Simulation and Design of InGaAsN-based Heterojunction Bipolar Transistors for Complementary Low-Power Applications

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Abstract

The performance capabilities of *Pnp* InGaAsN-based heterojunction bipolar transistors (HBT) for use in complementary HBT technology have been theoretically addressed with a two-dimensional simulation program based on the drift-diffusion model. Simulation results closely reproduce the DC characteristics experimentally observed from the first demonstrated *Pnp* AlGaAs/InGaAsN HBT with a current gain of 18 and a turn-on voltage around 0.89 V. Numerous design approaches have been explored to maximize the transistor performances. As a result, a substantial improvement of the DC current gain (by a factor of 2-3) and high-frequency operation performances (with f_T and f_{MAX} values up to 10 GHz) can be easily achieved with the proper use of varying base thickness X_B and dopant-graded base. The effect of the quaternary band-gap E_G value is also addressed. Simulation results show that *Pnp* device with turn-on

voltage ~ 0.7 V can be produced by lowering E_G to 1.0 eV, without any important degradation of DC and r.f. properties, because hole transport at the emitter/base side is not strongly affected. The replacement of the InGaAsN collector by GaAs is finally reported. Comparable DC and improved r.f. simulated performances are observed from this double HBT structure that takes advantages of the negligible Valence Band offset at the base/collector interface. These encouraging performances demonstrate the practicability of using InGaAsN-based HBTs for complementary low-power applications.

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1. Introduction

The InGaAsN alloy system is currently attracting a significant attention due to its potential application for optoelectronic devices [1,2]. The introduction of nitrogen into InGaAs strongly reduces its band-gap, mainly by an increase of the conduction band offset and makes the InGaAsN alloy attractive for optical-fiber communications [3-5]. The use of the GaAs-lattice matched quaternary material might turn as well into a suitable approach for the realization of low-power electronic devices. The Heterojunction Bipolar Transistor (HBT) technology with the InP/InGaAs material system has demonstrated good potential for this application [6] with the use of a small band-gap material in the base for an advantageous lower turn-on voltage V_{ON} but the high-cost InP technology has limited its commercial application. Progress in (In,Ga)(As,N) material quality has motivated the development of new bipolar transistors using this alloy as the base and collector layers to produce cost-effective low power electronic devices for GaAs substrates.

One report has recently appeared on operation of *Npn* InGaP/InGaAsN/GaAs double HBTs with the InGaAsN band-gap E_G=1.2 eV [7]. Initial performances are comparable with the conventional InGaP/GaAs counterpart and a reasonable reduction of V_{ON} (0.88 V instead of 1.05 V) is observed. In addition to *Npn* HBTs, the development of *Pnp* InGaAsN-based HBT is of fundamental interest for use in complementary HBT technology, for enhanced high-frequency integrated circuits used for digital, linear and microwave applications [8,9]. Excellent characteristics are required for both devices, especially on the *Pnp* side that often limits performances of the monolithically integrated transistor. Preliminary results on InGaAsN *Pnp*'s exhibit current gain values that have reached useful level [10] but would require improvement.

While modeling of HBT performance has been ongoing in InP/InGaAs, AlGaAs/GaAs or GaN-based systems [11-13], there has been no attempt at simulating HBT's in this pioneering material. In this work, we report on the simulation results of the InGaAsN-based HBTs with an emphasis on the challenging *Pnp* for use in assessing the factors maximizing device performances and in providing trends for the epitaxial multilayer structure to further extend the effectiveness of complementary HBT technology. The paper is organized as follows. Preliminary experimental results on *Pnp* AlGaAs/InGaAsN HBTs are first detailed as well as the AlGaAs/GaAs structure that serves as reference. The simulation approach is first employed to reproduce the initial experimental observations. Different design approaches are considered to optimize DC and r.f. performances and further lower the turn-on voltage of the InGaAsN-based device. Predicted performances of a double heterostructure AlGaAs/InGaAsN/GaAs HBT structure are finally compared with the device using a single heterostructure.

