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# Stress and temperature dependence of screw dislocation mobility in $\alpha$ -Fe by molecular dynamics.

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#### Abstract

We have performed molecular dynamics simulations of  $\frac{1}{2}\langle 111 \rangle \{112\}$  screw dislocation motion as a function of temperature and stress to obtain mobility relations suitable for mesoscale computational techniques. We find two dynamic regimes governed by different mechanisms of motion. Consistent with experimental evidence, at low stresses and temperatures the dislocations move by thermally activated nucleation and propagation of kink pairs. At a critical stress, a temperature dependent transition to a viscous linear regime is observed. Critical output from the simulations, such as threshold stresses and the stress dependence of the kink activation energy, are compared to experimental data and other atomistic works with generally very good agreement. Additionally, we find that the viscous drag coefficient is nearly temperature independent. Contrary to some experimental interpretations, we find that glide on {112} planes is only apparent, as slip always occurs by elementary kink pair nucleation/propagation events on {110} planes. This is mediated by a dislocation core transformation from compact to dissociated identified above room temperature. We discuss the relevance and applicability of our results and provide a closed-form functional mobility law based on physical behavior extracted from the MD simulations.

Keywords: Screw dislocations, Fe plastic behavior, dislocation mobility, molecular dynamics

#### 1 1. Introduction

The low temperature yield behavior of  $\alpha$ -Fe single crystals has been well characterized over the years in numerous experimental works [1, 2, 3, 4]. Tensile tests in high-purity specimens reveal a strongly temperature-dependent strain rate behavior and flow stress [5, 6]. This is known to be a consequence of the thermally-activated nature of  $\frac{1}{2}\langle 111 \rangle$  screw dislocation motion in body-centered cubic (bcc) metals. 5 Because lattice friction in such crystals is typically quite high, at moderate to low stresses, plastic flow can be reduced to the individual motion of screw dislocations, which are known to display much lower mobilities than non-screw dislocations. Consequently, by studying single screw dislocation properties 8 and mobilities, many useful insights can be gained into the plastic behavior of Fe and other bcc crystals. However, experimental measurements concerning single dislocation properties are exceedingly diffi-10 cult, and only recently have experimental techniques reached a level of resolution capable of isolating 11 individual dislocation behavior [7, 8], particularly in bcc Fe [9]. Consequently, a wealth of atomistic sim-12 ulation studies have been performed over the last decade or so in an attempt to shed light on dislocation 13 structure and core properties and energetics [10, 11, 12, 13, 14]. In particular, the stress dependence of 14 the kink-pair (KP) nucleation enthalpy has been the subject of much study [15, 16, 17, 18]. Nevertheless, 15

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despite these and other significant advances in our understanding of  $\frac{1}{2}\langle 111 \rangle$  screw dislocation properties at the atomistic level, their true impact on plasticity on a more global scale can only be assessed by way of models operating at the mesoscale, *e.g.* dislocation dynamics or phase fields. Indeed, screw dislocationcontrolled plasticity in  $\alpha$ -Fe has been the subject of several dislocation dynamics (DD) works [19, 20]. The fundamental input to DD simulations is the so-called mobility function [21, 22, 23], which couples forces acting on dislocation segments to their velocity response. On a more simplistic level, the mobility function relates applied stresses to dislocation velocities, and may be a function of several factors, including temperature, pressure, dislocation character, and internal microstructure.

In extracting this information directly from experimental observations it is typically exceedingly difficult to subtract out the effect on mobility of the surrounding dislocation environment, although notable 25 exceptions exist [9]. Conversely, if used carefully, atomistic simulations can be invaluable in providing dislocation mobility behavior under well-controlled conditions [24, 25]. In this paper we present a molecular 27 dynamics (MD) study of  $\frac{1}{2}\langle 111 \rangle$  screw dislocation motion in bcc Fe as a function of stress and tempera-28 ture. At low temperatures, screw dislocations are known to move as straight lines, which suggests that 29 only one KP exists at a given time. This is the basis of the so-called *smooth* glide identified in ref. [26]. 30 Such a regime is then governed by KP nucleation, as kink motion proceeds at comparatively high speeds. 31 These are precisely the conditions for which we have designed our simulations, as will be shown below. 32 However, this is no longer the case at higher temperatures, where kink nucleation and propagation can be 33 of the same order of magnitude. This is the prelude to the famed phonon drag regime that sets in when 34 kink nucleation is no longer the rate limiting step. 35

This paper is organized as follows, first we perform a careful study of the simulation geometry. Second, we present the MD data and provide the theoretical framework to justify the fitting functions used to produce analytical mobility laws. This is followed by an analysis of the proposed mobilities and the implications for crystal plasticity. Our calculations are performed with the parallel MD code LAMMPS [27] using the EAM potential for Fe developed by Mendelev *et al.* [28]. The literature on screw dislocation core properties [29, 13, 30, 14], Peierls energy and stress [14, 31], kink structure and formation energies [30, 17, 31, 18], to name but a few, using this potential is quite abundant, and here we simply note that this potential yields the symmetric core structure (at 0K) predicted by electronic structure calculations [13, 14, 32].

# 45 2. Methodology

<sup>46</sup> Dislocation mobility calculations require long simulation times to allow for a steady state to be reached <sup>47</sup> under each set of conditions. This means that setups such as those employed by Domain and Monnet [13] <sup>48</sup> or Chaussidon *et al.* [30], which result in finite dimensions along the glide direction (referred to by the <sup>49</sup> authors as 'free boundaries'), cannot be used here. Instead, we use periodic boundary conditions along <sup>50</sup> the dislocation line and glide directions, and traction boundaries along the glide plane normal direction. <sup>51</sup> This imposes certain restrictions on the computational box dimensions  $L_x$ ,  $L_y$ , and  $L_z$ , each one governed <sup>52</sup> by a specific physical process. Next, we discuss the criteria chosen for the design of each dimension of <sup>53</sup> the supercell on the basis of the relevant physical phenomena. 54 2.1. Line direction.

