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9 a.m.-12:30 p.m.

WHERE: Office of the Federal Register

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#### Federal Register

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#### **DEPARTMENT OF THE TREASURY**

#### Office of the Comptroller of the Currency

12 CFR Part 25
[Docket ID OCC-2010-0014]
RIN 1557-AD24

#### FEDERAL RESERVE SYSTEM

12 CFR Part 228 [Docket No. R-1360]

## FEDERAL DEPOSIT INSURANCE CORPORATION

12 CFR Part 345 RIN 3064-AD45

#### **DEPARTMENT OF THE TREASURY**

#### Office of Thrift Supervision

12 CFR Part 563e [Docket ID OTS-2010-0023] RIN 1550-AC35

## Community Reinvestment Act Regulations

**AGENCIES:** Office of the Comptroller of the Currency, Treasury (OCC); Board of Governors of the Federal Reserve System (Board); Federal Deposit Insurance Corporation (FDIC); Office of Thrift Supervision, Treasury (OTS). **ACTION:** Joint final rule.

SUMMARY: The OCC, Board, FDIC, and OTS (collectively, "the Agencies") are issuing this joint final rule, which revises our rules implementing the Community Reinvestment Act (CRA). The rule implements the statutory requirement that the Agencies consider low-cost education loans provided by the financial institution to low-income borrowers as a factor when assessing an

institution's record of meeting community credit needs. The final rule also incorporates the statutory provision that allows the Agencies to consider capital investment, loan participation, and other ventures undertaken by nonminority-owned and nonwomenowned financial institutions in cooperation with minority- and womenowned financial institutions and low-income credit unions as a factor when assessing an institution's CRA record.

DATES: Effective Date: November 3, 2010.

#### FOR FURTHER INFORMATION CONTACT:

OCC: Margaret Hesse, Special Counsel, Community and Consumer Law Division, (202) 874–5750; or Gregory Nagel, National Bank Examiner, Compliance Policy, (202) 874–4428, Office of the Comptroller of the Currency, 250 E Street, SW., Washington, DC 20219.

Board: Rebecca Lassman, Supervisory Consumer Financial Services Analyst, (202) 452–2080; or Brent Lattin, Senior Attorney, (202) 452–3667, Division of Consumer and Community Affairs, Board of Governors of the Federal Reserve System, 20th Street and Constitution Avenue, NW., Washington, DC 20551

FDIC: Janet R. Gordon, Senior Policy Analyst, Division of Supervision and Consumer Protection, Compliance Policy Branch, (202) 898–3850; or Susan van den Toorn, Counsel, Legal Division, (202) 898–8707, Federal Deposit Insurance Corporation, 550 17th Street, NW., Washington, DC 20429.

OTS: Stephanie M. Caputo, Senior Compliance Program Analyst, Compliance and Consumer Protection, (202) 906–6549; or Richard Bennett, Senior Compliance Counsel, Regulations and Legislation Division, (202) 906–7409, Office of Thrift Supervision, 1700 G Street, NW., Washington, DC 20552.

#### SUPPLEMENTARY INFORMATION:

#### Background

The Community Reinvestment Act (CRA) requires the federal banking and thrift regulatory agencies to assess the record of each insured depository institution (hereinafter, "institution") in meeting the credit needs of its entire community, including low- and moderate-income neighborhoods, consistent with the safe and sound operation of the institution, and to take

that record into account when the agency evaluates an application by the institution for a deposit facility. The Agencies have promulgated substantially similar regulations to implement the requirements of the CRA. 2

#### **Notice of Proposed Rulemaking**

On June 30, 2009, the Agencies published a joint notice of proposed rulemaking that would incorporate two statutory requirements into the CRA regulations.3 The first revision would implement section 1031 of the Higher Education Opportunity Act, Public Law 110-315, 122 Stat. 3078 (August 14, 2008) (the "HEOA"), which amended the CRA. This provision requires the Agencies to consider low-cost education loans provided by the institution to lowincome borrowers as a factor when evaluating an institution's record of meeting community credit needs. 12 U.S.C. 2903(d). The second revision would incorporate 12 U.S.C. 2903(b), which allows the Agencies to consider and take into account nonminority- and nonwomen-owned financial institutions' activities in connection with minority- and women-owned financial institutions and low-income credit unions.

Twenty-four different commenters provided their views to the Agencies on the proposed revisions. The commenters represented financial institutions, financial institution trade organizations, community or consumer organizations, and others.

#### Low-Cost Education Loans to Low-Income Borrowers

Background and General Comments

Under existing CRA regulations, education loans are evaluated as consumer loans. An institution's consumer lending must be evaluated if consumer lending makes up a substantial majority of an institution's business. Institutions that do not meet

<sup>&</sup>lt;sup>1</sup> 12 U.S.C. 2903.

 $<sup>^2\,</sup>See$  12 CFR parts 25 (OCC), 228 (Board), 345 (FDIC), and 563e (OTS).

<sup>3 74</sup> FR 31209 (Jun. 30, 2009).

<sup>4 &</sup>quot;Consumer loan" is defined in the CRA regulations as a loan to one or more individuals for household, family, or other personal expenditures. Consumer loans include the following categories of loans: motor vehicle loans, credit card loans, home equity loans, other secured consumer loans, and other unsecured consumer loans. 12 CFR 25.12(j), 228.12(j), 345.12(j), and 563e.12(j).

this criterion may choose to have consumer loans evaluated when the institution's CRA record is being examined. Institutions must collect and maintain data about consumer loans if they choose to have those loans evaluated.<sup>5</sup> Like other consumer loans, institutions' education loans are generally evaluated by total number and amount; borrower characteristics (i.e., distribution among borrowers of different income levels); geographic distribution (i.e., distribution among borrowers in geographies with different income levels and whether the loans are made to borrowers in the institution's assessment areas); and, for large retail institutions, whether the education loan program is innovative or flexible in addressing the credit needs of low- or moderate-income individuals or geographies.<sup>6</sup> This revised rule does not change the eligibility of education loans to be treated as consumer loans. Rather, the revised rule amends the general performance rules in 12 CFR 25.21, 228.21, 345.21, and 563e.21 to implement the requirements of section 1031 of the HEOA. If an institution's education loans do not qualify for CRA consideration under section 1031 of the HEOA and this implementing rule, the institution continues to be able to receive consideration under existing standards applicable to consumer loans.

Section 1031 of the HEOA revised the CRA to require the Agencies to consider low-cost education loans provided by the institution to low-income borrowers as a factor when evaluating an institution's record of meeting community credit needs. The legislative history indicates that the provision was intended to provide incentives for lenders to provide low-cost education loans to low-income borrowers. B

Consistent with the supplemental information accompanying the proposed rule, under the final rule as implemented by the Agencies, institutions will receive favorable qualitative consideration for originating "low-cost education loans to low-

income borrowers" as a factor in the institutions' overall CRA rating. Such loans would be considered responsive to the credit needs of the institutions' communities.<sup>9</sup>

#### The Proposal

The Agencies proposed to consider low-cost education loans provided by the institution to borrowers in its assessment area(s) who have an individual income that is less than 50 percent of the area median income. Further, the Agencies proposed to define "low-cost education loans" to mean (1) education loans originated by an institution through a U.S. Department of Education loan program; or (2) any private education loan as defined in the Truth in Lending Act, including loans under a state or local education loan program, originated by an institution for a student at an "institution of higher education," with interest rates and fees no greater than those of comparable education loans offered through loan programs of the U.S. Department of Education.

Under the first prong of the proposed definition, any loans that institutions make through a Department of Education loan program, such as the Federal Family Education Loan (FFEL) Program, would be considered "low-cost education loans."

Under the second prong of the proposed definition, "private education loans" that institutions make would be considered "low-cost education loans," provided that the interest rates and fees are no greater than those of comparable education loans offered through loan programs of the U.S. Department of Education.

The Agencies also proposed a conforming amendment to Appendix A of the regulations to include consideration of a financial institution's low-cost education loans to low-income borrowers as a factor when assigning a rating to the institution.

The Agencies asked for comment on a number of areas related to the proposed definition.

#### **General Comment About Education Lending by Financial Institutions**

Several commenters noted that education lending, particularly private education lending, is a specialized type of lending engaged in by only a few financial institutions. These commenters requested that the Agencies expressly state that the final rule does not require institutions to make low-cost education loans, or, for that matter, education loans generally. The Agencies

agree that the intent of the revision is to encourage, but not to require, financial institutions to make low-cost education loans to low-income borrowers and provide an incentive to do so.

#### Scope of "Education Loans"

Education Loans—The Proposal

The HEOA amendment to the CRA specifies that the Agencies must consider low-cost "education loans" to low-income borrowers. <sup>10</sup> The Agencies proposed to define education loans as including loans originated by financial institutions through a program of the U.S. Department of Education. The Agencies also proposed to define education loans to include low-cost private education loans, including loans under State or local education loan programs.

As discussed in the preamble to the proposed rule, in defining private education loans, the Agencies proposed to adopt the terms "private education loan," "private educational lender," and "postsecondary educational expenses," each of which is defined in the HEOA in the context of the Truth in Lending Act (TILA). Section 1011 of the HEOA added section 140 of TILA to provide the following definition:

[T]he term "private education loan"— (A) Means a loan provided by a private educational lender that—

(i) Is not made, insured, or guaranteed under title IV of the Higher Education Act of 1965 (20 U.S.C. 1070 *et seq.*); and

(ii) Is issued expressly for postsecondary educational expenses to a borrower, regardless of whether the loan is provided through the educational institution that the subject student attends or directly to the borrower from the private educational lender; and

(B) Does not include an extension of credit under an open end consumer credit plan, a reverse mortgage transaction, a residential mortgage transaction, or any other loan that is secured by real property or a dwelling.<sup>11</sup>

In turn, the HĒOĀ defines a "private educational lender" to include, among others, any financial institution that solicits, makes, or extends private education loans.<sup>12</sup>

The HEOA defines "postsecondary educational expenses" to mean any of the expenses that are included as part of the cost of attendance of a student, as defined under section 472 of the Higher Education Act of 1965 (20 U.S.C. 1087ll). That definition includes tuition and fees, books, supplies, miscellaneous personal expenses, room and board, and

 $<sup>^5</sup>$  See 12 CFR 25.22(a)(1) and 25.42(c); 12 CFR 228.22(a)(1) and 228.42(c); 12 CFR 345.12(a)(1) and 345.42(c); and 12 CFR 563e.22(a)(1) and 563e.42(c).

<sup>&</sup>lt;sup>6</sup> See, e.g., 12 CFR 25.22 and 25.26; 228.22 and 228.26, 345.22 and 345.26, and 563e.22 and 563.26.

<sup>7</sup> 12 U.S.C. 2903(d).

<sup>&</sup>lt;sup>8</sup> H.R. Rep. No. 110–500 at 297 (2007). See also Private Student Lending: Hearing before the Senate Comm. on Banking, Housing, and Urban Affairs, 110th Cong. (2007) (comment by Sen. Dodd: "It strikes me that we should be promoting, of course, incentives for lenders to provide the needlest students with good loans, loans, in my mind, that are similar in rate and fee structure to those under the federal loan program.") (transcript available through CQ Congressional Transcripts, Congressional Hearings, Jun. 6, 2007).

<sup>974</sup> FR at 31214.

 $<sup>^{10}</sup>$  12 U.S.C. 2903(d) (as added by section 1031 of the HEOA)

 $<sup>^{11}\,\</sup>mathrm{Section}$  140(a)(7) of the Truth in Lending Act, as added by section 1011 of the HEOA.

<sup>&</sup>lt;sup>12</sup> Section 140(a)(6)(A) of the Truth in Lending Act, as added by section 1011 of the HEOA.

an allowance for any loan fee, origination fee, or insurance premium charged to a student or parent for a loan incurred to cover the cost of the student's attendance.<sup>13</sup>

Although section 1031 of the HEOA is not expressly limited to loans for higher education, the Agencies proposed to include this limitation in the definition of low-cost private education loans. Thus, the Agencies proposed that the private education loan definition would encompass loans made for expenses incurred at any "institution of higher education" as that term is generally defined in sections 101 and 102 of the Higher Education Act of 1965 (HEA), 20 U.S.C. 1001 and 1002. Such institutions generally include accredited public or nonprofit colleges and vocational schools, accredited private colleges and vocational schools, and certain foreign institutions offering postsecondary education that are comparable to institutions of higher education in the United States based on standards approved by the U.S. Department of Education. The Agencies did not propose to cover unaccredited colleges, universities, or vocational schools because they lacked sufficient information regarding these institutions, but solicited comment on this issue.

Based on these definitions and considerations, under the proposed rule, financial institutions would receive CRA consideration for making private (non-Federal) closed-end education loans, not secured by real property or a dwelling, for post-secondary educational expenses at an institution of higher education. They would also receive consideration for making education loans through a program of the U.S. Department of Education.

#### Comments and Final Rule

As discussed above, the Agencies proposed to define education loans as including loans originated by financial institutions through a program of the U.S. Department of Education, such as the Federal Family Education Loan (FFEL) Program. As of July 1, 2010, no new loans may be made or insured under the FFEL program, and no new funds may be appropriated or expended to make or insure such loans. 14 Thus, the final rule does not include in the definition of education loans any reference to the FFEL program.

The proposed definition of "private education loan" included only loans made for post-secondary (beyond high

school) educational expenses, not for primary or elementary education. The Agencies sought comment on whether coverage should be limited in this manner. Most commenters who addressed the issue, including financial institutions, trade associations, and community groups, supported the Agencies' proposal to limit the definition of private education loans to loans made for post-secondary education expenses. These commenters agreed that the amendment to the CRA statute should be viewed in light of the HEOA's overall purpose of promoting post-secondary education affordability. One trade association supported the proposal, but encouraged the Agencies to consider expanding the scope at a later time to include vocational and career training.<sup>15</sup> One financial institution suggested that coverage should be as broad as possible and should include all types of education, including primary and secondary education.

The final rule covers only loans made for higher education expenses, not for primary or secondary education expenses. As the preamble to the proposed rule explained, the statutory requirement to consider education loans under the CRA was adopted as a part of the HEOA, which specifically addresses higher education reform. The purpose of H.R. 4137, which introduced the incentive of CRA consideration for lowcost education loans was "to make college more affordable and accessible;" to "expand college access and support for low-income and minority students;" and to provide incentives for lenders to provide "low-cost private student loans to low-income borrowers." 16

## Higher Education Institutions—The Proposal

In defining the types of higher education institutions covered, the Agencies proposed to include "institutions of higher education" as defined in sections 101 and 102 of the HEA, 20 U.S.C. 1001–1002. The Agencies requested comment on whether the scope of the definition should be narrowed to encompass only loans made for education expenses at an "institution of higher education" as that term is defined for general purposes in section 101 of the HEA, 20 U.S.C. 1001, which is limited generally to accredited

public and nonprofit colleges, universities, and employment training schools in the United States. <sup>17</sup> The Agencies also requested comment on whether, alternatively, the scope of the educational institutions covered should be expanded to include unaccredited institutions that would not meet the definition of "institution of higher education" under the HEA but would be covered by the definition of "covered educational institution" under TILA section 140(a)(1).

#### Comments and Final Rule

Commenters generally opposed using the narrower definition of "institution of higher education" found in section 101 of the HEA because it would exclude some institutions providing vocational and career training. The Agencies agree that, consistent with the HEOA's purpose, eligible schools should include the broad range of accredited institutions under the definition of "institution of higher education," including accredited vocational institutions that provide educational programs that prepare students for gainful employment in a recognized profession.

Community group commenters opposed expanding coverage to include unaccredited institutions, citing a concern about providing CRA credit for student loans to finance inadequate. unaccredited training programs. Financial institution and trade group commenters were split. Those who supported the proposal expressed similar concerns that degrees from unaccredited institutions may not be acceptable for certain positions such as federal or state civil service positions or other employment. One commenter did, however, request that the Agencies publish a list of accredited programs. 18 By contrast, commenters who supported expanding coverage to include

 $<sup>^{13}</sup>$  See 20 U.S.C. 1087ll (definition of "cost of attendance").

<sup>&</sup>lt;sup>14</sup> Health Care and Education Reconciliation Act of 2010, Public Law 111–152 (2010).

<sup>&</sup>lt;sup>15</sup> The Agencies note, however, that many such institutions are covered under the definition of "institution of higher education" discussed below, and loans to their students could qualify for CRA consideration under this provision if other applicable criteria are met.

 $<sup>^{16}\,\</sup>mathrm{H.R.}$  Rep. No. 110–500 at 203, 297 (2007) (emphasis added).

<sup>&</sup>lt;sup>17</sup> If the Agencies were to restrict the definition of "institution of higher education" to only those institutions defined in section 101 of the HEA, loans to cover educational expenses at for-profit institutions of higher education, some post-secondary vocational institutions that provide training to prepare students for employment in a recognized occupation, and some U.S. Department of Education-approved institutions located outside the United States would not qualify for consideration.

<sup>&</sup>lt;sup>18</sup> The Agencies note that the U.S. Department of Education provides a database of post-secondary educational institutions and programs that are, or were, accredited by an accrediting agency or state approval agency recognized by the Secretary of Education as a "reliable authority as to the quality of postsecondary education" within the meaning of the HEA at <a href="http://ope.ed.gov/accreditation">http://ope.ed.gov/accreditation</a>. The Department of Education recommends that the database be used as one source of qualitative information and that additional sources of qualitative information be consulted.

unaccredited institutions encouraged the Agencies to provide maximum flexibility to financial institutions to provide a wide range of education loans.

The Agencies are adopting the scope of higher education institutions as proposed. As noted above, the Agencies believe that the broader definition of "institution of higher education," including accredited vocational institutions, provides flexibility to financial institutions, while limiting the definition to accredited institutions will help ensure that such programs benefit students. The Agencies will consider, as a factor, low-cost education loans to low-income borrowers to attend institutions of higher education, as defined in sections 101 and 102 of the HEA, 20 U.S.C. 1001-1002, when evaluating a financial institution under the CRA.

#### Private Education Loans—The Proposal

As discussed above, the Agencies proposed to consider low-cost private education loans made to low-income borrowers, as well as loans provided to low-income borrowers by a financial institution under a Federal education program. The Agencies requested comment on whether private education loans not made, insured, or guaranteed under a Federal, state, or local education program should be considered for CRA purposes.

#### Comments and Final Rule

Although one commenter stated that private education loans should not be considered because a private loan to a student may not guarantee that the funds are used for education, many commenters strongly believed that private loans should be considered. In fact, several commenters noted that if then pending legislation in Congress were passed, private lenders would no longer be involved in Department of Education loan programs. 19

These commenters noted that many students and families are unable to cover the full cost of an education relying only on government programs and may need to pursue other types of funding to complete their education. Consequently, these commenters encouraged the Agencies to allow CRA consideration for non-governmental low-cost private education loans. The Agencies note that the HEOA's purpose was, in significant part, to provide an incentive to financial institutions to provide low-cost private education loans to low-income borrowers not currently served by education loan programs.

The Agencies also considered whether CRA consideration is necessary for loans made by financial institutions under the Federal education programs. Federal program education loans generally subjected an institution to little or no risk and, therefore, already provided an incentive to lenders. However, because as of July 1, 2010, financial institutions may no longer originate education loans under the Federal program,<sup>20</sup> the final rule does not provide for CRA consideration of such loans under § 1031 of HEOA. However, if an institution has made education loans under the Federal program, it would be able to receive consideration for those loans under existing standards applicable to consumer loans.

State or Local Government-Sponsored Education Loans—The Proposal

The Agencies proposed to treat education loans offered to low-income borrowers under state or local government education programs the same as all other private education loans, consistent with the definition of "private education loans" in section 140(a)(7) of the Truth in Lending Act, which includes education loans made by financial institutions under local and state education loan programs. The Agencies asked whether all education loans offered to low-income borrowers under state or local education programs, regardless of whether the fees and rates are greater than those under comparable Department of Education programs, should be eligible for CRA consideration.

#### Comments and Final Rule

Only three commenters addressed this question. One commenter advised that the Agencies should use consistent measures among all private education loan programs, without favoring state and local programs. A second commenter believed that rates and fees on loans made by an institution under state or local education programs would not have to be exactly the same, but should be reasonably comparable to rates and fees on loans made under the Department of Education programs. The third commenter believed that all education loans offered to low-income borrowers and families under state or local programs, regardless of whether the rates and fees are comparable to those under Department of Education programs, should be eligible for CRA consideration.

After a review of the comments, the Agencies have adopted the language in the provision regarding state or local education programs as proposed. The Agencies are not aware of any state or local education loan programs that are targeted or available to low-income students in which costs are limited in a manner similar to the Federal direct loan program, and for which an alternative definition of "low-cost" might be appropriate.

#### Types of Loans—The Proposal

The proposed definition of a private education loan was limited to closedend loans not secured by real property or a dwelling originated by a financial institution.

#### Comments and Final Rule

Community group commenters supported limiting coverage in this manner noting a concern about using a home as collateral for an education loan. One financial institution commenter also supported the proposed limitation, noting that there may be operational difficulties determining whether a dwelling-secured loan was used for educational expenses. By contrast, other financial institution and trade group commenters encouraged the Agencies to broaden the scope of the private education loan definition to include open-end or dwelling-secured credit, noting that consumers use these types of credit to fund educational expenses. These commenters requested that the Agencies provide flexibility to financial institutions by including such types of credit.

The definition of education loan in the final rule incorporates the TILA definition of that term, which excludes open-end credit and credit secured by real property or a dwelling. As discussed more fully below, the HEOA amended both the CRA to provide an incentive for financial institutions to make low-cost education loans and TILA to provide for new disclosures and additional consumer protections for private education loans. The Agencies believe that in order for financial institutions to receive consideration under the CRA for an education loan, it is appropriate that such loans also be covered by the new disclosures and other substantive restrictions added to TILA by the HEOA. Therefore the Agencies are adopting the definition of private education loan as used in section 140(a)(7) of TILA.

Some community group commenters suggested that the Agencies place further conditions on the types of loans that could be eligible for CRA consideration. For example,

<sup>&</sup>lt;sup>19</sup> H.R. 3221, 111th Cong., 1st Sess. (2009).

<sup>&</sup>lt;sup>20</sup> Title II, Health Care and Education Reconciliation Act of 2010, Public Law 111–152 (2010)

commenters suggested that the Agencies provide consideration only for loans that meet a standard of affordability and provide certain consumer protections such as income-based repayment plans, fixed interest rates, and no prepayment penalties.

The final rule does not impose additional restrictions on education loans for purposes of CRA consideration because the Agencies have limited the types of loans eligible for CRA consideration to those covered under the new TILA protections in the HEOA. For example, the HEOA requires that consumers receive disclosures regarding private education loans that explain the terms and costs of those loans on or with an application, after the consumer is approved for the loan, and before funds are disbursed. The disclosures also provide consumers with information about federal student loan alternatives where applicable. Consumers are provided 30 days after a private education loan is approved in which to accept the offer and the lender is prohibited, with few exceptions, from making changes to the rate or terms of the loan during that time. Consumers are also provided with three days in which to cancel a loan after receiving the final TILA disclosure.<sup>21</sup> In addition, the HEOA places restrictions on private education loan terms and on private educational lenders. For example, the HEOA specifically prohibits prepayment penalties for private education loans. The HEOA also amended TILA to prohibit practices such as revenue sharing and cobranding between private educational lenders and educational institutions.<sup>22</sup>

The Agencies also requested comment on whether to limit consideration to loans originated by the financial institution, as proposed, or to consider loans purchased by the institution. Community group commenters opposed providing consideration for purchased loans, stating a concern that purchasing loans does not significantly expand the capacity of financial institutions to offer additional loans. By contrast, financial institution commenters supported allowing consideration for purchased loans, consistent with other types of CRA-eligible loans.

The final rule limits consideration to low-cost education loans originated by the financial institution, and not to purchased loans. As discussed above, the Agencies believe that the intent of the HEOA amendment to the CRA was to provide an incentive to financial institutions to originate loans to low-income borrowers currently not reached by most private loan programs. The Agencies believe that providing consideration only for loans originated by the financial institution provides an incentive to financial institutions to develop education loan programs that are tailored to the specific need targeted by the statutory amendment.

#### "Low-Cost Education Loans"

The Proposal

The Agencies proposed to define "low-cost education loans" as education loans that are originated by financial institutions through a program of the U.S. Department of Education; or any private education loans, including loans under state or local education loan programs, originated by financial institutions with interest rates and fees no greater than those of comparable education loan programs offered by the U.S. Department of Education.

The proposal would have looked to guaranteed education loans provided by financial institutions through the U.S. Department of Education's Federal Family Education Loan Program (FFEL loans) as being the comparable education loan program.

#### Comments and Final Rule

The Agencies asked whether the proposed definition of the term "lowcost education loans" is appropriate and, if not, how the Agencies should define "low-cost education loans." Commenters representing community organizations generally agreed with the proposed definition that private education loans receiving CRA consideration should have interest rates and fees no greater than comparable loans offered through the Department of Education. In fact, the same commenters stated that, to maintain consistency with the purpose of the HEOA to make college affordable, the lowest rates and fees should be used.

Although commenters representing financial institutions and their trade organizations generally agreed that loans made by financial institutions under Department of Education programs should be considered lowcost, they raised concerns about requiring the rates and fees on private education loans to be comparable to the rates and fees applicable to Department of Education loans. In particular, they noted the substantial differences between loans made by financial institutions under Department of Education programs and private education loans in terms of risks, costs,

and pricing. For example, commenters noted that FFEL education loans have a 97 percent guarantee against default and that a lender's yield is not tied to the fixed interest rate paid by the borrower, but rather is based on a separate formula set in statute. By contrast, private education loans generally have a variable rate determined by an index, such as Prime or one- or three-month LIBOR, and a margin, which typically varies depending on a borrower's creditworthiness. In addition, the lender assumes all of the risk of default on a private education loan.

Several of the commenters representing financial institutions or their trade groups suggested that the Agencies should develop a formula, based on an index and a margin, to define low-cost, variable rate private education loans. Commenters suggested one-month or three-month LIBOR or Prime as possible rates to use as an index. Margin suggestions varied from three to eight percent. Commenters also suggested that upfront fees of up to four percent would be appropriate.

The Agencies also asked how to determine whether a private education loan is comparable to a Department of Education loan and whether the lowest or highest rate and fees available under the comparable Department of Education program should be used to determine whether a private education loan is low cost. Although few commenters addressed these questions, the views of the commenters that did respond were mixed. Commenters suggested both that it is necessary to use the lowest rates and fees, as well as that the higher rate should serve as the maximum permissible rate for private loans. Industry commenters reasserted that it is not appropriate to evaluate whether a private education loan is "low-cost" based on rates and fees applicable to federal education loans.

The Agencies have considered these comments carefully. The Agencies considered various options with regard to a definition of a "low-cost" private education loan that could address these concerns. For example, the Agencies considered whether a low-cost private education loan should be defined with a rate that is 100 to 300 basis points over a Federal loan rate. However, we did not receive comments that identified a standard benchmark, margin, or number of basis points to be used as an alternative formula for "low cost."

After consideration of the comments and recent changes in the law described above, the Agencies have revised the rule to refer solely to the Federal direct loan program of the U.S. Department of

 $<sup>^{21}\,\</sup>rm Section$  128(e) of the Truth in Lending Act, as added by section 1021 of the HEOA.

 $<sup>^{22}\,\</sup>rm Section$  140(e) of the Truth in Lending Act, as added by section 1011 of the HEOA.

Education as the benchmark for "low cost" education loans.

To determine whether education loans have rates and terms that are no greater than the rates and terms on loans made under the Federal direct loan program, education loans will be compared with comparable direct loans. For example, fixed-rate loans will be compared to fixed-rate Federal loans, variable-rate loans will be compared to variable-rate Federal loans, loans to students will be compared to Federal loans to students, and loans to parents will be compared to Federal loans to parents. The Agencies note that most education loans originated by financial institutions have a variable rate.

The direct loan program formally called the William D. Ford Federal Direct Loan Program is the program against which the rates and fees of private education loans must be compared.<sup>23</sup> The rates and fees that have been allowed under the FFEL program, which the preamble of the proposal explained was a "comparable U.S. Department of Education program," are statutorily specified and are very similar to the rates and fees charged to borrowers under the William D. Ford Direct Loan Program, which are also statutorily prescribed. The fixed rates under the Federal direct loan program that the agencies will use as benchmarks are the rates for unsubsidized direct Stafford loans for students and direct PLUS loans for parents.24

Although variable-rate loans are no longer available under the Department of Education programs, the Department of Education publishes rates annually for those variable-rate education loans that remain outstanding. The rate is based on 91-day Treasury bills plus a statutory percentage margin.<sup>25</sup>

Origination fees are allowed for Federal direct loans. Financial institutions may use the fee percentages for Federal loans to students and parents, as appropriate, as benchmarks.

Although the Agencies are adopting a definition of "low-cost education loan" that is generally similar to the proposal, if the Agencies find that the rules as adopted have not acted as an incentive to financial institutions' providing low-cost education loans to low-income

borrowers, the Agencies may reconsider these provisions.

#### "Low-Income Borrower"

The Proposal

Under the proposed regulation, the term "low-income" had the same meaning as that term is defined in the existing CRA rule: An individual income less than 50 percent of area median income. In the preamble to the proposed regulation, the Agencies clarified that, if an institution considers the income of more than one person in connection with an education loan, the gross annual incomes of all primary obligors on the loan, including coborrowers and co-signers, would be combined to determine whether the borrowers are "low-income." <sup>26</sup> The Agencies further noted that various education programs offered by the U.S. Department of Education are targeted to individuals who have financial needs and the criteria for the programs vary. The Agencies requested comment on whether low-income should be defined differently than the term is already defined in the CRA regulation. The Agencies also sought comment on how they should treat the income of a student's family or other expected family contributions to ensure that the CRA consideration provided is consistent with HEOA's focus on lowincome borrowers.

#### Final Rule and Comments

Several commenters, including community groups and several financial institutions or trade associations generally supported using the 50 percent benchmark as proposed. Several financial institutions and trade associations advocated that the final rule be expanded to cover both lowincome and moderate-income borrowers as defined by the existing CRA rule. A state association of lenders commented that the Agencies should simply base the income assessment on loans originated through the U.S. Department of Education by defining low-cost education loans as need-based federal student loans. This commenter and several financial institutions further explained that institutions that make U.S. Department of Education loans do not have access to financial and income information on students and their families because the student borrowers are qualified by the school; thus, it would be hard to determine for CRA purposes whether the borrowers are

low-income. Some of these commenters recommended that low-income borrowers be defined as any borrower eligible for a loan through a program of the U.S. Department of Education or, for a borrower through a private loan program, with qualifying income that is less than 50 percent of area median income. Another financial institution recommended that government loans that are needs-based, such as subsidized Stafford loans, automatically qualify as loans to low-income borrowers. One trade association suggested that, as an alternative to the proposed definition of low-income (less than 50 percent of the area median income), the Agencies could look only at the household income of the primary obligor on the loan and if the primary obligor is a dependent in a low-income household, the primary obligor would be considered a low-income borrower no matter what additional guarantors or cosignors are obligated on the loan. Similarly, the commenter noted, if the student is a financially emancipated adult, then his/her individual income would determine his/her income status. Alternatively, the commenter suggests that if all those obligated on the credit are taken into account, then the final rule needs to clarify how the Agencies will calculate whether the low-income standard is met.

Several commenters addressed how to treat the income of a student's family or other expected family contributions to ensure that the CRA consideration is consistent with HEOA's focus on lowincome borrowers. As noted above, a trade association suggested the final regulation should look at the household income of the primary obligor. That commenter recommended that household income be considered in lieu of considering income of a co-signer, to avoid any situation where obtaining a co-signer, who might strengthen the loan application and improve the safety and soundness of the loan, might be discouraged for CRA-related loans.

A nonprofit organization commented that, in cases where a student is the borrower but is claimed as a dependent, the household income of the taxpaver claiming the student should be used to determine whether the loan qualifies for CRA consideration. A trade association also suggested that if a student has applied for financial aid and has been identified as eligible, that should qualify the student as "low-income" for purposes of the test. A financial institution commented that, in addition to consideration of income, the CRA evaluation of education lending should also consider how many individuals are enrolled in or will be enrolled in an

<sup>&</sup>lt;sup>23</sup> See 20 U.S.C. 1087e.

<sup>&</sup>lt;sup>24</sup> See http://studentaid.ed.gov/ PORTALSWebApp/students/english/ studentloans.jsp; http://studentaid.ed.gov/ PORTALSWebApp/students/english/ parentloans.jsp.

<sup>&</sup>lt;sup>25</sup> 20 U.S.C. 1087e(b)(6). See also U.S. Department of Education, "FFEL and Direct Loan Interest Rates Effective July 1, 2009," available at http://studentaid.ed.gov/PORTALSWebApp/students/english/FFEL DL InterestRates.jsp.

<sup>&</sup>lt;sup>26</sup> This is consistent with guidance issued by the Agencies in the Interagency Questions and Answers Regarding Community Reinvestment, 75 FR 11642, 11671 (Mar. 11, 2010) (Q&A § ...42(c)(1)(iv)-4).

institution of higher education and whether such individuals had unmet financial needs that could be addressed by a private education loan. Another financial institution commented that the differences between the U.S. Department of Education loan qualification standards, which are generally based on need, and the private education loan qualification standards, which are generally based on credit score and income, should preclude treating Federal program loans and private education loans the same for purposes of the "low-income" analysis.

The Agencies considered these commenters' concerns about the possibility that a student borrower may be considered to be "low-income" under the CRA standard, even though the student's family may be able to provide additional financial support. The Agencies considered, for example, adopting a test to determine whether a student borrower is an "independent" student and, if not, requiring the use of family income to determine whether the loan was to a "low-income" borrower.

The Agencies are adopting the definition of "low-income" as proposed—based on an individual income that is less than 50 percent of the area median income. As noted above, some financial institutions may not require family income information in connection with education loans (except when family members co-sign or guaranty the loan). Requiring collection of data on family income would likely have imposed new burdens and procedural requirements on both borrowers and financial institutions.

#### "Other Education Loan Issues"

#### Quantitative Consideration

As proposed by the Agencies, institutions would receive favorable qualitative consideration for originating "low-cost education loans to low-income borrowers" as a factor in the institutions' overall CRA rating, independent of the consideration for consumer loans under the current lending test. Such loans would be considered responsive to the credit needs of the institutions' communities.

Under the CRA regulations, an institution's consumer lending must be evaluated if consumer lending makes up a substantial majority of an institution's business. Institutions that do not meet this criterion may choose to have education loans evaluated as consumer loans under the lending test applicable to the institution. If an institution opts to have education loans evaluated, the loans would be evaluated quantitatively, based on the data the institution

provides. The Agencies requested comment on whether the final regulation should also allow an institution to receive separate quantitative consideration for the number and amount of low-cost education loans to low-income borrowers as part of its CRA evaluation under the performance test applicable to that institution, without regard to other consumer loans.

#### Comments and Final Rule

One financial institution agreed that institutions should receive favorable qualitative consideration for originating low-cost loans to low-income borrowers and recommended that, consistent with the treatment of other consumer loans, education loans not be reviewed as part of the quantitative CRA evaluation unless such loans represent a substantial majority of the financial institution's business or at the institution's option if it has collected and maintained data. Other financial institutions and a trade association strongly supported providing institutions the option to receive favorable quantitative consideration as consumer loans under the lending test of the current CRA rules. These commenters further stated that if the low-cost education loans were to become a separate subcategory of consumer lending, financial institutions would have to generate the necessary data, to the extent they do not already exist and that it would be difficult to evaluate the data in the absence of data from other institutions. They further stated that if this were the approach taken, it may be a disincentive to participate. Finally, one financial institution commented that the legislation regarding the low-cost education loans clearly anticipates that the agencies would consider student lending on its own merits, apart from other consumer loan categories and suggested that consideration could be accomplished by revising the consumer loan reporting categories to include a separate category for student loans.

After consideration of the comments, the Agencies have adopted the provision as proposed to make clear that all types and sizes of institutions will be eligible to receive qualitative consideration for originating "low-cost education loans to low-income borrowers" as a factor in the institutions' overall CRA rating, without regard to the performance test under which an institution is evaluated. As noted above, institutions may obtain CRA consideration of education loans as consumer loans under existing standards applicable to consumer loans.

Application to All Institutions

The Agencies also asked whether institutions and other interested parties understood that the new provision on low-cost education loans to low-income borrowers is applicable to all institutions, without regard to institution size, as a result of the provisions' placement in 12 CFR 25.21, 228.21, 345.21 and 563e.21. No commenters responded directly to the question. However, several commenters suggested that the Agencies should treat low-cost education loans to low-income borrowers differently than initially proposed.

Several commenters representing small financial institutions suggested that the provision should not apply to small financial institutions because few small institutions make education loans. As discussed above, financial institutions that do not make education loans will not be required to start making such loans.

Another commenter believed that evaluation of education lending should not apply to wholesale or limited purpose institutions. The Agencies agree that wholesale institutions will not engage directly in education lending because, by definition, wholesale institutions do not engage in retail lending. Limited purpose institutions, on the other hand, could engage in education lending as their narrow product line.

One commenter suggested that lowcost education loans to low-income borrowers should be considered as community development loans. The primary reason for this suggestion was based on the more expansive consideration of loans that are considered under the community development test—not only in an institution's assessment area(s), as proposed, but also in the broader statewide or regional area that includes its assessment area(s). The Agencies decline to adopt this change as suggested. The Agencies note that the legislative history of the Act indicates that the Agencies are to consider "lowcost education loans provided by a financial institution to low-income borrowers in assessing and taking into account the record of a financial institution in meeting the credit needs of its local community." 27 The proposed rule restricted favorable consideration for low-cost education loans to lowincome borrowers to the institution's

<sup>&</sup>lt;sup>27</sup> H. Rep. No. 110–500 at 366 (2007) (emphasis added). The CRA also generally encourages financial institutions to help meet the credit needs of the local communities in which they are chartered. 12 U.S.C. 2901(b).

assessment area(s). After careful consideration of the comments received. the Agencies have decided to apply the same rule that applies to the consideration of loans made to low- and moderate-income borrowers.28 Thus, the final rule provides that the Agencies will consider low-cost education loans originated by a financial institution to low-income borrowers "particularly in its assessment area(s)." Similar to the analysis for loans to low- and moderateincome individuals generally, the Agencies will consider first whether a financial institution has adequately addressed the low-cost education loan needs of low-income borrowers in its assessment area(s) and, if so, will also consider such loans outside of its assessment area(s).29 The Agencies believe that the final rule may provide greater flexibility and additional incentives for financial institutions to provide low-cost education loan programs for low-income borrowers.

Finally, one commenter emphasized that the provision addressing consideration of low-cost education loans to low-income borrowers should not affect CRA strategic plans that are already in effect or future plans. The Agencies do not intend this provision to affect CRA strategic plans.

## Other Comments on the Proposed Education Loan Provision

Several commenters suggested that unnecessarily detailed technical requirements should be kept to a minimum in the final rule. The Agencies agree and have attempted to do so.

One commenter suggested that financial institutions should be able to receive CRA consideration for loans to students who reside in their assessment area(s) and also for loans to students who attend schools in the institutions' assessment area(s). The Agencies decline to adopt this suggestion. As with other consumer lending, a financial institution would look to the "loan location" to determine whether the loan meets the geographical requirements for loan consideration. "A consumer loan is located in the geography where the borrower resides \* \*. "30 Therefore, the lender should rely on the address on the education loan application or otherwise provided

by the borrower or school to determine the loan location.

#### Activities Undertaken in Cooperation with Minority- and Women-Owned Financial Institutions and Low-Income Credit Unions

The Proposal

Section 804(b) of the Community Reinvestment Act (CRA) provides that the Agencies may consider as a factor capital investment, loan participation, and other ventures undertaken by the institution in cooperation with minority- and women-owned financial institutions and low-income credit unions in assessing the CRA record of nonminority- and nonwomen-owned financial institutions. These activities, however, must help meet the credit needs of the local communities in which such institutions and credit unions are chartered.31 The Agencies proposed to incorporate this statutory language into their regulations and to clarify that such activities need not also benefit the assessment area or the broader statewide or regional area that includes the assessment area of the nonminority- and nonwomen-owned institution. The preamble of the proposed rule indicated that activities undertaken to assist minority- and women-owned financial institutions and low-income credit unions would be considered as part of the overall assessment of the nonminority- and nonwomen-owned institution's CRA performance.32

The preamble further explained that the proposed revision to the rule would reinforce to examiners, financial institutions, and the public that the Agencies may consider and take into account nonminority- and nonwomenowned financial institutions' activities in connection with minority- and women-owned financial institutions and low-income credit unions.33 The Agencies noted that their 2009 revisions to the "Interagency Questions and Answers Regarding Community Reinvestment" clarified this point 34 and indicated the proposal was intended to codify this clarification in the rule.

The Agencies proposed to add the new provision addressing favorable CRA consideration for activities in cooperation with minority- and womenowned financial institutions and low-income credit unions to 12 CFR 25.21, 228.21, 345.21, and 563e.21. These sections apply to all types and sizes of

institutions, without regard to the performance test under which an institution is evaluated. Accordingly, the preamble indicated that the proposed provision would also be applicable to all financial institutions. The Agencies also proposed a conforming amendment to Appendix A of the regulations to include consideration of a financial institution's activities in cooperation with minority-and women-owned financial institutions as a factor when assigning a rating to the institution.

#### Comments and Final Rule

Several consumer and community groups commented on the geographic scope of the proposal. They urged the Agencies to narrow the geographic scope by providing favorable CRA consideration to investments outside the majority-owned institution's assessment area only if the majority-owned institution met the needs of its assessment area. One community organization urged the Agencies to narrow the geographic scope even further by providing favorable CRA consideration only to loan participations and other ventures undertaken in cooperation with minority- and women-owned financial institutions and low-income credit unions outside the majority-owned institution's assessment area only if the majority-owned institution met the needs of its assessment area.

As the Agencies explained in the preamble to their 2009 Interagency Questions and Answers Regarding Community Reinvestment, the Agencies do not currently interpret section 804(b) of the CRA to impose such limitations.35 However, as indicated in the question and answer guidance, the impact of such activities on majority-owned institution's CRA rating is determined in conjunction with its overall performance in its assessment area(s).36 The Agencies note that activities outside of the majority-owned institution's assessment area will not compensate for poor lending performance within its assessment area and intend to add this clarification to the Interagency Questions and Answers Regarding Community Reinvestment.

One financial institution trade association urged the Agencies to treat all capital investments, loan participations, and other ventures undertaken by a majority-owned institution in cooperation with minority- and women-owned financial

<sup>&</sup>lt;sup>28</sup> See 12 CFR 25.22(b)(3), 228.22(b)(3), 345.22(b)(3), and 563e.22(b)(3).

 $<sup>^{29}\,</sup>See$  Interagency Questions and Answers Regarding Community Reinvestment, 75 FR at 11656–57 (Q&A § \_\_.22(b)(2) & (3)–4).

 $<sup>^{30}</sup>$  12 CFR 25.12(o)(1), 228.12(o)(1), 345.12(o)(1), and 563e.12(o)(1).

<sup>&</sup>lt;sup>31</sup> 12 U.S.C. 2903(b).

<sup>&</sup>lt;sup>32</sup> 74 CFR at 31213.

<sup>&</sup>lt;sup>33</sup> Id.

<sup>&</sup>lt;sup>34</sup> 74 FR 498, 507 (Jan. 6, 2009) (Q&A § \_\_.12(g)–4).

<sup>&</sup>lt;sup>35</sup> 74 FR at 500.

<sup>&</sup>lt;sup>36</sup> 74 FR at 507 (Q&A § \_\_.12(g)–4); 75 FR at 11645

institutions and low-income credit unions as community development activities. The statute does not specify how the Agencies must evaluate these activities, some of which may not qualify as community development activities under the existing rules. Therefore, the Agencies have not adopted this suggestion.

However, the Agencies note that nothing in today's final rule affects the ability of any institution to receive community development consideration for activities undertaken in cooperation with minority- and women-owned financial institutions, low-income credit unions, and other financial intermediaries in those limited circumstances where such activities meet all of the rule's requirements for community development consideration. These requirements include having a primary purpose of community development (as defined in 12 CFR 25.12(g), 228.12(g), 345.12(g), or 563e.12(g), as applicable) and meeting the applicable geographic restrictions for community development activities. The Agencies' Interagency Questions and Answers Regarding Community Reinvestment provide as an example of "qualified investments," investments, grants, deposits, or shares in or to financial intermediaries, including minority- and women-owned financial institutions, that primarily lend or facilitate lending in low- and moderateincome areas or to low- and moderateincome individuals in order to promote community development.<sup>37</sup> Similarly, the Interagency Questions and Answers provide as an example of "community development loans," loans to financial intermediaries, including minority- and women-owned financial institutions, which primarily lend or facilitate lending to promote community development.38 The Agencies are not changing the availability of community development consideration for these activities. Today's final rule allows capital investments, loan participations, and other ventures undertaken by a majority-owned institution in cooperation with minority- and womenowned financial institutions and lowincome credit unions to be considered as a factor when assigning a rating; it applies to a broader range of activities than may qualify for community development consideration.

Several consumer and community organizations urged the Agencies to conduct an analysis of the impact of the 2009 guidance on minority- and women-owned institutions (Q&A

§ .12(g)-4) before codifying the question and answer into the CRA rule. They urged the Agencies to evaluate the types of investments, loans, and services that have been leveraged to see whether they have disproportionately benefited predominantly white middle- and upper-income communities. They also urged the Agencies to ascertain whether bank financing of low-income credit unions and minority- and womenowned financial institutions has also benefited minorities and communities of color. The Agencies note that they are generally incorporating into the CRA regulations the statutory provision adopted by Congress.

The Agencies are adopting 12 CFR \_\_.21(f) and revising Appendix A as proposed.

#### **Effective Date**

This joint final rule becomes effective 30 days after the date of publication in the **Federal Register**.

