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## A first-principles density functional theory study of the electronic structural and thermodynamic properties of $M_2ZrO_3$ and $M_2CO_3$ (M = Na, K) and their capabilities for $CO_2$ capture

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Alkali metal zirconates could be used as solid sorbents for  $CO_2$  capture. The structural, electronic, and phonon properties of Na<sub>2</sub>ZrO<sub>3</sub>, K<sub>2</sub>ZrO<sub>3</sub>, Na<sub>2</sub>CO<sub>3</sub>, and K<sub>2</sub>CO<sub>3</sub> are investigated by combining the density functional theory with lattice phonon dynamics. The thermodynamics of CO<sub>2</sub> absorption/desorption reactions of these two zirconates are analyzed. The calculated results show that their optimized structures are in a good agreement with experimental measurements. The calculated band gaps are 4.339 eV (indirect), 3.641 eV (direct), 3.935 eV (indirect), and 3.697 eV (direct) for Na<sub>2</sub>ZrO<sub>3</sub>, K<sub>2</sub>ZrO<sub>3</sub>, Na<sub>2</sub>CO<sub>3</sub>, and K<sub>2</sub>CO<sub>3</sub>, respectively. The calculated phonon dispersions and phonon density of states for  $M_2ZrO_3$  and  $M_2CO_3$  (M = K, Na, Li) revealed that from K to Na to Li, their frequency peaks are shifted to high frequencies due to the molecular weight decreased from K to Li. From the calculated reaction heats and relationships of free energy change versus temperatures and CO<sub>2</sub> pressures of the  $M_2ZrO_3$  (M = K, Na, Li) reacting with CO<sub>2</sub>, we found that the performance of Na<sub>2</sub>ZrO<sub>3</sub> capturing CO<sub>2</sub> is similar to that of  $Li_2ZrO_3$  and is better than that of  $K_2ZrO_3$ . Therefore,  $Na_2ZrO_3$  and  $Li_2ZrO_3$  are good candidates of high temperature CO2 sorbents and could be used for postcombustion CO<sub>2</sub> capture technologies. [doi:10.1063/1.3683519]

#### **I. INTRODUCTION**

Nowadays, the burning of fossil fuels is the main energy source for the world economy. One consequence of the use of these carbon based fuels is the emission of huge quantities of  $CO_2$  into the atmosphere creating environmental problems such as global climate warming.<sup>1–4</sup> In order to solve such environmental problem and to stop emission, the  $CO_2$  must be captured and sequestered underground.<sup>5,6</sup> During past few decades, many efforts have been devoted to develop new technologies for  $CO_2$  capture, sequestration, and utilization for improving energy efficiency.<sup>7</sup> Among them, capture is the key technology in which the effective sorbent materials must be available. Current  $CO_2$  capture technologies for power generation processes including amine solvents and CaO-based sorbent materials require very energy intensive regeneration steps which result in significantly decreased efficiency. Hence, there is a critical need for new materials that can capture and release  $CO_2$  reversibly with acceptable energy costs if  $CO_2$  is to be captured and sequestered economically.

Inorganic sorbents are one such class of materials which typically capture  $CO_2$  through the reversible formation of carbonates. Recent experimental investigations found that alkali metal silicates and zirconates are good candidates of solid sorbents for  $CO_2$  capture in terms of large  $CO_2$  sorption capacity, infinite  $CO_2/N_2$  or  $CO_2/H_2$  selectivity, good reversibility, and high

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operating temperature.<sup>8-13</sup> As described in our previous paper,<sup>14</sup> in the literature, there are many experimental works on Li<sub>2</sub>ZrO<sub>3</sub> capture CO<sub>2</sub>, but only have few reports on CO<sub>2</sub> capture by Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub>. Lopez-Ortiz et al.<sup>15</sup> compared the CO<sub>2</sub> capture performance of Na<sub>2</sub>ZrO<sub>3</sub> with Li<sub>2</sub>ZrO<sub>3</sub> and Li<sub>4</sub>SiO<sub>4</sub> and found that Na<sub>2</sub>ZrO<sub>3</sub> has better performance. Their experimental data showed that Na<sub>2</sub>ZrO<sub>3</sub> presented highest sorption rate and can absorb almost 100% of the maximum theoretical CO<sub>2</sub> sorption compared to 70% and 80% of  $Li_2ZrO_3$  and Li<sub>4</sub>SiO<sub>4</sub>. Their results also indicated the regeneration performance of Na<sub>2</sub>ZrO<sub>3</sub> was not as good as Li<sub>4</sub>SiO<sub>4</sub> and Li<sub>2</sub>ZrO<sub>3</sub>. Zhao et al.<sup>16</sup> investigated the kinetics of the CO<sub>2</sub> capture properties of nanocrystalline Na<sub>2</sub>ZrO<sub>3</sub> and found that monoclinic Na<sub>2</sub>ZrO<sub>3</sub> is much more active than its hexagonal counterpart. Their results showed that nanocrystalline  $Na_2ZrO_3$  is a very promising  $CO_2$ acceptor for different applications due to its excellent stability and durability<sup>17</sup> and to be able to work at  $CO_2$  partial pressure as low as 0.025 bar. Based on measuring the isotherms of  $CO_2$ sorption and kinetic analysis, Alcerreca-Corte et al.<sup>18</sup> investigated the CO<sub>2</sub> absorption on  $Na_2ZrO_3$  and found that there are two different processes taking place: (1) CO<sub>2</sub> chemsorption over surface of the particles; (2) once the  $Na_2CO_3$  shell formed, the second process of Na diffusion from core of the particles to the surface to reactive the first chemsorption process. Obviously, the second step is the limiting step of the total absorption process as the estimated activation energies of these two steps are 33.866 kJ/mol and 48.009 kJ/mol, respectively. Sandoval-Diaz and Pfeiffer<sup>19</sup> explored the effects of CO<sub>2</sub> chemsorption of K-doped Na<sub>2</sub>ZrO<sub>3</sub> and found that doped-K enhanced the CO<sub>2</sub> chemsorption and diffusion kinetics of Na<sub>2</sub>ZrO<sub>3</sub> and the 40% doping rate give the best solid solution for the CO<sub>2</sub> capture. Ochoa-Fernandez et al.<sup>20</sup> explored the effects of steam addition on the properties of high temperature ceramic  $CO_2$ acceptors and found that the presence of water in the form of steam enhances the capture and regeneration rates but has a large decay in capacity compared to the performance at dry conditions. Velderrain et  $al.^{21}$  found that small portion of Li addition could increase the absorption capacity of Na<sub>2</sub>ZrO<sub>3</sub>, but too much Li (>25% mol) will decrease its absorption capacity. Although the  $K_2ZrO_3$  is a stable solid material and there are several reports on K-doped Li<sub>2</sub>ZrO<sub>3</sub> and Na<sub>2</sub>ZrO<sub>3</sub> sorbents,<sup>10,19,20,22–24</sup> no report on the performance of pure  $K_2ZrO_3$  capture  $CO_2$  was found in the literature. Therefore, it is worthwhile to investigate the  $CO_2$  capture behaviors of K<sub>2</sub>ZrO<sub>3</sub> and provide the comparison with Li<sub>2</sub>ZrO<sub>3</sub> and Na<sub>2</sub>ZrO<sub>3</sub>.

