Final Report

DOE Award No. DE-FG02-86ER13504

Project Period: 4/15/86 - 4/15/99

Research Site: Department of Chemistry, University of Rochester, Rochester, NY 14627

Principal Investigator: David G. Whitten

Summary of Research Results:

The research conducted under this award has focused on the study and development of useful chemical reactions initiated via photoinduced electron transfer events. Specifically the research was initiated with a goal of determining whether the energy "harvested" in a photoinduced electron transfer process could be used to selectively break relatively strong carbon-carbon bonds in organic molecules that otherwise might be relatively unreactive. Since most of the photoinduced electron transfer reactions studied involved a single electron transfer event, the reactions invariably involved bond cleavage processes occurring from odd electron radical ions or ion-radical pairs. Our first results, summarized in publications 1-5 (see list below) showed that amines, amino alcohols and related compounds, subjected to photoinduced one-electron oxidation, undergo selective fragmentation at rates rapid enough to compete with return electron transfer within a contact radical ion pair. Importantly, these results showed that both partners in the contact ion-radical pair - the oxidized amine and the reduced electron acceptor – are essential for rapid reaction. Subsequent work revealed that quite different donor and acceptor substrates could be used in these reactions (publications 6-14). The studies of fragmentation reactions was extended to a series of different substrates and media (publications 14-18, 19-23) and gradually a focus was developed on potential applications. One particularly interesting application was the development of small molecules and polymers containing both a light absorbing acceptor and a fragmentable donor (publications 19, 21, 24). The polymers constructed in this manner exhibit light induced decomposition and offer some promise as useful photodegradable materials. The last few years of the project focused on photoinduced electron transfer fragmentations occuring as chain processes. Thus in a typical situation organic halide acceptors and electron rich pinacols as donors were found to undergo a double fragmentation reaction that in certain cases occurred as an ion-radical chain fragmentation (publications 26, 27, 31). These reactions, particularly those in which donor and acceptor pinacols both can fragment, (publications 31 and 32) can result in large amplifications of an initial photoprocess and consequent quantum yields much greater than unity. The possibility that these reactions can be used in dosimetry for both ultraviolet and visible light or for ionizing radiation has been carried out by investigators subsequent to their leaving Rochester.

DOE Patent Clearance Granted

Mark F Dvorscak

Mark Drovsrale

(630) 252-2393 E-mail: mark.dvorscak@ch.doe.gov Office of intellectual Property Law DOE Chicago Operations Office

DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

DISCLAIMER

Portions of this document may be illegible in electronic image products. Images are produced from the best available original document.

Graduate Students Supported on this Project: (all at the University of Rochester)

Xiaohong Ci (Ph. D.)
Matthew Kellett (Ph. D.)
Christian Haugen (Ph. D.)
Wanda Richards (Ph. D.)
Xiaomei Zhao (M. S.)
Jeffrey Leon (Ph. D.)
Leroy Kloeppner (M. S.)
Liaohai Chen (Ph. D.)
Kathy Wyrozebski (M. S.)

•

Postdoctoral Researchers:

Lester Y. C. Lee Carlos Chesta Hong Gan Uwe Leinhos M. S. Farahat Lucian Lucia Elizabeth R. Gaillard

Visiting Scientists and Other Collaborators

I. R. Gould (Eastman Kodak)
William Bergmark (Ithaca College
S. Farid (Eastman Kodak)
David Nicodem (University of Rio de Janeiro)
Vivian Yam (University of Hong Kong)

Publications:

- 1. X. Ci, L. Y. C. Lee, and D. G. Whitten. "Photoinduced Electron-Transfer Fragmentation of Amino Alcohols: Stereochemical Effects and Connectivity between One-and Two-Electron Events". J. Am. Chem. Soc., 109, 2536 (1987).
- 2. X. Ci and D. G. Whitten. "Light-Induced Redox Reactions of Dyes, Metal Complexes and Amines: One- vs. Two-Electron Transfer Reactions and C-C Bond Cleavage Processes" in *Photoinduced Electron Transfer*, M. A. Fox and M. Chanon, editors; Elsevier, Amsterdam; Part C, pp. 553-577 (1988).
- 3. X. Ci and D. G. Whitten. "Photochemical Dehydrofragmentation Reactions: Importance of Donor and Acceptor Structure in Determination of Reactivity in

