



Microanalytical Methods for Bio-Forensics Investigations

**L.N. Brewer¹, P. K. Weber², R.P. Grant¹, S. Ghosal²,
J.R. Michael¹**

1: Sandia National Laboratories

2: Lawrence Livermore National Laboratory

**Microscopy & Microanalysis
Chicago, IL
AUG 2, 2006**

This work supported by the Department of Homeland Security--#018040325

Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company, for the United States Department of Energy (DOE) under contract DE-AC0494AL85000. LLNL work performed under the auspices of the U.S. DOE by the University of California, LLNL under Contract W-7405-Eng-4.

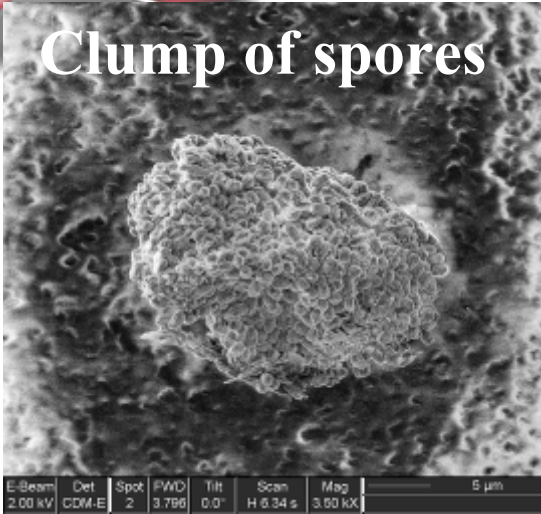


UCRL-CONF-225588



Goal of Bioforensics

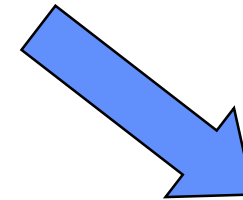
Clump of spores



We want to know...

- How “they” made it?
- Where “they” made it?
- When “they” made it?

Vial of spores



Who made it??

Assess ability of analytical approach for:

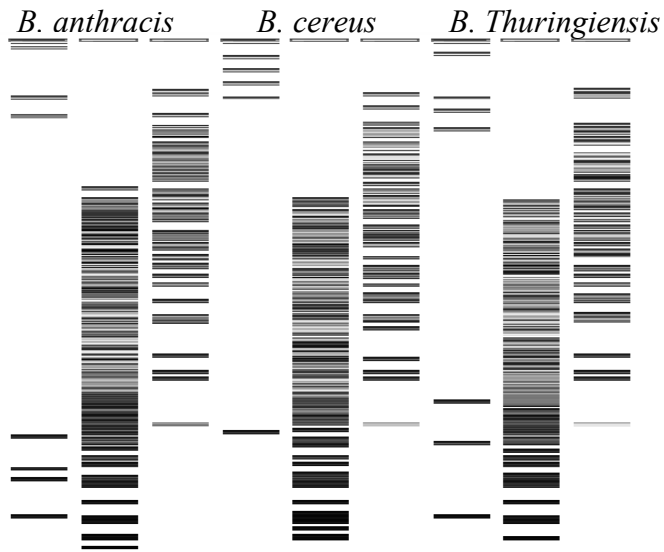
- **Discrimination.** Are the samples different? (The iron signal from our spectrometer indicates that samples Q and P are different)
- **Attribution.** How are they different? (the iron from sample Q is from FeCl_2 , while the iron in sample P is from steel)
- **Quantification.** How different are they? (Sample R is 5 vol% FeCl_2 and is statistically different from sample Q at 10 vol%)



**Homeland
Security**

Approaches to Bio-forensic Attribution

Molecular Genomics



Standard biological approaches crucial for identifying organism

Genomic and phenotypic information may provide some clues about production, but...

Once I know the organism is *B. cereus*, do I have any clues about how or where it was made??

Courtesy of B. Marrone, LANL

What further information can be gained by applying microanalytical techniques from materials science, geology, surface science, etc.?

How would a Materials Science Lab become involved in Bio-forensics?

Ask the right questions with the right capabilities at the right time.

Microanalytical Capabilities



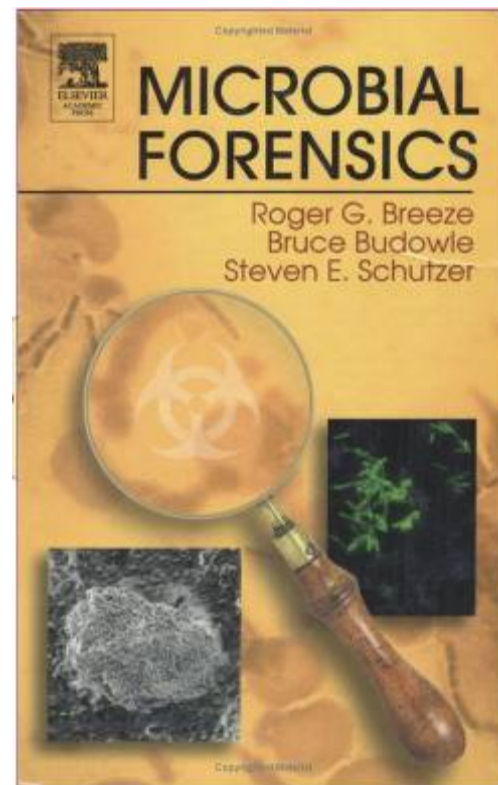
FEI F30 TEM/STEM

- Microanalysis
 - SEM
 - STEM
 - XRF
- Surface analysis
 - XPS
 - TOF-SIMS

Previous experience with materials forensics problems

- USS Iowa
- Exploding glocks
- Ruptured gas lines
- FA on microelectronic devices
- Microanalytical methods developed for NW materials development and problem solving

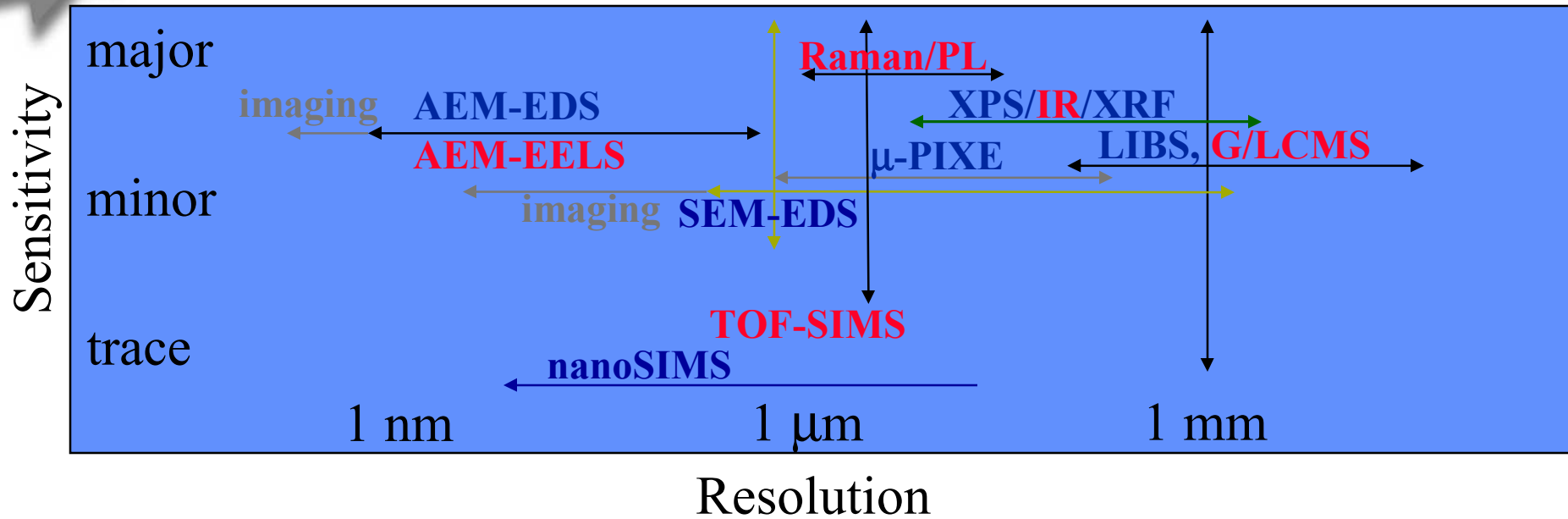
National interest in advancing bio-forensics methods



**Homeland
Security**

Microanalytical Methods for Bioforensics

elemental, **molecular**



As part of a larger, national laboratory team (LLNL, LANL, ORNL, PNNL, and SNL), we have explored a large number of analytical techniques that span this space.

Microanalytical Methods for Bioforensics

What useful signatures can be generated?

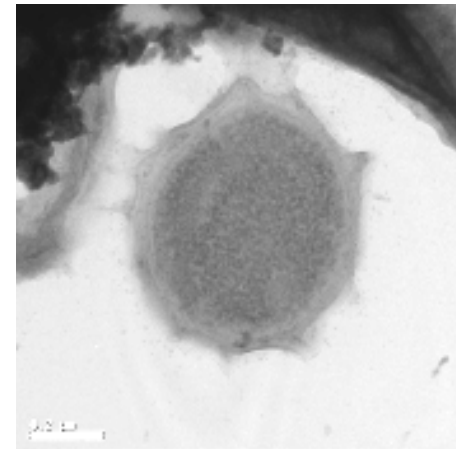
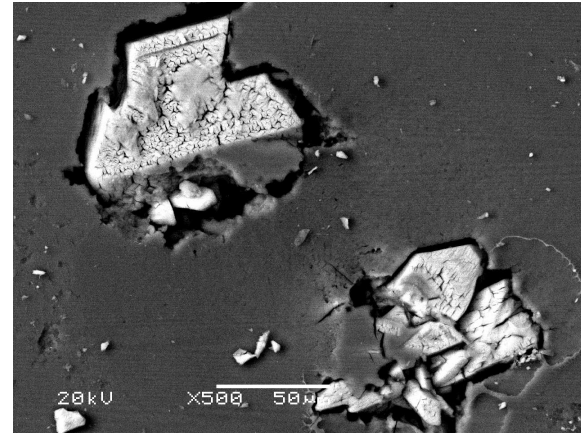
What can be learned from the matrix material (everything but the organism)?