Computational modeling could play an important role in developing new  $CO_2$  sorbents by identifying the corresponding thermodynamic and kinetic characteristics of the sorbent materials of interest.<sup>25</sup> In order to identify optimum candidates for  $CO_2$  solid sorbents (that can be further subjected to experimental testing) from vast of solid materials, we have developed a multi-step computational methodology based on combining the first principles' calculations with lattice phonon dynamics to describe the thermodynamic properties of  $CO_2$  capture reactions by solid sorbents.<sup>26–28</sup> In the literature, there are few theoretical studies, particularly on the electronic structure and lattice dynamics of the alkali metal zirconates. In our previous study,<sup>14</sup> we explored the CO<sub>2</sub> capturing properties of  $Li_2ZrO_3$  and  $Li_6Zr_2O_7$  and found that the performance of  $Li_2ZrO_3$  as a CO<sub>2</sub> sorbent is better than that of  $Li_6Zr_2O_7$ . In the first half cycle, sorbents absorbing  $CO_2$  to form lithium carbonate,  $Li_6Zr_2O_7$  performs better than  $Li_2ZrO_3$  because the former releases more heat of reaction and has a lower Gibbs free energy and a higher CO<sub>2</sub> capture capacity. However, during the second half cycle, regenerating sorbent from carbonate and zirconia to release CO<sub>2</sub>, the main product is the thermodynamically favorable Li<sub>2</sub>ZrO<sub>3</sub> rather than forming Li<sub>6</sub>Zr<sub>2</sub>O<sub>7</sub>. These results are in a good agreement with experimental findings.<sup>5</sup> Following our previous study of lithium zirconates capture CO<sub>2</sub>,<sup>14</sup> in this study, we use same procedure to investigate the electronic structural and the lattice dynamical phonon properties of  $M_2ZrO_3$  and  $M_2CO_3$  (M = Na, K) extensively. Then, compared with Li<sub>2</sub>ZrO<sub>3</sub>, we analyze in detail their properties of CO<sub>2</sub> absorption/desorption based on the calculated energetic and thermodynamic results from the obtained electronic structural and phonon dynamical properties.

The remainder of this report is organized as follows: In Sec. II, we briefly describe the theoretical method we employed. In Sec. III, we present the electronic and phonon results for these alkali metal zirconates and carbonates and compare them with other available data. Subsequently, compared with Li<sub>2</sub>ZrO<sub>3</sub>, we analyze their capabilities for CO<sub>2</sub> capture by calculating 013109-3  $CO_2$  capture by  $M_2ZrO_3$ , M = K, Na, Li

the chemical potential change for the capture reactions under different external pressures and temperatures. And in Sec. IV, we summarize our conclusions.

#### **II. THEORETICAL METHODS**

The complete description of our computational methodology can be found in our previous papers.<sup>14,26–29</sup> Here, we limit ourselves to provide only the main aspects relevant for the current study. When applying the alkali metal zirconates as solid  $CO_2$  absorbents, we have the following reactions:

$$M_2ZrO_3 + CO_2 \stackrel{i,P}{\longleftrightarrow} M_2CO_3 + ZrO_2 \quad (M = K, Na, Li).$$
 (1)

Assuming the difference between the chemical potential of solid phases ( $M_2ZrO_3$ ,  $ZrO_2$ , and  $M_2CO_3$ ) can be approximated by the differences in their electronic energies ( $\Delta E^{DFT}$ ) and their entropies ( $\Delta S_{PH}$ ) and harmonic free energies ( $\Delta F_{PH}$ ), we can obtain the temperature and pressure dependent chemical potential ( $\Delta \mu$ ) for these reactions<sup>14,28–31</sup>

$$\Delta\mu(T,P) = \Delta\mu^0(T) - RT \ln\left(\frac{P_{CO_2}}{P_0}\right),\tag{2}$$

with

$$\Delta \mu^0(T) = \Delta E^{DFT} + \Delta E_{ZP} + \Delta F_{PH}(T) - G_{CO_2}(T), \tag{3}$$

where  $\Delta E_{ZP}$  is the zero point energy difference between the reactants and products and can be obtained directly from phonon calculations. P<sub>0</sub> is the standard state reference pressure of 1 bar. (Note that in our previous paper,<sup>14</sup> in Eq. (3) there is a typo error with an extra term  $-T\Delta S_{PH}(T)$ .) The enthalpy change for the reactions (1),  $\Delta H^{cal}(T)$ , can be derived from above equations as

$$\Delta H^{cal}(T) = \Delta \mu^0(T) + T(\Delta S_{PH}(T) - S_{CO_2}(T)).$$
<sup>(4)</sup>

As described in our previous study,<sup>14,27,28</sup> the zero-point energy, the free energy and the entropy of CO<sub>2</sub> (E<sub>zp CO<sub>2</sub></sub>, G<sub>CO<sub>2</sub></sub>(T), S<sub>CO<sub>2</sub></sub>(T)) can be obtained by standard statistical mechanics and accurately evaluated using the Shomate equation. In Eq. (3),  $\Delta E^{DFT}$  is the total energy change of the reactants and products calculated by density functional theory (DFT). In this work, the Vienna Ab-initio Simulation Package (VASP)<sup>32,33</sup> was employed to calculate the electronic structures of the solid materials involved in this study. All calculations have been done using the projector augmented wave (PAW), pseudo-potentials, and the PW91 exchange-correlation functional.<sup>34</sup> This computational level was shown to provide an accurate description of oxide systems.<sup>28,29,35</sup> Plane wave basis sets were used with a cutoff energy of 500 eV and a kinetic energy cutoff for augmentation charges of 605.4 eV. The k-point sampling grids of  $n_1 \times n_2 \times n_3$ , obtained using the Monkhorst-Pack method,<sup>36</sup> were used for these bulk calculations, where  $n_1$ ,  $n_2$ , and  $n_3$  were determined consistent to a spacing of about 0.028  $\text{\AA}^{-1}$  along the axes of the reciprocal unit cells. The corresponding k-points sets that we used in our calculations were  $7 \times 4 \times 4$  for Na<sub>2</sub>ZrO<sub>3</sub>,  $6 \times 3 \times 5$  for K<sub>2</sub>ZrO<sub>3</sub>,  $4 \times 8 \times 6$  for Na<sub>2</sub>CO<sub>3</sub>, and  $6 \times 4 \times 6$  for K<sub>2</sub>CO<sub>3</sub>, respectively. The valence electrons contain the s and p orbitals of Na, C, and O atoms, and the s, p, and d orbitals of K and Zr. During the calculations, all atoms in the cell as well as the lattice dimensions and angles were relaxed to the equilibrium configurations. For band structure and phonon dispersion calculations, the symbols and coordinates of the high symmetrical points in the first Brillouin zone of the crystals are taken from Bradley and Cracknell's definitions.<sup>37</sup>

In Eqs. (3) and (4), the zero-point-energies ( $E_{ZP}$ ), entropies ( $S_{PH}$ ), and harmonic free energies ( $F_{PH}$ , excluding zero-point energy which was already counted into the term  $\Delta E_{Zp}$ ) of solids were calculated by the PHONON software package<sup>38</sup> in which the direct method is applied following the formula derived by Parlinski *et al.*<sup>39</sup> to combine *ab initio* DFT with lattice phonon

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dynamics calculations. In the phonon calculations, a  $2 \times 1 \times 1$  supercell is created for Na<sub>2</sub>ZrO<sub>3</sub> and a  $2 \times 1 \times 2$  supercell for K<sub>2</sub>ZrO<sub>3</sub> from their optimized unit cells that are calculated through DFT while a  $2 \times 2 \times 2$  supercell for M<sub>2</sub>CO<sub>3</sub> (M = Na, K) is created for phonon calculations. Based on the partition function carried out with the phonon dispersions and phonon densities of states, their thermodynamic properties, such as internal energy, free energy, entropy, heat capacity, etc., can be evaluated under different temperature and pressure that are used in Eq. (2) to calculate the chemical potentials for the reaction (1).

