Neutron Scattering Studies of the Magnetic Fluctuations in YBa$_2$Cu$_3$O$_{7-\delta}$

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Neutron scattering measurements have been made on the spin fluctuations in YBa$_2$Cu$_3$O$_{7-\delta}$ for different oxygen doping levels. Incommensurability is clearly observed for oxygen concentrations of 6.6 and 6.7 and is suggested for the 6.93. Measurements of the resonance for the O6.6 concentration show that it exists in a broadened and less intense form at temperatures much higher than $T_c$.

It now seems clear that the magnetic fluctuations play a central role in the behavior of high-$T_c$ superconducting materials. Neutron scattering can determine both the wavevector and energy dependence of the magnetic fluctuations in a straightforward manner. However, the technique is strongly limited by the intensity of available neutron beams, thus requiring the use of large single crystal samples. YBa$_2$Cu$_3$O$_{7-\delta}$ (YBCO) is one of the best characterized of the high-$T_c$ superconductors, but only now are crystals becoming available with sufficient quality and oxygen uniformity that reliable results can be obtained. This is particularly true of the underdoped materials that display interesting pseudogap behavior. In these materials very high oxygen uniformity is necessary, yet this is difficult with the large samples needed. We have now been successful in developing techniques that insure uniform oxygen concentrations throughout the whole volume of large high quality crystals.

A second problem with the YBCO materials is that the energy scale of interest for the magnetic fluctuations is the same as that for many of the intense phonon excitations. It is often very difficult to separate the magnetic excitations in neutron scattering experiments from the far more intense phonon excitations. We have approached the problem by using polarization analysis when possible to isolate the magnetic signal. In some cases, however, the magnetic scattering is too weak to permit the use of polarization analysis which is far less efficient that conventional techniques. Nevertheless, we have succeeded in better characterizing the phonon excitations through measurements and models so that a more reliable extraction of the magnetic signal is possible.

Another factor that has greatly aided our measurements is the development of the filter integration technique$^1$. In this technique use is made of
the fact that the magnetic fluctuations are, except for the bilayer coupling, two-
dimensional in nature and the scattering is summed over the c* direction in the
reciprocal lattice greatly increasing the magnetic signal relative to other types of
scattering. Graphite filters are used to essentially eliminate the elastic scattering
so that only the fluctuations are measured. We do not obtain detailed energy
selection with this technique, rather sample a band of energies. It is thus often
desirable to perform standard measurements with triple-axis spectrometry to
confirm some of the results if possible. However, the technique is excellent for
survey studies and to measure very small signals.

In this paper we will consider results on two of the most interesting
aspects of the spin fluctuations in the YBCO-materials, the resonance and the
incommensurability. Let us first consider measurements for YBCO6.6. The
magnetic fluctuations are found around the reciprocal lattice position (Π, Π) of
the unit cell which is the position where antiferromagnetism is observed in the
highly underdoped ordered compounds. This is the position (0.5, 0.5, L) in
reciprocal lattice units. We find the scattering in question stems from coupled
bilayers so that L is set for a value to sample acoustic scattering, in our case 1.7.
To search for incommensurate fluctuations we scan along the line from (0,0) to
(2Π, 2Π) or along (h, h) in the reciprocal lattice. In the scan we use rather good
resolution along the scan direction but must employ poor resolution
perpendicular to this direction to obtain sufficient intensity. The scan direction is
shown on the top of Fig 1. along with the position of possible incommensurate
magnetic satellites. If the satellites are as shown, a peak in the scattering will be
found when the perpendicular to the scan direction intersects the left and lower
satellites at the same time. A similar peak (smaller because of the magnetic form
factor) will be found when the perpendicular intersects the upper and right hand
satellites simultaneously. Another set of possible positions of the satellites would
be along the scan direction in which case three peaks would be observed. Two
from individual satellites on the scan direction and the third at the (0.5, 0.5)
position as the perpendicular intersects peaks above and below the scan
direction. The peak at (0.5, 0.5) could be smaller depending on the extent of the
resolution perpendicular to the scan. There could of course be other possible
arrangements of the magnetic scattering.

