U.S. Nuclear Regulatory Commission Organization Charts and Functional Statements

August 19, 1996

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U.S. Nuclear Regulatory Commission

Office of Personnel

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Availability of Reference Materials Cited in NRC Publications

Most documents cited in NRC publications will be available from one of the following sources:

1. The NRC Public Document Room, 2120 L Street, NW., Lower Level, Washington, DC 20555-0001
2. The Superintendent of Documents, U.S. Government Printing Office, P. O. Box 37082, Washington, DC 20402-9328
3. The National Technical Information Service, Springfield, VA 22161-0002

Although the listing that follows represents the majority of documents cited in NRC publications, it is not intended to be exhaustive.

Referenced documents available for inspection and copying for a fee from the NRC Public Document Room include NRC correspondence and internal NRC memoranda; NRC bulletins, circulars, information notices, inspection and investigation notices; licensee event reports; vendor reports and correspondence; Commission papers; and applicant and licensee documents and correspondence.

The following documents in the NUREG series are available for purchase from the Government Printing Office: formal NRC staff and contractor reports, NRC-sponsored conference proceedings, international agreement reports, grantee reports, and NRC booklets and brochures. Also available are regulatory guides, NRC regulations in the *Code of Federal Regulations*, and *Nuclear Regulatory Commission Issuances*.

Documents available from the National Technical Information Service include NUREG-series reports and technical reports prepared by other Federal agencies and reports prepared by the Atomic Energy Commission, forerunner agency to the Nuclear Regulatory Commission.

Documents available from public and special technical libraries include all open literature items, such as books, journal articles, and transactions. *Federal Register* notices, Federal and State legislation, and congressional reports can usually be obtained from these libraries.

Documents such as theses, dissertations, foreign reports and translations, and non-NRC conference proceedings are available for purchase from the organization sponsoring the publication cited.

Single copies of NRC draft reports are available free, to the extent of supply, upon written request to the Office of Administration, Distribution and Mail Services Section, U.S. Nuclear Regulatory Commission, Washington DC 20555-0001.

Copies of industry codes and standards used in a substantive manner in the NRC regulatory process are maintained at the NRC Library, Two White Flint North, 11545 Rockville Pike, Rockville, MD 20852-2738, for use by the public. Codes and standards are usually copyrighted and may be purchased from the originating organization or, if they are American National Standards, from the American National Standards Institute, 1430 Broadway, New York, NY 10018-3308.

U.S. Nuclear Regulatory Commission Organization Charts and Functional Statements

August 19, 1996

Date Published: August 1996

**Office of Personnel
U.S. Nuclear Regulatory Commission
Washington, DC 20555-0001**

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ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

— Direct Supervision
 - - - Coordination

* Scheduled to take office August 23, 1996

** Scheduled to take office August 28, 1996

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

THE COMMISSION

The Commission is responsible for licensing and regulating nuclear facilities and materials and for conducting research in support of the licensing and regulatory process, as mandated by the Atomic Energy Act of 1954, as amended, the Energy Reorganization Act of 1974, as amended, and the Nuclear Nonproliferation Act of 1978; and in accordance with the National Environmental Policy Act of 1969, as amended, and other applicable statutes. These responsibilities include protecting public health and safety; protecting the environment; protecting and safeguarding materials and plants in the interest of national security; and assuring conformity with antitrust laws. Agency functions are performed through standards-setting and rulemaking; technical reviews and studies; conduct of public hearings; issuance of authorizations, permits, and licenses; inspection, investigation, and enforcement; evaluation of operating experience; and confirmatory research. The Commission is composed of five members, appointed by the President and confirmed by the Senate, one of whom is designated by the President as Chairman.

The Chairman is the principal executive officer of and the official spokesman for the NRC, as mandated by the Reorganization Plan No. 1 of 1980. As principal executive officer, the Chairman is responsible for conducting the administrative, organizational, long-range planning, budgetary, and certain personnel functions of the agency. He/she has ultimate authority for all NRC functions pertaining to an emergency involving an NRC license. His/her actions are governed by the general policies of the Commission.

Shirley Ann Jackson	Chairman
Kenneth C. Rogers	Commissioner
Greta Joy Dicus	Commissioner
Nils J. Diaz*	Commissioner
Edward McGaffigan, Jr.**	Commissioner

* Scheduled to take office August 23, 1996

** Scheduled to take office August 28, 1996

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF COMMISSION APPELLATE ADJUDICATION

Responsible for monitoring cases pending before presiding officers; providing the Commission with an analysis of any adjudicatory matter requiring a Commission decision (e.g., petitions for review of initial licensing board decisions, certified questions, interlocutory referrals, stay requests) including available options; drafting any necessary decisions pursuant to the Commission's guidance after presentation of options; and consulting with the Office of the General Counsel in identifying options to be presented to the Commission and in drafting the final decision to be presented to the Commission.

Director (Acting) John F. Cordes, Jr.

OFFICE OF CONGRESSIONAL AFFAIRS	
Provides advice and assistance to the Chairman, Commission, and NRC staff on all NRC relations with Congress and views of Congress toward NRC policies, plans, and activities; maintains liaison with congressional committees and members of Congress on matters of interest to NRC; serves as primary contact point for all NRC communications with Congress, reviewing and concurring in all outgoing correspondence to members of Congress; coordinates NRC internal activities with Congress; coordinates development of NRC's legislative program; and monitors legislative proposals, bills, and hearings.	
Director	Dennis K. Rathbun
Associate Director	Linda E. Portner

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF THE GENERAL COUNSEL

Directs matters of law and legal policy, providing opinions, advice, and assistance to the agency with respect to all of its activities; reviews draft Commission opinions on public petitions seeking direct Commission action and rule-making proceedings; monitors adjudicatory proceedings, and reviews draft Commission adjudicatory decisions; provides interpretation of laws, regulations, and other sources of authority; and the legal form and content of proposed official actions; represents and advises staff offices in all programmatic activities and administrative litigation in connection with licensing and enforcement; prepares or concurs in all contractual documents, interagency agreements, delegations of authority, regulations, orders, licenses, and other legal documents, and prepares legal interpretations thereof; represents NRC in administrative proceedings related to such matters as personnel, procurement, and EEO; reviews and directs intellectual property work; represents and protects the interest of the NRC in legal matters, in court proceedings, and in relation to other Government agencies, administrative bodies, committees of Congress, foreign governments, and members of the public; provides legal advice to the Commission (including staff), and represents the Commission in courts of appeals proceedings to review Commission orders and rules; and, in cooperation with the Department of Justice, represents the Commission in court proceedings affecting the agency's programs in the Federal district courts and the Supreme Court.

