

# 1 Effect of pressure on the crystal structure of ettringite

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## 11 12 **Abstract**

13 X-ray diffraction and infrared data have been collected from a sample of ettringite from ambient  
14 pressure to 6.4 GPa. The sample was found to reversibly transform to an amorphous phase at 3  
15 GPa. The isothermal bulk modulus of ettringite was found to be 27(7) GPa and the  
16 incompressibilities of the lattice parameters were found to be 71(30) GPa along **a** and 108(36)  
17 GPa along **c**.

18  
19 *Keywords: B. Amorphous Material; Crystal Structure; X-ray Diffraction; D. Ettringite*

## 20 21 **1. Introduction**

22 Ettringite is an hydrated calcium aluminum sulfate hydroxide ( $\text{Ca}_6\text{Al}_2(\text{SO}_4)_3(\text{OH})_{12} \cdot 26\text{H}_2\text{O}$ ). It is a  
23 mineral that rarely occurs in nature but is an important hydration product of portland cement,  
24 formed from the reaction of calcium aluminate with gypsum, affecting both short term strength  
25 development [1] and long term stability [2,3]. The formation of secondary ettringite is associated  
26 with the expansion and degradation of concrete exposed to sulfate attack [4]. The crystal  
27 structure of ettringite is known [5,6,7,8]. It is composed of columns of  $\text{Ca}_6[\text{Al}_2(\text{OH})_{12} \cdot 24\text{H}_2\text{O}]^{6+}$   
28 lying parallel to the c-axis with sulfate and water molecules in the inter-column channels joining  
29 the whole structure together by a network of hydrogen bonds (fig. 1). The structure is quite open

30 with a large proportion of interconnected void space allowing some mobility of water and hydrated  
31 ions. The ettringite structure is quite flexible allowing interchange of atomic species resulting in  
32 the structure being adopted by other minerals with through series solid solution [9] and potential  
33 applications in waste management [10].

34 The thermal dehydration of ettringite has been studied [11, 12, 13, 14] with some disagreement  
35 over the exact order of hydroxyl and free water leaving the crystal but general agreement over a  
36 large water loss at 110°C followed by amorphization of the sample after the loss of 20 water units.  
37 Given that 80% of the atoms in this mineral are either part of a water molecule or an hydroxide,  
38 resulting in a specific gravity of 1.7 one could regard ettringite as being almost all water and as  
39 such might expect it to be an extremely soft solid and potentially unstable under compression.  
40 Here we report the results of a x-ray and infrared study of the effect of pressure on the crystal  
41 structure of ettringite. Although the pressures that our ettringite sample was subjected to in this  
42 study are much higher than could exist in a cement paste this approach to studying the stability of  
43 cement phases is important since it gives us insight into the underlying factors controlling phase  
44 stability and helps us understand the possible mechanisms controlling reactivity by analogy to  
45 simpler systems.

46

## 47 **2. Experimental**

48 The samples investigated in this study were obtained from a natural sample of ettringite from  
49 N'Chwanning mine, Kuruman, South Africa [15]. The sample was characterized by laboratory  
50 powder X-ray diffraction. The measured unit-cell parameters are  $a_0 = 11.240 \pm 0.001 \text{ \AA}$ ,  $c_0 =$   
51  $21.468 \pm 0.006 \text{ \AA}$ , in good agreement with values from the literature [5,6]. A transparent,  
52 prismatic fragment 5mm long and 2mm wide was picked from a larger specimen and ground to a  
53 fine powder of about 5 $\mu\text{m}$  particle size.

54

55 High-pressures were generated using diamond anvil cells (dacs). Typical features of a dac are  
56 shown in figure 2. Samples are contained between two opposed diamonds. A thin metal foil is  
57 pressed between the diamonds and a hole drilled in the center to give a sample chamber. The

58 sample together with a few chips of ruby, which are used to determine pressure, and a pressure  
59 transmitting fluid are loaded into the hole in the gasket. Pressing the diamonds together  
60 decreases the sample chamber volume and increases the pressure.

61

62 Pressure volume data were obtained by powder X-ray diffraction at beamline 12.2.2 at the  
63 Advanced Light Source (ALS). This beamline benefits from hard x-radiation generated by a  
64 superbend magnet. The beamline operates in angle dispersive geometry (monochromatic) and is  
65 equipped with an image plate detector and suitable collimation and goniometry for powder  
66 diffraction measurements from samples held in diamond anvil cells [16]. Data were collected  
67 using X-radiation of 15 keV energy (wavelength  $\lambda = 0.82653 \text{ \AA}$ ). The beam size at the sample  
68 was set at  $20 \times 20 \text{ \mu m}^2$  in order to ensure that no diffraction from the gasket material  
69 contaminated our diffraction patterns. Any scatter from our focusing optics was removed using  
70  $100\text{ \mu m}$  Ta cleanup pinhole positioned about 60mm in front of the diamond anvil cell. Exposure  
71 times of 300s were found to be sufficient to give powder diffraction patterns of adequate signal to  
72 noise ratio. Powder diffraction data were collected at a total of 14 pressure points in two separate  
73 runs. For both runs, a small amount of the powdered ettringite sample was loaded into a  $250\text{ \mu m}$   
74 hole in a stainless steel gasket ( $250\text{ \mu m}$  thickness, pre-indented to  $70\text{ \mu m}$ ) together with a ruby  
75 sphere for pressure determination [17,18]. In both runs a membrane diamond anvil cell equipped  
76 with  $500\text{ \mu m}$  culet diamonds (1.6 mm height) and WC-backing plates was used. For the first run  
77 (10 pressure points) we used silicone oil as pressure medium whereas in the second run (4  
78 pressure points) the sample was embedded in a 4:1 mixture of methanol:ethanol. In both runs  
79 diffraction patterns were collected while both increasing as well as decreasing pressure.  
80 Pressure was measured off-line using the ruby fluorescence method. The 488 nm line of an Ar-  
81 ion laser was used to excite the fluorescence of the ruby crystal loaded into the gasket sample.  
82 The pressure dependent fluorescence line was measured using a Roper Scientific spectrometer  
83 and analyzed using the WinView software supplied by Roper Scientific.