#### **III. RESULTS AND DISCUSSIONS**

#### A. Structural optimization and electronic structural properties

Experimentally, with different M<sub>2</sub>CO<sub>3</sub>/ZrO<sub>2</sub> ratios (where M = Li, Na, K), the alkali metal zirconates are synthesized by reacting alkali carbonates M<sub>2</sub>CO<sub>3</sub> with ZrO<sub>2</sub> at high temperature.<sup>40–42</sup> Figure 1 shows the crystal structures of these four crystals which are studied in this work. As Bastow *et al.*<sup>40</sup> measured and shown in Fig. 1(a), the structure of Na<sub>2</sub>ZrO<sub>3</sub> is isotypic with Li<sub>2</sub>SnO<sub>3</sub> (Ref. 43) and Li<sub>2</sub>TiO<sub>3</sub>,<sup>44</sup> crystallizing in a monoclinic space group C2/c (#15) with unit cell parameters a = 5.623 Å, b = 9.749 Å, c = 11.127 Å, and  $\beta$  = 99.98°, and with eight formula units (f.u.) per unit cell. However, K<sub>2</sub>ZrO<sub>3</sub> is in the orthorhombic system *Pnma* (#62) with unit cell dimensions of *a* = 5.93 Å, *b* = 10.48 Å, and *c* = 7.03 Å (shown in Fig. 1(b)).<sup>41,42</sup> Its structure contains chains of edge-sharing ZrO<sub>5</sub> square pyramids, with apices oppositely directed, running in the *x* direction. Their experimental crystal structural constants as well as our optimized structural constants of Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub> are summarized in Table I. Although the experimental measurements showed that the Na<sub>2</sub>CO<sub>3</sub> and K<sub>2</sub>CO<sub>3</sub> could have



FIG. 1. The crystal structures of sodium and potassium zirconates and carbonates. Biggest ball stands for Zr in green or C in gray, smallest in red for O, purple for Na or K. *c* axis is vertical. (a)  $Na_2ZrO_3$  in space group C12/c1(No.15); (b)  $K_2ZrO_3$  in space group Pnma(No.62); (c)  $Na_2CO_3$  in space group C12/m1(No.14); (d)  $K_2CO_3$  in space group  $P12_1/c1(No.14)$ .

	Lattice constants			Fractional coordinates			
Crystal and space group	Experimental	Optimized	Deviation (%)	Experimental	Optimized		
$Na_2ZrO_3 C12/c1(No.15)^a$ f.u. = 8	a = 5.623 b = 9.749 c = 11.127 $\beta$ = 99.98°	5.612809 9.735747 10.95675 100.0426°	-0.18 -0.14 -1.53 0.01	Na: (0.238, 0.077,-0.0001) (0.250, 0.250, 0.500) (0.000, 0.085, 0.250) Zr: (0.000, 0.415, 0.250) (0.000, 0.747, 0.250) O: (0.141, 0.265, 0.138) (0.102, 0.586, 0.138)	Na: (0.236467, 0.078715, -0.000236) (0.250000, 0.250000, 0.500000) (0.000000, 0.084843, 0.250000) Zr: (0.000000, 0.417918, 0.250000) (0.000000, 0.750255, 0.250000) O: (0.147306, 0.268494, 0.142479) (0.096802, 0.584613, 0.142216) (0.14627, 0.001650, 0.140860)		
$K_2ZrO_3 Pnma(No.62)^b$ f.u. = 4	a = 5.93 b = 10.48 c = 7.03	5.945627 10.63924 7.116156	0.26 1.52 1.23	K: (0.264, 0.5857, 0.1143) Zr: (0.2730, 0.25, 0.1598) O: (0.175, 0.75, 0.390) (0.015, 0.121, 0.234)	K: (0.264974, 0.585884, 0.113494) Zr: (0.278576, 0.250000, 0.159942) O: (0.159605, 0.750000, 0.391444) (0.016177, 0.122053, 0.229819)		
$Na_2CO_3 C12/m1(No.12)^{c}$ f.u. = 4	a = 8.99881 b = 5.24381 c = 6.2868 $\beta = 97.689^{\circ}$	8.95180 5.33507 6.13861 102.21°	-0.52 1.74 -2.36 4.63	Na: (0.0000, 0.0000, 0.0000) (0.0000, 0.0000, 0.5000) (0.168, 0.5000, 0.7511) C: (0.1643, 0.5000, 0.2535) O: (0.1017, 0.3003, 0.2798) (0.2891, 0.5000, 0.1899)	Na: (0.000000, 0.000000, 0.000000) (0.000000, 0.000000, 0.500000) (0.170359, 0.500000, 0.746427) C: (0.163355, 0.500000, 0.248804) O: (0.100637, 0.289239, 0.287696) (0.288175, 0.500000, 0.172350)		
$K_2CO_3 P12_1/c1(No.14)^d$ f.u. = 4	a = 5.63961 b = 9.8312 c = 6.83407 $\beta$ = 98.703°	5.76055 9.90478 7.18110 97.30°	2.14 0.75 5.08 -1.42	K: (0.2418, 0.0831, 0.2148) (0.7391, 0.2602, 0.9720) C: (0.2455, 0.4174, 0.2489) O: (0.7368, 0.0430, 0.2014) (0.0631, 0.3488, 0.2770) (0.4147, 0.3609, 0.1718)	K: (0.241092, 0.081862, 0.195855) (0.740909, 0.267167, 0.974545) C: (0.250376, 0.416094, 0.255014) O: (0.737693, 0.042671, 0.194517) (0.063280, 0.346453, 0.276849) (0.424794, 0.359532, 0.186594)		

<sup>a</sup>From Ref. 40. <sup>b</sup>From Ref. 42. <sup>c</sup>From Ref. 47. <sup>d</sup>From Ref. 46.

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several phases at different temperature ranges,<sup>45–47</sup> here in this study, we only deal with their most stable phases:<sup>29</sup>  $\beta$ -Na<sub>2</sub>CO<sub>3</sub> in monoclinic with space group C2/m (#12)<sup>47</sup> (Fig. 1(c)) and monoclinic phase of K<sub>2</sub>CO<sub>3</sub> with space group P2<sub>1</sub>/c (#14) (Fig. 1(d)).<sup>46</sup> In Table I, we also listed their experimental crystal structural constants as well as our optimized results.

From Fig. 1 and Table I, it can be seen that there are eight f.u. in  $Na_2ZrO_3$  unit cell and four f.u. in other three unit cells. In  $Na_2ZrO_3$ , each Zr atom coordinated with six O and each O coordinated with two Zr. Along *c* axis, the connected [ZrO<sub>3</sub>] groups form planar layers and separated by Li layers. In [ZrO<sub>3</sub>] layer, Zr is in the middle, the bonded O located both sides of Zr, and the Li atoms locate between two Zr, as shown in Fig. 1(a). Similar to  $Na_2ZrO_3$ , in  $K_2ZrO_3$ as shown in Fig. 1(b), the [ZrO<sub>3</sub>] group also connects to each other. Instead of forming planar layer, they form into [ZrO<sub>3</sub>]<sub>n</sub> chains. Each chain is surrounded by K atoms. In the case of  $Na_2CO_3$  and  $K_2CO_3$  as shown in Figs. 1(c) and 1(d), the [CO<sub>3</sub>]<sup>-</sup> groups do not connect to each other and are separated by  $Na^+$  or  $K^+$  ion. From Table I, one can see that the deviations of our optimized structures of  $Na_2ZrO_3$  and  $K_2ZrO_3$  from the corresponding experimental measurements are less than 1.5%. However, large deviations on the angle of  $Na_2CO_3$  and on constant *c* of  $K_2CO_3$  were obtained.