#### **Interagency Guidance**

The Agencies intend to issue for comment interagency CRA guidance addressing primarily the new provision addressing low-cost education loans made to low-income borrowers in the near future. The guidance, in the form of new interagency questions and answers, will include relevant explanatory discussion in the supplementary information accompanying this final rule. As noted above, the Agencies will also revise existing guidance to reflect the regulatory provisions 39 on activities in cooperation with minority- and womenowned financial institutions and lowincome credit unions and to indicate that such activities outside of the majority-owned institution's assessment area(s) will not compensate for poor lending performance within its assessment area(s).

#### **Regulatory Analysis**

Paperwork Reduction Act

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Ch. 3506; 5 CFR 1320 Appendix A.1) (PRA), each agency reviewed its final rule and determined that there are no new collections of information contained therein. However, the amendments may have a negligible affect on burden estimates for existing information collections, including recordkeeping requirements for consumer loans. The Agencies did not receive any comments on the PRA section of the proposed rule.

Regulatory Flexibility Act

The Regulatory Flexibility Act (RFA) requires an agency that is issuing a final rule to provide a final regulatory flexibility analysis or to certify that the rule will not have a significant economic impact on a substantial number of small entities.

Under regulations issued by the Small Business Administration, a small entity includes a bank holding company, commercial bank, or savings association with assets of \$175 million or less (collectively, small banking organizations). Under this joint final rule, the Agencies would consider, as a factor, when assessing an institution's CRA record that the institution made low-cost education loans to low-income borrowers or engaged in activities in cooperation with minority- or womenowned financial institutions or lowincome credit unions. The Agencies believe that this joint final rule will not have a significant economic impact on a substantial number of small entities because the final rule does not require a financial institution to engage in these activities. In addition, the Agencies did not receive any comments that the proposal would have a significant impact on small banking organizations. Accordingly, each of the Agencies certifies that this rule will not have a significant economic impact on a substantial number of small entities.

OCC and OTS Executive Order 12866 Determinations

Pursuant to Executive Order 12866, OMB's Office of Information and Regulatory Affairs (OIRA) has designated the final rule to be significant.

OCC and OTS Unfunded Mandates Reform Act of 1995 Determination

Section 202 of the Unfunded Mandates Reform Act of 1995 (Unfunded Mandates Act) (2 U.S.C. 1532) requires that covered agencies prepare a budgetary impact statement before promulgating a rule that includes any Federal mandate that may result in the expenditure by State, local, and tribal governments, in the aggregate, or by the private sector, of \$100 million or more in any one year. If a budgetary impact statement is required, section 205 of the Unfunded Mandates Act also requires covered agencies to identify and consider a reasonable number of regulatory alternatives before promulgating a rule. The OCC and the OTS have determined that this joint final rule will not result in expenditures by State, local, and tribal governments, or by the private sector, of \$100 million

<sup>37 75</sup> FR at 11652 (Q&A § \_\_.12(t)-4).

<sup>38 75</sup> FR at 11648 (Q&A § \_\_.12(h)-1).

<sup>&</sup>lt;sup>39</sup> 12 CFR 25.21(f); 228.21(f); 345.21(f); and 563e.21(f).

or more in any one year. Accordingly, neither agency has prepared a budgetary impact statement or specifically addressed the regulatory alternatives considered.

The Treasury and General Government Appropriations Act, 1999—Assessment of Impact of Federal Regulation on Families

The FDIC has determined that this joint final rule will not affect family well-being within the meaning of section 654 of the Treasury and General Government Appropriations Act, enacted as part of the Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999, Public Law 105–277 (5 U.S.C. 601 note).

OCC and OTS Executive Order 13132 Determination

The OCC and the OTS have each determined that its portion of this joint final rule does not have any Federalism implications, as required by Executive Order 13132.

Administrative Procedure Act; Riegle Community Development and Regulatory Improvement Act of 1994

This joint final rule becomes effective 30 days after the date of publication in the **Federal Register**.

Section 302 of the Riegle Community Development and Regulatory Improvement Act of 1994 (CDRIA), Public Law 103-325, authorizes a banking agency to issue a rule that contains additional reporting, disclosure, or other requirements to be effective before the first day of the calendar quarter that begins on or after the date on which the regulations are published in final form if the agency finds good cause for an earlier effective date. 12 U.S.C. 4802(b)(1). Section 302 of CDRIA does not apply because this final rule imposes no additional requirements. Rather, it reduces burden by expanding the ways institutions may receive CRA consideration.

#### List of Subjects

12 CFR Part 25

Community development, Credit, Investments, National banks, Reporting and recordkeeping requirements.

12 CFR Part 228

Banks, banking, Community development, Credit, Investments, Reporting and recordkeeping requirements.

12 CFR Part 345

Banks, banking, Community development, Credit, Investments,

Reporting and recordkeeping requirements.

12 CFR Part 563e

Community development, Credit, Investments, Reporting and recordkeeping requirements, Savings associations.

#### **Department of the Treasury**

Office of the Comptroller of the Currency

#### 12 CFR Chapter I

Authority and Issuance

■ For the reasons discussed in the joint preamble, the Office of the Comptroller of the Currency amends part 25 of chapter I of title 12 of the Code of Federal Regulations as follows:

# PART 25—COMMUNITY REINVESTMENT ACT AND INTERSTATE DEPOSIT PRODUCTION REGULATIONS

■ 1. The authority citation for part 25 is revised to read as follows:

**Authority:** 12 U.S.C. 21, 22, 26, 27, 30, 36, 93a, 161, 215, 215a, 481, 1814, 1816, 1828(c), 1835a, 2901 through 2908, and 3101 through 3111.

■ 2. In § 25.21, add new paragraphs (e) and (f) to read as follows:

## $\S\,25.21$ Performance tests, standards, and ratings, in general.

(e) Low-cost education loans provided to low-income borrowers. In assessing and taking into account the record of a bank under this part, the OCC considers, as a factor, low-cost education loans originated by the bank to borrowers, particularly in its assessment area(s), who have an individual income that is less than 50 percent of the area median income. For purposes of this paragraph, "low-cost education loans" means any education loan, as defined in section 140(a)(7) of the Truth in Lending Act (15 U.S.C. 1650(a)(7)) (including a loan under a state or local education loan program), originated by the bank for a student at an "institution of higher education," as that term is generally defined in sections 101 and 102 of the Higher Education Act of 1965 (20 U.S.C. 1001 and 1002) and the implementing regulations published by the U.S. Department of Education, with interest rates and fees no greater than those of comparable education loans offered directly by the U.S. Department of Education. Such rates and fees are specified in section 455 of the Higher Education Act of 1965 (20 U.S.C.

1087e).

- (f) Activities in cooperation with minority- or women-owned financial institutions and low-income credit unions. In assessing and taking into account the record of a nonminorityowned and nonwomen-owned bank under this part, the OCC considers as a factor capital investment, loan participation, and other ventures undertaken by the bank in cooperation with minority- and women-owned financial institutions and low-income credit unions. Such activities must help meet the credit needs of local communities in which the minorityand women-owned financial institutions and low-income credit unions are chartered. To be considered, such activities need not also benefit the bank's assessment area(s) or the broader statewide or regional area that includes the bank's assessment area(s).
- 3. In Appendix A to Part 25, paragraph (a)(1) is revised to read as follows:

#### Appendix A to Part 25—Ratings

(a) \* \* \*

(1) In assigning a rating, the OCC evaluates a bank's performance under the applicable performance criteria in this part, in accordance with §§ 25.21 and 25.28. This includes consideration of low-cost education loans provided to low-income borrowers and activities in cooperation with minority- or women-owned financial institutions and low-income credit unions, as well as adjustments on the basis of evidence of discriminatory or other illegal credit practices.

## Federal Reserve System 12 CFR Chapter II

Authority and Issuance

■ For the reasons set forth in the joint preamble, the Board of Governors of the Federal Reserve System amends part 228 of chapter II of title 12 of the Code of Federal Regulations as follows:

## PART 228—COMMUNITY REINVESTMENT (REGULATION BB)

■ 1. The authority citation for part 228 is revised as proposed to read as follows:

**Authority:** 12 U.S.C. 321, 325, 1828(c), 1842, 1843, 1844, and 2901 through 2908.

 $\blacksquare$  2. In § 228.21, add new paragraphs (e) and (f) to read as follows:

## § 228.21 Performance tests, standards, and ratings, in general.

(e) Low-cost education loans provided to low-income borrowers. In assessing and taking into account the record of a

bank under this part, the Board considers, as a factor, low-cost education loans originated by the bank to borrowers, particularly in its assessment area(s), who have an individual income that is less than 50 percent of the area median income. For purposes of this paragraph, "low-cost education loans" means any education loan, as defined in section 140(a)(7) of the Truth in Lending Act (15 U.S.C. 1650(a)(7)) (including a loan under a state or local education loan program), originated by the bank for a student at an "institution of higher education," as that term is generally defined in sections 101 and 102 of the Higher Education Act of 1965 (20 U.S.C. 1001 and 1002) and the implementing regulations published by the U.Š. Department of Education, with interest rates and fees no greater than those of comparable education loans offered directly by the U.S. Department of Education. Such rates and fees are specified in section 455 of the Higher Education Act of 1965 (20 U.S.C. 1087e).

- (f) Activities in cooperation with minority- or women-owned financial institutions and low-income credit unions. In assessing and taking into account the record of a nonminorityowned and nonwomen-owned bank under this part, the Board considers as a factor capital investment, loan participation, and other ventures undertaken by the bank in cooperation with minority- and women-owned financial institutions and low-income credit unions. Such activities must help meet the credit needs of local communities in which the minorityand women-owned financial institutions and low-income credit unions are chartered. To be considered, such activities need not also benefit the bank's assessment area(s) or the broader statewide or regional area that includes the bank's assessment area(s).
- 3. In Appendix A to Part 228, paragraph (a)(1) is revised to read as follows:

#### Appendix A to Part 228—Ratings

(a) \* \* \*

(1) In assigning a rating, the Board evaluates a bank's performance under the applicable performance criteria in this part, in accordance with §§ 228.21 and 228.28. This includes consideration of low-cost education loans provided to low-income borrowers and activities in cooperation with minority- or women-owned financial institutions and low-income credit unions, as well as adjustments on the basis of evidence of discriminatory or other illegal credit practices.

\* \* \* \* \*

## Federal Deposit Insurance Corporation 12 CFR Chapter III

Authority and Issuance

■ For the reasons set forth in the joint preamble, the Board of Directors of the Federal Deposit Insurance Corporation amends part 345 of chapter III of title 12 of the Code of Federal Regulations as follows:

## PART 345—COMMUNITY REINVESTMENT

■ 1. The authority citation for part 345 is revised to read as follows:

**Authority:** 12 U.S.C. 1814–1817, 1819–1820, 1828, 1831u and 2901–2908, 3103–3104, and 3108(a).

■ 2. In § 345.21, add new paragraphs (e) and (f) to read as follows:

## § 345.21 Performance tests, standards, and ratings, in general.

\* \* \* \* \*

(e) Low-cost education loans provided to low-income borrowers. In assessing and taking into account the record of a bank under this part, the FDIC considers, as a factor, low-cost education loans originated by the bank to borrowers, particularly in its assessment area(s), who have an individual income that is less than 50 percent of the area median income. For purposes of this paragraph, "low-cost education loans" means any education loan, as defined in section 140(a)(7) of the Truth in Lending Act (15 U.S.C. 1650(a)(7)) (including a loan under a state or local education loan program), originated by the bank for a student at an "institution of higher education," as that term is generally defined in sections 101 and 102 of the Higher Education Act of 1965 (20 U.S.C. 1001 and 1002) and the implementing regulations published by the U.S. Department of Education, with interest rates and fees no greater than those of comparable education loans offered directly by the U.S. Department of Education. Such rates and fees are specified in section 455 of the Higher Education Act of 1965 (20 U.S.C.

(f) Activities in cooperation with minority- or women-owned financial institutions and low-income credit unions. In assessing and taking into account the record of a nonminority-owned and nonwomen-owned bank under this part, the FDIC considers as a factor capital investment, loan participation, and other ventures undertaken by the bank in cooperation with minority- and women-owned financial institutions and low-income credit unions. Such activities must help

meet the credit needs of local communities in which the minority-and women-owned financial institutions and low-income credit unions are chartered. To be considered, such activities need not also benefit the bank's assessment area(s) or the broader statewide or regional area that includes the bank's assessment area(s).

■ 3. In Appendix A to Part 345, paragraph (a)(1) is revised to read as follows:

#### Appendix A to Part 345—Ratings

a) \* \* \*

(1) In assigning a rating, the FDIC evaluates a bank's performance under the applicable performance criteria in this part, in accordance with §§ 345.21 and 345.28. This includes consideration of low-cost education loans provided to low-income borrowers and activities in cooperation with minority- or women-owned financial institutions and low-income credit unions, as well as adjustments on the basis of evidence of discriminatory or other illegal credit practices.

#### **Department of the Treasury**

Office of Thrift Supervision

#### 12 CFR Chapter V

■ For the reasons set forth in the joint preamble, the Office of Thrift Supervision amends part 563e of chapter V of title 12 of the Code of Federal Regulations as follows:

## PART 563e—COMMUNITY REINVESTMENT

■ 1. The authority citation for part 563e is revised to read as follows:

**Authority:** 12 U.S.C. 1462a, 1463, 1464, 1467a, 1814, 1816, 1828(c), and 2901 through 2908.

■ 2. In § 563e.21, add new paragraphs (e) and (f) to read as follows:

## § 563e.21 Performance tests, standards, and ratings, in general.

\* \* \* \* \*

(e) Low-cost education loans provided to low-income borrowers. In assessing and taking into account the record of a savings association under this part, the OTS considers, as a factor, low-cost education loans originated by the savings association to borrowers, particularly in its assessment area(s), who have an individual income that is less than 50 percent of the area median income. For purposes of this paragraph, "low-cost education loans" means any education loan, as defined in section 140(a)(7) of the Truth in Lending Act (15 U.S.C. 1650(a)(7)) (including a loan under a state or local education loan program), originated by the savings

association for a student at an "institution of higher education," as that term is generally defined in sections 101 and 102 of the Higher Education Act of 1965 (20 U.S.C. 1001 and 1002) and the implementing regulations published by the U.S. Department of Education, with interest rates and fees no greater than those of comparable education loans offered directly by the U.S. Department of Education. Such rates and fees are specified in section 455 of the Higher Education Act of 1965 (20 U.S.C. 1087e).

(f) Activities in cooperation with minority- or women-owned financial institutions and low-income credit unions. In assessing and taking into account the record of a nonminorityowned and nonwomen-owned savings association under this part, the OTS considers as a factor capital investment, loan participation, and other ventures undertaken by the savings association in cooperation with minority- and womenowned financial institutions and lowincome credit unions. Such activities must help meet the credit needs of local communities in which the minorityand women-owned financial institutions and low-income credit unions are chartered. To be considered, such activities need not also benefit the savings association's assessment area(s) or the broader statewide or regional area that includes the savings association's assessment area(s).

■ 3. In Appendix A to Part 563e, paragraph (a)(1) is revised to read as follows:

#### Appendix A to Part 563e—Ratings

(a) \* \* \*

(1) In assigning a rating, the OTS evaluates a savings association's performance under the applicable performance criteria in this part, in accordance with §§ 563e.21 and 563e.28. This includes consideration of low-cost education loans provided to low-income borrowers and activities in cooperation with minority- or women-owned financial institutions and low-income credit unions, as well as adjustments on the basis of evidence of discriminatory or other illegal credit practices.

Dated: June 29, 2010.

#### John C. Dugan,

Comptroller of the Currency.

By order of the Board of Governors of the Federal Reserve System, September 2, 2010. **Jennifer J. Johnson.** 

#### jenniier j. jonnson

Secretary of the Board.

Dated at Washington, DC, this 27th day of September, 2010.

 ${\bf Federal\ Deposit\ Insurance\ Corporation.}$ 

#### Robert E. Feldman,

Executive Secretary.

Dated: September 24, 2010. By the Office of Thrift Supervision.

#### John E. Bowman,

Acting Director.

[FR Doc. 2010-24737 Filed 10-1-10; 8:45 am]

BILLING CODE 4810-33-P; 6210-01-P; 6714-01-P; 6720-01-P

#### **DEPARTMENT OF TRANSPORTATION**

#### **Federal Aviation Administration**

#### 14 CFR Part 39

[Docket No. FAA-2010-0342; Directorate Identifier 2002-NE-08-AD; Amendment 39-16458; AD 2010-20-23]

#### RIN 2120-AA64

Airworthiness Directives; Bombardier-Rotax GmbH Type 912 F, 912 S, and 914 F Series Reciprocating Engines

**AGENCY:** Federal Aviation Administration (FAA), Department of Transportation (DOT).

**ACTION:** Final rule.

**SUMMARY:** The FAA is superseding an existing airworthiness directive (AD) for certain serial numbers (S/Ns) of Bombardier-Rotax GmbH type 912 F and 914 F series reciprocating engines. That AD currently requires initial and repetitive visual inspections of the engine crankcase for cracks. This AD requires those same inspections, adds the 912 S series to the affected population, adds a test procedure to determine the engine suitability for a special flight permit, and changes applicability from engine S/N to crankcase S/N. This AD results from an increase in the affected crankcase population. We are issuing this AD to prevent oil loss caused by cracks in the engine crankcase, which could lead to in-flight failure of the engine and forced landing.

**DATES:** This AD becomes effective November 8, 2010.

ADDRESSES: You can get the service information identified in this AD from BRP-Rotax GmbH & Co. KG, Welser Strasse 32, A–4623 Gunskirchen, Austria.

The Docket Operations office is located at Docket Management Facility, U.S. Department of Transportation, 1200 New Jersey Avenue, SE., West Building Ground Floor, Room W12–140, Washington, DC 20590–0001.

#### FOR FURTHER INFORMATION CONTACT:

Alan Strom, Aerospace Engineer, Engine

Certification Office, FAA, Engine & Propeller Directorate, 12 New England Executive Park, Burlington, MA 01803; e-mail: alan.strom@faa.gov; telephone (781) 238–7143; fax (781) 238–7199.

SUPPLEMENTARY INFORMATION: The FAA proposed to amend 14 CFR part 39 by superseding AD 2002-16-26, Amendment 39-12865 (67 FR 53296, August 15, 2002), with a proposed AD. The proposed AD applies to Bombardier-Rotax GmbH type 912 F, 912 S, and 914 F series reciprocating engines with certain serial-numbered crankcases. We published the proposed AD in the **Federal Register** on April 7, 2010 (75 FR 17632). That action proposed to require initial visual inspection for cracks in the engine crankcase of engines with certain serialnumbered crankcases, within 50 hours time-in-service (TIS) after the effective date of that AD, and repetitive visual inspections at each 100-hour, annual, or progressive inspection, or within 110 hours TIS since last inspection, whichever occurs first. If any cracks are found, the engine must be removed from service.

#### **Examining the AD Docket**

You may examine the AD docket on the Internet at http://www.regulations.gov; or in person at the Docket Operations office between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this AD, the regulatory evaluation, any comments received, and other information. The street address for the Docket Operations office (telephone (800) 647–5527) is provided in the ADDRESSES section. Comments will be available in the AD docket shortly after receipt.

#### Comments

We provided the public the opportunity to participate in the development of this AD. We have considered the comment received.

One commenter asks us to change paragraph (g)(4) from "If the engine crankcase is cracked, replace the engine before further flight" to "If the engine crankcase is cracked, replace, repair, or overhaul the engine before further flight". The commenter states that this would allow the option of replacing the crankcase as a repair or overhaul as well as an outright engine replacement.

We partially agree. An owner or operator might interpret paragraph (g)(4) to mean they can't repair the engine. We have changed paragraph (g)(4) to state "If the engine crankcase is cracked, remove the engine from service before further flight."

#### Conclusion

We have carefully reviewed the available data, including the comment received, and determined that air safety and the public interest require adopting the AD with the change described previously. We have determined that this change will neither increase the economic burden on any operator nor increase the scope of the AD.

#### **Costs of Compliance**

Based on the service information, we estimate that this AD will affect about 250 products of U.S. registry. We also estimate that it will take about 3 workhours per inspection and 20 work hours to replace the crankcase to comply with this AD. The average labor rate is \$85 per work-hour. Required parts will cost about \$6,500 per crankcase. Based on these figures and an estimate of one crankcase replaced per year, we estimate the annual cost of the AD on U.S. operators to be \$71,950. Our cost estimate is exclusive of possible warranty coverage.

#### **Authority for This Rulemaking**

Title 49 of the United States Code specifies the FAA's authority to issue rules on aviation safety. Subtitle I, section 106, describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the Agency's authority.

We are issuing this rulemaking under the authority described in Subtitle VII, part A, subpart III, section 44701, "General requirements." Under that section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

#### **Regulatory Findings**

We have determined that this AD will not have federalism implications under Executive Order 13132. This AD will not have a substantial direct effect on the States, on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government.

For the reasons discussed above, I certify that this AD:

(1) Is not a "significant regulatory action" under Executive Order 12866;

(2) Is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979); and

(3) Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

We prepared a summary of the costs to comply with this AD and placed it in the AD Docket. You may get a copy of this summary at the address listed under ADDRESSES.

#### List of Subjects in 14 CFR Part 39

Air transportation, Aircraft, Aviation safety, Safety.

#### Adoption of the Amendment

■ Accordingly, under the authority delegated to me by the Administrator, the Federal Aviation Administration amends 14 CFR part 39 as follows:

## PART 39—AIRWORTHINESS DIRECTIVES

■ 1. The authority citation for part 39 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40113, 44701.

#### § 39.13 [Amended]

■ 2. The FAA amends § 39.13 by removing Amendment 39–12865 (67 FR 53296, August 15, 2002), and by adding a new airworthiness directive, Amendment 39–16458, to read as follows:

# 2010–20–23 Bombardier-Rotax GmbH (formerly Rotax, Motorenfabrik): Amendment 39–16458. Docket No.

Amendment 39–16458. Docket No. FAA–2010–0342; Directorate Identifier 2002–NE–08–AD.

#### **Effective Date**

(a) This airworthiness directive (AD) becomes effective November 8, 2010.

#### Affected ADs

(b) This AD supersedes AD 2002–16–26, Amendment 39–12865.

#### Applicability

(c) This AD is applicable to Bombardier-Rotax GmbH type 912 F series, 912 S series, and 914 F series reciprocating engines that have a crankcase serial-numbered 27811 or lower, installed. These engines are installed on, but not limited to, Aeromot-Industria Mecanico Metalurgica Itda AMT–300; Aquila Technische Entwiklugen GmbH AQUILA AT01; Diamond Aircraft Industries DA–20A1; Diamond Aircraft Industries GmbH Models HK36TC, HK36TTC, HK36TTC–ECO, and HK36TTS; Iniziative Industriali Italiane S.p.A. Sky Arrow 650 series; SCHEIBE–Flugzeugnau GmbH SF 25C; and Stemme S10–VT aircraft.

#### **Unsafe Condition**

(d) This AD results from an increase in the affected engine crankcase population. We are issuing this AD to prevent oil loss caused by cracks in the engine crankcase, which could lead to in-flight failure of the engine and forced landing.

#### Compliance

(e) You are responsible for having the actions required by this AD performed within the compliance times specified, unless the actions have already been done.

## Determining the Crankcase Serial Number (S/N)

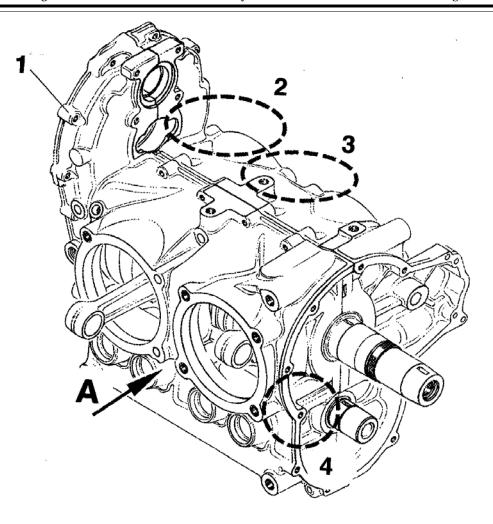
(f) Determine if your crankcase is affected by looking at the S/N in the area indicated by XXX, following "Made in Austria," as shown on Figure 2 of this AD. The marking is on both crankcase halves.

#### **Initial Inspection**

(g) Within 50 hours time-in-service (TIS) from the effective date of this AD, perform a visual inspection as follows:

(1) Inspect the engine crankcase (item 1, Figure 1 of this AD) for cracks especially in the area of cylinder 1 upper side (item 2), between cylinder 1 and 3 upper side (item 3), cylinder 4 lower-right side (item 4) and detailed inspection in the area identified in Figure 2 (item 5) of this AD. Information concerning this inspection can be found in Bombardier-Rotax Mandatory Service Bulletins No. SB—912—029, Revision 3, dated July 11, 2006 and No. SB—914—018, Revision 3, dated July 11, 2006.

#### BILLING CODE 4910-13-P



### **LEGEND**

- 1. Engine Crankcase
- 2. Cylinder 1 Upper Side
- 3. Cylinder 3 Upper Side
- 4. Cylinder 4 Lower-right Side

Figure 1. Engine Crankcase Inspection Areas

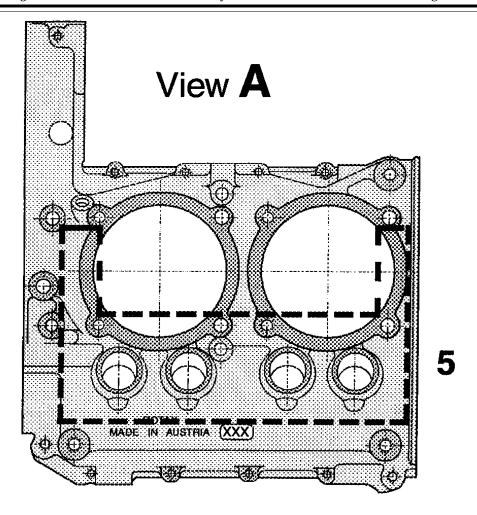


Figure 2. Engine Crankcase Inspection Areas – View A

#### BILLING CODE 4910-13-C

(2) Cracks in crankcases of engines with a ROTAX cooling air baffle may not be easily visible, and oil leaks may be an indication of cracks. Visually inspect for oil leaks in areas of cylinder 1 upper side (item 2, Figure 1 of this AD) and between cylinder 1 and cylinder 3 upper side (item 3).

(3) If you find oil leaks, determine the source by either using a borescope or removing the object blocking the view such as the air baffle or accessory, and perform the inspection.

(4) If the engine crankcase is cracked, remove the engine from service before further flight.

#### **Repetitive Inspections**

(h) Visually inspect the engine crankcase (item 1, Figure 1 of this AD) for cracks at each 100-hour, annual, or progressive inspection, or within 110 hours TIS since last inspection, whichever occurs first, in accordance with paragraphs (g)(1) through (g)(4) of this AD.

#### **Alternative Methods of Compliance**

(i) The Manager, Engine Certification Office, has the authority to approve alternative methods of compliance for this AD if requested using the procedures found in 14 CFR 39.19.

#### **Special Flight Permits**

- (j) Under 14 CFR part 39.23, we are limiting the special flight permits for this AD by the following conditions if the crankcase is cracked or there is evidence of oil leakage from the crankcase:
  - (1) Perform a leak check as follows:
- (i) Clean the crankcase surface to remove any oil.
- (ii) Warm up the engine to a minimum oil temperature of 50 degrees C (120 degrees F). Information about warming up the engine can be found in the applicable line maintenance manual.
- (iii) Accelerate the engine to full throttle and stabilize at full throttle speed for a time period of 5 to 10 seconds. Information about performing a full throttle run can be found in the applicable line maintenance manual.

- (iv) Shutdown after running the engine at idle only long enough to prevent vapor locks in the cooling system and fuel system.
- (v) Inspect the crankcase for evidence of oil leakage. Oil wetting is permitted, but oil leakage of more than one drip in 3 minutes after engine shutdown is not allowed.
- (2) Check the crankcase mean pressure to confirm that it is 1.46 pounds-per-square inch gage (psig) (0.1 bar) or higher when checked at takeoff power to ensure proper return of oil from the crankcase to the oil tank. Information about checking crankcase mean pressure is available in the Lubrication System section of the applicable engine installation manual.
- (3) A ferry flight is not allowed if oil leakage exceeds one drip in 3 minutes or if crankcase mean pressure is below 1.46 psig.

#### **Optional Terminating Action**

(k) Installing a crankcase that has a S/N above 27811 terminates the inspection requirements of paragraphs (g)(1) through (g)(4) and (h) of this AD.

#### **Related Information**

(l) Contact Alan Strom, Aerospace Engineer, Engine Certification Office, FAA, Engine & Propeller Directorate, 12 New England Executive Park, Burlington, MA 01803; e-mail: alan.strom@faa.gov; telephone (781) 238–7143; fax (781) 238–7199, for more information about this AD.

(m) EASA airworthiness directive 2007–0025, dated February 1, 2007, also addresses the subject of this AD.

(n) Bombardier-Rotax Mandatory Service Bulletins No. SB-912-029, Revision 3, dated July 11, 2006 and No. SB-914-018, Revision 3, dated July 11, 2006, pertain to the subject of this AD. Contact BRP-Rotax GmbH & Co. KG, Welser Strasse 32, A-4623 Gunskirchen, Austria, or go to rotax-aircraft-engines.com for a copy of this service information.

#### Material Incorporated by Reference

(o) None.

Issued in Burlington, Massachusetts, on September 24, 2010.

#### Francis A. Favara,

Manager, Engine and Propeller Directorate, Aircraft Certification Service.

[FR Doc. 2010–24629 Filed 10–1–10; 8:45 am]

BILLING CODE 4910-13-P

## SECURITIES AND EXCHANGE COMMISSION

#### 17 CFR Part 243

[Release Nos. 33-9146; 34-63003; IC-29448; File No. S7-23-10]

#### Removal From Regulation FD of the Exemption for Credit Rating Agencies

**AGENCY:** Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: This amendment implements Section 939B of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010, which requires that the Securities and Exchange Commission amend Regulation FD to remove the specific exemption from the rule for disclosures made to nationally recognized statistical rating organizations and credit rating agencies for the purpose of determining or monitoring credit ratings.

**DATES:** Effective Date: October 4, 2010. **FOR FURTHER INFORMATION CONTACT:** Steven G. Hearne, Special Counsel in the Office of Rulemaking, Division of Corporation Finance, at (202) 551–3430, 100 F Street, NE., Washington, DC 20549.

**SUPPLEMENTARY INFORMATION:** The Commission is deleting Rule 100(b)(2)(iii) <sup>1</sup> under Regulation FD.<sup>2</sup>

#### I. Overview of the Amendment

Regulation FD provides that when an issuer, or person acting on its behalf, discloses material nonpublic information to certain enumerated persons (in general, securities market professionals and holders of the issuer's securities who may trade on the basis of the information), it must make public disclosure of that information.<sup>3</sup> Section 939B of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (the "Act") requires the Commission to "revise Regulation FD (17 CFR 243.100) to remove from such regulation the exemption for entities whose primary business is the issuance of credit ratings (17 CFR 243.100(b)(2)(iii))" within 90 days after the date of enactment.<sup>4</sup> The effective date of the legislation is July 21, 2010 and our revised rule will be effective for disclosure made on or after October 4,

#### II. Discussion of the Amendment

As required by the Act, we are amending Regulation FD to remove the specific exemption provided to nationally recognized statistical rating organizations and credit rating agencies for disclosure made to them for the purpose of determining or monitoring a credit rating. To effectuate this change, we are removing Rule 100(b)(2)(iii) of Regulation FD. Due to the removal of Rule 100(b)(2)(iii), we are re-designating Rule 100(b)(2)(iv) as Rule 100(b)(2)(iii).

Regulation FD is designed to address the problem of selective disclosure made to those who would reasonably be expected to trade securities on the basis of the information or provide others with advice about securities trading.<sup>5</sup> Under Regulation FD, the timing of the required public disclosure of material nonpublic information that is provided by an issuer, or persons acting on its behalf, to certain enumerated persons depends on whether the selective disclosure was intentional. For an intentional selective disclosure, the issuer must make public disclosure simultaneously. In other circumstances, the issuer must make public disclosure promptly. Under the regulation, the required public disclosure may be made by filing or furnishing a Form 8-K,6 or by another method or combination of methods that is reasonably designed to provide broad, non-exclusionary

distribution of the information to the public.<sup>7</sup>

Under Rule 100(b)(2)(iii) of Regulation FD, the issuer or person acting on the issuer's behalf need not make the public disclosure if the disclosure of material nonpublic information is made to a credit rating agency that makes its credit ratings publicly available, or is made pursuant to Rule 17g–5(a)(3) 8 to a nationally recognized statistical rating organization. As required by Section 939B of the Act, we are removing the exemption specifically available to these entities under Regulation FD.9

#### III. Procedural and Other Matters

The Administrative Procedure Act ("APA") generally requires an agency to publish notice of a proposed rulemaking in the Federal Register. 10 This requirement does not apply, however, if the agency "for good cause finds \* that notice and public procedure thereon are impracticable, unnecessary, or contrary to the public interest." 11 The revision to Regulation FD that the Commission is adopting is required by Section 939B of the Act by the legislatively required date. Unless the rule and form amendments become effective by that date, issuers may be confused regarding their disclosure and reporting obligations. The Commission is required by statute to remove the specific exemption for disclosure provided to nationally recognized statistical rating organizations and credit rating agencies. Because this revision is required by Congress, it does not involve the exercise of Commission discretion or policy judgments. For these reasons, the Commission finds that good cause exists to dispense with a public notice and comment period for these amendments because notice and comment would be unnecessary, impracticable and contrary to the public interest.12

<sup>&</sup>lt;sup>1</sup> 17 CFR 243.100(b)(2)(iii).

<sup>&</sup>lt;sup>2</sup> 17 CFR 243.100 et seq.

<sup>&</sup>lt;sup>3</sup> 17 CFR 243.100(a). *See* Selective Disclosure and Insider Trading, Release No. 34–43154 (Aug. 15, 2000) [65 FR 51716] at 51716 (the "Regulation FD Adopting Release").

<sup>&</sup>lt;sup>4</sup> Pub. L. 111–203 (July 21, 2010).

 $<sup>^{5}\,\</sup>mathrm{Regulation}$  FD Adopting Release, supra note 3, at 51719.

<sup>617</sup> CFR 249.308.

<sup>7 17</sup> CFR 243.101(e).

<sup>8 17</sup> CFR 240.17g-5(a)(3).

<sup>&</sup>lt;sup>9</sup>Regulation FD also provides exemptions for communications made to a person who owes the issuer a duty of trust or confidence—i.e., a "temporary insider"—such as an attorney, investment banker, or accountant (17 CFR 243.100(b)(2)(i)), to any person who expressly agrees to maintain the information in confidence (17 CFR 243.100(b)(2)(ii)), and in connection with most offerings of securities registered under the Securities Act (17 CFR 243.100(b)(2)(iv)). These exemptions are unaffected by the Act.

<sup>&</sup>lt;sup>10</sup> See 5 U.S.C. 553(b).

<sup>11 5</sup> U.S.C. 553(b).

<sup>&</sup>lt;sup>12</sup> The Regulatory Flexibility Act requires agencies to prepare analyses for rulemaking only when the APA requires general notice of proposed rulemaking. 5 U.S.C. 603(a). The Regulatory Flexibility Act does not apply to the rules we adopt today because, as noted above, the Commission is

The Commission is taking this action to implement the Act. Thus, any costs and benefits to the economy resulting from the amendments are mandated by the Act. Section 23(a)(2) 13 of the Securities Exchange Act of 1934 ("Exchange Act") 14 requires us, when adopting rules under the Exchange Act, to consider the anti-competitive effect of any rules we adopt. Further, Section 3(f) of the Exchange Act 15 and Section 2(c) of the Investment Company Act of 1940 16 require us, when engaging in rulemaking where we are required to consider or determine whether an action is necessary or appropriate in the public interest, to consider, in addition to the protection of investors, whether the action will promote efficiency, competition and capital formation. While the amendments may affect efficiency, competition and capital formation, the action we are taking today is required by the Act and imposes no burden on efficiency, competition and capital formation that is not consistent with implementation of

#### IV. Paperwork Reduction Act

Certain provisions of Regulation FD contain "collection of information" requirements within the meaning of the Paperwork Reduction Act of 1995.17 An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Compliance with the disclosure requirements is mandatory. There is no mandatory retention period for the information disclosed, and responses to the disclosure requirements will not be kept confidential.

The titles for the collections are (1) Form 8–K, and (2) Reg FD–Other Disclosure Materials. OMB approved the regulation's information collection requirements. Form 8-K (OMB Control No. 3235-0060) was adopted pursuant to Sections 13,<sup>18</sup> 15,<sup>19</sup> and 23<sup>20</sup> of the Exchange Act, and Regulation FD–Other Disclosure Materials (OMB Control No. 3235-0536) was adopted pursuant to Sections 13, 15, 23, and 36 21 of the Exchange Act.

not required to solicit public comment when using the expedited rulemaking procedures under Section 553(b) of the APA.

As discussed in the Regulation FD proposing 22 and adopting releases,23 in many cases, information disclosed under Regulation FD would be information that an issuer ultimately was going to disclose to the public. Under Regulation FD, that issuer likely will not make any more public disclosure than it otherwise would, but it may make the disclosure sooner and it is required to file or disseminate that information in a manner reasonably designed to provide broad, nonexclusionary distribution of the information to the public. Following the amendments adopted today, reporting persons will remain obligated to disclose the same information that they were previously required to report on these forms. We therefore believe that the overall information collection burden will remain approximately the same because the same transactions will remain reportable.

#### V. Statutory Authority and Text of the Amendment

The amendments described in this release are being adopted under the authority set forth in Sections 10, 19(a), and 28 of the Securities Act of 1933, Sections 3, 9, 10, 13, 15, 23, and 36 of the Securities Exchange Act of 1934, Section 30 of the Investment Company Act of 1940, and Section 939B of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010.

#### List of Subjects in 17 CFR Part 243

Reporting and recordkeeping requirements, Securities.

#### **Text of Amendments**

■ For the reasons set out in the preamble, the Commission amends Title 17, Chapter II of the Code of Federal Regulations as follows:

#### PART 243—REGULATION FD

■ 1. The authority citation for part 243 continues to read as follows:

Authority: 15 U.S.C. 78c, 78i, 78j, 78m, 780, 78w, 78mm, and 80a-29, unless otherwise noted.

#### §243.100 [Amended]

■ 2. Section 243.100 is amended by removing paragraph (b)(2)(iii) and redesignating paragraph (b)(2)(iv) as (b)(2)(iii).

By the Commission.

Secretary.

[FR Doc. 2010-24802 Filed 10-1-10; 8:45 am]

BILLING CODE 8010-01-P

#### **DEPARTMENT OF THE INTERIOR**

**Bureau of Ocean Energy Management,** Regulation, and Enforcement

30 CFR Parts 201, 202, 203, 204, 206, 207, 208, 210, 212, 217, 218, 219, 220, 227, 228, 229, 241, 243, and 290

#### Office of Natural Resources Revenue

30 CFR Parts 1201, 1202, 1203, 1204, 1206, 1207, 1208, 1210, 1212, 1217, 1218, 1219, 1220, 1227, 1228, 1229, 1241, 1243, and 1290

[Docket No. MMS-2010-MRM-0033]

#### RIN 1010-AD70

#### Reorganization of Title 30, Code of **Federal Regulations**

**AGENCY:** Bureau of Ocean Energy Management, Regulation, and Enforcement; Office of Natural Resources Revenue; Department of the Interior.

**ACTION:** Direct final rule.

SUMMARY: On May 19, 2010, the Secretary of the Interior separated the responsibilities previously performed by the former Minerals Management Service (MMS) and reassigned those responsibilities to three separate organizations. As part of this reorganization, the Secretary renamed MMS's Minerals Revenue Management Program (MRM) the Office of Natural Resources Revenue (ONRR) and directed that ONRR transition to the Office of the Assistant Secretary-Policy, Management and Budget (PMB). This change requires reorganization of title 30 of the Code of Federal Regulations (30 CFR). This direct final rule amends chapter II in 30 CFR, establishes a new chapter XII in 30 CFR, removes certain regulations from chapter II, and recodifies them in the new chapter XII.

**DATES:** This rule is effective on October 1, 2010, without further action, unless substantive adverse comment is received by November 3, 2010. If substantive adverse comment is received that cannot be addressed, Department of the Interior will publish a timely amendment of the rule in the Federal Register.

<sup>13 15</sup> U.S.C. 78w(a)(2).

<sup>14 15</sup> U.S.C. 78a et seq.

<sup>15 15</sup> U.S.C. 78c(f).

<sup>16 15</sup> U.S.C. 80a-2(c).

<sup>17 44</sup> U.S.C. 3501 et seq.

<sup>&</sup>lt;sup>18</sup> 15 U.S.C. 78m.

<sup>20 15</sup> U.S.C. 78w.

<sup>21 15</sup> U.S.C. 78mm.

<sup>19 15</sup> U.S.C. 78o.

<sup>&</sup>lt;sup>23</sup> See Regulation FD Adopting Release, supra

<sup>&</sup>lt;sup>22</sup> See Selective Disclosure and Insider Trading, Release No. 34-42259 (Dec. 20, 1999) [64 FR

Dated: September 29, 2010. Elizabeth M. Murphy,

**ADDRESSES:** You may submit comments on this rule by any of the following methods:

- Electronically go to http:// www.regulations.gov. In the entry titled "Enter Keyword or ID," enter MMS– 2010–MRM–0033, and then click search. Follow the instructions to submit public comments. The ONRR will post all comments.
- Mail comments to Armand Southall, Regulatory Specialist, ONRR, PMB, P.O. Box 25165, MS 61013B, Denver, Colorado 80225. Please reference the Docket No. MMS–2010– MRM–0033 in your comments.
- Hand-carry comments or use an overnight courier service. Our courier address is Building 85, Room A–614, Denver Federal Center, West 6th Ave. and Kipling St., Denver, Colorado 80225. Please reference the Docket No. MMS–2010–MRM–0033 in your comments.

FOR FURTHER INFORMATION CONTACT: For questions on technical issues, contact Armand Southall, Regulatory Specialist, ONRR, PMB, telephone (303) 231–3221. You may obtain a paper copy of this rule by contacting Mr. Southall by phone or at the address listed above for mailing comments.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

On May 19, 2010, by Secretarial Order No. 3299, the Secretary of the Department of the Interior announced the restructuring of the MMS. On June 18, 2010, by Secretarial Order No. 3302, the Secretary announced the name change of the MMS to the Bureau of Ocean Energy Management, Regulation, and Enforcement (BOEMRE). By these orders, the Secretary separated the responsibilities previously performed by MMS and reassigned those responsibilities to three separate organizations: the Office of Natural Resources Revenue (ONRR); the Bureau of Ocean Energy Management (BOEM); and the Bureau of Safety and Environmental Enforcement (BSEE). The new ONRR will be responsible for the existing MRM royalty and revenue functions and is scheduled to transition

to the Assistant Secretary—Policy, Management and Budget organization on October 1, 2010, the beginning of Fiscal Year 2011.

#### Reorganization of Title 30 CFR

Title 30—Mineral Resources of the Code of Federal Regulations (30 CFR), is organized in three volumes. Volume II contains three chapters, and one of the chapters is titled "Chapter II—Minerals Management Service, Department of the Interior." Because Secretarial Order No. 3299 separated ONRR functions (formerly MRM) from MMS (now BOEMRE), this direct final rule separates ONRR's regulations from the MMS regulations as well. This direct final rule reorganizes 30 CFR—renames chapter II from Minerals Management Service to Bureau of Ocean Energy Management, Regulation, and Enforcement, and adds a Chapter XII-Office of Natural Resources Revenue to 30 CFR as a new home for those regulations. This direct final rule does not make any substantive changes to the regulations or requirements in 30 CFR. It merely moves ONRR's current regulations to a new chapter XII in 30 CFR and makes technical corrections to position titles, agency names, and acronyms. In the near future, BOEMRE and ONRR may individually make additional technical corrections to their regulations as required by the restructuring of the former MMS into the three separate organizations. This may include changes to agency addresses, website addresses, delegation order numbers, and cross references within the regulations. Parts 200, 215, 230, 232, 233, 234, and 242 were not moved to chapter XII because these parts are listed as **Reserved** in title 30 CFR, chapter II. As noted, this direct final rule amends and removes 19 existing parts and related existing subparts in 30 CFR concerning royalty reporting and payment, marginal properties, product valuation, forms and reports, audits and inspections, collection of monies, delegation to states and cooperative activities, bonding, civil penalties, and appeals from chapter II and recodifies them in the new chapter XII.

The parts and subparts to be moved are:

- Part 201—General, which becomes part 1201.
- Part 202—Royalties, which becomes part 1202.
- Part 203—Relief or Reduction in Royalty Rates, Subpart F—Coal, which becomes part 1203.
- Part 204—Alternatives for Marginal Properties, which becomes part 1204.
- Part 206—Production Valuation, which becomes part 1206.
- Part 207—Sales Agreements or Contracts Governing the Disposal of Lease Products, which becomes part 1207.
- Part 208—Sale of Federal Royalty Oil, which becomes part 1208.
- Part 210—Forms and Reports, which becomes part 1210.
- Part 212—Records and Files Maintenance, which becomes part 1212.
- Part 217—Audits and Inspections, which becomes part 1217.
- Part 218—Collection of Monies and Provision for Geothermal Credits and Incentives, which becomes part 1218.
- Part 219—Distribution and Disbursement of Royalties, Rentals, and Bonuses, Subpart C—Oil and Gas, Onshore, which becomes part 1219.
- Part 220—Accounting Procedures for Determining Net Profit Share Payment for Outer Continental Shelf Oil and Gas Leases, which becomes part 1220.
- Part 227—Delegation to States, which becomes part 1227.
- Part 228—Cooperative Activities with States and Indian Tribes, which becomes part 1228.
- Part 229—Delegation to States, which becomes part 1229.
- Part 241—Penalties, which becomes part 1241.
- Part 243—Suspensions Pending Appeal and Bonding—Minerals Revenue Management, which becomes part 1243.
- Part 290—Appeals Procedures, Subpart B—Minerals Management Revenue Appeal Procedures, which becomes part 1290.