General Counsel Karen D. Cyr
Deputy General Counsel Martin G. Malsch

SOLICITOR

Assists the General Counsel in all aspects in the role as chief legal officer of and legal advisor to the NRC with primary responsibility in matters involving the supervision of litigation in courts of law; and represents the NRC in Court of Appeals litigation and in conjunction with the Department of Justice in other Federal courts. Provides counsel to NRC employees called to testify concerning official duties in cases in which NRC is not a party. Advises the Commission on litigation of proposed actions.

Solicitor John F. Cordes, Jr.

PROGRAM SUPPORT BRANCH

Provides to the General Counsel program analysis and direction of program support activities. Responsible for program development and evaluation in the areas of resource utilization, personnel management, and organizational planning and analysis. Provides office services, including personnel, equipment, space, correspondence control, mail and records control, and maintains law library and legislative reference service functions.

Chief Nancy L. Dube

ASSOCIATE GENERAL COUNSEL FOR LICENSING AND REGULATION

Advises the General Counsel and Commission in all aspects of domestic licensing and regulation with particular emphasis on adjudication, legislation, rulemaking, and fuel cycle matters. Provides advice on employee conduct and administrative law issues as well as on the implementation of atomic energy and environmental law. Provides legal advice and recommendations regarding high- and low-level radioactive waste disposal, spent fuel storage and transportation issues (except for 11(e) (2) byproduct material), including issues arising under the Atomic Energy Act, the Low-Level Radioactive Waste Policy Amendment Act and the Nuclear Waste Policy Act; assists NRC staff in drafting rules and policies relating to waste disposal, in assuring safe and environmentally sound waste disposal and in assuring safe and environmentally sound spent fuel storage under Part 72.

Associate General Counsel William J. Olmstead

ASSOCIATE GENERAL COUNSEL FOR HEARINGS, ENFORCEMENT, AND ADMINISTRATION

Advises the General Counsel on all licensing and inspection and enforcement activities with particular emphasis on the conduct of adjudicatory hearings, and the implementation of the Commission's enforcement program. Assists the General Counsel in providing legal advice on interagency and international agreements, procurement, intellectual property, security, personnel, and administrative functions. Serves as principal legal advisor to the Commission's staff on adjudication matters before the Commission.

Associate General Counsel Stephen G. Burns

ASSISTANT GENERAL COUNSEL FOR LEGAL COUNSEL, LEGISLATION, AND SPECIAL PROJECTS

Assists the General Counsel in providing legal advice and assistance to the Commission in the review of adjudicatory decisions, on the implementation of employee conduct regulations, and in the development of the Commission's legislative program, including the drafting of proposed legislation for Commission consideration. Advises on the legal and policy implications of legislation proposed by the Commission or referred to the Commission for comment and on the implementation of FACA and GISA. Provides legal advice and assistance to OI and OCAA.

Asst. Gen. Counsel.....James A. Fitzgerald
Dep. Asst. Gen. Counsel/
Legislative Counsel.....Irwin B. Rothschild, III

ASSISTANT GENERAL COUNSEL FOR RULEMAKING AND FUEL CYCLE

Assists the General Counsel in: (1) providing legal advice and assistance to the Commission and the NRC staff with respect to the promulgation and amendment of NRC regulations and guides pertinent to licensing and construction of nuclear facilities, including nuclear power plants and fuel cycle facilities; (2) representing the NRC staff in public rulemaking hearings; (3) interpreting regulations and statutes relevant to NRC activities, and providing legal analyses of those authorities impacting the NRC; and (4) providing legal advice and assistance to the Commission and the NRC staff on the licensing of the possession and use of nuclear materials, on safeguards issues, and on "Agreement States" issues. Establishes and maintains effective liaison with citizens groups and industry associations in regard to the Commission's regulatory process; advises the General Counsel on the use of techniques to enhance the participation of citizen groups and industry associations in the development of Commission rulemakings and policies; identifies rulemakings and policy areas in which enhanced participation by citizens groups and industry associations may be needed and useful; and supervises the design and implementation of participatory techniques in the development of specific rulemakings and policies.

Asst. Gen. Counsel.....Stuart A. Treby
Dep. Asst. Gen. Coun. and Special Coun.
for Public Liaison and Agreement States
ProgramsFrancis X. Cameron

ASSISTANT GENERAL COUNSEL FOR HEARINGS AND ENFORCEMENT

(See Page 9)

ASSISTANT GENERAL COUNSEL FOR ADMINISTRATION

Assists the General Counsel in providing legal advice and assistance to NRC offices involved in interagency and international agreements, procurement, intellectual property, budget, security, personnel, EEO, grants, FOIA, and other administrative functions; and represents NRC in other administrative hearings involving procurement, personnel, personnel security, claims, labor relations, and equal employment opportunity matters.

Asst. Gen. Counsel Donald F. Hassell
Dep. Asst. Gen.
Counsel/Adm. Joseph Rutberg

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF THE GENERAL COUNSEL

ASSISTANT GENERAL COUNSEL FOR HEARINGS AND ENFORCEMENT

Assists the General Counsel in (1) representing the NRC in public hearings conducted in conjunction with the licensing of nuclear reactors/materials users and facilities, and assisting with the development of legal policy associated with such licensing, providing advice and consultation to the staff on health, safety, environmental, and antitrust issues arising from the licensing process; and (2) providing legal advice and assistance to the Commission, regional offices, and the Offices of Enforcement, Investigations, Nuclear Material Safety and Safeguards, and Nuclear Reactor Regulation on inspection and enforcement matters and advising and representing NRC offices in enforcement proceedings involving imposition of civil penalties, modifications, and suspension or revocation of licenses.

