Building Stronger State Energy Partnerships with the U.S. Department of Energy

Technical Progress Report Annual

July 17, 2001 - July 16, 2002

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Abstract

This technical progress report includes an update of the progress during the second year of cooperative agreement DE-FC26-00NT40802, Building Stronger State Energy Partnerships with the U.S. Department of Energy. The report also describes the barriers in conduct of the effort, and our assessment of future progress and activities.

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EXECUTIVE SUMMARY

When initiated by the National Association of State Energy Officials (NASEO) and the U.S. Department of Energy's (DOE), this project— Strengthening the Partnerships Between the State and Territory Energy Offices and the U.S. Department of Energy—was geared toward addressing project development and communications barriers between the State Energy Offices and the Rebuild America program. During year two, two additional subtasks were added to Task One of the project: Subtask E – Integrated Energy and Environmental Initiatives and Subtask F – Turbine Collaborative Initiative.

While successful in some states, the U.S. Department of Energy's Rebuild America officials were having difficulty assisting states in forming partnerships with communities and taking advantage of the programs technical assistance and other resources. NASEO's efforts under the project were, in large part, aimed at educating state energy offices about Rebuild America's resources and delivering timely information to help move the program forward by emphasizing the successes of key states and identifying concerns and problems in states beginning to implement Rebuild America activities. This report defines these outreach needs and challenges, the tasks designed to address these issues, and results during the second year of the project.

As contemplated in NASEO's workplan, the approach during the second year of the agreement focuses on working through NASEO's Committee structure. Most support provided under the agreement for tasks one and two during year two was intended to address partnerships in the buildings area. Specifically, NASEO was to work with its buildings committee, various state energy office members, and the Rebuild America program to improve partnership efforts, communications, and effectiveness of these combined efforts. Additional support for subtasks E and F was added in year two.

The approach of the project included three elements during year two. First, NASEO and its Buildings Committee were to focus on raising awareness and coordination of Rebuild activities. Through education, one-on-one communications, and presentations at NASEO meetings and other events, staff and the committee will assist Rebuild officials in stimulating interest in the program and building greater support among State Energy Office Directors. This task, continued and expanded from year one, incorporated NASEO working with DOE to include other programs in DOE's Buildings, Power, and FEMP offices. The most recent additions to the project fall under this initial task and support: a) state plans to implement integrated energy and environmental initiatives, including distributed generation technologies, and b) initiation of a state collaborative on advanced turbines and hybrid systems.

Second, NASEO would work to improve the efficiency of America's schools by assisting states and DOE in promoting projects that result in more energy efficient and clean energy schools and a better learning environment.

Third, NASEO was to identify opportunities, needs, and priorities related to the emerging public benefit funds / programs operated by many states emerging from utility restructuring. This third activity, while still a high-priority for the state energy offices, has not been funded under this agreement. Thus, no activity will be reported.

The results of the two funded efforts described above are a significant increase in the awareness of Rebuild America resources and assistance, as well as a better understanding of successful approaches to implementing Rebuild America activities. Also, with the addition of the new activities, NASEO will work to implement integrated energy and environmental initiatives, and assist Western states to identify collaborative research, development, demonstration, and deployment opportunities for advanced gas turbines and hybrid systems.

EXPERIMENTAL

No experiments were conducted this year under this award.

RESULTS AND DISCUSSION

During year two, NASEO completed work under task one of the statement of work under this agreement, as well as work under task two. In general, NASEO was to raise awareness, build commitments, and coordinate efforts among State Energy Offices for the Rebuild America Program and EnergySmart Schools Program. Two addendums were added this year: Sub-task E – Integrated Energy and Environmental Initiatives; and Sub-task F, State Collaborative on Advanced Turbines and Hybrid Systems. Work under these subtasks is ongoing.

An overview of the tasks and progress are presented below:

The following activities comprise NASEO's Year task two Rebuild America-related work under the five-year NETL agreement. The activities are described based upon the requirements in the statement of work. The activities that are not ongoing are complete.