- Radical Ion Pairs Formed in Electron Transfer Photoreactions". J. Am. Chem. Soc., 109, 7215 (1987).
- X. Ci, R. S. da Silva, J. L. Goodman, D. E. Nicodem, and D. G. Whitten. "A
 Reversible Photoredox Reaction: Electron-Transfer Photoreduction of β-Lapachone
 by Triethylamine." J. Am. Chem. Soc., 110, 8548 (1988).
- 5. X. Ci, R. S. da Silva, D. E. Nicodem, and D. G. Whitten. "Electron and Hydrogen Atom Transfer Mechanisms for the Photoreduction of o-Quinones. Visible Light-Induced Photoreactions of β-Lapachone with Amines, Alcohols, and Amino Alcohols." J. Am. Chem. Soc., 111, 1337 (1989).
- 6. M. A. Kellett and D. G. Whitten. "Electron-Transfer Photofragmentation Reactions: Analogies and Divergences of the Reactivity of Ditertiary Amines as Compared with Aminoalcohols." J. Am. Chem. Soc., 111, 2314 (1989).
- C. M. Haugen and D. G. Whitten. "Singlet Oxygen Mediated Photofragmentation Reactions of Amino Alcohols: A Novel Oxidative Fragmentation Involving Both Superoxide and Excited Oxygen Intermediates." J. Am. Chem. Soc. 111, 7281 (1989).
- 8. X. Ci and D. G. Whitten. "Photofragmentation via Single-Electron Transfer: Selective Labilization of Carbon-Carbon Bonds in Amino Alcohols with Several Bonds between Heteroatom Substituents." J. Am. Chem. Soc. 111, 3459 (1989).
- 9. W. R. Bergmark and D. G. Whitten. "Cooperative Reactivity in Photogenerated Radical Ion Pairs: Photofragmentation of Amino Ketones". J. Am. Chem. Soc. 112, 4042 (1990).
- X. Ci, M. A. Kellett and D. G. Whitten. "Oxidative Photofragmentation of α,β-Amino Alcohols via Single Electron Transfer: Cooperative Reactivity of Donor and Acceptor Ion Radicals in Photogenerated Contact Radical Ion Pairs." J. Am. Chem. Soc., 113, 3893 (1991).
- 11. W. R. Bergmark and D. G. Whitten. "Fragmentation of Amino Ketones by Photoinduced Electron Transfer." Mol. Cryst. Lig. Cryst., 194, 239 (1991).
- 12. M. A. Kellett and D. G. Whitten. "Electron Transfer Photofragmentation Reactions of 1,2-Diamines. Studies of the Mechanism of the Carbon-Carbon Bond Cleavage." *Mol. Cryst. Liq. Cryst.*, 194, 275 (1991).

- 13. X. Ci and D. G. Whitten. "Exciplex Emission and Photofragmentation Reactions of Contact Ion Pairs Generated via Quenching of Cyanoaromatic Singlets by Amino Alcohols." J. Phys. Chem., 95, 1988 (1991).
- 14. W. R. Bergmark, I. R. Gould, M. A. Kellett and D. G. Whitten. "Surprising Differences in the Reactivity of Cyanoaromatic Radical Anions Generated by Photoinduced Electron Transfer." J. Am. Chem. Soc., 113, 358 (1991).
- 15. D. G. Whitten, C. Chesta, X. Ci, M. A. Kellett and V. W. Yam. "Photoinduced Single Electron Transfer Fragmentation and Cyclization Reactions. Medium and Interfacial Effects." Proceedings of the Conference on Photochemical Processes in Organized Molecular Systems, K. Honda, ed., Elsevier, Amsterdam, pp. 213-236 (1991).
- 16. C. A. Chesta and D. G. Whitten. "Photocyclization of a-Ketoamides in Homogeneous Solution and Aqueous Cyclodextrin Media. The Role of Zwitterions and Diradicals in Photoinduced Electron Transfer Reactions." J. Am. Chem. Soc., 114, 2188, (1992).
- 17. H. Gan, X. Zhao and D. G. Whitten. "Amine Photoredox Reactions: A Photoinduced "Methylene Shuttle" Initiated via Two-electron Oxidation of a Tertiary-Amine by Anthraquinone." J. Am. Chem. Soc., 113, 9409 (1991).
- 18. W.R. Bergmark, C. DeWan and D.G. Whitten. "Unusual Redox Behavior in the Photoinduced Electron Transfer Reactions of Amino Ketones." *J. Am Chem Soc.* 114, 8810 (1992).
- J. W. Leon and D. G. Whitten. "Photofragmentation in Linked-Donor Molecules. Intramolecular Single Electron Transfer Induced Cleavage of a 1,2-Diamine." J. Am. Chem. Soc. 115, 8038 (1993).
- C. Haugen, W. Bergmark, and D.G. Whitten. Singlet Oxygen Mediated Fragmentation of Amino Alcohols, 1,2-Diamines and Amino Ketones. J.Am. Chem. Soc. <u>114</u>, 10293 (1992).
- 21. D.G. Whitten, M.A. Kellett, J. Leon, H. Gan. "Photoinduced Electron Transfer Reactions: Factors Controlling the Rate and Efficiency of C-C-Bond Cleavage in Cation Radicals". Proc. of the 9th International Conference on Photochemical Conversion and Storage of Solar Energy (Int'l Acad. Pub., Beijing) 1992
- 22. H. Gan and D.G. Whitten. "A Sterically Controlled Recyclable System: Reversible Photoredox Reactions Between Anthraquinone and Hindered Tertiary Amines". J. Am Chem. Soc. 115, 8031 (1993).
- 23. H. Gan, M.A. Kellett, J.W. Leon, L. Kloeppner, U. Leinhos, I.R. Gould, S. Farid, D.G. Whitten. Novel Inter and Intramolecular Photochemical Reactions Initiated by