Assistant General Counsel Lawrence J. Chandler

Materials, Antitrust, and Special Proceedings Branch

Represents the NRC in public hearings conducted in conjunction with the licensing of nuclear reactors (including license renewal and decommissioning) and materials users and facilities (including export activities), as well as in enforcement proceedings. Assists in the development of legal policy associated with such licensing, and provides advice and counsel to the staff on health and safety, environmental, and antitrust issues associated with the licensing process.

Provides legal analysis and counseling to NRC staff concerning complex technical and factual matters and application thereto of all substantive NRC regulations, orders, licenses and policies, as well as the Commission's procedural regulations in 10 CFR Part 2.

Dep. Asst. General Counsel Dennis C. Dambly

Enforcement Branch

Provides legal advice and assistance to the Commission, regional offices, and the Offices of Enforcement, Investigations, Nuclear Material Safety and Safeguards, and Nuclear Reactor Regulation on inspection and enforcement matters; advises and assists in representing NRC offices in enforcement proceedings against licensees involving imposition of civil penalties and the modification, suspension, or revocation of licenses; and interacts with the Department of Justice on criminal actions associated with NRC enforcement actions.

Provides legal analysis and counseling to NRC staff concerning complex technical and factual matters and the application thereto of NRC regulations, orders, generic communications, licenses and policies, such as the Commission's Enforcement Policy set forth in 10 CFR Part 2, Appendix C, of the Commission's regulations. Coordinates legal services and activities for the NRC regional offices.

Dep. Asst. General Counsel Jack R. Goldberg

Advanced Reactor, License Renewal, and Special Proceedings Branch

Represents the NRC in public hearings conducted in conjunction with the licensing of nuclear reactors (including advanced reactors, license renewal, and decommissioning) and materials users and facilities (including export activities), as well as in enforcement proceedings. Assists in the development of legal policy associated with such licensing, and provides advice and counsel to the staff on health, safety, environmental, and antitrust issues associated with the licensing process.

Provides legal analysis and counseling to NRC staff concerning complex technical and factual matters and application thereto of all substantive NRC regulations, orders, licenses, and policies, as well as the Commission's procedural regulations in 10 CFR Part 2.

Dep. Asst. General Counsel Janice E. Moore

U.S. NUCLEAR REGULATORY COMMISSION

*Walnut Creek
Field Office,
Walnut Creek, CA

U.S. NUCLEAR REGULATORY COMMISSION

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U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF ADMINISTRATION

DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

Develops and implements policies and procedures for the Freedom of Information, Privacy, and Regulatory Flexibility Acts; and provides editorial, archival, inventory management, and related publications services in support of NRC publications, regulations, automated graphics, and petitions for rulemaking. Manages the production, printing, and copying of NUREGs and other official NRC publications. Responsible for making NRC information accessible electronically to the public via Bulletin Boards, World Wide Web, and other means. Maintains NRC liaison with the Joint Committee on Printing. Provides advice and assistance to NRC offices and the public regarding NRC regulations and procedures for filing petitions for rulemaking; directs and coordinates NRC Local Public Document Room activities; and provides audiovisual, photographic, and translation services to the NRC. Administers the NRC's Management Directives System. Manages the NRC's mail, distribution, and bulk subscription services.

Director Carlton C. Kammerer
Deputy Director Donnie H. Grimsley

Printing, Graphics, and Mail Services Branch

Develops and maintains policies, procedures, standards, and guidelines and provides NRC-wide direction, coordination, and service for NRC printing, copying, and mail and distribution services. Maintains NRC liaison with the Government Printing Office, the United States Postal Service, and other Federal agencies concerning printing, mail, and related services. Develops and maintains the NRC copy management program. Develops, plans, and coordinates the professional design and graphics services for the NRC graphics support program. Provides copier support to NRC Headquarters staff and makes recommendations to regional offices.

Chief Alvin B. Blunt

Rules Review and Directives Branch

Develops, recommends, and administers policies and procedures for the review and publication of all NRC regulations. Ensures that NRC regulations comply with the Regulatory Flexibility Act and publication requirements of the Federal Register Act. Writes and assists others in writing NRC regulations. Manages the NRC program for petitions for rulemaking (PRMs), including drafting notices of receipt and reviewing notices to grant or deny PRMs. Publishes NRC Rules and Regulations, NRC Regulatory Agenda, and the NRC Regulations Handbook. Develops, recommends, and administers policies and procedures for the NRC Directives Program. Provides technical translation services of foreign documents.

Chief David L. Meyer

Publications Branch

Provides NRC-wide publications services and policies, technical writing, composition and editing, and advice and assistance in support of NRC publications. Provides NRC-wide service for electronic text processing and composition. Publishes NRC Issuances, Title List Journal, and Regulatory and Technical Reports (Abstract Index Journal). Responsible for making NRC information accessible electronically to the public via Bulletin Boards, World Wide Web, and other means. Develops and maintains policies, standards, and guidance and provides NRC-wide direction and service for audiovisual, photography, and related activities.

Chief Walter E. Olin

FOI/LPDR Branch

Develops, recommends, and administers policies, programs, and procedures to ensure NRC compliance with the Freedom of Information Act (FOIA), and the Privacy Act (PA), and to implement the NRC Local Public Document Room (LPDR) program, and the public meeting notice programs. Provides advice, assistance, support, and liaison with LPDR library staff, the public, local officials, applicants, licensees, and the NRC staff concerning all aspects of the LPDR program.