At low temperatures and stresses,  $\frac{1}{2}\langle 111 \rangle$  screw dislocations move by nucleation/propagation of kink 55 pairs. These KPs display a stress and temperature dependent characteristic separation length that must 56 be contained entirely within the dislocation line. Marian et al. [26] showed that short dislocation segments 57 result in 2D dynamics, not representative of dislocation motion at low T. In addition, recent work by Ventelon *et al.* [17] suggests that single kinks in Fe have widths of the order of 20b in the  $\langle 111 \rangle$  direction 59 at 0K. Despite the fact that the calculations by Ventelon et al. concern only single, isolated kinks, and thus 60 neglect the interaction between the two kinks of a KP, which could indeed alter this value, here we use 61 a lower bound length of 40*b* for our screw dislocation lines, where  $b = a_0 \frac{\sqrt{3}}{2} \approx 0.25$  nm is the Burgers 62 vector and  $a_0 = 0.27$  nm is the lattice parameter for bcc Fe. 63

An upper bound is obtained by considering the conditions under which the KP mechanism results in linear, smooth glide as defined in Ref.  $[26]^{\dagger}$ . As shown there, when the dislocation line is too long, the simulation limitations inherent to MD result in multiple kinks on multiple glide planes, leading to cross kinks. This so-called *rough* regime results in self-pinning and is not representative of plasticity at moderate to low stresses and temperatures. Following the arguments provided by Marian *et al.* [26], ensuring that only one KP occurs simultaneously requires that the kink mean-free-path X be at least as large as the dislocation length *L*. *X* is defined as:

$$X = 2\sqrt{\frac{v_k}{J_k}} \tag{1}$$

<sup>71</sup> where  $v_k$  is the kink velocity and  $J_k$  is the KP nucleation rate:

$$J_k = \frac{v_k}{a^2} \exp\left(-\frac{H_{\rm KP}(\sigma)}{kT}\right),\tag{2}$$

where *a* is the average kink separation distance within the KP and  $H_{\text{KP}}(\sigma)$  is the formation enthalpy of a KP at a stress  $\sigma$ . Equating *X* to *L* yields:

$$L = a \exp\left(\frac{H_{\rm KP}(\sigma)}{2kT}\right)$$

<sup>72</sup> For  $H_{\rm KP}(\sigma)$  one can use the phenomenological expression due to Kocks, Argon and Ashby [33]:

$$H_{\rm KP}(\sigma) = H_0 \left( 1 - \left(\frac{\sigma}{\sigma_P}\right)^p \right)^q \tag{3}$$

<sup>73</sup> where  $H_0$  is the KP formation enthalpy at zero temperature and stress,  $\sigma_P$  is the Peierls stress, and p and <sup>74</sup> q are fitting parameters. Using  $H_0$ =0.65 eV [14],  $\tau_P$ =1200 MPa [30], and the values predicted by linear <sup>75</sup> elasticity p=0.5 and q=1.25, one can use the values of a at different stresses calculated by Ngan *et al.* [34] <sup>76</sup> with which to estimate L from eq. 3. On the basis of these simulations we found a value of  $L_y = 80b \approx 19.8$ <sup>77</sup> nm to be sufficient for the temperature and stress ranges of interest in this work.

<sup>&</sup>lt;sup>†</sup>Also termed the 'single kink-pair' regime by Chaussidon et al. [30].

# 78 2.2. Plane normal direction

In the conventional picture of  $\alpha$ -Fe plasticity, supported by a myriad of experimental studies (cf. 1), slip takes place on  $\{110\}$  planes across the entire temperature range when they are the most highly stressed 80 planes. However, for the potential employed here, this maximum resolved shear stress (MRSS) plane is 81 also the glide plane only in a narrow range of (low) temperature and stress. Indeed, Domain and Monnet [13] and Chaussidon *et al.* [30] have shown that consistent  $\{110\}$  slip is only attainable under dynamic 83 conditions when free boundaries are used along the glide direction. As pointed out above, these boundary 84 conditions are not suitable for the type of dislocation mobility calculations that we are concerned with 85 here. As the applied stress and simulation temperature increase, screw dislocations are seen to deviate 86 from {110} planes and rotate to glide planes that approach {112}. MD simulations have confirmed that 87 despite gliding on effective {112} planes, slip proceeds as a succession of elementary kink nucleation episodes on non-MRSS {110} planes [26, 30]. 89

Therefore, here we have chosen to study dislocation motion on {112} planes, with stress applied to a skin layer consisting of three atomic planes at the top and bottom of the simulation box. These layers can relax in-plane but are fixed along the *z*-direction (glide plane normal). Although these boundary conditions are known to introduce non-glide stresses [30], they provide repulsive image forces [35], which result in stable glide conditions. Temperature control is also only applied to atoms in the skin region via a Langevin thermostat. Once the dislocated crystallites have been generated at the desired temperature, stress-controlled simulations are performed without any kind of temperature control in the bulk region of the computational box.

The dimension along the  $\langle 112 \rangle$  direction is obtained on the basis of the following arguments:

(i) When stress is initially applied, a shear stress wave traveling at the speed of sound is generated at 99 each of the skin layers. These waves cross the sample and reflect off the opposite boundaries with 100 inverted sign, which cancels the effect of fresh stress waves coming from the surface. This makes the 10 dislocation stop until these elastic waves reverberate again at the original boundary and restore their 102 sign. This process repeats itself until the waves are suppressed by viscous damping and scattering. 103 As we shall see (e.g. cf. Fig. 3), this results in a transient period during which dislocation mobility 104 is highly scale dependent. With  $L_z$  too small, every reflection is only dampened very slightly, which 105 could then cause the movement of dislocations to be too intermittent for a steady state to be reached 106 within reasonable MD time scales. 107

(ii) As we have indicated above, the rigid boundaries where the stress is applied, create repulsive forces on the dislocation that stabilize it on the glide plane corresponding to the center of the box. However, because the presumed mechanism of motion on MRSS {112} glide planes is still by complementary KP nucleations on the {110} planes bordering at  $\pm 30^{\circ}$ , the image forces must be sufficiently small to not interfere with this natural mechanism. This suggests a *z* dimension as large as possible.

On the basis of these considerations, a reasonable size was found to be 58.7 nm or  $L_z = 84\sqrt{6}a_0$ . Stress was always applied so as to create a Peach-Köhler force in the twinning sense.

