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Analysis of Classical Transport Equations for the Tokamak Edge Plasma

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1. Introduction

The classical fluid transport equations for a magneto-plasma as given, for example, by Braginskii [1], are complicated in their most general form. Here we obtain the simplest reduced set which contains the essential physics of the tokamak edge problem in slab geometry by systematically applying a parameter ordering and making use of specific symmetries. An important ingredient is a consistent set of boundary conditions as described elsewhere [2]. This model clearly resolves some important issues concerning diamagnetic drifts, high parallel viscosity, and the ambipolarity constraint. The final equations can also serve as a model for understanding the structure of the equations in the presence of anomalous transport terms arising from fluctuations. In fact, Braginskii-like equations are the basis of a number of scrape-off layer (SOL) transport codes [3]. However, all of these codes contain *ad hoc* radial diffusion terms and often neglect some classical terms, both of which make the self-consistency of the models questionable.

Braginskii's equations [1] have been derived from the first principles via the kinetic equations and, thereby, contain such "built-in" features as the symmetry of kinetic coefficients, and automatic quasineutrality of a cross-field diffusion in a system with toroidal symmetry such as a tokamak. Our model thus maintains these properties.

On the other hand, this set of equations is correct only within applicability limits that may be violated in the SOL using classical collision rates. For example, the thickness of the SOL, Δ_x , in the case of low gas recycling, can be estimated as follows: The parallel life-time of a plasma element is $\tau_{\parallel} \sim L/v_{ti}$, where L is the distance along the magnetic field, \mathbf{B} , from the midplane to the divertor or limiter, and $v_{ti} = (2T_i/m_i)^{1/2}$. We assume that the ion and electron temperatures are of the same order ($T_e \sim T_i$). The classical diffusion coefficient, D_c , across \mathbf{B} is [1] $D_c \sim \rho_e^2 \nu_e \sim \rho_i^2 \nu_i (m_e/m_i)^{1/2}$ where $\rho_{i,e} = v_{ti,e}/\omega_{ce,i}$, $\omega_{ce,i} = eB/cm_{i,e}$, and $\nu_{i,e}$ and $m_{i,e}$ are the electron and ion collision frequencies and masses, respectively. Thus, in a parallel life-time, the radial diffusion broadens the SOL to the width

$$\Delta_x \sim (D_c \tau_{\parallel})^{1/2} \sim \rho_i (m_e/m_i)^{1/2} (L/\lambda)^{1/2}$$

The classical fluid theory becomes invalid for $\Delta_x < \rho_i$, which can occur even for fairly strong collisionality when the mean-free path $\lambda \sim L(m_e/m_i)^{1/2} \sim L/50$.

To avoid $\Delta_x < \rho_i$, and to make the analysis more directly applicable to present tokamak devices, we may enhance $\nu_{i,e}$ for the cross-field transport, but still maintain the ordering to be described. Such an enhancement has the advantage over *ad hoc* anomalous terms that the underlying conservation properties are still obeyed. Because the rapid parallel transport is believed in the range of classical values, the parallel

terms need not be enhanced. Our focus is on the structure of the equations obtained and particularly on the calculation of the electrostatic potential.

2. Geometry and Ordering

We consider the slab geometry shown in Fig. 1a where all quantities are uniform in the z -direction. The x and y coordinates correspond to the poloidal and radial directions, respectively. The static magnetic field components, $B_p \equiv B_x$ and $B_t \equiv B_z$ lie in the (y, z) plane. Material surfaces (limiter or divertor plates) exist at $y = \pm(d + p)/2$, and periodic boundary conditions are applied at the edges of the core region given by $y = \pm d/2$ for $x < 0$. A private flux region exists for $x < 0$ if $p > 0$ to model divertors, whereas $p = 0$ describes a limiter case. For $x > 0$, B-field lines strike the plate, while for the core region, they close on themselves and the plasma requires periodic boundary conditions there.

It is convenient to use two coordinate systems in the $y - z$ plane related by a rotation angle of $\alpha = \arctan(B_p/B_t)$ shown in Fig. 1b. The (y, z) coordinates have z as an ignorable coordinate, and the (y', z') coordinate system exploits the natural anisotropy of the transport coefficients with z' being along B. The various velocity components used are shown in Fig. 1b.

