OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT

ANALYSIS/MODEL COVER SHEET

Complete Only Applicable Items

1. QA: QA

Page: 1 of: 96

<p>2. ☐ Analysis Check all that apply</p> <table style="width:100%;"> <tr> <td style="width:20%;">Type of Analysis</td> <td> ☐ Engineering ☐ Performance Assessment ☐ Scientific </td> </tr> <tr> <td>Intended Use of Analysis</td> <td> ☐ Input to Calculation ☐ Input to another Analysis or Model ☐ Input to Technical Document ☐ Input to other Technical Products </td> </tr> <tr> <td colspan="2">Describe use:</td> </tr> <tr><td colspan="2"> </td></tr> <tr><td colspan="2"> </td></tr> </table>	Type of Analysis	☐ Engineering ☐ Performance Assessment ☐ Scientific	Intended Use of Analysis	☐ Input to Calculation ☐ Input to another Analysis or Model ☐ Input to Technical Document ☐ Input to other Technical Products	Describe use:						<p>3. ☒ Model Check all that apply</p> <table style="width:100%;"> <tr> <td style="width:20%;">Type of Model</td> <td> ☒ Conceptual Model ☒ Abstraction Model ☒ Mathematical Model ☐ System Model ☐ Process Model </td> </tr> <tr> <td>Intended Use of Model</td> <td> ☐ Input to Calculation ☒ Input to another Model or Analysis ☐ Input to Technical Document ☒ Input to other Technical Products </td> </tr> <tr> <td colspan="2">Describe use:</td> </tr> <tr> <td colspan="2">The abstraction for flow and transport of radionuclides in the Engineered Barrier System will be incorporated into the GoldSim model for the TSPA-LA.</td> </tr> </table>	Type of Model	☒ Conceptual Model ☒ Abstraction Model ☒ Mathematical Model ☐ System Model ☐ Process Model	Intended Use of Model	☐ Input to Calculation ☒ Input to another Model or Analysis ☐ Input to Technical Document ☒ Input to other Technical Products	Describe use:		The abstraction for flow and transport of radionuclides in the Engineered Barrier System will be incorporated into the GoldSim model for the TSPA-LA.	
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The abstraction for flow and transport of radionuclides in the Engineered Barrier System will be incorporated into the GoldSim model for the TSPA-LA.																			

4. Title:

EBS Radionuclide Transport Abstraction

5. Document Identifier (including Rev. No. and Change No., if applicable):

ANL-WIS-PA-000001 REV 00 ICN 03

6. Total Attachments:

Two

7. Attachment Numbers - No. of Pages in Each:

I - 3 pages, II - 3 pages

	Printed Name	Signature	Date
8. Originator	Randolph Schreiner	<i>[Signature]</i>	11 Jun 01
9. Checkers	Tom Misiak	<i>[Signature]</i>	
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11. Responsible Manager	Robert J. MacKinnon	<i>[Signature]</i>	06/27/2001

12. Remarks:

The changes in this ICN do not affect any output or conclusions. Neither a Technical Review (AP2.14Q) nor an impact analysis (AP 3.17Q) is required.

**OFFICE OF CIVILIAN RADIOACTIVE WASTE MANAGEMENT
ANALYSIS/MODEL REVISION RECORD**

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1. Page: 2 of 96

2. Analysis or Model Title: <div style="text-align: center; margin-top: 5px;">EBS Radionuclide Transport Abstraction</div>	
3. Document Identifier (including Rev. No. and Change No., if applicable): <div style="text-align: center; margin-top: 5px;">ANL-WIS-PA-000001 REV 00 ICN 03</div>	
4. Revision/Change No.	5. Description of Revision/Change
00	Initial Issue
ICN 01	Change functional dependence of diffusion coefficient on liquid saturation and porosity
ICN 02	Modify EBS flow and transport abstraction to include an EBS design without backfill; modify thermal and mechanical response of drip shield to reflect new drip shield design and new rockfall analyses
ICN 03	Modify text and DIRS as needed to resolve or eliminate TBVs. Affected pages 10, 21, 29, 33, 51, 52, 59, 69, 89, 91, 92, 93, and 95 are marked with an updated footnote indicating ICN 03 and the new ICN date, as required per AP 3.10Q.

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ACRONYMS

AMR	Analysis/Modeling Report
AP	Administrative Procedure
BWR	Boiling Water Reactor
CLST	Container Life and Source Term
CNWRA	Center for Nuclear Waste Regulatory Analyses
CRWMS	Civilian Radioactive Waste Management System
DOE	U. S. Department of Energy
DS	Drip Shield
EBS	Engineered Barrier System
EBSO	Engineered Barrier System Operations
ENFE	Evolution of the Near-Field Environment
FEP	Feature, Event and Process
HLW	High Level Waste
IRSR	Issue Resolution Status Report
KTI	Key Technical Issue
LA	License Application
M&O	Civilian Radioactive Waste Management System Management and Operating Contractor
MTU	Metric Tons of Uranium
MYPS	Multi-Year Planning System
NFE	Near-Field Environment
NRC	U.S. Nuclear Regulatory Commission
OCRWM	Office of Civilian Radioactive Waste Management
PAO	Performance Assessment Operations
PWR	Pressurized Water Reactor
QA	Quality Assurance
QAP	Quality Assurance Procedure
QARD	Quality Assurance Requirements and Description for the Civilian Radioactive Waste Management Program
SCC	Stress Corrosion Crack
SNF	Spent Nuclear Fuel
SR	Site Recommendation
TBV	To Be Verified
TEF	Thermal Effects on Flow
THC	Thermal-Hydrologic-Chemical
TSP	Total System Performance

TSPA	Total System Performance Assessment
TSPA-LA	Total System Performance Assessment-License Application
TSPA-SR	Total System Performance Assessment-Site Recommendation
TSPA-VA	Total System Performance Assessment-Viability Assessment
UZ	Unsaturated Zone
WAPDEG	Waste Package Degradation Code
WP	Waste Package
WPO	Waste Package Operations
YM	Yucca Mountain
YMP	Yucca Mountain Site Characterization Project

1. PURPOSE

The purpose of this work is to develop the Engineered Barrier System (EBS) radionuclide transport abstraction model, as directed by a written development plan (CRWMS M&O 1999a). This abstraction is the conceptual model that will be used to determine the rate of release of radionuclides from the EBS to the unsaturated zone (UZ) in the total system performance assessment-license application (TSPA-LA). In particular, this model will be used to quantify the time-dependent radionuclide releases from a failed waste package (WP) and their subsequent transport through the EBS to the emplacement drift wall/UZ interface.

The development of this conceptual model will allow Performance Assessment Operations (PAO) and its Engineered Barrier Performance Department to provide a more detailed and complete EBS flow and transport abstraction. The results from this conceptual model will allow PAO to address portions of the key technical issues (KTIs) presented in three NRC Issue Resolution Status Reports (IRSRs): (1) the Evolution of the Near-Field Environment (ENFE), Revision 2 (NRC 1999a), (2) the Container Life and Source Term (CLST), Revision 2 (NRC 1999b), and (3) the Thermal Effects on Flow (TEF), Revision 1 (NRC 1998). The conceptual model for flow and transport in the EBS will be referred to as the "EBS RT Abstraction" in this analysis/modeling report (AMR).

The scope of this abstraction and report is limited to flow and transport processes. More specifically, this AMR does not discuss elements of the TSPA-SR and TSPA-LA that relate to the EBS but are discussed in other AMRs. These elements include corrosion processes, radionuclide solubility limits, waste form dissolution rates and concentrations of colloidal particles that are generally represented as boundary conditions or input parameters for the EBS RT Abstraction. In effect, this AMR provides the algorithms for transporting radionuclides using the flow geometry and radionuclide concentrations determined by other elements of the TSPA-SR model. The scope of the EBS RT Abstraction also does not include computational or numerical procedures for solving the process-level equations; rather, it identifies the important processes that must then be evaluated with process-level or component-level software using analytical or numerical solutions.

Three iterations of the TSPA model are referred to in this AMR: (1) the viability assessment (TSPA-VA) that was completed and documented in 1998, (2) the site recommendation (TSPA-SR) that is currently underway, and (3) the license application (TSPA-LA) that will be submitted to the NRC. The text distinguishes between the current models and screening decisions for the TSPA-SR and the potential (future) changes to the EBS RT Abstraction for the TSPA-LA.

The purpose of this Interim Change Notice (ICN) is to incorporate the recent design changes for the EBS into the EBS RT Abstraction. The recent design changes are: (1) an engineered backfill material will not be placed around the drip shield (DS), and (2) the DS design now includes posts that will connect adjacent DSs. In addition, new information on the block size from rockfalls and the dynamic response of the DS to such blocks has become available. Although the design basis for TSPA-SR is a repository without backfill (CRWMS M&O 2000a), sensitivity studies will also be performed for emplacement drifts with backfill. This AMR therefore presents abstractions for EBS flow and transport without backfill (the design basis) and with backfill.

The emphasis in the EBS RT Abstraction is on a reasonable approach that bounds the response of the EBS. A reasonably bounding approach is appropriate for this abstraction because of the uncertainty in the response of a very complex engineered system over long periods of time. Areas where additional efforts can reduce the degree of conservatism or add more realism to the model have been identified, when appropriate.

2. QUALITY ASSURANCE

The Quality Assurance (QA) program applies to the development of documentation for the EBS RT Abstraction. A development plan, *Develop the EBS Radionuclide Transport Abstraction Model for TSPA-LA* (CRWMS M&O 1999a), has been completed for this work. The plan identifies the work package MYPS Number for this AMR as 1301213EM1.

The PAO responsible manager has evaluated this technical document development activity in accordance with QAP-2-0, *Conduct of Activities*. The QAP-2-0 activity evaluation, *Engineered Barrier System Performance Modeling* (CRWMS M&O 1999b), has determined that the preparation and review of this technical document is subject to *Quality Assurance Requirements and Description* (QARD) DOE/RW-0333P (DOE 2000) requirements. Preparation of this conceptual model did not require the classification of items in accordance with QAP-2-3, *Classification of Permanent Items*. This activity is not a field activity. Therefore, an evaluation in accordance with NLP-2-0, *Determination of Importance Evaluations*, was not required.

3. COMPUTER SOFTWARE AND MODEL USAGE

3.1 COMPUTER SOFTWARE

No codes or routines were developed for this analysis. No computer software was used to directly generate information for this AMR.

3.2 MODELS

The previous model used for EBS flow and transport is documented in Chapter 6 of the *Total System Performance Assessment-Viability Assessment (TSPA-VA) Analyses Technical Basis Document* (CRWMS M&O 1998a). This conceptual model document is being developed to supersede the concepts presented in Chapter 6 of the Technical Basis Document. More specifically, design changes since the TSPA-VA model was formulated have required reevaluation and, in some cases, substantial changes to the EBS flow and transport abstraction model. These design changes include the presence of a DS and the use of crushed tuff rather than concrete in the invert. The current baseline design is documented in (CRWMS M&O 2000a).

4. INPUTS

4.1 DATA AND PARAMETERS

For TSPA-Site Recommendation/License Application (TSPA-SR, TSPA-LA) analyses, some information was obtained using AP-3.14Q, *Transmittal of Input*, to provide the requested information (CRWMS M&O 1999c; CRWMS M&O 1999d; CRWMS M&O 2000u). Additional information has been taken from more recent program documents (CRWMS M&O 2000s, Attachment 10; MO0010RDDAAMRR.002). These inputs are used as references for the analyses, providing bounding values for some parameters or data against which more rigorous analyses may be compared for corroboration. Table 1 summarizes the relevant input parameters and the sources for these values. Use of the Input Transmittals is discussed in Section 5.5.

Table 1. Parameters for EBS Component Analyses and EBS RT Abstraction

Model Input	Value	Source
Angle of repose for Overton sand backfill	26°	CRWMS M&O 1999c, Att. II to Item 1
Boltzmann constant	1.380658×10^{-23} J/K	Lide 1997, p. 1-1
Coefficient of linear thermal expansion for titanium grade 7 at 450°F	4.95×10^{-6} °F ⁻¹ = 8.91×10^{-6} °C ⁻¹	ASME 1995, Table TE-5
Cross-sectional area (nominal) for flow through a patch on the DS	7.21×10^4 mm ²	CRWMS M&O 2000b, Section 3.2.7
Cross-sectional area (nominal) for flow through a patch on the WP	2.346×10^4 mm ²	CRWMS M&O 2000b, Section 3.2.7
Diameter of the drift	5.5 m	CRWMS M&O 1999c, Att. II to Item 1
Density of quartz sand (grain density)	2700 kg/m ³	CRWMS M&O 1999c, Item 2A
Density of tuff (invert grain density)	2530 kg/m ³	CRWMS M&O 1999c, Item 2B
Density of water at 0°C	1000 kg/m ³	Lide 1997, p. 6-3
Distance between posts and mating holes for the DS	5510 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Distance from edge of DS Plate-1 to center of post	420 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Distance from edge of DS Connector Plate-1 to center of hole for posts	175 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Width of the DS	2505 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Elementary electron charge	1.602×10^{-19} Coulomb	Lide 1997, p. 1-1
Maximum depth of invert	606 mm	CRWMS M&O 1999d
Length of DS	6105 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Porosity of quartz sand backfill	0.41	CRWMS M&O 1999c, Item 2A
Porosity of crushed tuff rock in the invert	0.545	CRWMS M&O 1999c, Item 2B
Self-diffusion coefficient of water at 25°C	2.299×10^{-5} cm ² /s	DTN: MO0002SPASDC00.002
Surface tension of water at 20°C	0.0728 N/m	Lide 1997, p. 6-3
Thickness of DS Plate-1	15 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05
Viscosity of water at 20°C	0.001 Pa-s	Lide 1997, p. 6-3
Width of DS connector guides	50 mm	CRWMS M&O 2000c, Att. II, SK-0148 REV05

Model Input	Value	Source
WP inner lid to closure lid gap	30 mm	CRWMS M&O 2000d, Att. I, SK-0175 REV02 and Att. II, SK-0192 REV00; CRWMS M&O 2000e, Att. III, SK-0196 REV03; CRWMS M&O 2000f, Att. II, SK-0194 REV01
WP outer layer thickness – 21-PWR & 44-BWR packages	2 cm	CRWMS M&O 2000d, Att. I, SK-0175 REV02 and Att. II, SK-0192 REV00
WP outer layer thickness – Naval SNF and DHLW/DOE SNF packages	2.5 cm	CRWMS M&O 2000e, Att. III, SK-0196 REV03; CRWMS M&O 2000f, Att. II, SK-0194 REV01
WP inner layer thickness	5 cm	CRWMS M&O 2000d, Att. I, SK-0175 REV02 and Att. II, SK-0192 REV00; CRWMS M&O 2000e, Att. III, SK-0196 REV03; CRWMS M&O 2000f, Att. II, SK-0194 REV01
WP closure lid thickness	1.0 cm	CRWMS M&O 2000d, Att. I, SK-0175 REV02 and Att. II, SK-0192 REV00; CRWMS M&O 2000e, Att. III, SK-0196 REV03; CRWMS M&O 2000f, Att. II, SK-0194 REV01
WP inner lid thickness – 21-PWR & 44-BWR packages	9.5 cm	CRWMS M&O 2000d, Att. I, SK-0175 REV02 and Att. II, SK-0192 REV00
WP inner lid thickness – Naval SNF long package	13.0 cm	CRWMS M&O 2000f, Att. II, SK-0194 REV01
21 PWR Characteristics		
WP outer diameter	1.564 m	CRWMS M&O 2000d, Att. I, SK-0175 REV02
WP length	5.165 m	CRWMS M&O 2000d, Att. I, SK-0175 REV02
Distance from lowest point on WP to top of invert	190 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP	582 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP if emplacement pallet collapses	772 mm	Sum of two previous lines
WP porosity	0.672	CRWMS 1998b Table 5a
44-BWR Characteristics		
WP outer diameter	1.594 m	CRWMS M&O 2000d, Att. II, SK-0192 REV00
WP length	5.165 m	CRWMS M&O 2000d, Att. II, SK-0192 REV00
Distance from lowest point on WP to top of invert	193 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP	549 mm	CRWMS M&O 2000c, Att. II, SK-

		0154 REV02
Distance from DS to highest point on WP if emplacement pallet collapses	742 mm	Sum of two previous lines
5 DHLW/DOE SNF		
WP outer diameter	2.030 m	CRWMS M&O 2000e, Att. III, SK-0196 REV03
WP length (short package)	3.590 m	CRWMS M&O 2000e, Att. III, SK-0196 REV03
Distance from lowest point on WP to top of invert	226 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP	80 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP if emplacement pallet collapses	306 mm	Sum of two previous lines
Short emplacement pallet length	2500 mm	CRWMS M&O 2000c, Att. III, SK-0189 REV00
Naval SNF		
WP outer diameter	1.869 m	CRWMS M&O 2000f, Att. II, SK-0194 REV01
WP length	6.065 m	CRWMS M&O 2000f, Att. II, SK-0194 REV01
Distance from lowest point on WP to top of invert	213 mm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP	254 cm	CRWMS M&O 2000c, Att. II, SK-0154 REV02
Distance from DS to highest point on WP if emplacement pallet collapses	467 mm	Sum of two previous lines

4.2 CRITERIA

Programmatic requirements for this document are listed in the Development Plan: *Develop the EBS Radionuclide Transport Abstraction Model for TSPA-LA* (CRWMS M&O 1999a). This Development Plan specifies that this document and all analyses described herein must adhere to the requirements of AP-3.10Q, *Analyses and Models*. This Plan also specifies that this AMR must address applicable NRC issue resolution status report (IRSR) acceptance criteria for three Key Technical Issues (KTIs): (1) Evaluation of the Near-Field Environment (ENFE) (NRC 1999a), (2) Container Life and Source Term (CLST) (NRC 1999b), and (3) Thermal Effects on Flow (TEF) (NRC 1998).

The following sections identify the NRC IRSR acceptance criteria for each of the three KTIs applicable to this Development Plan. Evaluations of these criteria are presented in Section 7.2. A listing of features, events and processes (FEPs) that apply to the EBS RT Abstraction is included in Section 4.2.4.

The NRC IRSR acceptance criteria are presented separately for each KTI. This is appropriate because each KTI has a distinct set of acceptance criteria and because only selected subissues within each KTI are applicable to the EBS RT Abstraction. The subissues that apply to EBS RT Abstraction model development for the ENFE KTI are: (a) the effects of coupled thermal-hydrologic-chemical (THC) processes on seepage and flow, and (b) the effects of coupled THC processes on radionuclide transport through engineered and natural barriers. The subissues that

apply for the CLST KTI are: (a) subissue 3: the rate at which radionuclides in spent nuclear fuel are released from the engineered barrier subsystem through the oxidation and dissolution of spent fuel, (b) subissue 4: the rate at which radionuclides in high-level waste glass are released from the engineered barrier subsystem, and (c) subissue 6: the effects of alternative engineered barrier subsystem design features on container lifetime and radionuclide release from the engineered barrier subsystem. The subissue that applies for the TEF KTI is subissue 3: “does the U.S. Department of Energy total system performance assessment adequately account for thermal effects on flow?”

Several task-specific criteria were also used during the EBS conceptual model development. The criterion to evaluate the potential impact of thermal and mechanical processes on DS separation (see Section 6.5) is to compare the calculated separation distance with the possible slippage or overlap between adjacent DSs. This overlap is created by the connector plate attached to each DS. Mechanisms that result in separations that are much less than the overlap have been screened out of the EBS conceptual model for the TSPA-SR. The criteria selected to evaluate the bathtub geometry (see Section 6.6) as an alternative conceptual model are: (1) radionuclide concentration leaving the WP, and (2) radionuclide mass flux leaving the WP. These are reasonable performance measures because they directly effect the concentrations and fluxes of radionuclides released to the EBS and ultimately to the affected population. No other criteria have been used in developing the EBS RT Abstraction.

4.2.1 NRC IRSR Criteria for the ENFE KTI

The acceptance criteria for the ENFE KTI are presented for two of the five major subissues for this KTI. The two subissues that are directly relevant to the EBS RT Abstraction are: (1) IRSR Section 4.1 – The Effects of Coupled Thermal-Hydrologic-Chemical Processes on Seepage and Flow, and (2) IRSR Section 4.4 – The Effects of Coupled Thermal-Hydrologic-Chemical Processes on Radionuclide Transport Through Engineered And Natural Barriers. The subissues in Sections 4.2, 4.3 and 4.5 of the IRSR relate to the waste package chemical environment, to the impact of the chemical environment on radionuclide release (mobilization), and to the potential for nuclear criticality in the near-field, respectively. These subissues are not directly relevant to the EBS RT Abstraction and are not discussed in this AMR.

The following sections present the technical and programmatic acceptance criteria from Sections 4.1 and 4.4 of the IRSR that are applicable to this AMR. Note that a single listing of the acceptance criteria is possible because the criteria in Sections 4.1 and 4.4 are very similar. The main difference between the criteria in Sections 4.1 and 4.4 relates to microbial issues that are not relevant to the EBS RT Abstraction.

4.2.1.1 Applicable Data and Model Justification Acceptance Criteria

1. Consider both temporal and spatial variations in THC effects on EBS flow and transport processes. (NRC 1999a, Sections 4.1.1 and 4.4.1)
2. Consider site characteristics in establishing initial and boundary conditions for conceptual models and simulations of coupled processes that may affect EBS flow and transport processes. (NRC 1999a, Sections 4.1.1 and 4.4.1)

3. Collect sufficient data on the characteristics of the natural system and engineered materials, such as the type, quantity, and reactivity of materials, to establish initial and boundary conditions for conceptual models and simulations of THC coupled processes that may affect EBS flow and transport. (NRC 1999a, Sections 4.1.1 and 4.4.1)
4. Use sensitivity and uncertainty analyses (including consideration of alternative conceptual models) to determine whether additional new data are needed to better define ranges of input parameters. (NRC 1999a, Sections 4.1.1 and 4.4.1)
5. If the testing program for coupled THC processes on the chemical environment for radionuclide release from the engineered barrier system is not complete at the time of license application, or if sensitivity and uncertainty analyses indicate that additional data are needed, DOE has identified specific plans to acquire the necessary information as part of the performance confirmation program. (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.1.2 Applicable Data Uncertainty and Verification Acceptance Criteria

1. Use reasonable or conservative ranges of parameters or functional relations to determine effects of coupled THC processes on EBS flow and transport. Parameter values, assumed ranges, probability distributions, and bounding assumptions are technically defensible and reasonably account for uncertainties. (NRC 1999a, Sections 4.1.1 and 4.4.1)
2. Consider uncertainty in data due to both temporal and spatial variations in conditions affecting coupled THC effects on EBS flow and transport processes. (NRC 1999a, Sections 4.1.1 and 4.4.1)
3. Properly consider the uncertainties in the characteristics of the natural system and engineered materials, such as the type, quantity, and reactivity of materials, in establishing initial and boundary conditions for conceptual models and simulations of THC coupled processes that may affect EBS flow and transport. (NRC 1999a, Sections 4.1.1 and 4.4.1)
4. The initial conditions, boundary conditions, and computational domain used in sensitivity analysis involving coupled THC effects on EBS flow and transport processes should be consistent with available data. (NRC 1999a, Sections 4.1.1 and 4.4.1)
5. DOE's performance confirmation program should assess whether the natural system and engineered materials are functioning as intended and anticipated with regard to coupled THC effects on radionuclide release from the engineered barrier system. (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.1.3 Model Uncertainty Acceptance Criteria

1. Use appropriate models, tests, and analyses that are sensitive to the THC couplings under consideration for both natural and engineered systems as described in the

following examples. The effects of THC coupled processes that may occur in the natural setting or due to interactions with engineered materials or their alteration products include: (i) Thermohydrologic (TH) effects on gas and water chemistry; (ii) hydrothermally driven geochemical reactions, such as zeolitization of volcanic glass and the precipitation and dissolution of oxides and hydroxides; (iii) dehydration of hydrous phases liberating moisture; (iv) effects of microbial processes; (v) effects of corrosion products on transport of radionuclides in the near field; and (vi) changes in water chemistry that may result from interactions between cementitious or WP materials and groundwater, which, in turn, may affect the environment for EBS flow and transport. (NRC 1999a, Sections 4.1.1 and 4.4.1)

2. Investigate alternative modeling approaches consistent with available data and current scientific understanding, and appropriately consider their results and limitations. (NRC 1999a, Sections 4.1.1 and 4.4.1)
3. Provide a reasonable description of the mathematical models included in analyses of coupled THC effects on EBS flow and transport processes. The description should include a discussion of alternative modeling approaches not considered in its final analysis and the limitations and uncertainties of the chosen model. (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.1.4 Model Verification Acceptance Criteria

1. The mathematical models for coupled THC effects on EBS flow and transport should be consistent with conceptual models based on inferences about the near-field environment, field data and natural alteration observed at the site, and expected engineered materials. (NRC 1999a, Sections 4.1.1 and 4.4.1)
2. Appropriately adopted accepted and well-documented procedures to construct and test the numerical models should be used to simulate coupled THC effects on EBS flow and transport processes. (NRC 1999a, Sections 4.1.1 and 4.4.1)
3. Abstracted models for coupled THC effects on EBS flow and transport processes should be based on the same assumptions and approximations shown to be appropriate for closely analogous natural or experimental systems. Abstracted model results should be verified through comparison to outputs of detailed process models and empirical observations. Abstracted model results should be compared with different mathematical models to judge robustness of results (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.1.5 Integration Acceptance Criteria

1. Consider all the relevant features, events and processes. The abstracted models should adequately incorporate important design features, physical phenomena, and couplings, and use consistent and appropriate assumptions throughout. (NRC 1999a, Sections 4.1.1 and 4.4.1)

2. The abstracted models should reasonably account for known temporal and spatial variations in conditions affecting coupled THC effects on seepage and flow. (NRC 1999a, Sections 4.1.1 and 4.4.1)
3. Assumptions may be used to simplify PA analyses if certain THC couplings are determined to be unimportant to performance. A firm technical basis will be provided if potentially important couplings are neglected. The technical basis can include activities such as independent modeling, laboratory and field data, or sensitivity studies. (NRC 1999a, Sections 4.1.1 and 4.4.1)
4. The bases used for modeling assumptions and approximations will be documented and justified if simplifications for modeling coupled THC effects on seepage and flow are used for PA analyses instead of detailed process models. (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.1.6 Programmatic Acceptance Criteria

1. Data and models should be collected, developed, and documented under acceptable quality assurance (QA) procedures. (NRC 1999a, Sections 4.1.1 and 4.4.1)
2. Deficiency reports should be closed concerning data quality on issues related to coupled THC effects on seepage and flow. (NRC 1999a, Sections 4.1.1 and 4.4.1)
3. Expert elicitations should be conducted and documented in accordance with the guidance in NUREG-1562 (Kotra et al. 1996) or other acceptable approaches. (NRC 1999a, Sections 4.1.1 and 4.4.1)

4.2.2 NRC IRSR Criteria for the CLST KTI

The acceptance criteria for the CLST KTI are presented as a set of general acceptance criteria plus specific acceptance criteria for each subissue under this KTI. All general acceptance criteria are presented in the next subsection, followed by the criteria applicable to EBS flow and transport for the subissues (3, 4 and 6) that are relevant to the EBS RT Abstraction. Subissues 3 and 4 relate to the release of radionuclides from the EBS and subissue 6 relates to the effects of alternative EBS design features on radionuclide release.