The calculated band structures of  $M_2ZrO_3$  and MCO<sub>3</sub> (M = Na, K) are shown in Fig. 2. It can be seen from Figs. 2(a) and 2(b) that the band structures of Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub> are quite different. Na<sub>2</sub>ZrO<sub>3</sub> has two valence bands (VBs), while K<sub>2</sub>ZrO<sub>3</sub> has three VBs. The calculated band gap of Na<sub>2</sub>ZrO<sub>3</sub> is an indirect one, located between  $\Gamma$  and Z high symmetric points with the value of 4.339 eV, while the calculated band gap of K<sub>2</sub>ZrO<sub>3</sub> is direct with the values of 3.641 eV. They are both insulator materials with large band gaps. As described in our previous work,<sup>27,35</sup> the DFT calculation underestimated the excited-state energy. The calculated band-gaps are usually smaller than the experimental measurements, although currently there is no experimental value available for comparison. The calculated band-gaps, the widths of VBs and the gaps between the VBs of M<sub>2</sub>ZrO<sub>3</sub> are summarized in Table II.



 $FIG.\ 2.\ The\ calculated\ electronic\ band\ structures:\ (a)\ Na_2ZrO_3;\ (b)\ K_2ZrO_3;\ (c)\ Na_2CO_3;\ (d)\ K_2CO_3.$ 

TABLE II. The calculated band gaps and valance band widths of  $M_2$ ZrO<sub>3</sub> and  $M_2$ CO<sub>3</sub> (M = K, Na, Li) and their corresponding DFT total energies (E<sup>DFT</sup>), the zero point energies (E<sub>zp</sub>), and the entropies (S) at T = 298 K from phonon calculations.

Crystal	VB <sub>3</sub> width (eV)	Gap between $VB_3$ and $VB_2$ (eV)	VB <sub>2</sub> width (eV)	Gap between $VB_2$ and $VB_1$ (eV)	VB <sub>1</sub> width (eV)	Band gap (eV)	E <sup>DFT</sup> (eV/f.u.)	E <sub>zp</sub> (kJ/mol)	Entropy (J/mol K)
K <sub>2</sub> ZrO <sub>3</sub>	0.973	2.839	0.487	8.921	2.757	3.641 (direct)	-40.32835	28.485	168.654
Na <sub>2</sub> ZrO <sub>3</sub>			0.943	11.837	3.259	4.339 (indirect)	-41.56924	34.497	119.319
Li <sub>2</sub> ZrO <sub>3</sub> <sup>a</sup>			1.01	11.695	3.73	3.898 (indirect)	-43.76850	36.108	101.88
									91.63 <sup>c</sup>
$K_2CO_3$	0.639	4.370	2.132	5.197	2.158	3.697 (direct)	-36.90480	44.683	160.121
									155.50 <sup>c</sup>
Na <sub>2</sub> CO <sub>3</sub>	0.119	10.466	2.735	2.616	2.736	3.935 (indirect)	-37.29272	47.118	134.685
									138.783°
Li <sub>2</sub> CO <sub>3</sub> <sup>b</sup>	0.117	10.276	3.49	2.102	2.51	5.10 (direct)	-39.55190	57.807	93.615
									90.169 <sup>°</sup>
$ZrO_2^{a}$			1.51	10.971	4.94	3.76 (direct)	-28.73057	20.102	51.30
									50.39 <sup>c</sup>

<sup>a</sup>Taken from Ref. 14.

<sup>b</sup> $\alpha$ -phase Li<sub>2</sub>CO<sub>3</sub> taken from Ref. 27. <sup>c</sup>Taken from HSC Chemistry package.<sup>48</sup>

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As shown in Figs. 2(c) and 2(d), the calculated band structures of Na<sub>2</sub>CO<sub>3</sub> and K<sub>2</sub>CO<sub>3</sub> have some similarities with several VBs. The calculated band gap of Na<sub>2</sub>CO<sub>3</sub> is an indirect one, located between  $\Gamma$  and V high symmetric points with the value of 3.935 eV, while the calculated band gaps of K<sub>2</sub>CO<sub>3</sub> is direct with the values of 3.697 eV. Within their VB<sub>1</sub> and VB<sub>2</sub>, there are some small gaps. In Na<sub>2</sub>CO<sub>3</sub>, the width of VB<sub>1</sub> is 2.736 eV in which a 0.714 eV gap was found and divided the VB<sub>1</sub> into two sub-VBs with widths of 0.595 eV and 1.427 eV, respectively. And its width of VB<sub>2</sub> is 2.725 eV in which a 0.952 eV gap was found and divided the VB<sub>2</sub> into two sub-VBs with widths of 1.070 eV and 0.713 eV, respectively. Similar to Na<sub>2</sub>CO<sub>3</sub>, in K<sub>2</sub>CO<sub>3</sub> the widths of its VB<sub>1</sub> and VB<sub>2</sub> are 2.158 eV and 2.132 eV, respectively. With a gap of 1.172 eV, its VB<sub>1</sub> was separated into two sub-VBs with values of 0.240 eV and 0.853 eV. And by a gap of 1.386 eV its VB<sub>2</sub> was also divided into two sub-VB<sub>2</sub> with the widths of 0.533 eV and 0.426 eV, respectively. As shown in Figs. 2(c) and 2(d), at low energy range (-22 eV ~ -10 eV), Na<sub>2</sub>CO<sub>3</sub> has another two bands (VB<sub>3</sub>, VB<sub>4</sub>) while K<sub>2</sub>CO<sub>3</sub> has three



FIG. 3. The calculated electronic TDOS and projected PDOS: (a) Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub>; (b) Na<sub>2</sub>CO<sub>3</sub> and K<sub>2</sub>CO<sub>3</sub>.

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bands (VB<sub>3</sub>, VB<sub>4</sub>, and VB<sub>5</sub>) with narrow widths. Their band widths and gaps are also summarized in Table II.

Figure 3(a) shows the calculated total density of states (TDOS) and their corresponding atom partial density of states (PDOS) for Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub>. In both cases, the *s* orbital of O contributes to the lowest energy VBs of Na<sub>2</sub>ZrO<sub>3</sub> (VB<sub>2</sub>) and K<sub>2</sub>ZrO<sub>3</sub> (VB<sub>3</sub>), while its *p* orbitals are mainly contributed to their VB<sub>1</sub>. All the *s*, *p*, and *d* orbitals of Zr contribute to both VBs, but its *d* orbitals have higher contributions than its *s* and *p* orbitals. Compared Na<sub>2</sub>ZrO<sub>3</sub> to K<sub>2</sub>ZrO<sub>3</sub>, one can see that in the case of Na<sub>2</sub>ZrO<sub>3</sub>, the *s* orbital of Na has more contribution to the lower portion of VB<sub>1</sub> and the conduction band (CB) and its *p* orbitals have more contribution to the upper portion of VB<sub>1</sub>, while in the case of K<sub>2</sub>ZrO<sub>3</sub>, except for having higher contribution over its *s* and *d* orbitals on VB<sub>1</sub>, VB<sub>3</sub>, and CB, the *p* orbitals of K are mainly contributed to the VB<sub>2</sub>. Comparing with Li<sub>2</sub>ZrO<sub>3</sub>, <sup>14</sup> one can see that the electronic structure of Na<sub>2</sub>ZrO<sub>3</sub> is similar to that of Li<sub>2</sub>ZrO<sub>3</sub>, and both of them are different from that of K<sub>2</sub>ZrO<sub>3</sub>.