For our ordering, validity of the fluid analysis requires the two conditions [1]:

$$\epsilon_\lambda \equiv \lambda/L \ll 1, \quad \text{and} \quad \epsilon_\rho \equiv \rho_i/\Delta_x \ll 1.$$

Additionally, we assume that the collision frequencies, $\nu_{i,e} = 1/\tau_{i,e}$, are much less than the corresponding gyrofrequencies, $\omega_{ci,ce}$, and that the SOL plasma is long and thin, *i.e.*,

$$\epsilon_{\nu_i, \nu_e} \equiv \nu_{i,e}/\omega_{ci,ce} \ll 1, \quad \text{and} \quad \epsilon_\Delta \equiv \Delta_x/(d + p) \ll 1.$$

Also, the B-field makes a small angle with the plate: $\alpha \equiv \arctan(B_p/B_T) \ll 1$.

3. Plasma Equations

3.1 General equations

The basic aspects of our model can be obtained by considering the equations of continuity and momentum for electrons and ions [1]. We assume for now that the $T_{i,e}$ profiles are given; inclusion of the energy equations is discussed later.

The steady-state continuity equations have the form

$$\nabla \cdot (n_{i,e} \mathbf{v}_{i,e}) = S_{i,e}^p, \quad (1)$$

where $n_{i,e}$ and $\mathbf{v}_{i,e}$ are the electron and ion densities and velocities, respectively. The source term $S_{i,e}^p$ arises from ionization of neutral gas and recombination; generally $S_e^p = S_i^p$ for singly ionized plasmas unless a current source is present in the plasma.

The steady-state momentum equations are given by

$$m_{i,e} n_{i,e} \mathbf{v}_{i,e} \cdot \nabla \mathbf{v}_{i,e} = -\nabla P_{i,e} + q n_{i,e} (\mathbf{E} + \mathbf{v}_{i,e} \times \mathbf{B}/c) - \mathbf{F}_{i,e} - \mathbf{R}_{i,e} + \mathbf{S}_{i,e}^m. \quad (2)$$

Here $P_{i,e} = n_{i,e} T_{i,e}$ is the pressure, $q (= \pm e)$ is the particle charge, \mathbf{E} is the electric field, \mathbf{B} is the magnetic field, c is the speed of light, $\mathbf{F}_{i,e} = \nabla \cdot \Pi_{i,e}$ is the viscous force, and

$R_{i,e}$ is the thermal force [1]. The source $S_{i,e}^m$ contains a sink term $-nm_{i,e}v_i S_{i,e}^p$ which arises if newly created particles have no drift motion.

In their general three-dimensional form, the four equations given above represent eight partial differential equations for $n_e, n_i, v_e,$ and v_i . The magnetic field is assumed static and given. The electrostatic potential, ϕ , gives $\mathbf{E} = -\nabla\phi$. The equation for ϕ is from quasineutrality ($n_e = n_i$); subtracting the two continuity equations gives the current continuity equation, $\nabla \cdot \mathbf{J} = 0$, where $\mathbf{J} = n_i e(\mathbf{v}_i - \mathbf{v}_e)$. We shall reduce this set to three equations for the variables $n_i, v_{i\parallel},$ and ϕ .

3.2 Dominant Force Terms and Velocities

The subscript i is dropped from ion mass, density, velocities, and forces while the less-used electron terms will always carry an e subscript. The ion viscosity terms given by \mathbf{F} in Eq. (2) can be divided into $F_0, F_1,$ and F_2 with terms proportional to $nT_i\tau_i\epsilon_{\nu}^{\gamma}$ where $\gamma = 0, 1$ and 2 , respectively [1]. The $F_{\parallel 0}$ term can be added as a correction to the pressure term in the form $\partial P_i/\partial y \rightarrow (\partial P_i/\partial y)[1 + \mathcal{O}(\lambda_i/L)]$ where λ_i is the ion mean-free path, and L is the scale length of parallel variations. This correction is thus small in the fluid regime and requires kinetic theory otherwise; we thus neglect it here.

The remaining F terms for $\gamma = 1, 2$ are (F_x is small)

$$F_{\parallel 1} + F_{\parallel 2} = \frac{\cos \alpha}{\omega_{ci}} \left[\frac{\partial P_i}{\partial y} \frac{\partial v_{\parallel}}{\partial x} - \frac{\partial P_i}{\partial x} \frac{\partial v_{\parallel}}{\partial y} \right] - 4 \frac{\partial}{\partial x} \eta_1 \frac{\partial v_{\parallel}}{\partial x}, \text{ and } F_{\perp 2} = -\frac{\partial}{\partial x} \eta_1 \frac{\partial v_{\perp}}{\partial x} \quad (3,4)$$

where the viscosity coefficient is $\eta_1 = (3/10)(mnT_i c/eB)(\omega_{ci}\tau_i)^{-1}$.