4.2.2.1 General Acceptance Criteria For All Subissues

1. The collection and documentation of data, as well as development and documentation of analyses, methods, models, and codes, should be accomplished under approved quality assurance and control procedures and standards. (NRC 1999b, Section 4.0)
2. Expert elicitations, when used, should be conducted and documented in accordance with the guidance provided in NUREG-1563 (Kotra et al. 1996) or other acceptable approaches. (NRC 1999b, Section 4.0)

3. Sufficient data (field, laboratory, and natural analog) should be available to adequately define relevant parameters for the models used to evaluate performance aspects of the sub-issues. (NRC 1999b, Section 4.0)
4. Sensitivity and uncertainty analyses (including consideration of alternative conceptual models) should be used to determine whether additional data would be needed to better define ranges of input parameters. (NRC 1999b, Section 4.0)
5. Parameter values, assumed ranges, test data, probability distributions, and bounding assumptions used in the models should be technically defensible and can reasonably account for known uncertainties. (NRC 1999b, Section 4.0)
6. Mathematical model limitations and uncertainties in modeling were defined and documented. (NRC 1999b, Section 4.0)
7. Primary and alternative modeling approaches consistent with available data and current scientific understanding should be investigated and their results and limitations should be considered in evaluating the subissue. (NRC 1999b, Section 4.0)
8. Model outputs should be validated through comparisons with outputs of detailed process models, empirical observations, or both. (NRC 1999b, Section 4.0)
9. The structure and organization of process and abstracted models should adequately incorporate important design features, physical phenomena, and coupled processes. (NRC 1999b, Section 4.0)

4.2.2.2 Applicable Acceptance Criteria for Subissues 3 and 4

1. Identify and consider the likely processes for SNF or HLW degradation and the release of radionuclides from the EBS, as follows: dissolution of the irradiated UO₂ matrix, with the consequent formation of secondary minerals and colloids; prompt release of radionuclides; degradation in the dry air environment; degradation and failure of fuel cladding; preferential dissolution of intermetallics in DOE SNF and HWL; and release of radionuclides from the WP emplacement drifts. (NRC 1999b, Sections 4.3.1 and 4.4.1)
2. Demonstrate that the numerical models used for SNF/HLW degradation and radionuclide release from the EBS are adequate representations, including consideration of uncertainties, of the expected SNF/HLW performance and are not likely to overestimate the actual performance in the repository environment. (NRC 1999b, Sections 4.3.1 and 4.4.1)
3. Conduct a consistent, sufficient, and suitable SNF/HLW corrosion and radionuclide release testing program at the time of the LA submittal. In addition, identify specific plans for further testing to reduce any significant area(s) of uncertainty as part of the performance confirmation program. (NRC 1999b, Sections 4.3.1 and 4.4.1)

4. Establish an adequate program of monitoring radionuclide release from the WP during the performance confirmation period, to assure that assumptions and calculations of SNF/HLW dissolution and radionuclide release from the WP are appropriately substantiated. (NRC 1999b, Sections 4.3.1 and 4.4.1)

4.2.2.3 Applicable Acceptance Criteria for Subissue 6

1. Identify and consider the effects of backfill, and the timing of its emplacement, on the thermal loading of the repository, WP lifetime (including container corrosion and mechanical failure), and the release of radionuclides from the EBS. (NRC 1999b, Section 4.6.1)
2. Identify and consider the effects of drip shields (with backfill) on WP lifetime, including extension of the humid-air corrosion regime, environmental effects, breakdown of drip shields and resulting mechanical impacts on WP, the potential for crevice corrosion at the junction between the WP and the drip shield, and the potential for condensate formation and dripping on the underside of the shield. (NRC 1999b, Section 4.6.1)
3. Justify the use of test results for drip shields, ceramic coatings, and backfill materials not specifically collected for the YM side for the environmental conditions expected to prevail at the proposed YM repository. (NRC 1999b, Section 4.6.1)

4.2.3 NRC IRSR Criteria for the TEF KTI

The acceptance criteria for the TEF KTI are presented for subissue 3: “does the U.S. Department of Energy total system performance assessment adequately account for thermal effects on flow?” Subissue 3 has elements that are directly relevant to the EBS RT Abstraction. Subissues 1 and 2 are generally concerned with thermohydrologic flow in the near-field rock environment and hence are less relevant to EBS flow and transport processes.

The following section presents the acceptance criteria for the two programmatic acceptance criteria and for the first three technical acceptance criteria. Technical acceptance criteria 4 through 7 are omitted because they are either covered in other general acceptance criteria or are not directly relevant to the EBS RT Abstraction.

4.2.3.1 Acceptance Criteria For Subissue 3

1. Develop and document analyses under acceptable QA procedures. (NRC 1998, Section 4.3.1).
2. Justify the use of abstracted models in the TSPA. This justification may include, but is not necessarily limited to, the use of expert elicitation. Expert elicitations should be conducted and documented in accordance with NUREG-1563 (Kotra et al., 1996) or other acceptable procedures. (NRC 1998, Section 4.3.1).
3. Abstractions of process-level models may be used if predictions from the abstracted model are shown to conservatively bound process-level predictions. In particular, an

abstracted model for influx of water into an emplacement drift may be used if the abstracted model is shown to bound process-level model predictions of the influx of water as liquid or vapor into an emplacement drift. (NRC 1998, Section 4.3.1).

4. Demonstrate that sufficient data are available to adequately define relevant parameters, parameter values and conceptual models. Specifically, DOE should demonstrate that (NRC 1998, Section 4.3.1):
 - Uncertainties and variabilities in parameter values are accounted for using defensible methods. Provide the technical bases for parameter ranges, probability distributions or bounding values. Derive parameter values (single values, ranges, probability distributions, or bounding values) from site-specific data or an analysis showing that the assumed parameter values lead to a conservative effect on performance.
 - Demonstrate that analyses are consistent with site characteristics in establishing initial conditions, boundary conditions, and computational domains for conceptual models.
5. Provide reasonably complete descriptions of the conceptual and mathematical models for the TSPA (NRC 1998, Section 4.3.1). Further, demonstrate that:
 - Performance affecting processes observed in available thermohydrologic tests and experiments have been identified and incorporated into the TSPA. Specifically, demonstrate that liquid water will not reflux into the underground facility or incorporate refluxing water into the TSPA and bound the potential adverse effects of: (i) corrosion of the WP; (ii) accelerated transport of radionuclides; and (iii) alteration of hydraulic and transport pathways that result from refluxing water.
 - Identify and incorporate Significant Geologic Repository Operations Area underground facility design features, such as the addition of backfill or drip shields, that can result in changes in TSP into the TSPA.
 - Define and document conceptual model uncertainties and assess their effects on conclusions regarding TSP.
 - Ensure that mathematical models are consistent with conceptual models, based on consideration of site characteristics.
 - Consider alternative models and modeling approaches to ensure that they are consistent with available data and current scientific understanding, that their limitations are defined, and that their results are appropriately considered.
 - Compare the results from different mathematical models to judge the robustness of results.

4.2.4 YMP Features, Events and Processes (FEPs)

Table 2 gives a listing of Yucca Mountain Project (YMP) FEPs (CRWMS M&O 2000g) that are relevant to the conceptual model for EBS flow and transport. YMP FEP # and NEA Category are part of the database search properties and are provided for convenience. Any resolution of these FEPs is discussed in Section 7.5.

The focus of the FEPs for the EBS is on liquid-mediated transport of radionuclides. Gaseous transport of radionuclides has been screened out of the nominal scenario because of low

consequence (CRWMS M&O 2000g, FEP 3.2.10.00.00). This FEP includes consideration of radiotoxic and chemotoxic species in the air as gas, vapor, particulates, or an aerosol. The radionuclide with the greatest potential for gaseous release is C-14. Bounding estimates of the potential dose of C-14 indicate that the maximum release of C-14 will be at least 5 orders of magnitude below the anticipated regulatory dose limit. It follows that gaseous transport is not included in the EBS RT Abstraction for the TSPA.

As an aside, atmospheric transport due to volcanic ashfall is included in the TSPA-SR for the igneous intrusion scenario (CRWMS M&O 2000g, FEP 1.2.04.07.00, Ashfall). This scenario is not relevant to the EBS RT Abstraction because (a portion of) the EBS is destroyed by the igneous intrusion.

Table 2. A Listing of YMP FEPs That Pertain to the EBS RT Abstraction

YMP FEP #	NEA Category	FEP Name	Screening Decision for EBS
1.1.02.02.00	ID-1	Effects of pre-closure ventilation	Include
2.1.03.01.00	2.1.03a	Corrosion of waste containers	Include
2.1.03.10.00	2.1.03k	Container healing	Exclude
2.1.03.12.00	2.1.03i	Container failure (long-term)	Include
2.1.04.01.00	2.1.04u	Preferential pathways in the backfill	Include
2.1.04.02.00	2.1.04au	Physical and chemical properties of backfill	Include
2.1.04.04.00	2.1.04az	Mechanical effects of backfill	Include
2.1.04.05.00	2.1.04b	Backfill evolution	Include
2.1.04.08.00	2.1.04t	Diffusion in backfill	Exclude
2.1.04.09.00	3.2.07r	Radionuclide transport through backfill	Exclude
2.1.06.05.00	2.1.05p	Degradation of invert and pedestal	Include
2.1.06.06.00	WP-1	Effects and degradation of DS	Include
2.1.06.07.00	2.1.03o	Effects at material interfaces	Exclude
2.1.07.01.00	2.1.07a	Rockfall (large block)	Exclude
2.1.07.03.00	2.1.03bd	Movement of containers	Exclude
2.1.07.06.00	2.1.07ad	Floor buckling	Exclude
2.1.08.04.00	2.1.08e	Condensation forms on backs of drifts	Include
2.1.08.05.00	2.1.08ad	Flow through invert	Include
2.1.08.06.00	2.1.08y	Wicking in waste and EBS	Include
2.1.08.07.00	2.1.03ax	Pathways for unsaturated flow and transport in the waste and EBS	Include
2.1.08.09.00	2.1.08w	Saturated groundwater flow in waste and EBS	Exclude
2.1.08.11.00	2.1.08m	Resaturation of repository	Include
2.1.09.01.00	2.1.09k	Properties of the potential carrier plume in the waste and EBS	Include
2.1.09.02.00	3.2.01i	Interaction with corrosion products	Exclude in EBS
2.1.09.05.00	2.1.09bm	In-drift sorption	Exclude
2.1.09.08.00	2.1.09bk	Chemical gradients / enhanced diffusion in waste and EBS	Exclude
2.1.09.15.00	2.1.09c	Formation of true colloids in waste and EBS	Exclude in EBS
2.1.09.19.00	3.2.04z	Colloid transport and sorption in the waste and EBS	Include
2.1.09.20.00	3.2.04y	Colloid filtration in the waste and EBS	Exclude

YMP FEP #	NEA Category	FEP Name	Screening Decision for EBS
2.1.09.21.00	3.2.08c	Suspensions of particles larger than colloids	Exclude
2.1.11.05.00	2.1.11ac	Differing thermal expansion of repository components	Exclude
2.1.11.09.00	2.1.11ad	Thermal effects on liquid or two-phase fluid flow in the waste and EBS	Include
2.1.11.10.00	2.1.11ag	Thermal effects on diffusion (Soret effect) in waste and EBS	Exclude
2.1.13.02.00	2.1.13b	Radiation damage in waste and EBS	Exclude
2.2.07.06.00	ID-2	Episodic/pulse release from repository	Include
2.2.08.04.00	2.2.08c	Redissolution of precipitates directs more corrosive fluids to containers	Include

4.3 CODES AND STANDARDS

This AMR was prepared to comply with the DOE interim guidance (Dyer 1999, which directs the use of the proposed NRC high-level waste rule, 10 CFR Part 63. Relevant requirements for performance assessment from Section 114 of that document are: “Any performance assessment used to demonstrate compliance with Sec. 113(b) shall: (a) Include data related to the geology, hydrology, and geochemistry ... used to define parameters and conceptual models used in the assessment. (b) Account for uncertainties and variabilities in parameter values and provide the technical basis for parameter ranges, probability distributions, or bounding values used in the performance assessment. ... (g) Provide the technical basis for models used in the performance assessment such as comparisons made with outputs of detailed process-level models”

The relevant codes, standards, regulations and procedures for the development of the EBS RT Abstraction are listed in Section 8.2.

5. ASSUMPTIONS

The assumptions for the abstraction for EBS flow, for the abstraction for EBS transport, for the DS separation model, and for an alternative conceptual model of flow through the WP (called the bathtub model) are presented in Sections 5.1, 5.2, 5.3, and 5.4, respectively. The use of information based on design considerations is discussed in Section 5.5. Note that assumptions are often stated in their most general form to encompass the potential for future design changes or new experimental data, even though certain processes may not occur. For example, corrosive processes may result in patches, pits or stress corrosion cracks (SCCs) in the WP, although the local chemical environment or WP fabrication techniques may prevent certain failure modes from occurring in Alloy 22.

5.1 EBS FLOW

The assumptions for the conceptual model for EBS flow are listed below. The applicability of each assumption in the presence or absence of an engineered backfill material surrounding the DS is identified in the text. The presence or absence of backfill is indicated by the phrase “backfilled EBS” or “nonbackfilled EBS”, respectively.

5.1.1 Capillary fluxes are estimated assuming pressure equilibrium at the interface between the quartz sand backfill and the host rock.

The high capillarity of the Overton sand backfill relative to the capillarity for flowing fractures in the adjacent host rock results in the potential for backfill to wick water from the host rock. There is speculation as to whether sufficient connection exists between flowing fractures in the adjacent host rock and the backfill for wicking to occur. Simulations with the NUFT code assume sufficient connection for pressure equilibrium between the backfill and the host rock. (The NUFT code (Nitao 1998) provides numerical solutions for the coupled thermohydraulic response of the UZ and emplacement drifts, including the major components of the EBS). Until this issue is studied in more detail, the EBS RT Abstraction assumes a similar connection between the host rock and the backfill and includes a wicking flux in the PA calculations. This assumption is only relevant for the backfilled EBS; it is used in the discussions of capillary flow in Sections 6.2.1, 6.2.1.2, and 6.2.1.3.

5.1.2 Condensation on the inside of the DS occurs when the temperature of the DS is less than the temperature of the invert.

The inside of the DS will be filled with a mixture of air and water vapor. The source of the air is the general circulation of atmospheric gas through Yucca Mountain. The water vapor will be generated by evaporation from the invert. Water vapor can also be generated by evaporation from the surface of the WP, although the invert should be the dominant source while the DS is intact and diverts water away from the WP. Liquid water can condense on the inside of the DS when the temperature of the DS, T_{DS} , is less than the dew point of this air/vapor mixture.

Depending on vapor pressure gradients, the evaporated water from the invert can either move laterally away from the DS (i.e., to the right or left of the invert) or it can move upward to the inside of the DS. For example, the water vapor may move toward the drift walls by diffusing rapidly through the porous, high permeability materials in the invert and backfill. If this vapor condenses, it may do so in cooler regions where the relative humidity is high, perhaps on the walls of the drift. This flow pattern would tend to maintain wetter conditions on the outside of the DS, rather than beneath the DS. Note that the DS forms an inverted cap that will tend to trap any water vapor that moves upward.

As a first order approximation, the space between the DS and WP can be treated as a closed system because of the geometry of the DS and invert. The vapor pressure of water beneath the DS will then be close to the equilibrium vapor pressure at the invert temperature, T_{INV} . In this case, comparison of the temperature in the invert (T_{INV}) and the temperature at the top of the DS (T_{DS}) provides a suitable indicator of the potential for condensation on the inside of the DS. That is, condensation will occur if $T_{INV} > T_{DS}$. This is a physically reasonable approximation in terms of providing an indicator for the direction of vapor pressure gradients. This assumption is a reasonably bounding one because the possibility of water vapor transport laterally away from the DS or through gaps in the DS is ignored.

This assumption is applicable to the backfilled and the nonbackfilled EBS; it is used in Section 6.3.3.

A more accurate model of the evaporation/condensation process may be used in future TSPAs if experimental data from the ATLAS facility or computational data from NUFT analyses indicate that condensation is occurring.

5.1.3 If condensation occurs, it is assumed that the condensation flux on the DS is equal to the evaporative flux in the invert.

This is a reasonable bounding estimate if thermal conditions are relatively uniform beneath the DSs because some of the evaporative flux from the invert will escape through the backfill and host rock, rather than condense on the DS. If thermal conditions are nonuniform, then the local condensation flux could exceed the evaporation flux at some locations. This issue must be reevaluated for the final TSPA-LA design configuration. This assumption is used in Section 6.3.3 and is applicable to the backfilled and the nonbackfilled EBS.

5.1.4 If condensation occurs, it is assumed that all the condensation flux drips from the crown of the DS onto the WP.

This is a reasonably bounding assumption because droplets or thin films of liquid may flow down the sides of the DS, rather than fall on the WP. This assumption is used in Sections 6.3.2 and 6.3.3 and is applicable to the backfilled and nonbackfilled EBS.

5.1.5 The advective flow (of water) in the EBS cannot reach the WPs as long as the integrity of the DS is maintained. This assumption applies to the seepage flux in the nonbackfilled EBS and to the capillary and seepage fluxes for a backfilled EBS.

Three lines of reasoning are presented in Section 6.2.2 to defend this assumption.

5.1.6 Once the integrity of the DS is compromised, backfill is assumed to fill the axial space surrounding the WP.

This assumption bounds the expected behavior of the EBS, as explained in Section 6.2.3.2. It is only applicable to the backfilled EBS.

5.1.7 The total flux into the quartz sand backfill is equal to the sum of the seepage flux and the capillary flux multiplied by a factor between 0 and 1. The total flux into the nonbackfilled EBS is equal to the seepage flux; that is, the capillary flux is ignored when there is no backfill in the EBS.

For the backfilled EBS, the total flux into the system is given by the seepage flux plus a contribution from the capillary flux. The capillary flux is calculated in a potentially very conservative framework for two reasons. First, there is speculation as to whether sufficient connection exists between flowing fractures in the adjacent host rock and the backfill for

wicking to occur. Simulations with the NUFT code conservatively assume sufficient connection for pressure equilibrium between the backfill and the host rock, but the validity of this equilibrium condition is uncertain. Second, future design changes may specify a coarser backfill that has minimal wicking potential relative to the fractures in the host rock or possibly no backfill at all. Given this situation, the capillary flux will be multiplied by a factor between 0 and 1 to represent the variations in backfill materials, EBS design and the coupling with the fractures in the host rock. The value of this factor will be determined by the PAO for specific analyses and designs in future TSPAs for the backfilled EBS. This assumption is used in Section 6.2.1.

The capillary flux is assumed to be zero for the nonbackfilled EBS because there is no medium to wick water from the fractures in the host rock. This means that the factor multiplying the capillary flux is zero and the total flux into the EBS is given by the seepage flux. This assumption is used in Section 6.2.1.

5.1.8 Flow of water through the backfill is a quasi-steady process in a homogeneous porous medium.

The overall flow through the backfill approaches a steady state condition because the inflow boundary condition (percolation flux) is generally constant. When the boundary condition does change, such as with a new climate, the flow will move to a new steady state condition. Breaching of the DS or WP can also change the flow geometry, but this effect is considered to be a perturbation to the overall capillary flux through the backfill. Finally, the fine Overton sand backfill should behave as a homogeneous, porous medium in the repository environment. This assumption is only applicable to the backfilled EBS and is used in Sections 6.2.1 and 6.2.4.

5.1.9 The fluid flux through a patch or pit in the DS or WP is proportional to the ratio of the length of the penetration in the axial direction to the total axial length of the DS or WP. This assumption is equivalent to assuming that a patch or pit is always located on the side (90° from the crown) of the DS or WP and that it can collect all fluid that drips or flows from the crown towards the penetration if the axial locations of source and penetration coincide.

Two types of fluxes are considered here: a dripping flux and a capillary-driven flux. A dripping flux may enter the EBS as a point source, either by dripping from fractures (i.e., seepage flux) or by dripping due to condensation. The simplest assumption is that the entire dripping flux could fall exactly at the crown of the DS or WP. This may not be an extreme assumption because preliminary experimental data from the ATLAS test facility seem to show that drips do occur preferentially from the region of the crown. While it is difficult to generalize from preliminary data, it is a reasonable bound to assume that dripping will fall at the crown and that a patch at any azimuthal location on the DS or WP will collect fluid if the axial location of the patch coincides with that of the drip. This argument is applicable to the backfilled and the nonbackfilled EBS.

A similar assumption is also used for a capillary-driven flux in the backfilled EBS. The capillary flow through the sand backfill will be a complex, multidimensional flow field.

Capillary effects should result in a more uniform distribution of effective sources for fluid flow through a penetration in the DS. However, assuming that the penetration is located on the side of the DS will again be a reasonable bounding estimate because any flux can enter the penetration if it is at the same axial location.

This assumption is used in Sections 6.2.4 and 6.3.2.

5.1.10 Fluid flux can pass through any patch on the surface of the WP, independent of its azimuthal location on the WP. Similarly, flow of a thin film through a stress corrosion crack (SCC) on the lid of the WP is independent of the location of the SCC on the lid.

Two general types of openings or breaches can exist in the WP due to corrosion. These are (1) SCCs that penetrate the weld of the lid and (2) patches resulting from general corrosion. Section 6.3.1.2 provides a detailed discussion of these two types of openings.

Fluid flux can pass through any patch on the surface of the WP, independent of its location on the upper or lower surface of the WP. This is a conservative assumption for the patches and pits on the lower half of the WP, where little inflow is expected to occur. Similarly, the dripping flux is assumed to flow through SCCs independent of location on the lid of the WP. This is again a bounding assumption for the SCCs because fluid is unlikely to reach any SCCs on the upper half of the lid. This assumption is applicable to the backfilled and the nonbackfilled EBS and is used in Sections 6.2.4 and 6.3.2.

The fact that the fluid flux can advect through a single patch or pit is an additional bounding feature in the flow and transport model. This approach is consistent with the analyses showing that a flow-through model provides a bounding estimate with respect to a "bathtub" model for the WP (see Section 6.6).

5.1.11 The nominal patch area on the DS is $7.21 \times 10^4 \text{ mm}^2$ and the nominal patch area on the WP is $2.346 \times 10^4 \text{ mm}^2$. The patches are assumed to be square for the purposes of the flux splitting algorithm.

WAPDEG calculates corrosion assuming 500 nodes and 1000 nodes on the DS and WP, respectively (CRWMS M&O 2000b, Section 3.2.7, page 3-141). Each node represents a patch of the surface area for the corrosion calculation. The equivalent patch area is calculated as the total surface area divided by the number of nodes. These areas are referred to as nominal because there are four types of WPs in the repository. The nominal patch area is then scaled for the specific surface area of each type of WP. Patches are assumed to be square for the purpose of determining axial length for the flux splitting algorithm. This is a reasonable assumption for large patches on the surface. This assumption is used in Sections 6.2.4 and 6.3.2; it is applicable to the backfilled and the nonbackfilled EBS..

5.1.12 Diversion of flux around a breached DS or WP is based on continuity of liquid flux. This assumption means that the sum of the flux that is diverted and the flux that penetrates the DS or WP equals the incident flux on the DS or WP.

This assumption is reasonable because continuity conserves liquid mass and because it is consistent with the response of a quasi-steady system. This assumption is used in Sections 6.2.1.3, 6.2.4, 6.3.2 and 6.3.4; it is applicable to the backfilled and the nonbackfilled EBS..

5.1.13 SCCs through the welded lid are assumed to be in the radial direction.

Tensile stress is required to drive an SCC through the thickness of the welded lid. Detailed finite-element analyses of the WP and welded lid show that tensile stresses exist for cracks oriented lengthwise in the radial direction. These same analyses also show that circumferentially-oriented cracks are highly unlikely to penetrate the lid because radial stress on the inside surface of the lid is compressive, not tensile. This assumption is used in Section 6.3.1.2.1 and is applicable to the backfilled and the nonbackfilled EBS..

5.1.14 The width of the weld on the inner surface of the outer lid of the WP is assumed to be 0.25 inches.

This is a typical engineering value for a welding operation. This assumption is used in Section 6.3.1.2.1 and is applicable to the backfilled and the nonbackfilled EBS..

5.1.15 The fluid flux onto the closure lid of the WP is reasonably bounded by assuming that the WP is tilted at the maximum angle possible beneath the DS. This flux is given by the ratio of the projected length of the end cap in the axial direction to the projected length of the total WP in the axial direction.

This maximum angle of tilt occurs when the skirt and lid end of the WP is elevated to the height of the inside of the DS while the other end rests on the invert. This assumption is used in Section 6.3.2 and is applicable to the backfilled and the nonbackfilled EBS..

5.1.16 All fluid that flows as a film on the closure lid of the WP is assumed to flow through a SCC, if present.

This is a bounding assumption for several reasons. First, a film that completely spans the opening of a SCC creates a differential in capillary forces that will prevent any further ingress of flowing water into the WP. Second, the presence of corrosion products in the very small SCC may provide a capillary barrier for advective flux into the WP. In spite of these features, flow through a SCC has not been screened out. The potential for atmospheric pumping, hygroscopic salts in the WP, and the uncertainty about film thickness make it difficult to exclude fluid flow into the WP. The assumption that all the thin film flow can enter the WP is a reasonable bound for the TSPA-SR/TSPA-LA. This assumption is used in Section 6.3.2 and is applicable to the backfilled and the nonbackfilled EBS..

5.1.17 The potential for evaporation in and on the WP is ignored.

The heat released by spent fuel has the potential to evaporate fluid on or in the WP. This is an important process because advective transport is not possible if evaporation eliminates

advective fluxes. Detailed calculations of the evaporative process, including the complexities in the internal geometry of fuel pins within the WPs and the small conduits for water vapor to escape through SCCs, are currently being performed. Until these data are available, evaporative processes are conservatively ignored. This assumption is used in Sections 6.3.3 and 6.3.2; it is applicable to the backfilled and the nonbackfilled EBS..

5.1.18 The stainless steel components of the WP provide no resistance to corrosion or flow. These components include the inner liner and inner lid of the WP.

Corrosion of the stainless steel inner shell will occur rapidly relative to corrosion of the Alloy 22 outer shell (CRWMS M&O 2000h). In this circumstance, no credit is allowed for the time to corrode any stainless steel component and a pathway through the WP is assumed to exist once the outer shell of Alloy 22 is breached. This assumption is a reasonably bounding assumption that is used in Sections 6.3.2 and 6.4.3.1; it is applicable to the backfilled and the nonbackfilled EBS..

5.1.19 Seismic damage to the WP and its emplacement pallet has been screened out..

The WP is a simple thick-walled cylindrical structure with end caps. The stainless steel inner shell is 5 cm thick and the Alloy 22 outer shell is 2 cm thick. This massive, compact structure will experience minimal strain and deformation from seismic loading in its "as-designed" condition. Seismic damage can be ignored for the "as-designed" WP.

Corrosion will reduce the structural integrity of the WP over time. At very early times, SCCs may penetrate the welded lids of the WP. SCCs may provide flow pathways into the WP, but should have negligible effect on the structural integrity of the WP. Similarly, general corrosion of the Alloy 22 will gradually reduce the thickness of the outer shell over longer time periods, but the 5 cm inner shell of stainless steel will still provide some strength for a partly corroded WP.