2. Preliminary experimental results

The pioneering experimental work consists of investigating the influence of a quaternary InGaAsN material replacing the conventional binary GaAs material used for the base and the collector of a *Pnp* AlGaAs/GaAs HBT. As N is incorporated into GaAs, a tensile strain develops, and both the conduction band (E_C) and the valence band (E_V) are lowered. On the other hand, a compressive strain builds up as Indium is added to GaAs, and E_G is reduced, the E_C is lowered and the E_V is raised. By incorporating the proper amount of In and N into GaAs simultaneously, InGaAsN that is lattice matched to GaAs can be obtained [14]. The E_C of the resulting InGaAsN would be much lower because of the aggregate lowering effect from the incorporation of N and In. The energy level of E_V would depend on the amount of nitrogen incorporation, and for $In_{0.03}Ga_{0.97}As_{0.99}N_{0.01}$, the effects on E_V from incorporation of nitrogen and In are compensated,

and the E_V is relatively unchanged compared to the E_V level of GaAs. The resulting band alignment is shown in Fig. 1 along with the conventional AlGaAs/GaAs system. According to Kondow et al. [14], a conduction band offset ratio of $Q_C = 0.75$ is used to describe the distribution of the band-gap energy difference between $Al_{0.30}Ga_{0.70}As$ and $In_{0.03}Ga_{0.97}As_{0.99}N_{0.01}$ (with $E_G = 1.2$ eV). This band alignment is more favorable for the *Pnp* InGaAsN-based HBT structure than the Q_C value of 0.66 classically reported for the $Al_xGa_{1-x}As/GaAs$ system. This large conduction-band discontinuity ($\Delta E_C \sim 0.5$ eV) will substantially reduce the amount of minority electrons to be back injected into the emitter while the small Valence-band discontinuity ($\Delta E_V \sim 0.15$ eV) is advantageous for a more efficient hole transport from the emitter to the base.

An initial AlGaAs/GaAs structure serving as reference was grown and defined by a 0.5 μm, *Carbon*-doped (3×10^{16} cm^{-3}) GaAs collector followed by a 0.1 μm, *Silicon*-doped with a doping concentration of 3×10^{18} cm^{-3} GaAs base and a 0.1 μm, *Carbon*-doped (3×10^{18} cm^{-3}) $Al_{0.3}Ga_{0.7}As$ emitter. The band gap of the ternary material is fixed to $E_G = 1.86$ eV. The design difference between the AlGaAs/GaAs *Pnp* and the novel $AlGaAs/In_{0.03}Ga_{0.97}As_{0.99}N_{0.01}$ is the use of the quaternary material. The $In_{0.03}Ga_{0.97}As_{0.99}N_{0.01}$ with only 1% of nitrogen incorporated in the ternary $In_{0.03}Ga_{0.97}As$ material is lattice-matched with GaAs and its band gap energy is approximately 1.2 eV. The doping in the *p*-type InGaAsN collector is intentionally fixed to 2×10^{17} cm^{-3} (that corresponds to the background doping of the best available material) with an associated thickness of 0.3 μm to ensure an identical completely depleted collector. Fig. 2 shows Gummel Plots measured at room temperature for both classical and new devices. The InGaAsN-base device seems to be penalized in terms of DC current gain value with an β value of 18 compared to 150 for the GaAs-based HBT. It shows however advantageous features for the turn-on voltage V_{ON}, which is 0.2 V lower (0.85 V compared to 1.05 V) than the comparable

AlGaAs/GaAs, and this makes this device very suitable for low-power consumption applications like modern portable electronics.

3. Simulation Results

3.1- Material Parameters and simulation approach

HBT operations were self-consistently simulated with the use of a drift-diffusion model by solving Poisson, carrier continuity and current density equations of a two-dimensional structure [15]. Physical models incorporated in the simulation include carrier statistics, concentration-dependent low-field mobility and generation-recombination mechanisms (Shockley-Read-Hall, optical, Auger). The geometrical design of this vertical mesa architecture exhibits an active emitter width of 3 μm.