Task 1 Activities: Rebuild Outreach – Continue the ongoing education efforts by developing and delivering Rebuild America (RA) program concepts to states. Raise awareness, build commitments, and coordinate efforts among State Energy Offices for Rebuild America and other DOE programs. Expand this effort to include other programs in DOE's Buildings, Power, and FEMP offices. This task for year two is a continuance of the year one subtasks A – D, specifically defined below:

Subtask A: Via the NASEO Buildings Committee, assist Rebuild in communicating with State Energy Directors regarding SEP Special Projects. (completed)

Dedicate portion of web site under buildings to Rebuild America Program. (completed)

Subtask B: Prepare three articles for NASEO's news page (home page). (completed)

Host Regional Rebuild America Roundtable Conference calls. (completed)

Subtask C: Provide feedback and ongoing communication with State Energy Directors from each NASEO region and the Buildings Committee. (completed / ongoing)

Subtask D: Participate in coordination efforts among key Rebuild stakeholders in Washington, DC. (completed / ongoing)

The additional task one subtasks for year two include:

Subtask E: Integrated Energy and Environmental Initiatives – Support state plans to implement integrated energy and environmental initiatives. (completed / ongoing)

Subtask F: Turbine Collaborative Initiative –

Task 1, Subtask 1a: Meet with appropriate NETL staff to identify objectives, additional issues, and other relevant information. Gather data from state energy offices, reference existing databases, and review other available information. (completed)

Subtask 1b: Plan and facilitate three group discussions with state energy officials and regional, federal, and state government stakeholders to evaluate issues, R&D

needs, and opportunities for collaborative activities that could develop between those entities and NETL. (ongoing)

Task 2: Prepare Final Report. Identify collaborative research, development, demonstration, and deployment opportunities for advanced gas turbines and hybrid systems. (not yet completed)

Task 2 Activities: Rebuild/EnergySmart Schools -- *In addition, NASEO completed the ongoing work proposed under Task 2. This task included assisting states in conducting schools workshops and other state outreach and education activities. NASEO worked with DOE's EnergySmart Schools and Rebuild America Programs to conduct strategic "Decision-Maker Forums". This was a continued effort begun in year 1, task two. This task is an ongoing effort.*

Task 3 Activities: Public Benefits Cooperative -- *As noted earlier, task 3 of the statement of work, Public Benefits Cooperative Activities, was not funded by the Department of Energy during the reporting period. Thus, no activity occurred.*

Progress Detail—by Task

The following activities comprise NASEO's year two, task one Rebuild America-related work under the five-year NETL agreement. The activities are described based upon the requirements in the statement of work. NASEO has completed all activities that are not ongoing.

Task 1 Activities, Tasks A – D, (Status – Complete): Rebuild Outreach – Continue the ongoing education efforts by developing and delivering Rebuild America (RA) program concepts to states. Raise awareness, build commitments, and coordinate efforts among State Energy Offices for Rebuild America and other DOE programs. Expand this effort to include other programs in DOE's Buildings, Power, and FEMP offices.

During the period, NASEO conducted and completed work under this ongoing task through one-on-one communications with State Energy Directors, distribution of Rebuild America materials and information, education of members, and inclusion of DOE's other programs. One additional undertaking that NASEO has completed in draft form is an educational briefing paper documenting successful state approaches to working with Rebuild America.

Jeff Genzer, NASEO, participated and assisted in the planning of the Rebuild Strategic Partners Meeting held September 6, 2001, in Reston, Virginia. The meeting resulted in the attached list of Strategic Partners (Appendix A).

NASEO prepared website articles concerning Rebuild America information. An announcement concerning the release of the 'Preliminary Evaluation of Energy-Efficiency Improvements to Modular Classrooms' with a link to the report was made October 2, 2001 (Appendix B). On November 7, 2001, the Rebuild America/Energy Star update of the 100-page guidebook for small business was featured with a link to the Rebuild website where the guidebook could be accessed (Appendix C). NASEO also posted an update on state projects under Rebuild America (Appendix D).

In October 2001, the NASEO Buildings Committee meeting focused on Rebuild issues, including: a housing presentation; a session on integration of buildings programs such as Rebuild America into Public Benefits programs; and facilitated one-on-one discussions among Rebuild staff, contractors, and states. Additionally during this period, another Buildings Committee meeting was held with key state energy directors and Rebuild America program officials in Washington, DC. Directors discussed their progress on implementing buildings programs with assistance from Rebuild partners and suggested that Rebuild and ENERGYSTAR online tools were helpful to prospective and current Rebuild partners. During this time, the concept of ‘leading by example’ was initiated.