After several patches penetrate the outer shell due to general corrosion, the WP will become increasingly susceptible to damage from a seismic event. A seismic event may even collapse a badly corroded WP, although the consequence for performance will be modest because there are already several patches through the outer and inner shells so that the changes in fluid flux through the WP and in radionuclide transport out of the WP should also be modest.

The emplacement pallet is designed to transport the WP from the Waste Handling Building to the emplacement drift. It is also designed to facilitate retrieval of WPs. The pallet will, therefore, be lifted while loaded with a waste package. The pallet is fabricated from the same materials as the waste package (Alloy 22 plates and square stainless steel tubes) (CRWMS M&O 2000c, Section 6.2.1). System performance criteria for the emplacement pallet require that it maintain the nominal emplacement position of the WP for 300 years and that it maintain the nominal horizontal emplacement position of the WP for 10,000 years (CRWMS M&O 2000c, Sections 6.2.2.1.1 and 6.2.2.1.2)

Structural response calculations were performed for the emplacement pallet under lifting/retrieval and under static loads. In both cases, the stresses in the emplacement pallet are below one-third of the yield strength and one-fifth of the tensile strength of Alloy 22 or 316L stainless steel. With this margin of safety and the fact that corrosion during the first 300 years after emplacement is negligible, the pallet will support retrieval. (CRWMS M&O 2000c, Sections 6.4 and 6.5)

Horizontal movement of a WP over 10,000 years would primarily be due to seismic activity. The design of the emplacement pallet is anticipated to survive seismic ground motions, although seismic time histories were not available for structural response calculations to support the TSPA-SR. (CRWMS M&O 2000c, 6.2.2.1.2)

It is then reasonable to screen out the seismic response of the WP and emplacement pallet from the EBS RT Abstraction for Rev 0 of the TSPA-SR. More detailed calculations for the structural response of the WP and emplacement pallet under seismic loads and in varying states of corrosion will be performed for the TSPA-LA. This assumption has been used in Sections 6.3.1 and 6.3.2; it is applicable to the backfilled and the nonbackfilled EBS.

5.1.20 The advective flow for radionuclide transport is a one-dimensional process and always vertically downward.

Advective transport will occur predominantly in the direction of advective flow (lateral dispersion can lead to transport perpendicular to the direction of flow). Advective flow in the backfill follows a complex, multi-dimensional pattern because of capillarity in the finely grained backfill. Similarly, the advective flow field from seepage (nonbackfilled EBS) will also be multi-dimensional because the fall of droplets and flow on thin films on the surfaces and openings of the DS and WP can also be highly chaotic and multi-dimensional.

In spite of the complex flow patterns outside the WP, fluid must first enter a WP in order to mobilize radionuclides for advective transport. Once the radionuclides are mobilized within the WP, the advective flow will be predominantly directed downward from the WP to the invert and then to the UZ. Since advective transport through the backfill and DS can be ignored (because the radionuclides are not yet mobilized), the assumption of one-dimensional, downward advective flow from WP to the UZ is a physically reasonable assumption for the EBS RT Abstraction. This assumption is inherent in the EBS flow abstraction discussed in Sections 6.2.1.3, 6.2.4, 6.3, 6.3.2, 6.3.3, and 6.3.4. This assumption is applicable to the backfilled EBS and the nonbackfilled EBS.

5.2 RADIONUCLIDE TRANSPORT THROUGH THE EBS

The key assumptions for the conceptual model for radionuclide transport are listed below. These assumptions are applicable to the backfilled EBS and the nonbackfilled EBS, with the exception of assumption 5.2.2.

5.2.1 Advective transport is represented as a one-dimensional process in the vertical direction and is always downward.

This assumption is a direct consequence of assumption 5.1.20 and is included here for clarity. This assumption is used in Section 6.4.

5.2.2 There is no transport through the quartz sand backfill.

Upward diffusion, through the backfill, is physically impossible before the DS fails because there is no continuous fluid pathway for diffusion. After the DS fails, advection through the DS and into the WP will be the dominant flow mechanism. In this situation, it is reasonable to neglect any upward or lateral diffusive transport through the quartz sand backfill. Downward diffusive transport from the WP to the invert and UZ is then a physically reasonable assumption. This assumption is used in Section 6.4. This assumption is applicable to the backfilled EBS, although upward diffusion is also not expected for the nonbackfilled EBS because the minimal debris from rockfall will not provide a continuous pathway for liquid-based diffusion outside the DS.

5.2.3 The effects of longitudinal and transverse dispersion are ignored.

Longitudinal dispersion is ignored because of the small length of the flow path in the invert and because the representation of WP and invert as single mixing cells implies substantial dispersion in the numerical model. Transverse dispersion is ignored because it is a reasonably bounding assumption for the TSPA-LA. This assumption is used in Section 6.4.

5.2.4 The diffusion coefficient of all relevant radionuclides is bounded by the self-diffusion coefficient for water.

The basis for using the self-diffusion coefficient of water as a reasonably bounding value for the TSPA-SR is explained in Section 6.4.1.1. The value for the self-diffusion coefficient of water is given in MO0002SPASDC00.002. This assumption is used in Section 6.4.

5.2.5 The diffusion coefficient for a radionuclide in a porous, partly saturated medium is given by the self-diffusion coefficient for water times the product of porosity to the 1.3 power and (liquid) saturation to the 1.849 power. That is, $D = D_0 \phi^{1.3} s^{1.849}$, where D_0 is the self-diffusion coefficient of water, ϕ is the porosity, and s is the liquid saturation. The basis for this assumption is explained in Section 6.4.1.2.

The diffusion coefficient for a radionuclide in a porous, partly saturated medium is reduced from the self-diffusion coefficient in water by the effective cross-sectional area of the wetted liquid pathways. The reduction inherent in assumption 5.2.5 is an upper bound relative to Archie's law, which predicts that the diffusion coefficient is reduced by $\phi^{1.3} s^2$ for a granular medium like unconsolidated sand. This assumption is used in Section 6.4.1.2.

- 5.2.6 The nominal cross-sectional area of a (corroded) patch is $7.21 \times 10^4 \text{ mm}^2$ on the DS and $2.346 \times 10^4 \text{ mm}^2$ on the WP.

WAPDEG calculates corrosion assuming 500 nodes and 1000 nodes on the DS and WP, respectively (CRWMS M&O 2000b, Section 3.2.7, page 3-141). The patch area is calculated as the total surface area divided by the number of nodes. This assumption is identical to assumption 5.1.11 and is repeated here because cross-sectional areas are an important parameter for diffusive transport. This assumption is used in Sections 6.2.4, 6.3.2, and 6.4.

- 5.2.7 Sorption of dissolved radionuclides is ignored in the WP and invert. That is, the partition coefficients for all dissolved radionuclides are assumed to be zero. Note that the creation of radionuclide-bearing colloids is allowed in the model.

Corrosion products from the spent fuel and WP have the potential to retard selected radionuclides in the EBS. However, sorption of radionuclides is ignored in the EBS. This is a bounding assumption for the TSPA-SR and TSPA-LA because it maximizes the immediate release of radionuclides. This assumption is used in Section 6.4.

- 5.2.8 Radionuclide transport through a SCC is limited to diffusive transport through a thin, continuous film that is always present.

There are two cases to consider here: (1) at early times after closure, when SCCs represent the only flow path into the WP, and (2) at later times, after at least one patch appears on the surface of the WP.

At early times, the location of SCCs in the weld of the closure lid and the physical geometry of the WP make it impossible to maintain an advective flux out of the WP. In other words, water can potentially flow in through a SCC by capillary forces, by atmospheric pumping or by hydrostatic head if the lid of the WP is tipped upward. However, these mechanisms and this geometry cannot support flow out of the WP because the SCCs are the only exit path at early times.

Other features of the WP make advective flow through a SCC unlikely. For example, each SCC will fill with corrosion products that will further impede advective flow and the WP is sealed with a double lid configuration, leading to a potentially tortuous advective flow path.

The geometry of the WP and SCCs and the other features of the system make an advective flow path through a SCC physically unrealistic at early times, when no patches exist on the WP.

At late times, there is the possibility that advective flow could enter a SCC and exit through a patch. While this flow path is possible, the cross-sectional area of a typical SCC is orders of magnitude less than that of a patch, so any flow through the SCC is negligible compared to the general advective flux through patches. In addition, corrosion products will further

reduce the flux through the SCC. It is then reasonable to ignore advective flux through the SCC at late times in the TSPA-SR model.

In summary, the advective flux through an SCC can be neglected at both early and late times, so the only viable transport mechanism through an SCC is diffusive transport. In addition, the phenomenon of vapor pumping and the presence of hygroscopic salts in the waste make it impossible to eliminate the possibility of thin films forming a continuous liquid pathway that can support diffusive transport from the waste form through SCCs at all times. This assumption is used in Section 6.4.3.

5.2.9 The invert is assumed to be adjacent to the WP for diffusive release calculations.

This is a reasonably bounding assumption when advective fluxes in the EBS are zero. That is, diffusion cannot occur between the WP and invert without a continuous fluid pathway. This pathway does not exist at early times because the WP is supported on an emplacement pallet. However, a diffusive pathway is assumed to exist at all times in the EBS RT Abstraction. This assumption is used in Section 6.4.

5.2.10 Diffusive transport occurs whenever an SCC exists in the WP.

This “assumption” is a direct conclusion from assumptions 5.2.8 and 5.2.9, and is included to emphasize this feature of the diffusive transport model. The presence of a thin film of water in the SCCs at all times (see 5.2.8) and the direct physical link between the WP and invert (5.2.9) means that a continuous diffusive pathway exists between the waste form and the UZ whenever there is an SCC in the WP. In reality, the WP will not be in contact with the invert until the emplacement pallet fails, thin liquid films may not exist within the SCC when the waste form and WP are hotter than ambient temperature, corrosion products and precipitates may help to seal SCCs, and diffusion from the waste form to an SCC may be limited by the internal geometry of the waste form. Each of these mechanisms can restrict or eliminate the diffusive pathway between the waste form and the UZ, but are not incorporated into the TSPA-SR model. These mechanisms emphasize the conservatism of this “assumption”, which is used in Sections 6.4.3 and 6.4.

5.3 DRIP SHIELD RESPONSE

The key assumptions for the thermal and mechanical analysis of DS response are listed below. These assumptions are applicable to the backfilled EBS and the nonbackfilled EBS, except for assumption 5.3.3

5.3.1 The relative displacement between adjacent DSs is limited by the dimensions of the posts in the lower DS and the holes in the overlapping (upper) DS connector plate.

Thermal expansion or a seismic event may cause slippage between adjacent DSs. Each DS is not bolted to the invert and is not bolted to its nearest neighbors. However, the presence of posts on the top of the drip shield that mate with matching holes in the DS connector plate limits the relative displacement between adjacent DSs. This assumption is used in Section 6.5.1, Section 6.5.4 and in Attachment I.

5.3.2 Adjacent DSs will not separate during the design basis earthquake.

The new design for the DS has thick posts that fit into holes in the DS connector plate. These posts are more than 100 mm, or almost 4 inches, in diameter and more than 100 mm long. The DS connector plate is 15 mm thick. The posts and the connector plate are anticipated to be strong enough to ensure that adjacent DSs will not separate during a design basis earthquake. This assumption will be verified by ongoing analyses for the seismic response of the EBS. This assumption is used in Section 6.5.4.

5.3.3 The sand backfill is effective in spreading the load from a rock fall.

For the backfilled EBS, the backfill will act to distribute the load from a fallen block of rock over the full surface area of contact between sand and rock. This assumption is used in Section 6.5.3.

5.3.4 The impact of rock fall on the degraded DS has been screened out from Rev 00 of the TSPA-SR.

Rock fall will produce minor structural response in the as-emplaced DS, based on recent estimates of maximum block sizes from rockfall and detailed finite-element calculations of the dynamic response of the DS without backfill in the EBS (see Section 6.5.3). As the DS slowly degrades under general corrosion, the presence of rubble and debris from early-time rockfalls will distribute the load from subsequent rockfalls, reducing the potential for damage to a degraded DS. The impact of rock fall on the degraded DS has therefore been screened out from the TSPA-SR. This assumption is used in Section 6.5.3.

5.4 BATHTUB MODEL

The key assumptions for the primary analysis of the bathtub geometry as an alternative conceptual model are listed below. This analysis focuses on the response of the WP and the assumptions are independent of the presence or absence of backfill in the EBS.

5.4.1 The seepage inflow rate, waste form dissolution rate, and radionuclide solubility are independent of time.

This is a reasonable approach during the periods between climate changes, when groundwater conditions should be relatively constant. This assumption is used in Sections 6.6.1 and 6.6.2.

5.4.2 Kinetic effects are ignored in determining solubility limits for radionuclides.

This assumption implies that radionuclide concentration is uniform in the WP and determined by a maximum solubility limit or a maximum dissolution rate. This assumption also implies that radionuclide concentration in the WP is well-mixed and hence uniform. This assumption is used throughout Sections 6.6.1 and 6.6.2.

5.4.3 Once the bathtub has filled, steady state conditions prevail in the WP.

This assumption is consistent with the expectation that the groundwater influx equals the outflux after the bathtub has filled. This assumption is used throughout Sections 6.6.1 and 6.6.2.

5.4.4 The WP penetrations (patches or pits) are large enough that they provide no resistance to liquid inflow or outflow.

This assumption is consistent with the expectation that the groundwater influx equals the outflux after the bathtub has filled. This assumption is used in Sections 6.6.1.1, 6.6.1.2, 6.6.2.1, and 6.6.2.2.

5.4.5 The potential depletion of inventory is ignored.

This assumption is used throughout Section 6.6.

Secondary analyses in Section 6.6.2 consider a step change in seepage inflow rate, a step change in groundwater chemistry, or a different flow path geometry (i.e., alternative patch location). These alternatives require selective changes to the assumptions for the primary analyses. For example, the assumption of constant inflow rate for the primary analysis is modified for the alternative of changing inflow rate discussed in Section 6.6.2.1; however, all other assumptions remain the same and are applicable to the backfilled EBS or the nonbackfilled EBS.

5.5 USE OF DESIGN-BASED INFORMATION

Some of the information necessary for the model presented in this document consists of parameters and other descriptions based on the conceptual design considered at this time for the repository. This information includes dimensions, material amounts and properties, physical configuration of the drifts and their contents and material responses calculated from that information. These inputs are described in the following subsections.

5.5.1 Parameters describing features various aspects of the design of the subsurface facility are available only through design input transmittals (CRWMS M&O 1999c, CRWMS M&O 1999d, and CRWMS M&O 2000u). These parameters are used in Table 1 (Section 4.1) and Sections 6.1.1, 6.2.3 and 6.5.3. This information is related to the current design concept, and is subject to change if the design changes in the future. The justification for use of this design information is that it is the best available at this time and that it is part of the design which forms the basis for all repository-related analyses and models at this time.

6. ANALYSIS/MODEL

Given the complexity of the EBS and its components, it is useful to provide an overview of the EBS RT Abstraction for the TSPA-LA. This overview begins with a general description of the EBS components and is then divided into three parts: (1) the flow abstraction, (2) the transport abstraction, and (3) an abstraction for the thermal and mechanical response of the DS. Detailed

discussion of the flow abstraction for the DS and the WP is provided in Sections 6.2 and 6.3, respectively. Section 6.4 describes the radionuclide transport abstraction. Section 6.5 presents the analyses related to DS separation under thermal and mechanical processes.

Section 6.6 analyzes the response of an alternative conceptual model for flow through the WP. This alternative model, called the bathtub model, allows fluid to collect in the WP before being released. The results in Section 6.6 demonstrate that a “flow through” model is conservative relative to a bathtub model for most cases of interest to repository performance. The detailed discussions of the EBS RT Abstraction are therefore based on the flow through model, with the rationale for screening out the bathtub model given at the end of this section.

6.1 INTRODUCTION AND OVERVIEW

The EBS consists of a *drip shield (DS)*, quartz sand *backfill*, the *waste package (WP)* on an *emplacement pallet*, and an *invert* filled with crushed tuff. An engineered backfill material will not be placed around the DS in the current baseline design (CRWMS M&O 2000a); however, sensitivity studies for the TSPA-SR will consider the system performance with a quartz sand backfill in the EBS. Each of the components of the EBS is designed to prevent or delay the mobilization and release of radionuclides into the geologic environment. For example, the DS is designed to redirect any seepage that flows into the drift away from the WP. This is beneficial for system performance because the WP lifetime is much greater in a dry rather than a wet environment. The invert supports the WP and emplacement pallet. It can act as a barrier to diffusive transport of radionuclides in liquids if the liquid saturation in the crushed tuff is low. The quartz sand backfill, if present, is designed to cushion the impact from potential rockfalls on the DS and WP. Figure 1 presents a typical cross-section of an emplacement drift and the major components of the EBS without backfill.

The DS is fabricated from a highly corrosion resistant material (titanium) to provide long-term effectiveness. The WP is (partly) fabricated from another highly corrosion resistant material, nickel-based Alloy 22. The major corrosive processes are stress corrosion cracking in the welded lids of the WP and general corrosion for both the DS and WP.

Before the drip shield fails, the only possible fluid pathway to the WP is from water vapor evaporating from the invert, condensing on the inside of the DS, and then dripping down onto the WP. This pathway is hypothetical because thermal-hydrologic calculations indicate that condensation will not occur; however, it is retained in the EBS RT Abstraction for completeness.

Once the DS fails, the evaporative flux (if condensation occurs) will be augmented by the seepage flux from the roof of the drift and potentially by the capillary flux through the backfill, if backfill is present. Without backfill, the seepage flux will flow along the surface of the DS and will drip onto the WP if the seepage encounters a breach in the DS. If backfill is present, it will fall through any gaps or patches of corroded material in the DS, partly filling any space around the WP. Note that the cross-sectional area between the DS and WP is much less than the cross-sectional area of backfill. The backfill is therefore likely to form a continuous flow path between the backfill outside the DS and the WP inside the DS. When this occurs, water may flow onto the WP because of capillary forces in the backfill. These capillary forces will be substantial for a backfill material with small particulate sizes, such as a quartz sand.

If the backfill is a coarse material that acts as a capillary barrier, then the dominant flux into the EBS will be the seepage flux from the roof of the drift. Again, the DS must fail for this flux to fall or drip onto the WP through gaps between adjacent DSs or through patches in the DS. It is possible that DS failure may occur over the gap between adjacent WPs. This is a reasonable scenario because the overlap between adjacent DSs is located over the gap and this overlap is a potential leakage pathway. If the DS fails at the gap, the seepage flux or the backfill will fall directly to the invert, possibly avoiding the WP and channeling flow directly into the invert. The possibility that DS failure can occur over a gap, allowing fluid to bypass the WP, is conservatively ignored in the EBS RT Abstraction.

Figure 1. Schematic Diagram of a Typical Emplacement Drift and the Major Components of the EBS

After the WP fails, fluid can enter the WP, mobilize radionuclides in the waste form, and transport these radionuclides into the UZ. Diffusion is the primary transport mechanism at early times, when stress corrosion cracks (SCCs) are anticipated to be the only penetrations through

the WP. Advective transport is also possible at late times, when substantial advective fluxes can pass through the corroded patches of the DS and WP.

6.1.1 EBS Flow Abstraction

The EBS has two potential sources of inflow. The first source is the seepage flux that drips from the crown (roof) of the drift. The second source is the capillary flux generated by the wicking forces between the rock fractures and the quartz sand backfill. Both fluxes are driven by downward infiltration through the existing fracture system at Yucca Mountain. Only the seepage flux will exist for the current repository design, without backfill.

The seepage flux is conceptualized to flow from discrete fractures above the roof of the drift, falling vertically downward. The seepage flux will be represented in the TSPA-LA model through an existing abstraction (CRWMS M&O 2000i). For the backfilled EBS, the capillary flux is conceptualized to be “wicked” from the fractures in the host rock in direct contact with the backfill. In effect, the fine-grained backfill draws the liquid from the fractures on the sides of the drift and passes it into the lower walls and floor of the drift. The capillary flux will be represented in sensitivity studies for the TSPA-SR and TSPA-LA models through an abstraction of thermal-hydrologic calculations of backfilled repository response generated with NUFT (CRWMS M&O 2000j).

These sources of inflow can flow through the EBS along 9 pathways, as shown in Figure 2.

Figure 2. Schematic of the Potential Flow Pathways in the EBS

The nine pathways are:

1. Seepage flux. This is the dripping flux from the crown (roof) of the drift.
2. Capillary flux. This is the flux that is wicked into the drift due to the capillary forces between the fine-grained backfill and the fluid-filled fractures in the host rock. This flux is nonzero only if an engineered backfill material is placed around the DS.
3. Flux through the DS. The flux through the DS is based on the presence of patches due to general corrosion and gaps between adjacent DSs due to seismic events. The number of patches through the DS is calculated independently of the EBS RT Abstraction by the WAPDEG code. The nominal size of a patch is fixed for the WAPDEG calculations; it is currently defined to be $7.21 \times 10^4 \text{ mm}^2$, based on the equivalent area of 500 nodes on the surface of the DS (CRWMS M&O 2000b). The fluid flux through any patches is given by the ratio of the axial length of the penetration(s) in the DS to the total axial length of the DS (see Section 6.2.4).
4. Diversion around the DS. The portion of the flux that does not flow through the DS is assumed to bypass the EBS (per assumption 5.1.12), going straight into the UZ.
5. Flux through the WP. The flux through the WP is based on the presence of SCCs and on patches due to general corrosion. The number of patches and SCCs through the WP is calculated independently of the EBS RT Abstraction by the WAPDEG code (CRWMS M&O 1998c). The nominal size of a patch is fixed for the WAPDEG calculations; it is currently defined to be $2.346 \times 10^4 \text{ mm}^2$, based on the equivalent area of 1000 nodes on the surface of the WP (CRWMS M&O 2000b). The area of each SCC, $4.08 \times 10^{-6} \text{ m}^2$, is calculated in Section 6.3.1.2.1. The flux through patches or SCCs is based on ratios of the appropriate flow lengths for the surface of the WP or for the lid and skirt of the WP, respectively (see Section 6.3.2).
6. Diversion around the WP. The portion of the flux that does not flow into the WP is assumed to bypass the waste form (per assumption 5.1.12), going straight into the invert.
7. Evaporative flux. The magnitude of the evaporative flux from the invert is based on the abstraction of NUFT data. If the DS is cooler than the invert, then all the evaporative flux is assumed to drip on the WP (per assumption 5.1.3). If the DS is hotter than the invert, then there is no dripping on the WP from the evaporative flux.
8. Flux to the invert. All flux from the WP flows to the invert, independent of patch/pit location on the WP. The presence of the emplacement pallet is ignored.
9. Flux to the UZ. All mass flux into the invert is released into the UZ.

It is important to note that these pathways are time dependent, in the sense that DS gaps, DS penetrations, and WP penetrations will vary with time and local conditions in the repository. For example, at very early times there may be no penetrations through the DS, so fluid can reach the WP only if pathway 7, evaporation from the invert and condensation on the DS, is active.

The conceptual model for flow through the EBS also includes two mixing cells: one for the WP (and internals) and a second for the invert. The two mixing cells are conceptualized to have a cylindrical, concentric one-dimensional geometry for volume calculations. The first cell has a diameter given by the diameter of the WP. The second cell (invert) wraps around the lower half of the WP and is 0.606 meters thick (CRWMS M&O 1999d). This is the maximum thickness of the invert directly beneath the WP (see Table 1). This value is appropriate because flow out of the WP is primarily vertically downward, centered over the thickest part of the invert.

The WP mixing cell represents the source term for the TSPA-LA. Source term abstractions for radionuclide solubility, dissolution rate, cladding response and inventory by waste package type are defined in other AMRs. The source term represents input data or boundary conditions for the EBS RT Abstraction and is not discussed in this document.

The final output from the EBS RT Abstraction is the mass flux of radionuclides from the EBS into the UZ. Note that the diversionary flows from the DS and WP (pathways 4 and 6) are not required outputs from the EBS RT Abstraction and are ignored by the TSPA-SR and TSPA-LA models. The parameters and formulas for calculating the fluxes in the various pathways are summarized in Table 3.

Table 3. Summary of Parameters for EBS Flow Pathways

Flow Pathway	Flow Parameters	Data Sources & Notes
1. Seepage flux, F_1	Seepage flux is a function of fracture properties, rock properties, and the percolation flux.	(CRWMS M&O 2000i) provides time-dependent and location-dependent values of seepage flux.
2. Capillary flux, F_2	Capillary flux is a function of the moisture potential, ϕ_{μ} , of the quartz sand backfill, the infiltration flux, and the fracture properties of the host rock. Capillary flux into the EBS is reduced by a factor, α . This factor is chosen between 0 and 1 to reflect the differences in capillary flux for various design options, including the option for zero flux when there is no backfill in the EBS.	ϕ_{μ} , T and RH will be abstracted from calculations with the NUFT code (CRWMS M&O 2000j). T is temperature and RH is relative humidity.
3. Flux through the DS, F_3	L_{DS_Patch} – axial length of patches due to general corrosion of Ti L_{DS_Pit} – 0 because pH<10 L_{DS_SCC} – probably 0 for Ti L_{DS_Gap} – axial length of total gap in the DS $F_3 = (F_1 + \alpha F_2) \times (L_{DS_SCC} + L_{DS_Patch} + L_{DS_Pit} + L_{DS_Gap}) / L_{DS}$ L_{DS} is the axial length of the DS. α is a factor between 0 and 1 based on the design and backfill properties;	WAPDEG (CRWMS M&O 1998c) will provide the number of patches, pits and SCCs on the DS; Patch size is $7.21 \times 10^4 \text{ mm}^2$ (CRWMS M&O 2000b); $L_{DS_Patch} = (7.21 \times 10^4)^{0.5} = 269 \text{ mm}$ L_{DS_Gap} is calculated from a seismic response model (see Section 6.5.4) Limit F_3 such that $F_3 \leq (F_1 + F_2)$.
4. Diversion around DS, F_4	$F_4 = F_1 + \alpha F_2 - F_3$.	Continuity of liquid flux. Limit F_4 such that $F_4 \geq 0$.
5. Flux into the WP, F_5	L_{WP_Patch} – axial length of all patches due to general corrosion of Alloy 22 L_{WP_Pit} – probably 0 for Alloy 22 L_{WP_SCC} – projected axial length of closure lid directly exposed to fluid flux $F_5 = (F_3 + F_7) \times (L_{WP_Patch} + L_{WP_Pit}) / L_{WP} + (F_3 + F_7) \times (L_{WP_SCC}) / (L_{WP} + L_{WP_SCC})$ for the baseline design (no backfill); $F_5 = (F_3 + F_7) \times (L_{WP_Patch} + L_{WP_Pit}) / L_{WP} + F_7 (L_{WP_SCC}) / (L_{WP} + L_{WP_SCC})$ for a backfilled EBS. L_{WP} is the axial length of the WP.	WAPDEG will provide the number of patches, pits and SCCs on the WP; patch size is constant: $2.346 \times 10^4 \text{ mm}^2$ (CRWMS M&O 2000b); $L_{WP_Patch} = (2.346 \times 10^4)^{0.5} = 153 \text{ mm}$ L_{WP_SCC} is calculated based on the maximum tilt angle of the WP and the diameter of the closure lid (see Section 6.3.2) Limit F_5 such that $F_5 \leq F_3 + F_7$.
6. Diversion around the WP, F_6	$F_6 = F_3 + F_7 - F_5$	Continuity of liquid flux. Limit F_6 such that $F_6 \geq 0$.
7. Evaporative flux, F_7	If $T_{DS} < T_{Invert}$, then F_7 is the evaporative flux calculated by NUFT; else $F_7 = 0$.	Data for temperatures and evaporative flux are based on an abstraction of NUFT calculations (CRWMS M&O 2000j).
8. Flux to the invert, F_8	$F_8 = F_5$	Steady state, flow-through assumption for WP (outflow = inflow in steady state; this is conservative for release; see Section 6.6)

Flow Pathway	Flow Parameters	Data Sources & Notes
9. Flux to the UZ, F_9	$F_9 = F_6 + F_8 - F_7$	Steady state flow-through assumption for invert. Consistent with flow-through assumption for WP. Note that only F_8 can transport radionuclides through the invert.