Chief Russell A. Powell

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OFFICE OF ENFORCEMENT	
Oversees, manages, and directs the development of policies and programs for enforcement of NRC requirements.	
Director	James Lieberman
Deputy Director	Joseph R. Gray

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OFFICE OF PERSONNEL

Workforce and Organization Analysis

Provides personnel management program analysis. Collects, analyzes, and provides data on the characteristics, allocation, utilization, training, and retention of NRC's workforce. Develops and operates systems and procedures for processing, documenting, and recording personnel management, training, and radiation exposure transactions. Develops, maintains, and provides appropriate access to agency-wide human resources databases. Provides reports on personnel transactions, workforce trends, hiring, separations, and FTE allocation and expenditures. Conducts a broad spectrum of personnel-related information searches and reviews as necessary to assure the accuracy, completeness, currency, and usefulness of the data. Projects and analyzes workforce trends. Provides human resources data and analytical support for agency-wide EEO activities. Conducts position evaluation and position management reviews. Develops and implements policies and standards for organization, and position management and evaluation. Reviews and analyzes organizational structures and functional realignment proposals. Obtains, reviews, and approves NRC office staffing plans. Maintains agency-wide delegations of authority. Conducts pay comparability surveys and administers contract(s) for salary and degree information. Performs ad hoc analyses of significant personnel initiatives and potential issues.

Chief James E. Horn

Employment and Staffing

Provides employment, staffing, and pay setting advice and services to NRC managers and employees. Plans, develops, and implements placement and merit promotion programs. Implements equal employment opportunity and affirmative action programs and activities. Provides advice and services on performance appraisals and exceptions to normal pay setting practices. Conducts exit interviews. Administers special programs: Summer, Upward Mobility, Unemployment Compensation, and Drug Testing. Monitors FTE consumption levels and provides advice and recommendations concerning hiring strategies and FTE management at both the agency and office levels. Evaluates applicant qualifications; reviews security packages. Provides advisory, coordination, and liaison services on a variety of personnel issues. Plans and implements intra- and interagency rotational and detail assignment activities.

Chief Jacqueline F. Jackson

Organizational Development and Training

Provides organizational development, training, and career counseling services for NRC employees. Plans, develops, and implements policies and programs for technically related professional training, the Multidisciplinary Graduate Level Programs, and the Women's Executive Leadership Program. Provides consulting services to managers in developing programs to meet intra- and inter-office training needs. Administers the agency's Senior Fellowship Program. Develops training programs to support upward mobility and equal employment opportunity goals. Manages the Professional Development Center which includes a comprehensive end-user computer training curriculum. Provides alternative training media and methods and individualized Learning Center services. Provides agency executive, management, and supervisory development and training programs. Plans, develops, and implements orientation programs for new NRC employees and secretaries. Provides information, assistance, and counsel to employees on career development. Evaluates the Child Development Center and its programs.

Chief Eileen B. Mason

Recruitment, Incentives and Benefits

Provides recruitment, incentive awards, and benefits services to the NRC. Develops and implements strategies to meet the long-term recruitment needs of the agency. Develops and implements recruitment plans to attract women, minorities, and persons with disabilities. Conducts and coordinates recruitment programs and maintains applicant files. Administers the agency's suggestion, incentive, and performance awards programs. Plans and manages the intern, stay-in-school, cooperative education, and graduate fellowship programs and activities. Provides information, assistance and counseling to agency employees, former spouses, and applicants on health and life insurance benefits, Thrift Savings Plan, and retirement systems. Manages the agency's centralized secretarial recruitment and placement program. Administers the Disabled Employee Program.

Chief Janice M. Clemens

Office Management Support

Provides centralized office-wide administrative secretarial and clerical services. Manages and directs the office-wide clerical staff.

Chief (Vacant)

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF STATE PROGRAMS	
<p>Responsible for establishing and maintaining effective communications and working relationships between the NRC, states, local governments, other federal agencies and Indian tribe organizations. Serves as primary contact for policy matters between NRC and these external groups. Keeps the agency apprised of these groups' activities as they may affect NRC and conveys to NRC management these groups' views toward NRC policies, plans, and activities. Administers the Agreement States Program; provides training and technical assistance to Agreement States; conducts Agreement State Program reviews. Coordinates liaison with other federal agencies through the Federal Liaison Program.</p>	
Director	Richard L. Bengart
Deputy Director	Paul H. Lohaus
Federal Liaison	Maria Lopez-Otin

U.S. NUCLEAR REGULATORY COMMISSION

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

DIVISION OF FUEL CYCLE SAFETY AND SAFEGUARDS

Develops, implements, and evaluates overall agency safety and safeguards policy for fuel cycle and Special Nuclear Material (SNM) processing facilities licensed under the Atomic Energy Act of 1954, as amended, or certified in accordance with the Energy Policy Act of 1992. Directs the NRC's principal licensing, certification, inspection, environmental reviews, and regulatory activities associated with these facilities to assure adequate safety and safeguards. Coordinates with NRR to assure consistency in the implementation of the safeguards program for reactors. Reviews protection afforded weapons-usable special nuclear material in the licensed and license-exempt sectors to maintain comparability. Develops NRC's design basis threats, assesses threats to the domestic environment affecting all NRC licensed activities, and continually reviews the adequacy of design basis threats based on domestic and foreign events and intelligence information. Identifies and takes action to resolve safety and safeguards issues, and directs NRC contingency planning and emergency response operations dealing with accidents, events, incidents, threats, thefts, or radiological sabotage relating to licensed activities under its responsibility. Reviews the international safeguards and physical protection technical aspects of export/import licensing and retransfer requests. Conducts and coordinates NRC activities in support of implementation of IAEA safeguards at NRC licensed facilities. Conducts efforts to strengthen IAEA safeguards and safeguards in other countries. Provides technical support for training and guidance to NRC regional offices and Agreement State licensing and inspection staff.