The (Al,Ga)As material system has been reliably characterized for many years. The concentration-dependent minority carrier lifetime and mobility models provided by the simulator environment were used for the GaAs and AlGaAs layers. Concerning the transport properties of the quaternary material, the experimental mobility extracted from hall effect measurements shows much lower values than GaAs material with comparable doping level. A moderate 350 $cm^2 V^{-1} s^{-1}$ μ_n value is reported for the n-type InGaAsN layer ($N_D=3x10^{18}$ cm^{-3}), which is about 6 times lower than typical mobility in equivalently doped GaAs. This degradation can be certainly explained by the present material quality, good enough to demonstrate working devices but not as ideal as GaAs. This material quality difference forces considering lower minority carrier lifetime $\tau_{p,n}$ for the various quaternary materials through the entire structure. A range of $\tau_p \sim 0.5$-0.8 ns in the base has been primarily considered to adjust the experimental observation. These

values are one order of magnitude lower than the typical lifetime value observed in *n*-type GaAs material doped in the $1-3 \times 10^{18}$ cm^{-3} range.

3.2 - Simulation of initial experimental DC results

The first purpose of the simulation work was to validate/modify the models and material parameters initially chosen by simulating the experimental DC performances from the two initial devices. Preliminary modeling of *Pnp* HBTs in the AlGaAs/GaAs materials system has been carried out to serve as reference values. Simulated results have been extracted and compared to the experimental observation, as shown in Fig. 3, with close agreement. An average current gain value of 140 associated with a turn-on voltage superior to 1.05 V has been directly obtained by using the general transport parameters (mobility, lifetime) provided by the simulator library. Simulations of the *Pnp* AlGaAs/InGaAsN HBT reproduce very closely the experimental Gummel plot from the first structure demonstrated using a strictly appropriate band gap energy of 1.2 eV and adjustable mobility/lifetime values in the InGaAsN layers. Lower turn-on voltage values (~0.89 V) associated with a DC current gain value of 18 at $V_{BE} = 1.5$ V are usually observed for the novel device.

3.3 - Design solutions for enhanced DC current gain

Several approaches have been considered to improve the DC performances of the *Pnp* InGaAsN-based device. The initial base thickness of the device was originally set to 1000 Å to make the structure comparable to the conventional AlGaAs/GaAs. The current gain is generally expressed as the ratio of the minority carrier lifetime τ_p by the transit time across the base τ_b (which is directly proportional to the base thickness X_B). τ_p is basically a material parameter but τ_b can be easily increased or reduced by modifying X_B. One way to produce higher gain is to reasonably reduce the base thickness so that more carriers can be collected instead of

recombining. The issue of a higher epitaxial base resistivity might arise but not too excessively because sufficiently high doping concentrations can be achieved to limit the negative effect of a too resistive base layer. This would not be possible with HBT devices based on nitride materials like AlGaN/GaN where a too thin base layer gives rise to an unacceptably high resistance due to difficulties to achieve high carrier concentration [16,17]. Fig. 4 shows the current gain versus the base-emitter voltage obtained from the initial AlGaAs/InGaAsN HBT by varying the base thickness from 1000 Å down to 600 Å. A substantial improvement of β up to 50 is ultimately observed by considering the thinnest base structure.

Some concerns still exist about degraded r.f. performances associated with too thin resistive base layers. One way to keep the base thick enough and producing better DC results is to enhance the base transport properties by introducing an electric field in the base. With a base electric field, the current not only flows by diffusion but also with a drift component, for an important decrease of the base transit time. Grading the aluminum or the indium content of the base layer to result in a base quasi-electric field has been previously demonstrated as an useful concept in developing high-performance HBTs [18]. Because the use of the quaternary InGaAsN material does not allow the use of energy band gap engineering, the base electric filed is here generated with a dopant-graded base. The graded donor-like doping varies in the base from 7×10^{18} at the emitter side to 1.5×10^{18} cm^{-3} at the collector edge for an averaged doping concentration equal to the 3×10^{18} cm^{-3} initial one. Therefore, the sheet resistance of the graded base is similar to the base layer uniformly doped and the impact of the electric field on the transit time can be directly addressed. Significant improvement is observed compared to the non-graded structure and current gain values greater than 30 (sufficient gain level to be useful for many circuit applications) are achieved by using a moderately reduced base thickness of 800 Å and the graded doping profile.