What can be learned from the organism itself?

This presentation surveys results from a set of bio-weapon simulants using *B. thuringiensis*.

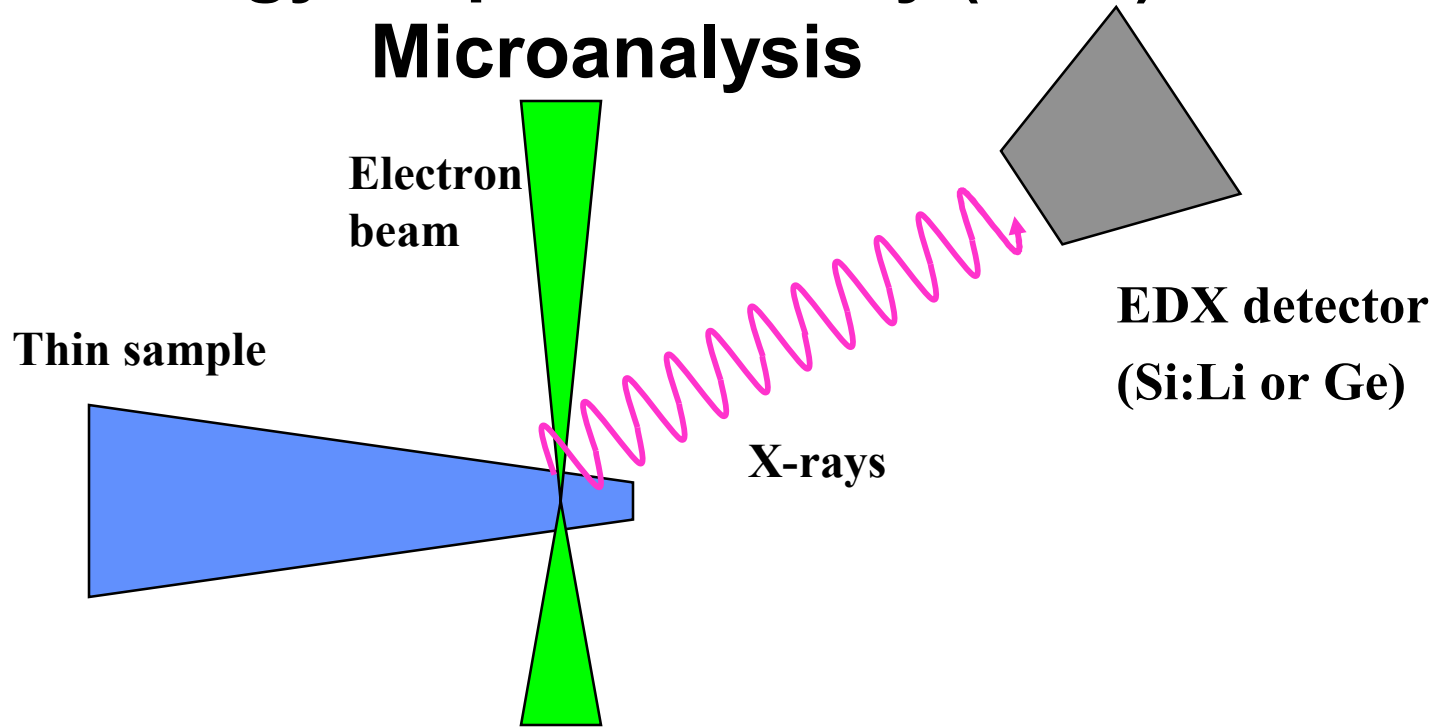
Samples will be identified as “A” through “I”

Matrix Material



Phenotypic Observations

Energy Dispersive X-ray (EDX) Microanalysis

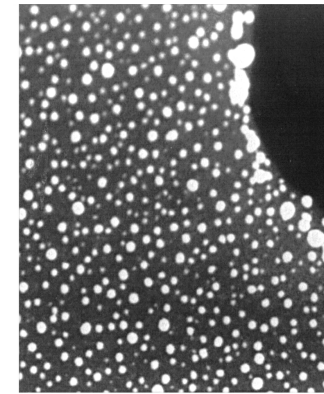
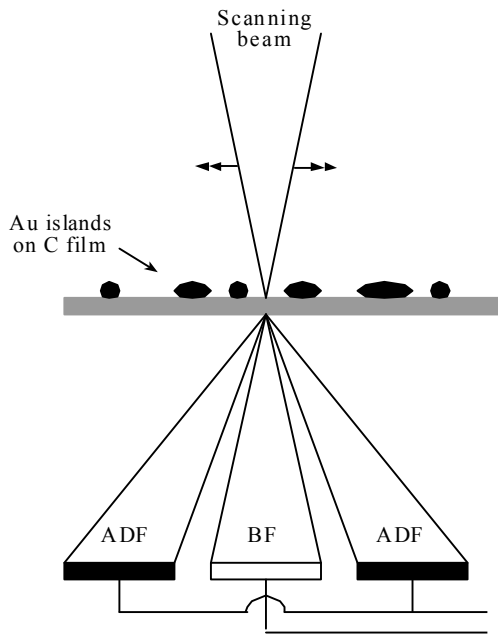


- The high energy beam (100-300keV STEM or <30keV SEM) generates characteristic x-rays based upon the element present in the sample
- For thin samples (STEM) high spatial resolution achievable-~5nm
- For thick samples (SEM) much less spatial resolution (>1 μ m)
- Each point on the sample results in an EDX spectrum with peaks from the elements present at that point

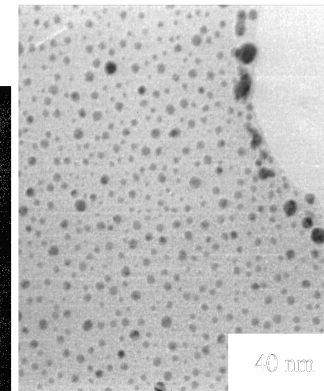
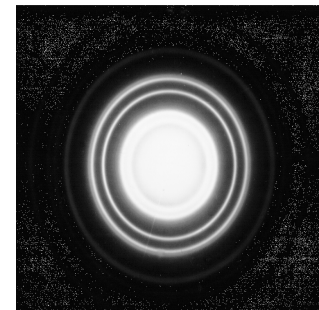


**Homeland
Security**

Annular Dark Field Imaging—"Z contrast"



DF



BF

- Electrons that are scattered out to high angles ($>50\text{mrad}$) are “incoherently” scattered—scattering depends upon the Z of the material
- A high-angle annular dark field detector is used (HAADF)
- This is a most useful signal for STEM, because the interpretation of bright and dark is quite straightforward