115 2.3. Glide direction

The physical consideration to keep in mind when designing the dimension  $L_x$  along the periodic glide direction  $\langle 110 \rangle$  is local heating after each dislocation passage. Fast-moving dislocations leave a 'hot' trail

in their wake in the regime governed by viscous drag. In contrast to dislocations moving in an effective 118 infinite medium, which see only a 'fresh' crystal ahead, in MD simulations the dislocation re-enters the 119 box after each passage (we emphasize again that single-passage simulations are not acceptable for our 120 dynamic mobility simulations). The residual heat remaining locally on the glide plane dissipates at a 121 given rate that depends on temperature, material properties, and dissipation direction. If the dislocation 122 encounters a hot glide plane after each passage the resulting velocity would not be representative of the 123 simulation temperature, and, thus, the computational box must be sufficiently large in the glide direction 124 to ensure that the dislocation travels through a thermalized glide plane on every passage. 125

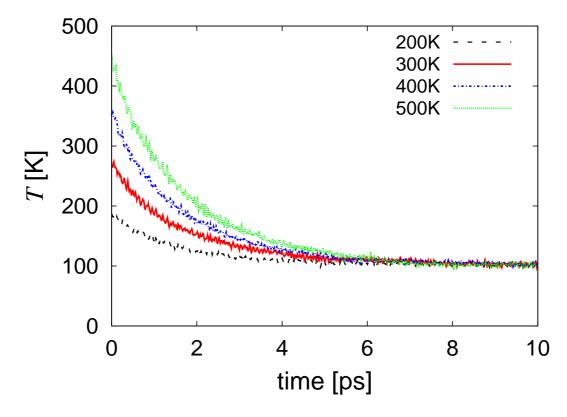


Figure 1: Evolution of the initial temperature in a computational cell after connecting the skin region to a heat reservoir at 100K. For all the initial temperatures, a decay time constant of  $\approx$  7 ps is found.

To obtain a first order estimate of  $L_x$ , we set up a small  $10 \times 10 \times 10a_0$  box in which a central region of atoms was initialized at different temperatures greater than 100K and a skin region was kept at a constant temperature of 100K. The temperature decay profile of the central region was then fitted to the inverse exponential solution to Newton's law of cooling:

$$T(t) = T_{\infty} + a \exp(-bt),$$

where, in the simulations,  $T_{\infty}$  is the final target temperature, *a* and *b* are fitting constants that represent, respectively, the initial temperature difference between the central atoms and the skin region at  $T^{\infty}$ , and the decay constant. We are particularly interested in the latter, as it gives an idea of how fast heat is evacuated from atoms that are not subjected to temperature control. For the tests performed in Fig. 1

with  $T^{\infty} = 100$ K, we obtain an average value of  $b \approx 0.55$  ps<sup>-1</sup>, corresponding to a time constant of 1.8 ps. 130 As the figure shows, at  $t \approx 7$  ps all the curves have decayed to the temperature of the heat bath. Then, 13 assuming a maximum dislocation velocity equal to the shear wave velocity of  $\approx$ 3400 m·s<sup>-1</sup> in Fe [28], the 132 minimum box size along the glide direction is approximately 24 nm. Therefore we choose a box with 133  $L_x = 60 \sqrt{2a_0} = 24.3$  nm. Before performing dislocation mobility simulations under applied stress, the 13 box is equilibrated at the desired temperature during 20 ps using a Langevin thermostat. After applying 135 stress, the total box temperature was never seen to increase more than 10% above the temperature of the 136 heat bath. 137

Thus, to summarize this section, we have designed an orthogonal computational box with axes  $z \equiv$ 138  $\frac{1}{2}$ [111],  $y \equiv [11\overline{2}]$ , and  $x \equiv [\overline{1}10]$  corresponding to the line, plane normal, and glide directions, respectively, 139 with dimensions  $L_z = 19.9$  nm (80b),  $L_y = 24.3$  nm, and  $L_x = 58.7$  nm. This configuration contains 140 in excess of 2.4 million atoms, which results in nominal strain rates of  $1.7 \times 10^{6 \sim 7}$  s<sup>-1</sup> for velocities 14 between 10 and 100 m·s<sup>-1</sup>. Figure 2 shows a schematic diagram of the computational box employed. The 142 simulations were run on massively-parallel platforms (>500 processors) at Virginia Tech and Lawrence 143 Livermore National Laboratory. The approximate computational cost of the simulations was  $3.5 \times 10^{-5}$ 144 seconds per time step per atom. 145

### 146 3. Results.

#### 147 3.1. Raw MD data.

The simulations are run for relatively long times to overcome any transients and develop statistically-148 meaningful behavior. Configuration data were extracted every picosecond regardless of the applied stress 149 and the temperature. At 300 and 500K, each configuration is quenched off-line only for a few time steps 150 to eliminate the thermal noise and facilitate the identification of the dislocation core. This is done using 15 the centrosymmetry deviation parameter analysis employed in many other studies. From the position 152 of the core, velocities are extracted as the derivative of the displacement-time curves for each case. The 153 processed output of the simulations at 300K is shown in Fig. 3. Results for all the other temperatures 154 are qualitatively identical. At each temperature, the stress is applied in roughly 50 MPa intervals from 155 zero to the point of 'shear melting'. This phenomenon occurs when the screw dislocation moves too fast 156 for the local heat generated on the glide plane to dissipate. Under such conditions, successive reentries 15 through the periodic boundary heat the atomic layers around the glide plane above the melting point of 158 the crystal. This causes the material to literally flow along the glide plane, locally removing any notion of 159 crystallinity and dislocation structure. This is the case for the black curve in Fig. 3, corresponding to an 160 applied stress of 1150 MPa. In addition, the threshold stress for dislocation motion within MD timescales, 161 which we term  $\sigma_0$ , is measured (194 MPa in Fig. 3 for the 300K case).  $\sigma_0$  is defined as the stress at which 162 the dislocation moves within the first 100 ps, and is therefore an upper bound on the true threshold stress, 163 imposed by the short MD timescales. 164

The velocities are measured from the slope of linear fits to the displacement-time curves at each  $(T, \sigma)$ condition. As mentioned earlier, the fits are only carried out after the finite-size reflections have subsided and the dislocations move in a smooth manner. By way of example, in Fig. 3 we show the fit for the 630-MPa simulation, which yields a velocity of 244 m·s<sup>-1</sup>. The velocities obtained in this fashion are plotted in Figure 4 for the four temperatures considered in this study: 100, 200, 300, and 500K. Hereon, we refer

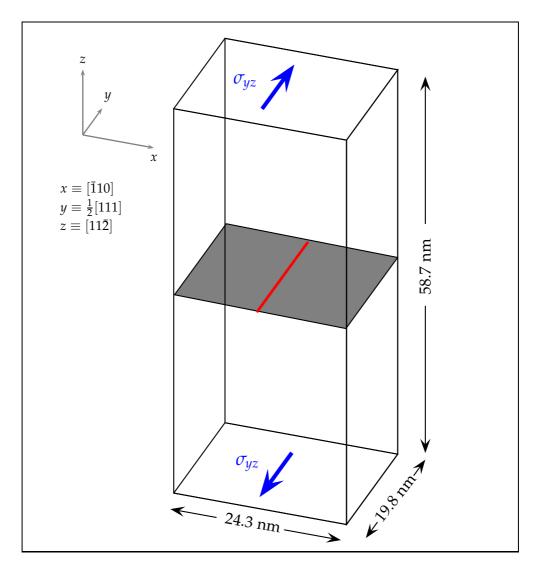


Figure 2: The simulation set-up used to measure the velocity response of a  $\frac{1}{2}\langle 111 \rangle$  screw dislocation to applied stress at finite temperature. The shaded plane corresponds to the dislocation glide plane.

to the applied stress generically as  $\sigma$ , noting that the actual stress that the dislocation suffers may not be exactly identical to  $\sigma$  in view of the finite size effects described in Section 2.2.