3.4 - Turn-on voltage reduction

The main advantage of employing the GaAs-lattice matched quaternary InGaAsN material is to narrow the energy band-gap (approximately 1.2 eV) for a lower device turn-on voltage V_{ON}, essential characteristic for low-power electronic applications. This has been made possible by incorporating a very small amount of nitrogen (~1%) into a ternary $In_{0.03}Ga_{0.97}As$ alloys. The ultimate goal of this pioneering technology is to reduce even further the V_{ON} voltage. Incorporating more nitrogen would allow lowering of the energy band-gap below 1.2 eV. Reports of InGaAsN-based materials lattice matched to GaAs exhibiting energy as low as 1.0 eV have been recently published [3,4,19]. Although preliminary studies have indicated the increasing presence of defects with higher N incorporation, the simulation approach is used here to estimate the turn-on voltage characteristics the InGaAsN HBT could achieve with an energy band-gap to be as low as 1.0 eV. Transport parameters are assumed unchanged despite the higher N incorporation. Fig. 5 shows Gummel plots of the *Pnp* AlGaAs/InGaAsN HBT with several energy band gap values of the quaternary material from 1.2 eV down to 1.0 eV. A reduction of V_{ON} is systematically observed by considering lower E_G values and a very attractive value of 0.69 V is ultimately predicted. The inset represents the current gain as a function of the base-emitter voltage. Unlike the turn-on voltage behavior that is probably well predicted, the lack of degradation of the maximum current gain value might not be too realistic since lower mobility and lifetime features are expected to take place with increasing amount of nitrogen. In a first approximation, these predictions could symbolize the DC properties the *Pnp* HBT with a band gap energy of $E_G = 1.0$ eV could have by using the material quality achieved at 1.2 eV.

3.5 - High-frequency characteristics

In addition to DC performances, the high frequency capabilities of the *Pnp* InGaAsN-based device are investigated. The unilateral power gain U and the small-signal gain *h21* are

calculated as a function of the frequency and their associated maximum oscillation frequency f_{MAX} and cut-off frequency f_T are extracted as figures of merits. Recently, there have been reports of GaAs-based *Pnp* transistors operating at microwave frequencies as high as 30 GHz [20,21]. The *Pnp* AlGaAs/GaAs device fabricated here to serve as references exhibits experimental f_T and f_{MAX} values in the 12-15 GHz range. These numbers are very acceptable for the structure and processing technique chosen. The numerical approach to predict HF characteristics agrees well with the experimental observation of the AlGaAs/GaAs HBT device, as shown in Fig. 6. No high frequency characteristics have been reported on *Pnp* InGaAsN-based HBTs. Lower values are expected because of the less advantageous transport parameters induced by the nitrogen incorporation. Preliminary f_T and f_{MAX} values of respectively 6 and 4 GHz achieved on this initial device from simulation are somewhat lower than in similarly designed AlGaAs/GaAs transistors, most likely due to reduced lifetime/mobility values and a resulting higher resistivity in the base layer. The bias conditions are chosen such as the collector layer is almost completely depleted to reduce the parasitic capacitance and epitaxial resistance at the collector side. The cut-off frequency is always found to be higher than the maximum oscillation frequency probably because of a base resistivity higher than expected due to non-optimized mobility compared to GaAs. The design choice to improve transistor performances depends on whether the overall objective is to maximize f_T or f_{MAX}, or optimize both. According to the classical expression of the maximum oscillation frequency, maximizing the f_{MAX} value is possible with regard to f_T. The cut-off frequency is affected by the base design mainly through the base transit time. HF performance simulations have been undertaken with several base thickness values in order to maximize f_T and analyze the corresponding f_{MAX} behavior. For clarity, an identical collector-base bias is chosen and the base-emitter bias is adjusted to conserve the same collector output current during the analysis. As shown in Fig. 7, the f_T value increases continuously (and ultimately

reaches 16 GHz with a 500 Å base) as the base thickness is reduced due to a lower transit time across the base. The f_{MAX} increases as well but much slowly and saturates when the base thickness is less than 600 Å. If the base is made too thin, no improvement on f_{MAX} is achieved because the too high base resistance value more than compensates the influence of a higher f_T value. Considering this threshold base thickness value of 600 Å, further enhancement of the base transport is expected with the use of dopant-graded base. Fig. 6 shows predicted performances obtained with the previous graded profile (see section 3.4) and the "optimized" base thickness. Greater f_T and f_{MAX} as well as high power gain valued ($U > 25$ dB at high frequency) are found to be very comparable with HF characteristics observed from the *Pnp* AlGaAs/GaAs HBT.