84

85 High-pressure infrared data were collected at beamline 1.4.3 of the advanced light source  
86 [19,20,21]. The infrared beam is collimated to about 10 $\mu$ m in diameter. Spectra were collected  
87 using a Nicolet Magna 760 FTIR spectrometer with a resolution of 4 cm<sup>-1</sup>. A special low profile  
88 diamond anvil cell suitable for use with the Nicolet spectrometer was used to generate high-  
89 pressures. The cell used 300 $\mu$ m culets and type 1a diamonds. Samples were contained in a  
90 150 $\mu$ m hole drilled into a stainless steel gasket. The gasket hole was first filled with KBr which  
91 had been dried for three days at 150°C in a vacuum oven. The KBr sample was compressed  
92 between the diamonds to give a well packed gasket hole. A small amount of the KBr was then  
93 removed from and the sample and one or two ruby chips were then packed into this small hole.  
94 Pressures were measured using the ruby fluorescence method. The ruby chips were placed  
95 close to the sample in order to minimize errors in pressure measurement. Prior to the acquisition  
96 of each sample spectrum a background spectrum was collected in an area of the KBr where there  
97 was no sample. Subsequently, a spectrum was collected from the sample from which the  
98 background spectrum was subtracted. Spectra were collected with increasing pressure from  
99 ambient to 6.4GPa and then with decreasing pressure to ambient. A number of extra pressure  
100 cycles were performed to check the reproducibility of our results.

101

### 102 **3. Results**

103 An ambient pressure powder diffraction pattern from ettringite held in a diamond anvil cell is  
104 shown in figure 3. The shadow of the x-ray backstop is visible in the center of the pattern as well  
105 as the diffraction rings from the sample. All of our X-ray data were radially integrated to give two  
106 dimensional powder diffraction patterns using the fit2d program [22] using beam center, detector  
107 tilt and sample to detector distance determined using fit2d from a powder diffraction pattern from  
108 the NBS LaB<sub>6</sub> powder diffraction standard. The result of integrating the ambient pattern of figure 3  
109 is shown in figure 4. All diffraction peaks were found to originate from the sample except for a  
110 peak at about 7 Å which we were unable to assign. The result of a weighted LeBail fit to the  
111 ambient data using the Hartman and Berliner [8] structure as a starting point is shown in figure 4.  
112 The background was modeled with a shifted Chebyshev function, peak profiles were fitted using

113 a pseudo-Voigt function [23]. We obtained an adequate fit to our data which yielded lattice  
114 parameters of 11.2422(4) Å for **a** and 21.496(1) Å for **c** (where the number in parentheses is the  
115  $1\sigma$  uncertainty in the last digit) which compare well with the literature values of 11.26 Å and 21.48  
116 Å [5,6] although they do deviate somewhat from the Hartman and Berliner values of  
117 11.166881(82) Å and 21.35366(22) Å [8]. The effect of pressure on the diffraction pattern of  
118 ettringite can be seen in figure 4 which contains a stack plot of the diffraction patterns collected  
119 using silicone oil as a pressure transmitting fluid. On increasing pressure to 1.2 GPa the  
120 diffraction peaks are seen to move to lower d-spacing, as the unit cell volume decreases, but the  
121 overall diffraction pattern does not show any significant change. At 2.4 GPa the peaks broaden  
122 and weaken and at 3.7 GPa are all gone except for a broad peak at about 9 Å. On decreasing  
123 pressure the broadened peaks are found to reappear. We were able to obtain satisfactory  
124 weighted LeBail fits to our 0.5 GPa and 1.2 GPa diffraction patterns (table 1) but no satisfactory fit  
125 could be obtained with the subsequent patterns. An estimate of the bulk modulus of ettringite and  
126 incompressibility of the lattice parameters was made by linear fit to the pressure dependence of  
127 the unit cell volume and lattice parameters that we determined (figure 6). The isothermal bulk  
128 modulus of ettringite was found to be 27(7) GPa and the incompressibilities of the lattice  
129 parameters were found to be 71(30) GPa along the **a**-direction and 108(36) GPa along the **c**-  
130 direction. Data were also collected using a 4:1 methanol:ethanol mixture as a pressure  
131 transmitting medium instead of silicone oil in order to check if the sample environment has any  
132 effect on these transitions. The general behavior was found to be the same with the peaks  
133 broadening, disappearing and reappearing but the lattice parameters that we obtained differed  
134 markedly from those obtained in silicone oil.

135

136 An infrared spectrum collected from an ettringite sample held in a diamond anvil cell at ambient  
137 pressure is shown in figure 7. Strong absorption lines from the diamonds are seen between 1800  
138 and 2600 $\text{cm}^{-1}$ . We assign the sharp absorption at 3634 $\text{cm}^{-1}$  as being due to non-hydrogen  
139 bonded O-H stretch, the broad absorption at 3422 $\text{cm}^{-1}$  as due to hydrogen bonded O-H stretch  
140 and free water, the peak at 1674 $\text{cm}^{-1}$  as due to O-H bend and the peak at 1108 $\text{cm}^{-1}$  as due to S-

141 O stretch from  $\text{SO}_4^{2-}$  groups in line with previous assignments [24-27]. The effect of pressure on  
142 the infrared spectrum of ettringite is shown in figure 8. In the O-H stretch region (figure 8a) the  
143 non-hydrogen bonded O-H stretch absorbance is seen to decrease with increasing pressure and  
144 disappear at about 3 GPa. At the same time the broad hydrogen bonded absorption further  
145 broadens up to the maximum pressure of 6.4 GPa. On decreasing pressure the broad hydrogen  
146 bonded absorption is seen to sharpen and the non-hydrogen bonded absorption reappears to  
147 give a spectrum that is very similar to the original ambient spectrum. In the low frequency section  
148 of the spectra (figure 8b) we see the O-H stretch band broaden with pressure and sharpen on  
149 pressure release while the sulfate band does not change in width or intensity but moves to lower  
150 frequency on pressurization and higher frequency on pressure release. The position of the  
151 sulfate band as a function of pressure is shown in figure 9. The band is seen to linearly increase  
152 in frequency at a rate of about  $12 \text{ cm}^{-1}/\text{GPa}$  until about 3GPa when it then linearly increases at a  
153 rate of about  $5.9 \text{ cm}^{-1}/\text{GPa}$ .