6.1.2 EBS Transport Abstraction

The waste form is the source of all radionuclides considered for the EBS. Radionuclides can be transported downward, through the invert and into the UZ, as shown in Figure 3. Transport can occur through advection when there is a fluid flux through the WP and invert. Transport can also occur by diffusion, even in the absence of a liquid flux, if there is a continuous liquid pathway via thin films in the waste form, in SCCs in the lid of the WP, and in the invert.

Figure 3. Schematic of the Transport Pathways in the EBS

The advection-dispersion equation for transport of nonreactive constituents in a homogeneous medium with one-dimensional flow is given by (Freeze and Cherry 1979, pp. 389-390 and Appendix X):

$$D^* \frac{\partial^2 C}{\partial x^2} - \bar{v} \frac{\partial C}{\partial x} = \frac{\partial C}{\partial t}, \quad (\text{Eq. 6.1.2-1})$$

where C is the solute concentration [M/L^3], D^* is the coefficient of molecular diffusion in Freeze and Cherry [L^2/T], $\bar{v}$ is the average linear water velocity [L/T], and x is the one-dimensional coordinate [L]. This equation assumes that dispersivity and retardation are negligible, consistent with the assumptions 5.2.3 and 5.2.7. The first term on the left-hand side of equation 6.1.2-1 corresponds to transport by diffusion; the second term on the left-hand side corresponds to transport by advection. Table 4 summarizes the transport modes and transport parameters for the two transport pathways in the EBS

Table 4. Summary of Transport Modes and Parameters for the EBS Transport Pathways

Transport Pathway	Transport Modes	Transport Parameters and Data Sources
8. WP to invert (F_8)	Diffusion through SCCs (no advective transport through SCCs); Diffusion and advection through patches; Diffusion and advection through pits (no pits are expected in Alloy 22)	Fluid flux for advection = F_8 ; Diffusive area for each SCC is given by $4.08 \times 10^{-6} \text{ m}^2$ (see Sections 6.3.1.2.1 and 6.4.3); Diffusive area for each patch is $2.346 \times 10^4 \text{ (mm)}^2$ (1000 nodes on the surface of the WP); Diffusive length in WP is 135 mm to 185 mm depending on WP type (see Section 6.4.3) Diffusion coefficient (all radionuclides): - $2.299 \times 10^{-5} \text{ cm}^2/\text{s}$ at 25°C (see Section 6.4.1.1) - Corrected for porosity and saturation by $\phi^{1.3}s^{1.849}$, where ϕ is porosity and s is the liquid saturation (see Section 6.4.1.2). - Temperature correction defined in Section 6.4.1.3 - Reduced by a factor of 100 if radionuclide is bound to a colloid (see Section 6.4.2).
9. Invert to UZ (F_9)	Diffusion and advection through the invert; Flow cross-sectional areas given by: $A_{\text{invert}} = \pi(R_{\text{WP}})L_{\text{WP}}$ $A_{\text{UZ}} = \pi(R_{\text{WP}} + \Delta r_{\text{invert}})L_{\text{WP}}$ where R_{WP} is radius of WP, L_{WP} is length of WP, and Δr_{invert} is the thickness of the invert (0.606 m)	Fluid flux for advection = $F_9 = F_8$; Diffusive length = 0.606 m (max thickness of invert; see Table 1); Diffusion coefficient: - $2.299 \times 10^{-5} \text{ cm}^2/\text{s}$ at 25°C (see Section 6.4.1.1); - Corrected for porosity and saturation by $\phi^{1.3}s^{1.849}$, where ϕ is porosity and s is the liquid saturation (see Section 6.4.1.2). - Temperature correction defined in Section 6.4.1.3; - Reduced by a factor of 100 if radionuclide is bound to a colloid (see Section 6.4.2). The flow cross-sectional areas, A_{invert} and A_{UZ} , assume a cylindrical geometry corresponding to the low half of the WP lying on the invert. A_{invert} is one-half the surface area of the WP and A_{UZ} is the corresponding surface area at a radius equal to the radius of the WP plus the thickness of the invert. The invert diffusion calculation uses radionuclide concentrations in the WP as the boundary condition at the top of the invert and a swept away (effectively zero) radionuclide concentration at the interface with the UZ.

For the nonbackfilled EBS, there will be no upward transport of radionuclides because there is no solid medium with a liquid pathway above the DS. For the backfilled EBS, it is anticipated that there will be negligible upward transport through the quartz sand backfill under any conditions. Upward diffusion through the backfill is impossible before the DS fails because a continuous flow path does not exist between the WP and backfill. After the DS fails, upward diffusion will be negligible in comparison to the downward advective flux through the DS. (It is theoretically possible that radionuclides can follow a “U” shaped flow pattern in the backfill. In the pattern, radionuclides could move downward into the invert, laterally beyond the DS and upward into the backfill. Transport along this pathway will be negligible because the capillary flux downward through the backfill should dominate any upward diffusion from the invert.)

Colloid-facilitated transport of radionuclides is included as an additional source term for the EBS RT Abstraction. Radionuclide transport from the WP occurs in a fluid containing colloids and dissolved radionuclides. There are three types of colloids in the EBS: (a) waste form colloids, (b) colloids due to corrosion products, and (c) groundwater colloids. The waste form colloids

may have irreversibly attached (embedded) or reversibly attached (sorbed) radionuclides. The corrosion and groundwater colloids may have reversibly attached radionuclides.

The diffusion coefficient in the invert is based on the self-diffusion coefficient of water at 25°C as a bounding value for all radionuclides. The effects of porosity, liquid saturation and temperature are also included in calculating the diffusion coefficient.

The corrosion products from the WP and spent fuels have the potential to be strong sorbers for the actinides. Including sorption in the WP and invert will be beneficial to performance because this process can retain radionuclides in the EBS and delay release to the UZ. However, the effects of retardation are conservatively ignored in the EBS RT Abstraction.

6.1.3 Thermal and Mechanical Abstraction for Drip Shield Response

The thermal and mechanical response of the DS has been evaluated for five mechanisms: (1) thermal expansion, (2) floor heave, (3) rock fall, (4) seismic response, and (5) emplacement pallet failure.

Thermal expansion, floor heave and rock fall will produce minor structural response in relation to the potential slippage or overlap between adjacent DSs for the as-emplaced DS configuration. These mechanisms have therefore been screened out from the TSPA-SR, as explained in Sections 6.5.1, 6.5.2, and 6.5.3.

Seismic response has also been screened out, as discussed in Section 6.5.4. The DS design includes strong posts that will provide a positive mechanical connection between adjacent DSs. With this mechanical connection, seismically-induced separation between adjacent DSs is very unlikely until the DS fails catastrophically from general corrosion. Analyses for the TSPA-LA will evaluate the structural response of the DSs to the design basis earthquake.

Emplacement pallet failure has the potential to shift the DS if the WP falls to the invert and rolls into contact with a DS. Emplacement pallet failure is very likely from general corrosion during long time periods. In addition, failure is even more likely during an earthquake, when the ground motions may increase the load on the emplacement pallet and impart additional momentum to the WP. The presence of posts that mate with holes in the DS connector plate provide additional stability to the system of overlapping DSs. Given this additional stability, the response to pallet failure is also screened out for the TSPA-SR until results from seismic analyses for the TSPA-LA are available (see Section 6.5.5).

6.2 FLUX IN THE BACKFILL & THROUGH THE DRIP SHIELD ($F_1 - F_4$)

6.2.1 Water Movement Into and Through a Drift (F_1 , F_2 , and F_4)

Water movement from the land surface and down through the UZ at Yucca Mountain is conceptualized to occur through a system of fractures (Liu et al., 1998). Simulations of water movement through the mountain yield estimates of percolation fluxes in the vicinity of the emplacement drifts that are a function of drift location, the geologic unit in which the drift resides, and the climate which varies over time (CRWMS M&O 2000k). Consideration of the

interactions between water moving through the mountain and the EBS form the basis of this abstraction for performance assessment.

The basic EBS design concept is shown in Figure 1 (CRWMS M&O 2000a). The drifts are 5.5 m in diameter. The bottom of the drift, commonly referred to as the invert, is filled with a ballast material of crushed tuff. The WPs are to be placed on emplacement pallets that hold them in place above the invert. A titanium DS surrounds the WPs. The space between the WP and the DS, which will be referred to as the axial space, is designed to remain air filled. The current repository design does not include an engineered backfill material (CRWMS M&O 2000a). The previous design concept used Overton sand, a fine to medium grained sand, as the backfill material.

At early times, any water that enters the drift is vaporized and expelled due to the heat output of the WPs. According to modeling of water movement through the EBS (CRWMS M&O 2000l), much of the water that enters the drift remains as liquid once thermal output has subsided after approximately 3,000 years. With the nonbackfilled EBS, this water can drip onto the DS and is diverted around the WP, into the invert. For the backfilled EBS, this water migrates through the backfill and exits through the bottom portion of the drift.

Water enters the drift by either one of two mechanisms – by seepage from the roof of the drift or by wicking inward from the sides of the drift if backfill is present. In this section, each of these mechanisms is considered in turn, followed by a discussion of water diversion around the DS.

6.2.1.1 Seepage Flux (F_1)

The *Seepage Models for PA Including Drift Collapse* (CRWMS M&O 2000k) presents results of drift-scale UZ flow modeling of the interaction between host rock containing a fracture continuum and a drift for a variety of percolation flux rates and several sets of representative host rock hydraulic parameters. The seepage flux was found to be related to the percolation flux, as expected. However, the air-filled space beneath the roof of the drift acts as a capillary barrier that diverts water around the drift and limits seepage. These findings are consistent with theory for seepage exclusion around cylindrical cavities introduced by Philip et al. (1989). They showed that for given capillary properties of the host rock and a given drift diameter, there exists a critical percolation flux beneath which water will not enter the drift. Indeed, the drift-scale UZ flow modeling results show a propensity for flow to diverge around the drifts.

Abstraction of Drift Seepage (CRWMS M&O 2000i) provides the rationale for calculating the seepage flux into the repository, accounting for thermal effects and spatial variability. Here, the fraction of drifts that allow water seepage as a function of infiltration are given as a function of percolation rate. Across the range of percolation fluxes expected, a large majority of the drifts remain dry.

6.2.1.2 Capillary Flux (F_2)

For the backfilled EBS, the high capillarity of the Overton sand relative to the flowing fractures in the adjacent host rock results in the potential for backfill to wick water from the host rock. This capillary wicking effect can result in a focussing of flow through the backfill. In fact, results of thermal-hydrologic simulations of EBS flow using NUFT (CRWMS M&O 2000l)

show water fluxes through the backfill that are several times the percolation flux. Further, this modeling yields capillary pressure gradients that indicate flow of water is from the host rock into the backfill. The response to wicking is in marked contrast to the seepage from the roof, where the cylindrical shape of the roof diverts water and only a subset of the drifts permit seepage. With wicking, the effect of the capillarity-induced wicking flux permits appreciable water fluxes through the backfill surrounding every WP.

There is speculation as to whether sufficient connection exists between flowing fractures in the adjacent host rock and the backfill for wicking to occur. The NUFT simulations assume sufficient connection for there to be equilibrium in regard to pressures between the backfill and the host rock (see assumption 5.1.1). Until this issue is studied in more detail, the potential for wicking flux is included in the PA calculations.

6.2.1.3 Diversion Around The Drip Shield (F₄)

The DS has been designed to divert liquid water that may enter the drift away from the WP. If the DS works as designed (this issue is discussed in detail below), it then acts as a no flow boundary. Any seepage that enters the drift moves downward under the force of gravity. Upon being deflected by the DS, it continues to move downward through the invert and into the UZ. For the nonbackfilled EBS, the diversion around the DS occurs as thin films or droplets and any flow that enters the invert will be concentrated at the sides of the DS while the DS is intact. For the backfilled EBS, the diversion around the drip shield is a multi-dimensional flow through a porous medium (the backfill). This flow is driven by gravity, by capillary forces in the backfill, and by capillary forces within the fractures adjacent to the emplacement drifts. Flow into the invert will be more uniformly distributed along the backfill-invert interface than for the nonbackfilled EBS.

For the backfilled EBS, water that enters the backfill by capillary wicking moves laterally under capillary pressure gradients toward the DS, and downward under gravity. In the absence of appreciable seepage from the roof, capillary forces can wick small amounts of water upward into the backfill above the DS. (The flow models are symmetric about the centerline of the drift, so flow cannot cross from the right-hand side to the left-hand side of a drift. Rather, the potential flow path is laterally upward, from the sides of the drift toward the centerline, followed by a turn so flow moves downward along the center line, around the top of the drip shield and downward again along the vertical side of the DS without crossing the centerline.) Liquid saturation levels may become elevated at the backfill/invert interface if the (coarse) crushed tuff in the invert acts as a capillary barrier relative to the (finer) sand backfill; however, this is probably a localized effect for the overall capillary flow through the EBS.

When backfill is present, the small amount of liquid directly above the DS will tend to evaporate until the thermal output from the WP becomes negligible. The NUFT simulations show that the flux near the top of the DS is relatively small due to the comparatively long flow path over which the capillary pressure drop is spread. Much higher flux rates are seen along the side of the DS, below the spring line, because of the shorter, more direct flow path from the walls of the drift. (The spring line refers to the line through the two points on the drift wall that are 90° around from the crown (topmost point) of the drift. It represents the widest span across the drift at its mid-height.)

As water migrates downward around the DS, it encounters the invert. For the nonbackfilled EBS, the diversion around the DS probably occurs as droplets and thin films. This diversion will be concentrated along the sides of the DS while it is intact. If backfill is present, the diversion around the DS will act like a flow in a porous medium. In addition, a fine textured backfill overlying the coarse textured invert creates a capillary barrier to flow. Local values for saturation and pressure above the invert increase until sufficient to overcome the capillary forces of the backfill and drive water into the invert. A capillary fringe is then created in the backfill above the invert. The majority of water migrates through the invert although the buildup of the capillary fringe creates sufficient pressure for some portion of the water to migrate back into the host rock directly. Once in the invert, water migrates quickly through, probably as fingered flow into the host rock at the bottom of the drift.

The algorithm for calculating the flux diversion around a breached drip shield is discussed in Section 6.2.4.

6.2.2 Drip Shield Effectiveness

Design drawings for the DS are given in sketch SK-0148 REV05 (CRWMS M&O 2000c, Attachment II). The DS has roughly the shape of a mailbox with vertical sides and a top section that is curved for strength and to shed water. On one end, a DS connector guide and two mating posts are attached to the top of the curved section. The connector guide is a square rib, 50 mm wide, that is attached to and extends across the curved top section. This rib provides extra stiffness to the end of the DS and can deflect seepage down the sides of the DS. Each post is shaped like a truncated cone that is wider at its base and tapers to a diameter of 100 mm across the top. On the other end of the DS, a connector plate is attached. The connector plate is 15 mm thick and has two, 119 mm diameter holes that mate with the posts of the adjacent DS. The connector plate also has two square ribs, approximately 300 mm apart, that are attached to the underside of the connector plate. Each rib is 50 mm wide.

Adjacent DSs are interlocked with one another. This is accomplished by lowering the connector plate of one DS over the upward extending guide rib of the previously emplaced DS such that the posts mate with the holes in the DS connector plate. The overlap between adjacent DSs will be 595 mm (see Attachment I).

The gaps that will exist between DSs in this interlocking design can, potentially, provide a pathway for water to penetrate the DS system. The potential for such leakage under design conditions is considered here, followed by consideration of the ways in which the integrity of the DS might become compromised. This discussion is limited to considering the top of the DS because it is reasonable that any water entering the contact between DSs from the side would simply flow down the vertical sides of the DSs, never contacting the waste.

Three lines of reasoning support the contention that the DS will be successful in excluding liquid water migration from the backfill to the WP under design conditions: analogy, geometry, and experimentation.

Analogy – When backfill is present, consider an extremely simplified and conservative abstraction of the current system, where the DS provides sufficient mechanical support to hold

the backfill in place while providing no barrier to water flow. Such a system would be analogous to the top of the drift -- a geologic medium that transmits water above a cylindrical, air-filled cavern. As stated above, such a system diverts water around the air-filled cavern. The extent to which the system completely excludes water is a function of the seepage flux, the capillarity of the geologic medium, and the diameter (Philip et al., 1989). In comparison with the drift roof, the backfill has greater capillarity and the DS has a smaller diameter and receives a smaller flux - all of which favor the exclusion of water. (Due to diversion of flow, the seepage flux is always less than the focussed percolation flux above the drift. Based on results of the NUFT calculations (CRWMS M&O 2000i), the flux at the top of the drip shield due to capillary wicking tends to be quite small compared to the seepage flux.)

Geometry – Now consider a more realistic system where the titanium walls of the DS form a barrier to flow. Water flux through the DS will now be limited to the gap where adjacent DSs interlock. If extremely high seepage flux conditions exist, then the flow can be driven into this gap. First, the water must travel laterally 595 mm to get beyond the overlap between the DSs. As this water travels, it must remain precisely along the crown of this gap between the DSs. If there is any deviation, the sloping sides of the DS impose gravity forces that will cause the water to flow down the sides and into the invert. Second, the upward extending guide rib provides a barrier to flow along the crown. Sufficient water pressure must be provided to push water up and over this barrier. Furthermore, the guide ribs provide surfaces of contact with the DS and the connector plate. These contact surfaces maintain continuity down along the sloping sides of the top portion of the DS. These contacting surfaces will act akin to fractures in the sense that they impart capillarity and are able to transmit water. Any water reaching this point would run down the contact between the DSs. Note also that the air-filled voids (having no capillarity) in between and beyond the guide ribs provide an additional barrier to flow. This analogy is appropriate for both the nonbackfilled EBS and the backfilled EBS.

Experimentation -- The first quarter-scale test of the DS conducted to date at the Atlas facility (Howard 2000) provide additional evidence. This first test was conducted without backfill; water was dripped onto the DS from the roof of the drift. Without the benefit of the capillarity of the backfill to help divert water and with a simplified DS design (overlapping but not interlocking), water did not penetrate the DS. Some water did, however, flow as a thin film within the overlap between the DSs. Nevertheless, no dripping occurred inside the DS and the WP remained dry.

Additional flow modeling and experimental studies with high seepage fluxes are recommended to provide quantitative evidence demonstrating the effectiveness of the DS.

6.2.3 Drip Shield Breaching

The advective flow of water into the EBS has been shown to be effectively segregated from the WPs as long as the integrity of the DS is maintained. Once holes form in the DS or adjacent DSs separate, seepage can drip through onto the WP for the nonbackfilled EBS or the air-filled space between the DS and the WP is assumed to fill with backfill if it is present. The consequence of DS failure is that a portion of the water flux through the backfill now migrates through the DS and comes into contact with the WP.

6.2.3.1 Breaching Mechanisms

The thermal and mechanical response of the DS may produce gaps between adjacent sections of DS. These breaching mechanisms are analyzed in Section 6.5.

6.2.3.2 Sand Filling of a Breached Drip Shield (Backfilled EBS)

Once the integrity of the DS is compromised, the gas-filled space or gap between the DS and the WP may become filled with backfill. While the angle of repose of the backfill might limit its entry into the gap, the potential for repeated seismic events makes it unlikely that backfill will not be able to fill most if not all of the gap volume.

The main reason for assuming that backfill sand fills the gap (per assumption 5.1.6) is to have a bounding model for flow through the EBS. Having sand fill the gap space creates a connected pathway from the backfill through the breaches in the DS to the WP. A connected sand pathway creates a capillary flow path that channels flow through the DS and onto the WP. In addition, the capillary barrier created by having an air-filled gap under the DS no longer exists if there is a connected sand pathway through the DS.

It is also reasonable that at least some of the gap volume will be filled with backfill because the volume of backfill is much greater than the gap volume. These volumes (per unit length) are equal to the cross-sectional areas. The gap cross-sectional area around a 21-PWR WP can be calculated as follows. The area under the DS can be bounded as the width at its base times the height to the crown of the DS. The width at the base is given by $(334 + 1644 + 334) = 2312$ mm and the height at the crown is given by $(1834 + 582) = 2416$ mm. The total area beneath the DS is then less than their product, 5.59 m^3 . Subtracting the cross-sectional area of the 1644-mm diameter WP, 2.12 m^3 , leaves a gap cross-sectional area of 3.46 m^3 between WP and DS. All dimensions are based on sketch SK-0154 REV 02 in CRWMS M&O 2000c; the invert is assumed to be a barrier for the backfill but the presence of the emplacement pallet is ignored. Compare this with the cross-sectional area of the backfill, which has been computed to be 13.23 m^2 (CRWMS M&O 1999d, page 3 of 3). The amount of available backfill is then almost 4 times greater than the gap volume (per axial unit of length), so there is ample backfill to at least partly fill the gap volume once the DS is breached.

An alternative approach is to consider the mechanistic details of how sand might fall into the gap beneath the DS for the purpose of exploring potential limits to the connectedness between sand above the DS and sand that has fallen through the DS. The attempt to limit connectedness might include arguments about the height of the penetrations in the DS relative to the elevation of the WP, the presence of separations between WPs that could allow backfill to fall directly to the invert, the angle of repose for sand (especially wet sand), or arguments considering the minimum dimensions for breaches to the DS required for sand to fall through. Further, geologic cementation processes in the backfill might limit the ability of sand to fall through the breached DS if breaching were to occur at sufficiently late times after closure.

However, the likelihood of recurring seismicity over the long time scales of the performance assessment will help to shake sand through any opening in the DS and make arguments about angles of repose for sand untenable. It is then unlikely that the sand backfill will be unable to fall

through the penetrations from general corrosion. The significant uncertainties regarding rates of cementation make it difficult to limit sand migration into the gap beneath the DS due to cementation. Finally, the randomness of patch location on the DS and the relatively small separation between adjacent WPs relative to their length imply that patch location and WP separation are unlikely to prevent at least some connectivity across the DS.

Furthermore, angle of repose arguments are a double-edged sword because slope in the backfill created by the angle of repose may also be used to support a focussing of water flow. The process of spilling sand through a breach in the DS creates crossbedding (similar to that seen on the lee side of a sand dune). This crossbedding (micro-scale laminations of alternating coarse and fine textures aligned parallel to the slope) creates, in effect, a series of small-scale capillary barriers. This results in a preference for flow to occur parallel to slope and toward the breached feature. McCord et al. (1991 and 1997) have demonstrated the effect of hillslope and crossbedding under stable flow conditions. Also, Glass and Nicholl (1996) used a lab experiment to show the effect of crossbedding for fingered (unstable) flow. The strength of texture variations across laminae is inversely proportional to the degree of sorting. The well-sorted nature of the Overton sand (CRWMS M&O 2000m) implies that the capillary effect of crossbedding will be somewhat minimized.

In summary, attempting to limit the connectedness of sand through the DS and to maintain the capillary barrier of the gap is not defensible and might require adding significant complexity to the analysis. In contrast, invoking the assumption of sand completely filling the axial space upon DS breaching (per assumption 5.1.6) is a reasonable bound and makes the analysis of water flux through the DS relatively straightforward, as discussed in Section 6.2.4.

6.2.4 Water Flux Through and Around a Breached Drip Shield (F_3 and F_4)

Once the DS has been breached, a portion of the water flux (F_3) will pass through the DS and have access to the WP. The flux through the backfill is assumed to be the sum of the seepage flux (F_1) and the flux due to capillary wicking (F_2) (per assumption 5.1.7). The seepage flux is supplied according to the *Abstraction of Drift Seepage* (CRWMS M&O 2000i) and is always present in the EBS. If the EBS is backfilled, the capillary wicking flux is also supplied from the NUFT abstraction as the flux at the side of the DS. (Actually, since NUFT invokes symmetry and simulates flow over one half of the drift, the capillary wicking flux is twice the calculated flux at the side of the DS.)

The total calculated flux onto the DS is probably conservative for the backfilled EBS. Since both the seepage flux and the capillary wicking flux are calculated in separate simulations, summing them can result in overestimating the flux through the backfill. For example, a non-negligible seepage flux entering from the top of the backfill will change the flow field, at least partly satiating the capillarity of the backfill and reducing the capillary wicking flux. However, this effect is not included in this EBS RT Abstraction.

- *A Note about the Seepage Flux with Backfill* – Because fracture flow is the dominant mechanism for flow in the host rock, water will necessarily come into the drift as point sources. In an extreme case, the entire seepage flux enters the drift through a single point source. Carrying this extreme case still further, it is possible that density unstable flow

conditions prevail and the seepage flux entering the backfill migrates as fingered flow. Conditions required for fingered flow are a function of the seepage flux, the capillarity of the backfill material, and the initial moisture content. The lower the seepage flux, the less capillarity, and the lower the initial moisture content, the higher the propensity for fingering. In comparison with uniform flow, the occurrence of fingered flow lowers the probability that the seepage flux will encounter multiple breaches in the DS, but the fluxes through the DS would be much higher if a finger encounters a breach.

The low probability/high consequence fingered flow events are likely to be averaged over the total repository for several reasons. First, the seepage flux is conceptualized to vary spatially over the approximately 10,000 WPs in the repository so that it is not always a single point source at a fixed location throughout time. Second, the presence of engineered backfill or natural backfill from rockfalls will tend to produce more uniform flow conditions with higher initial saturation in the backfill, reducing the likelihood of focussed flow. Third, the preliminary data on general corrosion of titanium shows that this process is not a function of direct liquid contact with the DS. Given these factors, the low probability/high consequence fingered flow events will be averaged out since seepage and breaches will vary spatially over the approximately 10,000 WPs in the repository. It therefore seems reasonable to consider uniform fluxes encountering the DS.

- *A Note about the Capillary Wicking Flux* – As a first order approximation, the flux at the side of the DS is used as a surrogate for the flux that would reach the WP due to capillary wicking in the absence of a DS. This is probably an overestimation. Extending the flow lines farther laterally to intersect the WP would likely result in fluxes lower than those seen at the side of the DS. Additional NUFT simulations could be undertaken to demonstrate this point. However, until such simulations are undertaken, the best surrogate available for flow is capillary flux through the DS.