The following are derivation tables for each of these recodified parts:

DERIVATION TABLE FOR PART 1201

The requirements of section:	Are derived from section:
Subpart A [Reserved]	
Subpart B [Reserved]	
Subpart C	
1201.100	201.10

DERIVATION TABLE FOR PART 1201—Continued	
The requirements of section:	Are derived from section:
Subpart D [Reserved]	
Subpart E [Reserved]	
Subpart F [Reserved]	
Subpart G [Reserved]	
Subpart H [Reserved]	
DERIVATION TABLE FOR PART 1202	
The requirements of section:	Are derived from section:
Subpart A [Reserved]	
Subpart B	
1202.51	202.51
1202.52	202.52 202.53
Subpart C	
1202.100	202.100
1202.101	202.101
Subpart D	T
1202.150	202.150 202.151
1202.152	202.152
Subpart E [Reserved]	
Subpart F	
1202.250	202.250
Subpart G [Reserved]	
Subpart H	
1202.350	202.350
1202.351	202.351 202.352
1202.353	202.353
Subpart I [Reserved]	
Subpart J	
1202.550	202.550
1202.551	202.551 202.552
1202.553	202.553
1202.554	202.554
1202.555	202.555
1202.556	202.556
1202.557	202.557 202.558
	202.000

DERIVATION TABLE FOR PART 1203	
Subpart A [Offshore Energy and Minerals Management]	
Subpart B [Offshore Energy and Minerals Management]	
Subpart C [Reserved]	
Subpart D [Reserved]	
Subpart E [Reserved]	
Subpart F	
1203.250	203.250
1203.251	203.251
Subpart H [Reserved]	
Subpart I [Offshore Energy and Minerals Management]	
cuspant I [chicker Energy and minicials management]	
DERIVATION TABLE FOR PART 1204	
The requirements of section:	Are derived from section:
Subpart A	
1204.1	204.1
1204.2	204.2 204.3
1204.3	204.3
1204.5	204.5
1204.6	204.6
Subpart B [Reserved]	
Subpart C	
1204.200	204.200
1204.201	204.201
1204.202	204.202
1204.203	204.203
1204.204	204.204
1204.205	204.205 204.206
1204.207	204.207
1204.208	204.208
1204.209	204.209
1204.2101204.211	204.210 204.211
1204.212	204.211
1204.213	204.213
1204.214	204.214
1204.215	204.215
DERIVATION TABLE FOR PART 1206	
The requirements of section:	Are derived from section:
Subpart A	
1206.10	206.10
Subpart B	
<u>'</u>	
1206.50	206.50
1206.50	206.51
1206.50	206.51 206.52
1206.50	206.52 206.53
	206.51 206.52 206.53 206.54
1206.50	206.51 206.52 206.53

#### DERIVATION TABLE FOR PART 1206—Continued

	Are derived from section:
1206.58	206.58
206.59	206.5
206.60	206.6
206.61	206.6
206.62	206.6
Subpart C	
206.100	206.10
206.101	206.10
206.102	206.10
206.103	206.10
206.104	206.10
206.105	206.10
206.106	206.10
206.107	206.10 206.10
206.108	206.10
206.110	206.10
206.111	206.11
206.112	206.11
206.113	206.11
206.114	206.11
206.115	206.11
206.116	206.11
206.117	206.11
206.119	206.11
206.120	206.12
Subpart D	
· · · · · · · · · · · · · · · · · · ·	000.45
206.150	206.15
206.151	206.15
206.152	206.15
206.153	206.15
206.154	206.15
206.155	206.15
206.156	206.15
206.157	206.15
206.158	206.15 206.15
206.160	206.16
Subpart E	
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006.170	006 17
206.171	206.17
206.171	206.17 206.17
206.171         206.172         206.173	206.17 206.17 206.17
206.171         206.172         206.173         206.174	206.17 206.17 206.17 206.17
206.171         206.172         206.173         206.174         206.175	206.17 206.17 206.17 206.17 206.17
206.171         206.172         206.173         206.174         206.175         206.176	206.17 206.17 206.17 206.17 206.17 206.17
206.171         206.172         206.173         206.174         206.175         206.176         206.177	206.17 206.17 206.17 206.17 206.17 206.17 206.17
206.171         206.172         206.173         206.174         206.175         206.176         206.177         206.178	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17
206.171	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17
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206.171	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.18
206.171	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.25 206.25 206.25 206.25
206.171	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.25 206.25 206.25 206.25 206.25 206.25
206.171 206.172 206.173 206.174 206.175 206.176 206.177 206.178 206.179 206.180 206.181  Subpart F  206.250 206.251 206.252 206.253 206.254 206.255 206.255 206.255	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.25 206.25 206.25 206.25 206.25 206.25 206.25
206.171 206.172 206.173 206.174 206.175 206.176 206.177 206.178 206.179 206.180 206.181  Subpart F  206.250 206.251 206.252 206.253 206.254 206.255 206.256 206.256 206.256	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.18 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25
206.171	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.18 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25
206.171 206.172 206.173 206.174 206.175 206.176 206.177 206.178 206.179 206.180 206.181  Subpart F   206.250 206.251 206.252 206.253 206.254 206.255 206.256 206.256 206.258 206.258 206.259	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.18 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25
206.170 206.171 206.172 206.173 206.174 206.175 206.176 206.177 206.178 206.179 206.180 206.181  Subpart F   206.250 206.251 206.252 206.253 206.254 206.255 206.255 206.255 206.256 206.257 206.258 206.259 206.260 206.261	206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.17 206.18 206.18 206.18 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25 206.25

#### DERIVATION TABLE FOR PART 1206—Continued

The requirements of section:	Are derived from section:
1206.262	206.26
1206.263	206.26
1206.264	206.26
1206.265	206.26
Subpart G	
1206.301	206.30
Subpart H	
1206.350	206.35
1206.351	206.35
1206.352	206.3
1206.353	206.35
1206.354	206.35
1206.355	206.35
1206.356	206.35
1206.357	206.3
1206.358	206.35
1206.359	206.35
1206.360	206.36
1206.361	206.36
1206.362	206.36
1206.363	206.36
1206.364	206.36
1206.365	206.36
1206.366	206.36
1206.366 Subpart I [Reserved]	206.36
	206.36
Subpart I [Reserved]	
Subpart I [Reserved] Subpart J	206.45
Subpart I [Reserved]  Subpart J  1206.450	206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452	206.45 206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453	206.45 206.45 206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.453	206.44 206.44 206.44 206.44 206.44
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.454	206.45 206.45 206.45 206.45 206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.455	206.45 206.45 206.45 206.45 206.45 206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.455  1206.456	206.44 206.44 206.44 206.44 206.44 206.44 206.44
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.455  1206.456	206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.455  1206.456  1206.457  1206.458	206.45 206.45 206.45 206.45 206.45 206.45 206.45 206.45 206.45
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459	206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.450  1206.460	206.45 206.45 206.45 206.45 206.45 206.45 206.45 206.45 206.46 206.46
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.460  1206.460	206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44 206.44
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.460  1206.461  1206.462	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.460  1206.460	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.460  1206.461  1206.462	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.456  1206.457  1206.458  1206.458  1206.459  1206.460  1206.461  1206.462  1206.463	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450  1206.451  1206.452  1206.453  1206.454  1206.455  1206.456  1206.457  1206.458  1206.459  1206.459  1206.461  1206.462  1206.462  1206.463  1206.463  1206.464  DERIVATION TABLE FOR PART 1207	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48
Subpart I [Reserved]  Subpart J  1206.450	206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48 206.48

DERIVATION TABLE FOR PART 1207—Continued	
The requirements of section:	Are derived from section:
Subpart B [Reserved]	
Subpart C [Reserved]	
Subpart D [Reserved]	
Subpart E [Reserved]	
Subpart F [Reserved]	
Subpart G [Reserved]	
Subpart H [Reserved]	
Subpart I [Reserved]	
DERIVATION TABLE FOR PART 1208	
The requirements of section:	Are derived from section:
Subpart A	
208.1	208. 208. 208. 208. 208. 208. 208. 208.
1210.30	210.3 210.4
Subpart B	
1210.50 1210.51 1210.52 1210.53 1210.54 1210.55 1210.56	210.5 210.5 210.5 210.5 210.5 210.5 210.5 210.6
Subpart C	
1210.100 1210.101 1210.102 1210.103	210.100 210.100 210.100 210.100

#### 61058 DERIVATION TABLE FOR PART 1210—Continued The requirements of section: Are derived from section: 1210.104 ..... 210.104 210.105 1210.106 ..... 210.106 Subpart D 210.150 1210.150 ..... 210.151 1210.152 ..... 210.152 210.153 1210.154 210.154 1210.155 ..... 210.155 210.156 1210.156 210.157 210.158 1210.200 ...... 210.200 210.201 1210.202 ..... 210.202 1210.203 ...... 210.203 1210.204 ...... 210.204 1210.205 ..... 210.205 1210.206 ...... 210.206 210.207 1210.207 ..... Subpart F [Reserved] Subpart G [Reserved] Subpart H 210.350 210.351 1210.352 ..... 210.352 210.353 1210.354 210.354 Subpart I [Reserved] **DERIVATION TABLE FOR PART 1212** The requirements of section: Are derived from section: Subpart A [Reserved] Subpart B 212.50 212.51 212.52 Subpart C [Reserved] Subpart D [Reserved] Subpart E 1212.200 ..... 212.200 Subpart F [Reserved] Subpart G [Reserved]

#### Subpart H 212.350 1212.351 ..... 212.351 Subpart I [Reserved]

The requirements of section:	Are derived from section:
Subpart A [Reserved]	
Subpart B	
1217.50	217.5 217.5 217.5
Subpart C [Reserved]	
Subpart D [Reserved]	
Subpart E	
1217.200	217.20
Subpart F	
1217.250	217.25
Subpart G	1
1217.300	217.30 217.30 217.30
Subpart H [Reserved]	217.00
DERIVATION TABLE FOR PART 1218	
The requirements of section:	Are derived from section:
Subpart A	I.
1218.10 1218.40 1218.41 1218.42	218.1 218.4 218.4 218.4
Subpart B	1
1218.50	218.5 218.5 218.5 218.5 218.5 218.5 218.5
Subpart C	
1218.100	218.10 218.10 218.10 218.10 218.10 218.10
Subpart D	1
1218.150 1218.151 1218.152 1218.153 1218.154 1218.155	218.15 218.15 218.15 218.15 218.15 218.15 218.15
Subpart E	1
-	

The requirements of section:	Are derived from section:
1218.202 1218.203	
Subpart F	210.2
Subpart	
1218.300	
1218.301	
218.302	
1218.304	
218.305	I
218.306	
218.307	218.3
Subpart G [Reserved]	
Subpart H	
1218.500	218.5
1218.520	
1218.540	
1218.560	I
1218.580	218.5
DERIVATION TABLE FOR PART 1219	
The requirements of section:	Are derived from section:
Subpart A [Reserved]	
Subpart B [Reserved]	
Subpart C	
1219.100	219.1
1219.101	
219.102	
219.103	219.
I219.104	219.1
1219.105	219.7
Subpart D	
1219.410	219.4
1219.411	219.4
l219.412	
1219.413	I
219.414	
219.415	
1219.417	
1219.418	I
DERIVATION TABLE FOR PART 1220	,
The requirements of section:	Are derived from section:
1220.001	
1220.001	I
220.003	
220.010	
220.011	
220.012	
220.013	
220.014	
220 015	
	000
220.015	
220.020	

#### DERIVATION TABLE FOR PART 1220—Continued

The requirements of section:	Are derived from section:
1220.032	220.032
1220.033	220.033
1220.034	220.034

#### **DERIVATION TABLE FOR PART 1227**

The requirements of section:	Are derived from section:
1227.1	227.1
1227.10	227.10
1227.101	227.101
1227.102	227.102
1227.103	227.103
1227.104	227.104
1227.105	227.105
1227.106	227.106
1227.107	227.107
1227.108	227.108
1227.109	227.109
1227.110	227.110
1227.111	227.111
1227.112	227.112
1227.200	227.200
1227.201	227.201
1227.300	227.300
1227.301	227.301
1227.400	227.400
1227.401	227.401
1227.500	227.500
1227.501	227.501
1227.600	227.600
1227.601	227.601
1227.700	227.700
1227.800	227.800
1227.801	227.801
1227.802	227.802
1227.803	227.803
1227.804	227.804
1227.805	227.805

#### **DERIVATION TABLE FOR PART 1228**

The requirements of section:	Are derived from section:
Subpart A	
1228.1	228.1
1228.2	228.2
1228.3	228.3
1228.4	228.4
1228.5	228.5
1228.6	228.6
1228.10	228.10
Subpart B [Reserved]	<u> </u>

#### Subpart C

1228.100	228.100
1228.101	228.101
1228.102	228.102
1228.103	228.103
1228.104	228.104
1228.105	228.105
1228.107	228.107
1228.108	228.108

#### DERIVATION TABLE FOR PART 1229

The requirements of section:	Are derived from section:
· ·	
Subpart A	
229.1	
229.2	-
229.4	
229.6	-
229.10	
Subpart B [Reserved]	
Subpart C	
229.100	. 229.1
229.101	. 229.1
229.102	-
229.103	
229.104	
229.105	. 229.1
229.106	.   ==•
229.107	I
229.108	. 229.1
229.109	I
229.110 229.111	
229.1120	. 229.1
229.121	
229.122	
229.123	
229.124	. 229.1
200.105	
229.125	.   229.1
229.125	. 229.12
229.126	. 229.12
229.126 229.127	. 229.1
DERIVATION TABLE FOR PART 1241	. 229.1:
DERIVATION TABLE FOR PART 1241  he requirements of section:	. 229.1
DERIVATION TABLE FOR PART 1241  ne requirements of section:  Subpart A [Reserved]  Subpart B	. 229.1
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50	. 229.1  Are derived from section:  241.
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52	. 229.1  Are derived from section:  . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53	. 229.1  Are derived from section:  . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54	. 229.1  Are derived from section:  241 241 241 241.
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50 241.51 241.52 241.53 241.54 241.55 241.55	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.56  241.60	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  Derivation Table For Part 1241  Derivation Table For Part 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.60  241.60	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  DERIVATION TABLE FOR PART 1241  DERIVATION TABLE FOR PART 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  441.52  441.53  241.54  241.55  241.55  241.56  241.60  241.61	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  DERIVATION TABLE FOR PART 1241  DERIVATION TABLE FOR PART 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.56  241.60  241.61	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 221 241 241 241 241 241 241 241 241 241.
DERIVATION TABLE FOR PART 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.56  241.60  241.61  241.62  241.63	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
229.126  229.127  DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.60  241.61  241.60  241.61  241.62  241.63  241.64  241.70	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  Reserved]  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.56  241.60  241.61  241.61  241.62  241.63  241.64  241.70  241.71	Are derived from section:  229.1 229.1  Are derived from section:  241 241 241 241 241 241 241 241 241 24
DERIVATION TABLE FOR PART 1241  Subpart A [Reserved]  Subpart B  241.50  241.51  241.52  241.53  241.54  241.55  241.60  241.61  241.62  241.61  241.62  241.63  241.70  241.71	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50 241.51 241.52 241.53 241.54 241.55 241.56 241.60 241.61 241.62 241.62 241.63 241.64 241.70 241.70 241.71 241.72 241.73	Are derived from section:  229.1 229
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50 241.51 241.52 241.53 241.54 241.55 241.56 241.60 241.61 241.60 241.61 241.62 241.63 241.64 241.70 241.71 241.72 241.73 241.74	Are derived from section:  229.1 229
DERIVATION TABLE FOR PART 1241  The requirements of section:  Subpart A [Reserved]  Subpart B  241.50 241.51 241.52 241.53 241.54 241.55 241.60 241.61 241.60 241.61 241.62 241.61 241.62 241.63 241.64 241.70 241.71 241.72 241.73 241.74 241.75	. 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 229.1 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241 . 241
DERIVATION TABLE FOR PART 1241  he requirements of section:  Subpart A [Reserved]	Are derived from section:  229.1 229

DERIVATION TABLE FOR PART 1241—Continued	
The requirements of section:	Are derived from section:
Subpart C [Reserved]	
Subpart D [Reserved]	
Subpart E [Reserved]	
Subpart F [Reserved]	
Subpart G [Reserved]	
Subpart H [Reserved]	
Subpart I [Reserved]	
DERIVATION TABLE FOR PART 1243	
	And desired forms and the
The requirements of section:	Are derived from section:
Subpart A	T
1243.1	243.1
1243.2	243.2
1243.3	243.3
1243.4	243.4
1243.5	243.5
1243.6	243.6
1243.7	243.7
1243.8	243.8
1243.9	243.9
1243.10	243.10
1243.11	243.11
1243.12	243.12
Subpart B	
1243.100	243.100
1243.101	243.101
Subpart C	
1243.200	243.200
1243.201	243.201
1243.202	243.202
DERIVATION TABLE FOR PART 1290	
The requirements of section:	Are derived from section:
Subpart A [Offshore Energy and Minerals Management]	
Subpart B	
1290.100	290.100
1290.101	290.101
1290.102	290.102
1290.103	290.103
1290.104	290.104
1290.105	290.105
1290.106	290.106
1290.107	290.107
1290.108	290.108
1290.109	290.100
	I and the second
1290.110	290.110

#### **Procedural Matters**

1. Regulatory Planning and Review (E.O. 12866).

This document is not a significant rule, and the Office of Management and Budget (OMB) will not review this rule under Executive Order 12866.

- a. This direct final rule does not have an effect of \$100 million or more on the economy. It does not adversely affect in a material way the economy, productivity, competition, jobs, the environment, public health or safety, or state, local, or tribal governments or communities.
- b. This direct final rule does not create a serious inconsistency or otherwise interfere with an action taken or planned by another agency.
- c. This direct final rule does not alter the budgetary effects of entitlements, grants, user fees, or loan programs or the rights or obligations of their recipients.
- d. This direct final rule does not raise novel legal or policy issues.

# 2. Regulatory Flexibility Act

The Department of the Interior certifies that this direct final rule not have a significant economic effect on a substantial number of small entities under the Regulatory Flexibility Act (5 U.S.C. 601 et seq.). This direct final rule will impact large and small entities but will not have a significant economic effect on either because this is a technical rule to reorganize certain title 30 CFR regulations.

# 3. Small Business Regulatory Enforcement Fairness Act (SBREFA)

This direct final rule is not a major rule under 5 U.S.C. 804(2), the Small Business Regulatory Enforcement Fairness Act. This direct final rule:

- a. Will not have an annual effect on the economy of \$100 million or more.
- b. Will not cause a major increase in costs or prices for consumers, individual industries, Federal, state, or local government agencies, or geographic regions.
- c. Will not have significant adverse effects on competition, employment, investment, productivity, innovation, or the ability of U.S.-based enterprises to compete with foreign-based enterprises.

# 4. Unfunded Mandates Reform Act

This direct final rule does not impose an unfunded mandate on state, local, or tribal governments, or the private sector of more than \$100 million per year. This direct final rule does not have a significant or unique effect on state, local, or tribal governments, or the private sector. A statement containing the information required by the

Unfunded Mandates Reform Act (2 U.S.C. 1531 *et seq.*) is not required.

#### 5. Takings (E.O. 12630)

Under the criteria in Executive Order 12630, this direct final rule does not have any significant takings implications. This direct final rule applies to Outer Continental Shelf (OCS) and Federal and Indian onshore leases. It does not apply to private property. A takings implication assessment is not required.

#### 6. Federalism (E.O. 13132)

Under the criteria in Executive Order 13132, this direct final rule does not have sufficient federalism implications that warrant the preparation of a Federalism Assessment. This is a technical rule to reorganize the title 30 CFR regulations. A Federalism Assessment is not required.

# 7. Civil Justice Reform (E.O. 12988)

This direct final rule complies with the requirements of Executive Order 12988. Specifically, this rule:

- a. Meets the criteria of section 3(a) requiring that all regulations be reviewed to eliminate errors and ambiguity and be written to minimize litigation; and
- b. Meets the criteria of section 3(b)(2) requiring that all regulations be written in clear language and contain clear legal standards.

# 8. Consultation With Indian Tribes (E.O. 13175)

Under the criteria in Executive Order 13175, we have evaluated this direct final rule and determined that it has no effects on federally recognized Indian tribes.

#### 9. Paperwork Reduction Act

This direct final rule does not contain information collection requirements, and a submission to OMB is not required under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

#### 10. National Environmental Policy Act

This direct final rule does not constitute a major Federal action significantly affecting the quality of the human environment. A detailed statement under the National Environmental Policy Act of 1969 is not required.

# 11. Data Quality Act

In developing this direct final rule, we did not conduct or use a study, experiment, or survey requiring peer review under the Data Quality Act (Pub. L. 106–554).

12. Effects on the Energy Supply (E.O. 13211)

This direct final rule is not a significant energy action under the definition in Executive Order 13211. A Statement of Energy Effects will not be required.

#### 13. Clarity of This Regulation

We are required by Executive Orders 12866 and 12988 and by the Presidential Memorandum of June 1, 1998, to write all rules in plain language. This means that each rule we publish must: (a) Be logically organized; (b) Use the active voice to address readers directly; (c) Use clear language rather than jargon; (d) Be divided into short sections and sentences; and (e) Use lists and tables wherever possible.

If you feel that we have not met these requirements, send us comments by one of the methods listed in the ADDRESSES section. To better help us revise the rule, your comments should be as specific as possible. For example, you should tell us the numbers of the sections or paragraphs that are unclearly written, which sections or sentences are too long, the sections where you feel lists or tables would be useful, etc.

#### 14. Public Availability of Comments

Before including your address, phone number, e-mail address, or other personal identifying information in your comment, you should be aware that your entire comment—including your personal identifying information—may be made publicly available at any time. While you can ask us in your comment to withhold your personal identifying information from public view, we cannot guarantee that we will be able to do so.

#### List of Subjects

# 30 CFR Part 201

Government contracts, Mineral royalties, Oil and gas exploration, Public Lands—mineral resources.

### 30 CFR Part 202

Coal, Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements, Sulfur.

# 30 CFR Part 203

Continental shelf, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Sulfur.

#### 30 CFR Part 204

Accounting and auditing relief, Barrels of oil equivalent (BOE), Continental shelf, Federal lease, Marginal property, Mineral royalties, Royalty prepayment, Royalty relief.

#### 30 CFR Part 206

Coal, Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 207

Continental shelf, Government contracts, Indians—lands, Mineral royalties, Public lands—mineral resources, Reporting and recordkeeping requirements.

### 30 CFR Part 208

Continental shelf, Government contracts, Mineral royalties, Petroleum, Public lands—mineral resources, Reporting and recordkeeping requirements, Small businesses, Surety bonds.

### 30 CFR Part 210

Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements, Sulfur.

#### 30 CFR Part 212

Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

# 30 CFR Part 217

Coal, Government contracts, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 218

Continental shelf, Electronic funds transfer, Geothermal energy, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

# 30 CFR Part 219

Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources.

### 30 CFR Part 220

Accounting, Continental shelf, Government contracts, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 227

Administrative practice and procedure, Mineral royalties, Reporting and recordkeeping requirements.

#### 30 CFR Part 228

Accounting, Administrative practice and procedure, Indians—lands, Intergovernmental relations, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

# 30 CFR Part 229

Accounting, Administrative practice and procedure, Authority delegations (Government agencies), Indians—lands, Intergovernmental relations, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 241

Administrative practice and procedure, Continental shelf, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Penalties, Public lands—mineral resources, Sulfur.

### 30 CFR Part 243

Administrative practice and procedure, Government contracts, Mineral royalties, Public lands—mineral resources.

# 30 CFR Part 290

Administrative practice and procedure.

#### 30 CFR Part 1201

Government contracts, Mineral royalties, Oil and gas exploration, Public Lands—mineral resources.

#### 30 CFR Part 1202

Coal, Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements, Sulfur.

#### 30 CFR Part 1203

Continental shelf, Government contracts, Indians—lands, Mineral royalties, Public lands—mineral resources.

# 30 CFR Part 1204

Accounting and auditing relief, Barrels of oil equivalent (BOE), Continental shelf, Federal lease, Marginal property, Mineral royalties, Royalty prepayment, Royalty relief.

#### 30 CFR Part 1206

Coal, Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 1207

Continental shelf, Government contracts, Indians—lands, Mineral royalties, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 1208

Continental shelf, Government contracts, Mineral royalties, Petroleum, Public lands—mineral resources, Reporting and recordkeeping requirements, Small businesses, Surety bonds.

#### 30 CFR Part 1210

Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements, Sulfur.

#### 30 CFR Part 1212

Continental shelf, Geothermal energy, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

# 30 CFR Part 1217

Coal, Government contracts, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

# 30 CFR Part 1218

Continental shelf, Electronic funds transfers, Geothermal energy, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 1219

Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Public lands—mineral resources.

# 30 CFR Part 1220

Accounting, Continental shelf, Government contracts, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 1227

Administrative practice and procedure, Mineral royalties, Reporting and recordkeeping requirements.

#### 30 CFR Part 1228

Accounting, Administrative practice and procedure, Indians—lands, Intergovernmental relations, Mineral royalties, Oil and gas exploration, Public lands—mineral resources, Reporting and recordkeeping requirements.

#### 30 CFR Part 1229

Accounting, Administrative practice and procedure, Authority delegations (Government agencies), Indians—lands, Intergovernmental relations, Mineral royalties, Oil and gas exploration, Public lands—mineral resources. Reporting and recordkeeping requirements.

### 30 CFR Part 1241

Administrative practice and procedure, Continental shelf, Government contracts, Indians—lands, Mineral royalties, Oil and gas exploration, Penalties, Public landsmineral resources. Sulfur.

#### 30 CFR Part 1243

Administrative practice and procedure, Government contracts, Mineral royalties, Public lands—mineral resources.

#### 30 CFR Part 1290

Amend

Administrative practice and procedure.

Dated: September 28, 2010

#### David J. Haves,

Deputy Secretary, Department of the Interior.

#### **Authority and Issuance**

■ For the reasons discussed in the preamble, under the authority provided by the Reorganization Plan No. 3 of 1950 (64 Stat. 1262), the Department of the Interior amends title 30 CFR Chapter II and establishes a new 30 CFR Chapter XII as follows:

#### TITLE 30—MINERAL RESOURCES

#### CHAPTER II—BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION, AND **ENFORCEMENT, DEPARTMENT OF THE INTERIOR**

■ 1. Revise the heading of 30 CFR chapter II to read as set forth above.

#### **CHAPTER XII—OFFICE OF NATURAL** RESOURCES REVENUE, DEPARTMENT OF THE INTERIOR

# Subchapter A-Natural Resources Revenue Subchapter B—Appeals

■ 2. Amend title 30 of the CFR by establishing chapter XII and its subchapters to read as set forth above.

#### Chapter II, Subchapter A

■ 2A. Remove reserved part 200 from subchapter A.

# PART 201—[REDESIGNATED AS PART 1201]

■ 3. Transfer 30 CFR part 201 from chapter II, subchapter A, to chapter XII,

# **AMENDMENT TABLE FOR PART 1201**

By removing the reference to:	And adding in its place:
 Associate Director for Minerals Revenue Management. Associate Director	Director for Office of Natural Resources Revenue.  Director.

# Chapter II, Subchapter A

### PART 202—[REDESIGNATED AS PART 1202]

§ 1201.100 (section heading) ..... § 1201.100 (introductory text) .....

■ 6. Transfer 30 CFR part 202 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1202.

# Chapter XII, Subchapter A

# **PART 1202—ROYALTIES**

■ 7. The authority citation for the newly redesignated 30 CFR part 1202 continues to read as follows:

396 et seq., 396a et seq., 2101 et seq.; 30 U.S.C. 181 et seq., 351 et seq., 1001 et seq.; 1701 et seq.; 31 U.S.C. 9701; 43 U.S.C. 1301 et seq.; 1331 et seq., 1801 et seq.

Authority: 5 U.S.C. 301 et seq.; 25 U.S.C.

■ 8. Amend the newly redesignated part 1202 as follows:

#### **AMENDMENT TABLE FOR PART 1202**

Amend	By removing the reference to:	And adding in its place:
§ 1202.51(b) § 1202.100(a)		part 1206. the Office of Natural Resources Revenue (ONRR).
§ 1202.100(a) § 1202.100(b)(1)		part 1206. Bureau of Ocean Energy Management, Regulation, and Enforcement (BOEMRE).

subchapter A, and redesignate as 30 CFR part 1201.

# Chapter XII, Subchapter A

#### PART 1201—GENERAL

■ 4. The authority citation for the newly redesignated 30 CFR part 1201 continues to read as follows:

Authority: The Act of February 25, 1920 (30 U.S.C. 181, et seq.), as amended; the Act of May 21, 1930 (30 U.S.C. 301-306); the Mineral Leasing Act for Acquired Lands (30 U.S.C. 351-359), as amended; the Act of March 3, 1909 (25 U.S.C. 396), as amended; the National Environmental Policy Act of 1969 (42 U.S.C. 4321, et seq.) as amended; the Act of May 11, 1938 (25 U.S.C. 396a-396q), as amended; the Act of February 28, 1891 (25 U.S.C. 397), as amended; the Act of May 29, 1924 (25 U.S.C. 398); the Act of March 3, 1927 (25 U.S.C. 398a-398e); the Act of June 30, 1919 (25 U.S.C. 399), as amended; R.S. § 441 (43 U.S.C. 1457), see also Attorney General's Opinion of April 2, 1941 (40 Op. Atty. Gen. 41); the Federal Property and Administrative Services Act of 1949 (40 U.S.C. 471, et seq.), as amended; the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.), as amended; the Act of December 12, 1980 (Pub. L. 96-514, 94 Stat. 2964); the Combined Hydrocarbon Leasing Act of 1981 (Pub. L. 97-78, 95 Stat. 1070); the Outer Continental Shelf Lands Act (43 U.S.C. 1331, et seq.), as amended; section 2 of Reorganization Plan No. 3 of 1950 (64 Stat. 1262); Secretarial Order No. 3071 of January 19, 1982, as amended; and Secretarial Order 3087, as amended.

■ 5. Amend the newly redesignated part

1201 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1202.100(b)(2)	MMS	BOEMRE.
§ 1202.100(c)	MMS	BOEMRE.
§ 1202.100(c)	part 206	part 1206.
§ 1202.100(e)(1) (two times)	part 206	part 1206.
§ 1202.100(e)(2)	MMS	ONRR.
§ 1202.100(f)	MMS	ONRR.
§ 1202.150(a)	MMS	ONRR.
§ 1202.150(a)	part 206	part 1206.
§ 1202.150(b)(1)	MMS	BOEMRE.
§ 1202.150(b)(1)	MMS	BOEMRE.
§ 1202.150(b)(z)	MMS	BOEMRE.
§ 1202.150(c)	part 206	part 1206.
§ 1202.150(c)	part 206	part 1206.
	MMS	ONRR.
§ 1202.150(e)(2)	MMS	ONRR.
§ 1202.150(f)	_	
§ 1202.151(a)(2)	MMS	ONRR.
§ 1202.151(a)(2) (two times)	part 206	part 1206.
§ 1202.151(b)	part 206	part 1206.
§ 1202.151(c)	MMS	BOEMRE.
§ 1202.350(b)	30 CFR 206.351	§ 1206.351.
§ 1202.351(a)(1)	part 206	part 1206.
§ 1202.351(a)(2)	part 206	part 1206.
§ 1202.351(a)(3)	§ 218.306	§ 1218.306.
§ 1202.351(b)(2) introductory text	30 CFR 206.351	§ 1206.351.
§ 1202.351(b)(2)(i) introductory text	MMS	ONRR.
§ 1202.351(b)(2)(ii) introductory text	MMS	ONRR.
§ 1202.351(b)(2)(iii)	30 CFR 206.351	§ 1206.351.
§ 1202.351(b)(3)	MMS	ONRR.
§ 1202.351(c)	part 206	part 1206.
§ 1202.353(a)(1)	§ 206.352(a)	§ 1206.352(a).
§ 1202.353(a)(2)	§ 206.352(b)	§ 1206.352(b).
§ 1202.353(b)(4)	MMS	ONRR.
§ 1202.353(c)	MMS-established	ONRR-established.
§ 1202.353(e)	MMS	ONRR.
§ 1202.550(b)(2)	MMS	ONRR.
§ 1202.550(c)(1)	part 206	part 1206.
§ 1202.550(e)	part 218	part 1218.
§ 1202.551(a)	§§ 202.555, 202.556, and 202.557	§§ 1202.555, 1202.556, and 1202.557.
§ 1202.551(c) (two times)	MMS	ONRR.
§ 1202.551(c)	part 206	part 1206.
§ 1202.552(b)	part 206	part 1206.
§ 1202.552(b)	§ 202.553	§ 1202.553.
§ 1202.552(b)	§ 202.554	§ 1202.554.
§ 1202.553	part 206	part 1206.
§ 1202.554(a)	part 206	part 1206.
§ 1202.554(c) (two times)	§ 206.172(b)	§ 1206.172(b).
§ 1202.554(d) introductory text	§ 206.172(b)	§ 1206.172(b).
0.4000 == 4(.1)(4)	part 206	part 1206.
§ 1202.554(d)(1)	part 206	part 1206.
§ 1202.554(d)(2) § 1202.554(d)(3)	part 206	part 1206.   part 1206.
	•	•
§ 1202.554(d)(4)	part 206	part 1206.
§ 1202.554(d)(5)	MMS	ONRR.
§ 1202.554(d)(5)	30 CFR 206.174	§ 1206.174.
§ 1202.554(d)(5)	MMS-designated	ONRR-designated.
§ 1202.555(a)(4)	30 CFR 206.179(e)	§ 1206.179(e).
§ 1202.556	part 206	part 1206.

#### Chapter II, Subchapter A

### PART 203—[AMENDED]

■ 9. Transfer 30 CFR part 203, subpart F (§§ 203.250–203.251), from chapter II, subchapter A, to 30 CFR, chapter XII, subchapter A, and redesignate as "Part 1203—Relief or Reduction in Royalty Rates—Coal" (§§ 1203.250 and 1203.251)

# Subpart F—[Reserved]

■ 10. Add and reserve a new subpart F to part 203 to read as set forth above.

# Chapter XII, Subchapter A

# PART 1203—RELIEF OR REDUCTION IN ROYALTY RATES—COAL

■ 11. Add an authority citation for the newly redesignated 30 CFR part 1203 to read as follows:

**Authority:** 25 U.S.C. 396 et seq.; 25 U.S.C. 396a et seq.; 25 U.S.C. 2101 et seq.; 30 U.S.C. 181 et seq.; 30 U.S.C. 351 et seq.; 30 U.S.C. 1701 et seq.; 31 U.S.C. 9701; 43 U.S.C. 1301 et seq.; and 43 U.S.C. 1331 et seq.

#### Chapter II, Subchapter A

# PART 204—[REDESIGNATED AS PART 1204]

■ 12. Transfer 30 CFR part 204 from chapter II, subchapter A, to chapter XII,

subchapter A, and redesignate as 30 CFR part 1204.

Chapter XII, Subchapter A

# PART 1204—ALTERNATIVES FOR MARGINAL PROPERTIES

■ 13. The authority citation for the newly redesignated 30 CFR part 1204 continues to read as follows:

Authority: 30 U.S.C. 1701 et seq.

■ 14. Amend the newly redesignated part 1204 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1204.2, definition of <i>Designee</i>	30 CFR 218.52	§ 1218.52.
§ 1204.2, definition of Producing wells	§ 204.4(c)	§ 1204.4(c).
§ 1204.2, definition of Property	§ 204.4	§ 1204.4.
§ 1204.3 introductory text	MMS	ONRR.
§ 1204.3(a)	MMS	ONRR.
§ 1204.3(b)	MMS	ONRR.
§ 1204.5(a) introductory text (two times)	MMS	ONRR.
§ 1204.5(a)(2)	MMS	ONRR.
		-
§ 1204.5(b) introductory text (two times)	MMS	ONRR.
§ 1204.5(b)(1) introductory text	MMS	ONRR.
§ 1204.5(b)(1)(i)	§ 204.202	§ 1204.202.
§ 1204.5(b)(1)(ii)	§ 204.203	§ 1204.203.
§ 1204.5(b)(1)(ii)	MMS	ONRR.
§ 1204.5(b)(2)	MMS's	ONRR's.
§ 1204.6 (section heading and introductory	MMS	ONRR.
text)		
	§ 204.203	§ 1204.203.
§ 1204.6	•	<del>-</del>
§ 1204.6	part 290	part 1290.
§ 1204.200	§ 204.202	§ 1204.202.
§ 1204.200	§ 204.203	§ 1204.203.
§ 1204.201(a)(1)	§ 204.4	§ 1204.4.
§ 1204.201(b)(2)	§ 204.208	§ 1204.208.
§ 1204.202(b)(1)	§ 204.205(a)	§ 1204.205(a)
§ 1204.202(b)(1)	MMS	ONRR.
§ 1204.202(b)(2)	§ 218.51(g)	§ 1218.51(g).
	1 E 197	
§ 1204.202(c)	§ 218.54	§ 1218.54.
§ 1204.202(c)	MMS	ONRR.
§ 1204.202(d)(1)	30 CFR 218.54	§ 1218.54.
§ 1204.202(d)(1) (two times)	MMS	ONRR.
§ 1204.202(e)(2)	30 CFR 218.54	§ 1218.54.
§ 1204.203(a) introductory text	§ 204.204	§ 1204.204.
§ 1204.203(a) introductory text	§ 204.5	§ 1204.5.
§ 1204.203(a)(1) (two times)	part 206	part 1206.
§ 1204.203(a)(2) (two times)	MMS	ONRR.
	MMS	ONRR.
§ 1204.203(b)	l	_ =
§ 1204.203(b)	§ 204.205(b)	§ 1204.205(b).
§ 1204.203(b)	§ 204.206	§ 1204.206.
§ 1204.204 (section heading and introductory	MMS	ONRR.
text).		
§ 1204.204(a)	MMS	ONRR.
§ 1204.204(e)	MMS's	ONRR's.
§ 1204.204(j)	§ 204.208	§ 1204.208.
§ 1204.205(a) introductory text	§ 204.202	§ 1204.202.
	MMS	ONRR.
§ 1204.205(a) introductory text		
§ 1204.205(a)(1)(i)	MMS-assigned	ONRR-assigned.
§ 1204.205(a)(1)(ii)	MMS	ONRR.
§ 1204.205(b) introductory text	§ 204.203	§ 1204.203.
§ 1204.205(b) introductory text	MMS	ONRR.
§ 1204.205(b)(1)(i)	MMS-assigned	ONRR-assigned.
§ 1204.205(b)(1)(ii)	MMS	ONRR.
§ 1204.206 introductory text	§ 204.205(b)	§ 1204.205(b).
§ 1204.206 (section heading and introductory	MMS	ONRR.
text).	_	
§ 1204.206 introductory text	§ 204.205(b)	§ 1204.205(b).
§ 1204.206(a) introductory text	MMS	ÖNRR.
§ 1204.206(a) introductory text	§ 204.207(b)	§ 1204.207(b).
§ 1204.206(a)(1) (two times)	MMS	ONRR.
	l =	
§ 1204.206(a)(2) (two times)	MMS	ONRR.
§ 1204.206(a)(2)	§ 204.6	§ 1204.6.
§ 1204.206(a)(3) introductory text (two times)	MMS	ONRR.
§ 1204.206(a)(3)(i)	MMS's	ONRR's.
§ 1204.206(a)(3)(ii) (two times)	MMS	ONRR.

\$ 1204.206(a)(3)(ii)	Amend	By removing the reference to:	And adding in its place:
\$ 1204.206(b)(1)	§ 1204.206(a)(3)(ii)	§ 204.6	§ 1204.6.
\$ 1204.206(b)(1)		•	•
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\$ 1204.207(a)		•	
\$ 1204.208(a)			-
\$ 1204.208(b)			-
\$ 1204.208(c)(1)	• ,		1 - 1 - 1
S   1204.208(c)(2)	¥ 1		
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\$ 1204.209(a)	• ( )		-
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\$ 1204.209(b)	• ,		
\$ 1204.209(c)	• ,	•	=
\$ 1204.210 introductory text	• ,		
\$ 1204.210(a)	• ,	1 9	
\$ 1204.210(a)	§ 1204.210 introductory text		BOEMRE.
\$ 1204.210(a)	§ 1204.210(a)	MMS	ONRR.
§ 1204.210(b)       OMM       BOEMRE.         § 1204.210(c) (two times)       OMM       BOEMRE.         § 1204.210(c)       § 204.202(b)       § 1204.202(b).         § 1204.210(d)       MMS       ONRR.         § 1204.210(d)       § 204.202(b)       § 1204.202(b).         § 1204.210(d)       30 CFR 218.54       § 1218.54.         § 1204.210(d)       § 204.202(b)(2)       § 1204.202(b)(2)         § 1204.211 (section heading)       MMS       ONRR.         § 1204.211(a) introductory text (two times)       MMS       ONRR.         § 1204.211(a)(1)       § 204.205       § 1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       § 204.205       § 1204.205         § 1204.211(a)(2)       § 204.205       § 1204.205         § 1204.211(a)(3)       § 204.209(b)       § 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.	• ,	§ 204.211	§ 1204.211.
\$ 1204.210(c) (two times)       OMM       BOEMRE.         \$ 1204.210(c)       \$ 204.202(b)       \$ 1204.202(b).         \$ 1204.210(d)       MMS       ONRR.         \$ 1204.210(d)       \$ 204.202(b)       \$ 1204.202(b).         \$ 1204.210(d)       30 CFR 218.54       \$ 1218.54.         \$ 1204.210(d)       \$ 204.202(b)(2)       \$ 1204.202(b)(2)         \$ 1204.211 (section heading)       MMS       ONRR.         \$ 1204.211(a) introductory text (two times)       MMS       ONRR.         \$ 1204.211(a)(1)       \$ 204.205       \$ 1204.205         \$ 1204.211(a)(2)       MMS       ONRR.         \$ 1204.211(a)(2)       \$ 204.205       \$ 1204.205         \$ 1204.211(a)(3)       \$ 204.205       \$ 1204.205         \$ 1204.201(a)(a)       \$ 204.205       \$ 1204.205         \$ 1204.211(a)(b) (two times)       MMS       ONRR.	E 1. (	•	•
\$ 1204.210(c)	• ,	OMM	
\$ 1204.210(d)			_
§ 1204.210(d)       \$ 204.202(b)       \$ 1204.202(b)         § 1204.210(d)       30 CFR 218.54       \$ 1218.54         § 1204.210(d)       \$ 204.202(b)(2)       \$ 1204.202(b)(2)         § 1204.211 (section heading)       MMS       ONRR.         § 1204.211(a) introductory text (two times)       MMS       ONRR.         § 1204.211(a)(1)       \$ 204.205       \$ 1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       \$ 204.205       \$ 1204.205         § 1204.211(a)(2)       \$ 204.205       \$ 1204.205         § 1204.211(a)(3)       \$ 204.209(b)       \$ 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.	¥ 1.4	1 9	
\$ 1204.210(d)			-
§ 1204.210(d)       \$204.202(b)(2)       \$1204.202(b)(2)         § 1204.211 (section heading)       MMS       ONRR.         § 1204.211(a) introductory text (two times)       MMS       ONRR.         § 1204.211(a)(1)       \$204.205       \$1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       \$204.205       \$1204.205         § 1204.211(a)(2)       \$204.205       \$1204.205         § 1204.211(a)(3)       \$204.209(b)       \$1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.		1 9	
§ 1204.211 (section heading)       MMS       ONRR.         § 1204.211(a) introductory text (two times)       MMS       ONRR.         § 1204.211(a)(1)       § 204.205       § 1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       § 204.205       § 1204.205         § 1204.211(a)(3)       § 204.209(b)       § 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.			
§ 1204.211(a) introductory text (two times)       MMS       ONRR.         § 1204.211(a)(1)       § 204.205       § 1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       § 204.205       § 1204.205         § 1204.211(a)(3)       § 204.209(b)       § 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.		1 7 7	
§ 1204.211(a)(1)       \$ 204.205       \$ 1204.205         § 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       \$ 204.205       \$ 1204.205         § 1204.211(a)(3)       \$ 204.209(b)       \$ 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.	.`		-
§ 1204.211(a)(2)       MMS       ONRR.         § 1204.211(a)(2)       § 204.205       § 1204.205         § 1204.211(a)(3)       § 204.209(b)       § 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.			
§ 1204.211(a)(2)       \$ 204.205       \$ 1204.205         § 1204.211(a)(3)       \$ 204.209(b)       \$ 1204.209(b)         § 1204.211(b) (two times)       MMS       ONRR.			
§ 1204.211(a)(3)	1 (1)		-
§ 1204.211(b) (two times)	§ 1204.211(a)(2)		
	§ 1204.211(a)(3)	1 0 1 7	
§ 1204.212(a)	§ 1204.211(b) (two times)	MMS	
	§ 1204.212(a)	30 CFR 218.54	§ 1218.54
§ 1204.212(a)   MMS   ÖNRR.	§ 1204.212(a)		ONRR.
§ 1204.215 part 1210 part 1210	· ,		part 1210

# Chapter II, Subchapter A

# PART 206—[REDESIGNATED AS PART 1206]

■ 15. Transfer 30 CFR part 206 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1206.