**Homeland
Security**

from C.B. Carter and D.B. Williams



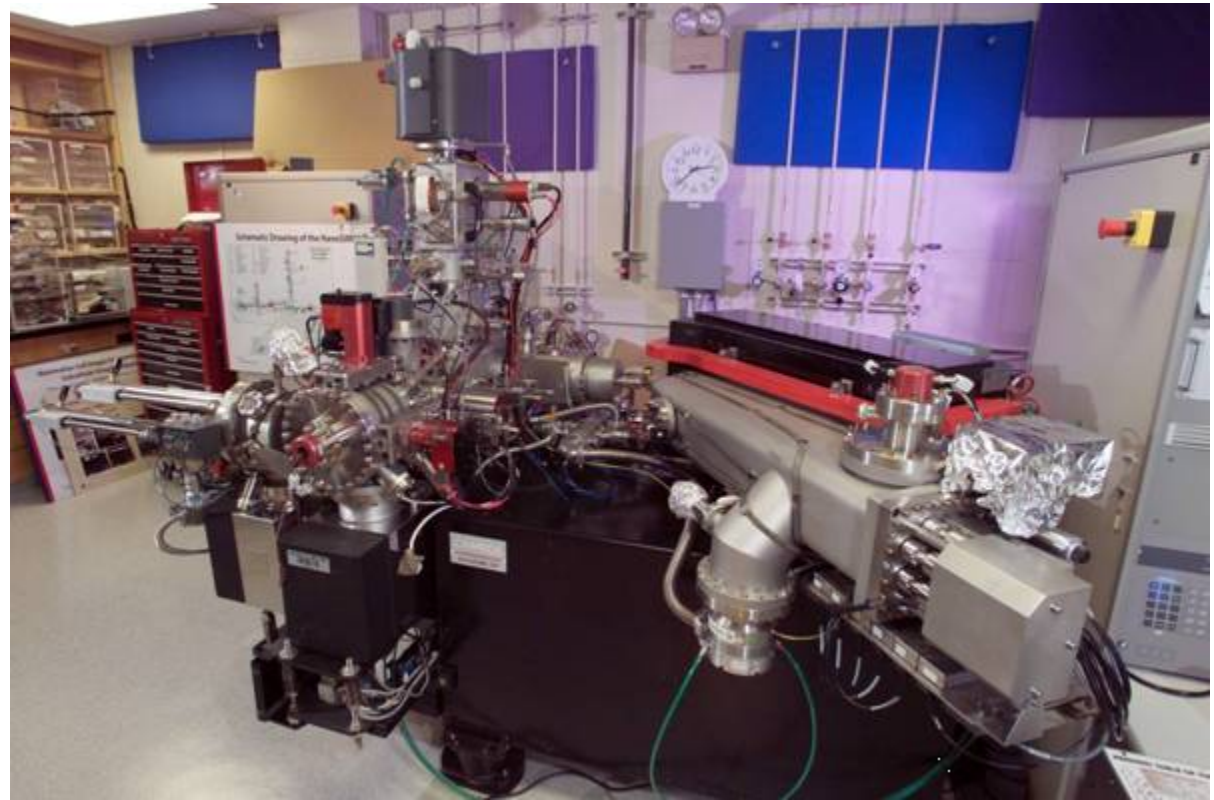


NanoSIMS—combining high spatial resolution with high elemental sensitivity

Trace element and isotopic characterization at sub-micron scale

Magnetic sector with fixed detectors

Target specific species for quantification



LLNL NanoSIMS Laboratory

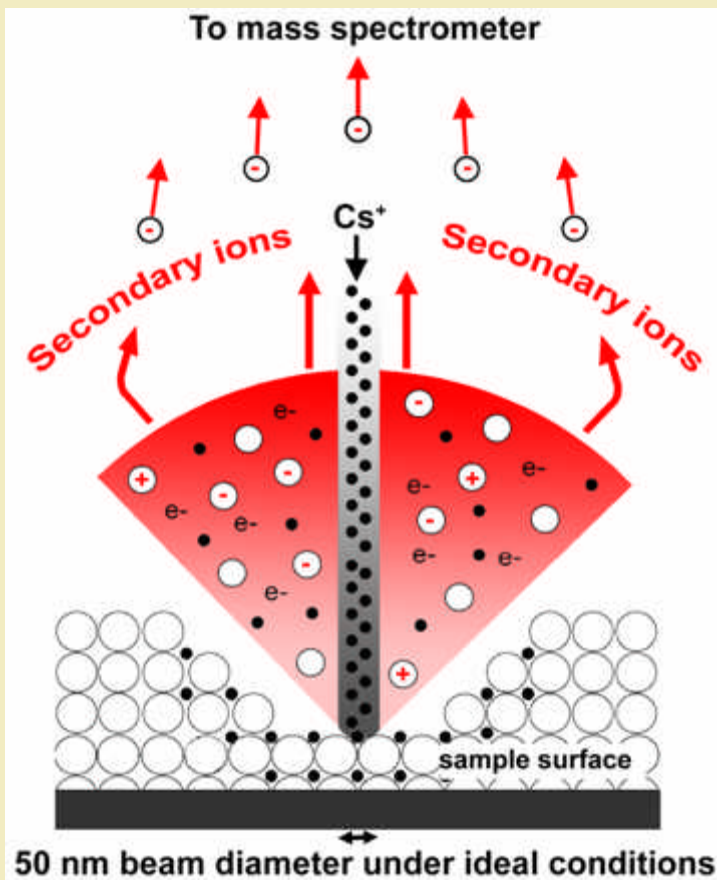


**Homeland
Security**

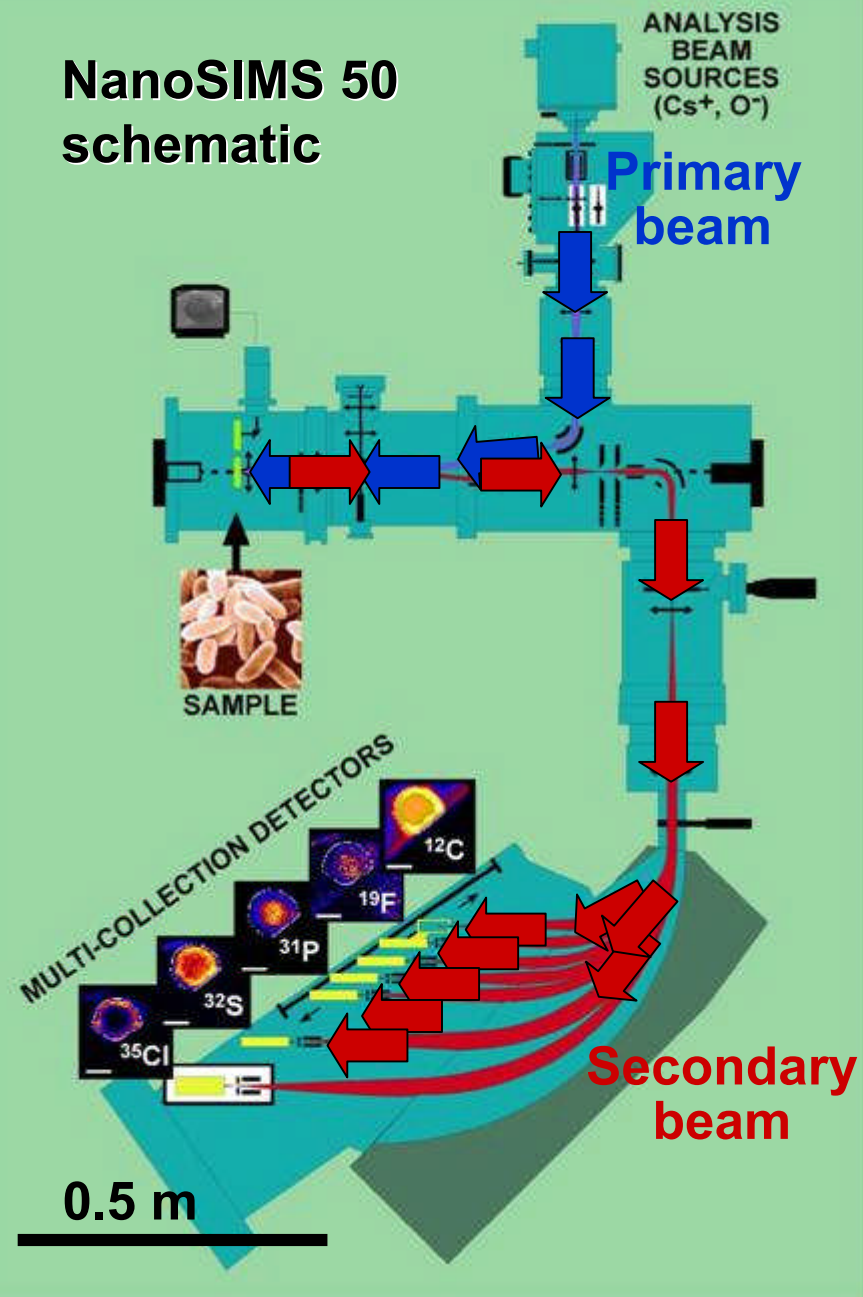


A surface sputtering technique

- Primary beam scans sample surface to produce secondary ions
- Secondary ions detected to produce quantitative digital images
- Simultaneous detection of 5 species
- High sensitivity: \rightarrow 5% useful yield



NanoSIMS 50 schematic



What do we do with all of this data??: Multivariate Tools for Bio-forensics

**Spectrum imaging-capable
instrumentation**



FEI F30 TEM/STEM

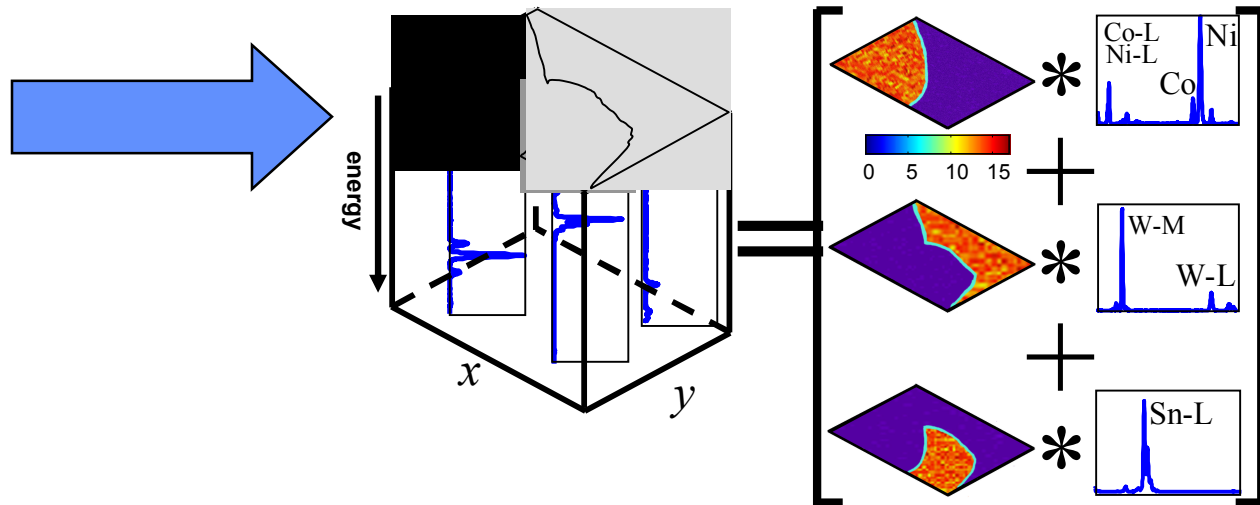
- Microanalysis
 - SEM
 - STEM
 - XRF
- Surface analysis
 - XPS
 - TOF-SIMS

Multivariate Statistical Analysis Tools

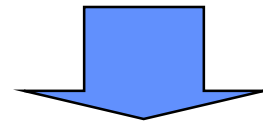
AXSIA software suite for analysis

MCR, spatial simplicity calculations

(P. Kotula & M. Keenan, SNL)



- Rapid decomposition of huge data sets
- Unbiased—no input guesses needed
- Ability to find “needle in haystack”





Automated method for spectral image analysis[†]

- Assume the data follow a linear additive model

$$D = CS^T$$

D is an m -pixel \times n -channel spectral data matrix

S is an $n \times p$ matrix containing the p pure-component spectra

C is an $m \times p$ matrix containing their spatial distributions

- Scale the data matrix to account for non-uniform noise (e.g. counting statistics)*
- Perform an eigenanalysis to determine the number of components p to retain in the model
- Determine the scaled C and S using MCR-ALS
- Inverse scale C and S

[†] [P.G. Kotula, M.R. Keenan and J.R. Michael, *Microsc. Microanal.* 9\[1\] \(2003\) 1-17.](#)

M.R. Keenan and P.G. Kotula, U.S. patents #6,584,413 and 6,675,106

* M.R. Keenan and P.G. Kotula, *Surf. Int. Anal.* 36 (2004) 203-212.