At a Rebuild program official’s request, NASEO staff participated in a State Partners Pilot Charette in October, in Washington, DC. NASEO provided input regarding the development of Rebuild web content, delivery of services to states, and the need to deliver more regional services and project assistance.

In advance of the November Rebuild Strategic Partners Meeting, NASEO participated in several meetings to update Rebuild consultants and officials on state Rebuild concerns including data collection and opportunities. Information was communicated to the state on likely Rebuild America funding assistance related to SEP and Rebuild Special Projects. Also during this period, NASEO staff attended an additional Strategic Partners Meeting wherein an update of the NASEO Buildings Committee’s proposed focus on public buildings and ‘leading by example’ was presented (Appendix E). The initial part of this effort will include working with college/universities through Rebuild Strategic Partners, NACUBO and APPA, to promote collaborative efforts towards energy efficiency in university facilities.

Task 1, Subtask E Activities (Status – Complete): Integrated Energy and Environmental Initiatives – This amended subtask supports state plans to implement integrated energy and environmental initiatives. NASEO began work in September by conducting coordination meetings with various state, DOE, and environmental agencies. The purpose of these meetings was to determine how best to provide assistance to the states and which states to target. The technical assistance and meetings with energy, environmental, and transportation continued throughout the period.

NASEO assisted in organizing a regional energy and environmental coordination meeting in Philadelphia, PA, November 14-15, 2001. Support for the meeting came in part from this agreement, as well as the U.S. EPA and others. NASEO’s role included identifying presenters, facilitating discussions, and providing input and assistance to states on topics.

Initial planning and preparation for an additional energy and environmental coordination meeting scheduled to be held in Biloxi, MS, began during this period. NASEO assisted with facilitated discussion, as well as one-on-one follow-up in energy and environmental integration project development. NASEO staff attended the meeting on April 18-19, 2002.

Task 1, Subtask F Activities (Status – Ongoing): Turbine Collaborative Initiative – *Task 1, Subtask 1a: Planning* – Work on this task began in September with telephone and in-person meetings between NETL program staff, consultant, and NASEO. At the October 2001

NASEO Annual Meeting, the initiative was introduced and Western state energy offices and others were invited to provide input and indicate their interest on the project. NASEO staff and consultant began planning for the turbine workshops in Portland, Oregon; Golden, Colorado; and Palo Alto, California. The workshops will involve officials from surrounding states and turbine experts in a focused half-day session to discuss the turbine initiative project. Panels of field experts in turbine-related issues, needs, and opportunities will present. Workshop members will then break into small groups to generate future actions.

Subtask 1b: Implementation – No work completed on this subtask during this reporting period.

Task 2: Final Report – No work completed on this task during this reporting period.

Task 2 Activities (Status – Ongoing): Rebuild/EnergySmart Schools – NASEO completed the final school decision maker forum, in Mississippi, which was proposed during year 1. The “Energy Management Workshops for Public School Superintendents and Business Managers” were held in three half-day sessions between April 23-25, 2001, in Granada, Jackson, and Hattiesburg. The workshops were delayed until this reporting period due to scheduling conflicts between the state energy office and state education office (co-host of the event). The meeting included presentations on high performance schools (in-house techniques), retrofit of existing buildings, performance contracting, and loan information.

NASEO began work on the next workshops that will take place during the fall of 2002 (Appendix F). Work during this reporting period included choosing potential states to hold school decision maker forums. Nine states with prior interest were the first states approached to determine interest. With DOE and EnergySmart Schools officials, four states were chosen: Arizona, Hawaii, North Carolina, and Ohio. Confirmation to the state energy offices in those states was sent in June 2002 (Appendix G). Conference calls were held with each of the four states during the reporting period to begin the initial planning of the workshops. Representatives from several of the Rebuild America Strategic Partners provided input to the states to begin planning agendas.

In preparation for the School Decision-Maker Forums, NASEO contacted all Rebuild America regional representatives corresponding to the chosen states to inform them of the upcoming workshops. All correspondence updating the states was also communicated to the regional representatives. Regional representatives were invited to participate in conference calls to provide their input on agenda topics and presenters.