From Fig. 3(b), one can see that in Na<sub>2</sub>CO<sub>3</sub> the VB<sub>1</sub> is mainly formed by p orbitals of O, VB<sub>2</sub> by interactions of s and p orbitals of O and C, VB<sub>3</sub> by s orbital of O with p orbitals of C, and the VB<sub>4</sub> mainly formed by p orbitals of Na with s orbital of C and O. However, in K<sub>2</sub>CO<sub>3</sub>, except for VB<sub>1</sub> and VB<sub>2</sub> which are similar to those in Na<sub>2</sub>CO<sub>3</sub>, the p orbital of K forms a single valence band (VB<sub>3</sub>), while its s and p orbitals also involve into other VBs and CB interacting with the s and p orbitals of C and O.

#### **B.** Dynamical phonon properties

As shown in Table I, for  $Na_2ZrO_3$  and  $Na_2CO_3$ , there are eight and four f.u. in their unit cells, but their primitive cells have only four f.u. and two f.u., respectively. For both of  $K_2ZrO_3$  and  $K_2CO_3$ , since their unit cells are same as their primitive cells, they have four f.u. Therefore, there are 72 phonon modes in  $Na_2ZrO_3$ ,  $K_2ZrO_3$ , and  $K_2CO_3$ , while there are only 36 phonon modes in  $Na_2CO_3$ . The calculated phonon dispersions of  $M_2ZrO_3$  and  $M_2CO_3$  (M = Na, K) are shown in Figs. 4(a)-4(d), respectively. In Tables III and IV, we summarize our calculated phonon frequencies of these four solids together with the corresponding irreducible representations. It can



FIG. 4. The calculated phonon dispersions: (a)  $Na_2ZrO_3$ ; (b)  $K_2ZrO_3$ ; (c)  $Na_2CO_3$ ; (d)  $K_2CO_3$ .

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Na <sub>2</sub> ZrO <sub>3</sub> (C12/c1(No.15))				K <sub>2</sub> ZrO <sub>3</sub> (Pnma(No.62))					
Modes	Frequencies	Modes	Frequencies	Modes	Frequencies	Modes	Frequencies		
A <sub>u</sub> (I)	-0.10	B <sub>u</sub> (I)	305.34	B <sub>3u</sub> (I)	0.17	A <sub>u</sub>	180.65		
$B_u(I)$	0.10	$B_u(I)$	309.71	$B_{1u}(I)$	0.23	$B_{3u}(I)$	184.59		
$B_u(I)$	0.47	$A_u(I)$	310.37	$B_{2u}(I)$	0.43	$A_g(R)$	198.27		
$A_g(R)$	69.75	$A_g(R)$	310.71	$A_u$	40.93	B <sub>3u</sub> (I)	205.84		
$B_g(R)$	72.08	$B_g(R)$	323.11	$A_g(R)$	57.01	$B_{1u}(I)$	215.34		
$B_u(I)$	116.11	$A_g(R)$	326.12	$B_{2u}(I)$	62.61	$B_{2g}(R)$	215.44		
$A_u(I)$	122.65	$B_u(I)$	327.62	$B_{3g}(R)$	66.14	$B_{1g}(R)$	238.43		
$B_g(R)$	123.98	$B_g(R)$	329.52	$B_{2g}(R)$	66.21	$B_{2u}(I)$	239.79		
$B_u(I)$	125.92	A <sub>u</sub> (I)	338.02	$B_{1g}(R)$	77.12	A <sub>u</sub>	267.84		
$B_g(R)$	141.50	B <sub>u</sub> (I)	354.74	$B_{1g}(R)$	85.62	B <sub>3u</sub> (I)	275.65		
$A_u(I)$	147.60	$A_g(R)$	363.57	B <sub>3u</sub> (I)	87.59	$A_g(R)$	279.15		
$B_u(I)$	155.07	A <sub>u</sub> (I)	382.59	$A_g(R)$	89.19	$B_{3g}(R)$	282.45		
$B_u(I)$	160.64	$B_g(R)$	407.10	$A_{g}(R)$	97.27	$B_{1u}(I)$	323.72		
$A_g(R)$	172.21	$A_{g}(R)$	409.74	B <sub>3u</sub> (I)	102.90	$B_{2g}(R)$	328.85		
$B_g(R)$	175.92	B <sub>u</sub> (I)	410.04	A <sub>u</sub>	104.64	$B_{1u}(I)$	331.12		
$B_g(R)$	186.12	$A_u(I)$	417.21	$B_{1g}(R)$	107.97	$B_{2g}(R)$	338.86		
$A_u(I)$	193.33	$B_g(R)$	425.82	B <sub>2u</sub> (I)	109.51	B <sub>3u</sub> (I)	372.92		
$A_u(I)$	200.00	$B_u(I)$	427.08	$B_{1u}(I)$	112.44	$A_g(R)$	373.11		
$B_u(I)$	206.77	$A_u(I)$	443.79	$B_{3g}(R)$	115.31	$A_u$	408.47		
$B_u(I)$	212.17	$B_g(R)$	444.80	$B_{1u}(I)$	117.34	$B_{3g}(R)$	417.58		
$A_g(R)$	226.98	$B_u(I)$	445.33	$A_u$	119.38	$B_{2u}(I)$	433.02		
$B_g(R)$	231.52	$A_g(R)$	445.33	$B_{1g}(R)$	122.82	$B_{1g}(R)$	434.82		
$B_u(I)$	235.23	$B_u(I)$	447.56	$B_{2u}(I)$	127.72	$B_{3g}(R)$	470.85		
$A_g(R)$	237.66	$A_u(I)$	450.30	$B_{2g}(R)$	128.15	$A_u$	473.55		
$A_u(I)$	247.03	$A_g(R)$	451.30	A <sub>u</sub>	132.66	$B_{2u}(I)$	493.63		
$B_g(R)$	248.60	$B_g(R)$	453.54	B <sub>3g</sub> (R)	133.99	$B_{1u}(I)$	512.67		
$A_u(I)$	272.41	$B_u(I)$	485.49	$A_g(R)$	134.76	$B_{2g}(R)$	519.61		
$A_g(R)$	274.92	$B_g(R)$	505.37	$B_{3u}(I)$	139.43	$B_{1g}(R)$	558.90		
$B_u(I)$	276.65	$B_u(I)$	506.97	$B_{2g}(R)$	140.53	$A_g(R)$	562.64		
$A_u(I)$	278.52	$A_u(I)$	508.44	$B_{1g}(R)$	141.89	B <sub>3u</sub> (I)	582.02		
$B_g(R)$	278.72	$A_g(R)$	508.44	$B_{3g}(R)$	149.90	$B_{2g}(R)$	612.04		
$B_u(I)$	283.09	$A_g(R)$	591.49	$B_{2g}(R)$	150.93	$B_{1u}(I)$	614.14		
$A_g(R)$	298.06	$A_u(I)$	598.00	B <sub>1u</sub> (I)	157.00	$B_{1u}(I)$	704.50		
$A_u(I)$	304.00	$B_g(R)$	619.74	$B_{2u}(I)$	162.61	B <sub>2g</sub> (R)	705.80		
$B_g(R)$	304.37	$A_u(I)$	626.59	$A_g(R)$	162.64	$A_g(R)$	737.99		
$B_g(R)$	305.00	$A_g(R)$	627.25	B <sub>3g</sub> (R)	177.55	B <sub>3u</sub> (I)	746.36		

TABLE III. The calculated frequencies for each irreducible representation vibrational mode of  $Na_2ZrO_3$  and  $K_2ZrO_3$  (unit: cm<sup>-1</sup>). *R* stands for Raman-active modes and *I* stands for the infrared-active modes.

be seen from Fig. 4(c) that only in Na<sub>2</sub>CO<sub>3</sub> along the wave-vector there are two soft modes which correspond to the one type of O displacements. In other three solids (Figs. 4(a), 4(b), and 4(d)), no obvious soft mode was found.