154

#### 155 **4. Discussion**

156 Both the infrared and diffraction data show a transformation in ettringite at about 3 GPa. The x-  
157 ray data show the structure of ettringite transforming to a distorted version of the ambient crystal  
158 structure and then losing long range order as the pressure is increased ending up with only a  
159 broad basal reflection. We interpret this as being due to strain on the crystal structure inducing  
160 increasing amounts of disorder leading to amorphization. The return of x-ray diffraction peaks  
161 indicates that the crystalline to amorphous transition is reversible. Our data indicate that this is a  
162 continuous transition but further data collection with a finer pressure sampling is required to  
163 confirm this. The infrared data give us some indication of the mechanism of this amorphization.  
164 We see a decrease in the number of hydroxyl groups that are non-hydrogen bonded and an  
165 increase in the width of the hydrogen bonded O-H stretch peak. This we interpret as being due to  
166 an increased number of hydroxyl bonding environments as the crystal structure becomes more  
167 disordered. The sulfate S-O stretch band moves to higher frequency with increasing pressure  
168 which we interpret as being due to bond shortening but remains intact through out the

169 amorphization and re-crystallization. We interpret this as indicating that the basic structural units  
170 within the crystal structure remain intact but disorder with respect to each other and the free water  
171 in the structure. We can not tell if the amorphization is associated with dehydration of the  
172 structure as happens during thermal decomposition [14] but the prevalence of the hydroxyl bands  
173 and the reversibility of the transitions suggest that no dehydration occurs.  $\text{Ca}(\text{OH})_2$  [28],  $\text{Ni}(\text{OH})_2$   
174 and  $\text{Co}(\text{OH})_2$  [29] all exhibit a reversible pressure induced amorphization near 11GPa. These  
175 amorphizations have been found to be caused by disruption of the network of hydrogen bonds  
176 [30]. This may also be the case for ettringite.

177

### 178 **Acknowledgements**

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262

263 **Figure captions**

- Fig. 1 Crystal structure of ettringite projected on to the (0001) plane [6].  $\text{Ca}_6[\text{Al}_2(\text{OH})_{12}\cdot 24\text{H}_2\text{O}]^{6+}$  columns lie parallel to the c-axis with sulfate and water molecules in the inter-column channels. Ca atoms are displayed as blue circles, oxygen atoms in red, aluminum atoms in light blue, sulfate tetrahedral in yellow and hydrogen atoms in grey.
- Fig. 2 Schematic diagram of a diamond anvil cell.
- Fig. 3 Raw 2-dimensional powder diffraction pattern from an ettringite sample contained in a diamond anvil cell at ambient pressure prior to reduction to a 1-dimensional pattern using the fit2d [18] program.
- Fig. 4 1-dimensional powder pattern from an ettringite sample contained in a diamond anvil cell at ambient pressure together with a simulated pattern, calculated from a LeBail fit to our data, and a difference plot. Vertical tick marks show the predicted positions of diffraction peaks.
- Fig. 5 Stack plot showing x-ray powder diffraction patterns collected from an ettringite sample at increasing pressures from ambient to 3.7 GPa and decreasing pressure from 3.7 to 1.1GPa.
- Fig. 6 Lattice parameters (a and b) and volume (c ) determined for ettringite as a function of pressure. Linear fits to these data were used to estimate the isothermal bulk modulus and the two linear incompressibilities along the **a** and **c** directions.
- Fig. 7 Ambient infrared pattern collected from a sample of ettringite at ambient pressure in a diamond anvil cell. The strong absorption bands between  $1800$  and  $2600\text{cm}^{-1}$  are due to absorption by the diamonds.
- Fig. 8 Variation of the infrared absorbance as a function of pressure in the  $3000\text{-}3800\text{cm}^{-1}$  (a) and  $900\text{-}1800\text{cm}^{-1}$  (b) ranges.
- Fig. 9 Variation of the sulfate infrared absorption band of ettringite as a function of pressure.

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265

266 **Table caption**

267

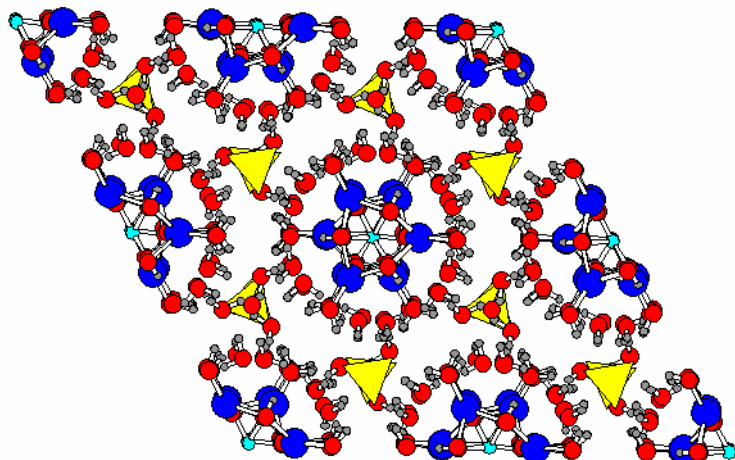
Table. 1 Results of a LeBail fit to our data using the GSAS program.