As a reasonable approximation, the flux through the DS is scaled by the axial length of the DS penetration as compared to the total axial length of the DS. This approximation is conservative because it is equivalent to assuming that the penetration is at the side of the DS and intercepts fluid flux over all azimuthal angles at the relevant axial location (per assumption 5.1.9). The flux through the DS (F_3) is then given by:

$$F_3 = (F_1 + \alpha F_2) \frac{L_{DS_SCC} + L_{DS_Patch} + L_{DS_Pit} + L_{DS_Gap}}{L_{DS}}, \quad (\text{Eq. 6.2.4-1})$$

where F_1 is the seepage flux, F_2 is the capillary flux, L_{DS_SCC} is the total axial length of all SCCs in the DS, L_{DS_Patch} is the total axial length of all patches in the DS, L_{DS_Pit} is the total axial length of all pits in the DS, L_{DS_Gap} is the axial length of any gaps between adjacent DSs, and L_{DS} is the axial length of the DS. The parameter α is a factor between 0 and 1 based on the design and backfill properties; the rationale for including this parameter is discussed in Section 5.1 (see assumption 5.1.7). Equation 6.2.4-1 is applicable to the backfilled EBS and the nonbackfilled EBS.

Once the flux through the DS is known, the flux diverted around the DS is calculated assuming continuity of flow:

$$F_4 = F_1 + \alpha F_2 - F_3. \quad (\text{Eq. 6.2.4-2})$$

This quasi-static approach is consistent with assumption 5.1.12 and is applicable to the backfilled and the nonbackfilled EBS.

6.3 FLUX INTO AND AROUND THE WASTE PACKAGE ($F_5 - F_9$)

The conceptual model for the TSPA-SR is based on the presence of continuous flow paths through the patches, SCCs and pits that penetrate the WP. More specifically, the TSPA-SR conceptual model assumes that vertical flow of seepage into the WP, through the waste form and out of the WP is not impeded by the location of patches, SCCs and pits on the surface of the WP (see assumption 5.1.10). In other words, there is no long-term build-up and retention of liquid within the WP for flow and transport. There is also no significant resistance to the flow through the waste form. The TSPA-SR approach attempts to maximize the immediate release and mobilization of radionuclides, as explained in Section 6.6.

Radionuclides cannot be released from the WP if there are no openings through either the wall or lid of the WP. Section 6.3.1 describes the types of openings that can form, how and where they form, the timing of their formation, and the flow through these openings. The dimensions of these openings have implications for whether water is able to flow into or through the WP or whether transport out of the WP will be by advection and/or diffusion. Section 6.3.2 describes the flux of liquid around or through the WP. Section 6.3.3 describes the alternative pathway for liquid to reach the waste package; namely, evaporation from the invert and condensation on the inside of the DS can provide a source of liquid even when there are no openings in the DS. Section 6.3.4 describes the flux of liquid through the invert.

The flux abstraction for the WP is independent of the use of an engineered backfill around the DS. The algorithms in this section are therefore applicable to the backfilled and nonbackfilled EBS.

6.3.1 Breaching of the Waste Package

6.3.1.1 Waste Package Design

Although WPs vary depending on the waste form they contain, the majority of design features are shared in common. These commonalities are described here. The cylindrical WP is constructed with a double-shelled wall. The inner shell is a 5 cm thick shell of stainless steel that provides structural integrity for the WP. The outer shell is a 2 cm or 2.5 cm thick layer of Alloy 22 that provides resistance to corrosion. This cylinder is sealed with two lids that are welded onto the open end. The inner lid is composed of stainless steel and the outer lid is composed of Alloy 22. There is a 3 cm air gap between the inner and outer lids. All WPs also have a protective skirt that extends at least 24 cm beyond the lids. This skirt is an extension of the Alloy 22 outer shell. Design sketches of the 21-PWR and 44-BWR WPs are documented in CRWMS M&O 2000d. Design sketches of the short and long DHLW/DOE SNF WPs are documented in CRWMS M&O 2000e. Design sketches of the naval SNF WP are documented in CRWMS M&O 2000f.

The stainless steel inner layer of the WP is assumed to have no resistance to corrosion, forming an immediate flow pathway once the outer (Alloy 22) shell has been breached (per assumption 5.1.18). Similarly, it is assumed that the closure weld on the inner stainless steel lid has no resistance to corrosion and the inner lid has failed once the outer lid has failed.

6.3.1.2 Types of Openings

Two general types of openings can exist in the WP due to corrosion. These are (1) radial SCCs that penetrate the weld of the lid and (2) patches resulting from general corrosion. Each of these types of openings is discussed in turn below.

6.3.1.2.1 Stress Corrosion Cracks

SCCs can appear because of the residual tensile stresses generated during the process of welding the lids in place (it is not possible to anneal the final closure welds). SCCs may be important at early times because they can occur relatively quickly relative to the formation of a penetrating patch from general corrosion.

SCCs will typically form along two orientations. Radial stresses can generate circumferential cracks while hoop stresses can generate radial cracks. Only radial SCCs are considered in the EBS RT Abstraction because the formation of circumferential cracks that penetrate the thickness of the lid is highly unlikely. Cracks require the presence of tensile stress for initiation and propagation. Detailed finite-element analyses of the welding process demonstrate that only compressive radial stresses exist at the inner surface (CRWMS M&O 2000n, Fig. 11 - Profile 1-1). In this condition, circumferential cracks cannot propagate through the thickness of the lid weld and are therefore not considered in the EBS RT Abstraction.

Radial cracks are transverse to the weld and cannot be much longer than the weld width. A radial crack opening has an elliptical shape with length “ $2a$ ” and a gap “ δ ” (CRWMS M&O 2000n, Section 5). The equation given by Tada et al. (1973) can be used to calculate the gap, δ [L], for a crack with length $2a$ in an infinite sheet under plane stress load:

$$\delta = \frac{2(1-\nu^2)\sigma_a 2a}{E}, \quad (\text{Eq. 6.3.1-1})$$

where E is the modulus of elasticity [$M/L/T^2$], ν is Poisson's ratio [-], $2a$ is the crack length [L] and σ_a is the applied stress [$M/L/T^2$]. Appropriate values for E and ν for Alloy 22 are given in Table 1.

The residual tensile stress is higher on the outside surface than on the inside surface (DTN: LL000319905924.144). The resulting shape of the crack is then an ellipsoidal cone where $2a$ is the length of the long axis and δ_o and δ_i are the short axis lengths for the outside and inside surfaces, respectively. The depth d of the crack is taken to be the lid thickness. Figure 4 is a schematic diagram of the geometry of the ellipsoidal cone crack.

Figure 4. Schematic of the Dimensions for an Ellipsoidal Crack

Values of σ_a , the residual stress, and $2a$ are still subject to design changes and improved calculations; however a range of values can be estimated. One approach is to estimate the maximum length $2a$ of a radial crack based on the width of the heat-affected zone around the weld between lid and WP. The width of the heat-affected zone is estimated to be about twice the width of the weld or 0.50 inches (1.27 cm). A second approach is to identify the region of high residual stress from finite-element simulations. The width of the region of high residual stress on the outer surface of the lid is approximately 4 cm (Tang et al., 1999). Table 5 gives the calculated gap width, based on Equation 6.3.1-1, for both approaches based on typical residual stresses at the inner and outer surface of the lid for a 21 PWR WP (DTN: LL000319905924.144). The second approach is recommended for the EBS RT Abstraction because it is conservative relative to the first approach.

Table 5. Gap Width for a Range of Residual Stresses at 400°F (~200°C) in a 21-PWR Container

Parameter	Inner Surface	Outer Surface
Hoop stress	40 ksi	50 ksi
Gap width for a 1.27 cm long crack	33 μm	41 μm
Gap width for a 4 cm long crack	104 μm	130 μm

*1 ksi = 1,000 psi

The cross-sectional area of the SCC is important for transport by diffusion. The bounding (largest) cross-sectional area is defined by conditions at the outer surface of the 4 cm long crack. The area of this ellipse is πab , where $2a$ is 4 cm and b is one-half of the larger gap width on the last line in Table 5. The cross-sectional area is then $\pi(0.02 \text{ m})(65 \times 10^{-6} \text{ m})$ or $4.08 \times 10^{-6} \text{ m}^2$.

The width (gap) of the SCCs can also be used as an indicator to determine whether or not water can flow into the WP through a SCC. However, the potential for flow through a SCC may vary with dust or corrosion products in the crack and with the effects of temperature and mineral content on viscosity and surface tension. Given the complexity of this situation, it is reasonable to bound the response by assuming that any fluid that contacts a crack will flow through the crack and into the WP (per assumption 5.1.10 and 5.1.16). This fluid is also assumed to form a continuous liquid pathway for diffusive transport of radionuclides out of the WP (per assumption 5.2.8).

Film flow on the WP exists if condensation generates droplets on the inner surface of the DS or if the EBS design has no backfill. This dripping water is assumed to be capable of contacting an SCC only if the drip falls inside the skirt of the WP (see Figure 2 or 3). This is a reasonable limitation because of the effect of gravity and because all WPs are conservatively assumed to be

tilted at their maximum angle to provide a bounding estimate of the dripping flux inside the skirt (per assumption 5.1.15). Once a drop or thin film is inside the skirt, it will flow around and down the skirt into any SCCs. The EBS RT Abstraction conservatively assumes that all dripping flux inside the skirt enters the SCCs and WP, independent of film thickness (assumption 5.1.16).

The dripping flux has the greatest potential to fall inside the skirt if the WP is tilted upward. Possible mechanisms for tilting are emplacement pallet collapse due to corrosion or a seismic event that causes one end of the WP to fall off its emplacement pallet. The EBS RT Abstraction conservatively assumes that all WPs become tilted, lid-end upward, at the maximum angle possible. This maximum angle of tilt occurs when the skirt end of the WP is elevated to the height of the inside of the DS while the other end rests against the invert.

For the backfilled EBS, a capillary flux can reach a SCC only if the backfill forms a continuous pathway from the outer surface of the DS to the skirt. In effect, the free space around the WP and its skirt must fill with backfill to provide this flow pathway. The EBS flow abstraction conservatively assumes that, once there is a patch from general corrosion of the DS, this pathway forms and liquid can flow through the SCCs and wet the waste form.

Crack apertures will fill with corrosion products over time. The capillary forces in these corrosion products may then act as a Richard's barrier, preventing advective inflow through the crack if there is no fluid pathway through fine granular material between the two lids of the WP. The formation of corrosion products is conservatively neglected in considering flow into SCCs.

6.3.1.2.2 Patches from General Corrosion

The EBS design includes two corrosion-resistant materials, titanium and Alloy-22. The main corrosion mechanism for both materials is expected to be general corrosion, although research is continuing into the potential for pitting corrosion due to extreme near-field geochemical environments and for SCC due to damage from rockfall or seismic events.

The timing and location of patches from general corrosion is predicted by the WAPDEG software. These predictions are based on discretizing the response of the DS with 500 nodes and the WP with 1,000 nodes (see assumption 5.1.11). The equivalent area per patch for the EBS RT Abstraction is calculated as the total surface area divided by the number of nodes on the surface. The axial length of each patch is calculated assuming a square geometry for each patch. The data on timing, location (upper or lower surface of WP), size and length of patches is available as a boundary condition for the EBS RT Abstraction.

6.3.1.3 Impact of Heat Generation

Heat generated by the waste form has the potential to evaporate water within the WP. In this situation, water cannot collect inside the WP and cannot support advective transport of radionuclides. Preliminary estimates indicate that the available heat can evaporate incoming water for several thousand years and possibly longer. However, complexities in the internal geometry of the WPs (particularly the response of any water pooled at the bottom of the package and the presence of small conduits for water vapor to escape through SCCs) make it difficult to say definitively that all incoming water will be evaporated.

Since the temperature of the WP drops relatively rapidly in the first 3,000 years (CRWMS M&O 2000l), the potential for evaporation in the WP has been ignored in Rev 0 of the TSPA-SR. This approach is conservative because evaporation might stop advection as a transport mechanism. It is potentially nonconservative if evaporation from the surface of the WP is followed by condensation on the DS, resulting in a refluxing of water that could accelerate corrosion of the WP. The current approach (no evaporation) is considered reasonable for the Rev 0 of the TSPA-SR until additional results become available.

6.3.2 Flux Through and Around the WP (F_5 and F_6)

The flux into the waste package, F_5 , is conceptualized to be the sum of two parts: the flux through SSCs and the flux through patches and/or pits in the WP. The flux through SSCs currently has two sources: (1) evaporation from the invert and condensation on the inside of the DS (F_7), and (2) a dripping flux from seepage for the nonbackfilled EBS. When backfill is present, the advective (capillary) flux around the WP (F_3) cannot contribute to flow through a SCC because the small size of the SCC excludes individual grains of sand so that the SCC and any corrosion products inside the SCC are anticipated to be a barrier to capillary flow from the backfill. The sources of flux through patches and pits includes the condensation on the DS (F_7) and the advective (capillary) flux thorough the backfill around the WP (F_3). The flux through SCCs and the flux through patches/pits are described in turn.

The value of the SCC flux into the WP is not required by the model because transport through the SCCs is solely by diffusion and because the presence of water vapor and hygroscopic salts on the waste form are assumed to generate a continuous, thin film pathway for diffusive transport (per assumption 5.2.8). However, it is useful to provide an abstraction of the dripping flux for future use. The flux through the SCC is proportional to the ratio of the length of the exposed lid within the skirt to the length of the exposed WP (per assumption 5.1.15). Length is appropriate here, rather than area, because only the dripping flux from condensation or from seepage without backfill can fall within the skirt and this dripping flux is conceptualized to fall from the crown of the invert. In effect, the flux is a line source so a ratio of lengths is used to partition the dripping flux between the WP and the skirt. The calculation for the nonbackfilled EBS is as follows:

$$F_{SCC} = (F_3 + F_7) \frac{\lambda_{LID} \sin(\varphi)}{L_{WP} + \lambda_{LID} \sin(\varphi)}, \quad (\text{Eq. 6.3.2-1})$$

where F_{SCC} is the flux through the SCC, $(F_3 + F_7)$ is the sum of the seepage flux (no backfill design) and the condensation flux (if present), λ_{LID} is the diameter of the lid within the skirt, L_{WP} is the total axial length of the WP, and φ is the maximum tilt angle of the WP. The corresponding formula for the backfilled EBS does not include the flux F_3 because capillarity of the backfill prevents this flux from entering the small SCC's:

$$F_{SCC} = F_7 \frac{\lambda_{LID} \sin(\varphi)}{L_{WP} + \lambda_{LID} \sin(\varphi)}. \quad (\text{Eq. 6.3.2-2})$$

The maximum tilt angle is defined as the angle of the WP when the top edge of the skirt touches the crown of the DS and the bottom corner of the WP is in contact with the invert. This angle

can be calculated from simple trigonometry and the data for the four types of WPs in Table 1. The equation for calculating the maximum tilt angle, ϕ , is given by

$$L_{WP} \sin(\phi) + D_{WP} \cos(\phi) = D_{WP} + \Delta h, \quad (\text{Eq. 6.3.2-3})$$

where L_{WP} is the length of the waste package [L], D_{WP} is the outer diameter of the waste package [L], and Δh is the distance from the DS to the top of the WP if the emplacement pallet collapses [L]. This equation can be rewritten into a form suitable for iterative solution on a hand calculator:

$$\sin(\phi) = \frac{D_{WP}(1 - \cos(\phi)) + \Delta h}{L_{WP}}. \quad (\text{Eq. 6.3.2-4})$$

With a given (or old) value of ϕ , evaluate the right-hand side of Equation 6.3.2-4 to determine the new values of $\sin(\phi)$ and then of ϕ itself. Repeated substitutions of the latest value for ϕ into the right-hand side of Equation 6.3.2-4 and calculation of a new value for ϕ results in an iterative process that converges to 3 significant places in about 4 iterations. The values of ϕ for the four types of WPs are presented in Table 6. Note that the maximum tilt angles are relatively small, so only a small fraction of any dripping flux will fall within the lid of the WP.

Table 6. Maximum Tilt Angle for the Four Types of Waste Packages.

Type of WP	Length of WP, L_{WP} (meters)	Outer Diameter of WP, D_{WP} (meters)	Distance from DS To Top of WP, Δh (meters)	Maximum Tilt Angle, ϕ (degrees)
21-PWR	5.165	1.564	0.772	8.80
44 BWR	5.165	1.594	0.742	8.45
5 HLW/DOE SF	3.590	2.030	0.306	5.01
Naval SNF	6.065	1.869	0.467	4.47

The fluid flux through patches and pits in the WP is proportional to the total axial length of all patches and pits to the total axial length of the WP (per assumption 5.1.9). The presence of a gap between adjacent WPs is conservatively neglected in the TSPA-SR model. The calculated flux through the WP is then given by:

$$F_5 = (F_3 + F_7) \frac{L_{WP_Patch} + L_{WP_Pit}}{L_{WP}} + F_{SCC}, \quad (\text{Eq. 6.3.2-4})$$

where F_5 is the flux into the WP, F_3 is the flux through the DS, F_7 is the condensation flux (if any), L_{WP_Patch} is the total axial length of all patches on the WP, L_{WP_Pit} is the total axial length of all pits on the WP, and L_{WP} is the total axial length of the WP. F_{SCC} is given by Equation 6.3.2-1.

Finally, the flux that is diverted around the WP, F_6 , is calculated using continuity of the quasi-static flow (assumption 5.1.12) around and into the WP:

$$F_6 = F_3 + F_7 - F_5. \quad (\text{Eq. 6.3.2-5})$$

6.3.3 Evaporation from the Invert and Condensation on the Drip Shield (F_7)

The evaporation/condensation pathway, F_7 , is possible when the free space between DS and WP is not blocked by a backfill material. In other words, water vapor can evaporate from the invert and move upward to the underside of the DS if this pathway is not blocked by backfill. This pathway is therefore possible at all times for the nonbackfilled EBS or at early time for the backfilled EBS, before the DS is breached and sand can enter the space between DS and WP.

Liquid water may reach the WP due to condensation on the inside of the DS. A substantial portion of the water draining through the backfill migrates through the invert. In proximity to thermal output from the WP, some of this water will evaporate. This water vapor convects following temperature gradients. In the high humidity environment of the subsurface, this water will condense once it moves away from the heat source. If the water vapor convects downward and laterally away, then the interior of the DS will remain dry. If, however, convection proceeds upward toward the DS, then condensation could occur. The manner in which convection proceeds will be a function of the thermal conductivities of the various media in the vicinity (invert, backfill, and host rock) and the geometry of the system (for instance, the relative proximity of the WP to the invert and the top of the DS).

The inside of the DS will be filled with a mixture of air and water vapor. Liquid water can condense on the inside of the DS when the temperature of the DS, T_{DS} , is less than the dew point of this air/vapor mixture at the DS surface. Note that the DS forms an inverted cap that will tend to trap any water vapor that moves upward from the invert.

As a first order approximation, the space between the DS and WP can be treated as a closed system because of the geometry of the DS and invert. The vapor pressure of water beneath the DS will then be close to the equilibrium vapor pressure at the invert temperature, T_{INV} . In this case, comparison of the temperature in the invert (T_{INV}) and the temperature at the top of the DS (T_{DS}) provides a suitable indicator of the potential for condensation on the inside of the DS. That is, condensation will occur if $T_{INV} > T_{DS}$. This is a physically reasonable approximation in terms of providing an indicator for the direction of vapor pressure gradients and the potential for condensation on the DS.

The potential for condensation is abstracted very simply using the output of NUFT. A NUFT calculation is supplied for each group of WPs in the repository. The NUFT calculations and abstraction provide the average temperature in the invert and the temperature at the crown of the DS as a function of time. The criterion for condensation is then

- If $T_{DS} > T_{INV}$, then $F_7 = 0$ (no condensation occurs),
- Otherwise, the condensation flux is equal to the evaporative flux, F_7 .

Note that all the evaporative flux from the invert drips from the inside of the DS onto the WP. This approach provides a reasonable bound because the possibility of water vapor transport laterally away from the DS is ignored.

It is also possible that liquid will evaporate from the WP; however, the invert is envisioned as the main source of water vapor, particularly during the main thermal pulse. The main thermal pulse occurs during the first 3,000 years after repository closure (CRWMS M&O 2000I), when failure

of the DS will be quite infrequent. In this situation, seepage and capillary fluxes will be diverted away from the WP and into the invert, so the invert will be the main source for the evaporative flux.

6.3.4 Flux Into and Through the Invert (F_8 and F_9)

The flux leaving the WP, F_8 , is equal to the flux entering the WP, F_5 . Similarly, the liquid flux leaving the invert, F_9 , is equal to the sum of the diversion around the WP, F_6 and the flux leaving the WP, F_8 , minus any evaporative flux leaving the invert, F_7 . That is,

$$F_8 = F_5, \quad (\text{Eq. 6.3.4-1})$$

and
$$F_9 = F_6 + F_8 - F_7 = F_3. \quad (\text{Eq. 6.3.4-2})$$

The equality of F_8 and F_5 is based on quasi-static flow (i.e., outflow equals inflow). The equality of F_9 and F_3 is consistent with the definitions of F_6 and F_8 and with the quasi-static assumption, which implies that the flux through the DS equals the flux out of the invert. Note that only the flux leaving the WP, F_8 , can transport radionuclides through the invert. These flux calculations are independent of the presence or absence of backfill around the DS.

6.4 TRANSPORT THROUGH THE EBS

The waste form is the source of all radionuclides in the repository system. Radionuclides can be transported downward, through the invert and into the UZ, as shown in Figure 3. Transport can occur through advection when there is a fluid flux through the WP, and by diffusion through continuous fluid pathways in the WP. These two transport processes (diffusion and advection) may not be active simultaneously because they are a function of the type of penetrations through the DS and WP and the local seepage conditions. For example, diffusion would be the only viable transport mechanism through SCCs or through patches that form in a humid environment without a seepage flux. Both advective and diffusive transport are viable through a patch with a significant seepage flux.

The emphasis in this AMR is on flow and transport of radionuclides through the EBS after the radionuclides are mobilized. This AMR does not define elements of the TSPA, such as corrosion processes, radionuclide solubility limits, waste form dissolution rates and concentrations of colloidal particles, that are generally represented as boundary conditions or input parameters for the EBS RT Abstraction. In effect, this AMR provides the algorithms for transporting radionuclides using the flow geometry and radionuclide concentrations determined by other elements of the TSPA-SR.

Transport through the quartz sand backfill, if present, is expected to be negligible. Upward diffusion through the backfill is unlikely before the DS fails for two reasons. First, a direct, continuous flow path does not exist between the WP and the backfill. Second, transport through a more circuitous flow path, such as laterally across the invert and up into the backfill is unlikely given the downward (capillary) flux through the backfill. After the DS fails, upward diffusion is expected to be negligible in comparison to the downward advective flux through the DS.

For the nonbackfilled EBS, any seepage is diverted by intact portions of the DS and enters the invert near the base of the DS. This concentration of the seepage flux could enhance advective transport beneath the sides of the DS. However, the effect of this enhancement on a radionuclide plume directly beneath the WP will be limited by geometry and by capillarity or dispersion. The distance from the centerline of the WP to the foot of the DS, approximately 1250 mm, is much greater than the depth of the invert, 606 mm. The plume will then be separated from the diverted seepage unless capillary forces or dispersion are very strong in the invert. But if capillary forces or dispersion are very strong, then the diverted flow will also be rapidly spread through the invert, eliminating the enhanced advective flux. The impact of the diverted flux on transport of radionuclides across the invert does not appear significant and is neglected in the EBS Transport Abstraction.

For the backfilled EBS, the seepage flux into the drifts is spread more uniformly than for the nonbackfilled design by capillary forces in the backfill. The backfill provides a more uniform distribution of flow into the invert between the sides of the DS and the walls of the drift, reducing the possibility of an enhanced advective flux.

The diffusion coefficient for radionuclide transport is based on the self-diffusion coefficient of water at 25°C. This is a bounding value for all radionuclides, as discussed in Section 6.4.1.1. The effects of porosity and liquid saturation on the diffusion coefficient are incorporated using the formulation in Section 6.4.1.2. The effects of temperature on this bounding value are corrected using the formulation in Section 6.4.1.3.

Advective transport is very straightforward in the EBS RT Abstraction because of the conservative assumptions for the TSPA-SR model. In particular, mobilized radionuclides will be transported with the local fluid flux from the WP (F_8) through the invert to the UZ (F_9). (Note that the $\bar{v}$ in Equation 6.1.2-1 can be interpreted as a flux rate per unit cross-sectional area, or $[L^3/T/L^2] = [L/T]$). There are no corrections for dispersive effects or chemical sorption because horizontal dispersion is conservatively ignored in the EBS RT Abstraction and because the partition coefficients for all radionuclides are conservatively set to zero in the WP and invert (see Section 5.2).

Diffusive transport through the invert is calculated in a conservative manner. Diffusive transport through any barrier depends on the difference in concentrations across the barrier. For the invert, this difference is based on the concentration in the WP and the concentration at the bottom of the drift, i.e., the interface with the UZ. The radionuclide concentration in the WP is given by the appropriate dissolution rate or solubility limit for each radionuclide or the partition coefficient for each colloid. The concentration at the interface with the UZ is set to a value very close to 0 in order to maximize diffusive transport. The boundary condition at the UZ interface is implemented by defining a flow cell with a small volume of water but a very high advective flux, effectively sweeping all radionuclides away from the lower boundary. This “swept away” boundary condition will maximize diffusive transport, particularly if the advective flux through the WP and invert is low.

Given the simplicity of the transport models, the discussion in this section focuses on the calculation of the diffusion coefficient for diffusive transport.

6.4.1 Diffusion Coefficient Abstraction

The TSPA-LA model requires an abstraction for the diffusion coefficient in the WP and invert as a function of radionuclide, porosity, saturation, temperature, and concentration. The recommended abstraction for diffusion coefficient is as follows:

- Use the free water diffusion coefficient for self-diffusion of water, $2.299 \times 10^{-5} \text{ cm}^2/\text{sec}$ (MO0002SPASDC00.002), as a bounding value for all radionuclides at 25°C. The rationale for choosing this value is presented in Section 6.4.1.1.
- Correct the diffusion coefficient for the porosity and (liquid) saturation of the invert. The correction for porosity and saturation is conservatively represented, based on Archie's law and experimental data for granular media. The rationale for this approach and its conservatism is presented in Section 6.4.1.2.
- Correct the diffusion coefficient for temperature variation using the formulation in Section 6.4.1.3 (CRWMS M&O 2000o).
- Ignore the effects of concentrated solutions for Rev 0 (see Section 6.4.1.4). The maximum correction for a highly concentrated solution of potassium iodide is a factor of 1.27. This factor is almost within the bounding approximation inherent in using the self-diffusion coefficient for all radionuclides. It will be neglected for the TSPA-SR.

6.4.1.1 Self-Diffusion Coefficient of Water

The self-diffusion coefficient of water provides a conservative bound for the diffusion of ionic and neutral inorganic, and organo-metal species that may be released from a WP. This assertion is based on:

1. A survey of compiled diffusion coefficients at 25°C shows that simple cation and anion species (excluding the proton and hydroxyl species, which are not appropriate analogs to diffusing radionuclide species) have diffusion coefficients that are smaller than that of water.
2. The self-diffusion coefficient for water at 90°C is larger than compiled diffusion coefficients for simple inorganic species at 100°C.
3. Diffusion coefficients for simple lanthanide and actinide cations are much smaller than the self-diffusion coefficient of water and are expected to be even smaller for their hydroxyl and carbonate complexes.