The calculated phonon density of states of  $M_2ZrO_3$  and  $M_2CO_3$  (M = K, Na, Li) is shown in Figs. 5(a) and 5(b), respectively. Summarizing with our previous results on Li<sub>2</sub>ZrO<sub>3</sub>,<sup>14</sup> overall, from K to Na to Li, the frequencies peaks of  $M_2ZrO_3$  and  $M_2CO_3$  are shifted to high frequencies due to the molecular weight decreased from K to Li.

The calculated phonon free energy of each solid versus temperature is shown in Figure 6(a), from which the zero-point energies ( $E_{zp}$ ) can be obtained and are listed in Table II. In order to compare their properties of capturing CO<sub>2</sub>, the thermodynamic properties of Li<sub>2</sub>ZrO<sub>3</sub>

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Na <sub>2</sub> CO <sub>3</sub> (C12/m1(No.12))		K <sub>2</sub> CO <sub>3</sub> (P12 <sub>1</sub> /c1(No.14))						
Modes	Frequencies	Modes	Frequencies	Modes	Frequencies			
B <sub>g</sub> (R)	-23.38	B <sub>u</sub> (I)	-0.17	A <sub>g</sub> (R)	158.37			
A <sub>u</sub> (I)	-21.05	$B_u(I)$	0.10	B <sub>u</sub> (I)	161.04			
B <sub>u</sub> (I)	-0.33	$A_u(I)$	0.13	$A_u(I)$	161.77			
$A_u(I)$	0.57	$A_g(R)$	53.87	$A_g(R)$	168.75			
B <sub>u</sub> (I)	0.83	$A_g(R)$	64.08	B <sub>u</sub> (I)	172.05			
$B_g(R)$	104.47	$A_u(I)$	69.51	$A_u(I)$	176.95			
$A_u(I)$	110.41	$B_u(I)$	75.12	$B_g(R)$	178.92			
B <sub>u</sub> (I)	113.21	$B_g(R)$	82.65	B <sub>u</sub> (I)	181.15			
$A_g(R)$	114.11	$A_g(R)$	82.89	$A_g(R)$	181.59			
$B_g(R)$	126.39	$B_g(R)$	84.59	$B_g(R)$	184.82			
$A_u(I)$	127.02	$A_u(I)$	87.92	$A_u(I)$	188.56			
$A_g(R)$	131.05	$B_u(I)$	91.33	$B_g(R)$	200.10			
$B_u(I)$	148.07	$A_g(R)$	93.80	$A_u(I)$	653.63			
$A_g(R)$	154.74	$A_u(I)$	98.70	$A_g(R)$	654.00			
B <sub>u</sub> (I)	164.81	$B_g(R)$	99.00	B <sub>u</sub> (I)	656.30			
$B_g(R)$	168.05	$B_u(I)$	99.50	$B_g(R)$	656.44			
$B_u(I)$	192.99	$B_g(R)$	105.90	$A_u(I)$	659.97			
$A_g(R)$	193.86	$A_g(R)$	109.04	B <sub>u</sub> (I)	660.20			
$A_u(I)$	213.01	$A_u(I)$	116.31	$A_g(R)$	660.97			
B <sub>u</sub> (I)	220.01	$A_g(R)$	117.14	$B_g(R)$	662.74			
$A_g(R)$	222.45	$B_g(R)$	117.88	B <sub>u</sub> (I)	831.85			
B <sub>u</sub> (I)	235.46	$A_u(I)$	123.08	$A_u(I)$	832.12			
$A_u(I)$	236.09	$A_g(R)$	124.32	$A_g(R)$	833.65			
B <sub>u</sub> (I)	275.95	$B_g(R)$	125.88	$B_g(R)$	834.25			
$A_u(I)$	659.70	$B_u(I)$	128.18	B <sub>u</sub> (I)	1034.09			
$B_g(R)$	661.31	$B_u(I)$	131.29	$B_g(R)$	1034.42			
B <sub>u</sub> (I)	666.94	$A_g(R)$	133.62	$A_u(I)$	1034.79			
$A_g(R)$	667.38	$A_u(I)$	134.39	$A_g(R)$	1035.59			
B <sub>u</sub> (I)	829.02	$A_g(R)$	140.43	$A_u(I)$	1353.30			
$A_g(R)$	835.45	$B_u(I)$	140.76	$A_g(R)$	1358.17			
B <sub>u</sub> (I)	1060.24	B <sub>g</sub> (R)	141.09	$B_g(R)$	1366.71			
$A_g(R)$	1060.34	$B_g(R)$	143.90	B <sub>u</sub> (I)	1367.91			
B <sub>g</sub> (R)	1389.75	$A_u(I)$	147.93	$A_u(I)$	1371.08			
B <sub>u</sub> (I)	1396.49	$B_u(I)$	148.40	$A_g(R)$	1377.75			
$A_u(I)$	1398.63	B <sub>g</sub> (R)	155.44	$B_g(R)$	1385.52			
Ag(R)	1405.90	$A_u(I)$	156.04	B <sub>u</sub> (I)	1440.75			

TABLE IV. The calculated frequencies for each irreducible representation vibrational mode of  $Na_2CO_3$  and  $K_2CO_3$  (unit: cm<sup>-1</sup>). *R* stands for Raman-active modes and *I* stands for the infrared-active modes.

and Li<sub>2</sub>CO<sub>3</sub> are also shown in the same figure.<sup>27</sup> As one can see the zero-point energies of these solids are significant and must be included into the predicting their thermodynamic properties (Eq. (3)). Fig. 6(b) shows the calculated entropies of these solids versus the temperatures. Obviously, at 0 K, their entropies are zero and increase with increasing temperature. As shown in Table II, similar to other studied solid sorbents,<sup>29</sup> our calculated entropies of these three solids at room temperature are quite close to the experimental measured values, which indicate that our theoretical approach can achieve reasonable results and, therefore, can be used to evaluate other unknown systems. By including these free energies and entropies at different temperatures into Eqs. (2) and (4), the thermodynamic properties of the reactions of M<sub>2</sub>ZrO<sub>3</sub> (M=K, Na, Li) capturing CO<sub>2</sub> can be evaluated as described in Sec. III C.







FIG. 5. The calculated phonon total density of states: (a)  $M_2ZrO_3$ ; (b)  $M_2CO_3$ , M = K, Na, Li.