#### Chapter XII, Subchapter A

# **PART 1206—PRODUCT VALUATION**

■ 16. The authority citation for the newly redesignated 30 CFR part 1206 continues to read as follows:

**Authority:** 5 U.S.C. 301 *et seq.*; 25 U.S.C. 396 *et seq.*, 396a *et seq.*, 2101 *et seq.*; 30 U.S.C. 181 *et seq.*, 351 *et seq.*, 1001 *et seq.*, 1701 *et seq.*; 31 U.S.C. 9701; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, and 1801 *et seq.* 

■ 17. Amend the newly redesignated part 1206 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1206.10	MMS	§ 1210.10. ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1206.52(a) introductory text	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.52(c), introductory text	MMS	ONRR.
§ 1206.52(c)(2) introductory text	MMS	ONRR.
§ 1206.52(c)(2)	MMS	ONRR.
§ 1206.52(c)(2)(ii)	MMS	ONRR.
§ 1206.52(e)(1)	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.52(e)(2)	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.52(e)(3) two times	MMS	ONRR.
§ 1206.52(e)(4) introductory text § 1206.52(e)(4) introductory text	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.53(e)(5) two times	MMS	ONRR.
§ 1206.52g	§ 206.54	§ 1206.54.
§ 1206.53(a)(2)	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.53(a)(3)	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.52(c) introductory text	MMS	ONRR.
§ 1206.52(c) introductory text	§§ 206.56 and 206.57	§§ 1206.56 and 1206.57.
§ 1206.53(d)	MMS	ONRR.
§ 1206.53(e)	§ 206.54	§ 1206.54.
§ 1206.54(a)	MMS	ONRR.
§ 1206.55	§ 206.52	§ 1206.52.
§ 1206.56(a) § 1206.56(a)	§ 206.52 or § 206.53	§ 1206.52 or § 1206.53.   ONRR.
§ 1206.56(a)	MMS   § 206.52	§ 1206.52.
§ 1206.56(b)(1)	MMS	9 1200.32.   ONRR.
§ 1206.56(c)	§ 206.57	§ 1206.57
§ 1206.56(d)	MMS	ONRR.
§ 1206.56(d)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.57(a)(1)(i) (two times)	MMS	ÖNRR.
§ 1206.57(a)(1)(ii) (two times)	MMS	ONRR.
§ 1206.57(a)(1)(iii) (four times)	MMS	ONRR.
§ 1206.57(a)(2)(i)	MMS	ONRR.
§ 1206.57(a)(2)(ii) (two times)	MMS	ONRR.
§ 1206.57(a)(3) (five times)	MMS	ONRR.
§ 1206.57(a)(5) (two times) § 1206.57(b)(1) (four times)	MMS	ONRR.
§ 1206.57(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.57(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.57(b)(2)(iv)(B)	MMS	ONRR.
§ 1206.57(b)(3)(i)	MMS	ONRR.
§ 1206.57(b)(3)(ii) (two times)	MMS	ONRR.
§ 1206.57(b)(4) (five times)	MMS	ONRR.
§ 1206.57(b)(5) introductory text (four times)	MMS	ONRR.
§ 1206.57(b)(5)(i)	MMS	ONRR.
§ 1206.57(c)(1)(iii) § 1206.57(c)(1)(iv) (two times)	MMS	ONRR.
§ 1206.57(c)(1)(v) (two times)	MMS	ONRR.
§ 1206.57(c)(1)(vi)	MMS	ONRR.
§ 1206.57(c)(2)(iii) (two times)	MMS	ONRR.
§ 1206.57(c)(2)(v)	MMS	ONRR.
§ 1206.57(c)(2)(vi) (two times)	MMS	ONRR.
§ 1206.57(c)(2)(vii)	MMS	ONRR.
§ 1206.57(c)(3)	MMS	ONRR.
§ 1206.57(c)(4)	MMS	ONRR.
§ 1206.57(d)(3)	30 CFR 218.54	§ 1218.56 of this chapter.
§ 1206.57(e)(1) § 1206.57(e)(2)	30 CFR 218.54	§ 1218.54 of this chapter.   ONRR.
§ 1206.57(e)(2)	MMS	ONRR.
§ 1206.58(a) introductory text	MMS	ONRR.
§ 1206.58(a)(1)	MMS	ONRR.
§ 1206.58(a)(2)	§ 218.54	§ 1218.54.
§ 1206.58(b)	§ 218.53	§ 1218.53.
§ 1206.59 (section heading)	MMS	ONRR.
§ 1206.59 (three times)	MMS	ONRR.
§ 1206.60(b)	§§ 206.52, 206.53, or 206.54	§§ 1206.52, 1206.53, or 1206.54.
§ 1206.61(a)	MMS	ONRR.
§ 1206.61(b)	§§ 207.5, 212.50, and 212.51	§§ 1207.5, 1212.50, and 1212.51.
§ 1206.61(b) (two times) § 1206.62 (section heading)	MMS	ONRR.
§ 1206.62 (section fleading)	MMS	ONRR.
§ 1206.100(d)(3) (two times)	MMS	ONRR.
§ 1206.100(e)	MMS	ONRR.
§ 1206.101, definition of Affiliate, paragraph (1)	MMS	ONRR.
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Amend	By removing the reference to:	And adding in its place:
§ 1206.101, definition of <i>Affiliate</i> , paragraph (2)	MMS	ONRR.
§ 1206.101, definition of <i>Field</i>	MMS	ONRR.
	MMS	ONRR.
§ 1206.101, definition of <i>Gathering</i>		
§ 1206.101, definition of <i>Market center</i>	MMS	ONRR.
§ 1206.101, definition of <i>Market center</i>	MMS-approved	ONRR-approved. ONRR-approved.
cation. §1206.101, definition of MMS-approved publication.	MMS	ONRR.
§ 1206.102(a) introductory text	§§ 206.110 or 206.111	§§ 1206.110 or 1206.111.
§ 1206.102(c)(1) (two times)	MMS	ONRR.
§ 1206.102(c)(1)	§ 206.103	§ 1206.103.
§ 1206.102(c)(2) introductory text	§ 206.103	§ 1206.103.
§ 1206.102(c)(2) introductory text	MMS	ONRR.
§ 1206.102(c)(2)(ii)(A)	MMS	ONRR.
§ 1206.102(c)(2)(ii)(B)	MMS	ONRR.
§ 1206.102(d)(1) introductory text	§ 206.102(a) or § 206.103	§ 1206.102(a) or § 1206.103.
§ 1206.102(d)(1)(i)	§ 206.102(a)	§ 1206.102(a).
§ 1206.102(d)(1)(i) (two times)	MMS	ONRR.
§ 1206.102(d)(1)(i)	§ 206.103	§ 1206.103.
§ 1206.102(d)(1)(ii)	§ 206.102(d)(1) to use § 206.102(a) or § 206.103.	§ 1206.102(d)(1) to use § 1206.102(a) or § 1206.103.
§ 1206.102(d)(2)(i)	§ 206.102(a) or § 206.103	§ 1206.102(a) or § 1206.103.
§ 1206.102(d)(2)(ii)	§206.102(d)(2)(i) to use §206.102(a) or	§1206.102(d)(2)(i) to use §1206.102(a) or
§ 1206.102(e)(1)	§ 206.103.   MMS	§1206.103.   ONRR.
	§ 206.102	
§ 1206.103 introductory text	§ 206.102	§ 1206.102.
§ 1206.103 introductory text	9208.102(d)	§ 1206.102(d).
§ 1206.103 introductory text		ONRR.
§ 1206.103(a) introductory text (two times) § 1206.103(a)(3)	MMS-approved	ONRR-approved.
§ 1206.103(a)(3)	§ 206.112   MMS-approved	§ 1206.112.
	MMS	ONRR-approved. ONRR.
§ 1206.103(a)(4) § 1206.103(b) introductory text	§ 206.102	§ 1206.102.
§ 1206.103(b) introductory text	§ 206.102(d)	§ 1206.102. § 1206.102(d).
§ 1206.103(b)(1) introductory text	MMS-approved	ONRR-approved.
§ 1206.103(b)(1)(i) introductory text	MMS	ONRR.
§ 1206.103(b)(1)(ii)	MMS-approved	ONRR-approved.
§ 1206.103(b)(3)	§ 206.112	§ 1206.112.
§ 1206.103(b)(4)	MMS's	ONRR's.
§ 1206.103(b)(4)	MMS	ONRR.
§ 1206.103(c)(1)	§ 206.112	§ 1206.112.
§ 1206.103(c)(2) (three times)	MMS	ONRR.
§ 1206.103(d) (two times)	MMS	ONRR.
§ 1206.103(e)(1)	MMS	ONRR.
§ 1206.103(e)(2)(iv)	MMS	ONRR.
§ 1206.103(e)(3)	MMS	ONRR.
§ 1206.103(e)(3)	§ 206.112(b)	§ 1206.112(b).
§ 1206.104 (section heading)	MMS	ONRR.
§ 1206.104(a) introductory text	MMS	ONRR.
§ 1206.104(a)(4)	MMS	ONRR.
§ 1206.104(b)	MMS	ONRR.
§ 1206.104(c)	MMS	ONRR.
§ 1206.104(d)	MMS	ONRR.
§ 1206.105(b)	part 207	part 207.
§ 1206.105(c) (two times)	MMS	ONRR.
§ 1206.107(a) introductory text	MMS	ONRR.
§ 1206.107(a)(3)	MMS	ONRR.
§ 1206.107(b) (two times)	MMS	ONRR.
§ 1206.107(b)(1)	Land and Minerals Management	Policy, Management and Budget.
§ 1206.107(b)(2)	MMS	ONRR.
§ 1206.107(b)(3) (two times)	MMS	ONRR.
§ 1206.107(c)(1)	Land and Minerals Management	Policy, Management and Budget.
§ 1206.107(c)(1)	MMS	ONRR.
§ 1206.107(c)(2)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.107(d) introductory text (three times)	MMS.	ONDR insued
§ 1206.107(d) introductory text	MMS-issued	ONRR-issued.
§ 1206.107(d)(1)	MMS	ONRR.
§ 1206.107(d)(1)	part 290, subpart B	part 1290.
§ 1206.107(d)(2)	part 290, subpart B	part 1290.
§ 1206.107(e)	MMS	ONRR.
§ 1206.107(f)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1206.107(g) introductory text	MMS	ONRR.
§ 1206.107(h)	MMS	ONRR.
§ 1206.107(h)	§ 206.108	§ 1206.108.
§ 1206.108 (section heading)	MMS	ONRR.
§ 1206.108 (two times)	MMS	ONRR.
/	MMS	ONRR.
§ 1206.109(a) introductory text		
§ 1206.109(a) introductory text	§§ 206.110 or 206.111	§§ 1206.110 or 1206.111.
§ 1206.109(a)(1)	§ 206.102	§ 1206.102.
§ 1206.109(b)	§ 206.103	§ 1206.103
§ 1206.109(b)	MMS	ONRR.
§ 1206.109(b)	§ 206.112	§ 1206.112.
§ 1206.109(c)(1)	§ 206.102 or § 206.103	§ 1206.102 or § 1206.103.
§ 1206.109(c)(2) (two times)	MMS	ONRR.
§ 1206.109(d)	§§ 206.110 and 206.111	§§ 1206.110 and 1206.111.
§ 1206.109(e) (two times)	MMS	ONRR.
§ 1206.109(e)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.109(e)	§ 206.116	§ 1206.116
§ 1206.110(a) introductory text	§ 206.109(c)	§ 1206.109(c).
§ 1206.110(a) introductory text	MMS	ONRR.
§ 1206.110(a)(1) (two times)	MMS	ONRR.
§ 1206.110(a)(1)	§ 206.111	§ 1206.111
§ 1206.110(a)(2) introductory text	§206.111.	] -
§ 1206.110(a)(2) introductory text	MMS	ONRR.
§ 1206.110(a)(2)(ii)(A)	MMS	ONRR.
§ 1206.110(a)(2)(ii)(A)	MMS	ONRR.
§ 1206.110(a)(2)(ii)(b)	§ 206.102 or § 206.103	§ 1206.102 or § 1206.103.
	§ 206.102 of § 206.103	§ 1206.102 of § 1206.103. § 1206.111(i)(2).
§ 1206.110(b)(4)(ii) § 1206.110(d)(3) (two times)	MMS	§ 1206.111(1)(2).   ONRR.
I	MMS	ONRR.
§ 1206.110(e) introductory text	MMS	ONRR.
§ 1206.110(e)(1) (two times)	MMS	ONRR.
§ 1206.110(g)(2)	§ 206.102 or § 206.103	
§ 1206.111(b)(6)(ii)(A)		§ 1206.102 or § 1206.103.   § 1206.111(i)(2).
§ 1206.111(b)(6)(ii)(B)	§ 206.111(i)(2)   MMS	
§ 1206.111(g)		ONRR.
§ 1206.111(k)(2) (two times)	MMS	ONRR.
§ 1206.111(I)(1)		
§ 1206.111(I)(2) (two times)	MMS	ONRR.
§ 1206.112 introductory text	§ 206.103	§ 1206.103
§ 1206.112 introductory text	MMS-recognized	ONRR-recognized.
§ 1206.112(a)(1)(ii) (two times)	MMS	ONRR. ONRR's.
§ 1206.112(a)(1)(ii) (two times)	§ 206.110 or § 206.111	§ 1206.110 or § 1206.111.
§ 1206.112(a)(2) § 1206.112(a)(4) (two times)	MMS	9 1200.110 of 9 1200.111.   ONRR.
§ 1206.112(a)(4) (two times)	MMS's	ONRR's.
§ 1206.112(a)(4) (two times)	MMS-approved	ONRR-approved.
• ,,,,	MMS	ONRR.
§ 1206.112(b)(2)	MMS	ONRR.
§ 1206.112(b)(3) (two times)	MMS's	ONRR's.
§ 1206.112(b)(3) (two times)		
§ 1206.112(c)(1)	MMS	BOEMRE.   ONRR.
§ 1206.112(c)(2)	MMS	1 - 1 - 1 - 1
§ 1206.112(d)(3) (three times)	MMS	ONRR.
§ 1206.113 (section heading)	MMS	ONRR.
§ 1206.113 introductory text (three times)	MMS	ONRR.
§ 1206.113(a)	MMS-approved	ONRR-approved.
§ 1206.114 (two times)	MMS	ONRR.
§ 1206.114	part 207	part 1207.
§ 1206.115(a)	MMS	ONRR.
§ 1206.115(b)	§ 206.117	1206.117.
§ 1206.115(c)	MMS	ONRR.
§ 1206.115(c)	part 207	part 1207.
§ 1206.116(a)	MMS's	ONRR's.
§ 1206.116(a)	§ 206.109	§ 1206.109.
§ 1206.116(a) (two times)	MMS	ONRR.
§ 1206.116(b)	MMS	ONRR.
§ 1206.116(b)	part 241	part 1241.
§ 1206.117(a)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.119(a)	MMS	BOEMRE.
§ 1206.119(b)	MMS	BOEMRE.
§ 1206.119(c)	MMS	BOEMRE.
§ 1206.120 (two times)	MMS	BOEMRE.
§ 1206.150(b)(3) (two times)	MMS	ONRR.
§ 1206.150(c)	MMS	ONRR.
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Amend	By removing the reference to:	And adding in its place:
§ 1206.151, definition of <i>Affiliate</i> , paragraph (1)	MMS	ONRR.
§ 1206.151, definition of <i>Affiliate</i> , paragraph (2)	MMS	ONRR.
§ 1206.151, definition of <i>Field</i>	MMS	BOEMRE.
§ 1206.151, definition of Gathering	MMS	BOEMRE.
§ 1206.152(a)(1)	§ 206.155	§ 1206.155.
§ 1206.152(a)(1)	§ 206.153	§ 1206.153.
§ 1206.152(b)(1)(ii) (two times)	MMS	ÖNRR.
§ 1206.152(b)(1)(iii) (four times)	MMS	ONRR.
§ 1206.152(b)(1)(iv)	MMS	ONRR.
§ 1206.152(b)(2) (two times)	MMS	ONRR.
§ 1206.152(b)(3)	MMS	ONRR.
§ 1206.152(d)(1)	MMS	ONRR.
§ 1206.152(e)(1)	MMS	ONRR.
§ 1206.152(e)(2)	MMS	ONRR.
§ 1206.152(e)(3) (two times)	MMS	ONRR.
§ 1206.152(e)(3)	Associate Director	Associate Director
§ 1206.152(e)(3)	Minerals Revenue Management	Office of Natural Resources Revenue.
§ 1206.152(f) (three times)	MMS	ONRR.
§ 1206.152(f)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.152(g) (seven times)	MMS	ONRR.
§ 1206.152(k)	MMS	ONRR.
§ 1206.152(I)	MMS	ONRR.
§ 1206.153(a)(1)	§ 206.152	§ 1206.152.
§ 1206.153(a)(2)	§ 206.102	§ 1206.102.
§ 1206.153(b)(1)(ii) (two times)	MMS	ONRR.
§ 1206.153(b)(1)(iii) (four times)	MMS	ONRR.
§ 1206.153(b)(1)(iv)	MMS	ONRR.
§ 1206.153(b)(2) (two times)	MMS	ONRR.
§ 1206.153(b)(3)	MMS	ONRR.
§ 1206.153(d)(1) § 1206.153(e)(1)	MMS	ONRR.
§ 1206.153(e)(1)	MMS	ONRR.
§ 1206.153(e)(3) (two times)	MMS	ONRR.
§ 1206.153(e)(3)	Associate Director	Director.
§ 1206.153(e)(3)	Minerals Revenue Management	Office of Natural Resources Revenue.
§ 1206.153(f) (two times)	MMS	ONRR.
§ 1206.153(f)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.153(f)	MMS	ÖNRR.
§ 1206.153(g) (seven times)	MMS	ONRR.
§ 1206.153(k)	MMS	ONRR.
§ 1206.153(I)	MMS	ONRR.
§ 1206.154(a)(1)	MMS	BOEMRE.
§ 1206.154(a)(2)	§ 206.152	§ 1206.152.
§ 1206.154(a)(2)	MMS	BOEMRE.
§ 1206.154(b)(2)	§ 206.153	§ 1206.153.
§ 1206.154(c)(4)	MMS	ONRR.
§ 1206.154(d)(1) § 1206.154(d)(2)	MMS	BOEMRE.   \$ 1202 151(a)
§ 1206.154(d)(2)	30 CFR 202.151(c)	§ 1202.151(c).   § 1206.153.
§ 1206.155(a)(1)	§ 206.102	§ 1206.133. § 1206.102.
§ 1206.155(a)(2)	§ 206.152	§ 1206.102. § 1206.152.
§ 1206.155(b)	§ 206.152	§ 1206.152. § 1206.150(b).
§ 1206.156(a)	§ 206.152 or § 206.153	§ 1206.152 or § 1206.153.
§ 1206.156(a)	MMS	ONRR.
§ 1206.156(b)	§ 206.157	§ 1206.157.
§ 1206.156(c)(1) (two times)	§ 206.152	§ 1206.152.
§ 1206.156(c)(2) (two times)	§ 206.153	§ 1206.153.
§ 1206.156(c)(3) (two times)	MMS	ONRR.
§ 1206.156(d) (two times)	MMS	ONRR.
§ 1206.156(d)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.156(d)	part 241	part 1241.
§ 1206.157(a)(1)(i)	MMS'	ONRR's.
§ 1206.157(a)(1)(ii) (two times)	MMS	ONRR.
§ 1206.157(a)(1)(iii) (four times)	MMS	ONRR.
§ 1206.157(a)(2)(i)	MMS	ONRR.
§ 1206.157(a)(2)(ii) (two times)	MMS	ONRR.
§ 1206.157(a)(3) (four times)	MMS	ONRR.
§ 1206.157(a)(5) (two times)	MMS	ONRR.
§ 1206.157(b)(1)	MMS	ONRR.
§ 1206.157(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.157(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.157(b)(2)(iv)(B)	MMS	ONRR.

\$ 1206.157(b)(3)(i)	ONRR. Part 1207. ONRR. ONRR. ONRR. ONRR. S1206.156. § 1218.54 of this chapter.
\$ 1206.157(b)(3)(ii) (two times)	ONRR. ONRR. ONRR. ONRR. ONRR. ONRR. Part 1207. ONRR. ONRR. ONRR. ONRR. ONRR. Part 1207. ONRR. § 1206.156. § 1218.54 of this chapter.
\$ 1206.157(b)(5)(i) introductory text	ONRR. ONRR. ONRR. ONRR. Part 1207. ONRR. ONRR. ONRR. ONRR. ONRR. Part 1207. ONRR. Part 1207. ONRR. Part 1207. ONRR. Part 1207. ONRR. § 1206.156. § 1218.54 of this chapter.
\$ 1206.157(b)(5)(ii)	ONRR. ONRR. ONRR. part 1207. ONRR. ONRR. ONRR. ONRR. ONRR. part 1207. ONRR. \$ 1206.156. \$ 1218.54 of this chapter.
\$ 1206.157(c)(1)(i)	ONRR. ONRR. part 1207. ONRR. ONRR. ONRR. ONRR. part 1207. ONRR. \$ 1206.156. \$ 1218.54 of this chapter.
\$ 1206.157(c)(1)(ii)	ONRR. part 1207. ONRR. ONRR. part 1207. ONRR. part 1207. ONRR. part 1206. S 1206.156. S 1218.54 of this chapter.
\$ 1206.157(c)(1)(iii) part 207 \$ 1206.157(c)(2)(iii) MMS \$ 1206.157(c)(2)(iiii) The MMS \$ 1206.157(c)(2)(iiii) part 207 \$ 1206.157(d)(1) (two times) MMS \$ 1206.157(d)(1) \$ 206.156 \$ 1206.157(d)(3) 30 CFR 218.54 \$ 1206.157(e)(1) 30 CFR 218.54 \$ 1206.157(e)(1) MMS \$ 1206.157(e)(1) MMS	part 1207. ONRR. ONRR. part 1207. ONRR. part 1207. ONRR. \$ 1206.156. \$ 1218.54 of this chapter.
§ 1206.157(c)(2)(ii)	ONRR. ONRR. part 1207. ONRR. \$ 1206.156. \$ 1218.54 of this chapter.
\$ 1206.157(c)(2)(iii)	ONRR. part 1207. ONRR. \$ 1206.156. \$ 1218.54 of this chapter.
\$ 1206.157(c)(2)(iiii) part 207  \$ 1206.157(d)(1) (two times) MMS  \$ 1206.157(d)(1) \$ 206.156  \$ 1206.157(e)(1) 30 CFR 218.54  \$ 1206.157(e)(1) MMS  \$ 1206.157(e)(1) MMS  \$ 1206.157(e)(2) MMS	part 1207. ONRR. § 1206.156. § 1218.54 of this chapter.
\$ 1206.157(d)(1) (two times)	ONRR. § 1206.156. § 1218.54 of this chapter.
§ 1206.157(d)(1)       \$ 206.156         § 1206.157(d)(3)       30 CFR 218.54         § 1206.157(e)(1)       30 CFR 218.54         § 1206.157(e)(1)       MMS         § 1206.157(e)(2)       MMS	
§ 1206.157(d)(3) 30 CFR 218.54 30 CFR 218.54 30 CFR 218.54 4 3	
§ 1206.157(e)(1) 30 CFR 218.54 § 1206.157(e)(1) MMS	
§ 1206.157(e)(2) MMS	§ 1218.54 of this chapter.
\$ 1006 157(a)(2) (two times)	
§ 1206.157(e)(3) (two times)	
§ 1206.157(f)(9)	
§ 1206.157(f)(9)	1 2 3 17
§ 1206.158(a)	•
§ 1206.158(c)(1) WIMS	
§ 1206.158(c)(2)	•
§ 1206.158(c)(3) (two times)	•
§ 1206.158(d)(1)	
§ 1206.158(d)(2)(i) MMS	ONRR.
§ 1206.158(d)(2)(ii) (three times)	
§ 1206.158(e) (two times)	
§ 1206.158(e)	•
§ 1206.158(e)	
§ 1206.159(a)(1)(i)	
§ 1206.159(a)(1)(iii) (two times)	
§ 1206.159(a)(3) (four times)	
§ 1206.159(b)(1)	
§ 1206.159(b)(2)(iv) introductory text MMS	
§ 1206.159(b)(2)(iv)(A)	ONRR.
§ 1206.159(b)(2)(iv)(B) MMS	
§ 1206.159(b)(4) (three times)	
§ 1206.159(c)(1)(i) MMS	
§ 1206.159(c)(1)(ii)	
§ 1206.159(c)(1)(ii)	
§ 1206.159(c)(2)(iii) (two times) MMS	
§ 1206.159(d)(1) (two times)	
§ 1206.159(d)(1)	
§ 1206.159(d)(3)	
§ 1206.159(e)(1)	§ 1218.54 of this chapter.
§ 1206.159(e)(1)	
§ 1206.159(e)(2) MMS	
§ 1206.159(e)(3) (two times)	
§ 1206.170(c) (two times)	
§ 1206.170(d)	
§ 1206.171 introductory text part 202 MMS-acceptable MMS-acceptable	'
§ 1206.171, definition of Active spot market	
paragraph (3) (two times).	
§ 1206.171, definition of <i>Index</i>	ONRR-established.
§ 1206.171, definition of <i>Index zone</i> MMS	
§ 1206.171, definition of <i>Index zone</i>	1 3 1 1 1
§ 1206.171, definition of <i>MMS</i>	
§ 1206.171, definition of <i>MMS</i>	
§ 1206.171, definition of <i>MMS</i>	
§ 1206.172(a) introductory text	•
§ 1206.172(a)(2)	
§ 1206.172(b)(2)	
§ 1206.172(b)(2)	\$ 1206.176.
§ 1206.172(b)(3)	
§ 1206.172(c)(2) introductory text	
§ 1206.172(c)(2)(ii)	§ 1206.174.

Amend	By removing the reference to:	And adding in its place:
§ 1206.172(d)(1)(i)	MMS-approved	ONRR-approved.
§ 1206.172(d)(2) introductory text (three times)	MMS	ONRR.
§ 1206.172(d)(2)(i)	MMS-approved	ONRR-approved.
§ 1206.172(d)(2)(v)	MMS's	ONRR's.
§ 1206.172(d)(3) (two times)	MMS	ONRR.
§ 1206.172(d)(4) introductory text	MMS	ONRR.
§ 1206.172(d)(5)	MMS	ONRR.
§ 1206.172(d)(6) (three times)	MMS	ONRR.
§ 1206.172(d)(6)	MMS-approved	ONRR-approved.
§ 1206.172(d)(7)	MMS	ONRR.
§ 1206.172(d)(8)	§§ 206.177, 206.178, 206.179, and 206.180	§§ 1206.177, 1206.178, 1206.179, and 1206.180.
§ 1206.172(e)(6)(i)	MMS	ONRR.
§ 1206.172(e)(6)(iii) (two times)	MMS	ONRR.
§ 1206.172(f)(1) introductory text (two times)	MMS	ONRR.
§ 1206.172(f)(1)(i) (two times)	MMS	ONRR.
§ 1206.172(f)(1)(i)	§ 206.174	§ 1206.174.
§ 1206.172(f)(1)(ii)	MMS	ONRR.
§ 1206.172(f)(2) introductory text (two times)	MMS	ONRR.
§ 1206.172(f)(2)(i) (two times)	MMS	ONRR.
§ 1206.172(f)(2)(i)	§ 206.172	§ 1206.172.
§ 1206.172(f)(3)	MMS	ONRR.
§ 1206.172(g)(1)(i) (two times)	MMS	ONRR.
§ 1206.172(g)(1)(ii) (two times)	MMS	ONRR.
§ 1206.172(g)(1)(ii)	§ 206.174	§ 1206.174.
§ 1206.172(g)(1)(iii)	MMS	ONRR.
§ 1206.172(g)(2)(i) (two times)	MMS	ONRR.
§ 1206.172(g)(2)(ii) (two times) § 1206.172(g)(2)(ii)	§ 206.172	§ 1206.172.
§ 1206.172(g)(z)(ll)	§ 206.176	§ 1206.172. § 1206.176.
§ 1206.173(a)(1)	§ 206.176(a)	§ 1206.176(a).
§ 1206.173(a)(2) introductory text	MMS-designated	ONRR-designated.
§ 1206.173(a)(2)(i) introductory text	MMS	ONRR.
§ 1206.173(a)(2)(i) introductory text	MMS-designated	ONRR-designated.
§ 1206.173(a)(2)(i)(H)	MMS-designated	ONRR-designated.
§ 1206.173(a)(2)(i)(Q) (two times)	MMS	ONRR.
§ 1206.173(a)(2)(ii) (two times)	MMS	ONRR.
§ 1206.173(b)(1)	§ 206.172 or § 206.174	§ 1206.172 or § 1206.174.
§ 1206.173(b)(2) introductory text	§ 206.172 or § 206.174	§ 1206.172 or § 1206.174.
§ 1206.173(b)(2)(i)	§206.172 or §206.174	§ 1206.172 or § 1206.174.
§ 1206.174(a)(1)(i)	MMS	ONRR.
§ 1206.174(a)(1)(ii) § 1206.174(a)(1)(ii)	§ 206.174(b)    § 206.172	§ 1206.174(b).   § 1206.172.
§ 1206.174(a)(1)(iii)	§ 206.172	§ 1206.172.
§ 1206.174(a)(3)	§ 206.176	§ 1206.172.
§ 1206.174(a)(4)(ii) (three times)	MMS	ONRR.
§ 1206.174(a)(4)(ii)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.174(a)(4)(ii)	MMS	ONRR.
§ 1206.174(a)(4)(iii)	§ 206.173	§ 1206.173.
§ 1206.174(a)(4)(iii) (three times)	MMS	ONRR.
§ 1206.174(a)(4)(iv) (four times)	MMS	ONRR.
§ 1206.174(b)(1)(ii) (two times)	MMS	ONRR.
§ 1206.174(b)(1)(iii) (three times)	MMS	ONRR.
§ 1206.174(b)(1)(iv)	MMS	ONRR.
§ 1206.174(b)(2)	MMS	ONRR.
§ 1206.174(d)(1)	MMS	ONRR.
§ 1206.174(d)(2)	MMS	ONRR.
§ 1206.174(e) (three times)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.174(e) § 1206.174(f) (four times)	MMS	ONRR.
§ 1206.174(j) (loar times)	§ 206.173	§ 1206.173.
§ 1206.174(g)(z) introductory text	MMS	ONRR.
§ 1206.174() (two times)	MMS	ONRR.
§ 1206.175(d)(4) (two times)	MMS	ONRR.
§ 1206.176(a)(1)(i)	§ 206.172 or § 206.174	§ 1206.172 or § 1206.174.
§ 1206.176(a)(1)(ii)	§ 206.52	§ 1206.52.
§ 1206.176(a)(2)	§ 206.172 or § 206.174	§ 1206.172 or § 1206.174.
§ 1206.176(b)	§ 206.173	§ 1206.173.
§ 1206.176(c)	MMS	ONRR.
§ 1206.176(d)	§ 206.173	§ 1206.173.
§ 1206.176(e)	§ 206.173(b)(4)(ii)	§ 1206.173(b)(4)(ii).
§ 1206.177(a)	§206.174	§ 1206.174.

Amend	By removing the reference to:	And adding in its place:
§ 1206.177(b)	§ 206.178	§ 1206.178.
§ 1206.177(b)	MMS	ONRR.
§ 1206.177(c)(3)	MMS	ONRR.
§ 1206.177(d)	MMS	ONRR.
§ 1206.177(d)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.178(a)(1)(i) (two times)	MMS	ONRR.
§ 1206.178(a)(1)(ii) (two times)	MMS	ONRR.
§ 1206.178(a)(1)(iii) (three times)	MMS	ONRR.
§ 1206.178(a)(2)(i)	MMS	ONRR.
§ 1206.178(a)(2)(ii) introductory text (two times)	MMS	ONRR.
§ 1206.178(a)(3)(i) (two times)	MMS	ONRR.
§ 1206.178(a)(3)(ii) (two times)	MMS	ONRR.
§ 1206.178(a)(5) (two times)	MMS	ONRR.
§ 1206.178(b)(1)(ii) (four times)	MMS	ONRR.
§ 1206.178(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.178(b)(2)(iv)(A) § 1206.178(b)(3)(i) introductory text	MMS	ONRR.
§ 1206.178(b)(3)(ii) introductory text (two times)	MMS	ONRR.
§ 1206.178(b)(4)	MMS	ONRR.
§ 1206.178(b)(5) (four times)	MMS	ONRR.
§ 1206.178(d)(1) (two times)	MMS	ONRR.
§ 1206.178(d)(2)	MMS	ONRR.
§ 1206.178(e)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.178(f)(9)	MMS	ONRR.
§ 1206.178(f)(9)	§ 206.174(h)	§ 1206.174(h).
§ 1206.179(a)	§ 206.174	§ 1206.174.
§ 1206.179(c)	§ 206.174	§ 1206.174.
§ 1206.179(c)	§ 206.177	§ 1206.177.
§ 1206.179(d)	part 206	ONRR.
§ 1206.179(e) § 1206.179(g)	MMS	part 1206.   ONRR.
§ 1206.179(g)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1206.180(a)(1)(i) (two times)	MMS	ONRR.
§ 1206.180(a)(1)(ii) (two times)	MMS	ONRR.
§ 1206.180(a)(1)(iii) (three times)	MMS	ONRR.
§ 1206.180(a)(3) (four times)	MMS	ONRR.
§ 1206.180(b)(1)(ii) (four times)	MMS	ONRR.
§ 1206.180(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.180(b)(2)(iv)(A) (two times)	MMS	ONRR.
§ 1206.180(b)(3)	MMS	ONRR.
§ 1206.180(c)(1) (two times)	MMS	ONRR.
§ 1206.180(c)(2) § 1206.180(d)	MMS   30 CFR 218.54	ONRR. § 1218.54 of this chapter.
§ 1206.181 introductory text	§ 206.176	§ 1206.176.
§ 1206.181(c) (five times)	MMS	ONRR.
§ 1206.181(c)	part 290	part 1290.
§ 1206.181(d)	§§ 206.179 and 206.180	§§ 1206.179 and 1206.180.
§ 1206.250(c)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1206.250(c)	(MMS)	(ONRR).
§ 1206.251, definition of Arm's-length contract,	MMS	ONRR.
paragraph (c) (two times).	00.055.040	
§ 1206.252	30 CFR 210	part 1210.
§ 1206.255(a)	MMS	ONRR.
§ 1206.255(b)	MMS	ONRR. 8 1206 256(d)
§ 1206.255(c) § 1206.256(d)	§ 206.256(d)    §§ 206.257	§ 1206.256(d).   §§ 1206.257.
§ 1206.257(a)	§§ 206.258 through 206.262	§§ 1206.257. §§ 1206.258 through 1206.262.
§ 1206.257(a)	§ 206.265	§ 1206.265.
§ 1206.257(b)(2) (two times)	MMS	ONRR.
§ 1206.257(b)(3)	The MMS	ONRR.
§ 1206.257(b)(3) (three times)	MMS	ONRR.
§ 1206.257(b)(4)	The MMS	ONRR.
§ 1206.257(b)(5)	MMS's	ONRR's.
§ 1206.257(d)(1)	MMS's	ONRR's.
§ 1206.257(d)(1)	MMS	ONRR.
§ 1206.257(d)(2)	MMS	ONRR.
§ 1206.257(d)(3)	MMS	ONRR.
§ 1206.257(d)(3)	Associate Director	Director.
§ 1206.257(d)(3)	Minerals Revenue Management	Office of Natural Resources Revenue.
§ 1206.257(e) (three times)	MMS   30 CFR 218.202	ONRR. 8 1218 202 of this chapter
§ 1206.257(e) § 1206.257(f) (six times)	MMS	│§ 1218.202 of this chapter. │ ONRR.
3 1200.207 (1) (SIX (111163)	I IVIIVIO	ON III.

Amend	By removing the reference to:	And adding in its place:
§ 1206.257(g)	§§ 206.258 through 206.262 and § 206.265	§§ 1206.258 through 1206.262 and § 1206.65.
§ 1206.257(i)	MMS	ÖNRR.
§ 1206.257(j)	MMS	ONRR.
§ 1206.257(k)	MMS	ONRR.
§ 1206.257(k)	§ 206.265	§ 1206.265.
§ 1206.258(a) (two times)	§ 206.257	§ 1206.257.
§ 1206.258(a)	MMS	ONRR.
§ 1206.258(b) § 1206.258(b)	MMS30 CFR 218.202	ONRR.
§ 1206.259(a)(1)	MMS's	§ 1218.202 of this chapter.   ONRR's.
§ 1206.259(a)(1)	MMS	ONRR.
§ 1206.259(a)(3)	The MMS	ONRR.
§ 1206.259(a)(3) (three times)	MMS	ONRR.
§ 1206.259(b)(1)	MMS	ONRR.
§ 1206.259(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.259(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.259(b)(2)(iv)(B)	The MMS	ONRR.
§ 1206.259(c)(1)(i)	MMS The MMS	ONRR. ONRR.
§ 1206.259(c)(1)(ii) § 1206.259(c)(1)(ii)	MMS	ONRR.
§ 1206.259(c)(1)(ii)	MMS	ONRR.
§ 1206.259(c)(2)(iii) (two times)	MMS	ONRR.
§ 1206.259(d)(3)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.259(e)(1)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.261(a) introductory text	§ 206.257	§ 1206.257.
§ 1206.261(a) introductory text	MMS	ONRR.
§ 1206.261(d)	MMS	ONRR.
§ 1206.261(d)	30 CFR 218.202MMS	§ 1218.202 of this chapter.   ONRR.
§ 1206.262(a)(2) (two times) § 1206.262(a)(3)	The MMS	ONRR.
§ 1206.262(a)(3) (three times)	MMS	ONRR.
§ 1206.262(b)(1)	MMS	ONRR.
§ 1206.262(b)(2)(iv) introductory text	The MMS	ONRR.
§ 1206.262(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.262(b)(2)(iv)(B)	The MMS	ONRR.
§ 1206.262(b)(3) introductory text (four times)	MMS	ONRR.
§ 1206.262(b)(3)(i)	MMS	ONRR.
§ 1206.262(c)(1)(i) § 1206.262(c)(1)(ii)	The MMS	ONRR.
§ 1206.262(c)(1)(ii)	MMS	ONRR.
§ 1206.262(c)(2)(i)	MMS	ONRR.
§ 1206.262(c)(2)(iii) (two times)	MMS	ONRR.
§ 1206.262(d)(3)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.262(e)(1)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.262(e)(1) § 1206.262(e)(2)	MMS	ONRR.
§ 1206.262(e)(2) § 1206.264 (three times)	MMS	ONRR.
§ 1206.265 introductory text	§ 206.257(h)	§ 1206.257(h).
§ 1206.265 introductory text	MMS	ONRR.
§ 1206.265(a)	§ 206.257(c)(2)(i–iv)	§ 1206.257(c)(2)(i)–(iv).
§ 1206.265(b)	§ 206.257(c)(2)(v)	§ 1206.257(c)(2)(v).
§ 1206.265(b)	MMS-approved	ONRR-approved.
§ 1206.265(b) § 1206.350(b)	§ 206.259(b)(2)(v) MMS	§ 1206.259(b)(2)(v).   ONRR.
§ 1206.350(b)	MMS	ONRR.
§ 1206.350(c)(2) introductory text	Land and Minerals Management	Policy, Management and Budget.
§ 1206.350(c)(2)(i)	MMS	ONRR.
§ 1206.350(c)(2)(ii)	§ 206.364	§ 1206.364.
§ 1206.351, definition of Affiliate, paragraph (1)	MMS	ONRR.
§ 1206.351, definition of <i>Affiliate</i> , paragraph (2)	MMS	ONRR.
§ 1206.352(a)(2)	§ 206.361	§ 1206.361.
§ 1206.352(b)(1)(i)	§ 206.353 and § 206.354	§ 1206.353 and § 1206.354.
§ 1206.352(b)(1)(i) § 1206.352(b)(1)(ii)	§ 206.361 MMS	§ 1206.361.   ONRR.
§ 1206.352(b)(1)(ii)	§ 206.364	§ 1206.364.
§ 1206.352(b)(2)	§ 206.361	§ 1206.361.
§ 1206.353(a) introductory text	§ 206.352(b)(1)(i)	§ 1206.352(b)(1)(i).
§ 1206.353(b)(1)(ii)	§ 206.354(b)(1)	§ 1206.354(b)(1).
§ 1206.353(b)(1)(ii)	MMS	ONRR.
§ 1206.353(g)	MMS	ONRR.
§ 1206.353(h)(1)	MMS	ONRR.
§ 1206.353(m)(2)	§218.302	§ 1218.302 of this chapter.

Amend	By removing the reference to:	And adding in its place:
§ 1206.353(n) (two times)	MMS	ONRR.
§ 1206.353(n)	part 212	part 1212.
§ 1206.354(a)	§ 206.352(b)(1)(i)	§ 1206.352(b)(1)(i).
§ 1206.354(b)(1)(ii)	§ 206.353(b)(1)	§ 1206.363(b)(1).
§ 1206.354(b)(1)(ii)	MMS	ONRR.
§ 1206.354(g)	MMS	ONRR.
§ 1206.354(h)(1)	MMS	ONRR.
§ 1206.354(m)(2)	§ 218.302	§ 1218.302 of this chapter.
§ 1206.354(n) (two times)	MMS	ONRR.
§ 1206.354(n)	part 212	part 1212.
§ 1206.355`	§ 206.361	§ 1206.361.
§ 1206.356(a)(2)	MMS	ÖNRR.
§ 1206.356(a)(3)	MMS	ONRR.
§ 1206.356(a)(3)	Land and Minerals Management	Policy, Management and Budget.
§ 1206.356(a)(3)	§ 206.364	§ 1206.364.
§ 1206.356(b)(1)(iii)	The MMS	ONRR.
§ 1206.356(b)(3) introductory text	MMS	ONRR.
§ 1206.356(b)(3)(i)	§ 218.302	§ 1218.302 of this chapter.
§ 1206.356(b)(3)(ii)	MMS	ONRR.
§ 1206.356(c)	MMS	ONRR.
§ 1206.357(b)(1)	§§ 206.358 and 206.359	§§ 1206.358 and 1206.359.
§ 1206.357(b)(1)	§ 206.361	§ 1206.361.
§ 1206.357(b)(3)	MMS	ONRR.
§ 1206.358(d)(1)	MMS	ONRR.
§ 1206.358(d)(2) (two times)	MMS	ONRR.
§ 1206.358(d)(2)	part 212MMS	part 1212.   ONRR.
§ 1206.358(e) § 1206.358(e)	§218.302	§ 1218.302 of this chapter.
§ 1206.359(a)(1) (two times)	MMS	ONRR.
§ 1206.359(a)(2) (four times)	MMS	ONRR.
§ 1206.359(g)	MMS	ONRR.
§ 1206.359(h)(1)	MMS	ONRR.
§ 1206.359(I)(2)	§ 218.302	§ 1218.302 of this chapter.
§ 1206.360 introductory text	part 212	part 1212.
§ 1206.360(b) (two times)	MMS	ONRR.
§ 1206.361(section heading)	MMS	ONRR.
§ 1206.361(a)(1) (two times)	MMS	ONRR.
§ 1206.361(a)(2)	MMS	ONRR.
§ 1206.361(a)(2)	§ 218.302	§ 1218.302 of this chapter.
§ 1206.361(b) (four times)	MMS	ONRR.
§ 1206.361(b)	The MMS	ONRR.
§ 1206.361(d)	The MMS	ONRR. ONRR.
§ 1206.363 (section heading) § 1206.363 (two times)	MMS	ONRR.
§ 1206.364(a) introductory text	MMS	ONRR.
§ 1206.364(a)(3)	MMS	ONRR.
§ 1206.364(b)(1)	Land and Minerals Management	Policy, Management and Budget.
§ 1206.364(b)(2)	The MMS	ONRR.
§ 1206.364(b)(3)	The MMS	ONRR.
§ 1206.364(b)(3) (two times)	MMS	ONRR.
§ 1206.364(c)(1)	Land and Minerals Management	Policy, Management and Budget.
§ 1206.364(c)(1)	MMS	ONRR.
§ 1206.364(c)(2)	§ 218.302	§ 1218.302 of this chapter.
§ 1206.364(d) introductory text (two times)	MMS	ONRR.
§ 1206.364(d) introductory text	The MMS	ONRR.
§ 1206.364(d) introductory text	MMS-issued	ONRR-issued.
§ 1206.364(d)(1)	MMS	ONRR.
§ 1206.364(d)(1)	part 290	part 1290.
§ 1206.364(d)(2)	part 290	part 1290.
§ 1206.364(e)	MMS	ONRR.
§ 1206.364(f)	The MMS	ONRR.
§ 1206.364(g) introductory text	The MMS	ONRR.
§ 1206.364(h) § 1206.364(h)	The MMS § 206.365	ONRR. 8 1206 365
§ 1206.365 (section heading)	MMS	§ 1206.365.   ONRR.
§ 1206.365 (section reading)	MMS	ONRR.
§ 1206.366	The MMS	ONRR.
§ 1206.451, definition of <i>Allowance</i> (three	MMS-initially	ONRR-initially.
times).		
§ 1206.451, definition of <i>Arm's length contract</i>	MMS	ONRR.
(two times).		
§ 1206.451, definition of MMS	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1206.451, definition of MMS	Minerals Management Service	Office of Natural Resources Revenue.
§ 1206.453	part 210	part 1210.
§ 1206.454(a)	MMS	ONRR.
§ 1206.454(b)	MMS	ONRR.
§ 1206.454(c)	§ 206.455(d)	§ 1206.455(d).
§ 1206.455(d)	§ 206.456	§ 1206.456.
§ 1206.456(a)	§§ 206.457 through 206.461	§§ 1206.457 through 1206.461.
§ 1206.456(a)	§ 206.464	§ 1206.464.
§ 1206.456(b)(2) (two times)	MMS	ONRR.
§ 1206.456(b)(3) (four times)	MMS	ONRR.
§ 1206.456(b)(4)	MMS	ONRR.
§ 1206.456(b)(5)	MMS'	ONRR.
§ 1206.456(d)(1)	MMS'	ONRR.
§ 1206.456(d)(1)	MMS	ONRR.
§ 1206.456(d)(2)	MMS	ONRR.
§ 1206.456(d)(3)	MMS	ONRR.
§ 1206.456(d)(3)	Associate Director	Director.
§ 1206.456(d)(3)	Minerals Revenue Management	Office of Natural Resources Revenue.
§ 1206.456(e) (three times)	MMS	ONRR.
§ 1206.456(f) (six times)	MMS	ONRR.
§ 1206.456(g)	§§ 206.457 through 206.461 and § 206.464	§§ 1206.457 through 1206.461 and
		§ 1206.464.
§ 1206.456(i)	MMS	ONRR.
§ 1206.456(j)	MMS	ONRR.
§ 1206.456(k)	§§ 206.457 through 206.461 and § 206.464	§§ 1206.457 through 1206.461 and
		§ 1206.464.
§ 1206.456(k) (two times)	MMS	ONRR.
§ 1206.457(a) (two times)	§ 206.456	§ 1206.456.
§ 1206.457(a)	MMS	ONRR.
§ 1206.457(b)	MMS	ONRR.
§ 1206.457(b)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.458(a)(1)	MMS'	ONRR.
§ 1206.458(a)(1) (two times)	MMS	ONRR.
§ 1206.458(a)(2) (two times)	MMS	ONRR.
§ 1206.458(a)(3) (four times)	MMS	ONRR.
§ 1206.458(b)(1) (five times)	MMS	ONRR.
§ 1206.458(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.458(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.458(b)(2)(iv)(B)	MMS	ONRR.
§ 1206.458(c)(1)(iii)	MMS	ONRR.
§ 1206.458(c)(1)(iv) (two times)	MMS	ONRR.
§ 1206.458(c)(1)(v)	MMS	ONRR.
§ 1206.458(c)(1)(vi)	MMS	ONRR.
§ 1206.458(c)(2)(iii) (two times)	MMS	ONRR.
§ 1206.458(c)(2)(v)	MMS	ONRR.
§ 1206.458(c)(2)(vi) (two times)	MMS	ONRR.
§ 1206.458(c)(2)(vii) § 1206.458(c)(3)	MMS	ONRR.
§ 1206.458(c)(4)	MMS	ONRR.
§ 1206.458(d)(3)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.458(e)(1)	30 CFR 218.202	§ 1218.202.
§ 1206.458(e)(2)	MMS	ONRR.
§ 1206.460(a) introductory text	§ 206.456	§ 1206.456.
§ 1206.460(a) introductory text	MMS	ONRR.
§ 1206.460(d)	MMS	ONRR.
§ 1206.460(d)	30 CFR 218.202	§ 1218.202 of this chapter.
§ 1206.461(a)(1)	MMS'	ONRR's.
§ 1206.461(a)(1) (two times)	MMS	ONRR.
§ 1206.461(a)(2) (two times)	MMS	ONRR.
§ 1206.461(a)(3) (four times)	MMS	ONRR.
§ 1206.461(b)(1) (five times)	MMS	ONRR.
§ 1206.461(b)(2)(iv) introductory text	MMS	ONRR.
§ 1206.461(b)(2)(iv)(A)	MMS	ONRR.
§ 1206.461(b)(2)(iv)(B)	MMS	ONRR.
§ 1206.461(b)(3) introductory text (four times)	MMS	ONRR.
§ 1206.461(b)(3)(i)	MMS	ONRR.
§ 1206.461(c)(1)(iii)	MMS	ONRR.
§ 1206.461(c)(1)(iv) (two times)	MMS	ONRR.
§ 1206.461(c)(1)(v)	MMS	ONRR.
§ 1206.461(c)(1)(vi)	MMS	ONRR.
§ 1206.461(c)(2)(iii) (two times)	MMS	ONRR.
§ 1206.461(c)(2)(v)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
\$ 1206.461(c)(2)(vi) (two times)	MMS	ONRR. ONRR. ONRR. ONRR. § 1218.202 of this chapter. § 1218.202 of this chapter. ONRR. ONRR. ONRR. § 1206.456(h). ONRR. § 1206.456(c)(2)(i) through (iv). § 1206.456(c)(2)(v). ONRR-approved. § 1206.458(b)(2)(v).