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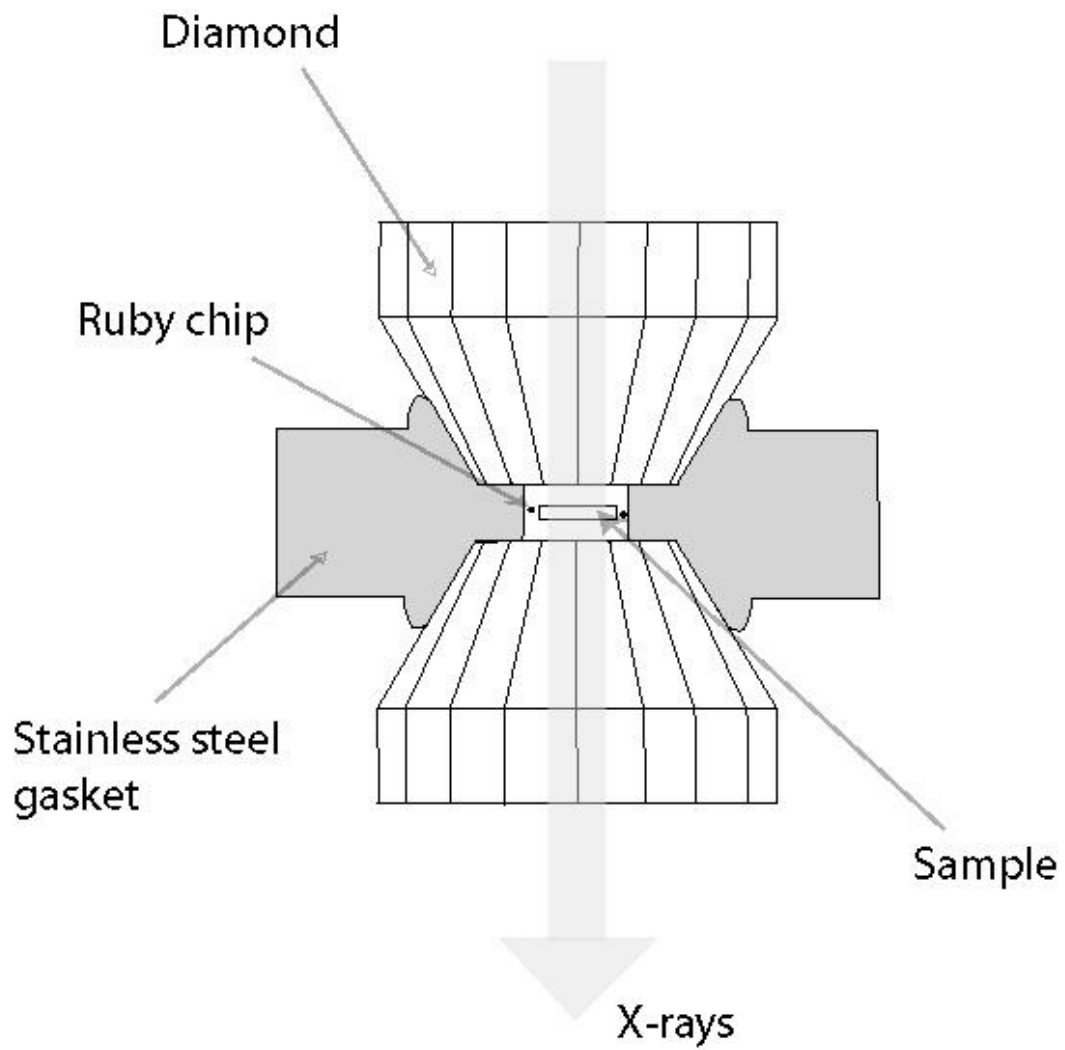
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271 Fig. 1