**Homeland
Security**

Automated analysis of a Braze: RGB composite

Cu = red

Ni-P = green

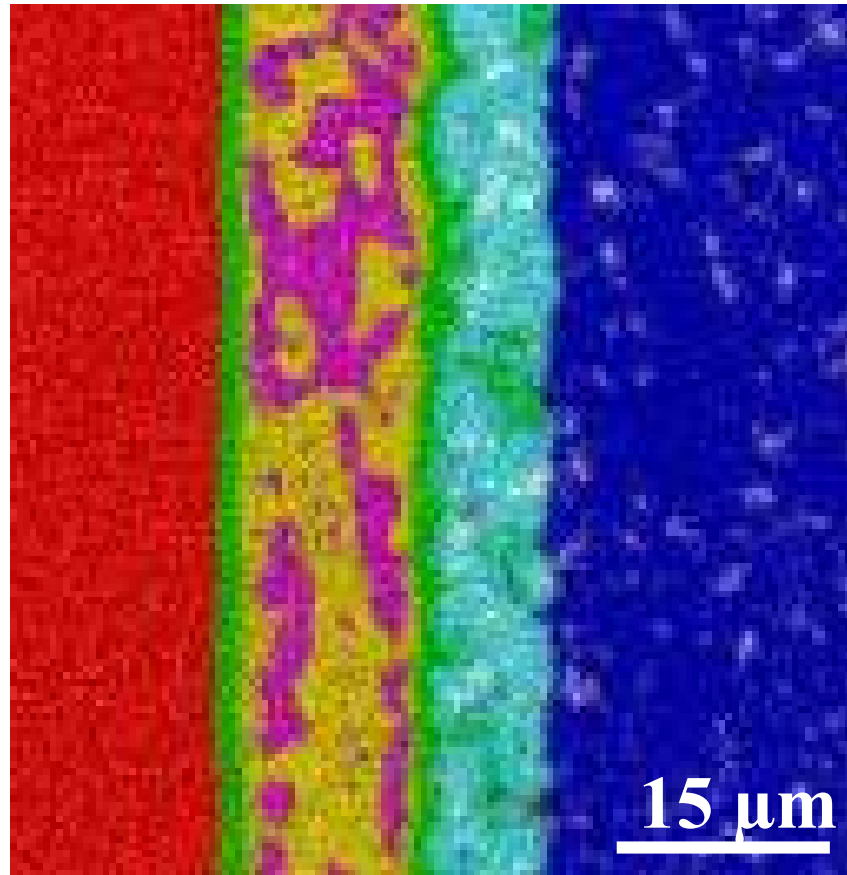
Al₂O₃ = blue

Bi = magenta

Sn = yellow

Mo/Pb = cyan

glass = white



10 minute acquisition

5 second calculation

NORAN Vantage DSI (Digital Imaging with Spectral Imaging)
JEOL JSM-840 W filament, 20kV, 10mm² detector (138eV),
128x128 pixels, 36 frames@1msec/pixel, 50% Dead time

Data courtesy of David Rohde, Thermo NORAN

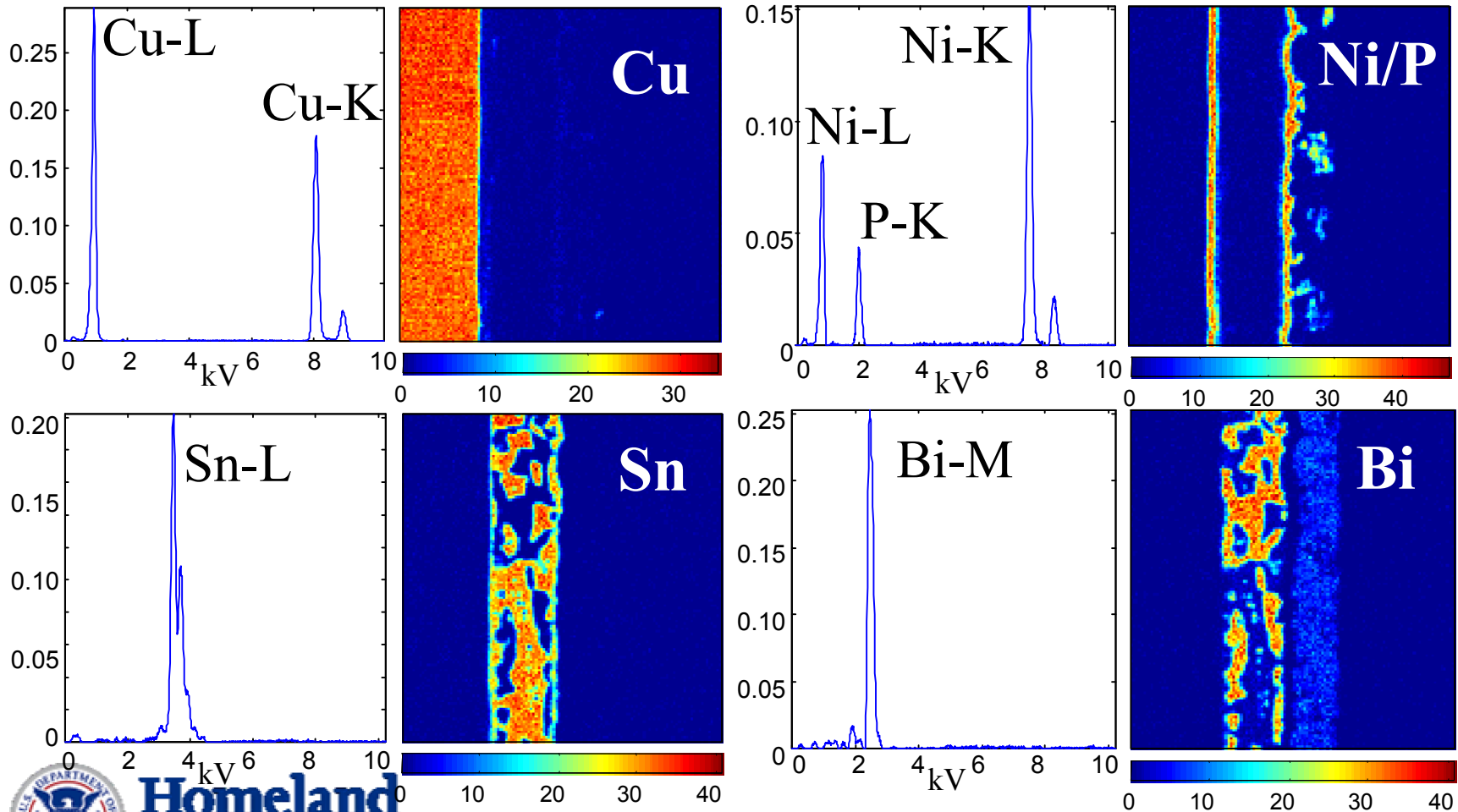


**Homeland
Security**

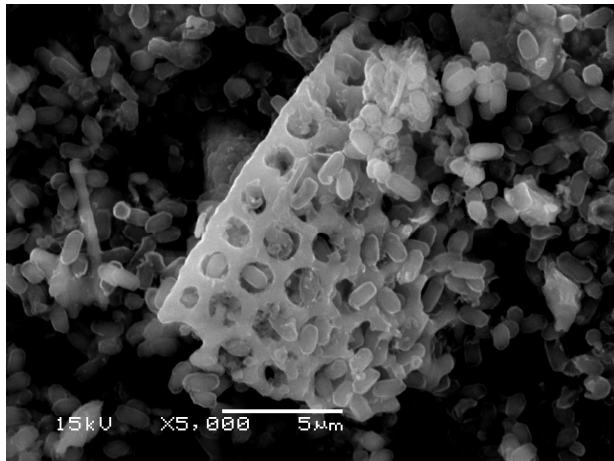
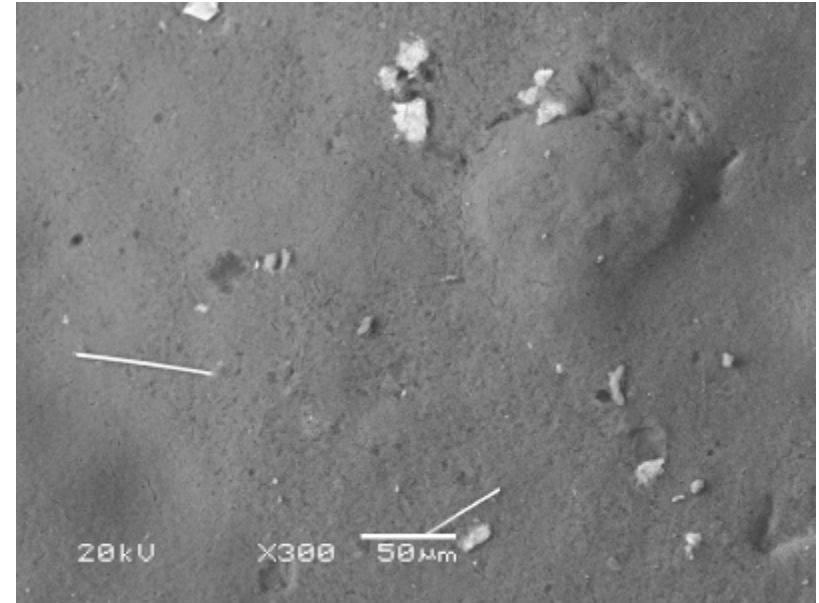
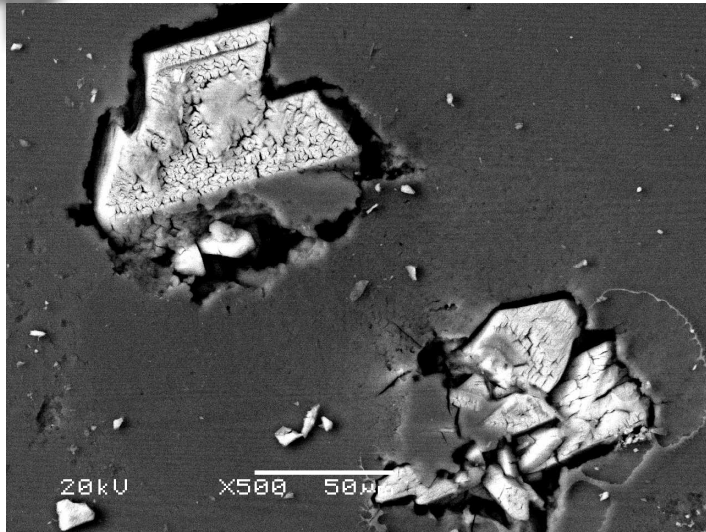
Courtesy of P. Kotula