Director Elizabeth Q. Ten Eyck
Deputy Director E. William Brach

Regulatory and International Safeguards Branch

Establishes requirements and develops technical bases for new safety and safeguards rules and regulatory guidance for NRC licensed fuel facilities; analyzes policy options for fuel cycle safety and safeguards generic issues; and coordinates with AEOD/TTC on fuel cycle safety and safeguards training requirements. Conducts reviews of the international safeguards and physical protection technical aspects of export and import licensing and retransfer requests; conducts technical evaluations of the safeguards provisions of agreements for cooperation; conducts efforts, in conjunction with U.S. coordinated activities, to support the strengthening of International Atomic Energy Agency (IAEA) safeguards; conducts, with participation of other U.S. government agencies, onsite reviews of foreign physical protection programs; conducts and coordinates NRC activities in support of implementation of IAEA safeguards at selected NRC licensed facilities under the US/IAEA Safeguards Agreement; and conducts, in conjunction with U.S. coordinated activities, efforts to enhance safeguards programs in other countries including the republics of the former Soviet Union.

Chief Theodore S. Sherr

Enrichment Branch

Reviews programmatic activities and develops technical and policy options for regulations, certification criteria, regulatory guides, and policy statements associated with enrichment facilities. Conducts safety, safeguards, and other appropriate reviews; environmental assessments and impact statements; and analyses associated with the regulation and licensing or certification of enrichment facilities. Develops and implements certification procedures for gaseous diffusion enrichment plants, in accordance with the Energy Policy Act of 1992. Provides technical support and guidance to the regions regarding licensing or certification of enrichment facilities. Provides technical support for incident management and emergency response at enrichment facilities.

Chief John W. Hickey

Operations Branch

Develops and directs implementation of policies and programs for inspection and oversight of fuel cycle facilities. Develops inspection procedures for and conducts criticality, chemical process safety, and material control and accounting (MC&A) inspections at fuel cycle facilities. Reviews fuel facility license documents for inspectability. Provides regional oversight, develops policies and procedures for assessing regional performance of fuel cycle licensing, certification and inspection activities, and conducts such assessments. Provides technical support and guidance to the regions on fuel cycle facility inspection activities. Reviews inspection reports to identify safety and safeguards issues actions. Plans, coordinates, and manages contingency planning and emergency response activities for safety and safeguards events at fuel cycle facilities, and assesses fuel cycle facility event reports. Manages the sensitive compartmented information facility at Two White Flint North. Coordinates with the intelligence community, assesses the threat to the environment affecting licensed activities, and reviews the adequacy of NRC's design basis threats based on domestic and foreign events and intelligence information. Conducts pattern and trend studies based on analysis of domestic and foreign events. Manages utilization of the Nuclear Materials Management and Safeguards System.

Chief Phillip Ting

Licensing Branch

Reviews programmatic activities and identifies technical and policy options for regulations, regulatory guides, and policy statements associated with licensing of fuel cycle and SNM processing facilities. Conducts health physics, nuclear criticality safety, chemical process safety, material control and accounting, physical protection, and other appropriate analyses, and follow-up reviews related to licensing of natural uranium conversion and production plants, SNM processing facilities, and enriched uranium and plutonium fuel processing and fabrication facilities. Conducts environmental assessments and prepares environmental impact statements related to licensing actions. Provides programmatic direction to the licensing programs in the regions related to these activities. Provides technical support and guidance to the regions on fuel cycle and SNM processing licensing activities. Provides technical support for safety and safeguards incident management and emergency response at fuel cycle and SNM processing facilities.

Chief Robert C. Pierson

U.S. NUCLEAR REGULATORY COMMISSION

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

DIVISION OF WASTE MANAGEMENT

Directs the NRC's program for the regulation of low-level waste (LLW) decommissioning, uranium recovery (UR), and, the DOE high-level waste (HLW) repository program. Identifies and takes action to control safety issues under its responsibility. Develops, implements, and evaluates safety and environmental policies and long-range goals for these activities. Provides guidance for regional activities relating to waste management and decommissioning. Interacts with other NRC offices, Federal and State organizations, Indian tribes, and other jurisdictions on matters under its cognizance. Represents NRC in international waste management and decommissioning activities. Coordinates research to ensure regulatory commitments are achieved. Serves as the NRC's lead for DOE's Remedial Action Plans. Authorities include the Atomic Energy Act of 1954, as amended; the National Environmental Policy Act of 1969; the Energy Reorganization Act of 1974; the Uranium Mill Tailings Radiation Control Act of 1978, as amended; the Low-Level Radioactive Waste Policy Act of 1980, as amended; the West Valley Demonstration Project Act of 1980; the Nuclear Waste Policy Act of 1982; the Low-Level Radioactive Waste Policy Amendments Act of 1985; the Nuclear Waste Policy Amendments Act of 1987; and the Energy Policy Act of 1992.

Director John T. Greeves
Deputy Director Margaret V. Federline
Assistant to the Director John J. Surrmeier

LLW and Decommissioning Projects Branch

Serves as the focal point for implementing the Low-Level Waste (LLW) Program and the Decommissioning Program. Manages the regulatory program for approvals or denials of requests to dispose of radioactive waste at sites other than licensed low-level waste sites (i.e., 10 CFR 20.2002 requests). Serves as focal point for interactions with the U.S. Environmental Protection Agency. Manages implementation of the Site Decommissioning Management Plan (SDMP). Conducts environmental and safety evaluations related to LLW and decommissioning. This responsibility includes providing technical support for reactor decommissioning and terminating licenses when decommissioning is complete. Responsible for the review of licensee financial assurance plans for decommissioning. Issues licenses and license amendments related to LLW sites and sites undergoing decommissioning. Responsible for management of power reactor decommissioning after spent fuel has been removed from the spent fuel pool. Implements an active interface program, including ongoing consultation with Federal, State, Indian tribe, and other entities to promote understanding of the LLW and decommissioning programs and to identify and resolve concerns in a timely manner. Provides technical assistance to Agreement States on LLW and decommissioning issues.