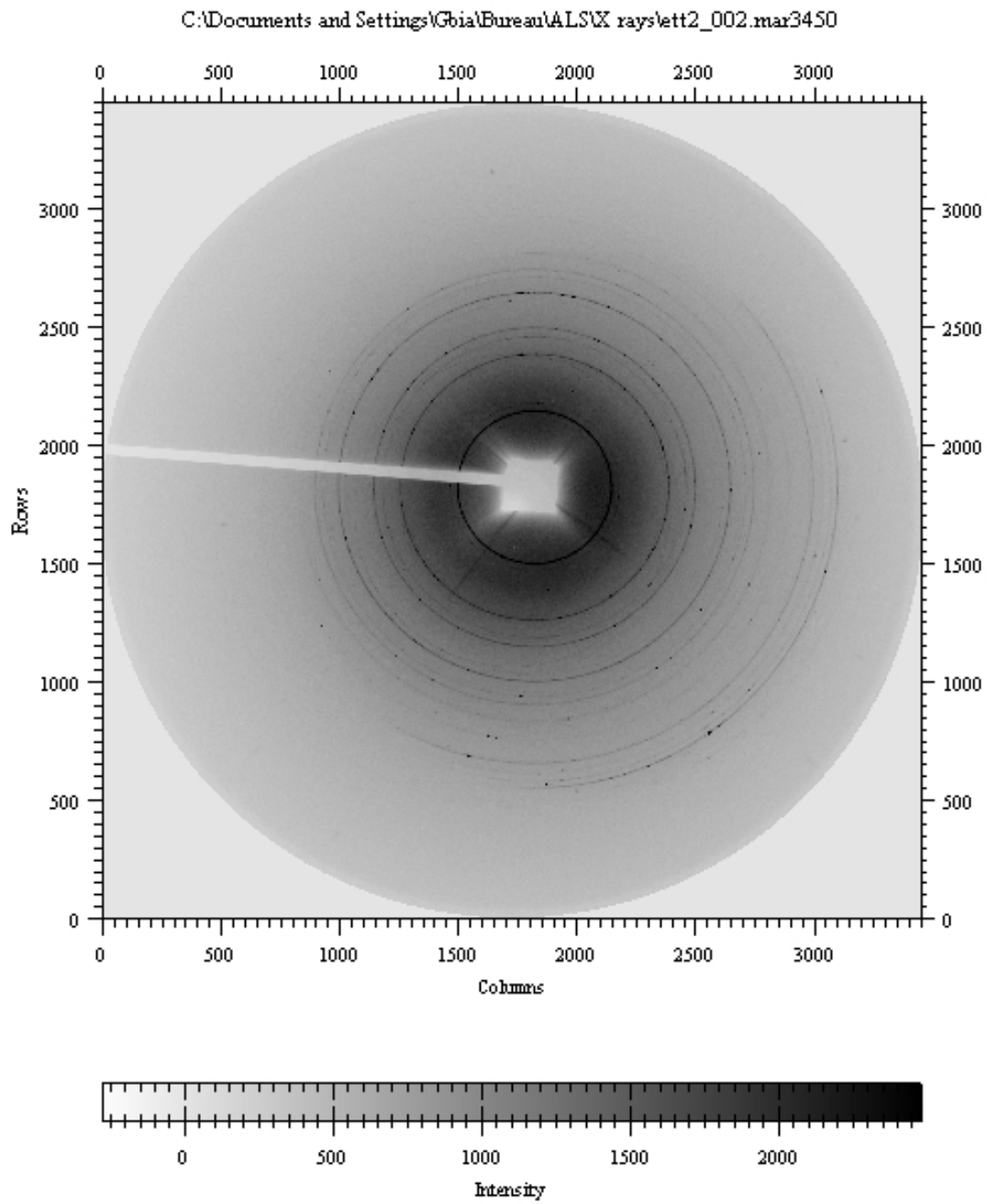
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273 Fig. 2  
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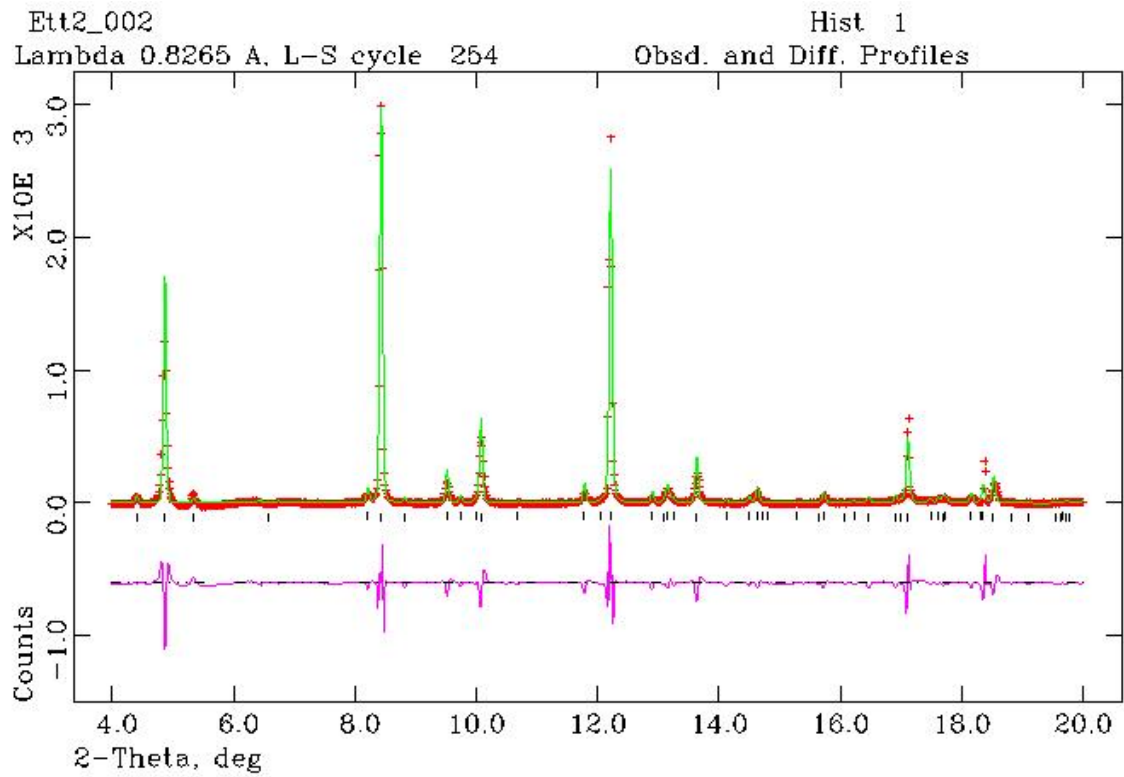
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276 Fig. 3  
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280 Fig. 4  
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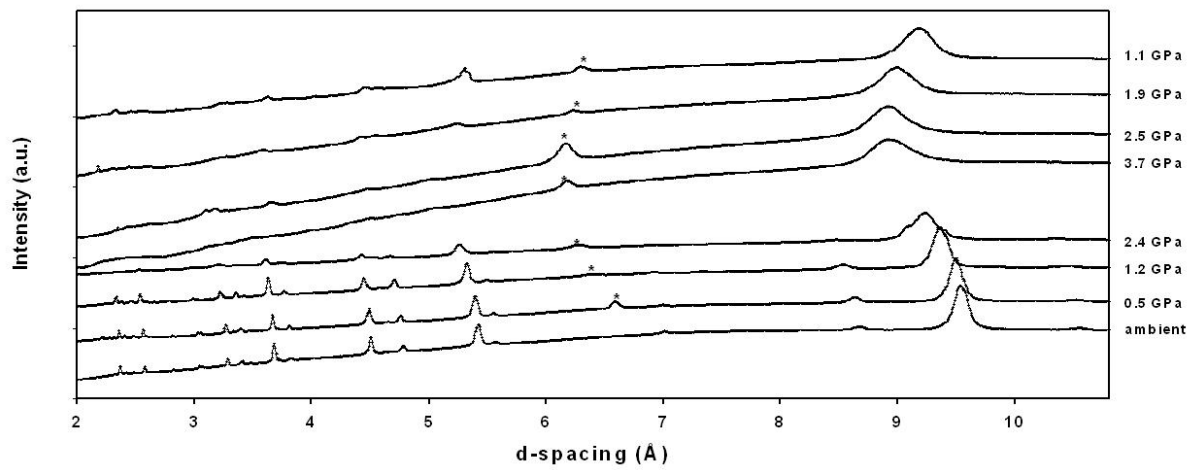