#### C. Capabilities of Na<sub>2</sub>ZrO<sub>3</sub> and K<sub>2</sub>ZrO<sub>3</sub> capture CO<sub>2</sub>

Experimental investigations showed that the alkali metal zirconates are good candidates of solid sorbents for CO<sub>2</sub> capture because they have large CO<sub>2</sub> sorption capacity, infinite CO<sub>2</sub>/N<sub>2</sub> or CO<sub>2</sub>/H<sub>2</sub> selectivity, good reversibility, and high operating temperatures.<sup>8,10–12,16</sup> According to Eq. (4), the calculated heat of reaction (enthalpy change) for reactions of M<sub>2</sub>ZrO<sub>3</sub> + CO<sub>2</sub> = M<sub>2</sub>CO<sub>3</sub> + ZrO<sub>2</sub> (M = K, Na, Li) versus the temperatures is plotted in Fig. 7(a) and also summarized in Table V. The thermodynamic properties of ZrO<sub>2</sub> were taken from our previous work.<sup>14</sup> For comparison, the available experimental data for the reaction of Na<sub>2</sub>ZrO<sub>3</sub> capturing CO<sub>2</sub> from HSC Chemistry database<sup>48</sup> are also shown in Fig. 7(a). For the reaction of Na<sub>2</sub>ZrO<sub>3</sub> capture CO<sub>2</sub> as shown in Fig. 7(a), the data from HSC Chemistry database have one discontinuity at temperature 725 K, which correspond to the solid-solid phase transition of the product Na<sub>2</sub>CO<sub>3</sub> at that temperature solid phases of Na<sub>2</sub>CO<sub>3</sub>. Therefore, as an approximation, we used the structure of its low-temperature phase (<623 K) to represent its structure in

100

0

0

200

K<sub>a</sub>ZrO<sub>a</sub> Na<sub>2</sub>rO i ZrO

K CO Na CO Li,CO

800

1000



FIG. 6 The calculated thermo-dynamical properties of  $M_2ZrO_3$  and  $M_2CO_3$ , where M = K, Na, Li: (a) free energies including zero-point energy versus temperatures; (b) entropies versus temperatures.

**Temperature (K)** (b)

400

600

high-temperature range, and the temperature effects were partially taken into account by phonon dynamics at different temperatures without specific phase transition. That is why in Fig. 7(a) the simulated enthalpy of the Na<sub>2</sub>ZrO<sub>3</sub> capture CO<sub>2</sub> reaction does not have discontinuity compared to the HSC data. As one can see from Fig. 7(a) that overall our calculated heat of reaction for Na<sub>2</sub>ZrO<sub>3</sub> is close to the data from HSC Chemistry database within 6 kJ/mol deviation along the temperature range for  $CO_2$  capture. Since there is no experimental data available for  $K_2ZrO_3$ , in Fig. 7(a) only our calculated reaction heat of  $K_2ZrO_3$  capturing CO<sub>2</sub> is plotted. For comparison reason, in Fig. 7(a), we also plotted the reaction heat of Li<sub>2</sub>ZrO<sub>3</sub> capturing  $CO_2$  from our previous study.<sup>14</sup> From Fig. 7(a), one can see that the reaction of K<sub>2</sub>ZrO<sub>3</sub> capturing CO<sub>2</sub> has larger heat of reaction ( $\Delta$ H), while Na<sub>2</sub>ZrO<sub>3</sub> and Li<sub>2</sub>ZrO<sub>3</sub> have similar  $\Delta$ H values with increasing temperatures, which means the reaction of  $K_2ZrO_3$  absorbing CO<sub>2</sub> is stronger than those of Na<sub>2</sub>ZrO<sub>3</sub> and Li<sub>2</sub>ZrO<sub>3</sub> absorbing CO<sub>2</sub>, but the reverse reaction to regenerate K<sub>2</sub>ZrO<sub>3</sub> is much harder and needs more energy comparing with the cases of Na<sub>2</sub>ZrO<sub>3</sub> and Li<sub>2</sub>ZrO<sub>3</sub>.



FIG. 7. The calculated thermodynamic properties of the reactions of  $M_2ZrO_3$  (M = K, Na, Li) capturing CO<sub>2</sub>: (a) the heat of reaction versus temperature. For the case of  $Na_2ZrO_3$ , the data from HSC package are also presented in this figure. The discontinuity of HSC data at 723K indicates solid-solid phase transition of the product  $Na_2CO_3$ ; (b) the contour plotting of calculated chemical potentials versus CO<sub>2</sub> pressures and temperatures of the reactions. Y-axis plotted in logarithm scale. Only  $\Delta \mu = 0$  curve (van't Hoff relation) is shown explicitly. For each reaction, above its  $\Delta \mu = 0$  curve, their  $\Delta \mu < 0$ , which means the alkali metal zirconates absorb CO<sub>2</sub> and the reaction goes forward, whereas below the  $\Delta \mu = 0$  curve, their  $\Delta \mu > 0$ , which means the CO<sub>2</sub> start to release and the reaction goes backward to regenerate the sorbents.

According to Eq. (2), for the reactions of  $M_2ZrO_3$  capturing CO<sub>2</sub>, we can explore the relationship among the chemical potential ( $\Delta\mu(T,P)$ ), the temperature, and the COB<sub>2</sub> pressure ( $P_{CO_2}$ ). This kind of relationship for the reaction (a) is shown in Fig. 7(b) as contour plotting. Only one line for each reaction is plotted explicitly in the Fig. 7(b) on which the  $\Delta\mu(T,P)$  is zero. Around the line is a good region for the absorption and desorption because of the minimal energy costs at the given temperature and pressure. Above the line, the solid ( $M_2ZrO_3$ ) is favorable to absorb CO<sub>2</sub> and to form  $M_2CO_3$ , while below the line the  $M_2CO_3$  is favorable to release CO<sub>2</sub> and regenerate  $M_2ZrO_3$  solids back.

As described above and shown in Fig. 7, all of these reactions are thermodynamically favorable over a quite wide range of temperatures (<1000 K) and  $P_{CO_2}$ , which means that under this temperature range the CO<sub>2</sub> is thermodynamically favored by M<sub>2</sub>ZrO<sub>3</sub> (M = Li, Na, K). However, as a CO<sub>2</sub> solid sorbent, it should not only be easy to absorb CO<sub>2</sub> in the first half cycle but also be easy to release the CO<sub>2</sub> from products (M<sub>2</sub>CO<sub>3</sub> and ZrO<sub>2</sub>, for example) in the second half cycle. The operating conditions for absorption/desorption processes are depending on the pre- and post-combustion technologies. The Department of Energy (DOE) programmatic goal for post-combustion and oxy-combustion CO<sub>2</sub> capture is to capture at least 90% CO<sub>2</sub> with the cost in electricity no more than 35%, whereas the goal in the case of pre-combustion CO<sub>2</sub> capture is to capture at least 90% CO<sub>2</sub> with the cost in electricity no more than 10%.<sup>4,49</sup> Under

TABLE V. The weight percentage of CO<sub>2</sub> capture, the calculated energy change  $\Delta E^{DFT}$ , the zero-point energy changes  $\Delta E_{ZP}$ , and the thermodynamic properties ( $\Delta H$ ,  $\Delta G$ ) of the CO<sub>2</sub> capture reactions by alkali metal zirconates. (unit: kJ/mol). The turnover temperatures (T<sub>1</sub> and T<sub>2</sub>) of the reactions of CO<sub>2</sub> capture by solids under the conditions of pre-combustion (P<sub>CO2</sub> = 20 bar) and post-combustion (P<sub>CO2</sub> = 0.1 bar) are also listed.