3.6 - Effect of a double Heterojunction Bipolar Transistor

The replacement of the InGaAsN collector by GaAs forming a AlGaAs/InGaAsN/GaAs double heterostructure bipolar transistor (DHBT) is finally reported. Some advantages of using the DHBT instead of the HBT include increasing the breakdown voltage. More advantageous perhaps in this present case is the absence of a base-collector spike that usually occurs in DHBTs due to the use of a wider energy band gap material not only for the emitter but also for the collector. A very suitable conduction band-offset of $Q_C=0.92$ is commonly accepted to describe the band gap energy difference that exists between the quaternary material and GaAs at the second interface [14]. An almost perfectly aligned valence band configuration is expected to not interfere with the hole transport. Consequently, no additional design artifact like alloy composition grading or chirped superlattice is required to circumvent the traditionally problematic band alignment of DHBT transistors. The calculated DC current gain of the initial *Pnp* HBT device is compared in Fig. 8 with the double *PnP* HBT whose design only differs by the GaAs nature of its collector layer and a doping concentration of 3×10^{16} cm^{-3} (instead of a higher $N_C=2\times10^{17}$ cm^{-3} for the single HBT). The energy band gap of the base is fixed to its initial

1.2 eV value. An equivalent ~ 0.89 V turn-on voltage is observed while the DC current gain value happens to be very comparable with the HBT. This agrees very well with preliminary experimental observations demonstrating the same DC behavior of the DHBT over the HBT device [22]. These performances are related to the negligible Valence Band offset (ΔE_V=0.08 eV) at the base/collector interface. Note that comparing DC performances from the HBT and the DHBT structure can turn into an interesting way to confirm the band-offset ratio between InGaAsN and GaAs. The Q_C value at base/collector has been varied over a wide range (from 0.6 to 0.95) and the best agreement between experimental observations and simulation results is obtained with a Conduction band offset ratio value of Q_C=0.89±0.02. High frequency performances have been finally investigated. The unilateral power gain U of the two devices is displayed in Fig.8. The large improvement of f_{MAX} from 4 to 7 GHz is attributed to the lower collector doping that induces a more depleted collector for the DHBT at equivalent operating bias conditions for less unfavorable overall (intrinsic and extrinsic) collector capacitance. The f_T value of the DHBT (not displayed on the graph) slightly improves to > 6 GHz. Preliminary results on DHBT devices using a dopant-graded base layer show very promising r.f. performances.

4. Conclusion

In summary, performances of novel *Pnp* InGaAsN-based heterojunction bipolar transistors have been investigated with the use of two-dimensional device simulator in order to assess their DC and r.f. capabilities for complementary HBT technology. Simulation results of the *Pnp* AlGaAs/InGaAsN HBT, characterized by a quaternary band-gap energy of 1.2 eV, reproduce the Gummel plot experimentally observed with a current gain β of 18 and an advantageous turn-on voltage V_{ON} of ~ 0.89 V. Improved DC current gain are reported by

reasonably reducing the base thickness and introducing an electric field in the base layer, for resulting β values as high as 50 very useful for many circuits applications. Preliminary r.f. performances indicate that the *Pnp* InGaAsN-based device with an appropriate base design (optimized thickness and electric field values) will demonstrate high frequency characteristics very comparable (within the 10-15 GHz range) to the ones already reported for the conventional *Pnp* AlGaAs/GaAs system. In order to further lower the turn-on voltage of the device, simulations with quaternary band-gap energy as narrow as 1.0 eV exhibit V_{ON} values < 0.7 V, which is 0.2 V lower than the initial InGaAsN-based HBT. The use of an AlGaAs/InGaAsN/GaAs *PnP* DHBT structure is finally highlighted. The advantageous alignment of the Valence Band (almost flat configuration at the base/collector interface with no additional junction spike features) allows achieving comparable DC performances for the DHBT device with abrupt interface. In addition, a substantial improvement of the maximum oscillation frequency f_{MAX} is reported with the InGaAsN-based DHBT due to a more depleted collector for the DHBT at equivalent operating bias conditions for less undesirable GaAs collector capacitance. The experimental use of some of the design solutions presented here has been recently successfully demonstrated and results will be detailed in an upcoming publication. These encouraging performances on *Pnp* devices demonstrate the feasibility of integration for future complementary low-power applications using InGaAsN-based HBT technology.