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285 Fig. 5

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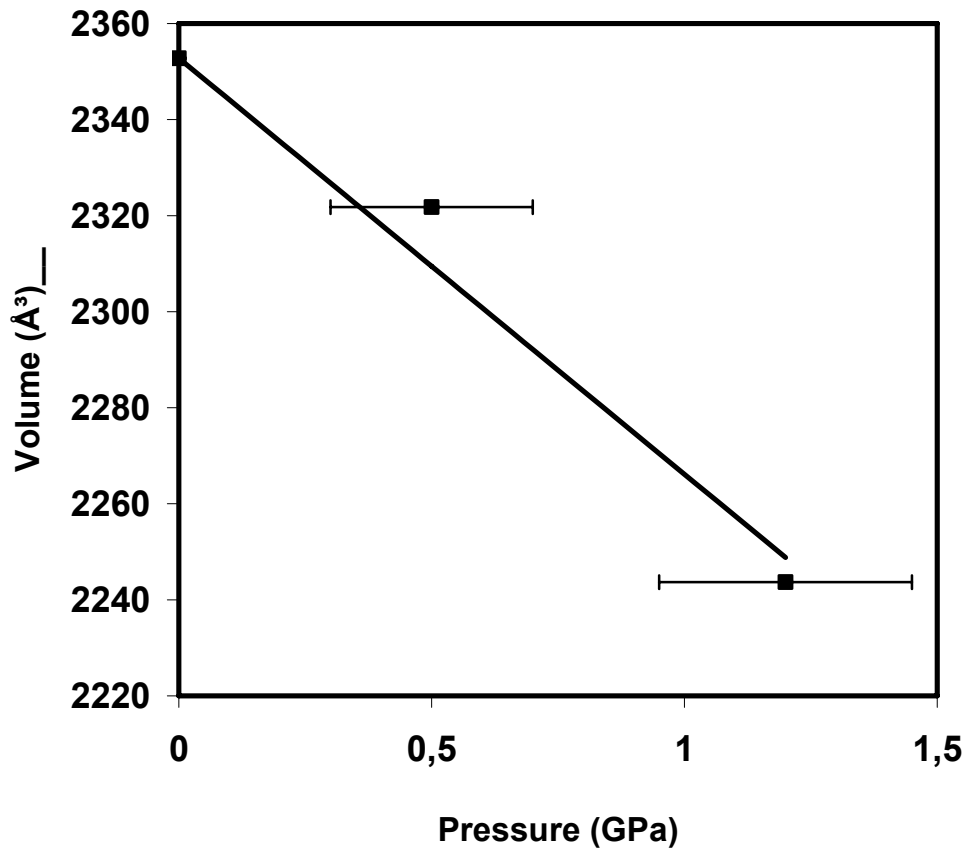


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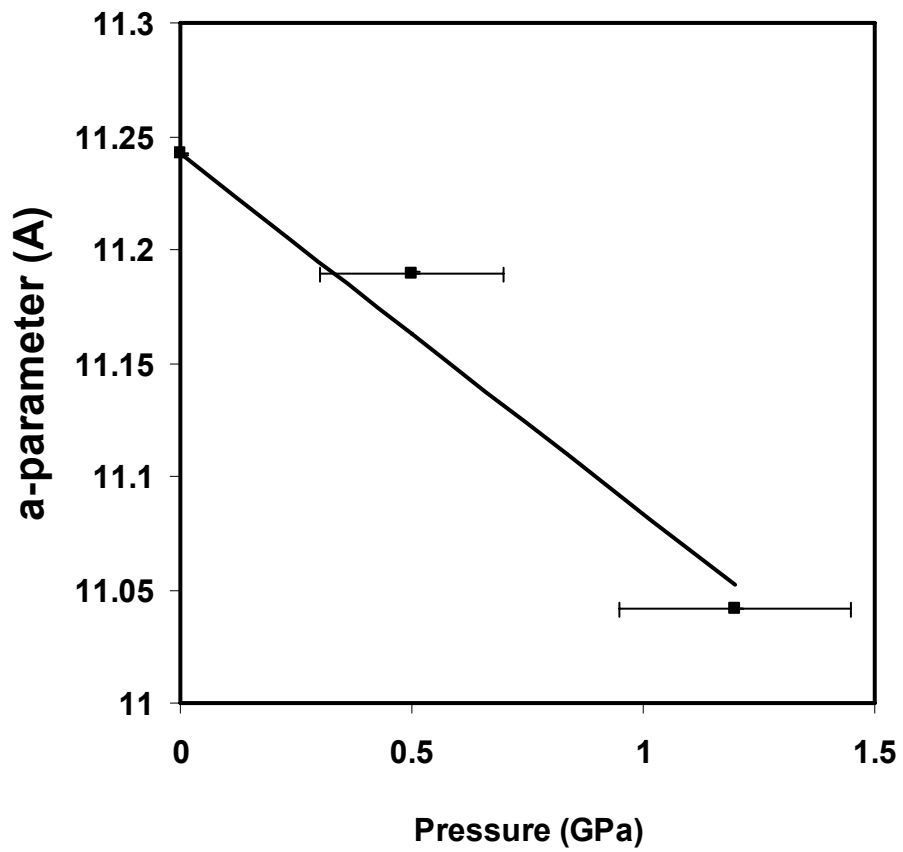
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290 Fig. 6a  
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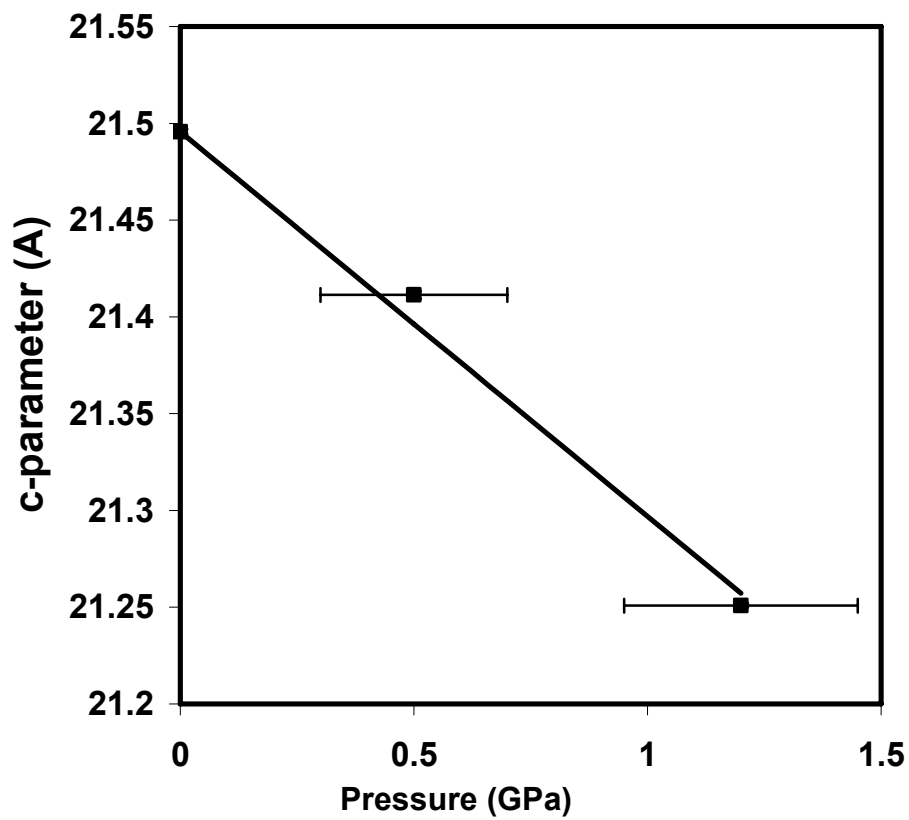
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295 Fig. 6b.  
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297 Fig. 6c