Task 3 Activities: (N/A – task not funded by DOE for this reporting period)

CONCLUSION

Barriers

The project tasks were completed as originally envisioned in the statement of work with limited challenges. The results of the funded efforts during year two are a significant increase in the awareness of Rebuild America resources and assistance, as well as a better understanding of successful approaches and determination to implementing Rebuild activities.

Comparable to year one, year two's task two presented the greatest challenges. Although variation among states was foreseeable, the extent of variation between needs in the schools sector was not. As seen with Mississippi, it can be difficult to obtain the necessary cooperation of all parties involved. The initial calls with the upcoming state forum participants relates to the variations seen last year. Each state is at a different level of involvement with school decision makers. Also, it was determined that although high performance schools and performance contracting were excellent topics of discussion, state energy codes prohibit some states from employing these concepts.

Assessment of Future Activities

NASEO anticipates completion of task two (year two), within the second quarter of year three. The previous delay in workshops led to an unforeseeable setback in the workshops for the upcoming year.

Several projects and efforts were developed during year two which focused on and strengthened year one's proposed shift towards promoting regional coordination, identification of new buildings sector partners (e.g. colleges, universities), and assisting states in increasing the success of their Rebuild America programs. During year 3, these strongly supported projects and efforts will come to fruition. There is no foreseeable deviation from the workplan from the existing agreement under either task one or task two.

Appendix A

REBUILD AMERICA STRATEGIC PARTNERS MEETING SEPTEMBER 6th 2001 TASK LIST

ID	Type	Task Name	Lead	Collaboration	Finish Date/Status
1	Issue For Discussion	Develop vehicle for collecting and reporting feedback from small power utilities	Mike Hyland, APPA		TBA
2	Opportunity	Up to 9 "DEED" college scholarships for energy efficiency studies are available immediately	Mike Hyland, APPA		ASAP
3	Opportunity	Issue notice of September 25 energy management workshop in Seattle	Sharron Brown, PTI		Sept 25th
4	Action Item	Send aggregate reports on energy costs from San Francisco to Don Wilson (ASBDC)	Sharron Brown, PTI		ASAP
5	Action Item	Announce October 23 rd workshop in DC with state officials	Sharron Brown, PTI		Oct 1st
6	Action Item	Announce availability of their guidebooks and case studies	Terry Singer, NAESCO		Contact NAESCO
7	Action Item	Draft by next meeting "model document" for states (guidelines) for state policy	Frank Bishop, NASEO	NCSL	Nov 28 th
8	Action Item	Will update on communication and resource distribution between the 9 counties participating in the County Energy Efficiency Mentor Network. The Mentor counties include Ada, Idaho; Fulton, Georgia; and Monroe, New York. Provide technical and financial assistance to the 9 participant counties with the help of RBA, Energy Star, and PTI	Maggie Kennedy, NACO	RBA, PTI	On Going
9	Opportunity	Will hold regional workshop on how counties can implement effective energy management strategies at the Nevada Association of Counties 2001 Annual Conference in Ely, NY September 19 th	Maggie Kennedy, NACO		Sept 19th
10	Action item	Identify or locate qualified capitol hill policy speaker, for mid November NACUBO-APPA seminar. Will contact Jeff Genzer for leads.	Chris Cambell, NACUBO	APPA	Nov 1st
11	Opportunity	K- 12 association roundtable on energy education set for September 26 in DC.	Blanche Shienkopf, DOE Energy Smart Schools	NACO	To Be Rescheduled
12	Issue For Discussion	Hold discussion on greening state government, and relating to energy policy by Nov 28 th .	Matthew Brown, NCSL		Nov 28th
13	Action Item	Will develop two kits for strategic partners one for existing partners and a startup kit for new partners.	Elise Rand, DOE Marketing & Communications		Oct 1st
14	Action Item	Integrate energy and environmental programs with state and local interests, hold summit meeting.	Jeff Genzer, NASEO	NCSL, PTI, APPA (UTILITES)	TBA
15	Issue for Discussion	Encourage Energy Efficient Purchasing.			TBA

REMINDER: NEXT MEETING DATE NOVEMBER 28th, 2001

Appendix B

NASEO Releases Florida Report on Portable Classrooms Posted: October 2, 2001

As part of on-going work with Rebuild America and the Energy Smart Schools programs, NASEO and its partner, the Florida Solar Energy Center (FSEC) are pleased to announce the release of a FSEC report entitled Preliminary Evaluation of Energy-Efficiency Improvements to Modular Classrooms.