Automated analysis of a Braze Interface: Pure component images and spectra

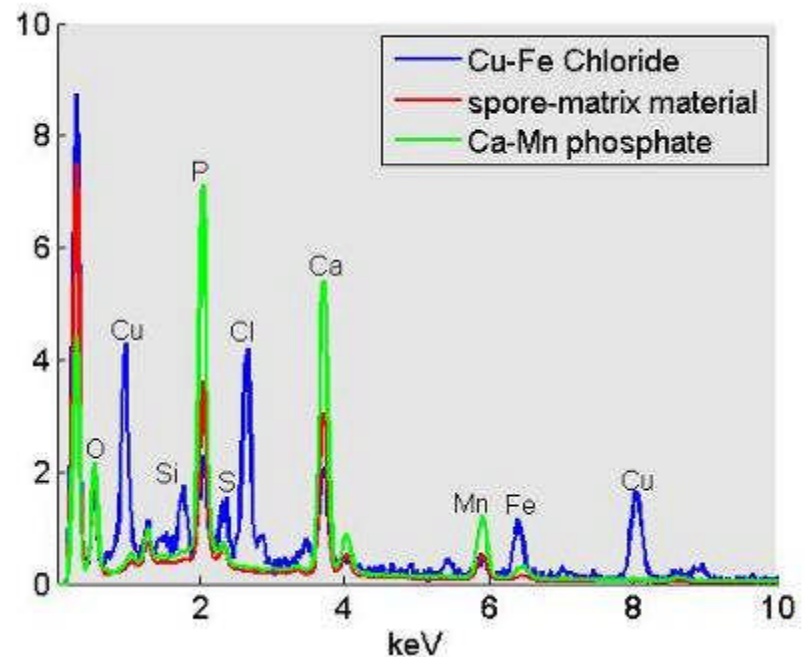
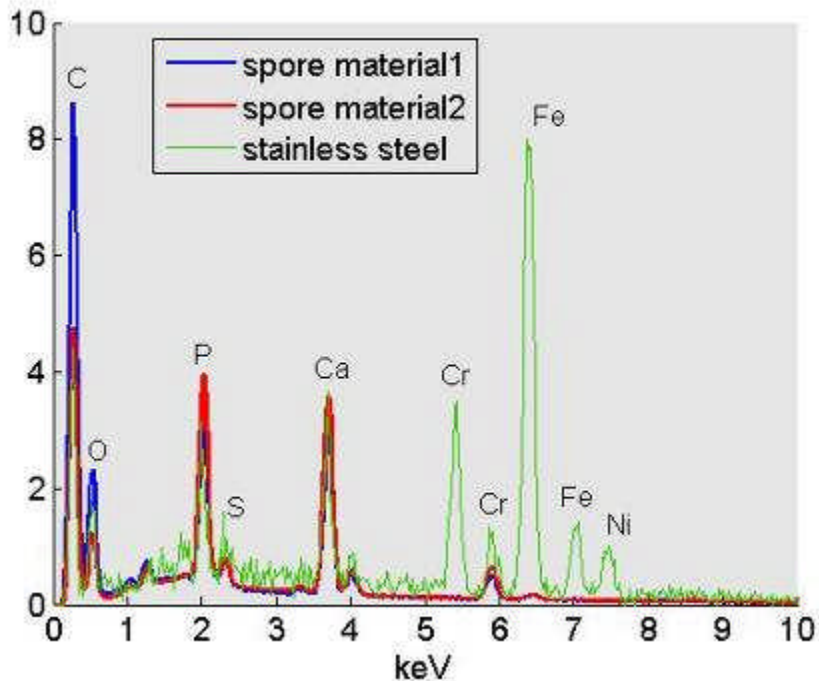
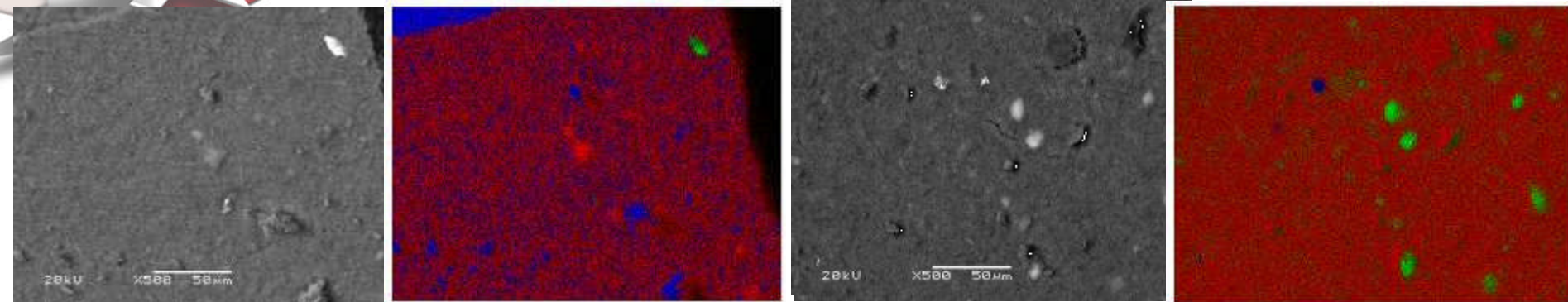


Microanalytical Signatures from Matrix Material



What do these “bits and pieces” tell us about sample production?

Transition Metal Signatures from the matrix material



Identification of stainless steel particles and metal chlorides can provide information about the processing equipment and chemicals.

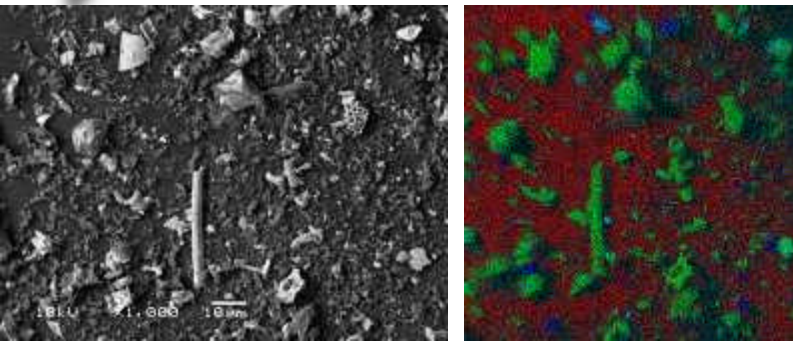


Homeland Security

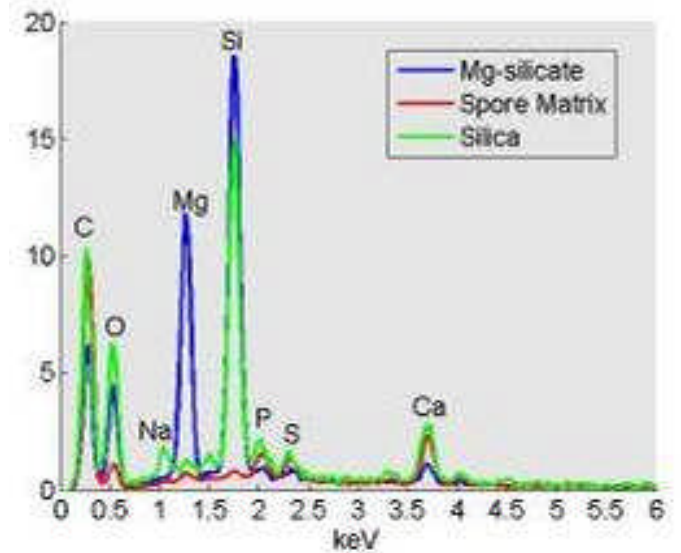
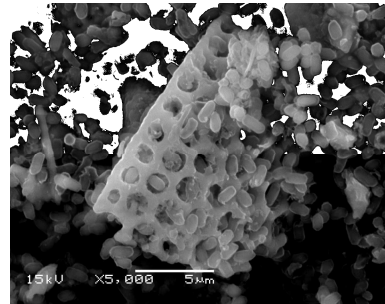
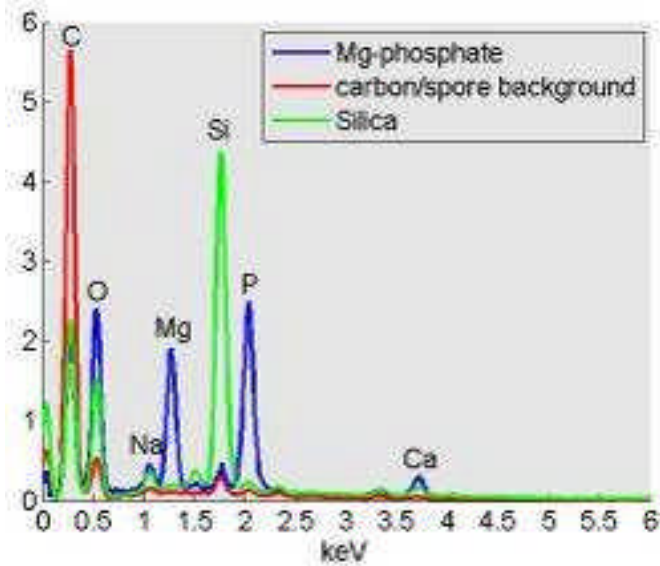
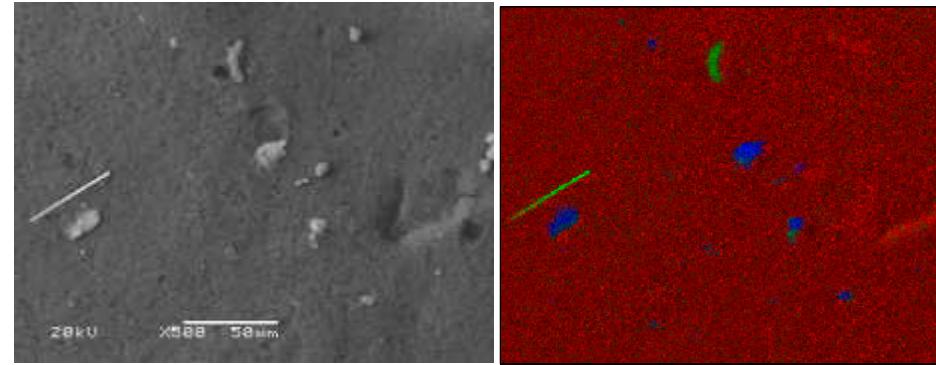