#### § 1206.171 [Amended]

■ 17A. In newly redesignated § 1206.171, place the newly redesignated definition of "ONRR" in alphabetical order.

#### § 1206.451 [Amended]

■ 17B. In newly redesignated § 1206.451, place the newly redesignated definition of "ONRR" in alphabetical order

#### Chapter II, Subchapter A

# PART 207—[REDESIGNATED AS PART 1207]

■ 18. Transfer 30 CFR part 207 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1207.

#### Chapter XII, Subchapter A

### PART 1207—SALES AGREEMENTS OR CONTRACTS GOVERNING THE DISPOSAL OF LEASE PRODUCTS

■ 19. The authority citation for the newly redesignated 30 CFR part 1207 continues to read as follows:

**Authority:** 5 U.S.C. 301 et seq.; 25 U.S.C. 396 et seq.; 25 U.S.C. 396a et seq.; 25 U.S.C. 2101 et seq.; 30 U.S.C. 181 et seq.; 30 U.S.C. 351 et seq.; 30 U.S.C. 1001 et seq.; 30 U.S.C. 1701 et seq.; 31 U.S.C. 3716 et seq.; 31 U.S.C. 9701; 43 U.S.C. 1301 et seq.; 43 U.S.C. 1331 et seq.; and 43 U.S.C. 1801 et seq.

■ 20. Amend the newly redesignated part 1207 as follows:

#### **AMENDMENT TABLE FOR PART 1207**

Amend	By removing the reference to:	And adding in its place:
§ 1207.1(b)	Minerals Management Service	Bureau of Ocean Energy Management, Regulation, and Enforcement.
§ 1207.2	part 206	part 1206.
§ 1207.3	§ 207.5	§ 1207.5.
	Minerals Management Service	
§ 1207.5	MMS, State or Indian representatives, other MMS or BLM officials.	Office of Natural Resources Revenue (ONRR), State or Indian representatives, BOEMRE or BLM officials.
§ 1207.5 (2 times)	MMS	ONRR.
	part 212	=

# Chapter II, Subchapter A

# PART 208—[REDESIGNATED AS PART 1208]

■ 21. Transfer 30 CFR part 208 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1208.

# Chapter XII, Subchapter A

# PART 1208—SALE OF FEDERAL ROYALTY OIL

■ 22. The authority citation for the newly redesignated 30 CFR part 1208 continues to read as follows:

# **Authority:** 5 U.S.C. 301 *et seq.*; 30 U.S.C. 181 *et seq.*; 351 *et seq.*, 1701 *et seq.*; 31 U.S.C.

9701; 41 U.S.C. 601 *et seq.*; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, and 1801 *et seq.* 

■ 23. Amend the newly redesignated part 1208 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1208.2, definition of <i>Area or Region</i> § 1208.2, definition of <i>Contracting officer's decision</i>		ONRR. ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1208.2, definition of <i>Delivery point</i>	§ 208.8(a)	§ 208.8(a).
§ 1208.2, definition of <i>Director</i>	MMS	ONRR.
§ 1208.2, definition of MMS	MMS	ONRR.
§ 1208.2, definition of <i>MMS</i>	Minerals Management Service	Office of Natural Resources Revenue.
§ 1208.2, definition of Preference eligible refiner	§ 208.7(g)	§ 208.7(g).
§ 1208.2, definition of Reallocation	MMS	ONRR.
§ 1208.4(a)	30 CFR 208.5	§ 1208.5.
§ 1208.4(b)(1)	MMS	ONRR.
§ 1208.4(b)(2)	part 206	part 1206.
§ 1208.4(c)	MMS	ONRR.
§ 1208.4(d) (2 times)	MMS	ONRR.
§ 1208.5(2 times)	MMS	ONRR.
§ 1208.5	30 CFR 208.2 and 208.7	§ 1208.2 and § 1208.7.
§ 1208.6(a) (3 times)	MMS	ONRR.
§ 1208.6(b)(5)	§ 208.2	§ 1208.2.
§ 1208.7(a) (2 times)	MMS	ONRR.
§ 1208.7(b)	MMS	ONRR.
§ 1208.7(c)	MMS	ONRR.
§ 1208.7(e)	MMS	ONRR.
§ 1208.7(g) (2 times)	MMS	ONRR.
§ 1208.7(b) (2 times)	MMS	ONRR.
	MMS	ONRR.
§ 1208.8(a)	MMS	ONRR.
§ 1208.8(b) (4 times)		
§ 1208.8(b)	part 206	part 1206.   ONRR.
§ 1208.8(c)	MMS	
§ 1208.9(a)	MMS	ONRR.
§ 1208.10(a)	MMS	ONRR.
§ 1208.10(b)	MMS	ONRR.
§ 1208.10(c)	MMS	ONRR.
§ 1208.10(d) (2 times)	MMS	ONRR.
§ 1208.10(e) (4 times)	MMS	ONRR.
§ 1208.10(e)	§ 208.2	§ 1208.2.
§ 1208.11(a)	MMS-specified	ONRR-specified.
§ 1208.11(a)	MMS	ONRR.
§ 1208.11(b) (3 times)	MMS	ONRR.
§ 1208.11(c) (3 times)	MMS-specified	ONRR-specified.
§ 1208.11(d)	MMS-specified	ONRR-specified.
§ 1208.11(d) (4 times)	MMS	ONRR.
§ 1208.11(e) (2 times)	MMS	ONRR.
§ 1208.12(a) (3 times)	MMS	ONRR.
§ 1208.12(a)	30 CFR 218.51	§ 1218.51 of this chapter.
§ 1208.12(a)	§ 208.13	§ 1208.13.
§ 1208.12(a)	30 CFR 218.51	§ 1218.51 of this chapter.
§ 1208.12(b)(1)	MMS	ONRR.
§ 1208.12(b)(2)	MMS	ONRR.
§ 1208.12(b)(2)	30 CFR 218.54	§ 1218.54 of this chapter.
§ 1208.12(c) (2 times)	MMS	ONRR.
§ 1208.12(c)	MMS-specified	ONRR-specified.
§ 1208.12(c)	§ 208.11	§ 1208.11.
§ 1208.12(d) (2 times)	MMS	ONRR.
§ 1208.12(d)	MMS-specified	ONRR-specified.
§ 1208.12(d)	part 243	part 1243.
§ 1208.13	MMS	ONRR.
§ 1208.13	30 CFR 210.52	§ 1210.52 of this chapter.
§ 1208.15	MMS	ONRR.
3 -23.10		

#### §1208.2 [Amended]

■ 23A. In newly redesignated § 1208.2, place the newly redesignated definition of "ONRR" in alphabetical order.

### Chapter II, Subchapter A

# PART 210—[REDESIGNATED AS PART 1210]

■ 24. Transfer 30 CFR part 210 from chapter II, subchapter A, to chapter XII,

subchapter A, and redesignate as 30 CFR part 1210.

### Chapter XII, Subchapter A

# PART 1210—FORMS AND REPORTS

■ 25. The authority citation for the newly redesignated 30 CFR part 1210 continues to read as follows:

**Authority:** 5 U.S.C. 301 *et seq.*; 25 U.S.C. 396, 2107; 30 U.S.C. 189, 190, 359, 1023,

1751(a); 31 U.S.C. 3716, 9701; 43 U.S.C. 1334, 1801 *et seq.*; and 44 U.S.C. 3506(a).

■ 26. Amend the newly redesignated part 1210 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1210.01	Minerals Management Service (MMS), Minerals Revenue Management (MRM).	Office of Natural Resources Revenue (ONRR).
§ 1210.01	MMS	ONRR. ONRR.
§ 1210.02 § 1210.10 introductory text (two times)	MMS	ONRR.
§ 1210.10 introductory text (two times)	www.mrm.mms.gov	www.mrm.boemre.gov.
§ 1210.10, Left table column for "1010-0073"	Part 220	Part 1220.
§ 1210.10, Left table column for "1010-0087"	Parts 227, 228, and 229	Parts 1227, 1228, and 1229.
§ 1210.10, Left table column for "1010–0090"	Part 216	Part 1216.
§ 1210.10, Left table column for "1010–0103"	Parts 202 and 206	Parts 1202 and 1206.
§ 1210.10, Left table column for "1010–0107"	Part 218	Part 1218.
§ 1210.10, Left table column for "1010–0119" § 1210.10, Left table column for "1010–0120"	Part 208 Parts 202, 206, 210, 212, 217, and 218	Part 1208.   Parts 1202, 1206, 1210, 1212, 1217, and
§ 1210.10, Left table column for 1010 0120	1 and 202, 200, 210, 212, 217, and 210	1218.
§ 1210.10, Left table column for "1010-0122"	Part 243	Part 1243.
§ 1210.10, Left table column for "1010-0136"	Parts 202 and 206	Parts 1202 and 1206.
§ 1210.10, Left table column for "1010–0139"	Parts 210 and 216	Parts 1210 and 1216.
§ 1210.10, Left table column for "1010–0140"	Part 210	Part 1210.
§ 1210.10, Left table column for "1010–0155"	Part 204	Part 1204.
§ 1210.20 § 1210.20	www.mrm.mms.gov	www.mrm.boemre.gov. ONRR.
§ 1210.20 § 1210.20	MMS Minerals Management Services	Bureau of Ocean Energy Management, Regu-
3 .=10.=0		lation, and Enforcement.
§ 1210.20	Mail Stop 5438, 1849 C Street, NW, Washington, DC 20240.	381 Elden Street, Herndon, VA 20170.
§ 1210.21(a) introductory text	www.mrm.mms.gov	www.mrm.boemre.gov.
§ 1210.21(a) introductory text	§210.56	§ 1210.56.
§ 1210.21(a) introductory text (two times)	MMS	ONRR.
§ 1210.21(b) (two times) § 1210.21(d)	MMS	ONRR.
§ 1210.30 (two times)	MMS	ONRR.
§ 1210.30	Part 241	Part 1241.
§ 1210.40 (section heading)	MMS	ONRR.
§ 1210.40 `	MMS	ONRR.
§ 1210.51(a) (two times)	MMS	ONRR.
§ 1210.51(b) (three times)	MMS	ONRR.
§ 1210.51(b)	§210.56	§ 1210.56.
§ 1210.52 introductory text § 1210.53(a)	MMS § 218.50	ONRR. § 1218.50 of this chapter.
§ 1210.53(b)	§ 218.50	§ 1218.50 of this chapter.
§ 1210.54(a)	§210.55(a)	§ 1210.55(a).
§ 1210.54(b) introductory text	MMS	ONRR.
§ 1210.54(b)(2) (two times)	MMS	ONRR.
§ 1210.54(c) (two times)	MMS	ONRR.
§ 1210.54(c)	§210.56	§ 1210.56.
§ 1210.55(a) introductory text § 1210.55(a)(1)	MMS	ONRR. ONRR.
§ 1210.55(b) introductory text	MMS	ONRR.
§ 1210.55(b)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.55(b)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.56(a) (two times)	MMS	ONRR.
§ 1210.56(a)	www.mrm.mms.gov	www.mrm.boemre.gov.
§ 1210.56(b)	MMS	ONRR.
§ 1210.56(c) § 1210.56(c)	MMS	www.mrm.boemre.gov. ONRR.
§ 1210.101(b) (three times)	MMS	ONRR.
§ 1210.101(b)	§210.106	§ 1210.106.
§ 1210.102(a) introductory text	MMS	ONRR.
§ 1210.102(a)(1)(ii)	MMS	ONRR.
§ 1210.102(a)(2)(i)	MMS	ONRR.
§ 1210.103(a)	MMS	ONRR.
§ 1210.103(b)	MMS	ONRR.
§ 1210.103(b)	§ 210.105	§ 1210.105.   8 1210.105
§ 1210.104(a) introductory text § 1210.104(b) introductory text	§ 210.105 MMS	§ 1210.105.   ONRR.
§ 1210.104(b)(2) (two times)	MMS	ONRR.
§ 1210.104(c) (two times)	MMS	ONRR.
§ 1210.104(c)	§ 210.106	§ 1210.106.
§ 1210.105(a) introductory text	MMS	ONRR.
§ 1210.105(a)(1)	MMS	ONRR.
§ 1210.105(b) introductory text	MMS	ONRR.
§ 1210.105(b)(1)	Minerals Management Service	Office of Natural Resources Revenue.

Amend	By removing the reference to:	And adding in its place:
§ 1210.105(b)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.106(a) (three times)	MMS	ONRR.
§ 1210.106(b)	MMS	ONRR.
§ 1210.106(c)	MMS	ONRR.
§ 1210.150	§ 210.10	§ 1210.10.
§ 1210.151(a)	Part 206	Part 1206.
§ 1210.151(a)	MMS	ONRR.
§ 1210.151(b)	MMS	ONRR.
§ 1210.151 (c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.151(c)(3)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.151(c)(3)	MMS	ONRR.
§ 1210.152(a) introductory text	MMS	ONRR.
§ 1210.152(a)(1)	§ 206.55	§ 1206.55.
§ 1210.152(a)(2)	§ 206.180	§ 1206.180.
§ 1210.152(a)(3)	§ 206.178	§ 1206.178.
§ 1210.152(b)	MMS	ONRR.
§ 1210.152(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.152(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.153(a) introductory text	§ 206.172	§ 1206.172.
§ 1210.153(b)	MMS	ONRR.
§ 1210.153(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.153(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.154(a)	Part 206	Part 1206.
§ 1210.154(a) (two times)	MMS	ONRR.
§ 1210.154(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.154(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.155(b) introductory text	MMS	ONRR.
§ 1210.155(b)(1)	MMS	ONRR.
§ 1210.155(b)(2)(i)	Minerals Management Service	Office of Natural Resources Revenue (ONRR).
§ 1210.155(b)(2)(ii)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.156(a)	Part 220	Part 1220.
§ 1210.156(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.156(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.157 (section heading)	MMS	ONRR.
§ 1210.157(a) (two times)	MMS	ONRR.
§ 1210.157(a)	Minerals Revenue Management	Office of Natural Resources (MRM) Revenue.
§ 1210.157(a)	Part 243	1243.
§ 1210.157(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.157(c)(2)	Minerals Management Service (MMS)	Office of Natural Resources Revenue.
§ 1210.158(a)	§ 218.52	§ 1218.52 of this chapter.
§ 1210.158(b)	www.mrm.mms.gov	www.mrm.boemre.gov.
§ 1210.158(b)	MMS	ONRR.
§ 1210.158(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.158(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.201(a)(1)(iv)	MMS	ONRR.
§ 1210.201(a)(1)(iv)	§218.51(a)	§ 1218.51(a) of this chapter.
§ 1210.201(b)(3)	§218.51(a)	§ 1218.51(a) of this chapter.
§ 1210.201(b)(3)	MMS	ONRR.
§ 1210.201(c)(3) introductory text	MMS Minerals Management Service, Minerals Rev-	ONRR. Office of Natural Resources Revenue
§ 1210.201(c)(3)(i)	enue Management.	(ONRR).
§ 1210.201(c)(3)(ii)	Minerals Management Service, Minerals Revenue Management.	Office of Natural Resources Revenue.
§ 1210.202(c)(2) introductory text § 1210.202(c)(2)(i)	MMS	ONRR. Office of Natural Resources Revenue.
\$ 1010 000/-\/0\/;;\	enue Management.	Office of National Beautiful B
§ 1210.202(c)(2)(ii)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.203(c)	MMS	ONRR.
§ 1210.203(c)	§ 210.202(c)(2)	§ 1210.202(c)(2).
§ 1210.204(c)(2)	§210.202(c)(2)	§ 1210.202(c)(2).
§ 1210.205 introductory text	MMS	ONRR.
§ 1210.205(b)	MMS	ONRR.
§ 1210.205(1)	§ 206.458	§ 1206.458.
§ 1210.205(2)	§ 206.461	§ 1206.461.
§ 1210.205(c)(1)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.205(c)(2)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1210.206 (section heading)	MMS	ONRR.
	00 000 000 004	LS 1006 051 of this chapter
§ 1210.350	30 CFR 206.351	§ 1206.351 of this chapter.
§ 1210.351	Part 212	Part 1212.
•		Part 1212. ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1210.353	MMS	ONRR. ONRR. Office of Natural Resources Revenue.

#### Chapter II, Subchapter A

# PART 212—[REDESIGNATED AS PART 1212]

■ 27. Transfer 30 CFR part 212 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1212.

#### Chapter XII, Subchapter A

# PART 1212—RECORDS AND FILES MAINTENANCE

■ 28. The authority citation for the newly redesignated 30 CFR part 1212 continues to read as follows:

# **Authority:** 5 U.S.C. 301 *et seq.*; 25 U.S.C. 396 *et seq.*, 396a *et seq.*, 2101 *et seq.*; 30 U.S.C. 181 *et seq.*, 351 *et seq.*, 1001 *et seq.*, 1701 *et seq.*; 31 U.S.C. 9701; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, and 1801 *et seq.*

■ 29. Amend the newly redesignated part 1212 as follows:

#### AMENDMENT TABLE FOR PART 1212

Amend	By removing the reference to:	And adding in its place:
§ 1212.51(a)	Minerals Management Service (MMS)	(ONRR).
§ 1212.351(a)	MMS	ONRR. § 1206.351. ONRR. Director for Office of Natural Resources Rev-
§ 1212.351(c)	agement.	enue.

### Chapter II, Subchapter A

■ 29A. Remove reserved part 215 from subchapter A.

# PART 217—[REDESIGNATED AS PART 1217]

■ 30. Transfer 30 CFR part 217 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1217.

# Chapter XII, Subchapter A

# PART 1217—AUDITS AND INSPECTIONS

■ 31. The authority citation for the newly redesignated 30 CFR part 1217 continues to read as follows:

**Authority:** 35 Stat. 312; 35 Stat. 781, as amended; secs. 32, 6, 26, 41 Stat. 450, 753, 1248; secs. 1, 2, 3, 44 Stat. 301, as amended; secs. 6, 3, 44 Stat. 659, 710; secs. 1, 2, 3, 44

Stat. 1057; 47 Stat. 1487; 49 Stat. 1482, 1250, 1967, 2026; 52 Stat. 347; sec. 10, 53 Stat. 1196, as amended; 56 Stat. 273; sec. 10, 61 Stat. 915; sec. 3, 63 Stat. 683; 64 Stat. 311; 25 U.S.C. 396, 396a–f, 30 U.S.C. 189, 271, 281, 293, 359. Interpret or apply secs. 5, 5, 44 Stat. 302, 1058, as amended; 58 Stat. 483–485; 5 U.S.C. 301, 16 U.S.C. 508b, 30 U.S.C. 189, 192c, 271, 281, 293, 359, 43 U.S.C. 387, unless otherwise noted.

■ 32. Amend the newly redesignated part 1217 as follows:

#### AMENDMENT TABLE FOR PART 1217

Amend	By removing the reference to:	And adding in its place:
§ 1217.200 (3 times)	Associate Director for Minerals Revenue Management.	Director for Office of Natural Resources Revenue.

#### Chapter II, Subchapter A

# PART 218—[REDESIGNATED AS PART 1218]

■ 33. Transfer 30 CFR part 218 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1218.

#### Chapter XII, Subchapter A

### PART 1218—COLLECTION OF MONIES AND PROVISION FOR GEOTHERMAL CREDITS AND INCENTIVES

■ 34. The authority citation for the newly redesignated 30 CFR part 1218 continues to read as follows:

# **Authority:** 25 U.S.C. 396 *et seq.*, 396a *et seq.*, 2101 *et seq.*; 30 U.S.C. 181 *et seq.*, 351 *et seq.*, 1001 *et seq.*, 1701 *et seq.*; 31 U.S.C. 3335; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, and 1801 *et seq.*

■ 35. Amend the newly redesignated part 1218 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1218.10 § 1218.40(a)		§ 1210.10 of this chapter.  Office of Natural Resources Revenue
§ 1218.40(e) (two times)		(ONRR). ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1218.40(e)	MMS's	ONRR's.
0 (-)		
§ 1218.41(a) (two times)	MMS	ONRR.
§ 1218.41(b)	MMS	ONRR.
§ 1218.41(c)(3)	MMS	ONRR.
§ 1218.41(c)(4)	MMS-assigned	ONRR-assigned.
§ 1218.41(e)	MMS	ONRR.
§ 1218.41(f) (three times)	MMS	ONRR.
§ 1218.42(c)	MMS	ONRR.
§ 1218.50(c) (two times)	MMS	ONRR.
§ 1218.50(d)(1)	30 CFR 210.52	§ 1210.52 of this chapter.
§ 1218.50(d)(5)(i)	Minerals Management Service, Minerals Rev-	Office of Natural Resources Revenue.
3 :=:0:00(0)(0)(1)	enue Management.	
8 1219 50(a)(1) (two times)	MMS	ONRR.
§ 1218.50(e)(1) (two times)	MMS	ONRR.
§ 1218.50(e)(2) (two times)		-
§ 1218.51(a), definition of <i>Courtesy Notice</i>	MMS-issued	ONRR-issued.
§ 1218.51(a), definition of <i>EFT</i>	MMS	ONRR.
§1218.51(a), definition of Invoice document	MMS-assigned	ONRR-assigned.
identification.		
§ 1218.51(a), definition of <i>Payment</i>	MMS	ONRR.
§ 1218.51(b) introductory text (two times)	MMS	ONRR.
§ 1218.51(b)(1) (two times)	MMS	ONRR.
§ 1218.51(b)(2) (two times)	MMS	ONRR.
§ 1218.51(b)(4) introductory text	MMS	ONRR.
§ 1218.51(c)(1)	Department of the Interior—Minerals Manage-	Department of the Interior—Office of Natural
	ment Service.	Resources Revenue.
§ 1218.51(c)(1)	DOI-MMS	DOI-ONRR.
§ 1218.51(c)(2)	Department of the Interior-Minerals Manage-	Department of the Interior-Office of Natural
- (-/( /	ment Service.	Resources Revenue.
§ 1218.51(c)(2)	DOI-MMS	DOI-ONRR.
§ 1218.51(c)(3)	Department of the Interior—Minerals Manage-	Department of the Interior—Office of Natural
§ 1210.31(c)(d)	ment Service.	Resources Revenue.
§ 1218.51(c)(3)	DOI-MMS	DOI-ONRR.
	(two times) MMS	ONRR.
§ 1218.51(c)(4)		-
§ 1218.51(d)(2)	Minerals Management Service, Minerals Rev-	Office of Natural Resources Revenue.
§ 1218.51(d)(3)	enue Management.  Minerals Management Service, Minerals Rev-	Office of Natural Resources Revenue.
	enue Management.	
§ 1218.51(e)	Minerals Management Service, Minerals Rev-	Office of Natural Resources Revenue.
	enue Management.	
§ 1218.51(f)(3)(ii)	218.155(c)	§ 1218.155(c).
§ 1218.51(f)(4)(i)	30 CFR 218.155(c)	§ 1218.155(c).
§ 1218.51(f)(4)(iii)	MMS	ONRR.
§ 1218.51(g) section heading	MMS	ONRR.
§ 1218.51(g)(1) (two times)	MMS	ONRR.
§ 1218.51(g)(1)	part 243	part 1243.
§ 1218.51(g)(2)	MMS	ONRR.
§ 1218.51(g)(3)	MMS	ONRR.
§ 1218.51(h)(1) (two times)	MMS	ONRR.
§ 1218.51(h)(1)	30 CFR 218.54	§ 1218.54
§ 1218.51(h)(2)	MMS	ONRR.
§ 1218.51(h)(2)	part 241	part 1241.
§ 1218.52(a) introductory text	MMS	ONRR.
	MMS	ONRR.
§ 1218.52(c)(2)		
§ 1218.52(d)	MMS	ONRR.
§ 1218.53(b) (two times)	MMS	ONRR.
§ 1218.53(b)	part 210	part 1210.
§ 1218.53(d) (two times)	MMS	ONRR.
§ 1218.54(e)	§ 218.42	§ 1218.42.
§ 1218.55(b)	§219.103	§ 1219.103.
§ 1218.100(a)	MMS	ONRR.
§ 1218.100(b)	Associate Director	Director.
§ 1218.100(c)	30 CFR 218.51	§ 1218.51.
§ 1218.102(a) (two times)	MMS	ONRR.
§ 1218.102(b) (two times)	MMS	ONRR.
§ 1218.102(b)	§ 218.51	§ 1218.51.
§ 1218.102(d)	§218.42	§ 1218.42.
§ 1218.103(a)	MMS	ONRR.
§ 1218.103(a)	§219.100	§ 1219.100.
· · · · · · · · · · · · · · · · · · ·	1 =	l <del>T</del>
§ 1218.103(a)	§ 219.101	§ 1219.101. ONRR.
§ 1218.150(a)	MMS	
§ 1218.150(b)	UNIVID UNIVID	ONRR.
§ 1218.150(c) (two times)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1218.150(c)	§218.51	§ 1218.51.
§ 1218.150(e)	§ 218.42	§ 1218.42.
§ 1218.151 introductory text	250	part 250.
§ 1218.152	MMS	ONRR.
§ 1218.154(a) introductory text	MMS	ONRR.
§ 1218.154(b)	MMS	ONRR.
§ 1218.155(a)	§ 218.51	§ 1218.51.
§ 1218.155(c) (three times)	MMS	ONRR.
§ 1218.155(d)(1)	MMS	ONRR.
§ 1218.155(d)(3) (two times)	MMS	ONRR.
§ 1218.155(d)(3)	§ 218.51	§ 1218.51.
§ 1218.155(d)(4)	MMS	ONRR.
§ 1218.155(e) introductory text	Department of the Interior—MMS	Department of the Interior—ONRR.
§ 1218.200	MMS	ONRR.
§ 1218.201 introductory text	§ 218.51	§ 1218.51.
	I ¥	
§ 1218.201(b) § 1218.201(c)	§ 218.51(f)(1)	§ 1218.51(f)(1).   ONRR.
§ 1218.201(c)	§ 218.51(f)(4)(iii)	
• • • • • • • • • • • • • • • • • • • •	J	§ 1218.51(f)(4)(iii).
§ 1218.202(a) (two times)	MMS	ONRR.
§ 1218.202(b) (two times)	MMS	ONRR.
§ 1218.202(b)	§218.51	§ 1218.51.
§ 1218.202(f)	§ 218.42	§ 1218.42.
§ 1218.203(b)	MMS	MMS.
§ 1218.203(d) (two times)	MMS	ONRR.
§ 1218.300	MMS	MMS.
§ 1218.301	30 CFR 218.51	§ 1218.51.
§ 1218.302(a)	Minerals Management Service (MMS)	ONRR.
§ 1218.302(a)	MMS	ONRR.
§ 1218.302(b) (two times)	MMS	ONRR.
§ 1218.302(b)	§218.51	§ 1218.51.
§ 1218.302(f)	§218.42	§ 1218.42.
§ 1218.303(a)(1)	30 CFR 206.351	§ 1206.351 of this chapter.
§ 1218.304	§ 206.356(b)	§ 1206.356 of this chapter.
§ 1218.305(a)	part 1206.	part 1206.
§ 1218.305(b)	MMS	ONRR.
§ 1218.306(a)(2)	MMS	ONRR.
§ 1218.306(b)	MMS	ONRR.
§ 1218.307 `	part 1206	part 1206.
§ 1218.520, definition of Addressee of record	§ 218.540(b)(2)	§ 1218.540(b)(2).
for service of official correspondence.	3 (-)(-)	3
§ 1218.520, definition of Addressee of record	part 290, subpart B	part 1290.
for service of official correspondence.	part 200, oabpart 5	part 1200.
§ 1218.520, definition of <i>Official correspond</i> -	MMS	ONRR.
ence.	WINO	OWN II.
§ 1218.540 (section heading)	MMS	ONRR.
§ 1218.540 introductory text	MMS	ONRR.
§ 1218.540 introductory text	part 241	part 1241.
	MMS	ONRR.
§ 1218.540(a) introductory text		ONRR.
§ 1218.540(b)(1)	MMS	
§ 1218.540(b)(1)	part 290, subpart B	part 1290.
§ 1218.540(c)	MMS	ONRR.
§ 1218.560 (two times)	MMS	ONRR.
§ 1218.580	MMS Form-4444	Form MMS–4444.

#### Chapter II, Subchapter A

# PART 219—[AMENDED]

■ 36. Transfer 30 CFR part 219, subpart C (§§ 219.100 through 219.105), from chapter II, subchapter C, to 30 CFR, chapter XII, subchapter A, and redesignate as "PART 1219—DISTRIBUTION AND DISBURSEMENT OF ROYALTIES, RENTALS, AND BONUSES" (§§ 1219.100 through 1219.105).

# Subpart C [Reserved]

■ 37. Add and reserve a new subpart C to part 219 to read as set forth above.

# Chapter XII, Subchapter A

# PART 1219—DISTRIBUTION AND DISBURSEMENT OF ROYALTIES, RENTALS, AND BONUSES

■ 38. Add an authority citation for the newly redesignated 30 CFR part 1219 to read as follows:

**Authority:** Section 104, Pub. L. 97–451, 96 Stat. 2451 (30 U.S.C. 1714), Pub. L. 109–432, Div C, Title I, 120 Stat. 3000.

■ 39. Amend the newly redesignated part 1219 as follows:

# AMENDMENT TABLE FOR PART 1219

Amend	By removing the reference to:	And adding in its place:
§ 1219.101(a)	Minerals Management Service (MMS)	Office of Natural Resources Revenue (ONRR).
§ 1219.101(a)	MMS	ONRR.
§ 1219.101(b)	§219.100	§ 1219.100.
§ 1219.101(c)(2 times)	MMS	ÖNRR.
§ 1219.102`	MMS	ONRR.
§ 1219.102	Minerals Management Service, Minerals Revenue Management.	Office of Natural Resources Revenue.
§ 1219.103	MMS	ONRR.
§ 1219.104(a)(2 times)	MMS	ONRR.
§ 1219.104(b)(2 times)	MMS	ONRR.
§ 1219.104(c)	MMS	ONRR.

#### Chapter II, Subchapter A

# PART 220—[REDESIGNATED AS PART 1220]

■ 40. Transfer 30 CFR part 220 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1220.

#### Chapter XII, Subchapter A

PART 1220—ACCOUNTING PROCEDURES FOR DETERMINING NET PROFIT SHARE PAYMENT FOR OUTER CONTINENTAL SHELF OIL AND GAS LEASES

■ 41. The authority citation for the newly redesignated 30 CFR part 1220 continues to read as follows:

**Authority:** Sec. 205, Pub. L. 95–372, 92 Stat. 643 (43 U.S.C. 1337).

■ 42. Amend the newly redesignated part 1220 as follows:

Amend	By removing the reference to :	And adding in its place:
§ 1220.001(a)	part 220	part 1220.
§ 1220.001(b)	part 220	part 1220.
§ 1220.001(b)	this chapter	this title.
§ 1220.002, definition of <i>Allowance for capital recovery</i> .	§ 220.020	§ 1220.020.
§ 1220.002, definition of Capital recovery pe-	MMS	Bureau of Ocean Energy Management, Regu-
riod, paragraph (1).		lation, and Enforcement (BOEMRE).
§ 1220.002, definition of <i>Direct cost</i>	§ 220.011	§ 1220.011.
§ 1220.002, definition of <i>Director</i>	MMS	ONRR.
§ 1220.002, definition of Joint cost	§ 220.011	§ 1220.011.
§ 1220.002, definition of <i>Net profit share base</i>	§ 220.021	§ 1220.021.
§ 1220.002, definition of <i>Net profit share base</i>	part 220	part 1220.
§ 1220.002, definitions of <i>Net profit share rate</i> ,	this chapter	this title.
NPSL, Production revenue.	and oriaptor	tino tito.
§ 1220.002, definition of Person	part 260	part 1206.
§ 1220.003(a)	§ 220.012	§ 1220.012.
§ 1220.003(a)	§ 220.020	§ 1220.020.
§ 1220.003(b)	Minerals Management Service	Office of Natural Resources Revenue.
§ 1220.003(b)	§ 220.012	§ 1220.012.
§ 1220.010(a)	§ 220.020	§ 1220.020.
§ 1220.010(a)	§ 220.014	§ 1220.014.
§ 1220.011 introductory text	§ 220.014	§ 1220.014. § 1220.013(g).
§ 1220.011(b)(4)(iii)	§ 220.013(g)	§ 1220.013(g).
	§ 220.015	§ 1220.015(g).
§ 1220.011(c)(1) § 1220.011(c)(1)	§ 220.032	§ 1220.013. § 1220.032.
• ( ) ( )	§ 220.020	
§ 1220.011(c)(3)	0	§ 1220.020.
§ 1220.011(c)(3)	§ 220.021(a)(3)	§ 1220.021(a)(3).
§ 1220.011(e) introductory text	§ 220.013(c) § 220.013(f)	§ 1220.013(c).
§ 1220.011(f)	0 ()	§ 1220.013(f).
§ 1220.011(j)(2)(ii)	§ 220.020	§ 1220.020.
§ 1220.011(j)(2)(ii)	§ 220.021(a)(3)	§ 1220.021(a)(3).
§ 1220.011(k)	§ 220.014	§ 1220.014.
§ 1220.011(o)	§ 220.013	§ 1220.013.
§ 1220.012(a)	§ 220.021(b)(2)	§ 1220.021(b)(2).
§ 1220.012(b)	§ 220.021(c)(2)	§ 1220.021(c)(2).
§ 1220.012(c)(1)	§ 220.011(a)	§ 1220.011(a).
§ 1220.012(c)(2)	§ 220.011(e)	§ 1220.011(e).
§ 1220.012(c)(3)	§ 220.011(i)	§ 1220.011(i).
§ 1220.012(c)(4)	§ 220.011(c)	§ 1220.011(c).
§ 1220.012(c)(5)	§ 220.011(c)	§ 1220.011(c).
§ 1220.013(b)	§ 220.011(g)	§ 1220.011(g).

Amend	By removing the reference to :	And adding in its place:
§ 1220.013(c)	§ 220.011(c)	§ 1220.011(c).
§ 1220.013(c)	§ 220.020	§ 1220.020.
§ 1220.013(f)(4)	§ 220.011(f)	§ 1220.011(f).
§ 1220.013(i)	§ 220.011(g)	§ 1220.011(g).
§ 1220.015(a)(1)	§ 220.011(c)(3)	§ 1220.011(c)(3).
§ 1220.015(b)(1)	§ 220.011(d)	§ 1220.011(d).
§ 1220.020(a)(1)	§ 220.012(c)	§ 1220.012(c).
§ 1220.020(a)(2)	§ 220.011(e)	§ 1220.011(e).
§ 1220.020(a)(3)	§ 220.012(a)	§ 1220.012(a).
§ 1220.020(b)	§ 220.021(b)	§ 1220.021(b).
§ 1220.020(c)	§ 220.021(b)	§ 1220.021(b).
§ 1220.021(a)(2)	this chapter	this titlel.
§ 1220.021(a)(3)	§ 220.011(p)	§ 1220.011(p).
§ 1220.021(b)(2)	§ 220.012(a)	§ 1220.012(a).
§ 1220.021(b)(3)	§ 220.020	§ 1220.020.
§ 1220.021(c)(2)	§ 220.012(b)	§ 1220.012(b).
§ 1220.022	§ 220.021	§ 1220.021.
§ 1220.030(a) introductory text	part 220	part 1220.
§ 1220.030(a)(4) (two times)	§ 220.011(g)	§ 1220.011(g).
§ 1220.031(b) introductory text	part 220	part 1220.
§ 1220.031(c)	part 220	part 1220.
§ 1220.031(d)	part 220	part 1220.
§ 1220.031(e)	part 220	part 1220.
§ 1220.032(d)	§ 220.033	§ 1220.033.
§ 1220.032(d)	§ 220.015(a)(2)	§ 1220.015(a)(2).
§ 1220.032(d)	§ 220.020	§ 1220.020.
§ 1220.032(d)	§ 220.021(a)(3)	§ 1220.021(a)(3).
§ 1220.033(c)(1)	§ 220.034	§ 1220.034.
§ 1220.033(e)	§ 220.030(a)	§ 1220.030(a).
§ 1220.034(a)	§ 220.033	§ 1220.033.
§ 1220.034(d)	30 CFR part 290	part 1290 of this chapter.

#### Chapter II, Subchapter A

# PART 227—[REDESIGNATED AS PART PART 1227—DELEGATION TO STATES 1227]

■ 43. Transfer 30 CFR part 227 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1227.

#### Chapter XII, Subchapter A

 $\blacksquare$  44. The authority citation for the newly redesignated 30 CFR part 1227 continues to read as follows:

Authority: 30 U.S.C. 1735; 30 U.S.C. 196; Pub. L. 102–154.

■ 45. Amend the newly redesignated part 1227 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1227.1	MMS Offshore Minerals Management pro-	BOEMRE.
	gram.	
§ 1227.10(b)	MMS estimates 400	Office of Natural Resources Revenue (ONRR)
\$ 1007 10/h) /h.u. himan)	MANG	estimates 400.
§ 1227.10(b) (two times)	MMS	ONRR.
§ 1227.10(b)	Minerals Management Service, 1849 C Street,	Bureau of Ocean Energy Management, Regu-
	NW., Washington, DC 20240.	lation, and Enforcement, 381 Elden Street, Herndon, VA 20107.
§ 1227.101 (section heading)	MMS	ONRR.
§ 1227.101 (section recalling)	MMS	ONRR.
§ 1227.101(b) introductory text	MMS	ONRR.
§ 1227.101(c)	MMS	ONRR.
§ 1227.102 (section heading)	MMS	ONRR.
§ 1227.102 introductory text (two times)	MMS	ONRR.
§ 1227.102(a) (two times)	MMS	ONRR.
§ 1227.102(b) (two times)	MMS	ONRR.
§ 1227.102(d) (three times)	MMS	ONRR.
§ 1227.102(e)	MMS	ONRR.
§ 1227.102(f)	MMS	ONRR.
§ 1227.103 introductory text (2 times)	MMS	ONRR.
§ 1227.103 introductory text	MMS Associate Director for Minerals Revenue	Director for Office of Natural Resources Rev-
	Management.	enue.
§ 1227.103(c)(2)(i)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1227.103(e)(3)(i)(A)	MMS	ONRR.
§ 1227.103(g)	MMS	ONRR.
§ 1227.104 (section heading)	MMS	ONRR.
§ 1227.104 (four times)	MMS	ONRR.
§ 1227.104	§ 227.103	§ 1227.103.
§ 1227.105 introductory text (three times)	MMS	ONRR.
§ 1227.105 introductory text (unce times)	MMS	ONRR.
§ 1227.105(d)	MMS	ONRR.
§ 1227.105(d) (four times)	MMS	ONRR.
§ 1227.105(g)	MMS	ONRR.
§ 1227.105(h)	MMS	ONRR.
§ 1227.105(i)	MMS	ONRR.
§ 1227.106(i)	MMS	ONRR.
§ 1227.106(b)	MMS	ONRR.
§ 1227.106(d) (two times)	MMS	ONRR.
§ 1227.106(e)	MMS	ONRR.
§ 1227.100(c)	MMS	ONRR.
§ 1227.107 (section fleading)	MMS	ONRR.
I	§ 227.104	§ 1227.104.
§ 1227.107 § 1227.108 (section heading)	MMS	§ 1227.104.   ONRR.
§ 1227.106 (section rieading)	MMS	ONRR.
§ 1227.106 (tillee tilles)	MMS	ONRR.
§ 1227.109 (section rieading)	MMS	ONRR.
§ 1227.109 (tillee tilles)	MMS	ONRR.
§ 1227.110(a) (live lines)	§ 227.108	§ 1227.108.
§ 1227.110(a)	www.mms.gov	www.boemre.gov.
£ 1. f	MMS	ONRR.
§ 1227.110(b) introductory text § 1227.110(b) introductory text	MMS Associate Director for Minerals Revenue	Director for Office of Natural Resources Rev-
g 1227.110(b) introductory text		
8 1227 110/b\/1\	Management. MMS	enue. ONRR.
§ 1227.110(b)(1)	MMS	ONRR.
§ 1227.110(c) (five times)	MMS	ONRR.
§ 1227.110(d) (four times)	MMS Associate Director for Minerals Revenue	Director for Office of Natural Resources Rev-
§ 1227.110(e)		
§ 1227.111(c)	Management. MMS	enue. ONRR.
§ 1227.111(b)	MMS	ONRR.
`'	MMS	ONRR.
§ 1227.112(f)	MMS	ONRR.
§ 1227.112(g)	section 227.801	§ 1227.801.
§ 1227.112(g)	MMS	9 1227.001.   ONRR.
§ 1227.200(a) (four times) § 1227.200(c) (two times)	MMS	ONRR.
§ 1227.200(d)	MMS	ONRR.
§ 1227.200(a) introductory text (three times)	MMS	ONRR.
§ 1227.200(e) introductory text (times times)	MMS	ONRR.
§ 1227.200(f)	§ 227.201	§ 1227.201.
§ 1227.200(h)	MMS	ONRR.
§ 1227.200(i)	MMS's	ONRR's.
§ 1227.200(j)	§227.201	§ 1227.201.
§ 1227.200(j)	MMS	§ 1227.201.   ONRR.
§ 1227.201(a)	§§ 227.801 and 227.802	§§ 1227.801 and 1227.802.
§ 1227.201(d)	MMS	99 1227.001 and 1227.002.
§ 1227.300 introductory text	MMS	ONRR.
§ 1227.300(a)(4)	MMS	ONRR.
§ 1227.300(a)(4)	MMS	ONRR.
§ 1227.301(a)	MMS	ONRR.
§ 1227.301(b) (two times)	MMS	ONRR.
§ 1227.301(e) (two times)	MMS	ONRR.
§ 1227.400(a)(4)	MMS	ONRR.
1 1 1	MMS	ONRR.
§ 1227.400(a)(6)	MMS	ONRR.
§ 1227.400(a)(7)		
§ 1227.400(c)	MMS	ONRR.
§ 1227.401(b)		ONRR.
§ 1227.401(c)	MMS	ONRR.
§ 1227.401(d)	MMS	ONRR.
§ 1227.401(e) (two times)	MMS	ONRR.
§ 1227.401(f)	part 210	part 1210.
§ 1227.401(f) (two times)	MMS	ONRR.
§ 1227.401(g)	part 218	part 1218.
§ 1227.500(g)	MMS	ONRR.
§ 1227.501(a)	parts 216 and 218	parts 1216 and 1218.
§ 1227.501(a)	30 CFR 227.401(f) and (g)	30 CFR 1227.401(f) and (g).
§ 1227.501(a)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1227.501(c)	MMS	ONRR.
§ 1227.501(c)	part 219	part 1219.
§ 1227.600(a)(3)	MMS	ONRR.
§ 1227.600(b)(3) (two times)	MMS	ONRR.
§ 1227.600(d)	MMS	ONRR.
§ 1227.601(d) (two times)	MMS	ONRR.
§ 1227.700(d)(1)	MMS	ONRR.
§ 1227.800 (section heading)	MMS	ONRR.
§ 1227.800 introductory text	MMS's	ONRR's.
§ 1227.800(a)	MMS	ONRR.
§ 1227.800(b)(1)	§ 227.200(e)	§ 1227.200(e).
§ 1227.800(b)(2)	MMS	ONRR.
§ 1227.800(b)(3)	MMS	ONRR.
§ 1227.801 introductory text (two times)	MMS	ONRR.
§ 1227.801 introductory text	§ 227.106	§ 1227.106.
§ 1227.801(a)	MMS	ONRR.
§ 1227.801(b)(1)	§ 227.802	§ 1227.802.
§ 1227.801(b)(2)	§227.112	§ 1227.112.
§ 1227.801(b) introductory text (two times)	MMS	ONRR.
§ 1227.801(b)(3)(ii)	MMS	ONRR.
§ 1227.802 (section heading)	MMS	ONRR.
§ 1227.802 introductory text	MMS	ONRR.
§ 1227.802(a)	MMS	ONRR.
§ 1227.802(b)	MMS	ONRR.
§ 1227.802(b)	§ 227.803	§ 1227.803.
§ 1227.802(c)	MMS	ONRR.
§ 1227.802(d) introductory text	MMS	ONRR.
§ 1227.802(e) (two times)	MMS	ONRR.
§ 1227.803(a)	MMS	ONRR.
§ 1227.803(f) introductory text	MMS	ONRR.
§ 1227.803(g)	MMS	ONRR.
§ 1227.803(h) introductory text	MMS	ONRR.
§ 1227.803(h)(2)	§ 227.106	§ 1227.106.
§ 1227.804 (three times)	MMS	ONRR.