Two regimes can be visually identified in Fig. 4, more ostensibly at lower temperatures. Initially, at 172 low applied stresses, an exponential regime is clearly recognized, while at higher stresses the behavior is 173 clearly linear. The dynamic transition is sharp at 100 and 200K, but becomes considerably more blurred at 174 300K, and particularly so at 500K. The inset to the figure shows the same data points on a logarithmic scale 175 in an attempt to facilitate the identification of the dynamic transition, which is seen to occur at decreasing 176 stresses with increasing temperature. These transition stresses are denoted by  $\sigma^*$ . Mathematically,  $\sigma^*$  is 177 computed as the inflection stress, *i.e.* that at which the  $v(\sigma)$  function transitions from convex to concave 178 (in other words, when the local derivative of the v- $\sigma$  curve starts to decrease). At 500K, this occurs over a 179 stress range, more than at a specific value, but, acknowledging this ambiguity in the definition, here we 180

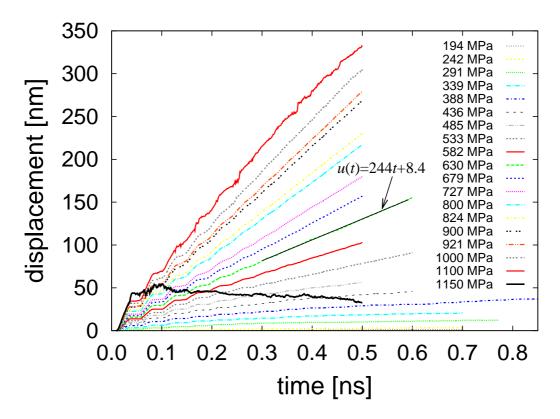


Figure 3: Dislocation displacement vs. time at 300K for all stresses considered here. The curves display an initial serrated behavior, follow by a steady state characterized by smooth glide. A linear fit to the smooth section of the 630-MPa curve is shown, yielding a velocity of 244 m·s<sup>-1</sup>.

have taken  $\sigma^*(500\text{K})$  as the first value in that stress range. The values of  $\sigma_0$  and  $\sigma^*$  are given in Table 1 and plotted in Fig. 5 as a function of temperature.

Table 1: Calculated values of all temperature-dependent coefficients.					
Temperature [K]		100	200	300	500
Threshold stress [MPa]	$\sigma_0$	650	400	194	104
Transition stress [MPa]	$\sigma^*$	797	770	633	312
Friction coefficient [ $\times 10^{-4}$ Pa·s]	${\mathcal B}$	2.5	2.4	2.7	2.9
Transition velocity $[m \cdot s^{-1}]$	$v^*$	419	515	324	167

182

The exponential regime corresponds to the thermally activated mechanism of motion governed by KP nucleation, whereas the linear regime is the manifestation of some type of viscous motion. It is unclear if this corresponds to the classic phonon drag mechanism, as its onset occurs at stresses  $< \sigma_P$ , although, due to the displayed linearity, the theoretical treatment will be applied as if it was. In this context,  $\sigma_*(T)$ has the meaning of a temperature-dependent transition stress, above which the *free* energy landscape is flat and the dislocation does not need to overcome any *effective* energy barrier. This is akin to the Peierls stress at 0K, which is approximately 1200 MPa for the potential employed here. We shall come back to

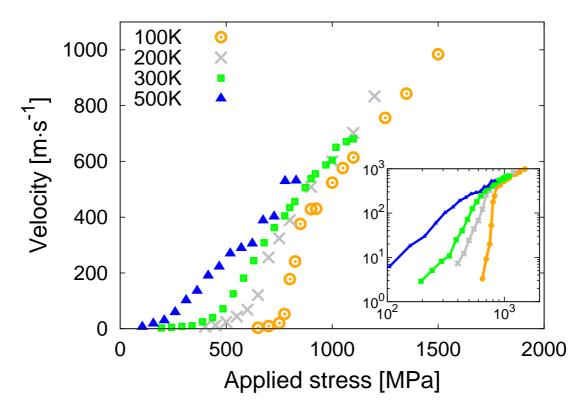


Figure 4: Dislocation velocities against applied stresses for all the temperatures considered here. The inset shows the same data in logarithmic scale, which allows for a better identification of the dynamic transition.

<sup>190</sup> these issues in Section 4.

## <sup>191</sup> 3.2. *Mechanism of motion*

<sup>192</sup> Next, we describe some aspects of the mechanism of motion for  $\sigma < \sigma^*$ . Several workers have demon-<sup>193</sup> strated the kink-pair mechanism in 3D dynamic simulations of  $\frac{1}{2}\langle 111 \rangle$  screw dislocation motion for the <sup>194</sup> Mendelev potential [30, 18], and we do not discuss it further here. Rather, we focus on the relationship <sup>195</sup> between KP nucleation and glide plane.

As pointed out earlier, in conditions where a {112} plane is the MRSS plane, the two {110} planes bordering it at  $\pm 30^{\circ}$  are equally stressed with a Schmid factor of  $\sqrt{3}/2$ . At the same time, the elastic energy of a KP can be written as [36]:

$$H_0(h,w) = \frac{\mu b^2}{2\pi} \left[ h\left(\frac{1}{1-\nu}\log\frac{h}{\rho} - 1\right) - \frac{h^2(1+\nu)}{4w(1-\nu)} \right]$$
(4)

<sup>199</sup> where *h* and *w* are the kink's height and width and  $w \gg h$  is assumed.  $\nu$  and  $\mu$  are Poisson's ratio <sup>200</sup> and the shear modulus, respectively, and  $\rho$  is the elastic cutoff. With  $w \gg h$  and  $h \approx \rho$ , the only non-<sup>201</sup> negligible term in eq. 4 is that which is linear in  $h^{\ddagger}$ . Then, assuming a variation of  $H_{\rm KP}$  with stress