This initial report is part of a multi-phased project, managed by NASEO which will lead to increased energy efficiency in our Nation's public schools. In addition to the work on portable classrooms, NASEO is working with the California Energy Commission on high efficiency schools, with Wisconsin on advanced daylighting for schools, with the state of Ohio on micro-turbine applications for schools, and with the New York State Energy Research and Development authority on advanced building designs.

To view a copy of the portable classroom report please **click here**.

Appendix C

ENERGY STAR Guide for Small Business Published

Posted: November 7, 2001

Rebuild America and ENERGY STAR have updated a 100-page guidebook for small business that demonstrates how they can save money by making smart choices about energy. The guidebook is available online at www.rebuild.org. For more information about how Rebuild America and ENERGY STAR for small business can help in your state or community, contact Jerry Lawson at lawson.jerry@epa.gov.

Appendix D

Rebuild America, States, and NASEO

Rebuild America, the State and Territory Energy Offices, and NASEO work together with other Rebuild program partners to expedite the adoption of cost-effective, energy efficiency technologies in public facilities, commercial buildings, and other structures across the nation. Each State Energy Office works with Rebuild America to increase market-driven adoption of efficiency technologies and designs in state and local buildings. State-led projects vary widely depending upon local needs and resources. Following are a few recent project examples:

Rebuild Oregon—Oregon State University is reducing operating costs for its buildings. The Office of Energy's Rebuild efforts helped the university solve boiler problems, provided energy and water audits, and helped develop a resource accounting database.

Rebuild Iowa—the Iowa Energy Office and Rebuild communities have implemented \$7.7 million dollars in improvements, saving \$775,000 annually. Iowa integrates local, state and federal resources to secure the economic and environmental benefits of energy efficiency. Iowa's results speak for themselves with 6 communities participating; 291 building owners, with 997 buildings, agreeing to implement improvements; 591 energy audits completed; \$7.7 million in implemented improvements; annual energy savings of \$775,000; 14,598 tons CO₂ avoided; 36 tons of particulate avoided; 308 tons SO_x avoided; 27 tons NO_x avoided; and 192 job-years created.

Rebuild Mississippi—Historic Hotel Alice in Ellisville, Mississippi was honored with a Rebuild America Energy Champion Award this year. The hotel owners Jan and Eddie Malone and Rebuild Mississippi were honored for successfully meeting the challenge of incorporating energy-efficiency best practices into the renovation of a historic building.

Rebuild New Mexico - With the New Mexico Youth Conservation Corps, the New Mexico Energy is working to employ and train at-risk youth in the field of energy efficiency. The project is being implemented as a part of Rebuild New Mexico.

Appendix E

AGENDA

Rebuild America Strategic Partner Meeting
Hosts: Association of Higher Education Facilities Officers (APPA)
National Association of College and University Business Officers (NACUBO)
Wednesday, May 22, 2002

George Washington University
Media & Public Affairs Building, Room 309
805 21st Street, N.W. (21st & H Streets)
Washington, DC, 20052
(See directions on second page)