Si signatures from matrix material

Sample "J"

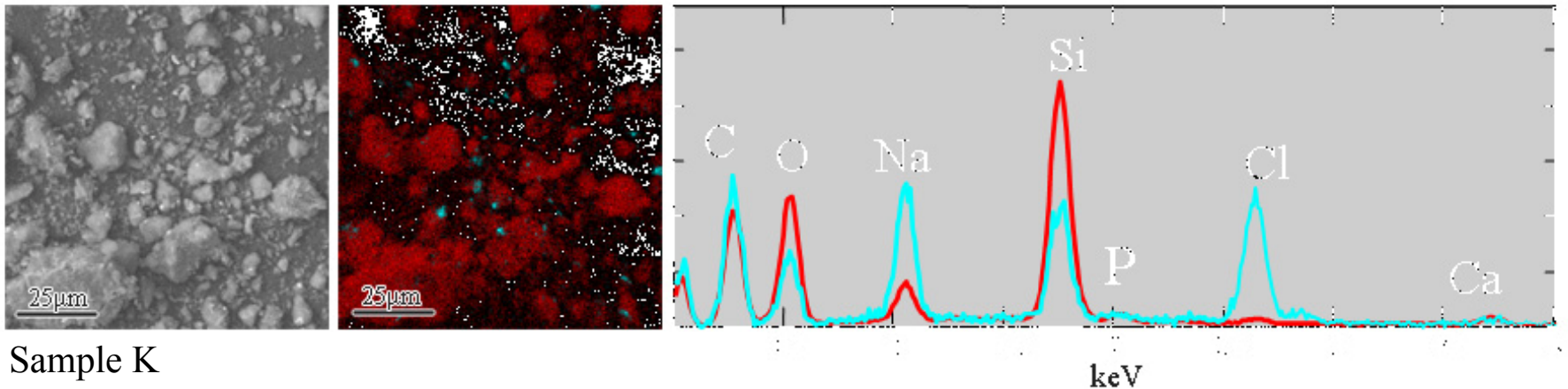


Sample "G"



Silicates present themselves in many different forms in the matrix material.

The importance of length scale in describing the matrix material...



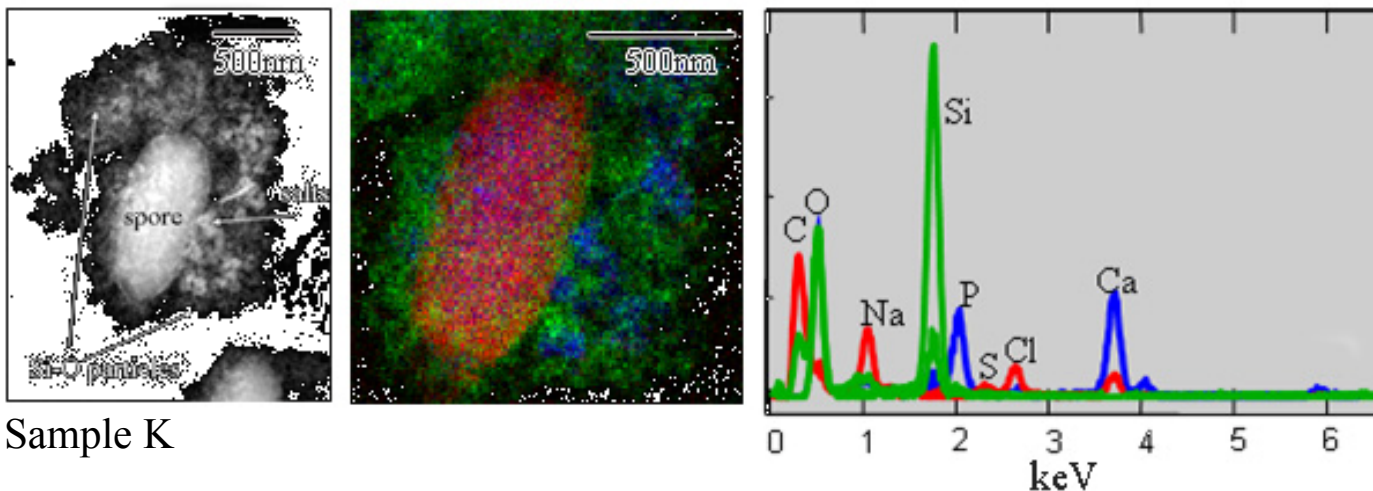
Sample K

- Si signal appears to be everywhere in SEM data.
- Discrete NaCl particles visible
- Ca and P signals (spore and buffer signatures) relatively minor
- Preliminary conclusion...we have NaCl particles but we can't say anything about the nature of SiO_2 —"it's there"



**Homeland
Security**

Matrix material at the nanometer length scale (STEM-EDX)



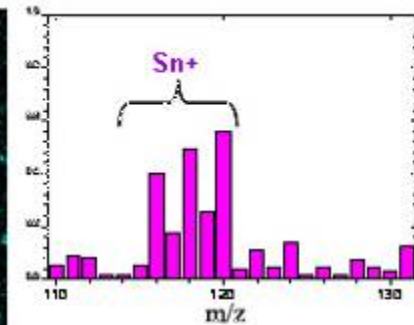
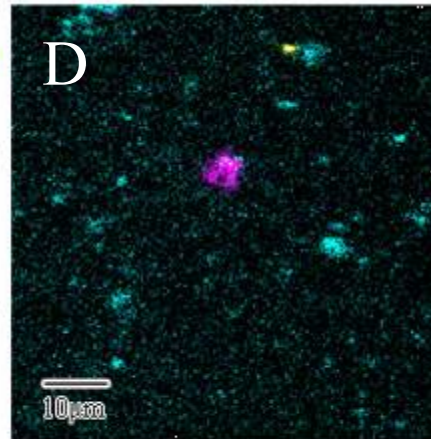
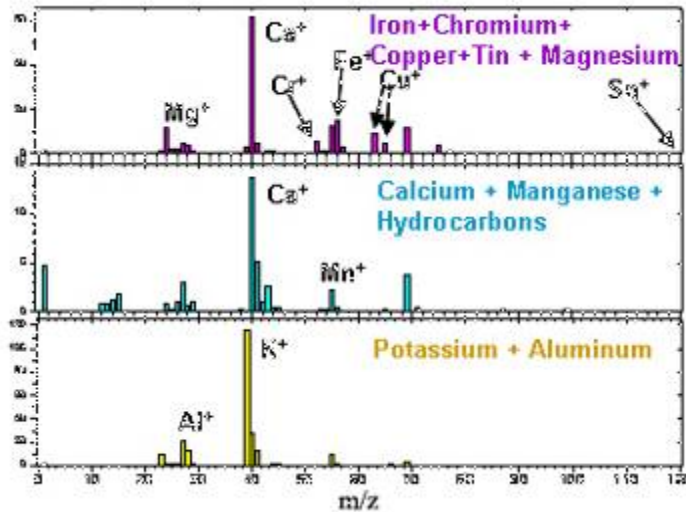
Sample K

- We now see discrete SiO_2 particles—well below 100nm
- Discrete Ca-phosphate particles—not seen in SEM data at all.
- Na and Cl in elemental signature but associated with spore
- The particles we identify depends upon which data set we are using

Length scale is really important—wrong conclusions can be drawn by neglecting analyses at either micro and nano length scales



Higher elemental sensitivity signatures from matrix material (TOF-SIMS)