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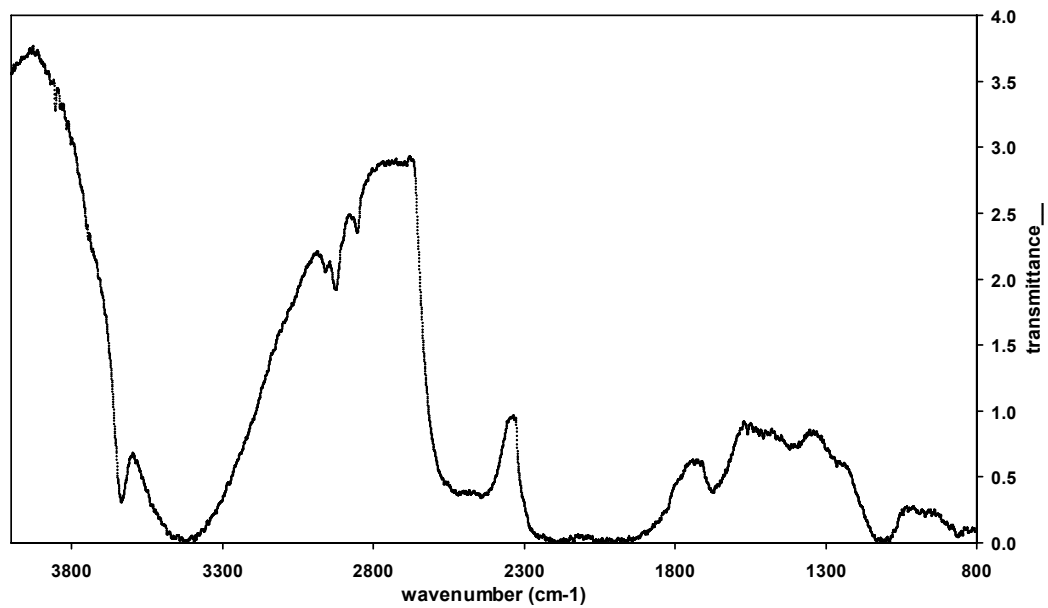
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301 **Fig. 7**