 $h^{\{110\}}/h^{\{112\}} = 1/\sqrt{3}.$ 

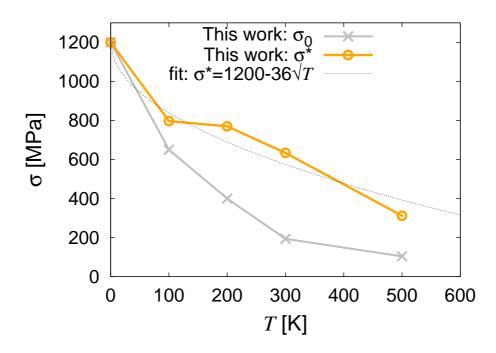


Figure 5: Evolution of the transition and threshold stresses with temperature. Also shown is the fit proposed by Wen and Ngan for  $\sigma^*$  [16], which provides very reasonable agreement with the MD data.

according to eq. 3, and  $\sigma_P^{\{110\}}$  and  $\sigma_P^{\{112\}}$  equal to, respectively, 1200 and 1300 MPa [30], we have  $H_{\text{KP}}^{\{110\}} \lesssim 0.58 H_{\text{KP}}^{\{112\}}$ , *i.e.* kink pairs on the adjacent {110} planes are still more energetically favorable than their {112} counterparts. Again, when coupled with the repulsive image forces discussed in Section 2.2, this picture favors alternating jumps between both {110} planes in our simulation setup.

As a consequence, at low stress and temperature, {112} glide is only effective, i.e. the overall glide 206 plane observed from length scales far above the atomistic one is  $\{112\}$ , while it actually occurs by a 207 succession of  $\{110\}$  slip events observable only at the atomic level. At higher temperatures and stresses, 208 one could expect significant deviations from this alternating slip mechanism as thermal fluctuations smear 209 the (repulsive) effect of the traction boundaries. However, in analyzing the dislocation core carefully, we 210 have seen that it undergoes a structural transformation from compact to dissociated between 350 and 21 400K, as clearly illustrated in Figure 6. This radically changes the available transition pathways for screw 212 dislocation motion, as the dislocation can now only proceed in a manner consistent with pencil glide [16]. 213 The combination of reduced transition paths and Peach-Köhler force direction prompt the dislocation to 214 again follow an effective {112} glide plane. 215

The mechanisms of motion described here are in disagreement with the interpretation by some workers that, under certain conditions, slip takes place by KP production directly on {112} planes [45, 3].

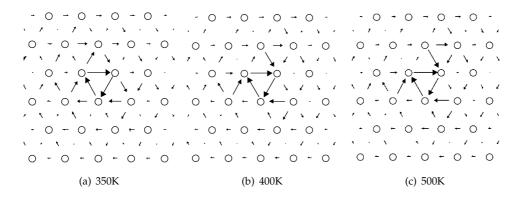


Figure 6: Screw dislocation core structure at finite temperature illustrated using differential displacement maps [44]. The core suffers a transformation from compact to dissociated between 350 and 400K. Core configurations have been obtained by averaging the atomic positions over 1 ps.

- 218 3.3. Mobility functions
- 219 3.3.1. Thermally activated regime.

220 Within the kink-diffusion model, the velocity of a screw dislocation in the thermally-activated kink

nucleation regime can be written as [38]:

$$v_{th} = h X J_k, \tag{5}$$

where *X*, and  $J_k$  are defined as in Section 2.1. Replacing *X* with eq. 1 in eq. 5:

$$v_{th} = 2h \sqrt{J_k v_k}$$

and inserting eq. 2:

$$v_{th} = \frac{2hv_k}{a} \exp\left(-\frac{F_{\rm KP}(\sigma)}{2kT}\right),\tag{6}$$

where *a* is the kink translational distance,  $v_k$  is the kink velocity, and  $F_{KP}$  is the stress dependent freeenergy of a KP. If one further assumes that the kink velocity follows Einstein's kinetic relationship:

$$v_k = \frac{2D_k}{a}\sinh\left(\frac{\sigma bha}{2kT}\right)$$

<sup>223</sup> and that the mechanical work  $\sigma bha \ll 2kT$ , then:

$$v_k \approx D_k \frac{\sigma b h}{kT},\tag{7}$$

where  $D_k$  is the kink diffusivity. Equation 6 then becomes:

$$v_{th} = 2D_k \frac{\sigma bh^2}{akT} \exp\left(-\frac{F_{\rm KP}(\sigma)}{2kT}\right) \tag{8}$$

Experimental studies have have shown that the formation entropy  $S_{\text{KP}}$  is only a small fraction of the total free energy [39]. This is substantiated by recent atomistic calculations of the vibrational entropy of finite screw dislocation segments [40]. Equation 8 can be written as:

$$v_{th} = 2D_k \frac{\sigma b h^2}{akT} \exp\left(-\frac{H_{\rm KP}(\sigma)}{2kT}\right).$$

For its part, the kink diffusivity is typically written as  $D_k = v_0 a^2 \exp(-W_m/kT)$ , where  $v_0$  is an attempt frequency and  $W_m$  is the kink migration energy. It is commonplace to assume  $kT \gtrsim W_m$  on the basis that 'edge' type segments such as kinks undergo little or no lattice friction, so that their mobility is controlled by phonon scattering. However, kinks in  $\alpha$ -Fe are known to have non-negligible widths, of the order of 6*b* [15] to 20*b* [17], which could result in significants deviations from pure edge character, and, thus, here we leave the explicit dependence on  $D_k$ .

When the kink pair expansion is limited by a finite line length *L*, the above expression needs to be corrected by a factor  $\frac{L}{L+X}$ . However, owing to the geometry constrains imposed in Section 2.1, it is reasonable to assume  $X \approx L$  so that:

$$v_{th} = \frac{\sigma b h^2 v_0 a}{kT} \exp\left(-\frac{H_{\rm KP}(\sigma)}{2kT}\right) \tag{9}$$

where we have further assumed that  $W_m \ll H_{\rm KP}/2$ . For the stress dependence of  $H_{\rm KP}$  we again use eq. 3. In principle, p = 0.5 and q = 1.25 can be fixed to the values predicted by linear elasticity theory. However, in a periodic box the activated state becomes distorted by the periodic image interactions (in the low stress limit) or by kink spreading (in the limit of stress approaching Peierls threshold). Therefore, we leave pand q as fitting parameters to be obtained from the MD data.