<u>Time</u>	<u>Discussion Items</u>	<u>Leader</u>
8:30 AM	Continental Breakfast	
9:00 AM	Introduction & Host Welcome	Dan Sze, DOE/Lander Medlin (APPA)/Anne Gross (NACUBO)
9:15 AM	John Millhone Weatherization and	Dir, Office of Intergovernmental Programs
9:30 AM	Rebuild America Report <ul style="list-style-type: none"> ▪ Update ▪ View from the Regions 	Dan Sze/Mark Bailey, DOE Greg Davoren, RBA Team Lead DOE Boston Region
10:00 AM	Community Association Institute (CAI) <ul style="list-style-type: none"> ▪ Representing Homeowners Associations & Condominiums 	Erin Fuller
10:20 AM	Break	15-minute break
10:35 AM	Spotlight Sector: Colleges and Universities <ul style="list-style-type: none"> ▪ Case Study: Howard University ▪ Case Study: George Washington University ▪ The College and University Perspective ▪ Other opportunities with this sector <ul style="list-style-type: none"> ▪ NASEO Buildings Committee 	Lander Medlin, APPA Anne Gross, NACUBO John McDonald/Chander Bahn Walter Gray Open Discussion David Terry, NASEO
12:30 PM	Catered Lunch	
1:30 PM	RBA Marketing & Communications Update	Elise Rand
1:40 PM	Sector Discussion: State and Local Government <ul style="list-style-type: none"> ▪ NCSL Update ▪ Other opportunities with this sector 	Troy Gagliano, NCSL Open Discussion
2:00 PM	Update NAESCO Mid-Year Conference	Terry Singer, NAESCO
2:10 PM	Sector Roundtable (K-12, Commercial, Housing) <ul style="list-style-type: none"> ▪ Sector activities/opportunities 	Group Open Discussion
3:10 PM	Web Site Update <ul style="list-style-type: none"> ▪ Strategic Partner Web Pages 	Scott Igoo, RBA Webmaster
3:20 PM	Summary and Agreement on Ongoing Actions <ul style="list-style-type: none"> ▪ Next Meeting: Wednesday, September 4 Proposed Spotlight Sector: K-12 	Group
3:30 PM	Adjourn	

Appendix F

Rebuild School Decision Maker Forum Information

About two years ago, NASEO approached the State and Territory Energy Offices about their interest in conducting “school decision maker workshops.” Limited funding for this activity was provided to NASEO by DOE through the Energy Smart Schools Program. Many states responded. However, there was only enough funding to conduct workshops in five states.

NASEO has a small amount of additional funding for three workshops (approximately \$4,000 per state). Energy Offices that expressed interest under the original request have been contacted to determine if they remain interested in conducting workshops. The funding currently available must be used prior to September 2002. The funding is flexible, but must be used within the following parameters:

- The workshop must demonstrate some cooperation between the State Energy Office and the State Education Department. The cooperation could, for example, be as simple as a presentation from a program-level contact from the State Education Department or as strong as an agreement to jointly host the event.
- The workshops must target school decision makers (i.e., superintendents or business officials). The workshop could be for a statewide audience, a single region within the state, or a large municipality.
- The workshop must include recognition of Rebuild America/Energy Smart Schools on the agenda.

The only other requirement is to provide NASEO with an electronic copy of the attendee list, agenda, and meeting materials at the conclusion of the event.

Workshops may focus on topics ranging from technical assistance (e.g., performance contracting and audits) to curriculum to high-performance schools. The agenda should reflect what the State or Territory Energy Office believes would be most useful in promoting more energy-efficient schools.

The funding may be used in any (appropriate) way the state deems necessary (e.g., travel for presenters, space rental, meals). In addition, NASEO can assist in identifying presenters associated with the Energy Smart Schools Program to discuss such issues as daylighting, energy performance contracting, and high performance schools.

If you have additional questions, please contact Kate Burke at NASEO for more information (703) 299-8800 x13.

Appendix G

June 11, 2002

Maxine Robertson
Assistant Director
Arizona Energy Office
Department of Commerce
3800 North Central Avenue, Suite 1400
Phoenix, Arizona 85012

Dear Ms. Robertson:

This letter confirms NASEO's support for your state's school decision maker workshop. As we discussed, NASEO can provide up to \$4,000 to offset workshop logistical and labor costs. The support, provided via DOE's Rebuild America Energy Smart Schools initiative, has a few requirements.

The workshop must be geared toward school business officials and administrators, and an effort should be made to include a representative from the state education department (e.g., as sponsor, speaker). In addition, copies of presentations and a list of attendees must be provided to NASEO.

We also recommend coordination with DOE's Rebuild America Energy Smart Schools staff. NASEO will assist in this effort and would like to set up an initial conference call to suggest agenda ideas and presenters. We view this as your state's event and wish only to assist you in planning and coordination to the degree that it is beneficial to the success of your workshop.

Reimbursement of workshop costs is relatively simple. Provide NASEO with an invoice documenting your costs up to \$4,000. Along with the invoice, please include a copy (preferably electronic) of the meeting materials referenced above. Should your office require advanced funding for the event, please contact me to arrange advance payment.

We look forward to working with you, and please feel free to contact me at any time.

Best regards,

Kate Burke
NASEO