Acknowledgments

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Figure Captions

Fig. 1: Band alignment of the AlGaAs/InGaAsN heterostructure representing the emitter/base interface of the *Pnp* HBT.

Fig. 2: The inset displays the experimental DC results observed from the initial AlGaAs/GaAs and AlGaAs/InGaAsN *Pnp* HBTs with almost identical epitaxial design.

Fig. 3: Simulated Gummel plots from a conventional *Pnp* AlGaAs/GaAs HBT and an AlGaAs/InGaAsN HBT. The band-gap of InGaAsN in the base an collector layers is fixed to 1.2 eV.

Fig. 4: Improvement of the calculated DC current gain β from the initial 17 up to ~50 with the use of proper thickness and dopant-graded in the base layer.

Fig. 5: Influence of the energy band gap E_G characterizing the InGaAsN material on the DC performances. A turn-on voltage of 0.7 V is theoretically obtainable with E_G as low as 1.0 eV. The inset shows the DC current gain associated with the set of $E_{G\,InGaAsN}$ range (1.0 eV $<E_G<$1.2 eV).

Fig. 6: Small-signal performances (f_T from 4 up to 10 GHz) by considering various *Pnp* InGaAsN HBT designs in the base.

Fig. 7: Cut-off frequency f_T and maximum oscillation frequency f_{MAX} extracted from the simulation of r.f performances of *Pnp* InGaAsN-based HBTs as a function of the base thickness X_B.