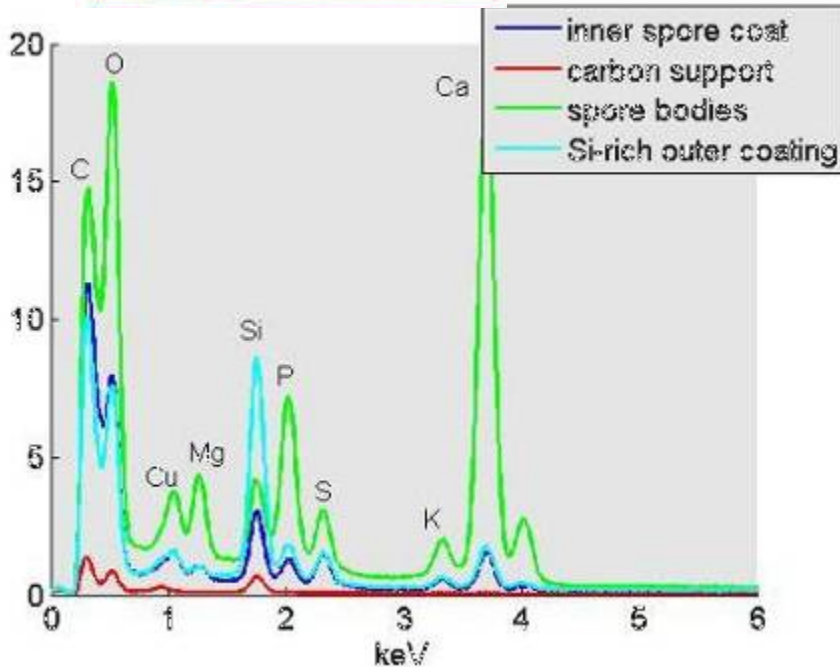
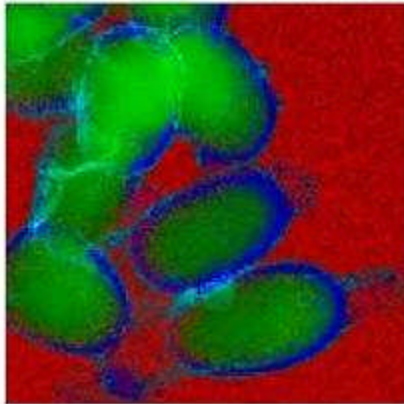
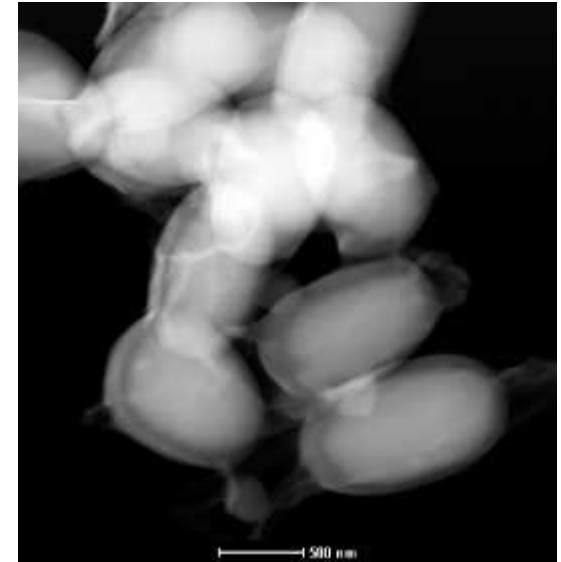
- The cyan and magenta components are similar to what has been observed previously in SEM-EDX
- K, Al, and Sn not observed in SEM-EDX and yet potentially quite important.
- Sn signal too low to be identified by operator without multivariate statistical analysis. (unless they were looking for it...)



**Homeland
Security**

Elemental Signatures from the organisms

Sample "H"



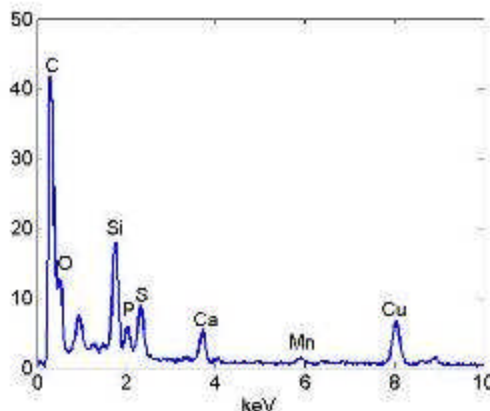
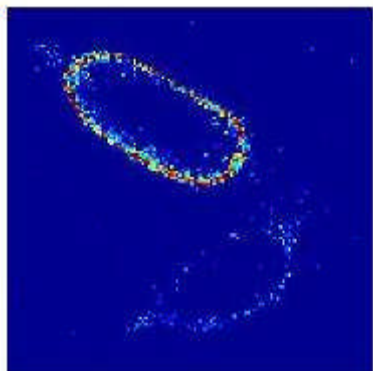
- Different portions of the spore have different compositions.
- Note the high level of Si in the outermost coating.
- We can use changes in these compositions to characterize changes in process.



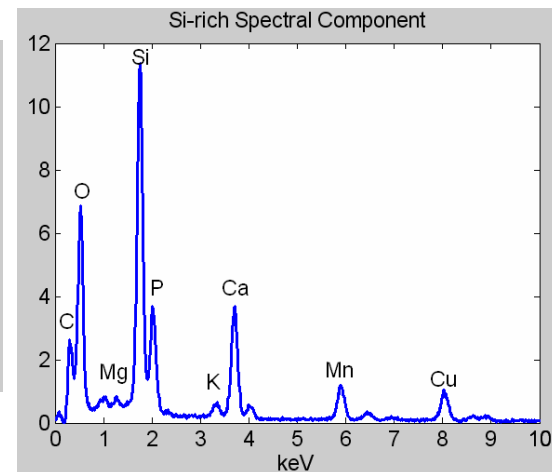
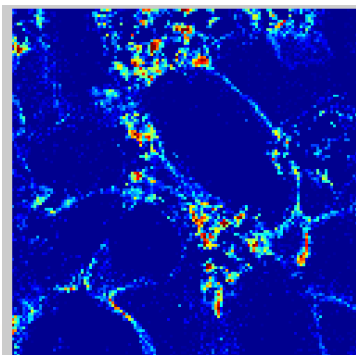
**Homeland
Security**

Comparison of Si-rich Coating Component Spectra

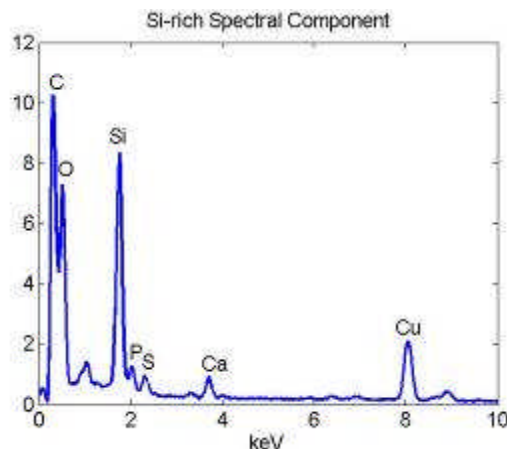
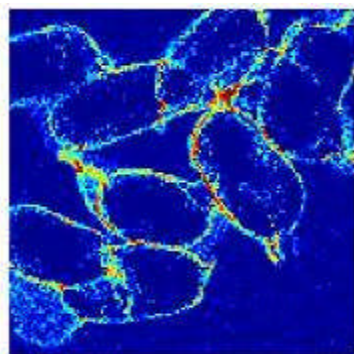
A



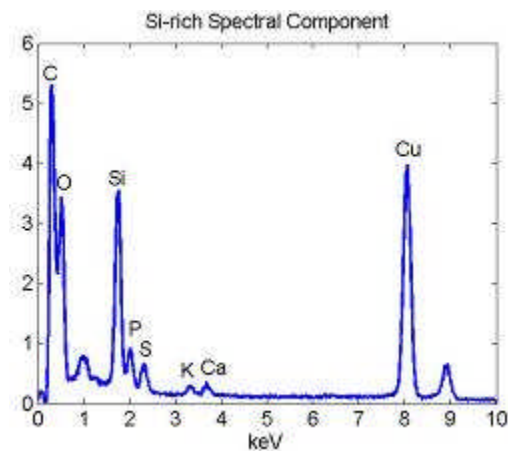
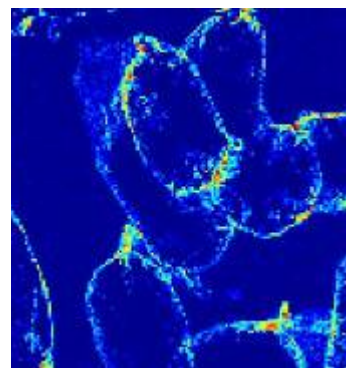
E



F



H



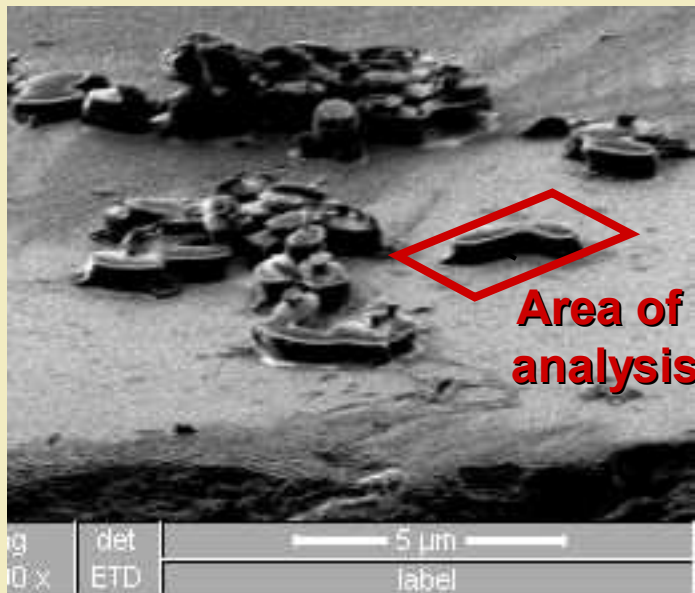
Homeiana
Security

Note that all of these processes generate Si-rich coatings of different compositions...