# Chapter II, Subchapter A

# PART 228—[REDESIGNATED AS PART 1228]

■ 46. Transfer 30 CFR part 228 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1228.

# Chapter XII, Subchapter A

# PART 1228—COOPERATIVE ACTIVITIES WITH STATES AND INDIAN TRIBES

■ 47. The authority citation for the newly redesignated 30 CFR part 1228 continues to read as follows:

# **Authority:** Sec. 202, Pub. L. 97–451, 96 Stat. 2457 (30 U.S.C. 1732).

■ 48. Amend the newly redesignated part 1228 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1228.5(a)	Minerals Management Service (MMS)	Office of Natural Resources Revenue (ONRR).
§ 1228.5(c) (3 times)	MMS	ONRR.
§ 1228.6, definition of Audit	MMS	ONRR.
§ 1228.10(b)	Minerals Management Service	Bureau of Ocean Energy Management, Regulation, and Enforcement.
§ 1228.100(a)	MMS	ONRR.
§ 1228.100(b) introductory text	MMS	ONRR.
§ 1228.100(c)	§ 228.105	§ 1228.105.
§ 1228.101(d) (3 times)	MMS	ONRR.
§ 1228.102 (5 times)	MMS	ONRR.
§ 1228.103(a)	MMS	ONRR.
§ 1228.104(a)	MMS	ONRR.
§ 1228.104(c)	MMS	ONRR.
§ 1228.108	MMS	ONRR.

#### Chapter II, Subchapter A

# PART 229—[REDESIGNATED AS PART 1229]

■ 49. Transfer 30 CFR part 229 from chapter II, subchapter A, to chapter XII, subchapter A, and redesignate as 30 CFR part 1229.

#### Chapter XII, Subchapter A

# **PART 1229—DELEGATION TO STATES**

■ 50. The authority citation for the newly redesignated 30 CFR part 1229 continues to read as follows:

**Authority:** 30 U.S.C. 1735.

# ■ 51. Amend the newly redesignated part 1229 as follows:

#### **AMENDMENT TABLE FOR PART 1229**

Amend	By removing the reference to:	And adding in its place:
§ 1229.6	part 228	part 1228.
§ 1229.100(a)(1)	MMS	to the Office of Natural Resources Revenue (ONRR).
§ 1229.100(a)(1)	MMS	ONRR.
§ 1229.100(a)(2) (three times)	MMS	ONRR.
§ 1229.100(b) introductory text	MMS	ONRR.
§ 1229.101(b)	part 228	part 1228.
§ 1229.102(b)(4)	MMS	ONRR.
§ 1229.102(b)(5)	MMS	ONRR.
§ 1229.103(a)	§ 229.101	\$sect; 1229.101.
§ 1229.104(b)	MMS	ONRR.
§ 1229.104(b)	§ 229.100	§ 1229.100.
§ 1229.104(d)	MMS	ONRR.
§ 1229.104(e)	MMS	ONRR.
§ 1229.104(f)	MMS	ONRR.
§ 1229.107(a)	MMS	ONRR.
§ 1229.107(a)	part 219	part 1219.
§ 1229.107(c)	MMS	ONRR.
§ 1229.108	MMS	ONRR.
§ 1229.111	MMS	ONRR.
§ 1229.111	§ 229.104	§ 1229.104.
§ 1229.120 (two times)	MMS	ONRR.
§ 1229.121(b)	MMS	ONRR.
§ 1229.121(c) (two times)	MMS	ONRR.
§ 1229.121(c) (two times)	§ 229.124	§ 1229.124
§ 1229.121(d)	MMS	ONRR.
•	MMS	ONRR.
§ 1229.122(a) (two times)	MMS	ONRR.
§ 1229.122(b) (two times)	MMS	ONRR.
§ 1229.122(c)	MMS	-
§ 1229.123(b)(2) (three times)		ONRR.
§ 1229.123(b)(3)(i)	MMS	ONRR.
§ 1229.123(b)(3)(v) (two times)	MMS	ONRR.
§ 1229.123(b)(3)(vii)	MMS	ONRR.
§ 1229.125(a)	MMS	ONRR.
§ 1229.125(b) (two times)	MMS	ONRR.
§ 1229.126(a)	parts 243 and 290	parts 1243 and 1290.
§ 1229.126(a) (three times)	MMS	ONRR.
§ 1229.126(b)	MMS	ONRR.

#### Chapter II, Subchapter A

■ 51A. Remove reserved parts 230 through 234 from subchapter A.

# PART 241—[REDESIGNATED AS PART 1241]

■ 52. Transfer 30 CFR part 241 from chapter II, subchapter A, to chapter XII,

subchapter A, and redesignate as 30 CFR part 1241.

Chapter XII, Subchapter A

# **PART 1241—PENALTIES**

■ 53. The authority citation for the newly redesignated 30 CFR part 1241 continues to read as follows:

**Authority:** 25 U.S.C. 396 *et seq.*, 396a *et seq.*, 2101 *et seq.*; 30 U.S.C. 181 *et seq.*, 351 *et seq.*, 1001 *et seq.*, 1701 *et seq.*; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, 1801 *et seq.* 

■ 54. Amend the newly redesignated part 1241 as follows:

Amend	By removing the reference to :	And adding in its place:
§ 1241.51 (section heading)	MMS	Office of Natural Resources Revenue (ONRR).
§ 1241.51(b)	§241.72part 243	§ 1241.72.

Amend	By removing the reference to :	And adding in its place:
§ 1241.61 (section heading) § 1241.61 § 1241.63(b) introductory text § 1241.63(b)(2) (two times) § 1241.64(a) (two times) § 1241.70 (section heading) § 1241.71(b) § 1241.71(b) § 1241.71(b) § 1241.75(a) § 1241.75(a) § 1241.75(b) § 1241.75(b) § 1241.75(b) § 1241.75(d)(1) § 1241.75(d)(2) § 1241.75(d)(2) § 1241.75(d)(2) § 1241.75(d)(3) § 1241.75(e) § 1241.75(e) § 1241.75(e) § 1241.75(e) § 1241.77 (section heading) § 1241.77 (section heading) § 1241.77(a) § 1241.77(b) (two times)	MMS part 218 \$241.72 part 243 \$241.62 MMS \$241.75(d) MMS 30 CFR 218.54 \$\$241.54, 241.56, 241.62 or \$241.64 \$\$241.54, \$241.56, \$241.62, or \$241.64 \$\$241.54, \$241.56, \$241.62, or \$241.64 \$\$241.54, \$241.56, \$241.62, or \$241.64 \$\$241.73 \$241.54, \$241.56, \$241.62, or \$241.64 \$241.73 \$241.54, \$241.56, \$241.62, or \$241.64 \$241.73 \$241.55(b) or \$241.64 \$241.73 \$241.73 \$241.73 \$241.73 \$241.73 \$241.73 \$241.73 \$241.73 \$241.73 \$241.74 \$241.75 MMS MMS MMS MMS \$241.74 \$241.60(b)	part 1243 § 1241.62 ONRR § 1241.75(d) ONRR § 1218.54 of this chapter. §§ 241.54, 1241.56, 1241.62 or § 1241.64. §§ 1241.53 or § 1241.61. § 1241.54, § 1241,56, § 1241.62, or § 1241.64. § 1241.73. § 1241.54, § 1241,56, § 1241.62, or § 1241.64. § 241.72. § 1241.55(b) or § 1241.63(b). § 1241.73. § 1241.73. § 1241.73. § 1241.73. § 1241.73. § 1241.73. § 1241.77. ONRR. ONRR. ONRR.

### Chapter II, Subchapter A

■ 54A. Remove reserved part 242 from subchapter A.

# PART 243—[REDESIGNATED AS PART 1243]

■ 55. Transfer 30 CFR part 243 from chapter II, subchapter A, to 30 CFR, chapter XII, subchapter A, and redesignate as 30 CFR part 1243.

#### Chapter XII, Subchapter A

# PART 1243—SUSPENSIONS PENDING APPEAL AND BONDING—OFFICE OF NATURAL RESOURCES REVENUE

■ 56. The authority citation for the newly redesignated 30 CFR part 1243 continues to read as follows:

**Authority:** 5 U.S.C. 301 *et seq.*; 25 U.S.C. 396 *et seq.*, 396a *et seq.*, 2101 *et seq.*; 30

U.S.C. 181 *et seq.*, 351 *et seq.*, 1001 *et seq.*, 1701 *et seq.*; 31 U.S.C. 9701; 43 U.S.C. 1301 *et seq.*, 1331 *et seq.*, and 1801 *et seq.* 

- 57. Revise the heading of newly designated part 1243 to read as set forth above.
- 58. Amend the newly redesignated part 1243 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1243.1(a)	part 290, subpart B	part 1290 of this chapter.
§ 1243.3, definition of <i>Designee</i>	§ 218.52	§ 1218.52.
§ 1243.3, definition of MMS bond-approving offi- cer.	MMS	ÖNRR.
§ 1243.3, definition of MMS bond-approving Of-	Associate Director for Minerals Revenue Man-	Director for Office of Natural Resources Rev-
ficer.	agement.	enue (ONRR).
§ 1243.3, definition of MMS-specified surety in- strument (three times).	MMS-specified	ONRR-specified.
§1243.3, definition of Notice of order (two	MMS	ONRR.
times).		
§ 1243.3, definition of Order	part 208	part 1208.
§ 1243.4(b)(2)	§ 243.5	§ 1243.5.
§ 1243.5	§ 243.4(a)(1)	§ 1243.4(a)(1).
§ 1243.6	§ 243.4(a)(1)	§ 1243.4(a)(1).
§ 1243.7 introductory text	§ 243.5	§ 1243.5.
§ 1243.7(a)	MMS	ONRR.
§ 1243.7(a)	§ 243.200(a)	§ 1243.200(a).
§ 1243.7(b)	MMS	ONRR.
§ 1243.8 (section heading)	MMS	ONRR.
§ 1243.8(a)(1) (two times)	MMS	ONRR.
§ 1243.8(a)(1)	MMS for OCS leases	BOEMRE for OCS leases.
§ 1243.8(a)(2) introductory text	MMS	ONRR.
§ 1243.8(a)(2)(i)	MMS-specified	ONRR-specified.
§ 1243.8(a)(2)(i)	MMS	ONRR.
§ 1243.8(b)(1) (two times)	MMS	ONRR.
§ 1243.8(b)(2) (two times)	MMS	ONRR.
§ 1243.8(b)(2)	MMS-specified	ONRR-specified.

Amend	By removing the reference to:	And adding in its place:
§ 1243.8(d)	MMS	ONRR.
§ 1243.9 (section heading)	MMS	ONRR.
§ 1243.9(a)	MMS	ONRR.
§ 1243.9(b) (two times)	MMS	ONRR.
§ 1243.10 (section heading)	MMS	ONRR.
§ 1243.10(a)(1)	MMS-specified	ONRR-specified.
§ 1243.10(b) introductory text	MMS	ONRR.
§ 1243.10(b)(1)	MMS	ONRR.
§ 1243.10(b)(4) (two times)	§ 243.101(b)	§ 1243.101(b).
§ 1243.10(b)(4)	MMS	ÖNRR.
§ 1243.10(b)(5)	§ 243.8 or § 243.9	§ 1243.8 or § 1243.9.
§ 1243.10(b)(6)	MMS	ONRR.
§ 1243.10(b)(6)	§ 243.202(c)	§ 1243.202(c).
§ 1243.10(b)(6)	MMS-specified	ONRR-specified.
§ 1243.11 (section heading)	MMS	ONRR.
§ 1243.12	MMS-specified	ONRR-specified.
§ 1243.100 (section heading)	MMS-specified	ONRR-specified.
§ 1243.100(a)	MMS-specified	ONRR-specified.
§ 1243.100(a) (two times)	MMS	ONRR.
§ 1243.100(a)	MMS-specified	ONRR-specified.
§ 1243.100(b) introductory text	MMS	ONRR.
§ 1243.100(b)(2)	MMS	ONRR.
§ 1243.101 (section heading)	MMS	ONRR.
§ 1243.101(a) introductory text	MMS	ONRR.
§ 1243.101(a)(1)	MMS-specified	ONRR-specified.
§ 1243.200(a) introductory text (two times)	MMS	ONRR.
§ 1243.200(b) (two times)	MMS	ONRR.
§ 1243.200(c)	MMS	ONRR.
§ 1243.201 (section heading)	MMS	ONRR.
§ 1243.201(a)	MMS	ONRR.
§ 1243.201(c) introductory text	MMS	ONRR.
§ 1243.201(c) introductory text	§ 243.200(a)	§ 1243.200(a).
§ 1243.201(c)(2)(i)	30 CFR 218.51	§ 1218.51 of this chapter.
§ 1243.202 (section heading)	MMS	
§ 1243.202(a)	§ 243.201(b)	§ 1243.201(b).
§ 1243.202(a)	MMS	ONRR.
§ 1243.202(a)	§§ 243.201(b) and (c)	§§ 1243.201(b) and (c).
§ 1243.202(b)	§ 243.201(c)	
§ 1243.202(c)	MMS-specified	ONRR-specified.
3 := :=:==(*)		

# Chapter II, Subchapter C

# PART 290—[AMENDED]

■ 59. Transfer 30 CFR part 290, subpart B (§§ 290.100 through 290.110), from chapter II, subchapter C, to 30 CFR, chapter XII, subchapter B, and redesignate as "PART 1290—APPEAL PROCEDURES FOR OFFICE OF NATURAL RESOURCES REVENUE" (§§ 1290.100 through 1290.110).

### Subpart B [Reserved]

■ 60. Add and reserve a new subpart B to part 290 to read as set forth above.

# Chapter XII, Subchapter B

# PART 1290—APPEAL PROCEDURES FOR OFFICE OF NATURAL RESOURCES REVENUE

■ 61. Add an authority citation for the newly redesignated 30 CFR part 1290 to read as follows:

**Authority:** 5 U.S.C. 301 *et seq.*; 43 U.S.C. 331.

■ 62. Amend the newly redesignated part 1290 as follows:

Amend	By removing the reference to:	And adding in its place:
§ 1290.100	Minerals Management Service (MMS)	Office of Natural Resources Revenue (ONRR).
§ 1290.100	Minerals Revenue Management (MRM)	ONRR.
§ 1290.102, definition of <i>Delegated State</i>		ONRR.
§ 1290.102, definition of <i>Delegated State</i>	part 227	part 1227 of this chapter.
§ 1290.102, definition of Designee	30 CFR 218.52	§ 1218.52 of this chapter.
§ 1290.102, definition of Notice of Order (2	MMS	ONRR.
times)		
§ 1290.102, definition of <i>Order</i>	MMS Director, MMS MRM, or a delegated	ONRR Director, or a delegated state.
	state.	
§ 1290.102, definition of <i>Order</i> , paragraph (1)(ii)	MMS	ONRR.

Amend	By removing the reference to:	And adding in its place:
§ 1290.102, definition of <i>Order</i> , paragraph (2)(iii).	MMS	ONRR.
§ 1290.102, definition of <i>Order</i> , paragraph (2)(iv).	part 241	part 1241.
§ 1290.102, definition of Party	MMS	ONRR.
§ 1290.103(a)	§ 290.104	§ 1290.104.
§ 1290.103(b)	§ 290.106	§ 1290.106.
§ 1290.104(b)	part 243	part 1243.
§ 1290.105(a) introductory text	Minerals Management Service (MMS Director)	Office of Natural Resources Revenue (ONRR
		Director).
§ 1290.105(d)	MMS	ONRR.
§ 1290.105(e)	MMS	ONRR.
§ 1290.105(f)	MMS	ONRR.
§ 1290.105(g)	MMS	ONRR.
§ 1290.106(a)	§ 290.103	§ 1290.103.
§ 1290.106(a)	§ 290.105(a)(2)	§ 1290.105(a)(2).
§ 1290.108	MMS	ONRR.
§ 1290.110(a) introductory text	MMS Royalty Management Program (RMP)	Office of Natural Resources Revenue (ONRR).
§ 1290.110(a)(1)	MMS	ONRR.
§ 1290.110(a)(2)	part 290, subpart B	part 1290.

[FR Doc. 2010–24721 Filed 10–1–10; 8:45 am] BILLING CODE 4310–MR-P

# DEPARTMENT OF HOMELAND SECURITY

**Coast Guard** 

33 CFR Part 117

[Docket No. USCG-2009-1075]

RIN 1625-AA09

Drawbridge Operation Regulation; Atlantic Intracoastal Waterway, Beaufort, SC

**AGENCY:** Coast Guard, DHS. **ACTION:** Temporary rule.

**SUMMARY:** The Coast Guard is revising the operating schedule for the U.S. Highway 21, Sea Island Parkway Bridge at mile marker 536 over the Beaufort River (Atlantic Intracoastal Waterway). This bridge is also known as the Lady's Island Bridge. Due to ongoing construction on the McTeer Bridge, which is across the Atlantic Intracoastal Waterway at mile 539.0 in Port Royal, South Carolina, vehicle traffic is currently being rerouted from the McTeer Bridge to the Lady's Island Bridge. This rerouting has resulted in increased vehicle traffic congestion on the Lady's Island Bridge. As a result of the increased vehicle traffic congestion, Beaufort County has requested a revision to the federal regulation setting forth the Lady's Island Bridge operating schedule. Such a revision will alleviate excessive vehicle congestion on the Lady's Island Bridge while permitting

sufficient access for maritime passage underneath the bridge.

**DATES:** This temporary final rule is effective from October 4, 2010 to October 4, 2011.

ADDRESSES: Documents indicated in this preamble as being available in the docket, are part of docket USCG—2009—1075 and are available by going to http://www.regulations.gov, inserting USCG—2009—1075 in the "Keyword" box, and then clicking "Search." This material is also available for inspection or copying at the Docket Management Facility (M—30), U.S. Department of Transportation, West Building Ground Floor, Room W12—140, 1200 New Jersey Avenue, SE., Washington, DC, 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: If you have questions on this temporary rule, call or e-mail Mr. Gene Stratton, Coast Guard; telephone 305–415–6740, e-mail *Allen.E.Stratton@uscg.mil.* If you have questions on viewing the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

# SUPPLEMENTARY INFORMATION:

# **Regulatory Information**

The Coast Guard is issuing this temporary final rule without prior notice and opportunity to comment pursuant to authority under section 4(a) of the Administrative Procedure Act (APA) (5 U.S.C. 553(b)). This provision authorizes an agency to issue a rule without prior notice and opportunity to comment when the agency for good cause finds that those procedures are "impracticable, unnecessary, or contrary

to the public interest." Under 5 U.S.C. 553(b)(B), the Coast Guard finds that good cause exists for not publishing a notice of proposed rulemaking (NPRM) with respect to this rule because of increased vehicle congestion on the Lady's Island Bridge due to the rerouting of traffic from the McTeer Bridge, which is currently undergoing construction. Beaufort County Emergency Management has requested an immediate schedule change to alleviate this excessive vehicle congestion. The revised schedule for the Lady's Island Bridge does not unduly affect access to the waterway by the maritime public.

Under 5 U.S.C. 553(d)(3), the Coast Guard finds that good cause exists for making this rule effective less than 30 days after publication in the **Federal Register**. As described above, Beaufort County Emergency Management has requested an immediate schedule change to alleviate the excessive vehicle congestion on the Lady's Island Bridge.

# **Basis and Purpose**

Two bridges serve Lady's Island, GA. Ongoing construction on the McTeer Bridge has resulted in the rerouting of vehicle traffic from the McTeer Bridge to the Lady's Island Bridge. Beaufort County Emergency Management has requested an immediate revision to the opening schedule for the Lady's Island Bridge at mile marker 536 over the Beaufort River (Atlantic Intracoastal Waterway). This operating schedule revision is necessary to accommodate increased vehicle traffic on the Lady's Island Bridge. The revised schedule will alleviate the excessive vehicle traffic

that has resulted from the McTeer Bridge construction.

#### Discussion of Rule

The current schedule, as described in the Code of Federal Regulations, is as follows:

33 CFR 117.911(f) Lady's Island Bridge, across the Beaufort River, Mile 536.0 at Beaufort. The draw shall operate as follows:

(1) On Monday through Friday, except Federal holidays:

(i) From 7 a.m. to 9 a.m. and 4 p.m. to 6 p.m., the draw need not open; and,

(ii) Between 9 a.m. to 4 p.m., the draw need open only on the hour and halfhour.

(2) At all other times the draw shall open on signal.

This temporary rule changes the scheduled closing times for the Lady's Island Bridge to enable more closures during peak vehicle traffic times between 7:15 a.m. and 8:59 a.m., 11:15 a.m. and 12:59 p.m., and 4:15 p.m. to 5:59 p.m. This schedule revision also allows draw openings only on the hour between 9 a.m. and 4 p.m., rather than on both the hour and half-hour.

### Regulatory Analyses

We developed this rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

# **Regulatory Planning and Review**

This rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

#### Small Entities

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities.

This rule will affect the following entities, some of which may be small

entities: The owners or operators of vessels intending to transit underneath the Lady's Island Bridge from 7:15 a.m. to 5:59 p.m. Monday through Friday, and who require that the draw be open in order to do so. These small entities will have a slightly decreased number of draw openings.

This rule will not have a significant economic impact on a substantial number of small entities for the following reasons: (1) The Lady's Island Bridge will continue to operate on demand outside the restricted hours of 7:15 a.m. to 5:59 p.m.; (2) the majority of vessels that transit underneath the bridge can safely do so without the draw being open; and (3) despite the decreased number of draw openings, there will still be several openings during the restricted hours.

# **Assistance for Small Entities**

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we offer to assist small entities in understanding the rule so that they can better evaluate its effects on them and participate in the rulemaking process.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1-888-REG-FAIR (1-888-734-3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

#### **Collection of Information**

This rule calls for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

#### Federalism

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this rule under that Order and have determined that it does not have implications for federalism.

#### **Unfunded Mandates Reform Act**

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of \$100,000,000 (adjusted for inflation) or more in any one year. Though this rule will not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

# **Taking of Private Property**

This rule will not cause a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

#### **Civil Justice Reform**

This rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

#### **Protection of Children**

We have analyzed this rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and would not create an environmental risk to health or risk to safety that may disproportionately affect children.

#### **Indian Tribal Governments**

This rule does not have tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes, or on the distribution of power and responsibilities between the Federal Government and Indian tribes.

#### **Energy Effects**

We have analyzed this rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not

require a Statement of Energy Effects under Executive Order 13211.

#### **Technical Standards**

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (e.g., specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

#### **Environment**

We have analyzed this rule under Department of Homeland Security Management Directive 023–01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321–4370f), and have concluded that this action is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule is categorically excluded, under figure 2–1, paragraph (32)(e), of the Instruction.

Under figure 2–1, paragraph (32)(e), of the Instruction, an environmental analysis checklist and a categorical exclusion determination are not required for this rule.

# List of Subjects in 33 CFR Part 117

Bridges.

■ For the reasons discussed in the preamble, the Coast Guard amends 33 CFR part 117 as follows:

# PART 117—DRAWBRIDGE OPERATION REGULATIONS

■ 1. The authority citation for part 117 continues to read as follows:

**Authority:** 33 U.S.C. 499; 33 CFR 1.05–1; and Department of Homeland Security Delegation No. 0170.1.

- 2. Suspend § 117.911(f) from October 4, 2010 until October 4, 2011.
- 3. From October 4, 2010 until October 4, 2011 add § 117.911(g) to read as follows:

# § 117.911 Atlantic Intracoastal Waterway, Little River to Savannah River.

\* \* \* \* \*

- (g) Lady's Island Bridge, across the Beaufort River, Mile 536.0 at Beaufort. The draw shall operate as follows:
- (1) On Monday through Friday, except federal holidays:
- (i) From 7:15 a.m. to 8:59 a.m., 11:15 a.m. to 12:59 p.m., and 4:15 p.m. to 5:59 p.m. the draw need not open; and
- (ii) Between 9 a.m. to 11 a.m. and 1 p.m. to 4 p.m., the draw need open only on the hour.
- (2) At all times other than those specified in (1) above, the draw shall open on signal.

Dated: September 21, 2010.

#### W.D. Baumgartner,

Rear Admiral, U.S. Coast Guard, Commander, Seventh Coast Guard District.

[FR Doc. 2010–24645 Filed 10–1–10; 8:45 am]

# DEPARTMENT OF HOMELAND SECURITY

#### **Coast Guard**

#### 33 CFR Part 165

[Docket No. USCG-2010-0886]

RIN 1625-AA00

# Regulated Navigation Area; Reserved Channel, Boston Harbor, Boston, MA

**AGENCY:** Coast Guard, DHS. **ACTION:** Temporary final rule.

**SUMMARY:** The Coast Guard is establishing a Regulated Navigation Area (RNA) in Boston Harbor, Reserved Channel, the Boston Marine Industrial Park and a portion of the Boston Inner Harbor shipping channel, Boston, Massachusetts, for the offload of six cranes from the barge CHICAGO BRIDGE to Conley Terminal in South Boston. This rule is intended to control entry to the Reserved Channel, establish operating conditions for those vessels allowed entry into the Reserved Channel and control the speed of all vessels transiting within the RNA. The RNA is necessary to protect participants and vessels from the hazards associated with the offload of these cranes.

**DATES:** This rule is effective in the Code of Federal Regulations from October 4, 2010 through November 15, 2010, and is effective with actual notice for purposes of enforcement beginning on September 20, 2010.

ADDRESSES: Documents indicated in this preamble as being available in the docket are part of docket USCG-2010-0886 and are available online by going

to http://www.regulations.gov, inserting USCG-2010-0886 in the "Keyword" box, and then clicking "Search." They are also available for inspection or copying at the Docket Management Facility (M-30), U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: If you have questions on this temporary rule, call or e-mail Lieutenant Commander Pamela P. Garcia, Waterways Management Division, Coast Guard; telephone 617–223–3028, e-mail Pamela.P.Garcia@uscg.mil. If you have questions on viewing the docket, call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366–9826.

#### SUPPLEMENTARY INFORMATION:

#### **Regulatory Information**

The Coast Guard is issuing this temporary final rule without prior notice and opportunity to comment pursuant to authority under section 4(a) of the Administrative Procedure Act (APA) (5 U.S.C. 553(b)). This provision authorizes an agency to issue a rule without prior notice and opportunity to comment when the agency for good cause finds that those procedures are "impracticable, unnecessary, or contrary to the public interest." Under 5 U.S.C. 553(b)(B), the Coast Guard finds that good cause exists for not publishing a notice of proposed rulemaking (NPRM) with respect to this rule. The Coast Guard was not provided with the logistics regarding the offload operation until September 8, 2010. This did not leave sufficient time to draft and publish an NPRM or take public comments, making prior notice and opportunity to comment impracticable without delaying the crane offloading. The crane offloading benefits a public agency, the Massachusetts Port Authority (Massport), and therefore it would be contrary to the public interest to delay the offloading to afford prior notice and opportunity to comment; it would also be contrary to the public interest to let the crane offloading proceed without establishing an RNA, because this would unnecessarily jeopardize public safety during the offloading.

For the same reasons under 5 U.S.C. 553(d)(3), the Coast Guard finds that good cause exists for making this rule effective less than 30 days after publication in the **Federal Register**.

#### **Basis and Purpose**

This temporary rule establishes the Reserved Channel, the Boston Marine Industrial Park and a portion of the Boston Inner Harbor shipping channel, Boston, Massachusetts, as a Regulated Navigation Area while the barge CHICAGO BRIDGE conducts crane offload operations at Conley Terminal, Berth 12, for the benefit of the Massachusetts Port Authority, a public agency. The offload evolution requires a sea state no greater than 1 foot. This RNA intends to control the movement of vessels within the regulated area to a no-wake speed or bare steerage, and have all vessels maintain a minimum distance of 100 yards in all directions from the barge CHICAGO BRIDGE. The RNA will protect those conducting the crane offload operation and the general maritime public from the dangers inherent in such an evolution. The Captain of the Port (COTP) anticipates minimal negative impact on vessel traffic due to the implementation of this RNA. Public notifications will be made prior to and during the enforceable period of the area via Safety Marine Information Broadcast.

#### Discussion of Rule

This RNA has been established to safeguard the maritime public during the crane offload operation. The offload evolution is tide and weather dependent and requires two separate 12-hour periods to complete. These operational periods will be on two separate days, with at least a 48 hour break in between, determined to be the most suitable for safety to adjust equipment used to conduct the offload operations. If circumstances dictate and other operational periods are required, public notification will be made to the local maritime community through Local Notice to Mariners and Safety Broadcast Notice to Mariners.

Due to the complexity and danger of the crane offload operation the Reserved Channel will be closed to those vessels that do not routinely conduct business within the Reserved Channel or maintain a permanent mooring that requires access through the channel. Deep-draft vessels not currently scheduled to call upon Conley terminal or Black Falcon terminal, properties owned by Massport, from September 20, 2010 thru November 15, 2010, must coordinate arrival schedules with Massport as soon as possible and prior to authorization into the Reserved Channel. Vessels transiting the RNA must do so at such a speed as to maintain bare steerage or not cause a wake. Vessels transiting the Reserved

Channel must also maintain a minimum distance of 100 yards in all directions from the barge CHICAGO BRIDGE.

The barge must be moored perpendicular to Conley terminal for offload, thus partially blocking the entrance to the Reserved Channel to deep-draft vessels. The offload will be coordinated around the dynamic schedules of surrounding deep-draft vessels to provide for limited disruption to the maritime industry. Prior to the offload of the cranes, the Coast Guard will be provided with proposed dates and times for approval, which will determine the RNA enforcement period. The RNA enforcement period will be announced via Safety Marine Information Broadcast. There will be a picket vessel within the RNA to provide notice of the RNA as well as to control vessel speed, vessel access to the Reserved Channel and to prevent any vessel not involved in the crane offload evolution from approaching to within 100 yards of the barge CHICAGO BRIDGE.

#### **Regulatory Analyses**

We developed this rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

# **Regulatory Planning and Review**

This rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

The Coast Guard has determined that this rule is not a significant regulatory action for the following reasons: The RNA will be of limited duration, it covers only a small portion of the navigable waterway and vessel traffic can still transit within the RNA but at slow speed so as not to create a wake that would disrupt offload operations.

#### **Small Entities**

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities for the following reasons. This rule will be enforced only for two 12-hour periods, vessels will be able to continue to use the Boston Inner Harbor and the waters surrounding the Boston Marine Industrial Marine Park at such a speed as to maintain bare steerage or not cause a wake, and those vessels that routinely use the Reserved Channel will be able to continue to do so by passing safely around the barge CHICAGO BRIDGE at a minimum distance of 100 yards and at such a speed as to not cause a wake or maintain bare steerage. Before and during the enforcement periods, we will issue maritime advisories to users of Boston Harbor via the on-scene picket vessel as well as through Safety Marine Information Broadcasts.

#### **Assistance for Small Entities**

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we offer to assist small entities in understanding the rule so that they can better evaluate its effects on them and participate in the rulemaking process.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by employees of the Coast Guard, call 1-888-REG-FAIR (1-888-734-3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

#### **Collection of Information**

This rule calls for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3520).

#### **Federalism**

A rule has implications for federalism under Executive Order 13132, Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this rule under that Order and have determined that it does not have implications for federalism.

#### **Unfunded Mandates Reform Act**

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531–1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or Tribal government, in the aggregate, or by the private sector of \$100,000,000 (adjusted for inflation) or more in any one year. Though this rule will not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

#### **Taking of Private Property**

This rule will not cause a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

#### Civil Justice Reform

This rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

#### **Protection of Children**

We have analyzed this rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and does not create an environmental risk to health or risk to safety that may disproportionately affect children.

#### **Indian Tribal Governments**

This rule does not have Tribal implications under Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian Tribes, on the relationship between the Federal Government and Indian Tribes, or on the distribution of power and responsibilities between the Federal Government and Indian Tribes.

#### **Energy Effects**

We have analyzed this rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not

require a Statement of Energy Effects under Executive Order 13211.

#### **Technical Standards**

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (e.g., specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

#### **Environment**

We have analyzed this rule under Department of Homeland Security Management Directive 023-01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321-4370f), and have concluded this action is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule is categorically excluded, under figure 2-1, paragraph (34)(g), of the Instruction as this rule involves establishing a temporary RNA.

An environmental analysis checklist and a categorical exclusion determination will be available in the docket where indicated under ADDRESSES.

#### List of Subjects in 33 CFR Part 165

Harbors, Marine safety, Navigation (water), Reporting and recordkeeping requirements, Security measures and Waterways.

■ For the reasons discussed in the preamble, the Coast Guard amends 33 CFR part 165 as follows:

# PART 165—REGULATED NAVIGATION AREAS AND LIMITED ACCESS AREAS

■ 1. The authority citation for part 165 continues to read as follows:

**Authority:** 33 U.S.C. 1226, 1231; 46 U.S.C. Chapter 701, 3306, 3703; 50 U.S.C. 191, 195; 33 CFR 1.05–1, 6.04–1, 6.04–6, and 160.5; Pub. L. 107–295, 116 Stat. 2064; Department of Homeland Security Delegation No. 0170.1.

■ 2. Add § 165.T01–0886 to read as follows:

#### § 165.T01–0886 Regulated Navigation Areas: Reserved Channel, Boston Harbor, Boston, MA

(a) *Location*. The following areas are Regulated Navigation Areas (RNAs):

- (1) All waters of the Reserved Channel, the Boston Marine Industrial Park and that portion of Boston Inner Harbor south of a line running from the North Jetty, at position 42°20′49″ N, 71°01′23.6″ W, to Boston Main Channel Lighted Buoy 12 (LLNR 10920), and west of a line running from Boston Main Channel Lighted buoy 12 to Boston Main Channel Lighted buoy 8 (LLNR 10905), and north of a line running from Boston Main Channel Lighted buoy 8 to Castle Island at position 42°20′21.1″ N, 71°00′35.1″ W.
- (2) Boston Harbor, Boston, Massachusetts, from surface to bottom while barge CHICAGO BRIDGE is involved in offload operations.
- (b) Effective Period. This rule is effective from September 20, 2010, thru November 15, 2010.
- (c) Enforcement Period. This rule will be enforced during two separate 12-hour operational periods to be announced no less than 24 hours in advance via a Safety Marine Information Broadcast. If circumstances dictate and other operational periods are required, public notification will be made to the local maritime community through Local Notice to Mariners and Safety Broadcast Notice to Mariners.
- (d) *Definition*. As used in this section, "authorized representative" means a Coast Guard Patrol Commander, including a Coast Guard coxswain, petty officer, or other officer operating a Coast Guard vessel or a Federal, State, or local officer designated by or assisting the COTP.
- (e) Regulations. The following restrictions apply to the two 12-hour operational periods during which the RNA will be enforced:
- (1) Vessels must transit through the RNA at such a speed as to not cause a wake or maintain bare steerage;
- (2) Vessels that do not routinely conduct business within the Reserved Channel or maintain a permanent mooring which requires access through the Reserved Channel are not authorized within that portion of the RNA that lies within the Reserved Channel;
- (3) Deep-draft vessels not currently scheduled to call upon Conley terminal or Black Falcon terminal from September 20, 2010 thru November 15, 2010 must coordinate arrival schedules with the Massachusetts Port Authority as soon as possible and prior to

authorization into that portion of the RNA that lies within the Reserved Channel; and

(4) Vessels allowed to transit the Reserved Channel must maintain a minimum distance of 100 yards in all directions from barge CHICAGO BRIDGE.

Dated: September 17, 2010.

#### Daniel A. Neptun,

Rear Admiral, U.S. Coast Guard, Commander, First Coast Guard District.

[FR Doc. 2010–24773 Filed 10–1–10; 8:45 am]

BILLING CODE 9110-04-P

# DEPARTMENT OF HOMELAND SECURITY

#### **Coast Guard**

#### 33 CFR Part 165

[Docket No. USCG-2010-0821]

RIN 1625-AA87

Security Zones; Captain of the Port Buffalo Zone; Technical Amendment

AGENCY: Coast Guard, DHS.

**ACTION:** Final rule.

SUMMARY: The Coast Guard is revising the contact information for Marine Safety Detachment Massena in the regulation establishing the process for getting permission to transit the security zones at Moses-Saunders Nuclear Power Dam, and Long Sault Spillway Dam. This action is necessary because the telephone number is incorrect, and this action will ensure the ability of persons or vessels to gain permission to transit these areas.

**DATES:** This rule is effective October 4, 2010.

ADDRESSES: Documents indicated in this preamble as being available in the docket are part of docket USCG–2010–0821 and are available online by going to http://www.regulations.gov, inserting USCG–2010–0821 in the "Keyword" box, and then clicking "Search." They are also available for inspection or copying at the Docket Management Facility (M–30), U.S. Department of Transportation, West Building Ground Floor, Room W12–140, 1200 New Jersey Avenue, SE., Washington, DC 20590, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: If you have questions on this rule, call or e-mail MSSD2 John Maurer, Marine Safety Detachment Massena, Coast Guard; telephone (315) 769–5483, e-mail John.A.Maurer@uscg.mil. If you have questions on viewing the docket,

call Renee V. Wright, Program Manager, Docket Operations, telephone 202–366– 9826.

#### SUPPLEMENTARY INFORMATION:

#### **Regulatory Information**

The Coast Guard is issuing this final rule without prior notice and opportunity to comment pursuant to authority under section 4(a) of the Administrative Procedure Act (APA) (5 U.S.C. 553(b)). This provision authorizes an agency to issue a rule without prior notice and opportunity to comment when the agency for good cause finds that those procedures are "impracticable, unnecessary, or contrary to the public interest." Under 5 U.S.C. 553(b)(B), the Coast Guard finds that good cause exists for not publishing a notice of proposed rulemaking (NPRM) with respect to this rule because notice and comment is unnecessary. This rule is minor and merely technical in nature in that it simply amends a telephone number for the point of contact at Marine Safety Detachment Massena.

Under 5 U.S.C. 553(d)(3), the Coast Guard finds that good cause exists for making this rule effective less than 30 days after publication in the **Federal Register**. Good cause exists because delaying the effective date is unnecessary. This rule is minor and merely technical in nature in that it simply amends a telephone number for the point of contact at Marine Safety Detachment Massena.

#### **Background and Purpose**

The Captain of the Port Buffalo has previously established a security zone in the vicinity of Moses-Saunders Power Dam and the Long Sault Spillway Dam. This security zone exists in 33 CFR 165.911. Persons desiring to transit the area of the Moses-Saunders Power Dam and the Long Sault Spillway Dam must contact the Supervisor, Marine Safety Detachment Massena. Currently, 33 CFR 165.911 provides an incorrect telephone number for the Supervisor, Marine Safety Detachment Massena.

#### **Discussion of Rule**

The Captain of the Port Buffalo is publishing this final rule to correct the telephone number currently published in 33 CFR 165.911 for the Supervisor, Marine Safety Detachment Massena. This correction is necessary so that the public may contact the appropriate Coast Guard office to receive permission to transit the security zones in the area of the Moses-Saunders Power Dam and the Long Sault Spillway Dam.

#### **Regulatory Analyses**

We developed this rule after considering numerous statutes and executive orders related to rulemaking. Below we summarize our analyses based on 13 of these statutes or executive orders.

#### **Regulatory Planning and Review**

This rule is not a significant regulatory action under section 3(f) of Executive Order 12866, Regulatory Planning and Review, and does not require an assessment of potential costs and benefits under section 6(a)(3) of that Order. The Office of Management and Budget has not reviewed it under that Order.

The Coast Guard determined that this rule is not a significant regulatory action because it is only a correction of the contact information in the previous rule, not a substantive change of the regulation.

#### **Small Entities**

Under the Regulatory Flexibility Act (5 U.S.C. 601–612), we have considered whether this rule would have a significant economic impact on a substantial number of small entities. The term "small entities" comprises small businesses, not-for-profit organizations that are independently owned and operated and are not dominant in their fields, and governmental jurisdictions with populations of less than 50,000.

The Coast Guard certifies under 5 U.S.C. 605(b) that this rule will not have a significant economic impact on a substantial number of small entities. This rule will have no effect on small entities because it is purely minor and technical in nature in that it merely corrects the telephone number for the point of contact at Marine Safety Detachment Massena.

# **Assistance for Small Entities**

Under section 213(a) of the Small Business Regulatory Enforcement Fairness Act of 1996 (Pub. L. 104–121), we offer to assist small entities in understanding the rule so that they can better evaluate its effects on them and participate in the rulemaking process.

Small businesses may send comments on the actions of Federal employees who enforce, or otherwise determine compliance with, Federal regulations to the Small Business and Agriculture Regulatory Enforcement Ombudsman and the Regional Small Business Regulatory Fairness Boards. The Ombudsman evaluates these actions annually and rates each agency's responsiveness to small business. If you wish to comment on actions by

employees of the Coast Guard, call 1-888-REG-FAIR (1-888-734-3247). The Coast Guard will not retaliate against small entities that question or complain about this rule or any policy or action of the Coast Guard.

#### Collection of Information

This rule calls for no new collection of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520).

#### **Federalism**

A rule has implications for federalism under Executive Order 13132. Federalism, if it has a substantial direct effect on State or local governments and would either preempt State law or impose a substantial direct cost of compliance on them. We have analyzed this rule under that Order and have determined that it does not have implications for federalism.

#### **Unfunded Mandates Reform Act**

The Unfunded Mandates Reform Act of 1995 (2 U.S.C. 1531-1538) requires Federal agencies to assess the effects of their discretionary regulatory actions. In particular, the Act addresses actions that may result in the expenditure by a State, local, or tribal government, in the aggregate, or by the private sector of \$100,000,000 (adjusted for inflation) or more in any one year. Though this rule will not result in such an expenditure, we do discuss the effects of this rule elsewhere in this preamble.

### **Taking of Private Property**

This rule will not cause a taking of private property or otherwise have taking implications under Executive Order 12630, Governmental Actions and Interference with Constitutionally Protected Property Rights.

# Civil Justice Reform

This rule meets applicable standards in sections 3(a) and 3(b)(2) of Executive Order 12988, Civil Justice Reform, to minimize litigation, eliminate ambiguity, and reduce burden.

# Protection of Children

We have analyzed this rule under Executive Order 13045, Protection of Children from Environmental Health Risks and Safety Risks. This rule is not an economically significant rule and does not create an environmental risk to health or risk to safety that may disproportionately affect children.

#### **Indian Tribal Governments**

This rule does not have tribal implications under Executive Order 13175, Consultation and Coordination

with Indian Tribal Governments, because it does not have a substantial direct effect on one or more Indian tribes, on the relationship between the Federal Government and Indian tribes. or on the distribution of power and responsibilities between the Federal Government and Indian tribes.

# **Energy Effects**

We have analyzed this rule under Executive Order 13211, Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use. We have determined that it is not a "significant energy action" under that order because it is not a "significant regulatory action" under Executive Order 12866 and is not likely to have a significant adverse effect on the supply, distribution, or use of energy. The Administrator of the Office of Information and Regulatory Affairs has not designated it as a significant energy action. Therefore, it does not require a Statement of Energy Effects under Executive Order 13211.

#### **Technical Standards**

The National Technology Transfer and Advancement Act (NTTAA) (15 U.S.C. 272 note) directs agencies to use voluntary consensus standards in their regulatory activities unless the agency provides Congress, through the Office of Management and Budget, with an explanation of why using these standards would be inconsistent with applicable law or otherwise impractical. Voluntary consensus standards are technical standards (e.g., specifications of materials, performance, design, or operation; test methods; sampling procedures; and related management systems practices) that are developed or adopted by voluntary consensus standards bodies.

This rule does not use technical standards. Therefore, we did not consider the use of voluntary consensus standards.