Fig. 8: Differences observed on simulated DC (8.a) and rf (8.b) performances of a typical *Pnp* structure by replacing the InGaAsN collector (HBT) with a GaAs collector (DHBT). The general improvement is attributed to the advantageous B/C Valence Band discontinuity (Q_V=0.08).
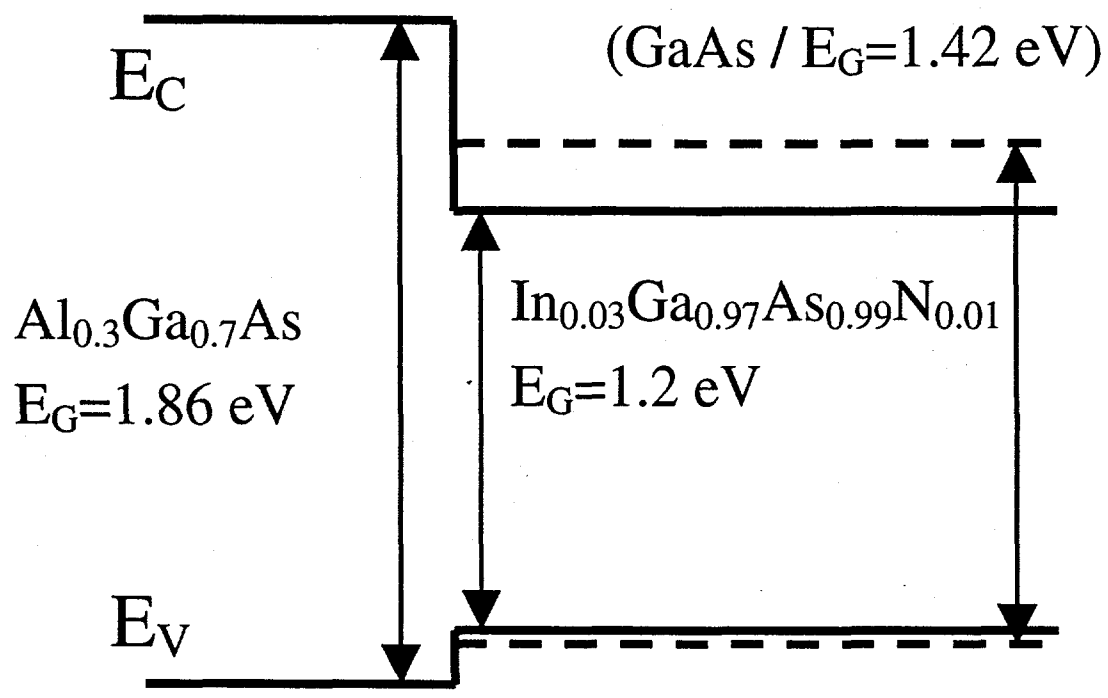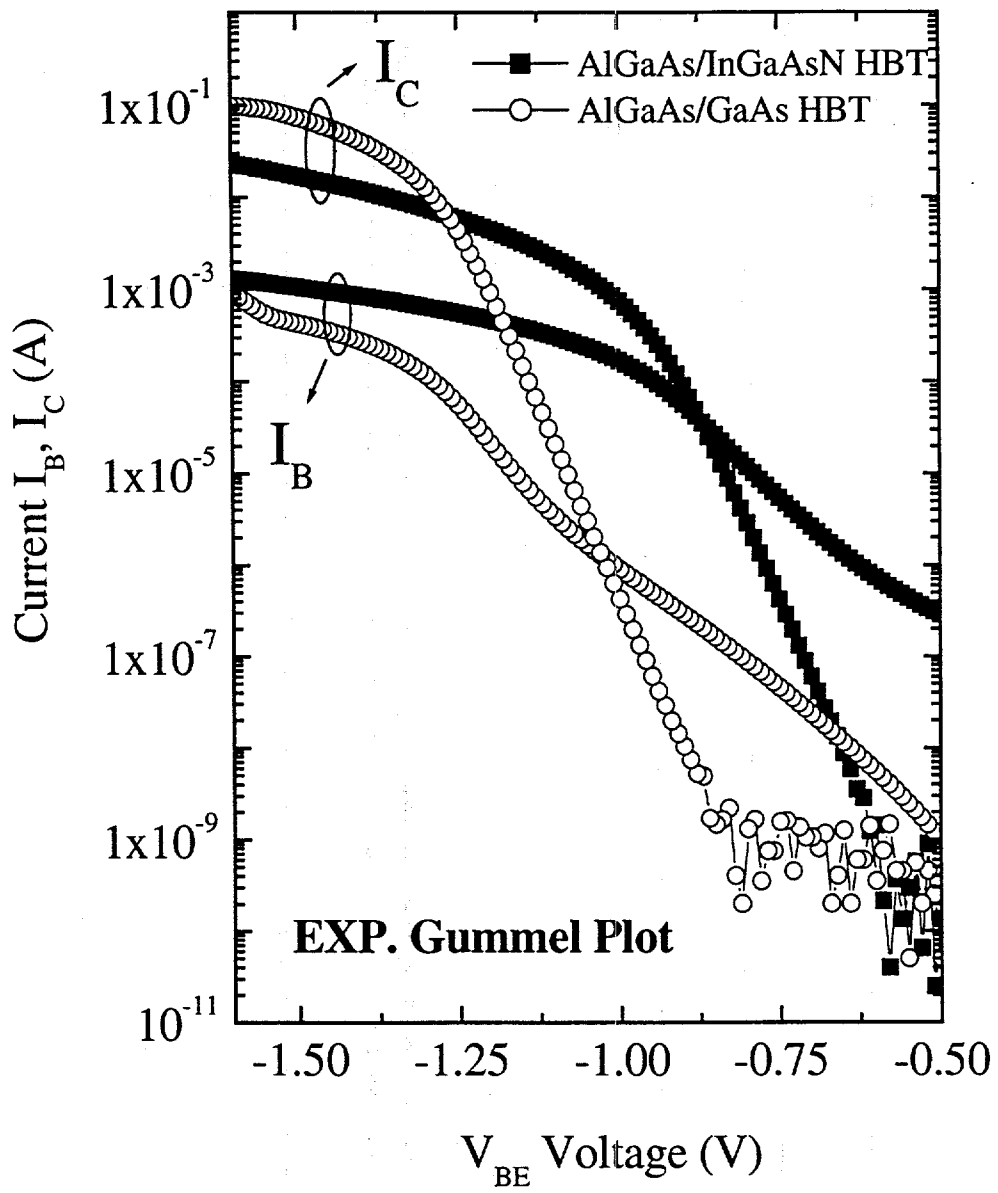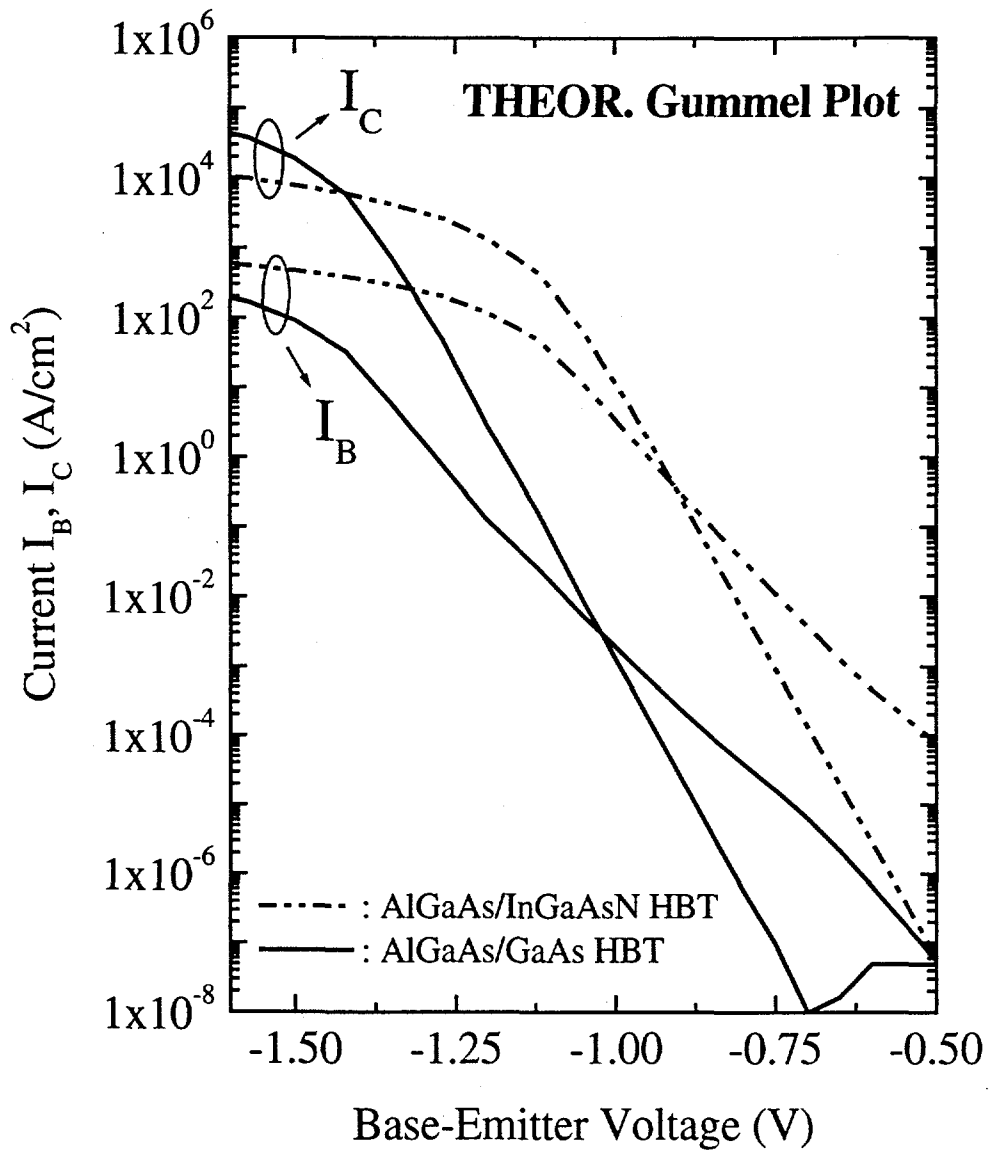
E_C

(GaAs / E_G=1.42 eV)

$Al_{0.3}Ga_{0.7}As$
E_G=1.86 eV

$In_{0.03}Ga_{0.97}As_{0.99}N_{0.01}$
E_G=1.2 eV

E_V

Fig. 1

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Fig. 6

Fig. 7

Fig. 8