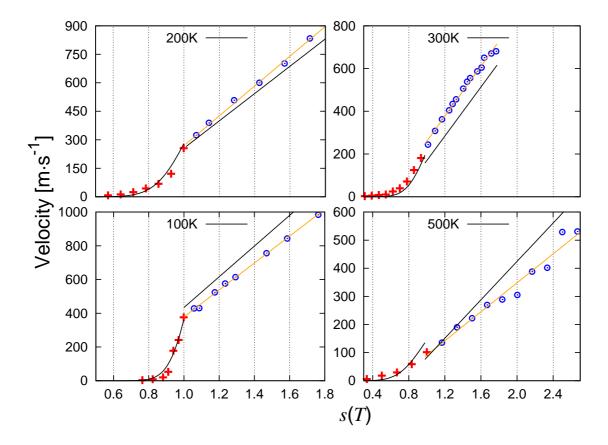
Equations 9 and 3 form a closed functional mobility law for screw dislocations for thermally activated motion. Next, the objective is to devise a global fitting procedure that retains only the stress and temperature dependence, *i.e.* a universal mobility function that can be used in the entire *T* and  $\sigma$  range. To this end, we first reduce the explicit stress dependence in eqs. 9 and 3 for numerical convenience to a nondimensional form described by  $s(T) = \sigma/\sigma^*(T)$ . Additionally, we condense all the physical parameters in eqs. 9 into a single fitting constant *A*. The reduced expression is:

$$v_{th} = A\beta s \exp\left(-0.5\beta H_0 \left(1 - s^p\right)^q\right) \tag{10}$$

where  $\beta = (kT)^{-1}$  is the reciprocal temperature. In eq. 10, we fix  $H_0 = 0.65$  eV, which is the value 245 obtained by molecular statics for the potential employed here [17]. Experimental estimates for  $H_0$  from 246 stress relaxation measurements in Fe give values in the 0.8~1.0 eV range [41, 42, 43, 45]. At this point, 247 eq. 3 needs to be modified to account for the fact that, although here we are concerned with  $\frac{1}{2}\langle 111\rangle \{112\}$ 248 mobilities, eq. 3 refers to kink pair formation on  $\{110\}$  planes. As shown in Section 3.2, glide on the  $(11\overline{2})$ 249 plane proceeds via successive nucleation of KP on alternate  $(01\overline{1})$  and  $(10\overline{1})$  planes forming  $30^{\circ}$  with the 250 MRSS plane. The Schmid factor on these two planes is  $\sqrt{3}/2$  and, therefore, s must be multiplied by it to 251 ensure that the correct stress for KP formation and propagation is considered. 252

Using eq. 10 and the transition stresses in Table 1, we perform a collective least-squares fit to the data in Fig. 4 and obtain values of:  $A = 7.4 \pm 0.6 \text{ eV} \cdot \text{m} \cdot \text{s}^{-1}$ ,  $p = 1.1 \pm 0.2$ , and  $q = 1.99 \pm 0.2$ . As Fig. 7 shows, the resulting mobility function provides a very good fit for the velocities corresponding to the thermally activated regime, particularly at 100 and 200K. The overall fitting error is approximately 5%. It is worth mentioning that we have also performed a fit using the thermally activated mobility law used by Naamane *et al.* [46], which does account for both forward and backward jumps and assumes p = 0.5and q = 1. Nevertheless, the resulting fit provides slightly worse agreement with the MD data than the primary one used here. The mobility function in numerical form is thus:

$$v_{th}(s,\beta) = 7.4\beta s \exp\left\{-0.32\beta \left(1 - 0.85s^{1.1}\right)^2\right\}.$$
  
12



To fully close the mobility law, one must provide the temperature dependence of  $\sigma^*$  through some

Figure 7: Comparison between the fitted mobility laws and the MD data at each temperature. We note that the mobility is not continuous at  $\sigma^*$ , and that an appropriate 'stitching' between the mobilities in each regime must be performed prior to their use in DD simulations. The orange lines represent the individual fits according to eq. 14.

suitable analytical law. Following Ngan and Wen [34], the relation between  $\sigma^*$  and *T* can be described by:

$$T \propto \left(1 - \frac{\sigma^*}{\sigma_P}\right)^2$$
,

<sup>253</sup> where we have made use of the equivalence between activation energy and temperature at constant strain

rate proposed experimentally (e.g.  $H/kT \approx 27$  at  $1.7 \times 10^{-4}$  s<sup>-1</sup> [47]). Then we fit the  $\sigma^*$ -T data points in

<sup>255</sup> Table 1 to a law of the type:

$$\sigma^* = \sigma_P - C \sqrt{T} \tag{11}$$

The fit, which yields a value of  $C = 36.1 \pm 2.4$  MPa·K<sup>-1/2</sup>, is also shown in Fig. 5. Incidentally, the above expression predicts a value of  $\approx$ 1100K as the temperature at which the transition stress vanishes. The final mobility function in the thermally activated regime is then:

$$s = \frac{\sigma}{1200 - 36\sqrt{T}}$$

$$v_{th}(s,\beta) = 7.4\beta s \exp\left\{-0.32\beta \left(1 - 0.85s^{1.1}\right)^2\right\}$$
13
(12)

which gives the screw dislocation velocity for each ( $\sigma$ , T) pair.

260 3.3.2. Linear regime.

At shear stresses above  $\sigma^*$ , the dislocations clearly transition into a linear velocity regime governed by some kind of viscous motion. In principle, one could use a universal fitting function of the type:

$$v_l(T) = ds + e \tag{13}$$

where *d* and *e* are also temperature-dependent constants. *d* is inversely proportional to the friction coefficient  $\mathcal{B}$  and thus should scale with temperature as  $\sim T^{-1}$ . For its part, *e* should be related to the velocity corresponding to  $\sigma^*$ , *i.e.* the *transition* velocity  $v^*$ . However, because we have no *a priori* information about the temperature dependence of these constants, we first fit each linear mobility data set in Fig. 4 individually using the standard viscous law:

$$v_l = \frac{\sigma b}{\mathcal{B}(T)} - v^*(T),\tag{14}$$

In this fashion, we compute  $\mathcal{B}$  and  $v^*$  for each T to gain insights into their temperature dependence. The results of the fit are shown in Fig. 7 as orange lines. The values for  $\mathcal{B}$  and  $v^*$  are given in Table 1. As the data show,  $\mathcal{B}$  displays virtually no temperature dependence, while that of the transition velocities is not clear at first glance. In searching for a suitable temperature dependence for  $v^*$ , we note that  $v^*$  is zero both when  $\sigma^* = 0$  and when  $\sigma^* = \sigma_P$ . This is because those are the two instances when there is no longer need for thermally-activated KP nucleation to attain dislocation motion. In terms of temperature, these two limits correspond, respectively, to the temperature at which the dislocation free energy is equal to the Peierls barrier (not precisely known from the simulations, but estimated from eq. 11 at approximately 1100K), and 0K.  $v^*$  is plotted as a function of  $\sigma^*$  and T in Figure 8, where the temperature scale follows eq. 11. To ensure  $v^*(\sigma^* = 0) = 0$ , we fit the data shown in the figure to a third-degree polynomial of the type:

$$v^* = \sigma^* \left( c_2 \sigma^{*2} + c_1 \sigma^* + c_0 \right)$$
 ,

which results in  $c_0 = -4.4 \times 10^{-2}$ ,  $c_1 = 2.2 \times 10^{-2}$ , and  $c_2 = -1.8 \times 10^{-6}$  (we omit the units of the fitting constants for clarity). The fitted polynomial is also shown in Fig. 8. The dependence with temperature is trivially obtained by substituting  $\sigma^* = 1200 - 36 \sqrt{T}$  into the fitted polynomial:

$$v^*(T) = 0.079T\sqrt{T} - 5.456T + 93.9\sqrt{T} + 3.5$$
(15)

<sup>271</sup> where the sensibility of the fit to the number of significant figures taken for each coefficient is noted.

With the functional dependencies established above, we are now in a position to expand eqs. 13 and 14 into a mobility law for the linear regime:

$$v_l(s,T) = as + bs\sqrt{T} - 0.079T\sqrt{T} + 5.456T - 93.9\sqrt{T} - 3.5$$

Fitting to the data given in Fig. 4 for all temperatures yields values of  $a = 1370 \pm 36$  and  $b = -46 \pm 2$ . From the value of  $a = \sigma_P b/B$ , an average  $B \approx 2.2 \times 10^{-4}$  Pa·s can be extracted, in good agreement with the tabulated values for the individual fits given in Table 1. The final mobility function in the linear regime is therefore:

$$v_l(s,T) = 1370s - 46s\sqrt{T} - 0.079T\sqrt{T} + 5.456T - 93.9\sqrt{T} - 3.5$$
(16)

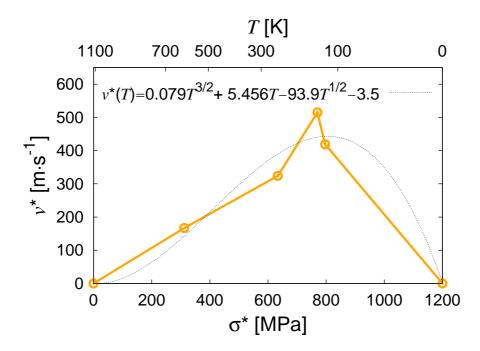


Figure 8: Relationship between the transition velocity  $v^*$  and  $\sigma^*$  and T.

<sup>276</sup> The results of this fit are also shown in Fig. 7.

- Thus, a closed-form mobility function for  $\frac{1}{2}\langle 111 \rangle$  screw dislocations in  $\alpha$ -Fe gliding on {112} planes in
- the twinning sense as a function of stress and temperature is proposed based on MD simulations:

$$v(s,T) = \begin{cases} 8.6 \times 10^5 s T^{-1} \exp\left\{-3.8 \times 10^3 T^{-1} \left(1 - 0.85 s^{1.1}\right)^2\right\}, \text{ for } s \le 1\\ 1370 s - 46 s \sqrt{T} - 0.079 T \sqrt{T} + 5.456 T - 93.9 \sqrt{T} - 3.5, \text{ for } s > 1 \end{cases}$$
(17)

279 where  $s = \frac{\sigma}{1200 - 36\sqrt{T}}$ .

# 280 4. Discussion and conclusions

In this paper, we have carried out simulations of  $\frac{1}{2}\langle 111\rangle (11\overline{2})$  screw dislocation motion as a function of stress and temperature in order to fit functional mobility laws to be used in mesoscale methods. Below we discuss several aspects related to the validity of our approach and the applicability of the mobility functions proposed.

Let us start by discussing the validity of MD simulations for this task, vis a vis the high attendant 285 strain rates. Dislocation motion simulations can be run prescribing the strain rate [13] or, alternatively, 286 the applied stress [26, 30]. If one chooses the former, the velocity of the dislocation is also prescribed, 287 and the corresponding stress is extracted as the output of the simulations. Because the strain rates for 288 dislocations to have a noticeable motion within MD time scales have to be exceedingly high, it is difficult 289 to argue against the statement that MD strain rates are often excessively above realistic experimental 290 ones. However, if one performs stress-controlled simulations, it is the velocity that is the output, not the 29 strain rate. Velocities are related to the strain rate trough Orowan's equation ( $\dot{\varepsilon} = \rho_d v b$ , where  $\rho_d$  is the 292 dislocation density). However, for a fixed dislocation line length, one can obtain converged mobilities 293

<sup>294</sup> above a certain box size. What this means is that the measured velocity does not change even if the box <sup>295</sup> dimensions (except the line length) are increased arbitrarily, *i..e*  $\rho_d$  is decreased arbitrarily. Then, the only <sup>296</sup> certainty is the  $\sigma$ -v correspondence, which is, following this argument, independent of the strain rate.

Next, the adequacy of the fitting functions given in eq. 17 is discussed. Equation 10, describes the 297 relation between v and  $\sigma$  and T in the thermally activated regime. The functional form for  $H_{\rm KP}$ , eq. 29 3, although phenomenological in nature, is known to provide a good linkage between  $H_0$  and zero as a 299 function of the applied shear stress, and has been widely used in the literature [19, 31, 32]. The exponents *p* 300 and q obtained here are somewhat larger than the expected values predicted by linear elasticity, and other 30 calculations. However, the validity of our fit is best assessed by comparing against existing experimental 302 measurements and atomistic calculations. To remove the dependence on the quantitative differences 303 between experiments and atomistics, on the one hand, and different interatomic potentials, on the other, 304 of the Peierls stress and KP Nucleation energy, we compare in Figure 9 the ratio  $H_{\rm KP}/H_0$  obtained as 305 function of  $\sigma/\sigma_P$  from several sources. The figure includes experimental data from Aono *et al.* [47] and 306 Quesnel et al. [48] and atomistic results obtained here and from Wen and Ngan [16]. As shown, our 307 fit with p=1.1 and q=2 provides excellent agreement both with experimental data and our own static 308 calculations. In particular, the good agreement between the MD and static calculations performed in this 309 work is encouraging in light of all the assumptions that enter eq. 10.