Chief Michael F. Weber

Uranium Recovery Branch

Serves as the focal point for implementation and overall coordination of the Uranium Recovery (UR) Licensing Programs, including interface with DOE and licensees. Responsible for planning and implementing the regulatory programs under the Uranium Mill Tailings Radiation Control Act (UMTRCA). Manages, coordinates, and conducts the safety and environmental reviews of pre-licensing and licensing activities, and the review of documents related to the concurrence and licensing of Title I sites under UMTRCA. Provides necessary planning to enable meeting statutory schedules and milestones, such as the Subpart T radon barrier dates. Plans and directs the program for UR Title II site activities, including mill tailings management and decommissioning that encompasses, (1) oversight and programmatic direction for the UR program, (2) implementation of policies and programs, (3) reviews of UR licensing and inspection programs for technical adequacy and consistency. Provides technical assistance to Agreement States on UR issues.

Chief Joseph J. Holonich

Performance Assessment and High-Level Waste Integration

Serves as the focal point for project management, integration, and overall coordination of the HLW repository program. Responsible for implementation of the regulatory program under the Nuclear Waste Policy Act (NWPA) of 1982, and implementation of the NRC and DOE procedural agreement governing pre-licensing consultation for HLW. As the center for technical expertise in health physics, and performance assessment disciplines, conducts technical reviews and provides support to the high-level and low-level waste, decommissioning, and uranium recovery programs. Develops the technical evaluation and assessment methodologies and codes to determine compliance with 10 CFR Parts 40, 60, and 61; 40 CFR Part 191; and other regulatory requirements. Develops guidance with respect to specific technical information required for strategies and methodologies that would be acceptable to demonstrate compliance. Ensures technical completeness, accuracy, and consistency within assigned technical responsibilities. Reviews regulatory requirements, and relevant pre-licensing, licensing, and decommissioning documents. Provides technical assistance to States and compacts, as appropriate. Interfaces with the U.S. Environmental Protection Agency and others in the development and implementation of environmental radiation protection standards for high-level waste management and disposal. Responsible for international coordination of performance assessment, and health physics activities.

Chief John H. Austin

Engineering and Geosciences Branch

As the center for technical expertise in earth sciences, geotechnical, mechanical and structural engineering, and material sciences, relating to stabilization and disposal of radioactive wastes, conducts technical reviews and provides support to high-level and low-level waste, decommissioning and uranium recovery program. Develops the technical evaluation and assessment methodologies, including subsystem performance assessment models, to assess compliance with NRC regulations and criteria. Develops guidance for licensees regarding specific technical information required to demonstrate compliance with regulatory criteria. Ensures technical completeness, accuracy, and consistency within assigned technical responsibilities. Reviews and evaluates submissions for technical adequacy and compliance with regulatory requirements, including license applications, environmental reports, site characterization plans, and other related pre-licensing, licensing, and decommissioning documents. Responsible for international coordination of engineering and geoscience activities.

Chief Michael J. Bell

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ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

SPENT FUEL PROJECT OFFICE

Develops and implements the agency's regulatory, licensing, and inspection program for the storage of nuclear reactor spent fuel, and the domestic and international transportation of radioactive materials. Serves as the agency lead in spent fuel storage and transportation activities. Develops licensing, certification, and quality assurance review criteria and positions. Manages and conducts the safety and environmental reviews of: (1) commercial spent fuel transportation and storage cask designs, including the certification of storage systems under the general license provisions of 10 CFR Part 72, and (2) interim spent fuel and high-level waste storage facilities, including the licensing of nuclear utility specific facilities, private facilities, and a DOE centralized facility. Manages and conducts the review of the Department of Energy (DOE) applications for storage and transport systems for the civilian high-level radioactive waste program. Conducts the safety evaluations and issues Certificates of Compliance for non-spent fuel transportation packages. Conducts safety inspections of transport packages and spent fuel storage system vendors. Conducts inspections of the implementation of quality assurance programs by users, suppliers, and fabricators of NRC-certified transport packages and dry storage systems. Develops policy, regulations, and guidance for designers, users, and fabricators of NRC-certified transportation packages and dry spent fuel storage casks. Provides technical and policy guidance to the NRC regions and licensees on transportation and spent fuel storage. Coordinates and develops guidance with other U.S. Government and international agencies on transportation policy and safety issues, and provides guidance to industry and the public. Participates in the development of international transportation and spent fuel storage safety standards. Reviews and provides guidance on transportation physical protection issues. Provides technical support for incident and emergency response.

Director William D. Travers
Deputy Director Charles J. Haughney

Spent Fuel Licensing Section

Manages the safety and environmental reviews of commercial spent fuel transportation and storage cask designs under 10 CFR Parts 71 and 72, including the certification of storage systems under the general license provisions of 10 CFR Part 72. Manages the safety and environmental reviews of interim spent fuel and high-level waste storage facilities under 10 CFR Part 72, including the licensing of nuclear utility specific facilities, private facilities, and a DOE centralized facility. Manages the review of Department of Energy (DOE) applications for storage and transport systems for the civilian high-level radioactive waste program.

Chief Eric J. Leeds

Transportation Safety and Inspection Branch

Develops and directs implementation of policies and programs for the certification of non-spent fuel transportation packages, and the inspection of transport package and spent fuel storage system vendors. Coordinates technical and policy guidance for NRC regions and licensees on transportation and spent fuel storage. Coordinates development of guidance with other U.S. Government and international agencies on transportation policy and safety issues, and provides guidance to industry and the public. Participates in development of international transportation and spent fuel storage safety standards. Coordinates technical support for incident and emergency response.

Chief Susan F. Shankman

Spent Fuel Technical Review Section

Conducts the safety and environmental reviews of commercial spent fuel transportation and storage cask designs under 10 CFR Parts 71 and 72, including the certification of storage systems under the general license provisions of 10 CFR Part 72. Conducts the safety and environmental reviews of interim spent fuel and high-level waste storage facilities under 10 CFR Part 72, including the licensing of nuclear utility specific facilities, private facilities, and a DOE centralized facility. Conducts the review of Department of Energy (DOE) applications for storage and transport systems for the civilian high-level radioactive waste program.

Chief Fritz C. Sturz

Package Certification Section

Conducts the safety evaluations and issues Certificates of Compliance for non-spent fuel transportation packages under 10 CFR Part 71. This includes transportation containers for UF₆, fresh fuel, oxides, contaminated waste, sealed sources, and Naval Reactor shipments. Develops technical guidance for the design, analysis, fabrication, and operation of non-spent fuel shipping containers. Provides technical support for incident and emergency response.