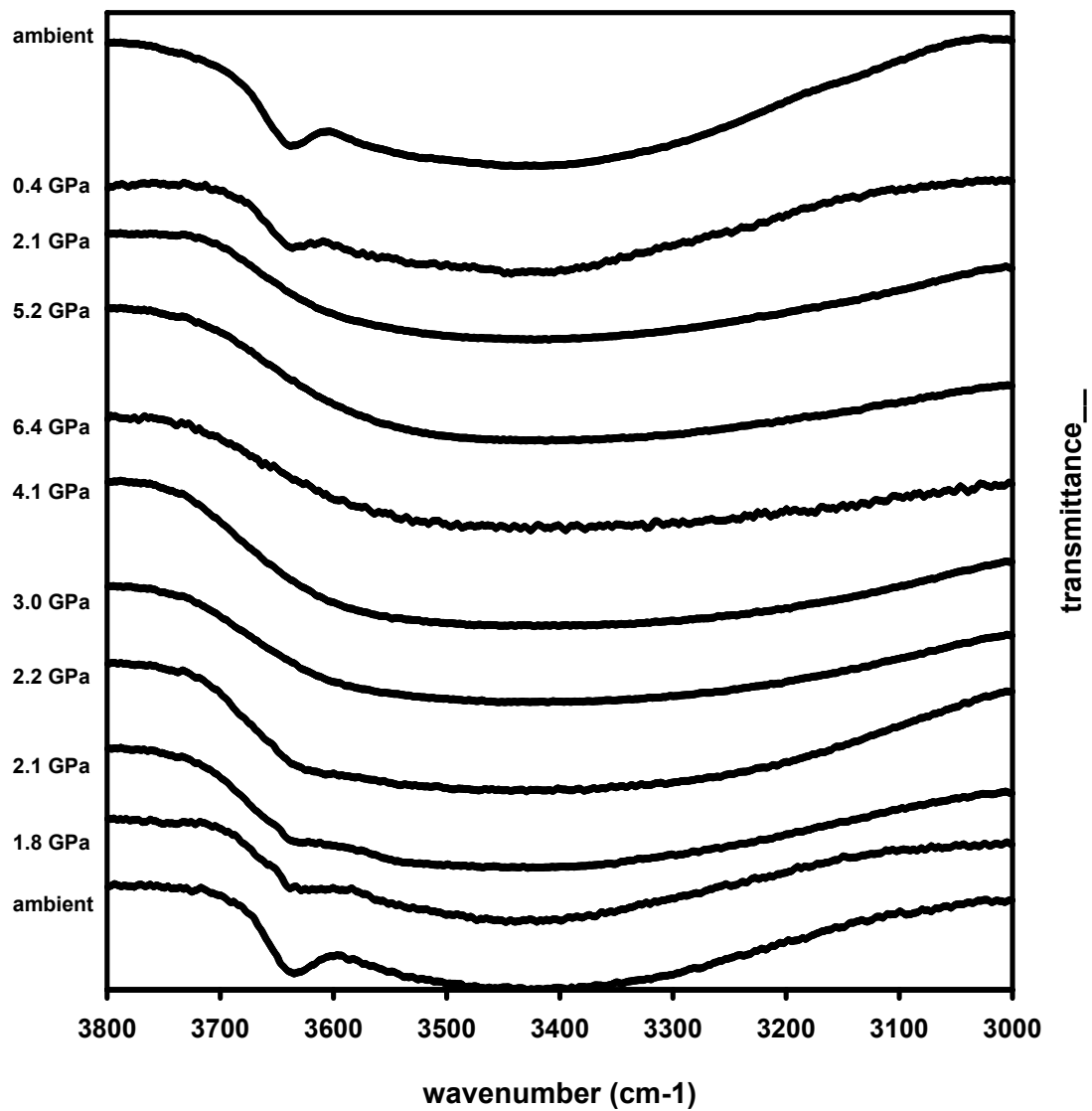
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305 Fig. 8a  
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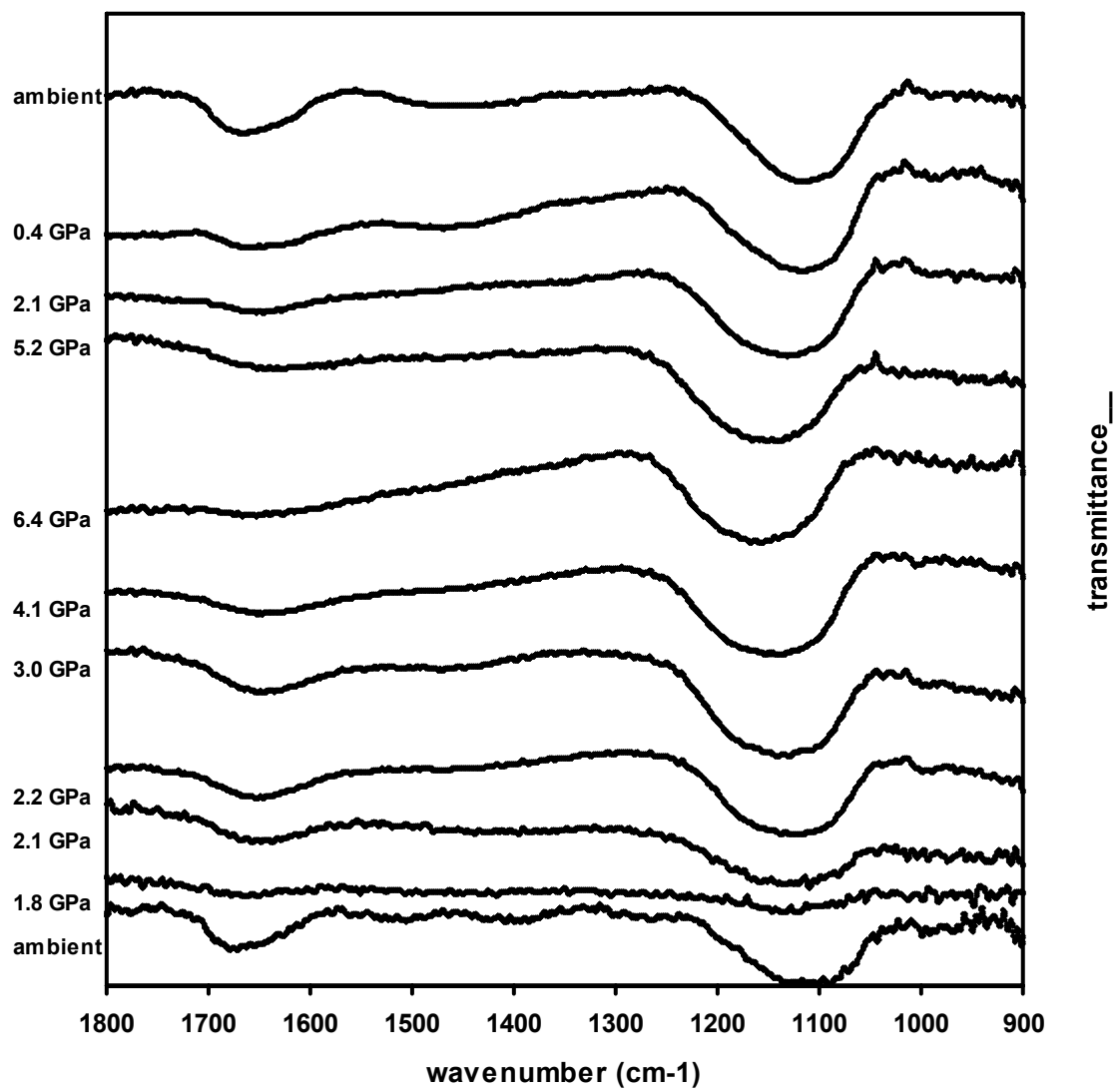


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309 Fig. 8b

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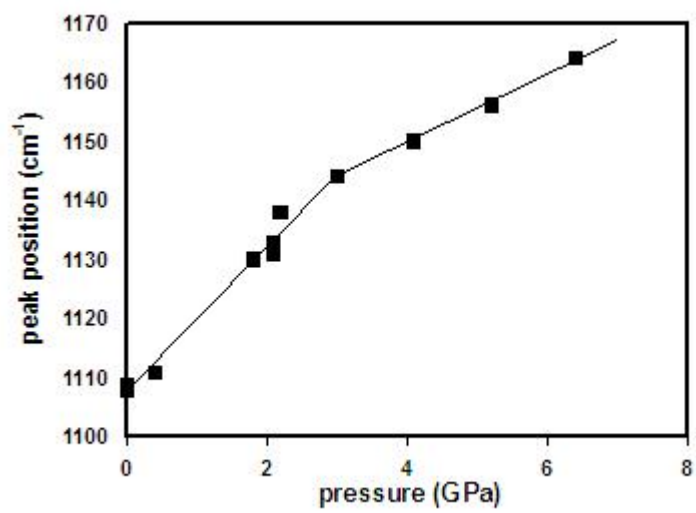


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313 **Fig. 9**

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315



316

317 **Table 1.**

Pressure (GPa)	Volume (Å <sup>3</sup> )	a (Å)	c (Å)	Background wRp	Background Rp	CHI <sup>2</sup>
0.0001 (0)	2352.8 (1)	11.2422 (4)	21.496 (1)	0.0748	0.0484	0.8856
0.5 (2)	2321.8 (2)	11.1898 (5)	21.411 (2)	0.0233	0.016	0.1977
1.2 (3)	2243.7 (1)	11.0414 (3)	21.251 (1)	0.017	0.0107	0.04819

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