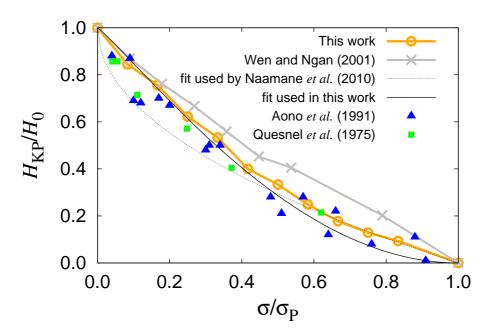


Figure 9: Variation of the normalized KP formation energy with normalized stress. Data from two molecular statics calculations are shown: our own, and Wen and Ngan's [16]. Experimental data points by Aono *et al.* [47] and Quesnel *et al.* [48] are also shown for comparison. The fit obtained in this work, with p=1.1 and q=2, and that used by Naamane *et al.* [46], with p=0.5 and q=1, are given.

<sup>310</sup> 

For the linear regime of motion, some *ad hoc* assumptions have been made. First, we have neglected any temperature dependence of the viscous drag coefficient  $\mathcal{B}$ . This was established on the basis of independent linear fits to the MD values, which revealed no discernible temperature dependence. Second,

we have assumed a polynomial form for the variation of the transition velocity  $v^*$  with temperature, although a physical justification for the temperatures (stresses) at which  $v^*$  should vanish does exist. Even though the third-degree polynomial used was chosen for numerical convenience, it manages to provide a reasonable fit to the data given in Fig. 8, as also seen in Fig. 7. The highest discrepancy is observed for the simulations at 500K, which is precisely the temperature at which  $\mathcal{B}$  is highest (cf. Table 1) and most different from the average value extracted from eq. 16.

Next, let us analyze the issues associated with  $\{112\}$  glide. As was stated in Section 1, slip in bcc 320 materials is known to proceed principally on {110} planes. However, while, at low temperatures and 321 stresses, the potential used in this work predicts screw dislocation glide on  $\{110\}$  planes [30], we have 322 shown that above 350K the dislocation core loses its compact structure and adopts a more extended struc-323 ture. This has two immediate effects: first, the transition stress decreases; and second, the dislocation then 324 glides on multiple slip planes in the twinning zone (akin to the so-called 'pencil glide'). This mechanism 325 notwithstanding, slip proceeds always by way of elementary {110} episodes of KP formation, regardless 326 of what the *effective* glide plane is seen to be. This is why, when properly constrained, the dislocation can 327 be made to make successive jumps on the two  $\{110\}$  planes adjacent  $30^{\circ}$  above and below to a twinning 328  $\{112\}$  plane so as to appear to glide on that  $\{112\}$  plane. These are precisely the conditions under which 329 this study has been carried out. 330

Because of the above argument, the critical and transition stresses measured here pertain to KP for-33 mation on {110} planes and can be compared directly with other calculations and experimental data 332 associated with {110} slip. Figure 10 shows the temperature dependence of the critical glide stress,  $\sigma_0$ , 333 obtained here compared to the dynamic data obtained by Domain and Monnet [13], and the static results 334 of Wen and Ngan (using a different interatomic potential) expressed in kT space [16]. In addition, experi-335 mental data from Kuramoto et al. [5] and Brunner and Diehl [6] are also shown. Both of these experiments 336 were carried out under conditions that favor glide on  $\{110\}$  planes at strain rates  $< 10^{-4} \text{ s}^{-1}$ . As in Fig. 9, 337 to remove the effect of the discrepancy observed in  $\sigma_P$  between experiments and atomistic simulations, we 338 plot the ratio  $\sigma/\sigma_P$  as a function of temperature. As the figure demonstrates the agreement for T < 300K 339 between our data and both experiments and atomistic calculation is excellent. However, at higher temperatures, the MD calculations deviate from the static values in that they appear to saturate or decline more 341 slowly to their zero value. Future studies will determine the temperature at which the threshold stress 342 vanishes for the Mendelev potential. 343

Of course, the ultimate objective of works such as the present one is to generate mobility laws that 344 can be elevated to higher temporal and spatial scales by being integrated into models of higher statistical 345 level, e.g. dislocation dynamics, phase field, etc. In this sense, we note that our work, which provides mobilities for a given slip system, is only one step in such a direction, and that more calculations on other 347 slip systems, perhaps using other interatomic models, must be carried out before a full mobility law can 348 be produced. In any case, our simulations provide non-linear laws that represent an improvement over uniform, character-independent variants (known as 'BCC0') used in several studies [49]. Other workers 350 have used non-linear expressions similar to eq. 9 that are typically fitted to experimental data or molecular 35 statics calculations [19, 20, 46], *i.e.* not obtained in a self-consistent fashion as in this paper<sup>§</sup>. In any case, 352

<sup>&</sup>lt;sup>§</sup>This is not to say that they are not satisfactory, in several regards they might be better suited for DD calculations than the ones presented here.

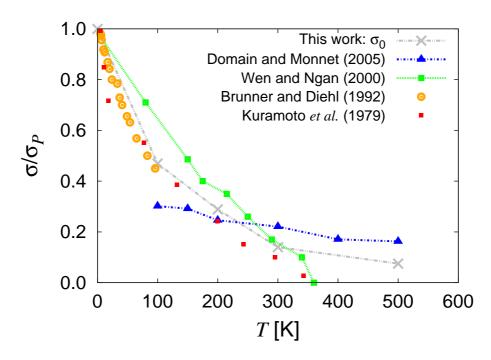


Figure 10: Comparison of the normalized threshold stress obtained in this work to atomistic results [16, 13] and the experimental values of the flow stress in pure single Fe crystals measured by Kuramoto *et al.* [5] and Brunner and Diehl [6]. The normalization factors for the experimental data were 363 [46] and 380 MPa, respectively.

a unified mobility function, apt for use in DD, must be continuous and differentiable in the entire stress 353 and temperature ranges. Thus, the numerical usefulness of eq. 17 for dislocation dynamics calculations 354 hinges on an appropriate 'stitching' of the thermal and linear mobilities presented here. This can be 355 achieved using suitable splines or via harmonic averaging [50]. However, this belongs to the realm of 356 functional analysis and is not elaborated on further. With regard to range of applicability of eq. 17, the 357 very definition of s imposes a limit of T=1100K for our mobility function. Evidently we stand by our 358 explored temperature interval of 100 < T < 500K, but it is unclear if the functions supplied here are valid 359 beyond it. It is important to emphasize that  $v_l$  in eq. 16 is only meaningful for s > 1. 360

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