#### **Environment**

We have analyzed this rule under Department of Homeland Security Management Directive 023-01 and Commandant Instruction M16475.lD, which guide the Coast Guard in complying with the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321-4370f), and have concluded this action is one of a category of actions that do not individually or cumulatively have a significant effect on the human environment. This rule is categorically excluded, under figure 2-1, paragraph (34)(a), of the Instruction. This rule involves an editorial revision to a

regulation to update a telephone number. An environmental analysis checklist and a categorical exclusion determination are available in the docket where indicated under ADDRESSES

#### List of Subjects in 33 CFR Part 165

Harbors, Marine safety, Navigation (water), Reporting and record keeping requirements, Security measures, Waterways.

■ For the reasons discussed in the preamble, the Coast Guard amends 33 CFR part 165 as follows:

### **PART 165—REGULATED NAVIGATION AREAS AND LIMITED ACCESS AREAS**

■ 1. The authority citation for part 165 continues to read as follows:

Authority: 33 U.S.C. 1226, 1231; 46 U.S.C. Chapters 701, 3306, 3703; 50 U.S.C. 191, 195; 33 CFR 1.05-1, 6.04-1, 6.04-6, and 160.5; Pub. L. 107-295, 116 Stat. 2064; Department of Homeland Security Delegation No. 0170.1.

■ 2. In § 165.911, revise paragraph (b)(2) to read as follows:

#### § 165.911 Security Zones; Captain of the Port Buffalo Zone.

(b) \* \* \*

(2) Persons or vessels desiring to transit the area of the Nine Mile Point and Fitzpatrick Nuclear Power Plants or Ginna Nuclear Power Plant security zones must contact the Captain of Port Buffalo at telephone number (716) 843-9570, or on VHF/FM channel 16 to seek permission to transit the area. Persons desiring to transit the area of Moses-Saunders Power Dam or Long Sault Spillway Dam security zones must contact the Supervisor, Marine Safety Detachment Massena at telephone number (315) 769-5483, or on VHF/FM channel 16 to seek permission to transit the area. If permission is granted, all persons and vessels shall comply with the instructions of the Captain of the Port or his or her designated representative.

Dated: September 16, 2010.

# R.S. Burchell,

Captain, U.S. Coast Guard, Captain of the Port Buffalo.

[FR Doc. 2010–24774 Filed 10–1–10; 8:45 am]

BILLING CODE 9110-04-P

# FEDERAL COMMUNICATIONS COMMISSION

#### 47 CFR Part 79

[CG Docket No. 05-231; DA 10-253]

# Closed Captioning of Video Programming

**AGENCY:** Federal Communications

Commission.

**ACTION:** Final rule; waiver of

requirements.

**SUMMARY:** In this document, the Commission, via the Consumer and Governmental Affairs Bureau (Bureau), waives in part the requirement that video programming distributors (VPDs) place contact information for the pursuit of immediate closed captioning concerns and the filing of closed captioning complaints in telephone directories. Some VPDs do not use telephone directories to communicate with the public, and therefore the Bureau waives the rule in such situations, so long as the VPD makes the contact information available on its Web site or on billing statements. The waiver thus balances the goal of ensuring that consumers are readily able to locate VPD contact information, with preventing unduly burdensome compliance with the telephone directories provisions for VPDs that do not use telephone directories to communicate with the public.

DATES: This document is October 4, 2010. The provision in 47 CFR 79.1(i)(1) and (2), published at 74 FR 1594, January 13, 2009, requiring video programming distributors to place contact information required by this section in local telephone directories is waived beginning February 19, 2010, for certain video programming distributors. FCC will publish a document in the Federal Register to lift the waiver.

#### FOR FURTHER INFORMATION CONTACT:

Amelia Brown, Consumer and Governmental Affairs Bureau, Disability Rights Office at (202) 418–2799 (voice), (202) 418–7804 (TTY), or e-mail at Amelia.Brown@fcc.gov.

SUPPLEMENTARY INFORMATION: This is a summary of the Bureau's Order, DA 10–253, Closed Captioning of Video Programming, CG Docket No. 05–231, adopted February 16, 2010, and released February 16, 2010. The full text of DA 10–253 and copies of any subsequently filed documents in this matter will be available for public inspection and copying during regular business hours at the FCC Reference Information Center, Portals II, 445 12th Street, SW., Room CY–A257, Washington, DC 20554.

DA 10–253 and copies of subsequently filed documents in this matter also may be purchased from the Commission's duplicating contractor at Portals II, 445 12th Street, SW., Room CY–B402, Washington, DC 20554. Customers may contact the Commission's duplicating contractor at its Web site <a href="http://www.bcpiweb.com">http://www.bcpiweb.com</a> or by calling 1–800–378–3160.

To request materials in accessible formats for people with disabilities (Braille, large print, electronic files, audio format), send an e-mail to fcc504@fcc.gov or call the Consumer and Governmental Affairs Bureau at (202) 418–0530 (voice) or (202) 418–0432 (TTY). DA 10–253 also can be downloaded in Word or Portable Document Format (PDF) at: http://www.fcc.gov/cgb/dro/caption.html.

### **Synopsis**

1. On November 7, 2008, the Commission released Closed Captioning of Video Programming, Closed Captioning Requirements for Digital Television Receivers, CG Docket No. 05-231, ET Docket No. 99-254, Declaratory Ruling, Order, and Notice of Proposed Rulemaking, FCC 08-255, (2008 Closed Captioning Order), published at 74 FR 1594, January 13, 2009, which, among other things, requires VPDs to make available two types of contact information—information for the receipt and handling of immediate closed captioning concerns by consumers, and information for written closed captioning complaints. The Commission directed VPDs to include this information on their Web sites, if the VPD has a Web site, in telephone directories, and in billing statements, if the VPD issues billing statements to subscribers. DISH Network L.L.C., a national provider of direct broadcast satellite service, petitioned the Commission for relief from the obligation to place contact information in telephone directories, stating that it does not currently directly advertise or otherwise place commercial listings in local telephone directories, and does not have direct relationships with publishers of local telephone directories. DISH Network requested that the Bureau clarify that a VPD is not required to place advertisements or listings in telephone directories in order to provide closed captioning information if the VPD does not otherwise use telephone directories as a direct means of communicating with viewers.

2. Because the "clarification" that DISH Networks seeks does not appear to be supported by the language of the Commission's 2008 Closed Captioning

Order, the Bureau declines to make it. However, the Bureau finds good cause to grant a limited waiver of the telephone directories provisions of amended 47 CFR 79.1. While 47 CFR 79.1(i) is designed to ensure that consumers are readily able to locate contact information for the pursuit of immediate closed captioning concerns or the filing of closed captioning complaints, on balance the Bureau is persuaded that compliance with the telephone directories provisions could be unduly burdensome where a company does not already use telephone directories, particularly for a nationwide company such as DISH Network. Therefore, the Bureau waives amended 47 CFR 79.1 to the extent that it requires VPDs to place the required contact information in local telephone directories in which the VPD does not itself directly advertise or otherwise place commercial listings, so long as the VPD makes the contact information available on its Web site or in billing statements.

3. For purposes of this waiver, the Bureau defines commercial listing to include any paid advertisement or other paid listing. This might include, for example, a paid expanded listing that contains more than merely name, location, and telephone number, or a listing in a larger, bolded, or highlighted font as compared to the standard listing, or the listing of a toll free (rather than local) number. In instances where a VPD has already contracted for a paid advertisement or other paid listing, DISH Network's concern that it would have to establish new relationships with directory publishers is inapplicable, and there is therefore no reason to waive the telephone directory requirement in such situations.

### Congressional Review Act (CRA)

On December 5, 2008, OMB concurred that the Order adopting 47 CFR 79.1(i), document FCC 08–255, published at 74 FR 1594, January 13, 2009, was a non-major action pursuant to the *CRA*. Document DA 10–253 merely waives a specific provision of 47 CFR 79.1(i) and, as such, is not subject to the *CRA*.

#### **Ordering Clause**

Pursuant to sections 4(i) and 713 of the Communications Act of 1934, as amended, 47 U.S.C. 154(i) and 613, 47 CFR 1.3, and the authority delegated pursuant to 47 CFR 0.141 and 0.361, 47 CFR 79.1 (i)(1) and (i)(2) is waived to the extent described herein.

Federal Communications Commission.

Mark Stone.

Deputy Chief, Consumer and Governmental Affairs Bureau.

#### **DEPARTMENT OF COMMERCE**

National Oceanic and Atmospheric Administration

50 CFR Part 660

[Docket No. 090428799-9802-01]

RIN 0648-BA28

Magnuson-Stevens Act Provisions; Fisheries Off West Coast States; Pacific Coast Groundfish Fishery; Inseason Adjustments to Fishery Management Measures

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Final rule; inseason adjustments to biennial groundfish management measures; request for comments.

SUMMARY: This final rule makes inseason adjustments to commercial fishery management measures for several groundfish species taken in the U.S. exclusive economic zone (EEZ) off the coasts of Washington, Oregon, and California. These actions, which are authorized by the Pacific Coast Groundfish Fishery Management Plan (FMP), are intended to allow fisheries to access more abundant groundfish stocks while protecting overfished and depleted stocks and to prevent exceeding 2010 optimum yields.

**DATES:** Effective 0001 hours (local time) October 1, 2010. Comments on this final rule must be received no later than 5 p.m., local time on November 3, 2010. **ADDRESSES:** You may submit comments, identified by RIN 0648–BA28, by any one of the following methods:

- Electronic Submissions: Submit all electronic public comments via the Federal eRulemaking Portal: http://www.regulations.gov.
- *Fax:* 206–526–6736, Attn: Gretchen Hanshew
- *Mail:* William W. Stelle, Jr., Regional Administrator, Northwest Region, NMFS, 7600 Sand Point Way, NE., Seattle, WA 98115–0070, *Attn:* Gretchen Hanshew.

Instructions: No comments will be posted for public viewing until after the comment period has closed. All comments received are a part of the public record and will generally be

posted to http://www.regulations.gov without change. All Personal Identifying Information (for example, name, address, etc.) voluntarily submitted by the commenter may be publicly accessible. Do not submit Confidential Business Information or otherwise sensitive or protected information.

NMFS will accept anonymous comments (enter N/A in the required fields, if you wish to remain anonymous). You may submit attachments to electronic comments in Microsoft Word, Excel, WordPerfect, or Adobe PDF file formats only.

### FOR FURTHER INFORMATION CONTACT: Gretchen Hanshew (Northwest Region, NMFS), 206–526–6147, fax: 206–526– 6736, gretchen.hanshew@noaa.gov.

#### SUPPLEMENTARY INFORMATION:

#### Electronic Access

This final rule is accessible via the Internet at the Office of the Federal Register's Web site at http://www.gpoaccess.gov/fr/index.html.
Background information and documents are available at the Pacific Fishery Management Council's (the Council or PFMC) Web site at http://www.pcouncil.org/.

# **Background**

On December 31, 2008, NMFS published a proposed rule to implement the 2009-2010 specifications and management measures for the Pacific Coast groundfish fishery (73 FR 80516). The final rule to implement the 2009-2010 specifications and management measures for the Pacific Coast Groundfish Fishery was published on March 6, 2009 (74 FR 9874). This final rule was subsequently amended by inseason actions on April 27, 2009 (74 FR 19011), July 6, 2009 (74 FR 31874), October 28, 2009 (74 FR 55468), February 26, 2010 (75 FR 8820), May 4, 2010 (75 FR 23620), July 1, 2010 (75 FR 38030), July 16, 2010 (75 FR 41386), and August 23, 2010 (75 FR 51684). Additional changes to the 2009-2010 specifications and management measures for petrale sole were made in two final rules: on November 4, 2009 (74 FR 57117), and December 10, 2009 (74 FR 65480). NMFS issued a final rule in response to a duly issued court order on July 8, 2010 (75 FR 39178). These specifications and management measures are at 50 CFR part 660, subpart G.

Changes to the groundfish management measures implemented by this action were recommended by the Council, in consultation with Pacific Coast Treaty Indian Tribes and the States of Washington, Oregon, and

California, at its September 10-16, 2010, meeting in Boise, Idaho. The Council recommended adjusting the groundfish management measures to respond to updated fishery information and other inseason management needs. These changes include increases to bi-monthly cumulative limits in the limited entry trawl commercial fisheries off Washington, Oregon, and California and reductions to daily trip limits (DTL) for sablefish in the limited entry fixed gear and open access commercial fisheries south of 36° N. lat. The increases to cumulative limits are intended to allow additional harvest opportunities for species for which catch estimates through the end of the year are lower than anticipated. The changes to sablefish DTL limits are intended to prevent higher than anticipated sablefish catches from exceeding the 2010 sablefish optimum yield (OY).

# **Limited Entry Non-Whiting Trawl Fishery**

At their September 2010 meeting, the Council received new data and analyses on the catch of groundfish in the limited entry trawl fishery.

Catches of several trawl target species were tracking behind 2010 projections made at the Council's June 2010 meeting, or were projected to be below the 2010 OYs if no adjustments to cumulative limits are made. At their September meeting, the Council considered the most recently available data from the Pacific Fishery Information Network (PacFIN). These data, dated August 18, 2010, indicated the total catch projections through the end of the year for sablefish, longspine thornyheads, shortspine thornyheads, Dover sole, arrowtooth flounder, slope rockfish and other flatfish were lower than anticipated.

The Council considered modest increases to bi-monthly cumulative limits for these species for which the catch is projected to be lower than anticipated in order to meet the Pacific Coast Groundfish FMP objective of achieving, to the extent possible, but not exceeding, OYs of target species. A two-month limit can be raised in the middle of the period, therefore, this increase would become effective during the two-month cumulative limit, on October 1.

Based on the considerations outlined above, the Council recommended and NMFS is implementing the following changes to cumulative limits in the limited entry non-whiting trawl fishery on October 1, 2010 through the end of the year: increase the sablefish trip limits taken with large and small footrope gear North of 40°10′ N. lat. and for all trawl gears South of 40°10′ N. lat.

from "21,000 lb per 2 months" to "24,000 lb per 2 months", and taken with selective flatfish trawl gear North of 40°10′ N. lat. from "9,000 lb per 2 months" to "10,000 lb per 2 months"; increase the longspine thornyhead trip limits taken with large and small footrope gear from "24,000 lb per 2 months" to "26,000 lb per 2 months" coastwide; increase the shortspine thornyhead trip limits taken with large and small footrope gear from "18,000 lb per 2 months" to "20,000 lb per 2 months" coastwide,; increase the Dover sole trip limits taken with large and small footrope gear North of 40°10′ N. lat. and for all trawl gears South of 40°10′ N. lat. from "100,000 lb per 2 months" to "110,000 lb per 2 months" and taken with selective flatfish trawl gears from "65,000 lb per 2 months" to 70,000 lb per 2 months" in the north, beginning on October 1 through the end of the year; increase the arrowtooth flounder trip limits taken with large and small footrope gear North of 40°10′ N. lat. from "150,000 lb per 2 months" to "180,000 lb per 2 months" and taken with selective flatfish trawl gear from "90,000 lb per 2 months" to "100,000 lb per 2 months"; increase the arrowtooth flounder trip limits taken with all trawl gears South of 40°10' N. lat. from 10,000 lb per 2 months" to "12,000 lb" per 2 months"; increase the other flatfish trip limits taken with large and small footrope gear North of 40°10' N. lat. and all trawl gears South of 40°10' N. lat. from "100,000 lb per 2 months" to "110,000 lb per 2 months", and taken with selective flatfish trawl gear in the north from "60,000 lb per 2 months" to "70,000 lb per 2 months"; increase the slope rockfish trip limits in the north from "2,000 lb per 2 months" to "4,000 lb per 2 months". This rule also makes changes to the trip limits for vessels that use multiple types of bottom trawl gears, simultaneously or successively, during a single cumulative limit period. Vessels that use multiple bottom trawl gears are subject to the most restrictive limit, and in most cases this is the trip limit for vessels using selective flatfish trawl gear. Therefore, if trip limits for vessels using selective flatfish trawl gear are modified, the trip limits for vessels using multiple trawl gears will also be modified accordingly.

# Sablefish Daily Trip Limit Fishery South of 36° N. Lat.

Catch of sablefish in the limited entry fixed gear and open access daily trip limit (DTL) fisheries south of 36° N. lat. has been higher than anticipated. Based on the most recent fishery information, if no action is taken and catch remains higher than expected, landings of

sablefish through the end of the year would be 1,825 mt. This level of catch would exceed the 2010 sablefish OY for the area south of 36° N. lat. of 1,258 mt by approximately 45 percent. The Council considered several combinations of trip limit reductions in the limited entry fixed gear and open access sablefish DTL fisheries south of 36° N. lat. to allow the fisheries to remain open through the remainder of 2010, while preventing the 2010 sablefish OY for the area south of 36° N. lat. from being exceeded.

Sablefish landings from March through July 2010 were much higher in these fisheries than during that same period in 2009, which had the same trip limits and RCA structure. Therefore, it is most likely that increased participation, particularly new entrants in the open access sector of the nontrawl commercial fishery, has been the primary cause of the higher than expected catches. Therefore, the Council considered larger restrictions to trip limits in the open access sablefish DTL fishery. Modest decreases were also considered for the limited entry fixed gear fishery, to further reduce projected impacts and to prevent the open access fishery from having to be closed entirely to prevent exceeding the 2010 sablefish OY. With these trip limit reductions, projected impacts are not anticipated to exceed 2010 sablefish OY for the area south of 36° N. lat. of 1,258 mt.

Projected impacts to overfished species in the limited entry fixed gear and open access fisheries are calculated assuming the entire sablefish OY is harvested. Therefore, decreases to trip limits to prevent exceeding the 2010 sablefish OY do not result in changes to anticipated impacts to co-occurring overfished groundfish species.

Based on the considerations outlined above, the Council recommended and NMFS is implementing a decrease in the limited entry fixed gear sablefish DTL fishery cumulative limits south of 36° N. lat. from "3,000 lb per week" to "2,800 lb per week" beginning on October 1, 2010 through the end of the year.

Based on the considerations outlined above, the Council recommended and NMFS is implementing restrictions to the open access sablefish DTL fishery trip limits South of 36° N. lat. from "400 lb per day, or 1 landing per week of up to 2,500 lb" to "800 lb per week, not to exceed 1,600 lb per month" beginning on October 1, 2010 through the end of the year.

#### Classification

This rule makes routine inseason adjustments to groundfish fishery management measures based on the best available information and is taken pursuant to the regulations implementing the Pacific Coast Groundfish FMP.

These actions are taken under the authority of 50 CFR 660.370(c) and are exempt from review under Executive Order 12866.

These inseason adjustments are taken under the authority of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act), and are in accordance with 50 CFR part 660, the regulations implementing the FMP. These actions are based on the most recent data available. The aggregate data upon which these actions are based are available for public inspection at the Office of the Administrator, Northwest Region, NMFS, (see ADDRESSES) during business hours.

For the following reasons, NMFS finds good cause to waive prior public notice and comment on the revisions to groundfish management measures under 5 U.S.C. 553(b)(B) because notice and comment would be impracticable and contrary to the public interest. Also, for the same reasons, NMFS finds good cause to waive the 30-day delay in effectiveness pursuant to 5 U.S.C. 553(d)(3), so that this final rule may become effective as quickly as possible.

The recently available data upon which these recommendations were based was provided to the Council, and the Council made its recommendations, at its September 10–16, 2010, meeting in Boise, Idaho. The Council recommended that these changes be implemented by October 1, 2010 or as quickly as possible. There was not sufficient time after that meeting to draft this document and undergo proposed and final rulemaking before these actions need to be in effect. For the actions to be implemented in this final rule, affording the time necessary for prior notice and opportunity for public comment would prevent the Agency from managing fisheries using the best available science to approach, without exceeding, the OYs for federally managed species in accordance with the FMP and applicable laws. The adjustments to management measures in this document affect commercial fisheries off Washington, Oregon, and

The adjustments to management measures in the limited entry trawl fishery must be implemented in a timely manner to allow fishermen an opportunity to achieve the 2010 OYs specified for limited entry trawl for sablefish, longspine and shortspine thornyheads, Dover sole, arrowtooth flounder, other flatfish, and slope

rockfish. Increases are necessary to relieve a restriction by allowing fishermen increased opportunities to harvest available healthy stocks while staying within the OYs for all species. These changes must be implemented in a timely manner, as quickly as possible, so that fishermen are allowed increased opportunities to harvest available healthy stocks and meet the objective of the Pacific Coast Groundfish FMP to allow fisheries to approach, but not exceed, OYs. It would be contrary to the public interest to wait to implement these changes until after public notice and comment, because making this regulatory change quickly allows additional harvest in fisheries that are important to coastal communities.

Restrictions to cumulative limits in the limited entry fixed gear and open access sablefish DTL fishery are needed to prevent the 2010 sablefish OY in the area South of 36° N. lat. from being exceeded and prevent premature closure of fisheries that take sablefish. These changes must be implemented in a timely manner by October 1, 2010. Failure to implement trip limit restrictions by October 1, 2010 would risk premature closure of fisheries that are important to coastal communities, which would fail to meet the objectives of the Pacific Coast Groundfish FMP to allow for year round fishing opportunities to provide community stability.

These revisions are needed to keep the harvest of groundfish species within the harvest levels projected for 2010, while allowing fishermen access to healthy stocks. Without these measures in place, the fisheries could risk exceeding harvest levels, causing early and unanticipated fishery closures and economic harm to fishing communities. Delaying these changes would keep management measures in place that are not based on the best available data and that could lead to early closures of the fishery if harvest of groundfish exceeds levels projected for 2010. Such delay would impair achievement of one of the Pacific Coast Groundfish FMP objectives of providing for year-round harvest opportunities or extending fishing opportunities as long as practicable during the fishing year.

# List of Subjects in 50 CFR Part 660

Fisheries, Fishing, Indian Fisheries. Dated: September 28, 2010.

# Emily H. Menashes,

Acting Director, Office of Sustainable Fisheries, National Marine Fisheries Service.

■ For the reasons set out in the preamble, 50 CFR part 660 is amended as follows:

# PART 660—FISHERIES OFF WEST COAST STATES

■ 1. The authority citation for part 660 continues to read as follows:

Authority: 16 U.S.C. 1801 et seq.

■ 2. Tables 3 (North) and 3 (South), Table 4 (South), and Table 5 (South) to part 660, subpart G, are revised to read as follows:

BILLING CODE 3510-22-P

Table 3 (North) to Part 660, Subpart G -- 2010 Trip Limits for Limited Entry Trawl Gear North of 40°10' N. Lat. Other Limits and Requirements Apply -- Read § 660.301 - § 660.399 before using this table

10012010

		JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC
Rockfis	sh Conservation Area (RCA) <sup>6/</sup> :	shore - shore - 200 fm					shore -
1	North of 48°10' N. lat.	modified <sup>7/</sup> 200 fm line <sup>6/</sup>	line <sup>6/</sup>	shore - 150 fm line <sup>6/</sup>		shore - 200 fm line <sup>6/</sup>	modified <sup>7/</sup> 200 fm line <sup>6/</sup>
2	48°10' N. lat 45°46' N. lat.	75 fm line <sup>6/</sup> -	75 fm line <sup>6/</sup> -	75 fm line <sup>6/</sup> - 150 fm line <sup>6/</sup>	100 fm line <sup>6/</sup> - 150 fm line <sup>6/</sup>	75 fm line <sup>6/</sup> -	75 fm line <sup>6/</sup> - modified <sup>7/</sup> 200
2	45°46' N. lat 40°10' N. lat.	modified <sup>7/</sup> 200 fm line <sup>6/</sup>	modified 200   200 fm line 6/	75 fm line <sup>6/</sup> - 200 fm line <sup>6/</sup>	100 fm line <sup>6/</sup> - 200 fm line <sup>6/</sup>	200 fm line <sup>6/</sup>	fm line <sup>6/</sup>

Selective flatfish trawl gear is required shoreward of the RCA; all trawl gear (large footrope, selective flatfish trawl, and small footrope trawl gear) is permitted seaward of the RCA. Large footrope and small footrope trawl gears (except for selective flatfish trawl gear) are prohibited shoreward of the RCA. Midwater trawl gear is permitted only for vessels participating in the primary whiting season.

See § 660.370 and § 660.381 for Additional Gear, Trip Limit, and Conservation Area Requirements and Restrictions. See §§ 660.390-660.394 and §§ 660.396-660.399 for Conservation Area Descriptions and Coordinates (including RCAs, YRCA, CCAs, Farallon Islands, Cordell Banks, and EFHCAs).

Minor slope rockfish <sup>2/</sup> & Darkblotched rockfish	6,000 lb/ 2 months	2,000 lb/ 2 months		4,000 lb/ 2 months			
Pacific ocean perch		1,500 lb/ 2 months					
DTS complex							
Sablefish							
large & small footrope gear	20,000 lb/ 2 months	24,000 lb/ 2 months	21,000 lb/ 2 months	24,000 lb/ 2 months			
selective flatfish trawl gear	9,000 lb/	9,000 lb/ 2 months					
multiple bottom trawl gear 8/	9,000 lb/	10,000 lb/ 2 months					
Longspine thornyhead							
large & small footrope gear	24,000 lb	26,000 lb/ 2 months					
selective flatfish trawl gear		5,000 lb/ 2 months					
multiple bottom trawl gear 8/		5,000 lb/	2 months				
Shortspine thornyhead							
large & small footrope gear	18,000 lb	o/ 2 months		20,000 lb/ 2 months			
selective flatfish trawl gear		5,000 lb/	2 months				
multiple bottom trawl gear 8/		5,000 lb/ 2 months					
Dover sole							
large & small footrope gear	110,000 lb/ 2 mon	ths	100,000 lb/ 2 months	110,000 lb/ 2 months			
selective flatfish trawl gear	65,000 lb	o/ 2 months		70,000 lb/ 2 months			
multiple bottom trawl gear 8/	65,000 lb	o/ 2 months		70,000 lb/ 2 months			

Tab	ele 3 (North). Continued								
		JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC		
	Whiting midwater trawl	•	,	season and trip li	mit details Áft	season: mid-wate er the primary whi			
24 25	large & small footrope gear	Before the prima		n: 20,000 lb/trip.	SED. During the prim eason: 10,000 lb/	ary season: 10,00 trip.	00 lb/trip After		
26	Flatfish (except Dover sole)								
27	Arrowtooth flounder								
28	large & small footrope gear		150,000 lb/ 2 months 180,000 lb/ 2 months						
29	selective flatfish trawl gear		90,000 lb/ 2 months 100,000 lb/ 2 mo						
30	multiple bottom trawl gear 8/		90,000 lb/ 2 months 100,000 lb/ 2 months						
31	Other flatfish <sup>3/</sup> , English sole, starry flounder, & Petrale sole		<u>'</u>						
32	large & small footrope gear for Other flatfish <sup>3/</sup> , English sole, & starry flounder	1 110 000 16/0	than 9,500 lb/ 2	b/ 2 months, no more 0 lb/ 2 months of which 1 be petrale sole 1 be 2 months of which 1 be 2 months of		00 lb/ 2 months, no more 500 lb/ 2 months of which lb/ 2 months of lb/ 2 month		110,000 lb/ 2 months, no more than 6,300 lb/ 2 months of	110,000 lb/ 2 months
33	large & small footrope gear for Petrale sole		may be petrale sole.		which may be petrale sole.	which may be petrale sole.	6,300 lb/ 2 months		
34	selective flatfish trawl gear for Other flatfish <sup>3/</sup> . English sole, & starry flounder	Ib/2 months of I ' Ib/2 months of I '		months of which					
35	selective flatfish trawl gear for Petrale sole	which may be petrale sole.	may be pe	etrale sole.	which may be petrale sole.	may be petrale sole.			
20	multiple bottom trawl gear <sup>8/</sup>	90,000 lb/ 2 months, no more than 9,500 lb/ 2 months of which may be petrale sole.	than 9,500 lb/ 2	nonths, no more more than 6,300 lb/ 2 months of which petrale sole.  60,000 lb/ 2 months, no more than 6,300 lb/ 2 months of which may be petrale sole.		months of which			
<i>36</i> <i>37</i>	Minor shelf rockfish <sup>1/</sup> , Shortbelly, Widow & Yelloweye rockfish								
	midwater trawl for Widow rockfish	Before the primary whiting season: CLOSED During primary whiting season: In trips of at least 10,000 lb of whiting, combined widow and yellowtail limit of 500 lb/ trip, cumulative widow limit of 1,500 lb/ month. Mid-water trawl permitted in the RCA. See §660.373 for primary whiting season and trip limit details After the primary whiting season: CLOSED.							
38 39	large & small footrope gear				2 months				
<i>39</i> <i>40</i>	selective flatfish trawl gear		/ month	1,000 lb/ mont	th, no more than 2 may be yelloweye		300 lb/ month		
41	multiple bottom trawl gear <sup>8</sup>	300 lb.	/ month	1	hs, no more than may be yelloweye		300 lb/ month		

		JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC		
2 Cana	ary rockfish								
3	large & small footrope gear			CLOS	SED				
4	selective flatfish trawl gear	100 lb/	month 'month'	300 lb/	month	100 lb/	month		
5	multiple bottom trawl gear 8/			CLOS	SED				
6 Yello	owtail								
7	midwater trawl	10,000 lb of w	hiting: combined . <b>Mid-water</b> traw	son: CLOSED I widow and yellowta I permitted in the R ls After the prim	ail limit of 500 lb/ CA. See §660.37	trip, cumulative ye 73 for primary whit	ellowtail limit of		
8	large & small footrope gear			300 lb/ 2	months				
9	selective flatfish trawl gear		2,000 lb/ 2 months						
0	multiple bottom trawl gear 8/	300 lb/ 2 months							
Mino rock	or nearshore rockfish & Black fish								
2	large & small footrope gear			CLO	SED				
3	selective flatfish trawl gear			300 lb/	month				
4	multiple bottom trawl gear 8/			CLO	SED				
5 Ling	cod <sup>4/</sup>								
6	large & small footrope gear				4,000 lb/	2 months			
7	selective flatfish trawl gear	1,200 lb/	2 months		4 000 %	0			
58	multiple bottom trawl gear 8/			1,200 lb/2 months					
Paci	ific cod	30,000 lb/	/ 2 months	70,000 lb/ 2 months 30,000 lb/ 2 months months					
Spin	ny dogfish	200,000 lb	o/ 2 months	150,000 lb/ 2 months	10	00,000 lb/ 2 montl	ns		
	er Fish <sup>5/</sup>		Not limited						

- 1/ Bocaccio, chilipepper and cowcod are included in the trip limits for minor shelf rockfish.
- 2/ Splitnose rockfish is included in the trip limits for minor slope rockfish.

- 3/ "Other flatfish" are defined at § 660.302 and include butter sole, curlfin sole, flathead sole, Pacific sanddab, rex sole, rock sole, and sand sole. 4/ The minimum size limit for lingcod is 22 inches (56 cm) total length North of 42° N. lat. and 24 inches (61 cm) total length South of 42° N. lat. 5/ "Other fish" are defined at § 660.302 and include sharks, skates (including longnose skate), ratfish, morids, grenadiers, and kelp greenling.
- Cabezon is included in the trip limits for "other fish."

  6/ The Rockfish Conservation Area is an area closed to fishing by particular gear types, bounded by lines specifically defined by latitude and longitude coordinates set out at §§ 660.391-660.394. This RCA is not defined by depth contours, and the boundary lines that define the RCA may close areas that are deeper or shallower than the depth contour. Vessels that are subject to the RCA restrictions may not fish in the RCA, or operate in the RCA for any purpose other than transiting.
- 7/ The "modified" fathom lines are modified to exclude certain petrale sole areas from the RCA.
- 8/ If a vessel has both selective flatfish gear and large or small footrope gear on board during a cumulative limit period (either simultaneously or successively), the most restrictive cumulative limit for any gear on board during the cumulative limit period applies for the entire cumulative limit period.

To convert pounds to kilograms, divide by 2.20462, the number of pounds in one kilogram.

# Table 3 (South) to Part 660, Subpart G -- 2010 Trip Limits for Limited Entry Trawl Gear South of 40°10' N. Lat. Other Limits and Requirements Apply -- Read § 660.301 - § 660.399 before using this table

10012010

	JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC	
ckfish Conservation Area (RCA) <sup>6/</sup> :							
South of 40°10' N. lat.			100 fm line <sup>6/</sup> -	150 fm line 6/7/			
trawl gear (large footrope, selective flatfish trawl ge		trawl, and small f trawl gear are pr	, ,	, ,	award of the RCA	Large footrope	
See § 660.370 and § 660.381 for Additio 60.394 and §§ 660.396-660.399 for Cons	servation Area I		l Coordinates (ir				
State trip limits and seasons ma	y be more restric	tive than federal t	rip limits, particula	arly in waters off C	regon and Califor	nia.	
Minor slope rockfish <sup>2/</sup> & Darkblotched rockfish							
40°10' - 38° N. lat.			15,000 lb/	2 months			
South of 38° N. lat.		55,000 lb/ 2 months					
Splitnose							
40°10' - 38° N. lat.			15,000 lb/	2 months			
South of 38° N. lat.		55,000 lb/ 2 months					
DTS complex							
Sablefish	2	2,000 lb/ 2 month	S	21,000 lb/ 2 months	24,000 lb/	2 months	
Longspine thornyhead			2 months		26,000 lb/:		
Shortspine thornyhead		18,000 lb/	2 months	100,000 # / 0	20,000 lb/	2 months	
Dover sole	1	10,000 lb/ 2 montl	ns	100,000 lb/ 2 months	110,000 lb/	2 months	
Flatfish (except Dover sole)							
Other flatfish <sup>3/</sup> , English sole, & starry flounder	110,000 lb/ 2 months	1 '	onths, no more	100,000 lb/ 2 months, no	110,000 lb/ 2 months, no more than 6,300	110,000 lb/ 2 months	
Petrale sole	9,500 lb/ 2 months	than 9,500 lb/ 2 months of which lb/ may be petrale sole.	lb/ 2 months of which may be petrale sole.	lb/ 2 months of which may be petrale sole.	6,300 lb/ 2 months		
Arrowtooth flounder		10,000 lb/	2 months	1	12,000 lb/	2 months	
Whiting					<u></u>		
midwater trawl		, ,		mit details Aft	season: mid-wate er the primary whi	•	
	L. —		JLO	·			

Table 3 (South). Continued

	JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC		
Minor shelf rockfish 11, Chilipepper Shortbelly, Widow, & Yelloweye rockfish								
large footrope or midwater tra for Minor shelf rockfish Shortbe	&		300 lb/	month				
large footrope or midwater tra for Chilipepp		12,000 lb/ 2 month	ns	-	17,000 lb/ 2 month	ıs		
large footrope or midwater tra for Widow & Yellowe			CLOSED					
small footrope trawl for <b>Mir</b> Shelf, Shortbelly, Widow Yellowe	&		300 lb/	month			ָ כ	
small footrope trawl Chilipepp		12,000 lb/ 2 month	าร	17,000 lb/ 2 months				
26 Bocaccio							[	
7 large footrope or midwater tra	wl	300 lb/ 2 months						
8 small footrope tra	wl	CLOSED						
Canary rockfish						(		
large footrope or midwater tra	wi		CLO	SED			1	
small footrope tra	wl 100 lb	/ month	300 lb/	month	100 lb	/ month	2	
Cowcod			CLO	SED			<b>!</b>	
Bronzespotted rockfish			CLO	SED			;	
Minor nearshore rockfish & Black rockfish								
large footrope or midwater tra	ıwl		CLO	SED			] :	
small footrope tra	ıwl		300 lb/	' month			۱ •	
Lingcod <sup>4/</sup>						**********	1	
large footrope or midwater tra	ıwl	/ O		4,000 lb/	/ 2 months		1	
small footrope tra	1,200 lb.	2 months	1,200 lb/ 2 months				1	
Pacific cod	30,000 lb	2 months	7	'0,000 lb/ 2 mont	hs	30,000 lb/ 2 months		
Spiny dogfish	200,000	o/ 2 months	150,000 lb/ 2 months	1	100,000 lb/ 2 mont	hs		
42 Other Fish 5/ & Cabezon			Not li	imited				

<sup>1/</sup> Yellowtail is included in the trip limits for minor shelf rockfish. Bronzespotted rockfish have a species specific trip limit.

<sup>2/</sup> POP is included in the trip limits for minor slope rockfish

<sup>3/ &</sup>quot;Other flatfish" are defined at § 660.302 and include butter sole, curlfin sole, flathead sole, Pacific sanddab, rex sole, rock sole, and sand sole.

<sup>4/</sup> The minimum size limit for lingcod is 24 inches (61 cm) total length South of 42° N. lat.

<sup>5/</sup> Other fish are defined at § 660.302 and include sharks, skates (including longnose skate), ratfish, morids, grenadiers, and kelp greenling.

<sup>6/</sup> The Rockfish Conservation Area is an area closed to fishing by particulary gear types, bounded by lines specifically defined by latitude and longitude coordinates set out at §§ 660.391-660.394. This RCA is not defined by depth contours, and the boundary lines that define the RCA may close areas that are deeper or shallower than the depth contour. Vessels that are subject to the RCA restrictions may not fish in the RCA, or operate in the RCA for any purpose other than transiting.

<sup>7/</sup> South of 34°27' N. lat., the RCA is 100 fm line - 150 fm line along the mainland coast; shoreline - 150 fm line around islands. To convert pounds to kilograms, divide by 2.20462, the number of pounds in one kilogram.

Table 4 (South) to Part 660, Subpart G -- 2009-2010 Trip Limits for Limited Entry Fixed Gear South of 40°10' N. Lat.

Other Limits and Requirements Apply -- Read § 660.301 - § 660.399 before using this table 10012010 MAY-JUN JAN-FEB MAR-APR JUL-AUG SEP-OCT NOV-DEC Rockfish Conservation Area (RCA) 5/2: 30 fm line $^{5/}$  - 150 fm line $^{5/}$ 40°10' - 34°27' N. lat. 60 fm line<sup>5/</sup> - 150 fm line<sup>5/</sup> (also applies around islands) South of 34°27' N. lat. See § 660.370 and § 660.382 for Additional Gear, Trip Limit, and Conservation Area Requirements and Restrictions. See §§ 660.390-660.394 and §§ 660.396-660.399 for Conservation Area Descriptions and Coordinates (including RCAs, YRCA, CCAs, Faralion Islands, Cordell Banks, and EFHCAs). State trip limits and seasons may be more restrictive than federal trip limits, particularly in waters off Oregon and California. Minor slope rockfish<sup>2/</sup> & 40,000 lb/ 2 months Darkblotched rockfish Splitnose 40,000 lb/ 2 months Sablefish 1,750 lb per week 1,750 lb per week, not to exceed 7,000 lb/ 2 1,750 lb per week, not to exceed 6 40°10' - 36° N. lat not to exceed months 8,500 lb/2 months 8,000 lb/ 2 months 3,000 lb/ 400 lb/day, or 1 landing per week of up to 1,500 lb 2,800 lb per week South of 36° N. lat week 8 Longspine thornyhead 10,000 lb / 2 months  $\Box$ 9 Shortspine thornyhead 10 2,000 lb/ 2 months 40°10' - 34°27' N. lat. Ш 3,000 lb/ 2 months South of 34°27' N. lat 12 Dover sole 4 13 Arrowtooth flounder 5,000 lb/ month 14 Petrale sole South of 42° N. lat., when fishing for "other flatfish," vessels using hook-and-line gear with no more ഗ than 12 hooks per line, using hooks no larger than "Number 2" hooks, which measure 11 mm (0.44 15 English sole 0 inches) point to shank, and up to two 1 lb (0.45 kg) weights per line are not subject to the RCAs. 16 Starry flounder 17 Other flatfish 1/ 18 Whiting 10,000 lb/ trip **5** 19 Minor shelf rockfish, Shortbelly, Widow rockfish, and Bocaccio (including Chilipepper between 40°10' - 34°27' N. lat.) Minor shelf rockfish, shortbelly, widow rockfish, bocaccio & chilipepper: 2,500 lb/2 months, of 20 40°10' - 34°27' N. lat. which no more than 500 lb/2 months may be any species other than chilipepper. 3,000 lb/ 2 CLOSED 21 3,000 lb/ 2 months South of 34°27' N. lat. months 22 Chilipepper rockfish Chilipepper included under minor shelf rockfish, shortbelly, widow and bocaccio limits - - See 23 40°10' - 34°27' N. lat 24 2,000 lb/2 months, this opportunity only available seaward of the nontrawl RCA South of 34°27' N. lat. 25 Canary rockfish CLOSED 26 Yelloweye rockfish CLOSED 27 Cowcod CLOSED 28 Bronzespotted rockfish CLOSED

Table 4 (South). Continued

			т	Y		·	T	
		JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC	
9 <u>E</u>	Bocaccio							
)	40°10' - 34°27' N. lat.	Bocaccio inclu	ded under Mind	or shelf rockfish,	shortbelly, wido	w & chilipepper	limits See above	
'	South of 34°27' N. lat.	300 lb/ 2 months	CLOSED		300 lb	/ 2 months		
? N	linor nearshore rockfish & Black ro	ckfish	-					
3	Shallow nearshore	600 lb/ 2 months	CLOSED	800 lb/ 2 months	900 lb/ 2 months	800 lb/ 2 months	600 lb/ 2 months	
ţ	Deeper nearshore							
5	40°10' - 34°27' N. lat.	700 lb/ 2 months	OLOOFD	700 lb/ 2	2 months	900 !!	/ O	
3	South of 34°27' N. lat.	500 lb/ 2 months	CLOSED	600 lb/ 2	2 months	80010	b/ 2 months	
, _	California scorpionfish	600 lb/ 2 months	CLOSED	600 lb/ 2 months	1,200 lb/ 2 months		nths	
3 L	ingcod <sup>3/</sup>	CLO	SED	800 lb/ 2 months		400 lb/ month CLOSED		
9 F	Pacific cod	1,000 lb/ 2 months						
- S	Spiny dogfish	200,000 lb/	2 months	nonths 150,000 lb/ 2 months 100,000 lb/ 2 months		onths		
1 (	Other fish 4/ & Cabezon			No	t limited			

- 1/ "Other flatfish" are defined at § 660.302 and include butter sole, curlfin sole, flathead sole, Pacific sanddab, rex sole, rock sole, and sand sole.
- 2/ POP is included in the trip limits for minor slope rockfish. Yellowtail is included in the trip limits for minor shelf rockfish. Bronzespotted rockfish have a species specific trip limit.
- 3/ The minimum size limit for lingcod is 24 inches (61 cm) total length South of 42° N. lat.
- 4/ "Other fish" are defined at § 660.302 and include sharks, skates (including longnose skates), ratfish, morids, grenadiers, and kelp greenling.
- 5/ The Rockfish Conservation Area is an area closed to fishing by particular gear types, bounded by lines specifically defined by latitude and longitude coordinates set out at §§ 660.391-660.394. This RCA is not defined by depth contours (with the exception of the 20-fm depth contour boundary south of 42° N. lat.), and the boundary lines that define the RCA may close areas that are deeper or shallower than the depth contour. Vessels that are subject to RCA restrictions may not fish in the RCA, or operate in the RCA for any purpose other than transiting.

To convert pounds to kilograms, divide by 2.20462, the number of pounds in one kilogram.

Table 5 (South) to Part 660, Subpart G -- 2009-2010 Trip Limits for Open Access Gears South of 40 10' N. Lat.