In a compilation of diffusion coefficients for 99 ionic species, only 3 species, H^+ , OH^- , and OD^- have diffusion coefficients that are larger than the self-diffusion of water at 25°C (Mills and Lobo, 1989; Appendix I, Tables 1.1 to 1.6, pages 314 to 319). Of the 33 ionic species for which Mills and Lobo list diffusion coefficients at 100°C in Tables 1.1 through Table 1.7, only 2 species, H^+ and OH^- , have diffusion coefficients larger than the self-diffusion of water (H_2^{18}O) at 90°C (Mills and Lobo, 1989; Table 1, page 17). The fact that the self-diffusion of H_2^{18}O is less than that of H_2O , and that the self-diffusion of H_2O at 90°C would be greater than that of various ionic species at 100°C, further supports the contention that the self-diffusion of water is conservative.

The compilation below (Table 7) lists a selection of diffusion coefficients for some trivalent lanthanides and actinides. Table 7 also includes some anions not listed in most compilations but relevant and/or analogous to those expected for radionuclides released from the WP. The listing shows that, except for I_2 and TcO_4^- , the diffusion coefficients for these species are far smaller than the self-diffusion of water, and that the hydroxyl and/or carbonate complexes of the metal species would be expected to have even smaller diffusion coefficients.

Table 7. Compilation of Diffusion Coefficients for Molecular Iodine, Yttrium, Technetium, and Lanthanide and Actinide Species

Species	D, cm ² /s	Comments	Reference
Y^{3+}	5.7×10^{-6}	25°C	(Mills and Lobo, 1989); pg. 220.
TcO_4^-	1.48×10^{-5}	25°C	(Mills and Lobo, 1989); pg. 105.
I_2	1.36×10^{-5}	25°C; 0.075 M H_2SO_4	(Cantrel, Chaouche, and Chopin-Dumas, 1997); Table 5.
La^{3+}	5.42×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
La^{3+}	6.18×10^{-6}	25°C	(Mills and Lobo, 1989); pg. 93.
Ce(III)-carbonate	2.68×10^{-6}	5.5 M K_2CO_3 , pH 13, presumably at 25°C.	(Haltier, Fourest, and David, 1990); pg. 111.
Ce(IV)-carbonate	1.56×10^{-6}	5.5 M K_2CO_3 , pH 13, presumably at 25°C.	(Haltier, Fourest, and David, 1990); pg. 111.
Eu^{3+}	4.38×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Gd^{3+}	5.24×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Tb^{3+}	5.01×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Tm^{3+}	5.10×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Yb^{3+}	5.23×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Lu^{3+}	5.01×10^{-6}	25°C; 0.1 M $NaClO_4$	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
$UO_2(CO_3)_3^{4-}$	3.6×10^{-6}	1 M total carbonate, 22 °C	(Perry, Phillips, and Chung, 1988); pg. 302.
$UO_2(CO_3)_3^{4-}$	3.0×10^{-6}	0.2 M total carbonate, pH 9.8, 25°C	(Perry, Phillips, and Chung, 1988); pg. 302.
$UO_2(CO_3)_3^{5-}$	3.81×10^{-6}	0.75 M Na_2CO_3 , 0.6 M $NaClO_4$, pH 11.5, presumably at 25°C	(Haltier, Fourest, and David, 1990); pg. 110.
UO_2^{++}	6.8×10^{-6}	25°C	(Millard and Hedges, 1996); pg. 2141.
UO_2 -carbonate	1.9×10^{-6}	Calculated using Stokes-Einstein with a radius of 8 Å at 10°C	(Millard and Hedges, 1996); pg. 2141.
Np(V)-carbonate	7×10^{-6}	Calculated using Stokes-Einstein with a radius of 3.4 Å at 25°C	(Tsukamoto, Ohe, et al., 1994); pg. 469.

Species	D, cm ² /s	Comments	Reference
Am ³⁺	5.78 x 10 ⁻⁶	25°C; 0.1 M NaClO ₄	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Am ³⁺	5.95 x 10 ⁻⁶	25°C, in 0.0002 M Nd(ClO ₄) ₃	(Mills and Lobo, 1989); pg. 131.
Cf ³⁺	4.39 x 10 ⁻⁶	25°C; 0.1 M NaClO ₄	(Rosch and Khalkin, 1990); calculated from mobility data reported in Table 1; pg. 103. ^a
Cf ³⁺	5.50 x 10 ⁻⁶	25°C, in 0.0002 M Nd(ClO ₄) ₃	(Mills and Lobo, 1989); pg. 132.
Es ³⁺	5.50 x 10 ⁻⁶	25°C, in 0.0002 M Nd(ClO ₄) ₃	(Mills and Lobo, 1989); pg. 132.

^aCalculation of diffusion coefficients from reported ionic mobilities (Rosch and Khalkin 1990; Table 1). The mobilities were measured in 0.1 M NaClO₄ at various pHs (below the pH of hydrolysis). The mobilities were slightly larger at pHs greater than 5, and these are the data that were used for the calculation. The equation used to calculate the diffusion coefficient is: $D = (kT/(|z|e)) u$, where k is Boltzmann constant, T is the temperature in Kelvin, z is the valence of the ion, e is the elementary charge, and u is the mobility (Atkins 1990, Box 25.1, Einstein relation, page 765).

Future TSPA-SR or TSPA-LA models might use four diffusion coefficients to provide a more realistic model. One coefficient could be used for each charge (mono-, di-, and tri-valent species) and one for the hydroxyl and carbonate complexes of the actinides and lanthanides. At 25°C, the mono-, di-, and trivalent species have bounding values of 2.2×10^{-5} cm²/s, 1.2×10^{-5} cm²/s, 0.7×10^{-5} cm²/s, respectively, as shown in Figure 5.

Figure 5. Limiting Diffusion Coefficients for Anions and Simple (Non-Complexed) Cations. Selected from Mills and Lobo (1989), Appendix I, Tables 1.1 to 1.6; pgs. 314 to 319

6.4.1.2 Correction for Porosity and Saturation

The reduction in the free water diffusion coefficient for a partly saturated porous medium can be estimated from Archie's law (CRWMS M&O 2000o). Archie's law gives the diffusion coefficient, D , as a function of porosity and saturation in a partly saturated, granular medium as:

$$D = D_0 \phi^{1.3} s^2, \quad (\text{Eq. 6.4.1-1})$$

where ϕ is the porosity, and s is the (liquid) saturation of the porous medium. D_0 is the free water diffusion coefficient, corresponding to the value of D when porosity and saturation are both 1.0. The value of the exponents for ϕ and s correspond to the values for unconsolidated sand and are not directly applicable to the crushed tuff in the invert.

Conca and Wright (1992) have measured the dependence of diffusion coefficient on volumetric moisture content for granular materials from Yucca Mountain, including crushed tuff. A statistical analysis produced an excellent fit to Conca and Wright's data (CRWMS M&O 2000o) using a power law dependence on moisture content. The statistical fit to the data is given by the following equation:

$$\log\left(\frac{D}{D_0}\right) = (1.849)(\log(\theta) - 2), \quad (\text{Eq. 6.4.1-2})$$

where θ is the volumetric moisture content. The independent variables for this fit are defined in Equation 31 and the slope of the curve is given by Equation 37 of (CRWMS M&O 2000o). The volumetric moisture content (%) is defined in Equation 28 of the same reference as

$$\theta = 100\phi s. \quad (\text{Eq. 6.4.1-3})$$

The volumetric moisture content is the ratio of the liquid volume to the total volume, expressed in Equation 6.4.1-3 as a percent. Substituting Equation 6.4.1-3 into Equation 6.4.1-2 and simplifying:

$$\log\left(\frac{D}{D_0}\right) = (1.849)(\log(100\phi s) - 2), \quad (\text{Eq. 6.4.1-4})$$

$$\log\left(\frac{D}{D_0}\right) = (1.849)(\log(100) + \log(\phi s) - 2), \quad (\text{Eq. 6.4.1-5})$$

$$\log\left(\frac{D}{D_0}\right) = (1.849)\log(\phi s), \quad (\text{Eq. 6.4.1-6})$$

$$\log\left(\frac{D}{D_0}\right) = \log(\phi s)^{1.849}. \quad (\text{Eq. 6.4.1-7})$$

Equation 6.4.1-7 is equivalent to:

$$\frac{D}{D_0} = (\phi s)^{1.849}, \quad (\text{Eq. 6.4.1-8})$$

or rearranging

$$D = D_0 \phi^{1.849} s^{1.849}. \quad (\text{Eq. 6.4.1-9})$$

The functional form of Equation 6.4.1-9 is identical to Equation 6.4.1-1, although the exponents are different, partly due to differences in the media.

Archie's law (Equation 6.4.1-1) has two independent variables, ϕ and s , with values of the exponents appropriate for unconsolidated sand. The statistical analysis of Conca and Wright's data combines a variety of materials into a single fit for a single parameter, moisture content; porosity is not considered as an independent variable. Until a multi-parameter statistical analysis

of the data is available, it is conservative to use the following representation for diffusion coefficient as a function of porosity and saturation:

$$D = D_0 \phi^{1.3} s^{1.849}. \quad (\text{Eq. 6.4.1-10})$$

This equation has the exponent for saturation determined from the statistical fit and the exponent for porosity determined from Archie's law. Equation 6.4.1-10 is conservative relative to the statistical fit, in the sense that it will lead to a higher value for the diffusion coefficient than Equation 6.4.1-9 for the same values of ϕ and s .

The statistical fit for the diffusion coefficient has uncertainty because of the scatter of data points about Equation 6.4.1-9 (see Figure 3 in CRWMS M&O 2000o). This uncertainty approximates a normal distribution for the residuals to Equation 6.4.1-2, as shown in Figure 4 of CRWMS M&O 2000o. This distribution has a mean value of 0.0 and a standard deviation of 0.223 (Equation 38 in CRWMS M&O 2000o). This uncertainty can be incorporated by adding a factor to Equation 6.4.1-10 as follows:

$$D = D_0 \phi^{1.3} s^{1.849} 10^{ND(a=0, \sigma=0.223)}. \quad (\text{Eq. 6.4.1-11})$$

where ND represents a normal distribution with mean, a , of 0 and standard deviation, σ , of 0.223. ND is in the exponent in Equation 6.4.1-11 because the residuals are calculated in the log-log space of the fit for Equation 6.4.1-2. This normal distribution can be sampled for each realization of the TSPA-SR calculations, thereby incorporating the effective uncertainty of the experimental data into the diffusion coefficient.

6.4.1.3 Correction for Temperature

The following equations correct the diffusion coefficient for temperature:

$$\frac{D_T}{D_0} = \frac{\frac{T}{T_0}}{\frac{\eta_T}{\eta_0}}, \quad (\text{Eq. 6.4.1-12})$$

where D_T is the diffusion coefficient at temperature T , D_0 is the free water diffusion coefficient at temperature T_0 , η_T is the viscosity of water at temperature T , and η_0 is the viscosity of water at temperature T_0 . The temperature dependence of viscosity is given by:

$$\log_{10} \left(\frac{\eta_T}{\eta_{20}} \right) = \frac{1.3272(293 - T) - 0.001053(T - 293)^2}{T - 168}, \quad (\text{Eq. 6.4.1-13a})$$

$$\text{or} \quad \eta_T = (1.002 \times 10^{-3}) 10^{\left[\frac{1.3272(293 - T) - 0.001053(T - 293)^2}{T - 168} \right]}, \quad (\text{Eq. 6.4.1-13b})$$

where $\eta_{20} = 1.002 \times 10^{-3}$ Pa-s.

All temperatures are in Kelvin. Equations 6.4.1-12 and 6.4.1-13 correspond to Equations 13 and 12 in CRWMS M&O 2000o, respectively.

6.4.1.4 Correction for Concentrated Aqueous Solutions

Data in the AIP Handbook (Grey 1972, Table 2p-2) show that the majority of the diffusion coefficients increase with increasing solution strength. For example, the diffusion coefficient of sodium iodide increases from 1.616 in a dilute solution to 1.992 for a 3 molar solution and the coefficient for potassium iodide increases from 2.00 in a dilute solution to 2.533 at 3.5 molar. The percent increase for potassium iodide, 26.7%, is the greatest of any in Table 2p-2, excluding HCl. HCl has been excluded from consideration because it is not representative of the type of radionuclides released from the WP.

This correction factor is partly contained in the conservatism inherent in using the self-diffusion coefficient for water as a bounding value for all radionuclides. The correction for concentrated aqueous solutions is therefore being neglected in the TSPA-SR.

6.4.2 Colloidal Transport

Radionuclide transport from the WP occurs as dissolved species at the appropriate solubility or dissolution rate limit and as colloidal particles. Three types of colloids are anticipated to exist in the EBS (CRWMS M&O 2000p): (a) waste form colloids, (b) colloids due to corrosion products, and (c) groundwater colloids. The waste form colloids may have irreversibly attached (embedded) or reversibly attached (sorbed) radionuclides. The corrosion and groundwater colloids may have reversibly attached radionuclides only. The stability and mass concentrations of colloids are functions of the ionic strength and pH of the groundwater or local fluid chemistry in the WP and invert.

The mass of radionuclides irreversibly attached to the waste form colloids is determined from reactions within the WP (CRWMS M&O 2000p). The mass of radionuclides reversibly attached to all three types of colloids is determined primarily by three parameters:

- Mass concentration of dissolved (aqueous) radionuclide in the fluid
- Mass concentration of colloid material in the fluid
- Radionuclide distribution coefficient (K_d) of a specific radionuclide on a specific colloid mineralogical type

The concentrations of colloids in the drifts and EBS has also been determined (CRWMS M&O 2000q).

Colloidal transport of radionuclides occurs by advective and diffusive processes. Advective transport moves colloids (and the associated radionuclides) at the same velocity as the liquid flux leaving the WP and passing through the invert. Diffusive transport moves colloids based on the concentration gradient across the invert and the diffusion coefficient in the invert material. The concentration gradient across the invert is calculated conservatively because the concentration at the bottom of the invert (interface with the UZ) is determined by the “swept away” boundary condition. The colloidal diffusion coefficient is estimated as the diffusion coefficient for the corresponding dissolved radionuclide divided by 100. The factor of 100 is based on an

approximation for the ratio of the ionic radii for a dissolved species versus that for a colloid (CRWMS M&O 2000p, Section 6.17).

6.4.3 Transport Through Stress Corrosion Cracks

Two cases are considered for transport through SCCs. In the first case, there is a dripping flux on the WP but no backfill is in contact with the WP. This first case can happen if there is a dripping flux due to condensation and the DS is intact so that quartz sand backfill cannot fill the annular space around the WP. This first case is also applicable after the DS fails for a design without backfill. In the second case, backfill is in contact with the lid and SCCs after DS failure.

6.4.3.1 Dripping Flux Only

Transport through SCCs in the WP is limited to diffusion. Once SCCs form in the lid of the WP, all surfaces inside the WP are assumed to be coated with a thin film of water (per assumption 5.2.8). This thin film provides the medium for diffusion from the waste form, through the SCC, and out of the WP.

This approach is consistent with several features of the EBS RT Abstraction. First, the dripping flux onto the skirt area of the WP will flow into the SCCs, independent of gap size or film thickness. Second, corrosion products will quickly build up within the SCCs, helping to maintain a continuous fluid layer through capillary processes and possible condensation within the crack. Third, the WPs are angled with the lid-end upward to maximize any dripping flux into the SCC. Fourth, the WP configuration (lid-end upward) means that fluid can pool inside the WP. However, there is no pressure or head gradient driving advective flow out of the WP when only SCCs are present, so this will be only diffusive transport.

If both SCCs and patches are present, then fluid can flow in through the SCCs and out through the patch. This advective path will be negligible compared to patch-to-patch advective flow because the cross-sectional area of a single SCC, $4.08 \times 10^{-6} \text{ m}^2$ or 4.08 mm^2 , is about 6,130 times smaller than the cross-sectional area of a single patch, $25,010 \text{ mm}^2$. The advective flow through SCCs is again negligible in this case as in the previous case. Radionuclide transport out through the SCCs is limited to diffusive transport. Once radionuclides are released through diffusion, they will then be transported by advection through the invert.

It is probable that some WPs will be oriented with the lid-end downward. In this situation, the skirt shields the SCCs from vertical drips, making it quite difficult for any droplets or thin films that might be generated by a dripping flux to reach the SCCs. Even considering the potentially chaotic nature of droplet flow and the possible presence of dust and particulates on the surface of the WP, the flow must wrap around the edges of the skirt and move transverse to the gravitational gradient in a physically significant amount to reach the SCC and affect EBS performance. This behavior is considered unlikely, unless experimental data indicate a strong potential for transverse movement of droplets and thin films.

The most recent WP designs have three lids: an inner lid made of stainless steel, a closure lid made of Alloy 22, and an extended lid made of Alloy 22 (CRWMS M&O 2000a). The extended lid was added late in the TSPA-SR process and is not represented by the EBS RT Abstraction. The new design configuration, with two lids of Alloy 22, may provide improved resistance to

stress corrosion cracking and diffusive transport; however, these effects are not included in the EBS RT Abstraction.

Within the WP, the diffusive length is taken to be the thickness of the inner lid and the closure lid plus the air gap between the lids. This length is 170 mm for the Naval SNF package, 135 mm for the 21-PWR WP and the 44-BWR WP, and 120 mm for the 5-HLW/DOE SNF short CRM WP (CRWMS M&O 2000f, 2000d and 2000e, respectively). The diffusive area is calculated as the product of the area and number of cracks. The area of each crack is estimated from the data in Table 5. The maximum cross-sectional area of each crack for diffusive transport is calculated to be $4.08 \times 10^{-6} \text{ m}^2$ (see Section 6.3.1.2.1).

6.4.3.2 Transport with Backfill

As discussed in Section 6.2.3.2, breaching of the DS causes backfill to enter the axial space surrounding the WP. Water flux through the backfill surrounding the WP brings water up to, but not into, the SCCs. The backfill itself cannot penetrate a SCC because the low end of the grain size distribution for the Overton sand is 100 μm , about the same size as the maximum crack width. Since a capillary barrier is formed above the invert (fine backfill over coarse invert), the saturation of the backfill in direct contact with the SCCs is expected to be high. The SCCs should also be highly saturated with water because of the presence of corrosion products in the crack.

In this situation, flow may be drawn through the SCC by capillary forces. If SCCs are the only penetrations through the WP, liquid can pool inside the WP if the lid-end is tilted upward. Substantial advective flux out of the SCC is unlikely in this configuration, so radionuclide release through the SCC will then be primarily by diffusion. Once released from the WP, radionuclides will then be transported by advection and diffusion through the invert. Note that the diffusive pathway through the invert is always present because the WP is assumed to be in contact with the invert (per assumption 5.2.9).

If both SCCs and patches are present, then fluid can flow in through the SCCs and out through the patches. This advective path will be negligible compared to patch-to-patch advective flow because the cross-sectional area of a single SCC, $4.08 \times 10^{-6} \text{ m}^2$ or 4.08 mm^2 , is about 6,130 times smaller than the cross-sectional area of a single patch, $25,010 \text{ mm}^2$. The advective flow through SCCs is again negligible in this case as in the previous case. Radionuclide transport out through the SCCs is limited to diffusive transport. Once radionuclides are released through diffusion, they will then be transported by advection and diffusion through the invert.

The diffusive lengths and areas for these cases are identical to the values calculated in Section 6.4.3.1.

6.5 DRIP SHIELD RESPONSE

The thermal and mechanical response of the DS has been evaluated for five mechanisms: (1) thermal expansion, (2) floor heave, (3) rock fall, (4) seismic response, and (5) emplacement pallet failure. Each of these mechanisms has the potential to separate or displace adjacent DSs, thereby reducing the effectiveness of the DS as a barrier to seepage and flow.

Each of these five mechanisms has been screened out of the TSPA-SR performance assessment. The maximum displacement from thermal expansion is less than the clearance between adjacent DSs, so that expansion will not alter the geometry or functionality of the DSs. The potential displacements from floor heave are much less than the overlap between adjacent DSs and much less than the height of the DS connector guide that blocks flow between adjacent DSs. Rock fall results in minor structural displacements for only the largest rock sizes, but never alters the basic geometry of the DS system. Seismic events and failure of the emplacement pallet are not expected to damage the DS as a flow barrier because a system of posts and mating holes provides a mechanical connection between adjacent DSs. This mechanical connection is anticipated to be strong enough to hold adjacent DSs together during a seismic event or after failure of the emplacement pallet. The reasoned arguments and calculations that support these screening decisions are described next.

These screening decisions are also reasonable because future DS design changes will ensure that the DS is effective as an engineered barrier to flow and seepage. The design of the DS will continue to be refined for the License Application if the Yucca Mountain site is designated by the President. The design will be refined based on the results from the TSPA-SR calculations and based on design criteria that are currently under development, such as the design basis earthquake. These refinements will ensure that the thermal and mechanical effects discussed here do not impair the effectiveness of the DS as an engineered barrier.

6.5.1 Thermal Expansion

Thermal expansion will produce a change in the dimensions of the DS, particularly during the first few thousand years when DS and WP temperatures are most elevated over ambient conditions. The change in length due to thermal expansion will be greatest in the axial direction because that is the longest dimension of the DS. The response in the axial direction is also of most interest for DS separation.

The coefficient of linear thermal expansion is defined as the length change per reference length per degree of temperature change. The coefficient for titanium Grade 7 is (ASME 1995, Table TE-5):

$$\frac{\Delta\lambda}{\lambda\Delta T} = 8.91 \times 10^{-6} (^{\circ}\text{C}^{-1}) \quad (\text{Eq. 6.5.1-1})$$

The axial length of the DS between posts is 5510 mm. The maximum temperature at the top of the DS is 216°C at 35 years after closure (CRWMS M&O 2000r, Table 6-7). Then the maximum length change, $\Delta\lambda_{\text{max}}$, is given by:

$$\Delta\lambda_{\text{max}} = (8.91 \times 10^{-6})(5510)(216) \text{ mm} = 10.6 \text{ mm}, \quad (\text{Eq. 6.5.1-2})$$

where the as-emplaced temperature of the DS is set to zero to maximize the length change.

This length change should be compared to the potential clearance or slippage between adjacent DSs. If the length change from thermal expansion is less than the potential clearance, then thermally-generated stresses will not develop. But if the length change from thermal expansion

exceeds the potential clearance, then the impact of thermally-generated stresses must be considered.

The clearance between adjacent DSs is determined by the clearance between the post and its mating hole on the DS connector plate. The connector plate does not lie directly on top of the adjacent DS because the connector guide, which is 50 mm high, separates these components in the vertical direction. The diameter of the post 50 mm above the top surface of the DS is 105.5 mm (see Attachment I). The diameter of the mating hole is 119 mm. So the clearance between the mating hole and post, 13.5 mm, is greater than the maximum thermal expansion, 10.6 mm, and no thermal stresses are expected to develop in the DS.

The effect of thermal expansion on DS separation has therefore been screened out from the TSPA-SR model.

6.5.2 Floor Heave

A ground support analysis for the TSPA-VA calculated the vertical and horizontal closure for an unsupported emplacement drift with a thermal loading of 85 metric tons of uranium per acre (MTU/acre). Vertical closure refers to the change in the distance between the top and bottom of the drift. Similarly, horizontal closure refers to the change in distance between the left and right sides of the drift at the springline. Net vertical closure is between 8 mm inward to 7 mm outward for the combined *in situ* and thermal loads at 150 years (CRWMS M&O 1998d, Section 7.6.2.1.2 and Figure 7-15a). Net horizontal closure is of the same order of magnitude. Seismic loading did not have a significant impact on drift closure (CRWMS M&O 1998d, Section 7.6.2.1.3).

The DS will continue to function properly provided that floor heave does not cause a fluid pathway to form between adjacent DSs. If this pathway forms, then water may drip on the WP or may wet the WP through capillary action if the EBS design has backfill and if this backfill forms a continuous pathway between the DSs. Either circumstance will negate the DS as an engineered barrier.

The vertical displacements from floor heave are small relative to the overlap between adjacent DSs. The overlap is 595 mm, as shown in Attachment I. The length of overlap is then more than 70 times greater than the displacement from floor heave. In addition, there is a DS connector guide that is located on the top side of the DS, below the DS Connector Plate-1. This guide acts as a barrier to the flow of water or backfill in the axial direction. It is 50 mm wide by 50 mm high, substantially greater than the displacement from floor heave. The length of the overlap and the presence of the guide make it very unlikely that water can flow between the DSs after floor heave or that sand backfill (if present) can completely fill the overlap between shields due to floor heave.

The effect of floor heave on DS separation has therefore been screened out from the TSPA-SR model.

6.5.3 Rock Fall for the As-Emplaced DS Configuration

The potential ranges and distributions of rock falls have been analyzed for the Tptpmn, Tptpll, and Tptpln stratigraphic units under static and seismic loads for a tunnel orientation of 75

degrees to the azimuth (DTN: MO0010RDDAAMRR.002). The range of block volumes from rock fall under static loads varies from 0.01 m^3 to 10.63 m^3 for the Tptpln unit (DTN: MO0010RDDAAMRR.002). A level 3 seismic loads has only a modest effect, increasing the maximum block size to 15.45 m^3 for the Tptpln stratigraphic unit (DTN: MO0010RDDAAMRR.002). The 10.63 m^3 block weighs 25.63 MT; the 15.45 m^3 block weighs 37.23 MT. The Tptpln unit has the largest block sizes for these three stratigraphic units.

The largest block volumes are relatively improbable. For example, the 90th percentile block volume under static load is 0.50 m^3 , 0.27 m^3 , and 2.05 m^3 for the Tptpmn, Tptpll, and Tptpln stratigraphic units, respectively (DTN: MO0010RDDAAMRR.002). A similar behavior also holds under the level 1, 2 or 3 seismic loadings. These distributions imply that most rockfall will be small blocks, essentially rubble, around the drip shield. The presence of this rubble is important because it will help to cushion the impacts from larger blocks. However, no credit is taken for the presence of rubble in the structural response calculations.

The transient, dynamic response of the DS to impact from a large rock block has been evaluated with a detailed finite-element model (CRWMS M&O 2000t). These analyses considered blocks weighing 2 MT, 4 MT, 6 MT, 8 MT, and 52 MT and also considered on-center and off-center rock falls. The DS does not fail catastrophically for either the on-center or the off-center impacts (CRWMS M&O 2000t, Figures III-7 and III-15). In fact, it is seen to retain its basic "mail box" shape. However, there is some permanent deformation. For example, the permanent displacement of the crown of the DS is approximately 70 mm for a 6 MT block and 80 mm for a 52 MT block. (CRWMS M&O 2000t, Figures III-10 and III-16).

The finite-element analyses are conservative, particularly near the ends of the DS, because the overlap between adjacent DSs is ignored. The overlap will tend to reduce the permanent displacement of the crown locally, providing added stability for a part of the DS that is critical as a flow barrier. The degree of conservatism in ignoring the overlap has not been quantified.

A second effect from rock fall has also been considered in these analyses. The permanent deformation from the rock fall generates stress concentrations and damaged regions with a potential for stress corrosion cracking. The number of potential cracks per DS has been predicted from the detailed stress fields in the finite-element calculations (CRWMS M&O 2000t, Table 6-1). In theory, these cracks can form advective pathways for liquid flow that can bypass the DS. In practice, a dense passive corrosion oxide film is expected to fill any through-wall SCCs in Titanium Grade 7 that form after a rock fall (CRWMS M&O 2000u, Item No. 4). In addition, evaporation of J-13 water slowly flowing through such a crack will lead to rapid scaling by calcite deposition. The ingrowth of corrosion products and the calcite deposition are expected to result in a very tightly plugged crack that cannot pass a significant advective flux through the DS (CRWMS M&O 2000u, Item No. 4).