The graph in the lower part of Fig 1. shows a scan made for YBCO6.6
using the filter integration technique. Peaks are observed on either side of the
(0.5, 0.5) position for (h, h) showing that the magnetic fluctuations are
incommensurate. Further measurements with triple axis spectrometry show the
fluctuations to be incommensurate at energies below the resonance energy of
about 34 meV. The incommensurate position of the peaks from a series of scans
was determined to be (0.057, 0.057) with an error of 0.006. Note the actual
satellite wavevector would be 2 x 0.057 if the satellites are actually as pictured in
Fig. 1. We are in the process of making sets of scans along different paths to
determine the actual satellite wavevector. This is much more difficult than for
the 214 superconductors because of the energy scale and the bilayer nature of the
scattering.
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We move now to measurements of the resonance scattering in YBCO6.6. The resonance scattering in the fully doped YBCO materials is a very narrow peak found at 41 meV. The first observation of increased scattering at low temperatures at 41 meV in YBCO was made by Rossat-Mignod et al.\(^3\) although the low temperature response was considered a rather broad band of scattering at that time. Mook et al.\(^4\) showed using polarized beam techniques that for YBCO6.93 the 41 meV scattering was a sharp peak that dominated the low temperature scattering. The measurements suggested that a small peak remained at 100K. Fong et al.\(^5\) determined that for highly doped YBCO the resonance is not found above the superconducting transition temperature. The present results on YBCO6.6 show that the resonance remains as a broadened and considerably less intense peak in energy at temperatures as high as a temperature T* which could be interpreted as the pseudogap temperature.

The resonance energy for YBCO6.6 has been found to be about 34 meV\(^6,7\). We show in Fig. 2 scans in energy through the resonance for six temperatures. These scans were obtained by making a series of scans in momentum \(q\) to determine the phonon background. The phonons tend to be reasonably flat in \(q\) near \((0.5, 1.5, 1.7)\) where the measurements were taken so they could be subtracted out with a considerable degree of confidence. Our scan in energy was then derived from the scans in momentum. Our success at removing the phonon background is verified by the 293K data which shows little scattering as expected for the magnetic excitations.

The resonance at 10K is resolution limited for our experiment. As the temperature is raised through \(T_c=63K\) the resonance broadens and diminishes in intensity, but is still observed as a definite peak in energy. A signature of the resonance remains at 150K, but appears to vanish by about 200K with little scattering observed by 293K. A scan of intensity vs. temperature made at the resonance energy of 34 meV shown in Ref 6 indicates that the character of the scattering changes at \(T_c\). The scattering intensity has a noticeable upturn upon cooling through \(T_c\) and increases more rapidly as the temperature is lowered further. A possible way to consider the observed behavior is to characterize the resonance as a property of the superconducting state with a precursor that exists in the normal state at temperatures as high as a pseudogap temperature \(T^*\).

We now turn to measurements on a sample with an oxygen concentration of 6.7, YBCO6.7. We find that \(T_c\) is 74K for this sample while the resonance energy is 38.5 meV. Fig. 3 shows our measurements of the magnetic scattering made for this sample using the filter integration technique. The scan direction is along \((\Pi, \Pi)\) and was done in the same way as the scan shown in Fig. 1. Again we observe the scattering is incommensurate at low temperatures. The incommensurability is still observed as the temperature is raised to 100K, but is clearly gone by 200K. Little if any scattering is observed at room temperature showing that the scans are free of phonon contributions. Least squares analysis of low temperature scans show an incommensurability wavevector of \((0.061, 0.061)\) with an error of 0.005. The measured incommensurate wavevector is thus larger than for the YBCO6.6 sample, but the error in position is sufficiently large that a clear difference is not established. The precise determination of the
incommensurability wavevector is hampered by the weakness of the scattering coupled with an energy scale that results in reduced momentum resolution.