Chief Ross Chappell

Transportation and Storage Safety Section

Develops policy, regulations, and guidance for designers, users, and fabricators of NRC-certified transportation packages and dry spent fuel storage casks. Provides technical and policy guidance to the NRC regions and licensees on transportation and spent fuel storage. Develops guidance with other U.S. Government and international agencies on transportation policy and safety issues, and provides guidance to industry and the public. Participates in the development of international transportation and spent fuel storage safety standards. Reviews and provides guidance on transportation physical protection issues. Provides technical support for incident and emergency response.

Chief Earl P. Easton

Transportation and Storage Inspection Section

Conducts safety inspections of transport packages and spent fuel storage system vendors to assess compliance with provisions of license or certificate. Conducts inspections of the implementation of quality assurance programs by users, suppliers, and fabricators of NRC-certified transport packages and dry storage systems.

Chief John P. Jankovich

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR REACTOR REGULATION

Responsible for ensuring the public health and safety through licensing and inspection activities at all nuclear power reactor facilities in the United States. Responsible for the oversight of all aspects of licensing and inspection of manufacturing, production, and utilization facilities (except for facilities reprocessing fuel and performing isotopic fuel enrichment), and receipt, possession, and ownership of source, byproduct, and special nuclear material used or produced at facilities licensed under 10 CFR Part 50. Develops policy and inspection guidance for programs assigned to the regional offices and assesses the effectiveness and uniformity of the region's implementation of those programs. Identifies and takes action in coordination with the regional offices regarding conditions and licensee performance at such facilities that may adversely affect public health and safety, the environment, or the safeguarding of nuclear facilities and assesses and recommends or takes action in response to incidents or accidents. Responsible for licensing issues and regulatory policy concerning reactor operators, including the initial licensing examination and requalification examinations; emergency preparedness, including participation in emergency drills with Federal, State, and local agencies; radiation protection; security and safeguards at such facilities, including fitness for duty; and the inspection of nuclear component supplier facilities. NRR responsibilities include the technical review, certification, and licensing of advanced nuclear reactor facilities and the renewal of current power reactor operating licenses.

Director William T. Russell
Deputy Director Frank J. Miraglia, Jr.

ASSOCIATE DIRECTOR FOR PROJECTS

Provides overall project management activities related to licensing and inspection of power reactors. Develops programs and guidelines to improve generic technical specifications, develops technical specifications for plants under license review, and provides NRR interpretations of technical specification requirements. Interacts with the other directors to resolve or recommend resolution of policy and office-level programmatic issues.

Associate Director Roy P. Zimmerman

DIVISION OF REACTOR PROJECTS - I, II

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DIVISION OF REACTOR PROJECTS - III, IV

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TECHNICAL SPECIFICATIONS BRANCH

(See Page 44)

ASSOCIATE DIRECTOR FOR TECHNICAL REVIEW

Provides management direction of technical evaluations and assessment of technical issues including: systems safety, engineering, operator licensing, licensee and vendor quality programs, risk assessment, and human factors. Reviews and evaluates quality assurance aspects of nuclear facility licenses, and applicants and initial/preoperational test programs, and special test programs. Interacts with the other directors to resolve or recommend resolution of policy on major office-level programmatic issues.

Associate Director Ashok C. Thadani

DIVISION OF ENGINEERING

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DIVISION OF SYSTEMS SAFETY AND ANALYSIS

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DIVISION OF REACTOR CONTROLS AND HUMAN FACTORS

(See Page 47)

DIVISION OF REACTOR PROGRAM MANAGEMENT

Provides overall planning and management direction for the project management and technical review activities associated with advanced standard plant designs, plant license renewals, and non-radiological environmental issues. Implements programs and procedures to systematically assess and screen daily reactor events, recommend immediate corrective plant-specific and generic actions, and coordinate the follow-up events including NRR involvement in augmented inspection teams and incident investigation teams. Manages NRR's generic issues management program. Develops, coordinates and issues NRC correspondence, e.g., generic letters, bulletins, and information notices, to address generic concerns. Provides project management for non-power reactor activities, and for reactors being decommissioned. Develops and oversees the reactor safeguards, emergency preparedness, and radiation protection inspection and licensing programs. Performs licensing reviews and safety evaluations, and interfaces with FEMA for emergency preparedness at reactor facilities. Provides project management for NSSS owners group activities.

Director Thomas T. Martin
Deputy Director Brian K. Grimes

STANDARDIZATION PROJECT DIRECTORATE

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LICENSE RENEWAL AND ENVIRONMENTAL REVIEW PROJECT DIRECTORATE

(See Page 48)

EVENTS ASSESSMENT AND GENERIC COMMUNICATIONS BRANCH

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NON-POWER REACTORS AND DECOMMISSIONING PROJECT DIRECTORATE

(See Page 48)

SAFEGUARDS BRANCH

(See Page 48)

EMERGENCY PREPAREDNESS AND RADIATION PROTECTION BRANCH

(See Page 48)

GENERIC ISSUES & ENVIRONMENTAL PROJECTS BRANCH

(See Page 48)

DIVISION OF INSPECTION AND SUPPORT PROGRAMS

Develops and oversees inspection program policies, guidance, and practices. Analyzes and evaluates the effectiveness and implementation of the operating reactor inspection program. Coordinates the semi-annual Senior Management Meeting process. Develops and provides oversight of implementation of the SALP program. Conducts integrated Performance Assessments (as part of the Integrated Performance Assessment Process), Diagnostic Evaluations, and other multi-disciplinary inspections at licensee facilities as necessary. Performs special reactor inspections and vendor inspections. Develops and recommends new concepts and revisions to the programs of team and vendor inspections. Provides administrative management and coordination of the programs and resources of the office. Establishes priorities, schedules, and resource allocations; performs long- and short-range planning, budget development and execution; and coordinates information resources management activities. Manages NRR funds control and travel expenditures, and acts as central coordinator for internal control. Provides administrative and management support including personnel management, space management, and management information systems. Manages the NRR Reactor Engineer Intern Program.