The \mathbf{R} terms can be taken directly from Ref. [1] with appropriate modifications for our geometry, and noting that $\mathbf{R}_i = -\mathbf{R}_e$. The dominant terms are (R_x is small)

$$R_{\parallel e} = -c_{re} m_e \nu_e \frac{J_{\parallel}}{e} + c_{te} n \sin \alpha \frac{\partial T_e}{\partial y}, \text{ and } R_{\perp e} = -\frac{1}{\omega_{ce}\tau_e} \left[\frac{\partial P_i}{\partial x} - \frac{3}{2} n \frac{\partial T_e}{\partial x} \right], \quad (5,6)$$

where the parallel current is $J_{\parallel} = ne(v_{\parallel i} - v_{\parallel e})$, $c_{re} \approx 0.51$, and $c_{te} \approx 0.71$.

To calculate v_{\perp} and v_x from Eq. (2), inertia may be neglected or included by iteration. Using the dominant terms of \mathbf{F} and \mathbf{R} , one finds

$$v_{\perp} = v_{D\perp} + v_{E\perp} - \frac{cS_x^m}{enB}, \quad (7)$$

where $v_{D\perp} = (c/enB)(\partial P_i/\partial x)$ and $v_{E\perp} = (c/B)(\partial\phi/\partial x)$ are the diamagnetic and $\mathbf{E} \times \mathbf{B}$ velocities, respectively. For v_x , the result is

$$v_x = v_{Dx} + v_{Ex} + v_{Fx} + v_{Rx} + \frac{cS_{\perp}^m}{enB}. \quad (8)$$

Here, $v_{Dx} = -(c/enB)(\cos \alpha \partial P_i/\partial y)$ and $v_{Ex} = -(c/B)(\cos \alpha \partial\phi/\partial y)$. The velocity components arising from the viscosity is obtained using Eq. (4) for F_{\perp} , giving

$$v_{Fx} = \frac{1}{n} \frac{\partial}{\partial x} \frac{n\rho_i^2 D_i}{T_i} \frac{\partial}{\partial x} \left(\frac{1}{n} \frac{\partial P_i}{\partial x} + e \frac{\partial\phi}{\partial x} \right). \quad (9)$$

where $D_i \equiv \eta_1/0.3nm = (T_i c/eB)(\omega_{ci}\tau_i)^{-1}$. We consider $\omega_{ci}\tau_i (\gg 1)$ a parameter that can be adjusted to give anomalous (Bohm-like) diffusion. For the momentum transfer term, we use Eq. (6) with $R_{\perp} = -R_{\perp e}$ to find

$$v_{Rx} = \frac{-D_e}{T_e} \left(\frac{1}{n} \frac{\partial P_i}{\partial x} - \frac{3}{2} \frac{\partial T_e}{\partial x} \right), \quad (10)$$

where $D_e \equiv (T_e c/eB)(\omega_{ce}\tau_e)^{-1}$ is a another Bohm-like coefficient with $\omega_{ce}\tau_e$ being a second adjustable parameter.

For the electrons, viscosity and S_e^m are negligible owing to $m_e \ll m_i$, giving

$$v_{\perp e} = v_{D\perp e} + v_{E\perp}, \quad \text{and} \quad v_{xe} = v_{Dxe} + v_{Ex} + v_{Rx}, \quad (11, 12)$$

where the electron diamagnetic velocity is $v_{D\perp e} = -(c/enB)(\partial P_e/\partial x)$, and $v_{Dxe} = (c/enB)(\cos \alpha \partial P_e/\partial y)$.

3.3 Final Equations for v_{\parallel} , n , and ϕ

Now that we have expressions for the perpendicular velocities, the final reduced differential equations for the variables v_{\parallel} , n_i , and ϕ can be obtained. The inertialess electron parallel momentum equation is used to eliminate $R_{\parallel i}$ ($= -R_{\parallel e}$) and $\partial\phi/\partial y$ in terms of $\partial P_e/\partial y$, giving

$$mn \left[(v_{Ex} + v_{Fx} + v_{Rx}) \frac{\partial v_{\parallel}}{\partial x} + \hat{v}_y \frac{\partial v_{\parallel}}{\partial y} \right] = -\sin \alpha \frac{\partial P_i}{\partial y} + 4 \frac{\partial}{\partial x} \eta_1 \frac{\partial v_{\parallel}}{\partial x} + S_i^m, \quad (13)$$

where $\hat{v}_y \equiv v_{\parallel} \sin \alpha + v_{E\perp} \cos \alpha$. Note that the gyroviscous term F_{\parallel} for $\gamma = 1$ [first term in Eq. (3)] has cancelled with the diamagnetic terms on the left-hand side of Eq. (2).