The DS will continue to function properly provided that the deflection of the crown does not cause a continuous fluid pathway to form between adjacent DSs. The permanent vertical displacements from rock fall are small relative to the overlap between adjacent DSs, 595 mm (see Attachment I). In addition, the basic shape of the DS is essentially unchanged for the impact of even the largest blocks. It is therefore unlikely that a rock fall will damage the DS to the point that it will not function as a flow barrier.

The effect of rock fall on the as-emplaced DS has therefore been screened out from the TSPA-SR. In the as-emplaced condition, there appears to be an adequate margin of safety to ensure that the deflection of the crown does not cause a continuous fluid pathway to form and that SCCs will not provide significant advective pathways through the DS. The effect of rock fall has also been screened out as the DS degrades, pending more complete analyses of DS response.

6.5.4 Seismic Response

Seismic events are not expected to damage the DS as a flow barrier. The latest DS design has a system of posts and mating holes that provide a direct, mechanical connection between adjacent DSs (CRWMS M&O 2000c, Att. II, SK-0148 REV05). Full separation of adjacent DSs also requires a vertical clearance of at least 1.2 meters (CRWMS M&O 2000c, Section 6.1.1 and Figure 3). These features are anticipated to be strong enough to hold adjacent DSs together during the vibratory/ground motions general by the design basis earthquake in the emplacement drifts. The effects of seismic events on the DS as a flow barrier have been screened out of the TSPA-SR.

6.5.5 Emplacement Pallet Failure

Failure of the emplacement pallet has the potential to shift the DS if the WP falls to the invert and rolls into contact with the shield. This scenario is more likely during an earthquake, when the ground motions may increase the load on the pallet and impart additional momentum to the WP.

The ability of a heavy WP to roll over the failed or corroded pieces of the emplacement pallet during an earthquake has not been analyzed. In addition, the potential DS displacements from a rolling WP, with or without backfill, have not been analyzed. The latest DS design has a system of posts and mating holes that provide a mechanical connection between adjacent DSs. Full separation of adjacent DSs also requires a vertical clearance of at least 1.2 meters (CRWMS M&O 2000c, Section 6.1.1 and Figure 3). These features are anticipated to be strong enough to hold adjacent DSs together after failure of the emplacement pallet. Detailed structural response calculations for the DS response to pallet failure may be performed before the TSPA-LA; in the interim, the effects of pallet failure on the DS as a flow barrier have been screened out of the TSPA-SR.

An alternative scenario for pallet failure could occur if only one end of the pallet fails while the WP is still supported near its midpoint. In this case, the WP could swing upward after pallet failure, knocking the DS out of alignment and forming a fluid pathway. This alternative scenario is very unlikely because (1) the current pallet design does not support the WP near its midpoint, only at the ends (see CRWMS M&O 2000c, Attachment III), and (2) the 21 PWR, 44 BWR and Naval SNF WPs are emplaced closer to the invert than to the DS, so it is physically impossible to contact the DS. For example, the 21 PWR WP is 19 cm above the invert but 58.2 cm below the drip shield, so that a 19 cm swing upward at one end will not cause contact between DS and WP.

The 5 DHLW package might contact the DS because its clearance from the DS (8 cm) is less than its height above the invert (22.6). However, the location of the ends of the emplacement pallet prevents this from happening. Assume that one end of the pallet has failed completely,

that the other end is intact, and that the package is centered on the emplacement pallet. When the end of the package on the failed side of the pallet drops 22.6 cm to the invert, the other end of the package will rise only a fraction of this distance. This fraction depends on the length of the short DHLW WP, 359 cm (CRWMS M&O 2000e), and the length of the short emplacement pallet, 250 cm (CRWMS M&O 2000c). The fraction is then the ratio of the lengths from the intact end of the pallet to the rising and falling ends of the package. These lengths are 54.5 cm and 304.5 cm, respectively, for a package centered on the emplacement pallet. The fraction is then $(54.4/304.5) = 0.179$ and the end of the package rises by $(0.179)(22.6\text{cm})$, or about 4 cm. This is still less than the distance from the DS so the DHLW short package will not contact the DS. A similar calculation shows that the DHLW long package moves less than the DHLW short package, so it will also not contact the DS.

In this circumstance, this alternative scenario has been screened out of the TSPA-SR model.

6.6 BATHTUB MODEL FOR THE WASTE PACKAGE

The conceptual model for the TSPA-SR is based on the presence of continuous flow paths through the patches, SCCs and pits that penetrate the WP. More specifically, the TSPA-SR conceptual model assumes that vertical flow of seepage into the WP, through the waste form and out of the WP is not impeded by the location of patches, SCCs and pits on the surface of the WP (see assumption 5.1.10). There is no long-term build-up and retention of liquid within the WP for flow and transport. There is also no significant resistance to the flow through the waste form. The TSPA-SR approach attempts to maximize the immediate release and mobilization of radionuclides into the local groundwater environment. The TSPA-SR approach will be referred to as the “flow through” geometry.

An alternative conceptual model to the “flow through” geometry is the “bathtub” geometry (Mohanty et al. 1996). The bathtub geometry allows seepage to collect within the WP before being released to the EBS. In theory, a bathtub geometry could result in the sudden release of a large pulse of radionuclides when a package overflows with liquid or when a second patch fails abruptly beneath the water line.

The “bathtub” effect will be most important during the first 20,000 years after repository closure because only a few patches or pits are anticipated to penetrate the DS and WP during this time frame. In this situation, there may be penetrations through the top of the WP while the bottom surface remains intact, leading to retention of liquid. At longer duration, say 100,000 years or greater, the presence of multiple penetrations makes a “flow-through” geometry the more likely configuration.

The response of the bathtub geometry is evaluated for a primary case and for three secondary cases. The primary case includes consideration of two types of radionuclide release mechanisms: dissolution rate limited and solubility limited. Tc-99 is typical of dissolution rate limited radionuclides: the Tc-99 released due to waste dissolution can always be dissolved in the available groundwater because the solubility limit of Tc-99 is very high. Np-237 is typical of the second type of radionuclide, where all the release from dissolution is limited by the low solubility.

The results for the primary case are based on a closed form analytic solution with constant values of inflow rate, dissolution rate, and solubility. The three secondary cases consider a step change in inflow rate, such as would occur from a climatic change, a step change in groundwater chemistry, and a step change in flow geometry, as would occur if a patch suddenly failed beneath the waterline. The basic geometry and flow pattern for the primary bathtub model is shown in Figure 6 (from Mohanty et al. 1996, Figure 2-7). q_{in} is identical with F_5 in Table 3 and in Section 6.3.2.

Figure 6. Schematic of the Bathtub Geometry for the Waste Package

6.6.1 Primary Case

6.6.1.1 Dissolution-Rate-Limited Radionuclide

Consider the system shown in Figure 6, with a constant inflow rate, q_{in} , and assume that V_{tub} is the total volume of liquid that can be retained within the WP before it overflows. The response of the WP will be a two step process. During Step 1, the package is filling with liquid and the outflow rate, q_{out} , is zero. This condition continues until the WP fills with liquid at a time, T_{fill} , given by V_{tub}/q_{in} . After time T_{fill} , the amount of liquid inside the WP remains constant and $q_{out} = q_{in}$. This is a steady state condition, consistent with the assumption that q_{in} is constant and that liquid does not continue to accumulate within the package. Note that the following analysis assumes there is complete contact between the liquid and the waste form within the WP. This assumption is consistent with the use of a single mixing cell to represent the waste package for the TSPA-SR model.

During Step 1, for time t such that $0 < t < T_{fill}$, the release of a radioisotope into the groundwater inside the WP can be represented as

$$\dot{m} = Df, \quad (\text{Eq. 6.6.1-1})$$

where $\dot{m}$ is the rate of release of radionuclide into the liquid [M/T],

D is the dissolution rate of the waste form [M/T], and

f is the mass fraction of radioisotope released per unit mass of waste form (-)
(f is less than one for a waste form with multiple radionuclides).

During the fill period q_{out} is zero, so the mass, $m(t)$, of radioisotope dissolved within the liquid in the WP at time t is given by

$$m(t) = Dft, \quad (\text{Eq. 6.6.1-2})$$

because D and f are constant. Similarly the volume of liquid in the WP at time t , $V(t)$, is given by

$$V(t) = q_{in}t, \quad (\text{Eq. 6.6.1-3})$$

so the concentration of dissolved isotope in the WP, $c(t)$, is

$$c(t) = \frac{m(t)}{V(t)} = \frac{Dft}{q_{in}t} = \frac{Df}{q_{in}}. \quad (\text{Eq. 6.6.1-4})$$

Note that the concentration, $c(t)$, is constant during the fill phase because the values of D , f , and q_{in} are assumed to be constant. This result for $c(t)$ is not surprising because the dissolved mass, m , and the volume of liquid, V , are linear functions of the time, so their ratio remains constant.

The result in Equation 6.6.1-4 will hold for each dissolution-rate-limited radioisotope in the waste form, although the numerical value of c will differ because the mass fraction, f , is different for each isotope. Note that the equations in Section 6.6 generally apply to the i^{th} isotope in the waste form, although the subscript has been dropped for simplicity.

During Step 2, when $t > T_{fill}$, the radioisotope mass within the WP is a balance between the release of radioisotope into the groundwater within the WP and the loss of radioisotope due to outflow from the WP:

$$\frac{dm}{dt} = Df - q_{out}c(t). \quad (\text{Eq. 6.6.1-5})$$

Substituting for the definition of $c(t)$ from Equation 6.6.1-4:

$$\frac{dm}{dt} = Df - q_{out} \frac{m(t)}{V_{tub}}, \quad (\text{Eq. 6.6.1-6})$$

a first order differential equation with constant coefficients. The solution to this equation for constant q_{in} , with $q_{out} = q_{in}$, is derived in Attachment II and given by:

$$m(t) = m(T_{fill}) = DfT_{fill}. \quad (\text{Eq. 6.6.1-7})$$

It follows that

$$c(t) = \frac{m(t)}{V(t)} = \frac{DfT_{fill}}{V_{tub}} = \frac{Df}{q_{in}}. \quad (\text{Eq. 6.6.1-8})$$

Note that the dissolved mass in the WP is constant for $t > T_{fill}$. In addition, the concentration of dissolved radionuclide is constant for all time $t > 0$, as shown by Equations 6.6.1-4 and 6.6.1-8. These results are reasonable because the WP is in steady state for $t > T_{fill}$. This means that the inflow rate equals the outflow rate and that any loss of dissolved radionuclide mass in the outflow from the WP is exactly balanced by the addition of dissolved radionuclide mass from dissolution of the waste form.

The response for the comparable flow-through model has the same radionuclide concentration, $c(t)$, and the same release flux, given by $c(t)q_{out}$, as the bathtub geometry. The sole difference

between the flow-through and bathtub models is that the flux from the flow-through model starts from $t = 0$ while the flux from the bathtub model is zero until time T_{fill} . The bathtub model introduces a delay in the response but does not change the concentration in the package or the mass flux out of the package.

The flow-through model is conservative relative to the bathtub model for radionuclide transport. The flow-through transport model assumes advective transport with no sorption of radionuclides. These assumptions are consistent with those used elsewhere in this AMR. With these assumptions, the flow-through transport model releases radionuclides with no delay into the invert and UZ, in contrast to the delay inherent in the bathtub model.

6.6.1.2 Solubility-Limited Radionuclide

The response for a solubility-limited radionuclide is similar to that for a dissolution-rate-limited radionuclide, in the sense that the bathtub model delays the release from the WP but does not change the dose rate.

During Step 1, $0 < t < T_{fill}$, the amount of radionuclide dissolved in the groundwater in the WP can be represented as

$$\dot{m} = sq_{in}, \quad (\text{Eq. 6.6.1-9})$$

where s is the solubility limit of the radionuclide. Assuming constant groundwater chemistry (assumption 5.4.1), the solubility will be constant and the mass, m , of radioisotope retained in the WP at time t is

$$m(t) = sq_{in}t. \quad (\text{Eq. 6.6.1-10})$$

The volume of liquid in the WP at time t , $V(t)$, is given by

$$V(t) = q_{in}t, \quad (\text{Eq. 6.6.1-11})$$

so that the concentration of dissolved isotope in the WP is

$$c(t) = \frac{m(t)}{V(t)} = \frac{sq_{in}t}{q_{in}t} = s. \quad (\text{Eq. 6.6.1-12})$$

The concentration is constant during the fill phase and equal to the solubility limit, as would be expected. This is true for each radionuclide in the system, although the numerical values of the solubility limit will vary.

For $t > T_{fill}$, the mass balance within the WP is given by:

$$\dot{m} = sq_{in} - c(t)q_{out} = sq_{in} - \frac{m(t)}{V_{sub}}q_{out}. \quad (\text{Eq. 6.6.1-13})$$

The solution to Equation 6.6.1-13 with $q_{out} = q_{in}$ is given by:

$$m(t) = sV_{tub}, \quad (\text{Eq. 6.6.1-14})$$

with

$$c(t) = s. \quad (\text{Eq. 6.6.1-15})$$

Again the dissolved mass in the WP is constant for $t > T_{fill}$ and the concentration of dissolved radionuclide is constant at the solubility limit for all times $t > 0$ (see Equations 6.6.1-12 and 6.6.1-15).

The comparable flow-through model has the same radionuclide concentration, s , and the same release flux, given by sq_{out} , as the bathtub geometry. The sole difference is that the flux from the flow-through model starts from $t = 0$ while the flux from the bathtub model is zero until time T_{fill} . The bathtub model introduces a delay in the response but does not change the dose rate. Again, the flow-through model is conservative relative to the bathtub model because radionuclides are released with no delay time to the EBS.

6.6.2 Secondary Cases

The secondary cases evaluate the response of the bathtub model when changes occur in the groundwater inflow rate, in groundwater chemistry, or in the flow geometry.

6.6.2.1 Change in Inflow Rate

The response of a bathtub model to a change in inflow rate differs for a solubility-limited or a dissolution-rate-limited radionuclide. The solubility-limited case is simpler because of chemical equilibrium and is discussed first.

Consider a step change in inflow rate after the bathtub has filled for a solubility-limited radionuclide. Since kinetic effects are ignored, the chemical system is always at equilibrium and the concentration within the WP remains unchanged at the solubility limit. The only change in the system is that the radionuclide mass flux out of the WP changes instantaneously from cq_{out} to $cq_{out, new}$. This response is exactly the same as it would be for the flow-through model, so the response of the bathtub model is identical to that for the flow-through model.

Now consider a step change in inflow rate after the bathtub has filled for a dissolution-rate-limited radionuclide. In this case the mass released per unit time remains constant because the dissolution rate remains constant, but the radionuclide concentration will come to a new equilibrium value. This new equilibrium value can be determined by Equation 6.6.1-8, with the product of concentration and liquid inflow remaining constant:

$$c_{new}q_{in,new} = c_{old}q_{in,old} = Df. \quad (\text{Eq. 6.6.2-1})$$

If the inflow rate decreases, the final concentration will increase because their product remains constant. A flow-through model will have an instantaneous increase in concentration, while the bathtub model will show an exponential growth to the new concentration. The flow-through model is then conservative for concentration released into the EBS.

The exponential growth to the new concentration can be seen as follows. The replacement of “old” groundwater with concentration c_{old} with “new” groundwater with concentration c_{new} can be represented through a parameter β , the volume fraction of old groundwater to V_{tub} , the total liquid volume in the bathtub. The rate of change of the volume of old groundwater, V_{old} , is given by:

$$\frac{dV_{old}}{dt} = -\beta q_{out,new} = -\beta q_{in,new}. \quad (\text{Eq. 6.6.2-2})$$

Equation 6.6.2-2 represents the loss of old groundwater through outflow, with the factor β representing the (decreasing) volume fraction of old groundwater that is lost. Since by definition,

$$\beta \equiv \frac{V_{old}}{V_{tub}}, \quad (\text{Eq. 6.6.2-3})$$

and substituting this definition into the left-hand side of Equation 6.6.2-2, it follows that:

$$\frac{d\beta}{dt} = -\frac{q_{in,new}}{V_{tub}} \beta. \quad (\text{Eq. 6.6.2-4})$$

The solution to Equation 6.6.2-4 is an exponential decay of β from 1 to 0, which corresponds to an exponential decay of c from c_{old} to c_{new} .

If the inflow rate increases, the concentration will decrease. A flow-through model will have an instantaneous decrease in concentration, while the bathtub model will exponentially relax to the new concentration. The flow-through model is then less conservative for concentration released into the EBS. Note that the mass of radionuclide mobilized is identical, as implied by Equation 6.6.2-1; but the dissolved concentration will vary with the amount of fluid flowing through the system. The TSPA-SR model passes mass to the UZ, rather than concentration, so the difference between the flow through model and the bathtub model for this case is probably not critical to performance.

Finally, a change in inflow rate during the initial period, when the bathtub is filling, only affects the value of T_{fill} and hence the delay until the bathtub fills, after which it will behave as described in Section 6.6.1.

In summary, the response of the bathtub model to a change in inflow rate is identical to that of the flow-through model for solubility-limited radionuclides. For dissolution-rate-limited radionuclides, the response of the bathtub model is less conservative than the flow-through model when the inflow rate decreases (and concentration increases). If the inflow rate increases (and concentration and probably dose decreases), the bathtub model will be more conservative than the flow-through model.

6.6.2.2 Change in Groundwater Chemistry

Consider a step change in groundwater chemistry after the bathtub has filled. Initially, there will be minor changes in concentration within the bathtub because the bulk of the water retains the original groundwater composition. Eventually the “old” groundwater will be flushed out and replaced with the “new” groundwater, resulting in new concentrations within the bathtub.

The replacement of old with new groundwater can be represented through a parameter β , representing the volume fraction of old groundwater to V_{tub} , the total liquid volume in the bathtub. The rates of change of the volumes of old and new groundwater are given by:

$$\frac{dV_{old}}{dt} = -\beta q_{out}, \quad (\text{Eq. 6.6.2-5})$$

and

$$\frac{dV_{new}}{dt} = q_{in} - (1 - \beta)q_{out}, \quad (\text{Eq. 6.6.2-6})$$

where V_{old} and V_{new} represent the volumes of groundwater with the old and new chemistries, respectively. Equation 6.6.2-5 represents the loss of old groundwater through outflow, with the factor α representing the volume fraction of old groundwater that is lost. Equation 6.6.2-6 represents the addition of new groundwater through inflow and its partial loss through outflow. Remembering that $q_{out} = q_{in}$ because of the steady state assumption, it follows that

$$\frac{dV_{old}}{dt} = -\beta q_{in}; \quad \frac{dV_{new}}{dt} = +\beta q_{in}. \quad (\text{Eq. 6.6.2-7})$$

By definition

$$\beta \equiv \frac{V_{old}}{V_{tub}}, \quad (\text{Eq. 6.6.2-8})$$

and substituting this definition into the left-hand equation in 6.6.2-7 it follows that:

$$\frac{d\beta}{dt} = -\frac{q_{in}}{V_{tub}} \beta = -\frac{1}{T_{fill}} \beta. \quad (\text{Eq. 6.6.2-9})$$

The solution to Equation 6.6.2-9 with the boundary condition $\beta(0) = 1$, is given by

$$\beta(t) = e^{-\frac{t}{T_{fill}}}, \quad (\text{Eq. 6.6.2-10})$$

It follows that the old and new volumes of groundwater are given by:

$$V_{old} = V_{tub} e^{-\frac{t}{T_{fill}}} \quad (\text{Eq. 6.6.2-11})$$

and

$$V_{new} = V_{sub} \left(1 - e^{-\frac{t}{T_{fill}}} \right). \quad (\text{Eq. 6.6.2-12})$$

These equations say that the volume fraction of groundwater with the old chemistry decays exponentially with the characteristic time T_{fill} . Alternatively, the volume fraction of new groundwater increases to 1.0 with a characteristic time of T_{fill} for the exponential growth given by Equation 6.6.2-12.

The impact of changing groundwater chemistry on dissolution rate or solubility is much more difficult to predict analytically because chemical interactions are very nonlinear. More specifically, the pH of mixtures of groundwaters will not be proportional to α because the pH scale is proportional to the log of the hydrogen ion concentration and inherently nonlinear and because potential chemical interactions in mixtures, such as buffering, produce a nonlinear response. In addition, solubility and dissolution rate are often complex nonlinear functions of the pH.

Nonlinear response makes it particularly difficult to predict the time-dependent response for (say) solubility; however, the starting state and the ending state, for $t \gg T_{fill}$, are well defined and can be approximated to first order by

$$s(t) \approx s_{old} e^{-\frac{t}{T_{fill}}} + s_{new} \left(1 - e^{-\frac{t}{T_{fill}}} \right). \quad (\text{Eq. 6.6.2-13})$$

Consider the response when $s_{new} \gg s_{old}$. This condition can easily occur for the actinides, where solubility increases by several orders of magnitude as pH changes from between 7 and 8 to a value below 6 or above 10. In the limit of large s_{new} , Equation 6.6.2-13 becomes

$$(s_{new} \gg s_{old}) \quad s(t) \approx s_{new} \left(1 - e^{-\frac{t}{T_{fill}}} \right). \quad (\text{Eq. 6.6.2-14})$$

In effect the initial solubility is negligible compared to s_{new} , and solubility at late times increases to s_{new} from below. Alternatively, if $s_{new} \ll s_{old}$,

$$(s_{old} \gg s_{new}) \quad s(t) \approx s_{old} e^{-\frac{t}{T_{fill}}} + s_{new}. \quad (\text{Eq. 6.6.2-15})$$

Here the solubility will decay towards a much smaller value in the new groundwater mixture.

While the details of the time-dependent behavior are unknown, the starting and ending states must be accurate and Equations 6.6.2-14 and 6.6.2-15 provide a simplified approximation to the transition from one chemical regime to another. Note also that the dissolution rate could replace solubility in Equations 6.6.2-13 through 6.6.2-15, and the same general conclusions would hold.

In summary, the response of the bathtub model to a change in groundwater chemistry will be slower than that for a flow-through model, where the solubility or dissolution rate will change abruptly with a step change in groundwater chemistry. The bathtub damps or delays the response to a change in groundwater chemistry over a time scale on the order of perhaps T_{fill} to $7T_{fill}$. The upper estimate of $7T_{fill}$ corresponds to an exponential factor of e^{-7} or 0.0009, at which point Equation 6.6.2-15 should have asymptoted to s_{new} . The analytic models cannot predict the precise time dependence because of the nonlinear effects of mixing on pH and of pH on solubility and dissolution rate.

The flow-through model is conservative when solubility increases because the bathtub geometry delays the increase in radionuclide concentrations and mass fluxes from the WP to the EBS. Note that the case of increasing solubility or increasing dissolution rate is important because it will increase the peak dose rate. The fact that the flow-through model is not conservative when solubility or dissolution rate decreases is therefore of less importance for performance assessment and is of secondary importance in selecting the conceptual model for flow through the WP.

6.6.2.3 Change in Patch Geometry

The geometry for the bathtub model allows seepage to collect within the WP before being released to the EBS. In the primary model (Figure 6), the patch is positioned such that release is governed by the condition $q_{out} = q_{in}$ after the package fills with liquid.

An alternative conceptual model does not have an existing patch on the side of the package, but instead allows the second patch to fail abruptly beneath the water line. While the radionuclide concentration within the WP is unchanged by the alternative location, failure will result in the sudden release of a larger pulse (mass) of radionuclides at the failure time of the second patch. Mathematically, the flux of radionuclides leaving the WP in the primary model, F_{pri} , is given by:

$$F_{pri} = cq_{out} = cq_{in} = c \frac{V_{tub}}{T_{fill}}, \quad (\text{Eq. 6.6.2-16})$$

and the flux of radionuclides leaving the WP in the alternative model, F_{alt} , is given by:

$$F_{alt} = c \frac{V_{tub}}{\Delta T}, \quad (\text{Eq. 6.6.2-17})$$

where ΔT is the time to empty the retained liquid through the second patch. In theory, it is possible that $\Delta T \ll T_{fill}$, so that $F_{alt} \gg F_{pri}$.

Equations 6.6.2-16 and 6.6.2-17 have the same value for radionuclide concentration, c , in the retained liquid because the chemistry of the groundwater is independent of patch location. Equations 6.6.2-16 and 6.6.2-17 also assume that the second patch in the alternative conceptual model fails when the volume of liquid is identical to the capacity of the WP in the primary model.

It is worth noting that the flow-through model produces an average release continuously, while the bathtub model with the alternative flow path produces zero release initially, followed by a high pulse that returns to the same flux as the flow-through model. In other words, the flow-through model represents a time average of the response of the bathtub model. From this viewpoint, the potential difference F_{alt} and F_{pri} will be partly mitigated by the sorption and diffusion processes in the unsaturated and saturated zones. The potential difference between F_{alt} and F_{pri} will also be small if the second patch fails shortly after the first penetration because there will be less retained liquid.

This alternative scenario can also be thought of as being equivalent to the appearance of additional penetrations in the WP. This analogy is appropriate because additional penetrations in the WP increase the groundwater flux into the waste form, resulting in higher releases to the EBS. The main effect of the alternative conceptual model is to generate this increase earlier. This is not considered a major difference because there is a very wide range of variability in corrosion rates for the TSPA-SR model (CRWMS M&O 2000h). The effect of the alternative conceptual model can then be reasonably considered to be captured within this variability.

The results and observations in this section (6.6.2.3) and throughout Section 6.6 are appropriate for the general boundary conditions considered here. In other words, this comparison is based on the full fluid flux into the WP having access to all radioisotopes in the waste. An alternative conceptual model, in which radionuclides are mobilized in a rind of corrosion products around the fuel pellets, will partly mitigate the differences discussed here. This mitigation occurs because a large fluid flux will not transport radionuclides at the solubility limit if the mass in solution is limited by the pore volume in a rind of corrosion products. The situation is then similar to that mentioned at the end of Section 6.6.2.1, where mass transfer to the UZ is the dominant issue, rather than dissolved concentration.

6.6.3 Summary

The response of the bathtub geometry has been evaluated for a primary case, with constant boundary conditions and material properties, and for three secondary cases. Analyses for the three secondary cases consider a step change in inflow rate, a step change in groundwater chemistry, and a change in flow geometry, as would occur if a patch suddenly failed beneath the waterline. All cases include consideration of two types of radionuclide release mechanisms: dissolution-rate-limited and solubility-limited. The comparisons are based on closed form analytic solutions.

The key conclusions from the evaluation follow:

- The bathtub model introduces a time delay in the release of radionuclides from the WP to the EBS in comparison to the flow-through model for the primary case. The flow-through model is conservative in relation to the bathtub geometry for the primary case because there is no delay in release of radionuclides to the EBS.
- The response of the bathtub model to a step change in inflow rate (secondary case 1) is identical to the flow-through model for solubility-limited radionuclides. The response of the bathtub model for dissolution-rate-limited radionuclides is to delay the change in

concentration and mass flux associated with the new inflow rate. The flow-through model is conservative with respect to the bathtub geometry for the case of decreasing inflow, when the concentration of radionuclide increases. The case of increasing radionuclide concentration is of primary interest from a performance or regulatory viewpoint.