	A h = = = h : = =			ATT		Turnover T (K)	
Reaction	$CO_2$ wt. %	$\Delta E^{\rm DFT}$	$\Delta E_{\rm ZP}$	(T = 300  K)	(T = 300  K)	$T_1$	$T_2$
$\overline{K_2ZrO_3+CO_2\leftrightarrow K_2CO_3+ZrO_2}$	20.24	-223.158	5.813	-238.490	-187.884	hT <sup>b</sup>	1285
$Na_2ZrO_3 + CO_2 \leftrightarrow Na_2CO_3 + ZrO_2$	23.76	-140.862	2.236	-158.327	-114.121	1275	925
				$-151.403^{a}$	$-105.252^{a}$		
$Li_2ZrO_3 + CO_2 \leftrightarrow Li_2CO_3 + ZrO_2{}^{c}$	28.75	-146.648	11.311	-158.562	-103.845	1000	780
				-162.69 <sup>a</sup>	-113.18 <sup>a</sup>		

<sup>a</sup>From HSC-Chemistry database package.<sup>14</sup>

<sup>b</sup>hT means the temperature is higher than our temperature range (1500 K).

<sup>c</sup>Taken from Ref. 48.

pre-combustion conditions, after water-gas shifting, the gas stream mainly contains CO<sub>2</sub>, H<sub>2</sub>O, and H<sub>2</sub>. The partial CO<sub>2</sub> pressure is around  $20 \sim 30$  bar, and the temperature is around  $313 \sim 573$ K. To minimize the energy consumption, the ideal sorbents should work at these pressure and temperature ranges to separate  $CO_2$  from H<sub>2</sub>. This temperature, denoted  $T_1$ , is listed in Table V and is the temperature above which the  $M_2ZrO_3$  cannot absorb  $CO_2$  anymore and will start to release  $CO_2$ . This indicates that, during the first half cycle to capture  $CO_2$ , the operating temperature should be lower than  $T_1$ , whereas the operating temperature may be higher than  $T_1$ (depending on the desired obtained  $CO_2$  pressure) during the second half cycle of sorbents regeneration to release CO<sub>2</sub>. For post-combustion conditions, the gas stream mainly contains  $CO_2$  and  $N_2$ , the partial pressure of  $CO_2$  is around 0.1~0.2 bar, and the temperature range is quite different. Currently, in post-combustion CO<sub>2</sub> capture technology, the amine related solvents, carbon, and zeolite (including metal organic framework) based solid sorbents capture  $CO_2$  at low temperature range (<200 °C),<sup>7,50</sup> while oxides (such as CaO and Na<sub>2</sub>O) and salts (such as Li<sub>4</sub>SiO<sub>4</sub> and Li<sub>2</sub>ZrO<sub>3</sub>) capture CO<sub>2</sub> usually at high temperature range  $(>400 \,^{\circ}\text{C})$ .<sup>7,14,26,28,29</sup> The turnover temperatures (denoted as  $T_2$ ) for post-combustion capture by  $M_2$ ZrO<sub>3</sub> (M = K, Na, Li) are also listed in Table V.

From Table V and Fig. 7(b), one can see that these three zirconates capture CO<sub>2</sub> up to higher temperatures ( $T_1 > 1000$  K) compared with desired pre-combustion condition ( $313 \sim 573$  K). Therefore, they are not good sorbents for capturing CO<sub>2</sub> in pre-combustion technology. However, they could be used for high-temperature post-combustion CO<sub>2</sub> capture with  $T_2 = 1285$  K, 925 K, 780 K for K<sub>2</sub>ZrO<sub>3</sub>, Na<sub>2</sub>ZrO<sub>3</sub>, and Li<sub>2</sub>ZrO<sub>3</sub>, respectively. Obviously, compared to CaO,<sup>7,28</sup> the  $T_2$  of K<sub>2</sub>ZrO<sub>3</sub> is still too high to be used for post-combustion technology. This may be part of the reason that there is no experimental work found in the literature for pure K<sub>2</sub>ZrO<sub>3</sub> capturing CO<sub>2</sub>. Therefore, Na<sub>2</sub>ZrO<sub>3</sub> and Li<sub>2</sub>ZrO<sub>3</sub> are good candidates for CO<sub>2</sub> sorbents working at high temperature in post-combustion capture technology.

From Table V and Fig. 7(b), one can see that the reverse reaction is not just to dissociate  $MB_{2B}CO_3$  but also to regenerate  $M_{2B}ZrO_3$  from  $M_2O$  by reacting with  $ZrO_2$  which involves net energy gain, and lay down the conditions for  $\Delta \mu > 0$  compared with the case of  $MB_{2B}O$  (M = K, Na, Li). In other words, the presence of  $ZrO_2$  can destabilize the stable phase of  $M_2CO_3$  and make the reverse reaction to release  $CO_2$  less energy required.<sup>27</sup> Obviously, our results, along with our previous studies, <sup>14,26–29</sup> showed that computational modeling could play an important role and provide guidelines in developing new solid sorbents for  $CO_2$  capture.

#### **IV. CONCLUSIONS**

By combining the density functional theory and phonon lattice dynamics, we investigated the electronic structural and phonon properties of  $M_2ZrO_3$  and  $M_2CO_3$  (M = Na, K). The optimized structures of these solids are in a good agreement with experimental measurements.

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The calculated band gaps are 4.339 eV (indirect), 3.641 eV (direct), 3.935 eV (indirect), and 3.697 eV (direct) for Na<sub>2</sub>ZrO<sub>3</sub>, K<sub>2</sub>ZrO<sub>3</sub>, Na<sub>2</sub>CO<sub>3</sub>, and K<sub>2</sub>CO<sub>3</sub>, respectively. In both cases of  $Na_2ZrO_3$  and  $K_2ZrO_3$ , the s orbital of O contributes to their lowest energy VB<sub>2</sub> in  $Na_2ZrO_3$ and VB<sub>3</sub> in  $K_2$ ZrO<sub>3</sub>, while its p orbitals are mainly contributed to their VB<sub>1</sub>. All the s, p, and d orbitals of Zr contribute to both VBs, but its d orbitals have higher contributions than its sand p orbitals. In  $K_2ZrO_3$ , the p orbitals of K are mainly contributed to the VB<sub>2</sub>. The electronic structure of Na<sub>2</sub>ZrO<sub>3</sub> is similar to that of Li<sub>2</sub>ZrO<sub>3</sub>, and both of them are different from that of  $K_2ZrO_3$ . The phonon dispersions and phonon density of states for  $M_2ZrO_3$  and  $M_2CO_3$ (M = K, Na) were calculated by the direct method. Overall, from K to Na to Li, the frequency peaks of  $M_2ZrO_3$  and  $M_2CO_3$  are shifted to high frequencies due to the molecular weight decreased from K to Na to Li.

From the calculated thermodynamic properties of  $M_2ZrO_3$  (M=K, Na, Li) reacting with  $CO_2$  through reactions of  $M_2ZrO_3 + CO_2 = M_2CO_3 + ZrO_2$ , we found that the performance of  $Na_2ZrO_3$  capturing CO<sub>2</sub> is similar to that of  $Li_2ZrO_3$  and is better than that of  $K_2ZrO_3$ . Therefore,  $Na_2ZrO_3$  and  $Li_2ZrO_3$  are good candidates of high temperature  $CO_2$  sorbents and could be used for post-combustion capture technology. Pure K<sub>2</sub>ZrO<sub>3</sub> is not a good CO<sub>2</sub> sorbent, because it needs much more energy for regenerating at very high temperature.

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