The differential equation for n comes from ion continuity, Eq. (1):

$$\frac{\partial}{\partial x} [n(v_{Ex} + v_{Fx} + v_{Rx})] + \frac{\partial}{\partial y} (n\hat{v}_y) = S_i^p. \quad (14)$$

Here the components of diamagnetic velocity, v_D , have disappeared from the left-hand side because $\nabla \cdot n v_D = 0$.

The third differential equation is the current continuity equation, $\nabla \cdot \mathbf{J} = 0$, obtained from subtracting the ion and electron continuity equations, to give

$$\frac{\partial^2}{\partial x^2} \left[\frac{n\rho_i^2 D_i}{T_i} \frac{\partial}{\partial x} \left(\frac{1}{n} \frac{\partial P_i}{\partial x} + e \frac{\partial \phi}{\partial x} \right) \right] + \sin \alpha \frac{\partial j_{\parallel}}{\partial y} = \left(\frac{c}{eB} \right) \left(-\frac{\partial S_{\perp}^m}{\partial x} + \cos \alpha \frac{\partial S_x^m}{\partial y} \right) \quad (15)$$

Here we show explicitly how the momentum source terms can influence the potential equation. For example, using a ion-neutral collision frequency, ν_n , gives $S_i^m = -\nu_n m_i n_i v_i$, which can compete with $F_{\perp 2}$ in determining j_x , or there can be direct momentum input through neutral-beam sources.

Inclusion of the energy equation shows that the diamagnetic terms also cancel there, including the $(5/2)(cn_{i,e}T_{i,e}/q_{i,e}B^2)\mathbf{B} \times \nabla T_{i,e}$ heat flux. The radial heat diffusivity is $2nD_i$ for the ions and $4.66n_e D_e$ for the electrons [1]. The viscous heating makes a sub-dominant contributions to the energy equations.

4. Examples

We illustrate the results of this model for parameters in the range of those observed in present-day tokamaks. The dimensions used (see Fig. 1a) are $a = 2$ cm, $b = 4$ cm, $d = 1.5$ m, and $p = 0.5$ m. The core midplane ion density is fixed to $5 \times 10^{19} \text{ m}^{-3}$ with equal $T_{e,i} = 100$ eV. The parallel and poloidal velocities are zero on the core boundary. Divertor plate particle recycling is set to 0.9 for a diffusive neutral gas model. The B-field values are $B_T = 3.5$ T and $B_P = 0.35$ T.

In Fig. 2, we show that ϕ is quite insensitive to the magnitude of η_1 for a case with $\omega_{ce}\tau_e = 50$. Here, the changing value of $\omega_{ci}\tau_i$ only effects η_1 because the $T_{e,i}$ profiles are frozen in this case to values obtained for $\omega_{ci}\tau_i = 50$ (see Fig. 4.). In the SOL, J_{\parallel} dominants, and in the core, the poloidal integral of J_x must vanish (with no sources) which does not depend on the magnitude of η_1 . In Fig. 3, we show that the density profile is quite different when Eq. (10) is used for v_{Rx} compared to the density-diffusion model of $v_x = -D\partial n/\partial x$ for the same midplane value of $D = 0.25 \text{ m}^2/\text{s}$. In Fig. 4, the energy equations are allowed to evolve using $D_{i,e}$ corresponding to $\omega_{ci,e}\tau_{i,e} = 50$. The sensitivity of the full model to the diffusion coefficients via changes in $\omega_c\tau$ is shown in Fig. 5; at the larger value, poloidal $\mathbf{E} \times \mathbf{B}$ becomes large near the separatrix owing to the large E_x .

5. Summary

The results of a systematic analysis of the plasma fluid transport equations has been presented for the parameter ordering appropriate to the tokamak edge plasma. The effect of enhanced radial transport is modeled by increasing the classical values of two parameters, $\omega_{ci,e}\tau_{i,e}$, without the underlying conservation and symmetry properties of the system. The cancellation of diamagnetic terms and the role of viscosity for the potential are clearly illustrated.

Because the radial current, J_x , caused by the viscosity is small, other possible sources of J_x should be considered [4]. For example, plasma flow in toroidal geometry give a centrifugal force that may drive such a current [4]. A upper bound on this current (there may be compensating terms) shows that for edge plasma parameters, it could be competitive with the viscous force. Also, a momentum source from collisions with neutrals could also compete, but for typical parameters, a neutral density in the range of 10^{18} m^{-3} is required.