- The response of the bathtub model to a step change in groundwater chemistry (secondary case 2) is to delay the change in concentration and mass flux associated with the new groundwater chemistry. Analytical models cannot define the exact time delay, which will be sensitive to nonlinear chemical effects when groundwaters mix. Limiting cases, when solubility increases or decreases by several orders of magnitude, have been examined to define a first order approximation to the response of the chemical system.

The flow-through model is conservative with respect to the bathtub geometry when solubility or dissolution rate increase with changing groundwater chemistry. The flow-through model is conservative because it has an instantaneous change to the higher equilibrium value while the bathtub geometry delays the change until the old groundwater is flushed out of the WP. Note that the case of increasing radionuclide concentrations and fluxes is of primary interest from a performance or regulatory viewpoint, so the lack of conservatism of the flow-through model for decreasing solubility or dissolution rate is ignored here.

- The response of the bathtub model when a second patch opens instantaneously beneath the water level in the WP (secondary case 3) has been analyzed. The impact of the instantaneous opening is to release a pulse (additional mass) of radionuclides in comparison to the flow-through model. The impact of this alternative conceptual model is mitigated by the time delays introduced through sorption and diffusion in the unsaturated and saturated zones. In addition, the higher mass flux from the alternative flow path is similar to the impact from additional patches opening in the WP. Note that there is a wide range of variability in corrosion rates for the TSPA-SR model, and the impact from the instantaneous opening is probably encompassed in the uncertainty in corrosion rates.

The impact of this alternative flow path has therefore been screened out of TSPA-SR analyses because of the potential mitigation from sorption and diffusion and because the variability of corrosion rates provides substantial uncertainty in radionuclide fluxes from the WP.

7. CONCLUSIONS

The EBS RT Abstraction for the flow of water and the transport of radionuclides in the EBS has been defined in this AMR. This model is a reasonably bounding model because it is designed to overestimate flow through the DS and into the WP and transport out of the EBS. Future efforts will refine some of the approximations in the current model in order to have a more realistic yet still conservative approach for licensing calculations.

7.1 CONCEPTUAL MODEL SUMMARY

Radionuclide transport out of the waste form and WP, through the invert, and into the UZ is dependent on a complex series of events in the repository. After the WPs are emplaced, radioactive decay of the waste will heat the drifts and locally perturb the normal percolation of water through the mountain. As the drifts cool, some of the water percolating through the mountain may drip and wick into the drifts and subsequently contact some of the DSs. Over time, the DS, WP, and other components of the EBS are expected to degrade, leading to the mobilization and transport of radionuclides through the EBS to the UZ. The primary transport medium through the EBS is anticipated to be water. Either a thin film or moving water is necessary for radionuclide transport out of the WP and through the invert to the UZ.

A number of key factors will affect the mobilization and transport of radionuclides through the EBS:

- Performance of the DSs
- Performance of the WPs
- Protection provided by cladding
- Waste form degradation rates
- Entry and movement of water through WPs
- Solubilities of radionuclides
- Transport of radionuclides through and out of the WPs
- Transport of radionuclides through the invert below the WPs
- Colloidal transport of radionuclides

Once a DS is breached, water may contact the WP. Once a WP is breached, water may enter the package as water vapor or as drips. If the cladding around spent fuel pins or the canister around a vitrified waste form is also breached, radionuclides may start to dissolve in the water. The dissolved concentration of each radionuclide mobilized from the waste form cannot exceed the radionuclide solubility limit, unless suspended colloids are included. Colloids may be important for two reasons: they may increase the release of radionuclides from the WP, and they may increase the transport velocity of radionuclides. Radionuclides mobilized in water as dissolved or colloidal species may then be transported by advective and diffusive transport from the waste form, through the WP, and out of breaches in the WPs. Once outside the package, the radionuclides will be transported through the invert predominantly by diffusion, if water is not flowing through the invert, or by advection, if an appreciable amount of water is flowing through the invert.

The conceptual model for flow through the EBS identifies nine key flow pathways. These pathways and their relationships are summarized in the following list and in Table 8. Sections 6.1.1, 6.2, and 6.3 contain a detailed technical discussion of the EBS flow abstraction.

- **Seepage Flux and Capillary Flux**—These are the input fluxes or boundary conditions. The seepage flux is valid for the nonbackfilled EBS (the design baseline for TSPA-SR, CRWMS M&O 2000a) or for a backfilled EBS. The capillary flux is only applicable to the backfilled EBS.
- **Through the DS to the WP**—Flux through the DS is based on the ratio of the axial lengths of breaches in the DS to the total axial length of the DS. The number of patches and pits in the DS and WP are calculated by the WP Degradation software (WAPDEG).
- **DS to Unsaturated Zone (Diversion around DS)**—Any seepage flux that doesn't go through the DS is assumed to bypass the EBS and flow straight into the UZ.
- **Through the WP to the WF**—Flux into the WP is proportional to the product of the flux through the DS and the ratio of the lengths of patches and pits in the WP to the total axial length of the WP.
- **WP to Invert (Diversion around WP)**—Flow that doesn't go through the WP is diverted to the invert.
- **Invert to WP (Evaporation)**—If the DS is cooler than the invert, all the evaporative flux from the invert is assumed to drip on the WP. If the DS is hotter than the invert, there is no dripping on the WP from the evaporative flux. Current thermal-hydrologic calculations indicate that condensation does not occur on the underside of the DS.
- **Waste Form to Invert**—All the flux from the WP flows to the invert, independent of patch/pit location on the WP.
- **Invert to UZ**—All the flux into the invert is released into the UZ.

Table 8. Summary of EBS Flow Abstraction

Flow Pathway	Flow Parameters	Data Sources & Notes
1. Seepage flux, F_1	Seepage flux is a boundary condition for the EBS flow abstraction	(CRWMS M&O 2000i) provides time-dependent and location-dependent values of seepage flux.
2. Capillary flux, F_2	Capillary flux is a boundary condition for the EBS flow abstraction.	Capillary flux will be determined by abstraction of computational results from the NUFT code (CRWMS M&O 2000j).
3. Flux through the DS, F_3	$F_3 = (F_1 + \alpha F_2) \times (L_{DS_SCC} + L_{DS_Patch} + L_{DS_Pit} + L_{DS_Gap}) / L_{DS}$; α is a factor between 0 and 1 based on the design and backfill properties, if present; (see Section 6.2.4)	WAPDEG (CRWMS M&O 1998c) will provide the number of patches, pits and SCCs on the DS; patch size is constant: $7.21 \times 10^4 \text{ mm}^2$ (CRWMS M&O 2000b); $L_{DS_Patch} = (7.21 \times 10^4)^{0.5} = 269 \text{ mm}$ L_{DS_Gap} is calculated from a seismic response model (see Section 6.5.4)
4. Diversion around DS, F_4	$F_4 = F_1 + \alpha F_2 - F_3$. (see Section 6.2.4)	Continuity of liquid flux (quasi-steady flow)
5. Flux into the WP, F_5	$F_5 = (F_3 + F_7) \times (L_{WP_Patch} + L_{WP_Pit}) / L_{WP} + (F_3 + F_7) \times (L_{WP_SCC}) / (L_{WP} + L_{WP_SCC})$ for the	WAPDEG will provide the number of patches, pits and SCCs on the WP;

Flow Pathway	Flow Parameters	Data Sources & Notes
	<i>baseline design (no backfill); $F_5 = (F_3 + F_7) \times (L_{WP_Patch} + L_{WP_PI}) / L_{WP} + F_7 (L_{WP_SCC}) / (L_{WP} + L_{WP_SCC})$ if the EBS is backfilled.</i> (see Section 6.3.2)	patch size is constant: $2.346 \times 10^4 \text{ mm}^2$ (CRWMS M&O 2000b); $L_{WP_Patch} = (2.346 \times 10^4)^{0.5} = 158 \text{ mm}$ L_{WP_SCC} is calculated based on the maximum tilt angle of the WP and the diameter of the closure lid (see Section 6.3.2)
6. Diversion around the WP, F_6	$F_6 = F_3 + F_7 - F_5$ (see Section 6.3.2)	Continuity of liquid flux (quasi-steady flow)
7. Evaporative flux, F_7	If $T_{DS} < T_{invert}$, then F_7 is the evaporative flux calculated by NUFT; else $F_7 = 0$. (see Section 6.3.3)	Data for temperatures and evaporative flux are based on an abstraction of NUFT calculations (CRWMS M&O 2000j).
8. Flux to the invert, F_8	$F_8 = F_5$ (see Section 6.3.4)	Quasi-steady, flow-through assumption for WP
9. Flux to the UZ, F_9	$F_9 = F_6 + F_8 - F_7$ (see Section 6.3.4)	Quasi-steady flow-through assumption for invert. Note that only F_8 can transport radionuclides through the invert.

The waste form is the source of all radionuclides in the potential repository system. Radionuclides can be transported downward, through the invert and into the UZ. Transport can occur through advection when there is a fluid flux through the WP, and by diffusion through thin films in the WP when there are SCCs in the lids of the package. The concentration of each radionuclide during transport is limited by the sum of its (dissolved) solubility limit and the presence of any colloidal particles that may act as reversible or irreversible carriers for the radionuclide.

Transport through the quartz sand backfill, if present, is anticipated to be negligible under any conditions. Before the DS fails, upward diffusion through the backfill will be negligible for two reasons. First, a continuous, direct flow path does not exist between the WP and the backfill while the DS is intact. Second, the circuitous pathway that goes down to the invert, laterally across the invert and then upward through the backfill will most likely be dominated by any seepage or capillary fluxes moving through the backfill toward the UZ. After the DS fails upward diffusion will be negligible in comparison to the downward advective flux through the DS.

The diffusion coefficient in the invert is based on the self-diffusion coefficient of water at 25°C as a bounding value for all radionuclides. The effects of porosity and time-dependent saturation in the invert are conservatively incorporated, based on experimental data. The effect of temperature is also incorporated into the abstraction for the diffusion coefficient. The diffusion coefficient for colloids is estimated to be the diffusion coefficient for a dissolved species divided by a factor of 100.

No credit is taken for the potential for sorption in the waste form, WP or invert. Partition coefficients for all radionuclides are conservatively set to zero in the WP and invert.

The conceptual model for transport through the EBS focuses on the flow from the waste form to invert and from the invert to the UZ. The transport pathways and transport processes (advection

or diffusion) are summarized in Table 9. Sections 6.1.2 and 6.4 contain a detailed technical discussion of the EBS transport abstraction.

Table 9. Summary of EBS Transport Abstraction

Transport Pathway	Transport Modes	Transport Parameters and Data Sources
1. Through 7.	None	No transport is expected along pathways 1. through 7. (see Section 6.4)
8. WP to invert (F_8)	Diffusion through SCCs; Diffusion and advection through patches; Diffusion and advection through pits (if present)	Fluid flux for advection = F_8 ; No retardation in waste form/WP; No lateral or forward dispersion; Colloidal particles will transport radionuclides. Diffusive area for each SCC is given by $4.08 \times 10^{-6} \text{ m}^2$ (see Sections 6.3.1.2.1 and 6.4.3); Diffusive area for each patch is $2.346 \times 10^4 (\text{mm})^2$ (1000 nodes on the surface of the WP); Diffusive length in WP is 135 mm to 185 mm depending on WP type (see Section 6.4.3) Diffusion coefficient (all radionuclides): - $2.299 \times 10^{-5} \text{ cm}^2/\text{s}$ at 25°C (see Section 6.4.1.1) - Corrected for porosity, saturation and temperature (see Sections 6.4.1.2 and 6.4.1.3) - Reduced by a factor of 100 if radionuclide is bound to a colloid (see Section 6.4.2)
9. Invert to UZ (F_9)	Diffusion and advection through the invert;	Fluid flux for advection = $F_9 = F_8$; No retardation in waste form/WP; No lateral or forward dispersion; Colloidal particles will transport radionuclides. Diffusive length = 0.606 m (max thickness of invert; see Table 1); Diffusion coefficient (all radionuclides): - $2.299 \times 10^{-5} \text{ cm}^2/\text{s}$ at 25°C (see Section 6.4.1.1) - Corrected for porosity, saturation and temperature (see Sections 6.4.1.2 and 6.4.1.3) - Reduced by a factor of 100 if radionuclide is bound to a colloid (see Section 6.4.2). The flow cross-sectional areas, A_{invert} and A_{UZ} , assume a cylindrical geometry corresponding to the low half of the WP lying on the invert. A_{invert} is one-half the surface area of the WP and A_{UZ} is the corresponding surface area at a radius equal to the radius of the WP plus the thickness of the invert. The invert diffusion calculation uses radionuclide concentrations in the WP as the boundary condition at the top of the invert and a swept away (effectively zero) radionuclide concentration at the interface with the UZ.

The thermal and mechanical response of the DS is an important factor in EBS performance. The thermal and mechanical response of the DS has been evaluated for five mechanisms: (1) thermal expansion, (2) floor heave, (3) rock fall, (4) seismic response, and (5) emplacement pallet failure. The latest DS design (CRWMS M&O 2000c, Att. II, SK-0148 REV05) has a system of posts and mating holes that provide a strong, mechanical connection between adjacent DSs and ensure the performance of the DS as a flow barrier for the EBS.

Thermal expansion has been screened out because there is enough clearance between the posts and their mating holes to accommodate the maximum axial expansion. Floor heave and rock fall

will produce minor structural response in relation to the potential overlap between adjacent DSs. These mechanisms have therefore been screened out from the TSPA-SR. The system of posts and mating holes is anticipated to be strong enough to hold adjacent DSs together during the vibratory/ground motions general by the design basis earthquake and during the potential effects from failure of the emplacement pallet. The DS design will continue to be refined if the Yucca Mountain site is designated by the President. Detailed structural response calculations may be performed before the TSPA-LA; in the interim, the effects of seismic events on the DS as a flow barrier have been screened out of the TSPA-SR.

7.2 MODEL VALIDATION

The emphasis in this AMR is on a reasonable approach that bounds the response of the EBS. The EBS RT Abstraction is a conceptual model for flow and transport through the EBS. It is based on typical flow processes, such as the seepage/flow of liquid water through the EBS and the potential for condensation on the underside of the DS. The transport abstraction is based on the diffusive and advective processes for dissolved and colloidal species that are commonly considered for contaminant transport calculations. The use of reasonable bounds is appropriate because of the uncertainty in the response of a very complex engineered system over long periods of time.

The EBS RT Abstraction is valid and appropriate for its intended use because it is designed to represent fundamental flow and transport processes in a bounding or conservative framework. The following features provide confidence in the Abstraction:

- The flow splitting algorithm is based on a quasi-steady approach. This approach is appropriate for slowly varying flow systems that are close to steady state conditions, as will exist for most of the lifetime of the repository. The steady state approach preserves conservation of mass for the seeping fluid and enhances immediate release of radionuclides from the WP.
- The transport abstraction is based on the diffusive and advective processes that are commonly used in a wide variety of situations (Freeze and Cherry, 1979). The conceptual models for contaminant transport are widely accepted in the scientific community.
- The model for the diffusion coefficient is based on an extensive data set for a variety of granular materials, including crushed tuff (Conca and Wright, 1992). These data include the effects of saturation and porosity and are directly applicable to the invert. The model for the diffusion coefficient also corrects for the effect of temperature through the Nernst Einstein equation, a well-accepted approach.
- The results from multi-dimensional thermal hydraulic models provide abstracted boundary conditions for EBS flow processes. For example, these boundary conditions define the seepage flux into the EBS as a function of time and location in the repository and define the saturation in the invert as a function of time and location. This approach couples the EBS abstraction with the most detailed descriptions of thermal hydraulic processes in the drifts and near-field that are currently available.

Many details of EBS flow and transport have substantial uncertainty, particularly when complex processes are represented as a one-dimensional abstraction. It is appropriate to use bounding

approximations when substantial uncertainties are thought to exist. Following are the noteworthy bounding approximations or conservatisms in this abstraction:

- **Seepage through the DS always falls on a WP.** DS placement in the current potential repository design is such that the overlap between adjacent DSs should be above the small axial gap between adjacent packages (CRWMS M&O 2000a). It is then possible that seepage through the DS will fall directly to the invert, missing the WP entirely. This feature is conservatively ignored.
- **Seepage is assumed to uniformly wet the DS and WP.** The pathways for seepage into the drifts are fractures or fracture sets. As a result, seepage will vary spatially and temporally over the approximately 10,000 waste packages in the potential repository. Therefore, the response of groups of waste packages is represented as averages for performance assessment. In addition, it is assumed that any breach is located so that it will collect all fluid that drips onto the drip shield or waste package at the same axial location as the breach. This assumption conservatively ignores the fact that fluid dripping onto the lower portion of the drip shield or waste package will not flow through a breach high on the drip shield or waste package. It also conservatively ignores the fact that seepage on the left half of a drip shield or waste package cannot flow through a breach on the right half.
- **Diffusive transport is maximized because transport is always possible through SCCs and because the WP is in contact with the invert.** The waste form is assumed to be covered with a thin liquid film that supports diffusive transport at all times. In addition, the WP is assumed to be in contact with the invert, providing a continuous liquid pathway for diffusion. Radionuclides will then be released by diffusion through a SCC, even when the DS is intact and there is no advective flux into the WP. Note that this transport pathway will also function when the package is hot and in-package evaporation may be significant enough to dry out the thin liquid films on the waste form.
- **Release of radionuclides through advective transport is independent of the location of breaches on the WP.** Advective transport out of the WP is based on a flow-through model that is independent of the location of penetrations through the WP or the DS. This means that a WP with only one penetration or a WP with one or more penetrations on its upper surface and none on its lower surface will still have advective transport into the invert.
- **Evaporation within and on the WP is ignored.** Diffusive or advective transport will cease if the heat from the waste form can evaporate any thin liquid films on the waste form or evaporate a small seepage flux on the surface of the WP. The potential for evaporation to eliminate radionuclide transport is conservatively ignored in the EBS RT Abstraction.
- **Bounding value for diffusion coefficient.** The use of a bounding diffusion coefficient for all radionuclides may overestimate the diffusion coefficient of actinide complexes by an order of magnitude. The effect of porosity and liquid saturation on the free water diffusion coefficient has been included in a conservative manner. These assumptions enhance diffusion.

7.3 EVALUATION OF NRC ISSUE RESOLUTION STATUS REPORT CRITERIA

This document defines the abstraction model for flow of liquid and transport of radionuclides through the EBS. Being conceptual in nature, it is not possible to evaluate many of the IRSR acceptance criteria in Section 4.2. For example, sensitivity studies have not yet been performed with the full TSPA-SR model and are beyond the scope of this document.

The relevance of this AMR to the NRC IRSR criteria for the ENFE KTI is as follows:

From Section 4.2.1.1 (Applicable Data and Model Justification Acceptance Criteria), Criteria 1, 2, and 3 are addressed by this abstraction. With regard to Criterion 3, much of the data used in this abstraction needs further work in terms of its completeness and its quality assurance. Criteria 4 and 5 are outside the scope of this AMR.

From Section 4.2.1.2 (Applicable Data Uncertainty and Verification Acceptance Criteria), Criteria 1, 2, and 3 are addressed by this abstraction. With regard to Criterion 2, much of the data used in this abstraction needs further work in terms of its completeness and its quality assurance. Criteria 4 and 5 are outside the scope of this AMR.

For Section 4.2.1.3 (Model Uncertainty Acceptance Criteria), Criteria 2 and 3 are addressed by this AMR. Note that other alternative modeling approaches may be considered in response to future design changes for the EBS. Criterion 1 is outside the scope of this AMR.

For Section 4.2.1.4 (Model Verification Acceptance Criteria), Criterion 1 is addressed by this abstraction. Criteria 2 and 3 require computational testing that is beyond the scope of this AMR.

For Section 4.2.1.5 (Integration Acceptance Criteria), Criteria 1 and 2 are addressed by this abstraction. Criteria 3 and 4 require computational testing and sensitivity studies that are beyond the scope of this AMR.

For Section 4.2.1.6 (Programmatic Acceptance Criteria), Criterion 1 is addressed by this abstraction. With regard to Criterion 2, much of the data used in this abstraction needs further work in terms of its completeness and its quality assurance. Criterion 3 is not applicable to this AMR because expert elicitation has not been used to develop this abstraction.

The relevance of this AMR to the NRC IRSR criteria for the CLST KTI is as follows:

For Section 4.2.2.1 (General Acceptance Criteria for All Subissues), Criteria 1, 3, 5, 6, 7, and 9 are addressed in this AMR. Again, much of the data used in this abstraction needs further work in terms of its completeness and its quality assurance before Criterion 3 can be resolved. Criteria 2, 4 and 8 are outside the scope of this AMR.

For Section 4.2.2.2 (Applicable Acceptance Criteria for Subissues 3 and 4), these criteria are not directly addressed in this AMR. The emphasis in these criteria is on the mobilization of radionuclides from SNF and HLW, rather than transport through the EBS to the UZ. Criteria 2, 3, and 4 involve detailed calculations or testing and are beyond the scope of this AMR.

The final element of Criterion 1, release of radionuclides from the WP emplacement drifts, is addressed by this AMR.

For Section 4.2.2.3 (Applicable Acceptance Criteria for Subissue 6), criteria 1 and 2 are addressed by this AMR. Criterion 3 is beyond the scope of this AMR.

The relevance of this AMR to the NRC IRSR criteria for the TEF KTI is as follows:

For Section 4.2.3.1 (Acceptance Criteria for Subissue 3), criteria 1, 2, 3, and 4 are addressed by this AMR. With regard to the need for sufficient data in criterion 4, much of the data used in this abstraction needs additional work in terms of its completeness and quality assurance before this criterion can be resolved with the NRC.

7.4 TO BE VERIFIED (TBV) IMPACT

There are no To Be Verified items that are used as direct inputs to this model.

7.5 FEPS EVALUATION

Although the FEPs found in Table 2 are discussed in this document, they cannot be fully resolved until the results with this conceptual model are evaluated through a complete analysis and sensitivity study of results from the TSPA-SR.

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9. ATTACHMENTS

Attachment	Title
I	Overlap And Clearance Between Adjacent Drip Shields
II	Analytic Solution for the Primary Case, $t > T_{fill}$

I. OVERLAP AND CLEARANCE BETWEEN ADJACENT DRIP SHIELDS

The overlap between adjacent DSs is calculated from sketch SK-0148 REV 05 in Attachment II of CRWMS M&O 2000c. The design configuration is shown schematically in Figure I.1. The overlap between adjacent DSs can be calculated as the sum of the following dimensions:

• Distance from edge of DS connector plate-1 to center of mating hole	175 mm
• Distance from edge of DS plate-1 to center of post	420 mm
Overlap	595 mm

Figure I.1. Geometry for Calculation of Overlap Between Drip Shields

The clearance between adjacent DSs can be calculated as the difference in diameter of the mating hole in the DS Connector Plate-1 and the diameter of the post in the DS plate-1. This calculation must include the curvature of the top of the DS, the vertical offset produced by the DS Connector Guide, and the taper on the post. The geometry for the calculations is shown in Figure I.2, based on sketch SK-0148 REV 05 in Attachment II of CRWMS M&O 2000c.

Figure I.2. Schematic (Not to Scale) for Calculation of Clearance Between Post and DS Connector Plate-1

The post has a 2° taper, based on the 137° angle between the DS Plate-1 and the side of the post. Then the diameter of the post, D , as a function of h , the distance from the top of the post, is given by:

$$D = 100 + 2h \tan(2^\circ) \quad (\text{I-1})$$

The definitions of D and h are illustrated in Figure I.3.

Figure I.3. Definitions of D and h for calculating clearance.

The distance from the top of the post to the bottom of the DS Connector Plate-1 is given by:

$$h = 200 - 50\sqrt{2} - 50 = 79.3\text{mm}. \quad (\text{I-2})$$

This calculation is based on the total height of the post (200 mm) minus two lengths. The first length is the vertical displacement for the offset of 50 mm, which is $50\sqrt{2}$ because of the 45° angle of the DS plates. The second length is the vertical displacement at the center of the post, which is 50 mm because the radius of the post is 50 mm and because the angle of the DS plates is 45° . The diameter of the post at the bottom surface of the DS Connector Plate-1 is then:

$$D = 100 + 2(79.3) \tan(2^\circ) = 105.5\text{mm} \quad (\text{I-3})$$

II. ANALYTIC SOLUTION FOR THE PRIMARY CASE, $t > T_{full}$

For $t > T_{fill}$, the mass balance within the WP is given by:

$$\frac{dm}{dt} = Df - q_{out} \frac{m(t)}{V_{tub}}, \quad (\text{Eq. 6.6.1-6})$$

or rearranging terms and using the condition that $q_{out} = q_{in}$,

$$\frac{dm}{dt} + \frac{q_{in}}{V_{tub}} m(t) = Df. \quad (\text{Eq. II-1})$$

Multiply Equation II-1 by the integrating factor $e^{\frac{q_{in}}{V_{tub}} t}$,

$$\frac{d}{dt} \left(m(t) e^{\frac{q_{in}}{V_{tub}} t} \right) = Df e^{\frac{q_{in}}{V_{tub}} t}. \quad (\text{Eq. II-2})$$

or

$$\frac{d}{dt} \left(m(t) e^{\frac{q_{in}}{V_{tub}} t} \right) = Df e^{\frac{q_{in}}{V_{tub}} t}. \quad (\text{Eq. II-3})$$

Integrating Equation II-3 from time T_{fill} to time t :

$$m(t) e^{\frac{q_{in}}{V_{tub}} t} - m(T_{fill}) e^{\frac{q_{in}}{V_{tub}} T_{fill}} = \int_{T_{fill}}^t Df e^{\frac{q_{in}}{V_{tub}} dt}, \quad (\text{Eq. II-4})$$

$$m(t) e^{\frac{q_{in}}{V_{tub}} t} - m(T_{fill}) e^{\frac{q_{in}}{V_{tub}} T_{fill}} = \left(\frac{Df V_{tub}}{q_{in}} \right) \left(e^{\frac{q_{in}}{V_{tub}} t} - e^{\frac{q_{in}}{V_{tub}} T_{fill}} \right). \quad (\text{Eq. II-5})$$

The initial condition for Equation II-5, corresponding to $t = T_{fill}$, is determined by conditions at the end of the fill period. From Equation 6.6.1-2, the mass of radioisotope dissolved within the liquid at time T_{fill} is given by:

$$m(T_{fill}) = Df T_{fill} = Df \frac{V_{tub}}{q_{in}}. \quad (\text{Eq. II-6})$$

It follows that

$$m(t) e^{\frac{q_{in}}{V_{tub}} t} - m(T_{fill}) e^{\frac{q_{in}}{V_{tub}} T_{fill}} = m(T_{fill}) \left(e^{\frac{q_{in}}{V_{tub}} t} - e^{\frac{q_{in}}{V_{tub}} T_{fill}} \right) \quad (\text{Eq. II-7})$$

$$m(t)e^{\frac{q_{in,t}}{V_{ub}}} = m(T_{fill}) \left(e^{\frac{q_{in,t}}{V_{ub}}} \right) \quad (\text{Eq. II-8})$$

and therefore

$$m(t) = m(T_{fill}) = DfT_{fill}, \quad (\text{Eq. II-9})$$

if Equation II-8 is to hold at all values of the time, t . Equation II-9 is the same as Equation 6.6.1-7 in Section 6.6.1.