We have performed preliminary experiments to search for incommensurate fluctuations in the optimally doped material YBCO6.93. We use the integration technique once again and the results are shown in Fig. 4. The integration technique is not as favorable in this case as the energy window passed by the filter now includes the resonance to some degree. The energy sensitivity of the experiment is given in Ref. 2. The scan at 10K shown in the top graph is thus expected to show a peak at $(h, k) = 0.5$ that stems from the resonance. We see in addition unresolved structure surrounding the peak at 0.5 that can be interpreted as stemming from incommensurate fluctuations. This structure largely disappears at 100K with the peak at 0.5 now likely stemming from normal state commensurate excitations. Since now $T^*$ is much closer to $T_c$ for the O6.93 material the 100K spectrum appears similar to the 200K spectrum for the O6.7 sample. The counting rate for the O6.93 experiment is considerably higher than for the other concentrations as a much bigger sample was used and the collimation was relaxed to some degree. The bottom panel of Fig. 4 shows the result of taking the difference between the 10K and 100K measurements. This result gives an indication of the nature of the incommensurate part of the scattering pattern. The intensity of the magnetic scattering decreases rapidly as higher oxygen doping levels are used so the counting errors, particularly in the difference pattern, are larger than desirable. It can also be misleading to use the differences of patterns taken at different temperatures to determine lineshapes. Nevertheless, the difference pattern serves as an indication of possible incommensurability in optimally doped YBCO. A least squares fit of Gaussian lineshapes to the pattern shown in the figure results in an incommensurability wavevector of (0.08, 0.08). If the satellites are indeed in the position shown in Fig. 1 the incommensurability wavevector would be $2 \times 0.08$ or a value near the wavevector for the optimally doped 214 material. However, additional measurements are needed to confirm the incommensurability of YBCO6.93 and to determine the momentum space positions of satellite peaks.

We will not discuss in this paper how these results affect various theories of high $T_c$ superconductivity. However, we will make some general observations. It is important that the resonance not only shifts its position in the underdoped materials, but that its basic character is modified. In the heavily doped material the resonance begins to appear only near $T_c$ while precursor effects with a temperature scale that matches the pseudogap temperature are observed in the underdoped case. We note the resonance is affected by the bulk superconducting transition as a change in slope in the temperature dependence occurs at $T_c$. Theories to explain the resonance must take these observations into consideration.

The observation of incommensurability is important as it unifies the picture of magnetic fluctuations in the high $T_c$ materials. The preliminary evidence we have on the doping dependence suggests a close connection between the 214 and 123 materials. Additional measurements are needed to make detailed connections. Obviously any theoretical models that can account
for the incommensurability would now appear to be applicable to the high Tc materials in general. This research was supported by the U.S. DOE under contract No. DE-AC05-96OR22464 with Lockheed Martin Energy Research, Inc.

References

2. Pengcheng Dai, H.A. Mook, and F. Dogan, to be published

Figure Captions

1. The top panel shows a possible position of the incommensurate satellites in YBCO. A scan along the arrow would produce two peaks stemming from the very relaxed resolution perpendicular to the scan direction. The bottom graph shows such a scan for YBCO6.6.

2. Triple-axis scans through the resonance energy for YBCO6.6. We note the resonance peak survives in a broadened and less intense form at temperatures as high as 150K.

3. Scans made along the direction shown in Fig. 1 for YBCO6.7. Incommensurability is observed at low temperatures with little magnetic intensity remaining at 300K.

4. Scans for YBCO6.93 made in the same way as for the underdoped materials. Structure in the 10K scan suggests incommensurability that is more clearly shown in the difference result shown in the bottom panel.
FIG 1
MOOK et al
FIG 2
MOOK et al
FIG 3
MOOK et al
FIG 4
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