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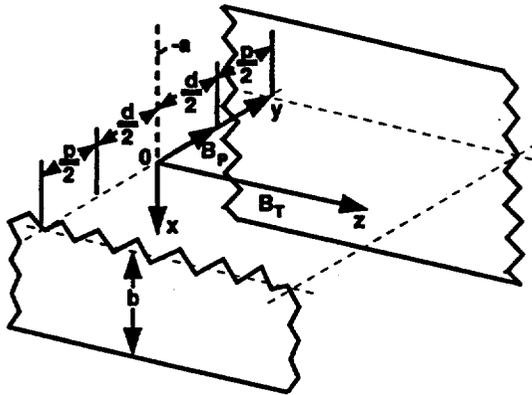


Fig. 1a. Coordinate system and regions used in the analysis showing static B-field components. Open field-lines exist $x > 0$, whereas the core region with closed field-lines occupies the region $x < 0$ and $-d/2 < y < d/2$.

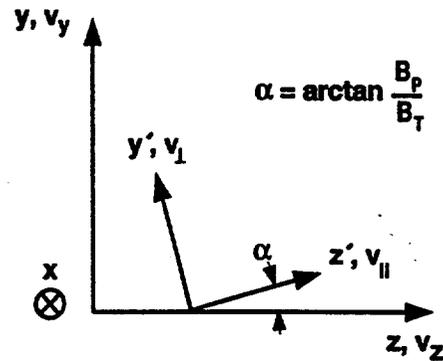


Fig. 1b. The (y,z) plane showing the second coordinate system (y', z') and velocities used to separate parallel and cross-field terms.

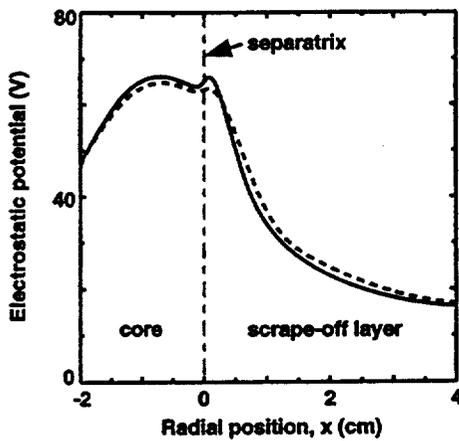


Fig. 2. Radial variation of potential at the midplane for two values of η_1 corresponding to $\omega_{ci}\tau_i = 50$ (solid) $\omega_{ci}\tau_i = 5$ (dashed). Temperature profiles fixed.

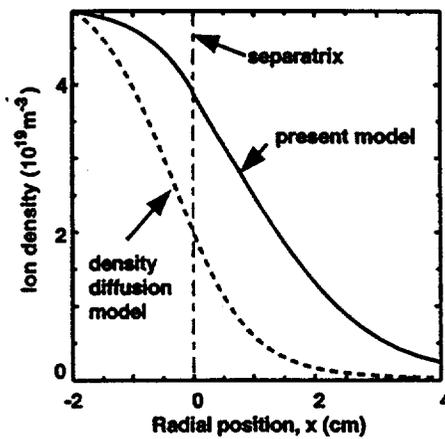


Fig. 3. Density profiles for case in Fig. 2 using present model diffusion [Eq. (10)] compared to simple density-gradient velocity with same D .

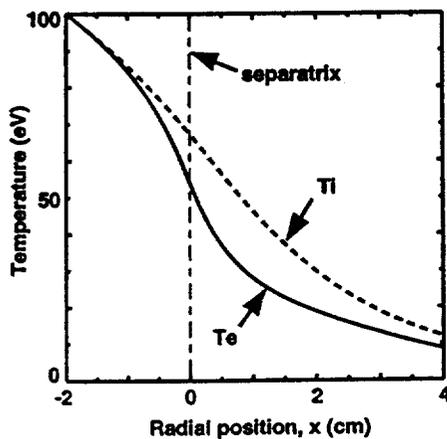


Fig. 4. Midplane temperature profiles for case where energy equations are evolved; $\omega_c\tau = 50$ for ions and electrons.

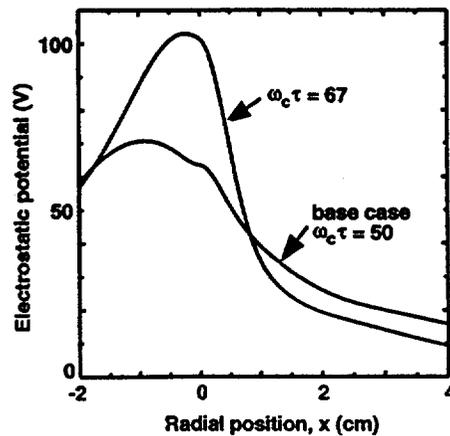


Fig. 5. Midplane potential for two different effective diffusion coefficients with the energy equations evolved.

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