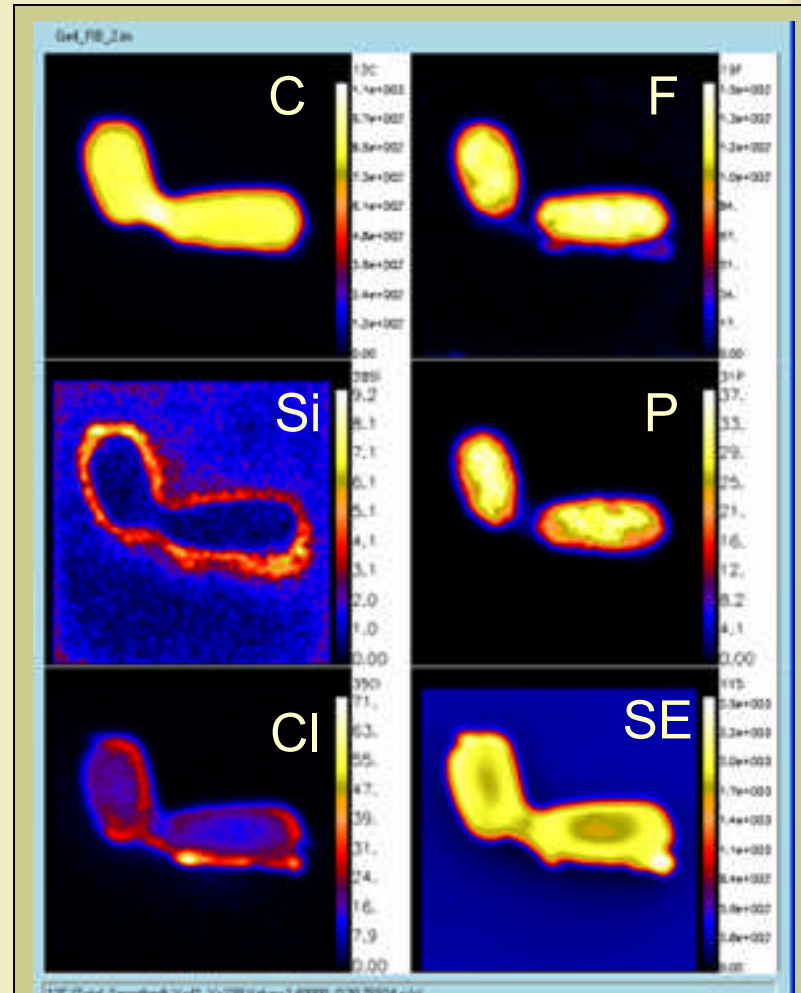


NanoSIMS Results: Quantitative Digital Images

- Data processed pixel by pixel with custom software

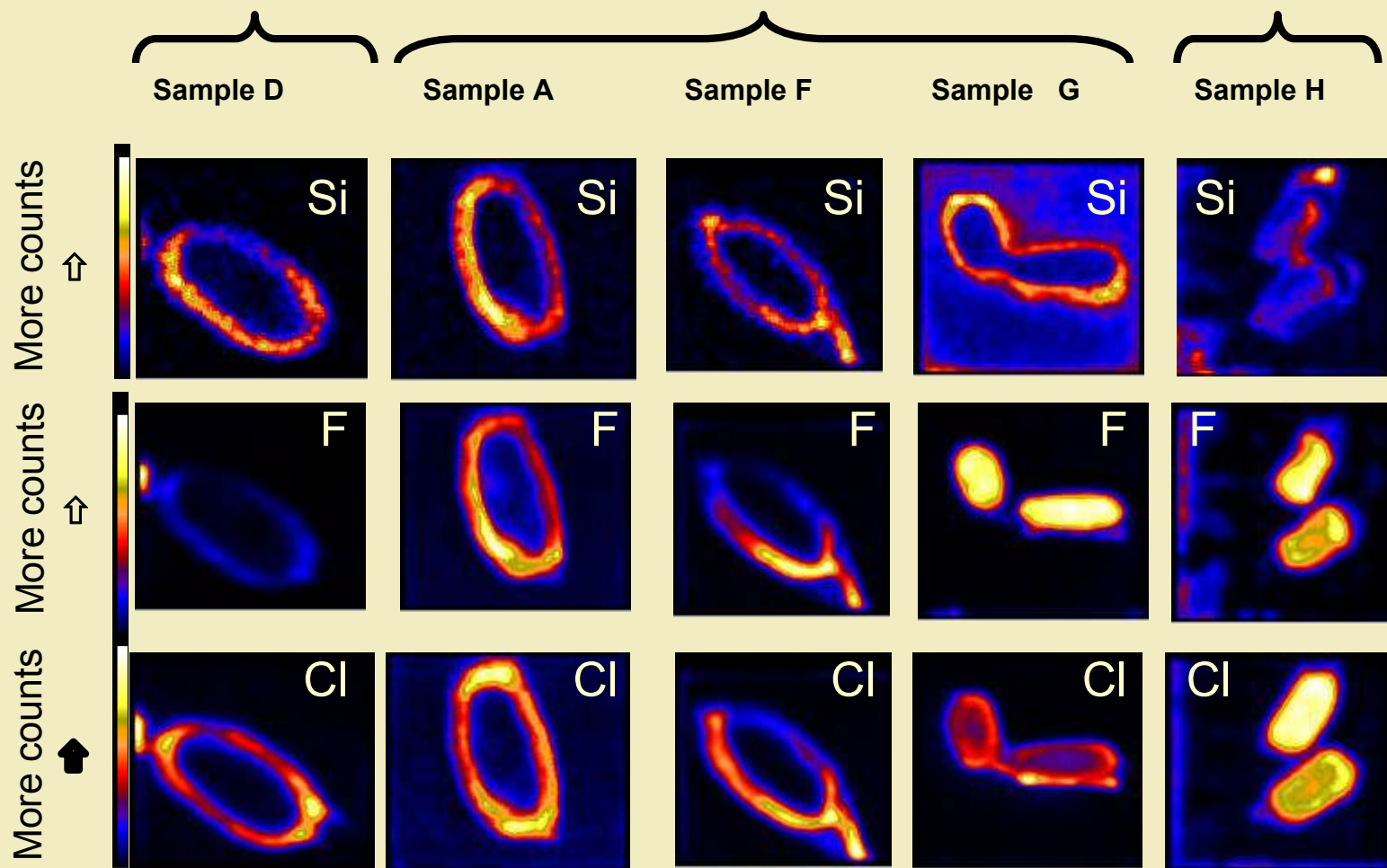


Oblique view of FIB section of spores



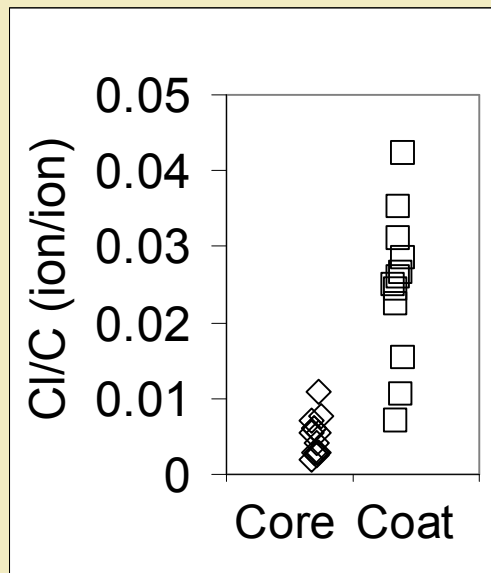
NanoSIMS ion images for sectioned *Bti* spores

Elemental distributions differ with production factors

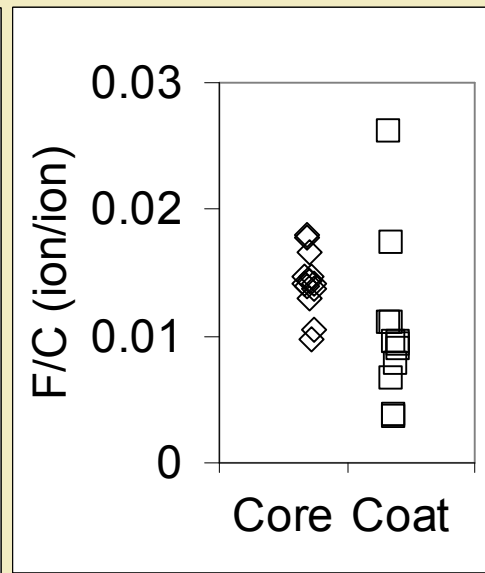


NanoSIMS provides a quantitative basis for sample comparison

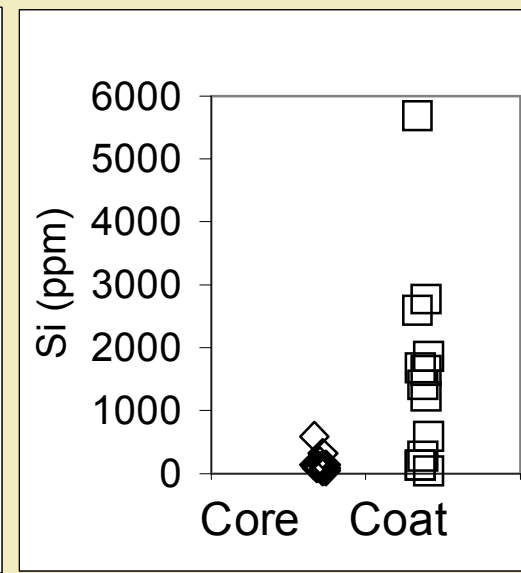
- High sensitivity of NanoSIMS provides a clear picture of microstructural signature variability



RSD = 50%, 40%



RSD = 20%, 60%



RSD = 90%, 100%

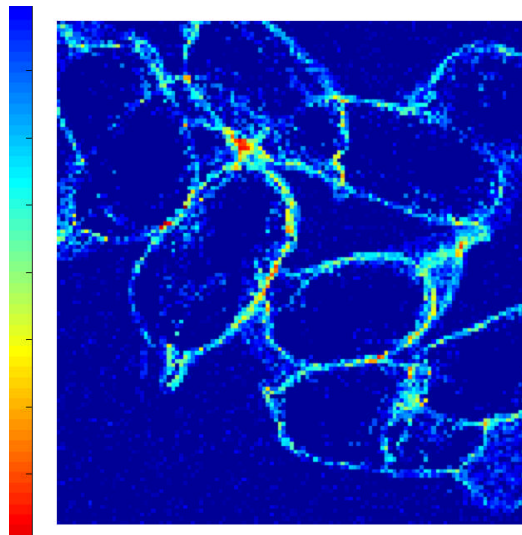
Elemental heterogeneity within a single sample of spores (G)

Note: Cl and F data are raw ion yield; Si concentration calculated based on RSF.



Summary

- Microanalytical techniques from the physical sciences are potentially very useful in combination with biological data for bioforensics investigations.
- Important elemental signatures can be obtained from both the matrix material and from the organisms themselves
- Multivariate statistical analysis plays an important role in rapidly analysing large amounts of data
- For critical analyses, acquiring data at multiple length scales and sensitivity levels is crucial.



**Homeland
Security**