx$ 15 Å, which corresponds to 3 - 4 cubic unit cells.  Note the qualitative similarity of the Mn 3s spectra to those shown in Fig. 4a, indicating that the increase of the multiplet sepration above $T_C$ is not an effect occurring exclusively in the outermost surface layers.  The BE of the main peak in the Mn 3p spectrum (b) decreases when the temperature is increased above $T_C$, consistent with the behavior of the weighted-average Mn 3s BE (Fig. 5b) and the Mn 2p spectra (cfr. Fig. 7a).

Fig. 10  Comparison between the Mn 3s and O 1s spectra recorded with low (120 -130 eV) and high (870 – 920 eV) kinetic energy of the photoelectrons.  The data were taken within the same experiment which provided the data shown in Fig. 4.  The data have been recorded first at the beginning of the experiment (110 K), then at the maximum temperature of 500 K, and finally at the end of the experiment after the sample has been cooled down to 130 K.  Note the marked difference between the intensity of the "surface" peak with respect to that of the "bulk" peak for the O 1s core level spectra in b) and d).

Fig. 11  Temperature dependence of the pre-edge region in the O K-edge XAS spectra detected (a) with secondary electrons of $\approx$ 100 eV kinetic energy and (b) in the fluorescent yield mode (b).  The double peak structure disappears for temperatures higher than $T_C$, a signature of polaron formation as proposed in ref. [65].  Note the similarity of the spectral features, despite the fact that these spectra have been collected with secondary electrons in a) and in the fluorescent yield mode in b), suggesting that these effects cannot be surface-related.  The spectra were recorded during the cooling-down cycle in (a), and the warming-up cycle in (b).

Fig. 12  Temperature dependence of the L-edge region (Mn $2p_{3/2}$) in the Mn XAS spectra detected with secondary electrons of $\approx$ 100 eV kinetic energy.  Note the loss of intensity of the structure at 638 eV as temperature is decreased below $T_C$.  The spectra were recorded during the cooling-down cycle.

Fig. 13  Temperature dependence of XES and RIXS spectra corresponding to (a-b) the Mn 3d $\rightarrow$ Mn 2p and (c-d) O 2p $\rightarrow$ O 1s transitions.  As temperatures go above $T_C$, there is progressive reduction of the width of the Mn spectra (a-b) and a slight broadening and shift toward higher energies for the O spectra (c-d).  Note how the most bulk sensitive spectra collected well above resonance (panels b and d) exhibit opposite shifts as a function of temperature, quite reminiscent of the shifts in opposite direction of the O 1s and Mn 2p core level PE spectra as shown in Figs. 5b and 7.

Fig. 14  Variance $\sigma^2$ of the Mn-O bond length distribution extracted from the bulk sensitive EXAFS measurements at the Mn K-edge.  Also shown for comparison are data from $La_{0.75}Ca_{0.25}MnO_3$, which is known to exhibit JTDs, and $CaMnO_3$, a JTD-free material. Also shown are three curves calculated within a correlated Debye model. Note that, although the behaviors for LSMO and $La_{0.75}Ca_{0.25}MnO_3$ are qualitatively similar, the magnitude of the JTD in LSMO is only about half that in the Ca-doped compounds.

Fig. 15   Two-phase fitting results for some  (a) Mn 3s and (b) O 1s core level spectra at different temperatures.  The spectra have been fitted with a linear superposition of the spectra at low temperature (< 200K) and high temperature ($\geq T_{SAT}$).  The only free parameter used in the fit is the fraction $f$ of the high temperature spectrum.  The possibility of expressing the spectra taken with $T_C < T < T_{SAT}$ as a linear combination of spectra acquired at low and high temperatures suggest the coexistence of unique low- and high- temperature electronic phases, consistent with the presence of phase coexistence in these materials.

Fig. 16    Inverse magnetic susceptibility extracted from magnetic susceptibility measurements on the same crystal used for the experiment shown in Fig. 4.  The red and blue lines denotes the fit to the curve for temperatures well above $T_C$ (red) and approaching $T_C$ (blue), while the red and blue vertical arrows indicate the range of data point used to yield the fits.  The cross-hatched area marks the temperature below which significant deviation of the curve from the linear Curie-Weiss type behavior occurs.