Director Francis P. Gillespie

INSPECTION PROGRAM BRANCH

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PLANNING & RESOURCE ANALYSIS BRANCH

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SPECIAL INSPECTION BRANCH

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ADMINISTRATION BRANCH

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INFORMATION MANAGEMENT BRANCH

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OFFICE OF NUCLEAR REGULATORY RESEARCH

DIVISION OF SYSTEMS TECHNOLOGY

Plans, develops, and directs comprehensive safety research programs for predicting nuclear reactor and plant systems behavior under normal, accident, and severe accident conditions for current and advanced reactors. Responsibilities include evaluating challenges to containments, development of accident source terms, accident sequence analysis, and development, coordination, and, in selected cases, advanced reactor safety research. Provides systems research program planning, implementation, and results in response to needs defined for severe accidents and human factors. Provides research to improve NRC's understanding of human performance and to evaluate the safe application of digital instrumentation and control systems. Performs and reviews for probabilistic risk assessments, individual plant examinations (IPEs) and reliability assessments. Provides funding guidance to NRC contractors, DOE laboratories, and other government agencies within the division budget. Consistent with NRC policy and to the extent overall agency need exists, maintains liaison and provides technical input in assigned areas to other federal agencies, ANSI, professional societies, international agencies, and other organizations. Maintains broad technical expertise and provides consultation in the relevant systems technology areas.

Director M. Wayne Hodges
Deputy Director Thomas L. King

U.S. NUCLEAR REGULATORY COMMISSION

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

— Direct Supervision
 - - - - - Coordination

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U.S. NUCLEAR REGULATORY COMMISSION

REGION III

Executes established NRC policies and assigned programs relating to inspection, licensing, investigation, enforcement, government liaison, and emergency response within regional boundaries.

Regional Administrator Arthur B. Beach (Vacant)

Deputy Regional Administrator (Vacant)

Office of Investigations Field Office

Provides investigative support to Region III.

Director (Vacant)

Public Affairs Staff

Implements NRC policies and programs for Public Affairs in the Region.

Sr. Public Affairs Officer Richard J. Strasma

Field Public Affairs Officer Angela S. Daughnas

Regional Counsel

Advises the Regional Administrator on legal issues.

Regional Counsel Bruce A. Berson

State and Governmental Affairs Staff

Provides State and Government liaison.

Regional State Liaison Officer Roland M. Lickus

Enforcement and Investigation

Coordinates enforcement policy in the Region and implements enforcement and investigation activities.

Director Bruce L. Burgess

DIVISION OF RESOURCE MANAGEMENT

Responsible for human resources management, contract administration, budget and fiscal management, ADP services, space and property management, telecommunications, and general administrative services, including license fee management and FOIA processing.

Director Irene P. Little

Personnel Staff

Provides and coordinates regional personnel services, training activities, and equal employment opportunity administration.

Personnel Officer Peggy A. Dahlberg

Resource Management Branch

Performs budgeting, accounting, procurement, travel, information management services, regional computer support, and LAN administration.

Chief Martin J. Kerlin

Administrative Management Branch

Performs telecommunications, word processing, records, files, space and property management, security administration, and FOIA activities.

Chief Gail Christoffer-Baruch

----- Coordination

----- Direct Supervision

DIVISION OF REACTOR PROJECTS

Provides implementation policy oversight and direction for the inspection and assessment programs for power reactors including inspection program management, Systematic Assessment of Licensee Performance (SALP), resident inspection, and allegation followup. Serves as the contact with licensees, Headquarters office, and the public for power reactors in Region III. Provides for prompt on-site response to reactor events.

Director William L. Axelson

Deputy Director James L. Caldwell

Reactor Projects Branch 1 (see page 58)

Reactor Projects Branch 2 (see page 58)

Reactor Projects Branch 3 (see page 58)

Reactor Projects Branch 4 (see page 58)

Reactor Projects Branch 5 (see page 58)

Reactor Projects Branch 6 (see page 58)

Reactor Projects Branch 7 (see page 58)

DIVISION OF REACTOR SAFETY

Performs inspections of operations, training, electrical, chemical, mechanical, nuclear, human factor engineering/human performance, nuclear physics, risk assessment and management, management of QA/QC systems, facility operations, maintenance, radiation protection, environmental reactor programs, and security for all power reactor programs. Conducts the operator licensing function for power reactor facilities. Reviews QA, emergency preparedness, and security for power reactor programs. Serves as the focal point for coordinating with technical counterparts and referring potentially generic insights to Headquarters.

Director Geoffrey E. Grant

Deputy Director John A. Grobe

Operator Licensing Branch (see page 58)

Lead Engineers Branch (see page 58)

Engineering Specialist Branch 1 (see page 58)

Engineering Specialist Branch 2 (see page 58)

Plant Support Branch 1 (see page 58)

Plant Support Branch 2 (see page 58)

DIVISION OF NUCLEAR MATERIAL SAFETY

Provides implementation, policy oversight, and direction for the Materials Safety Licensing and Inspection Programs, Fuel Cycle Inspections, and Agreement for the Materials Safety Licensing and Inspection Program. Fuel Cycle Inspections, and Agreement for the Materials Safety Licensing and Inspection Program. Fuel Cycle Inspections, and Agreement for the Materials Safety Licensing and Inspection Program. Fuel Cycle Inspections, and Agreement for the Materials Safety Licensing and Inspection Program.

Director Cynthia D. Pederson

Deputy Director (Acting) Geoffrey C. Wright

Material Licensing Branch (see page 58)

Material Administrative Support Branch (see page 58)

Material Inspection Branch 1 (see page 58)

Material Inspection Branch 2 (see page 58)

Fuel Cycle Branch (see page 58)

Decommissioning Branch (see page 58)

U.S. NUCLEAR REGULATORY COMMISSION

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* Located at Walnut Creek Field Office (formerly Region V), Walnut Creek, CA

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