- Excited State Single Electron Transfer Processes, NATO Workshop Proceedings, J. Photochem. & Photobiol. A: Chem., 82, 211 (1994).
- 24. J. W. Leon and D.G. Whitten. Photodegradation of Oligomeric Polyesters Containing Anthraquinone and 1,2 Diamine Units. A Single Electron Transfer-Induced Cation Radical Bond Cleavage in the Solid State, J. Amer. Chem. Soc., 117, 2226 (1995).
- 25. H. Gan, U. Leinhos, I.R. Gould, D.G. Whitten Photochemical Electron Transfer Initiated Oxidative Fragmentation of Aminopinacols. Factors Governing Reaction Rates and Quantum Efficiencies of C-C Bond Cleavage, J. Phys. Chem. <u>99</u>, 3566 (1995).
- 26. L. Chen, M. Farahat, H. Gan, S. Farid, and D.G. Whitten. Photoinduced Electron Transfer Double-Fragmentation: An Oxygen Mediated Radical Chain Process in the Cofragmentation of Aminopinacol Donors with Organic Halides, J. Amer. Chem. Soc. 117, 6398 (1995).
- 27. L. Chen, M. Farahat, E. Gaillard, S. Farid, D.G. Whitten, Photoinduced Electron Transfer Double Fragmentation: An Oxygen Mediated Radical Chain Process in the Co-Fragmentation of Substituted Pinacol Donors with Carbon Tetrachloride, J. Photochem. & Photobio A: Chemistry 95, 21 (1996).
- 28. E.R. Gaillard, D. G. Whitten, Photoinduced Electron Transfer Bond Fragmentations, Accts. of Chem. Res., 29, 292 (1996).
- 29. D.G. Whitten, M. S. Farahat, E. R. Gaillard, Time Resolved Fluorescence and Transient Spectroscopy in Determining Photochemical and Photophysical Channels in Reacting Systems in Solutions and Microheterogeneous Media, *Photochem. & Photobio.*, 65, 23 (1997).
- L.Chen, L. A. Lucia, E. R. Gaillard, S. Icli, D. G. Whitten, Photooxidation of a Conjugated Diene Initiated by Oxygen Interception of an Exciplex: Amplification via Radical Chain Reactions in the Perylene Diimide-Photosensitized Oxidation of α-Terpinene, J. Phys. Chem. A, 102, 9095 (1998).
- 3H. L. Chen, L. Lucia, D. G. Whitten, Cooperative Electron Transfer Fragmentation Reactions. Amplification of a Photoreaction Through A Tandem Chain Fragmentation of Acceptor and Donor Pinacols, J. Amer. Chem. Soc., 120, 439 (1998).
- 32. L. Lucia, K. Wyrozebski, L. Chen, C. Geiger and D.G. Whitten, Electron Transfer Photofragmentation Reactions in Monolayer Films at the Air/Water Interface, Langmuir, 14, 3663 (1998).