Fig. 17   Average number of atoms in the clusters determined with the magnetic susceptibility data alone (red curve), and combining the magnetic susceptibility data with the information provided by the analysis of the multiplet separation of the Mn 3s core level spectra, as described in the text.  When the latter is taken into consideration, the increase with temperature of the average number of atoms in the clusters upon approaching $T_C$ from above is more gradual than what is suggested by the susceptibility data exclusively.

Fig. 18   The variation of $p^2_{eff}$ (T) as a function of $P$, the number of spin polarized neighboring Mn atoms.  When the variation of the atomic magnetic moment p(T) as derived from an analysis of the multiplet separation in the Mn 3s core level PE spectra is taken into account, the variation of $p^2_{eff}$ (T) vs. P (red curve) follows more closely the curve extracted from Eq. 1 of ref. [76] (blue curve) than the straight line result obtained by considering the magnetic susceptibility data alone.

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Fig. 1

Fig. 2

Fig. 3

T = 135 K

$T_{max}$ = 500 K

$T_C$ = 365 K

T = 110 K

Mn 3s
hν = 212 eV

TIME

96   94   92   90   88   86   84   82   80   78

**Binding Energy  (eV)**

Fig. 4a

T = 135 K
$T_{max}$ = 500 K
$T_C$ = 365 K
T = 110 K

O 1s
hν = 652 eV

Fig. 4b

TIME

Bulk

Surface

Binding Energy (eV)

533 532 531 530 529 528 527 526 525

Fig. 4c

VB + core levels
hv = 212 eV

T = 135 K
$T_{max}$ = 500 K
$T_C$ = 365 K
T = 110 K

TIME

O 2s
Sr 4p
La 5p
VB$_4$
VB$_3$
VB$_2$  VB$_1$

Binding Energy (eV)

26  24  22  20  18  16  14  12  10  8  6  4  2  0

Fig. 5a

Fig. 5b

**(a)**

[Sr 4p] / [La 5p]

Fig. 6

Fig. 6

(b) ■ [Sr 3d] / [La 4d]     θ = 45°
● [Sr 3d] / [Mn 2p]
▲ [La 4d] / [Mn 2p]

**(c)**

[O 1s "bulk"] / [Mn 3s]

Fig. 6

(a)

$h\nu$ = 1090 eV

0.70 eV

Counts (A.U.)

Binding Energy (eV)

T = 130 K

T = 520 K

Fig. 7

Binding Energy (eV)

**(b)**

T = 130 K
T = 520 K

Counts (A.U.)

0.20 eV

527.8  527.6  527.4  527.2
Binding Energy (eV)

Fig. 7

h$\nu$ = 1253.6 eV

534    532    530    528    526
**Binding Energy (eV)**

**Fig. 8**

# Fig. 9



**Panel (a):** Mn3s, hv = 950 eV
- T = 135 K
- T(max) = 500 K
- T_C = 365 K
- T = 115 K

Binding Energy (eV): 96, 94, 92, 90, 88, 86, 84, 82, 80, 78

**Panel (b):** Mn3p, hv = 950 eV
- T = 135 K
- T(max) = 500 K
- T_C = 365 K
- T = 115 K

Binding Energy (eV): 72, 69, 66, 63, 60, 57, 54, 51, 48, 45, 42

Fig. 10

a)

Counts (AU)

120 K
260 K
530 K

Photon Energy (eV)

Fig. 11a

b)

Counts (AU)

Photon Energy (eV)

T = 100 K
T = 445 K
T = 520 K

Fig. 11b

Fig. 12

**c)**

Counts (AU)

| | |
|---|---|
| ■ | T = 100 K |
| ● | T = 445 K |
| ▲ | T = 520 K |

Photon Energy (eV)

**d)**

Photon Energy (eV)

Fig. 13

Fig. 14

Fig. 15a

Fig. 15b

Fig. 16

Fig. 17

Fig. 18