	Other Limits and Requirements App	ly Read § 660.3	01 - § 660.399 b	efore using th	nis table			10012010	
		JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-0	ОСТ	NOV-DEC	
Roc	kfish Conservation Area (RCA) <sup>5/</sup> :								
1	40°10' - 34°27' N. lat.			30 fm line <sup>5/</sup>	- 150 fm line <sup>5/</sup>				
2	South of 34°27' N. lat.		60 fm line <sup>5</sup>		(also applies aro	und island	s)		
	See § 660.370 and § 660.383 for A	dditional Gear, T						ns.	
;	See §§ 660.390-660.394 and §§ 660.396-66	0.399 for Conser Farallon Islands		•	•	ncluding	RCAs, YI	RCA, CCAs,	
	State trip limits and seasons may b	e more restrictive	than federal trip	limits, particula	arly in waters off	Oregon an	d Californ	ia.	
3	Minor slope rockfish 1/ & Darkblotched rockfish								
4	40°10' - 38° N. lat.		Per trip, no n	nore than 25% o	of weight of the sa	ablefish laı	nded		
5	South of 38° N. lat.		10,000 lb/ 2 months						
6	Splitnose		·····	200 II	b/ month				
7	Sablefish				1				
8	40°10' - 36° N. lat.	300 lb/ day, or 1 lb, not to ex	landing per wee ceed 2,400 lb/ 2	•	300 lb/ day, or not to e	1 landing pexceed 2,7			
9	South of 36° N. lat.							/ week, not to 1,600 lb/ month	TABL
10	Thornyheads								<u>.</u>
11	40°10' - 34°27' N. lat.			CL	OSED				Ш
12	South of 34°27' N. lat.		50 lb	/ day, no more	than 1,000 lb/ 2 n	nonths			5
	Dover sole							,	
	Arrowtooth flounder	3,000 lb/month, no							S
	Petrale sole				sels using hook-a				0
	English sole		•	•	nber 2" hooks, wh ) weights per line			` ' 1	$\subseteq$
	Starry flounder	point to one	and up to tr	vo 1 ib (0.10 kg)	, weighte per line	are not se	ibjeet to ti	10,10,0	<b>~</b>
	Other flatfish <sup>2/</sup>								三
19	Whiting			300 1	b/ month				
20	Minor shelf rockfish 1/, Shortbelly, Widow & Chilipepper rockfish					·			
21	40°10' - 34°27' N. lat.	300 lb/ 2 months	CLOSED	200 lb/	2 months	;	300 lb/ 2 r	months	
22	South of 34°27' N. lat.	750 lb/ 2 months	1						
23	Canary rockfish	CLOSED							
24	Yelloweye rockfish			CL	OSED				
25	Cowcod		·	CL	OSED	· · · · · · · · · · · · · · · · · · ·			
	Bronzespotted rockfish			CL	OSED	***************************************			
27	Bocaccio			T		т			
28	40°10' - 34°27' N. lat.	200 lb/ 2 months	CLOSED	100 lb/	2 months		200 lb/ 2 i	months	
29	South of 34°27' N. lat.	100 lb/ 2 months			100 lb/	2 months	<b>.</b>	1	

Table 5 (South). Continued

_		IANLEED	MAD ADD	NAANZ II INI	IIII ALIC	CED OCT	NOVEC			
<sub>30</sub> M	inor nearshore rockfish & Black	JAN-FEB	MAR-APR	MAY-JUN	JUL-AUG	SEP-OCT	NOV-DEC			
rc	ockfish			800 lb/ 2	900 lb/ 2	800 lb/ 2				
31	Shallow nearshore	600 lb/ 2 months	CLOSED	months	months	months	600 lb/ 2 months			
32	Deeper nearshore			I						
33	40°10' - 34°27' N. lat.	700 lb/ 2 months	CLOSED	700 lb/	2 months	800 lb/ 2 months				
34	South of 34°27' N. lat.	500 lb/ 2 months			2 months					
35	California scorpionfish	600 lb/ 2 months	CLOSED	600 lb/ 2 months	1 200 lb/ 2 months					
36 <u>L</u> i	ingcod <sup>3/</sup>	CLOS	SED		400 lb/ moi	nth	CLOSE			
37 <b>P</b>	acific cod	1,000 lb/ 2 months								
38 S	piny dogfish	200,000 lb/	/ 2 months 150,000 lb/ 2 months 100,000 lb/ 2 months							
<sup>39</sup> 0	ther Fish <sup>4/</sup> & Cabezon	Not limited								
10 R										
1	NON-GROUNDFISH TRAWL Rockfis		Area (RCA) for	CA Halibut, Se	ea Cucumber &	Ridgeback Pra				
12	40°10' - 38° N. lat.	100 fm - modified 200 fm		100 fm	- 150 fm		100 fm - modified 200 fm			
13	38° - 34°27' N. lat.			100 fm	- 150 fm					
14	South of 34°27' N. lat.	100 fn	n - 150 fm alono	g the mainland c	oast; shoreline -	150 fm around	islands			
45	Groundfish: 300 lb/trip. Trip limits in this table also apply and are counted toward the 300 lb groundfish per trip limit. The amount of groundfish landed may not exceed the amount of the target species landed, except that the amount of spiny dogfish landed may exceed the amount of target species landed. Spiny dogfish are limited by the 300 lb/trip overall groundfish limit. The daily trip limits for sablefish coastwide and thornyheads south of Pt. Conception and the overall groundfish "per trip" limit may not be multiplied by the number of days of the trip. Vessels participating in the California halibut fishery south of 38°57.50' N. lat. are allowed to (1) land up to 100 lb/day of groundfish without the ratio requirement, provided that at least one California halibut is landed and (2) land up to 3,000 lb/month of flatfish, no more than 300 lb of which may be species other than Pacific sanddabs, sand sole, starry flounder, rock sole, curtfin sole, or California scorpionfish (California scorpionfish is also subject to the trip limits and closures in line 31).									
46 P	INK SHRIMP NON-GROUNDFISH TRA	AWL GEAR (not	subject to RCA:	s)						
 47	South	SHRIMP NON-GROUNDFISH TRAWL GEAR (not subject to RCAs)  Effective April 1 - October 31: Groundfish: 500 lb/day, multiplied by the number of days of the trip, not to exceed 1,500 lb/trip. The following sublimits also apply and are counted toward the overall 500 lb/day and 1,500 lb/trip groundfish limits: lingcod 300 lb/ month (minimum 24 inch size limit); sablefish 2,000 lb/ month; canary, thornyheads and yelloweye rockfish are PROHIBITED. All other groundfish species taken are managed under the overall 500 lb/day and 1,500 lb/trip groundfish limits. Landings of these species count toward the per day and per trip groundfish limits and do not have species-specific limits. The amount of groundfish landed may not exceed the amount of pink shrimp landed.								

<sup>1/</sup> Yellowtail rockfish is included in the trip limits for minor shelf rockfish. POP is included in the trip limits for minor slope rockfish. Bronzespotted rockfish have a species specific trip limit.

<sup>2/ &</sup>quot;Other flatfish" are defined at § 660.302 and include butter sole, curlfin sole, flathead sole, Pacific sanddab, rex sole, rock sole, and sand sole.

<sup>3/</sup> The size limit for lingcod is 24 inches (61 cm) total length South of 42° N. lat.

<sup>4/ &</sup>quot;Other fish" are defined at § 660.302 and include sharks, skates (including longnose skates), ratfish, morids, grenadiers, and kelp greenling.

<sup>5/</sup> The Rockfish Conservation Area is an area closed to fishing by particular gear types, bounded by lines specifically defined by latitude and longitude coordinates set out at §§ 660.391-660.394. This RCA is not defined by depth contours (with the exception of the 20-fm depth contour boundary south of 42° N. lat.), and the boundary lines that define the RCA may close areas that are deeper or shallower than the depth contour. Vessels that are subject to RCA restrictions may not fish in the RCA, or operate in the RCA for any purpose other than transiting.
6/ The "modified 200 fm" line is modified to exclude certain petrale sole areas from the RCA.

To convert pounds to kilograms, divide by 2.20462, the number of pounds in one kilogram.

# **Proposed Rules**

#### Federal Register

Vol. 75, No. 191

Monday, October 4, 2010

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

### **DEPARTMENT OF TRANSPORTATION**

#### **Federal Aviation Administration**

#### 14 CFR Part 39

[Docket No. FAA-2008-1165; Directorate Identifier 2008-NE-38-AD]

#### RIN 2120-AA64

# Airworthiness Directives; Rolls-Royce plc RB211–Trent 800 Series Turbofan Engines

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Supplemental notice of proposed rulemaking (NPRM); reopening of comment period.

SUMMARY: This supplemental NPRM revises an earlier proposed airworthiness directive (AD) for the products listed above. This proposed AD results from mandatory continuing airworthiness information (MCAI) issued by an aviation authority of another country to identify and correct an unsafe condition on an aviation product. The MCAI describes the unsafe condition as:

During manufacture of high-pressure (HP) compressor stage 1 discs, a small number of parts have been rejected due to a machining defect that was found during inspection. Analysis of the possibility of less severe examples having been undetected and passed into service has concluded that action is required to reduce the risk of failure. It was therefore necessary to reduce the life limit.

The HP compressor stage 1 disc is part of the HP compressor stage 1–4 shaft, part number (P/N) FK32580. We are proposing this AD to prevent failure of the HP compressor stage 1 disc, uncontained engine failure, and damage to the airplane.

**DATES:** We must receive comments on this proposed AD by November 18, 2010.

**ADDRESSES:** You may send comments by any of the following methods:

• Federal eRulemaking Portal: Go to http://www.regulations.gov and follow

the instructions for sending your comments electronically.

- *Mail*: Docket Management Facility, U.S. Department of Transportation, 1200 New Jersey Avenue, SE., West Building Ground Floor, Room W12–140, Washington, DC 20590–0001.
- Hand Delivery: Deliver to Mail address above between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays.
  - Fax: (202) 493-2251.

Contact Rolls-Royce plc, P.O. Box 31, Derby, England, DE248BJ; telephone: 011–44–1332–242424; fax: 011–44–1332–245418 for the service information identified in this proposed AD.

#### **Examining the AD Docket**

You may examine the AD docket on the Internet at http://www.regulations.gov; or in person at the Docket Operations office between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this proposed AD, the regulatory evaluation, any comments received, and other information. The street address for the Docket Operations office (phone (800) 647–5527) is the same as the Mail address provided in the ADDRESSES section. Comments will be available in the AD docket shortly after receipt.

### FOR FURTHER INFORMATION CONTACT:

James Lawrence, Aerospace Engineer, Engine Certification Office, FAA, Engine & Propeller Directorate, 12 New England Executive Park, Burlington, MA 01803; e-mail: *james.lawrence@faa.gov*; telephone (781) 238–7176; fax (781) 238–7199.

#### SUPPLEMENTARY INFORMATION:

#### **Comments Invited**

We invite you to send any written relevant data, views, or arguments about this proposed AD. Send your comments to an address listed under the ADDRESSES section. Include "Docket No. FAA-2008-1165; Directorate Identifier 2008-NE-38-AD" at the beginning of your comments. We specifically invite comments on the overall regulatory, economic, environmental, and energy aspects of this proposed AD. We will consider all comments received by the closing date and may amend this proposed AD based on those comments.

We will post all comments we receive, without change, to http://www.regulations.gov, including any

personal information you provide. We will also post a report summarizing each substantive verbal contact with FAA personnel concerning this proposed AD. Using the search function of the Web site, anyone can find and read the comments in any of our dockets, including, if provided, the name of the individual who sent the comment (or signed the comment on behalf of an association, business, labor union, *etc.*). You may review the DOT's complete Privacy Act Statement in the **Federal Register** published on April 11, 2000 (65 FR 19477–78).

#### Discussion

This supplemental NPRM revises an earlier proposed AD, for Rolls-Royce plc RB211-Trent 800 series turbofan engines. That proposed AD would have required removing HP compressor stage 1-4 shafts, P/N FK32580, from service at reduced life limits based on part assessment using either "Multiple Flight Profile Monitoring", or "Heavy Flight Profile" calculations. That proposed AD resulted from MCAI issued by an aviation authority of another country. This supplemental NPRM revises the proposed AD to correct certain life limits for the Heavy Flight Profile Parts. We are proposing this supplemental NPRM to prevent failure of the HP compressor stage 1 disc, uncontained engine failure, and damage to the airplane. The European Aviation Safety Agency (EASA), which is the Technical Agent for the Member States of the European Community, has issued MCAI AD 2010-0087, date May 5, 2010 (corrected, May 6, 2010), to correct an unsafe condition for the specified products. The MCAI states:

During manufacture of high-pressure (HP) compressor stage 1 discs, a small number of parts have been rejected due to a machining defect that was found during inspection. Analysis of the possibility of less severe examples having been undetected and passed into service has concluded that action is required to reduce the risk of failure. It is therefore necessary to reduce the life limit.

Since we issued the original proposed AD on February 10, 2009 (74 FR 7563, February 18, 2009):

• EASA issued AD 2010–0087, dated May 5, 2010, and AD 2010–0087 (corrected May 6, 2010) to correct certain life limits for the Heavy Flight Profile Parts.

• EASA issued AD 2010–0087, dated May 5, 2010 (corrected May 6, 2010), which retains certain requirements of superseded EASA AD 2008–0099, and imposes more restrictive life limits in the Heavy Flight Profile Parts.

You may obtain further information by examining the MCAI in the AD docket.

#### Comments

We provided the public the opportunity to participate in the development of the original proposed AD. We considered the comments received.

### NPRM Would Allow a Part To Go Beyond the Current Time Limits Manual (TLM) Limit

One commenter, Delta Airlines through the Airline Transport Association (ATA), states that the NPRM would require a 5,280 cycle life limit established in the long term for shafts that are used in the "Heavy Flight Profile". In the draw down period from the initial effective date, the NPRM would allow a shaft in the "Heavy Flight Profile" category to remain in service for up to 7,480 flight cycles in the worst case scenario. However, the existing TLM Section 05-10-01-800-802. Subtask 05-10-01-860-169 (dated March 15, 2008) states that the current declared life limit for HP compressor stage 1-4 shaft, P/N FK32580, is only 6,850 flight cycles. The NPRM would allow a part to go beyond the current TLM limit in the interim draw down period which seems to contradict the intent of the NPRM.

We agree. We changed the supplemental NPRM to use the correct life limit reduction.

### Allow Later Approved Revisions of the Alert Service Bulletin (ASB) as Acceptable for Compliance

One commenter, American Airlines through the ATA, states that subsequent to the writing of the NPRM, Rolls-Royce plc published Revision 2 of ASB No. RB.211–72–AF825. The commenter requests that the AD should include language that allows later approved revisions of the ASB as acceptable for compliance.

We partially agree. We agree that our ADs should be as current and accurate as possible. We changed the AD to include the reference to ASB Revision 3 in the related information paragraph.

We disagree that the NPRM, or this supplemental NPRM, require the use of the ASB for compliance, or that we should permit an unknown future revision be used for compliance. Since we do not know the content of later documents like ASBs, we do not issue

a regulation that mandates compliance with the unknown. We did not change the proposed AD to permit compliance to unknown future revisions.

### Request To Change the Base-Lined Compliance Time in the AD

One commenter, Rolls-Royce plc, states that their SB compliance time is base-lined from May 1, 2008. The FAA NPRM is understood to permit the same cyclic draw down as the SB. However, the baseline date for the applicable draw down would be the issue date of the FAA AD. Rolls-Royce plc requests that we change the base-lined compliance time in the proposed AD to the compliance base line in the RR SB.

We do not agree. We cannot pre-date the compliance times in the proposed AD. We did not change the AD.

# Differences Between This Proposed AD and the MCAI

We have reviewed the MCAI and, in general, agree with its substance. But we have found it necessary to not incorporate the June 4, 2008 compliance date which is in EASA AD 2010–0087, dated May 5, 2010 (corrected May 6, 2010). We updated the compliance times in the proposed AD based on a more recent assessment of the unsafe condition.

# FAA's Determination and Requirements of This Proposed AD

This product has been approved by the aviation authority of the United Kingdom, and is approved for operation in the United States. Pursuant to our bilateral agreement with the United Kingdom, they have notified us of the unsafe condition described in the MCAI and service information referenced above. We are proposing this AD because we evaluated all information provided by EASA and determined the unsafe condition exists and is likely to exist or develop on other products of the same type design. This proposed AD requires removing HP compressor stage 1-4 shafts, P/N FK32580, from service at reduced life limits based on part assessment using either "Multiple Flight Profile Monitoring", or "Heavy Flight Profile" calculations.

# **Costs of Compliance**

Based on the service information, we estimate that this proposed AD would affect about 78 products of U.S. registry. Required parts would cost about \$15,095 per product. We estimate that no additional labor costs would be incurred to perform the proposed actions, as we anticipate that the removal from service of the HP compressor stage 1–4 shafts will occur

while the engine is inducted into the shop for routine maintenance. Based on these figures, we estimate the cost of the proposed AD on U.S. operators to be \$1,177,410.

#### Authority for This Rulemaking

Title 49 of the United States Code specifies the FAA's authority to issue rules on aviation safety. Subtitle I, section 106, describes the authority of the FAA Administrator. "Subtitle VII: Aviation Programs," describes in more detail the scope of the Agency's authority.

We are issuing this rulemaking under the authority described in "Subtitle VII, Part A, Subpart III, Section 44701: General requirements." Under that section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

#### **Regulatory Findings**

We determined that this proposed AD would not have federalism implications under Executive Order 13132. This proposed AD would not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government.

For the reasons discussed above, I certify this proposed regulation:

- 1. Is not a "significant regulatory action" under Executive Order 12866;
- 2. Is not a "significant rule" under the DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979); and
- 3. Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

We prepared a regulatory evaluation of the estimated costs to comply with this proposed AD and placed it in the AD docket.

# List of Subjects in 14 CFR Part 39

Air transportation, Aircraft, Aviation safety, Safety.

### The Proposed Amendment

Accordingly, under the authority delegated to me by the Administrator, the FAA proposes to amend 14 CFR part 39 as follows:

# PART 39—AIRWORTHINESS DIRECTIVES

1. The authority citation for part 39 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40113, 44701.

#### § 39.13 [Amended]

2. The FAA amends § 39.13 by adding the following new AD:

Rolls-Royce plc: Docket No. FAA-2008-1165; Directorate Identifier 2008-NE-38-AD.

#### **Comments Due Date**

(a) We must receive comments by November 18, 2010.

#### Affected Airworthiness Directives (ADs)

(b) None.

#### Applicability

(c) This AD applies to Rolls-Royce plc (RR) models RB211—Trent 875—17, —Trent 877—17, —Trent 884—17, —Trent 884—17, —Trent 892—17, —Trent 892—17, and —Trent 895—17 turbofan engines, with high-pressure (HP) compressor stage 1—4 shafts, part number (P/N) FK32580, installed. These engines are installed on, but not limited to, Boeing 777 series airplanes.

#### Reason

(d) European Aviation Safety Agency (EASA) AD 2010–0087, dated May 5, 2010 (corrected May 6, 2010) states the unsafe condition is as follows:

During manufacture of high-pressure (HP) compressor stage 1 discs, a small number of parts have been rejected due to a machining defect that was found during inspection. Analysis of the possibility of less severe examples having been undetected and passed into service has concluded that action is required to reduce the risk of failure. It was therefore necessary to reduce the life limit.

The HP compressor stage 1 disc is part of the HP compressor stage 1–4 shaft, P/N FK32580. We are issuing this AD to prevent failure of the HP compressor stage 1 disc, uncontained engine failure, and damage to the airplane.

#### **Actions and Compliance**

(e) Unless already done, do the following actions.

#### **Multiple Flight Profile Monitoring Parts**

(1) For RB211–Trent 800 engines being monitored by "Multiple Flight Profile Monitoring," remove the HP compressor stage 1–4 shaft, P/N FK32580, before accumulating 5,580 standard duty cycles (SDC) since-new or within 960 SDC from the effective date of this AD, whichever occurs later.

# **Heavy Flight Profile Parts**

(2) For RB211–Trent 800 engines being monitored by "Heavy Flight Profile," remove the HP compressor stage 1–4 shaft, P/N FK32580, before accumulating 5,280 flight cycles since new or within 860 flight cycles from the effective data of this AD, whichever occurs later.

#### **FAA Differences**

(f) We have found it necessary to not incorporate the June 4, 2008 compliance date which is in EASA AD 2010–0087, dated May 5, 2010 (corrected May 6, 2010). We also updated the compliance times in the AD based on a more recent assessment of the unsafe condition.

# Alternative Methods of Compliance (AMOCs)

(g) The Manager, Engine Certification Office, FAA, has the authority to approve AMOCs for this AD, if requested using the procedures found in 14 CFR 39.19.

#### **Related Information**

(h) Refer to EASA Airworthiness Directive 2010–0087, dated May 5, 2010 (corrected May 6, 2010), and Rolls-Royce plc Alert Service Bulletin No. RB.211–72–AF825, Revision 3, dated August 25, 2009 for related information. Contact Rolls-Royce plc, P.O. Box 31, Derby, England, DE248BJ; telephone: 011–44–1332–242424; fax: 011–44–1332–245418, for a copy of this service information.

(i) Contact James Lawrence, Aerospace Engineer, Engine Certification Office, FAA, Engine & Propeller Directorate, 12 New England Executive Park, Burlington, MA 01803; e-mail: james.lawrence@faa.gov; telephone (781) 238–7176; fax (781) 238–7199, for more information about this AD.

Issued in Burlington, Massachusetts on September 27, 2010.

#### Peter A. White,

Assistant Manager, Engine and Propeller Directorate, Aircraft Certification Service. [FR Doc. 2010–24745 Filed 10–1–10; 8:45 am]

BILLING CODE 4910-13-P

#### **LIBRARY OF CONGRESS**

#### **Copyright Office**

# 37 CFR Part 201

[Docket No. RM 2010-3]

# Refunds Under the Cable Statutory License

**AGENCY:** Copyright Office, Library of Congress.

**ACTION:** Notice of Proposed Rulemaking.

**SUMMARY:** The Office seeks comment on whether a cable operator may receive refunds in situations where it has failed to pay for the carriage of distant signals on a system—wide basis under the Copyright Act, before it was amended to allow a cable system to calculate its royalty fees on a community—by—community basis.

**DATES:** Written comments must be received in the Office of the General Counsel of the Copyright Office no later than November 3, 2010. Reply comments must be received in the

Office of the General Counsel of the Copyright Office no later than November 3, 2010.

ADDRESSES: If hand delivered by a private party, an original and five copies of a comment or reply comment should be brought to the Library of Congress, U.S. Copyright Office, Room 401, 101 Independence Avenue, SE, Washington, DC 20559, between 8:30 a.m. and 5 p.m. E.D.T. The envelope should be addressed as follows: Office of the General Counsel, U.S. Copyright Office. If delivered by a commercial courier, an original and five copies of a comment or reply comment must be delivered to the Congressional Courier Acceptance Site ("CCAS") located at 2nd and D Streets, NE. Washington, DC between 8:30 a.m. and 4 p.m. The envelope should be addressed as follows: Office of the General Counsel, U.S. Copyright Office, LM 403, James Madison Building, 101 Independence Avenue, SE, Washington, DC 20559. Please note that CCAS will not accept delivery by means of overnight delivery services such as Federal Express, United Parcel Service or DHL. If sent by mail (including overnight delivery using U.S. Postal Service Express Mail), an original and five copies of a comment or reply comment should be addressed to U.S. Copyright Office, Copyright GC/I&R, P.O. Box 70400, Washington, DC 20024.

FOR FURTHER INFORMATION CONTACT: Ben Golant, Assistant General Counsel, and Tanya M. Sandros, Deputy General Counsel, Copyright GC/I&R, P.O. Box 70400, Washington, DC 20024. Telephone: (202) 707–8380. Telefax: (202) 707–8366.

**SUPPLEMENTARY INFORMATION: Section** 111 of the Copyright Act ("Act"), title 17 of the United States Code ("Section 111"), provides cable operators with a statutory license to retransmit to the public a performance or display of a work embodied in a primary transmission made by a television station licensed by the Federal Communications Commission ("FCC"). Cable systems that retransmit broadcast signals in accordance with the provisions governing the statutory license set forth in Section 111 are required to pay royalty fees to the Copyright Office ("Office"). Payments made under the cable statutory license are remitted semi-annually to the Office which invests the royalties in United States Treasury securities pending distribution of these funds to those copyright owners who are entitled to receive a share of the fees. Section 111 was recently amended by the Satellite Television Extension and Localism Act of 2010 ("STELA"), Pub. L. No. 111-175,

which made some changes to the design of the royalty payment structure, as noted below.

Cable operators have long paid royalties for the retransmission of nonnetwork programming carried by distant broadcast television signals under the Section 111 statutory license. The royalties are based on a percentage of gross receipts generated by a cable system. Under the licensing framework established by Congress in 1976, cable operators had to pay for the number of distant signals carried, even though some such signals were not received or made available to every subscriber of a particular cable system. Distant broadcast signals that were not made available on a system-wide basis, but on which operators were required to pay royalties, have been called "phantom signals." The Copyright Office has been aware of the phantom signal situation since at least 1983, see NCTA Petition for Issuance of Notice of Proposed Rulemaking (filed August 22, 1983), but the matter has only recently received legislative attention.

Section 104 of STELA, entitled "Modifications to Cable System Secondary Transmission Rights Under Section 111," directly addresses phantom signals. Specifically, it amends Section 111(d)(1) of the Copyright Act which sets forth the methodology for a cable operator to calculate royalty fees. Cable operators now pay royalty fees based on the communities where the distant broadcast signal is actually offered rather than on a broader cable system basis as had been the case since 1978.

Specifically, STELA amends subparagraph (C) of Section 111(d)(1) to state that if a cable system provides secondary transmissions of primary transmitters to some, but not all, communities served by the cable system, the gross receipts and distant signal equivalent values for each secondary transmission may be derived on the basis of the subscribers in those communities where the cable system actually provides such secondary transmission. Where a cable system calculates its royalties on a communityspecific ("subscriber group") basis, the operator applies the methodology in Section 111(d)(1)(B)(ii)-(iv) to calculate a separate royalty for each subscriber group.

The legislation also amends subparagraph (D) of Section 111(d)(1) to state that:

A cable system that, on a statement submitted before the date of the enactment of the Satellite Television Extension and Localism Act of 2010, computed its royalty fee consistent with the methodology under subparagraph (C)(iii), or that amends a statement filed before such date of enactment to compute the royalty fee due using such methodology, shall not be subject to an action for infringement, or eligible for any royalty refund or offset, arising out of its use of such methodology on such statement.

In other words, operators who have heretofore based royalty payments on subscriber group calculations will not face liability for having done so. The amendments also make clear that cable operators who have paid for phantom signals in the past are not entitled to now seek refunds or offsets for those payments in any Statement of Account period from 2010/1 onward. With regard to offsets, cable operators cannot deduct the amount they paid for a phantom signal prior to STELA (e.g., 2009/1) from the royalties they must pay in future Statement of Account periods.

While STELA eliminates the possibility of an action for infringement against those cable systems that did not pay for the carriage of phantom signals historically, it did not alter how a cable system was to calculate its royalty fee obligation for carriage of these signals under Section 111 prior to the passage of this legislation. Nevertheless, certain cable systems have concluded that the language which prevents a copyright owner from bringing an infringement suit against a cable system which had computed its past royalty fee obligation in a manner consistent with the methodology in new Section 111(d)(1)(D) also nullifies their obligation to have paid for carriage of all signals on a system-wide basis for the accounting periods ending prior to January 1, 2010. This approach represents one interpretation of the effect of the new provision, but it is not the only one. A more literal reading of the new statutory language is that it only shields a cable system from an infringement action and that it does not erase a cable system's obligation to have paid for the carriage of each distant signal on a system-wide basis prior to the 2010/1 accounting period. Under the latter interpretation, any underpayment for carriage of a phantom signal still remains even though the operator cannot be sued for infringement under Section 111.

We raise this issue because some cable systems which, prior to the 2010/1 accounting period, did not pay for carriage of phantom signals are now requesting refunds in cases where there are other non-related issues. In these cases, the cable system is just now replying to a pending Licensing Division initiated letter and is requesting a refund for a reporting mistake, e.g., identifying a local signal as a distant signal for the 2009/2

accounting period (or an earlier accounting period), even though, according to the Copyright Office's examination of the statement of account, the cable system still has an outstanding royalty fee obligation for the retransmission of a phantom signal during the same period.

At this time, the Office is not inclined to refund any fees for a non-phantom reporting error in the case where the operator has an outstanding balance owed for the carriage of a phantom signal without accounting for that obligation too because, prior to STELA, section 111 required that royalty fees be calculated on a system-wide basis. Moreover, the language in STELA protecting a cable system from an infringement suit for failure to make these payments prior to the 2010/1 accounting period does not address a cable system's past obligation to have paid the royalty fees owed by the cable system at the time it filed the statement of accounts. Historically, cable operators have been expected to pay for each distant signal on a system-wide basis and when that did not occur, the Office would write to the cable system noting the underpayment and record it as an outstanding obligation. Moreover, the Office would not provide a refund for an overpayment for misreporting a local signal as a distant signal or similar reporting error until the outstanding obligation for carriage of the phantom signal had been satisfied. Nothing in the legislation appears to have altered this approach. Nevertheless, in light of the requests from certain cable operators, we seek comment on whether to offset the outstanding balance owed for carriage of phantom signals before providing a refund for an error unrelated to phantom signals that occurred in an accounting period prior to 2010/1.

#### List of Subjects in 37 CFR 201

Copyright

# **Proposed Regulation**

For the reasons set forth in the preamble, the Copyright Office proposes to amend part 201 of title 37 of the Code of Federal Regulations as follows:

#### **PART 201 – GENERAL PROVISIONS**

1. The authority citation for part 201 continues to read as follows:

#### Authority: 17 U.S.C. 702

2. Amend section 201.17 by redesignating paragraphs (m)(1) through (4) as paragraphs (m)(2) through (5) and adding a new paragraph (m)(1) to read as follows:

§ 201.17 Statements of Account covering compulsory licenses for secondary transmissions by cable systems.

\* \* \* \* \*

- (m) Corrections, supplemental payments and refunds.
- (1) Royalty fee obligations under 17 U.S.C. 111 prior to the effective date of

the Satellite Television Extension and Localism Act of 2010, Pub.L. No. 111–175 are determined based on carriage of each distant signal on a system—wide basis. Refunds for an overpayment of royalty fees for an accounting period prior to January 1, 2010, shall be made only when all outstanding royalty fee obligations have been met, including

those for carriage of each distant signal on a system—wide basis.

\* \* \* \* \*

Dated: September 28, 2010

Tanya Sandros,

Deputy General Counsel, U.S. Copyright Office.

[FR Doc. 2010–24779 Filed 10–1–10; 8:45 am]

BILLING CODE 1410-30-S

# **Notices**

Federal Register

Vol. 75, No. 191

Monday, October 4, 2010

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

# ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

# Notice of Public Meeting of the Committee on Regulation

**AGENCY:** Administrative Conference of the United States.

the Officed States.

**ACTION:** Notice of meeting.

**SUMMARY:** Pursuant to the Federal Advisory Committee Act of 1972, notice is hereby given that the Administrative Conference of the United States will host a public meeting of the Committee on Regulation of the Assembly of the Conference on Tuesday, October 19, 2010 from 2 p.m. to 5 p.m. to consider a report examining agency procedures for determining whether to preempt state law. To facilitate public participation, the Administrative Conference is inviting public comment on the report to be considered at the meeting, to be submitted in writing no later than October 14, 2010.

**DATES:** Meeting to be held October 19, 2010. Comments must be received by October 14, 2010.

Administrative Conference of the United States, Suite 706 South, 1120 20th Street, NW., Washington, DC 20036. Submit comments to either of the following:

(1) *E-mail: Comments@acus.gov,* with "Preemption" in the subject line; or

(2) Mail: Preemption Comments, Administrative Conference of the United States, Suite 706 South, 1120 20th Street, NW., Washington, DC 20036.

# FOR FURTHER INFORMATION CONTACT:

Emily F. Schleicher, Designated Federal Officer, Administrative Conference of the United States, Suite 706 South, 1120 20th Street, NW., Washington, DC 20036; Telephone 202–480–2080.

SUPPLEMENTARY INFORMATION: The Administrative Conference of the United States (ACUS) is charged with

developing recommendations for the improvement of Federal administrative procedures (5 U.S.C. 591). The objectives of these recommendations are to ensure that private rights may be fully protected and regulatory activities and other Federal responsibilities may be carried out expeditiously in the public interest, to promote more effective public participation and efficiency in the rulemaking process, to reduce unnecessary litigation in the regulatory process, to improve the use of science in the regulatory process, and to improve the effectiveness of laws applicable to the regulatory process.

The Conference has engaged a Professor of Law at New York University School of Law, Catherine M. Sharkey, to research and prepare a report regarding the best practices of federal agencies in obtaining input from state and local governments and other procedures for determining whether to preempt state law (the "Preemption Report"). A copy of the Preemption Report will be available at http:// www.acus.gov. The Committee on Regulation has been tasked with reviewing this report and developing recommendations for consideration by the Assembly of the Conference.

From 2 p.m. to 5 p.m. on October 19, 2010, the Committee on Regulation will hold a meeting to consider the Preemption Report and formulate appropriate recommendations in response thereto. This meeting will be open to the public and may end prior to 5 p.m. if business is concluded prior to that time. Members of the public are invited to attend the meeting in person, subject to space limitations, and the Conference will also provide remote public access to the meeting.

Anyone who wishes to attend the meeting in person is asked to RSVP to Comments@acus.gov. Remote access information will be posted on the Conference's Web site, http:// www.acus.gov, by no later than October 15, 2010, and will also be available by the same date by calling the phone number listed above. Members of the public who attend the Committee's meeting may be permitted to speak only at the discretion of the Committee Chair, with unanimous approval of the Committee. The Conference welcomes the attendance of the public and will make every effort to accommodate persons with physical disabilities or

special needs. If you need special accommodations due to a disability, please inform the Designated Federal Officer no later than 7 days in advance of the meeting using the contact information provided above.

Members of the public may submit written comments on the report to either of the addresses listed above no later than October 14, 2010. All comments will be delivered to the Designated Federal Officer listed on this notice.

Dated: September 29, 2010.

### Paul R. Verkuil,

Chairman.

[FR Doc. 2010–24780 Filed 10–1–10; 8:45 am] BILLING CODE 6110–01–P

#### **DEPARTMENT OF AGRICULTURE**

# **Food Safety and Inspection Service**

[Docket No. FSIS-2010-0032]

### Codex Alimentarius Commission: Meeting of the Codex Committee on Food Hygiene

**AGENCY:** Office of the Under Secretary for Food Safety, USDA.

**ACTION:** Notice of public meeting and request for comments.

**SUMMARY:** The Office of the Under Secretary for Food Safety, U.S. Department of Agriculture (USDA), and the Food and Drug Administration (FDA), Health and Human Services, are sponsoring a public meeting on November 2, 2010. The objective of the public meeting is to provide information and receive public comments on agenda items and draft U.S. positions that will be discussed at the 42nd Session of the Codex Committee on Food Hygiene (CCFH) of the Codex Alimentarius Commission (Codex), which will be held in Kampala, Uganda, from November 29 through December 3, 2010. The Under Secretary for Food Safety and FDA recognize the importance of providing interested parties the opportunity to obtain background information on the 42nd session of the CCFH and to address items on the agenda.

**DATES:** The public meeting is scheduled for Tuesday, November 2, 2010, from 1 p.m. to 4 p.m.

**ADDRESSES:** The public meeting will be held at the USDA, Whitten Building,

1400 Independence Avenue, SW., Room 107–A, Washington, DC 20250. Documents related to the 42nd Session of the CCFH will be accessible via the World Wide Web at the following address: http://www.codexalimentarius.net/current.asp.

Call In Information:

Call in Number: 1–866–692–3158.

Passcode: 5986642.

The U.S. Delegate to the 42nd Session of the CCFH, Ms. Jenny Scott, invites interested U.S. parties to submit their comments electronically to the following e-mail address Jenny.Scott@fda.hhs.gov.

For Further Information About the 42nd Session of the CCFH Contact:
Barbara McNiff, 1400 Independence
Avenue, SW., Room 4870, Washington,
DC 20250, (202) 690–4719,
Barbara.McNiff@fsis.usda.gov.

For Further Information About the Public Meeting Contact: Jasmine Matthews, Program Analyst, U.S. Codex Office, 1400 Independence Avenue, SW., Room 4861, Washington, DC 20250, (202) 690–1124, Jasmine.Matthews@fsis.usda.gov.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

The Codex was established in 1963 by two United Nations organizations, the Food and Agriculture Organization (FAO) and the World Health Organization (WHO). Through adoption of food standards, codes of practice, and other guidelines developed by its committees, and by promoting their adoption and implementation by governments, Codex seeks to protect the health of consumers and ensure fair practices in the food trade.

The CCFH was established to draft basic provisions on food hygiene applicable to all food; to consider, amend if necessary, and endorse provisions on hygiene prepared by Codex commodity committees and contained in Codex codes of practice unless, in specific cases, the Codex has decided otherwise; to draft provisions on hygiene applicable to specific food items or food groups, whether coming within the terms of reference of a Codex commodity committee or not; to consider specific hygiene problems assigned to it by the Codex; to suggest and prioritize areas where there is a need for microbiological risk assessment at the international level; to develop questions to be addressed by the risk assessors; and, to consider microbiological risk management matters in relation to food hygiene, including food irradiation, and in relation to the risk assessment of FAO and WHO.

The CCFH is hosted by the United States of America.

# Issues To Be Discussed at the Public Meeting

The following items on the agenda for the 42nd Session of the CCFH will be discussed during the public meeting:

- Matters Referred by the Codex Alimentarius Commission and Other Codex Committees to the CCFH
- Matters Arising from the Work of FAO, WHO, and Other International Intergovernmental Organizations:
- (a) Progress Report on the Joint FAO and WHO Expert Meetings on Microbiological Risk Assessment (JEMRA) and Related Matters
- (b) Information from the World Organization for Animal Health (OIE)
- Proposed Draft Guidelines for the Control of *Campylobacter* and *Salmonella spp.* in Chicken Meat at Step 4.
- Proposed Draft Guidelines on the Application of General Principles of Food Hygiene to the Control of Viruses in Food at Step 4.
- Proposed Draft Revision of the Recommended International Code of Hygenic Practice for Collecting, Processing, and Marketing of Natural Mineral Waters at Step 4.
- Proposed Draft Revision of the Principles for the Establishment and Application of Microbiological Criteria for Foods at Step 4.

Each issue listed will be fully described in documents distributed, or to be distributed, by the Secretariat prior to the meeting. Members of the public may access copies of these documents (see ADDRESSES).

# **Public Meeting**

At the November 2, 2010, public meeting, draft U.S. positions on the agenda items will be described and discussed, and attendees will have the opportunity to pose questions and offer comments. Written comments may be offered at the meeting or sent to the U.S. Delegate for the 42nd Session of the CCFH, Jenny Scott (see ADDRESSES). Written comments should state that they relate to activities of the 42nd Session of the CCFH.

# **USDA Nondiscrimination Statement**

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual orientation, and marital or family status. (Not all prohibited bases apply to all programs.)

Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's Target Center at 202–720–2600 (voice and TTY).

To file a written complaint of discrimination, write USDA, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW., Washington, DC 20250–9410 or call 202–720–5964 (voice and TTY). USDA is an equal opportunity provider and employer.

# **Additional Public Notification**

Public awareness of all segments of rulemaking and policy development is important. Consequently, in an effort to ensure that the public and in particular minorities, women, and persons with disabilities, are aware of this notice, FSIS will announce it on-line through the FSIS Web page located at <a href="http://www.fsis.usda.gov/regulations/2010">http://www.fsis.usda.gov/regulations/2010</a> Notices Index/.

FSIS also will make copies of this Federal Register publication available through the FSIS Constituent Update, which is used to provide information regarding FSIS policies, procedures, regulations, Federal Register notices, FSIS public meetings, and other types of information that could affect or would be of interest to our constituents and stakeholders. The Update is communicated via Listserv, a free e-mail subscription service consisting of industry, trade, and farm groups, consumer interest groups, allied health professionals, scientific professionals, and other individuals who have requested to be included. The Update also is available on the FSIS Web page. Through Listserv and the Web page, FSIS is able to provide information to a much broader, more diverse audience. In addition, FSIS offers an e-mail subscription service which provides automatic and customized access to selected food safety news and information. This service is available at http://www.fsis.usda.gov/ News & Events/Email Subscription/.

Options range from recalls, export information, regulations, directives, and notices. Customers can add or delete subscriptions themselves, and have the option to password protect their accounts.

Done at Washington, DC, on September 28, 2010.

# Karen Stuck,

U.S. Manager for Codex Alimentarius.
[FR Doc. 2010–24767 Filed 10–1–10; 8:45 am]
BILLING CODE 3410–DM–P

# **DEPARTMENT OF AGRICULTURE**

### Foreign Agricultural Service

# Trade Adjustment Assistance for Farmers

**AGENCY:** Foreign Agricultural Service, USDA.

**ACTION:** Notice.

The Administrator, Foreign Agricultural Service (FAS), certified two petitions (petition nos. 2011002 and 2011022) for trade adjustment assistance (TAA) for shrimp filed under the fiscal year (FY) 2011 program on behalf of shrimp producers in Alabama, Alaska, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, and Texas. The petitions were accepted for review by USDA on July 21, 2010. For programmatic purposes, this **Federal Register** notice combines both FY 2011 shrimp petitions under petition number 2011002.

SUPPLEMENTARY INFORMATION: All petitions were analyzed by USDA's Economic Research Service and reviewed by the TAA for Farmers Program Review Committee, comprised of representatives from USDA's Office of the Chief Economist, Farm Service Agency, Agricultural Marketing Service, and FAS. After a review, the Administrator determined that increased imports of shrimp during January-December 2008 contributed importantly to a greater than 15-percent decline in the quantity of production in 2008, compared to the previous 3-year average. This conforms to the eligibility requirements stipulated in Subtitle C of Title I of the Trade Act of 2002 (Pub. L. 107-210).

Because both petitions met the program's eligibility criteria, the Administrator was able to certify them, making shrimp producers in Alabama, Alaska, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, and Texas eligible for trade adjustment assistance in FY 2011.

Eligible individual shrimp producers in these states, who did not qualify for benefits under the FY 2010 program, may apply for technical training and cash benefits by completing and submitting a written application to their local Farm Service Agency county office by the application deadline of December 23, 2010. After submitting a completed application, producers may receive technical assistance at no cost and cash benefits, if the applicable program eligibility requirements are satisfied. Applicants must complete the technical assistance training under the program in order to be eligible for cash benefits.

Producers Certified As Eligible For TAA For Farmers Contact: Your local USDA Farm Service Agency county office.

FOR FURTHER GENERAL INFORMATION CONTACT: Trade Adjustment Assistance for Farmers Program Staff, Office of Trade Programs, FAS, USDA, at (202) 720–0638 or (202) 690–0633, or by email at: http://

tradeadjustment@fas.usda.gov, or visit the TAA for Farmers' Web site at: http:// www.taaforfarmers.org or the FAS Web site at: http://www.fas.usda.gov/itp/taa.

Dated: September 24, 2010.

#### Suzanne Hale

Administrator, Foreign Agricultural Service. [FR Doc. 2010–24818 Filed 10–1–10; 8:45 am] BILLING CODE 3410–10–P

#### **DEPARTMENT OF AGRICULTURE**

#### Foreign Agricultural Service

#### Trade Adjustment Assistance for Farmers

**AGENCY:** Foreign Agricultural Service, USDA.

**ACTION:** Notice.

The Administrator, Foreign Agricultural Service (FAS), certified five petitions (petition nos. 2011003. 2011012, 2011013, 2011018, and 2011030) for trade adjustment assistance (TAA) for lobster filed under the fiscal year (FY) 2011 program on behalf of lobster producers in Connecticut, Maine, Massachusetts, New Hampshire, and Rhode Island. The Connecticut petition was accepted for review by USDA on August 13, 2010; the Maine petition accepted July 21, 2010; the Massachusetts and New Hampshire petitions accepted August 2, 2010; and the Rhode Island petition accepted August 13, 2010. For programmatic purposes, this Federal Register notice combines all FY 2011 lobster petitions under petition number 2011003.

SUPPLEMENTARY INFORMATION: All petitions were analyzed by USDA's Economic Research Service and reviewed by the TAA for Farmers Program Review Committee, comprised of representatives from USDA's Office of the Chief Economist, Farm Service Agency, Agricultural Marketing Service, and FAS. After a review, the Administrator determined that increased imports of lobster during January-December 2009 contributed importantly to a greater than 15-percent decline in the value of production in 2009, compared to the previous 3-year average. This conforms to the eligibility

requirements stipulated in Subtitle C of Title I of the Trade Act of 2002 (Pub. L. 107–210).

Because these petitions met the program's eligibility criteria, the Administrator was able to certify them, making lobster producers in Connecticut, Maine, Massachusetts, New Hampshire, and Rhode Island eligible for trade adjustment assistance in FY 2011. Eligible individual lobster producers in these states may apply for technical training and cash benefits by completing and submitting a written application to their local Farm Service Agency county office by the application deadline of December 23, 2010. After submitting a completed application, producers may receive technical assistance at no cost and cash benefits, if the applicable program eligibility requirements are satisfied. Applicants must complete the technical assistance training under the program in order to be eligible for cash benefits.

Producers Certified as Eligible for TAA for Farmers Contact: Your local USDA Farm Service Agency county office.

For Further General Information Contact: Trade Adjustment Assistance for Farmers Program Staff, Office of Trade Programs, FAS, USDA, at (202) 720–0638 or (202) 690–0633, or by email at: tradeadjustment@fas.usda.gov, or visit the TAA for Farmers' Web site at: http://www.taaforfarmers.org or the FAS Web site at: http://www.fas.usda.gov/itp/taa.

Dated: September 24, 2010.

#### Suzanne Hale,

Administrator, Foreign Agricultural Service. [FR Doc. 2010–24819 Filed 10–1–10; 8:45 am] BILLING CODE 3410–10–P

# **DEPARTMENT OF AGRICULTURE**

# Animal and Plant Health Inspection Service

[Docket No. APHIS-2006-0172]

# Interstate Movement of Garbage From Hawaii; Withdrawal of Finding of No Significant Impact

**AGENCY:** Animal and Plant Health Inspection Service, USDA.

**ACTION:** Notice; withdrawal of finding of no significant impact.

**SUMMARY:** On May 27, 2010, we advised the public that the Animal and Plant Health Inspection Service prepared an environmental assessment and a finding of no significant impact relative to a request to allow the interstate movement of municipal solid waste

from Hawaii to a landfill in the State of Washington. Because we have been made aware of additional information that was not previously provided and we have an interest in examining that information to determine the potential impacts, we are withdrawing the finding of no significant impact effective immediately in order to reevaluate the potential for environmental impacts that may be associated with this action.

**DATES:** Effective Date: October 4, 2010. **FOR FURTHER INFORMATION CONTACT:** Mr. David Lamb, Import Specialist, Regulatory Coordination and Compliance, PPQ, APHIS, 4700 River Road Unit 133, Riverdale, MD 20737–1231; (301) 734–0627.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

The importation and interstate movement of garbage is regulated by the Animal and Plant Health Inspection Service under 7 CFR 330.400 and 9 CFR 94.5 in order to protect against the introduction into and dissemination within the United States of plant and animal pests and diseases.

On January 19, 2010, we published in the **Federal Register** (75 FR 2845–2846, Docket No. APHIS–2006–0172) a notice <sup>1</sup> in which we announced the availability, for public review and comment, of an environmental assessment documenting our review and analysis of the environmental impacts associated with, and alternatives to, the movement of palletized or containerized baled municipal solid waste to three existing ports on the Columbia River via barge and the transfer and transportation of the waste via truck or rail to the landfill.

We solicited comments on the environmental assessment for 30 days ending on February 18, 2010. We received 37 comments by that date. The commenters raised several issues, including the potential for invasive species/pest introductions, impacts on air and water quality, impacts on fish and wildlife habitat, impacts on existing infrastructure (highway, rail, and barge), increased traffic at associated ports, and the adequacy of the environmental assessment's analysis of cumulative effects.

On May 27, 2010, we published in the **Federal Register** (75 FR 29706, Docket No. APHIS–2006–0172) a notice announcing the availability of a final

environmental assessment and our finding of no significant impact (FONSI).

Although the agency had requested public comment on the environmental assessment published on January 19, 2010, with comments due on February 18, 2010, we received new information regarding potential impacts from that action after the FONSI had been issued. Because APHIS had not previously had the opportunity to evaluate this new information, we have decided to withdraw the FONSI effective immediately and reevaluate the potential environmental impacts that may be associated with this action.

Done in Washington, DC, this 28th day of September 2010.

#### Kevin Shea,

Acting Administrator, Animal and Plant Health Inspection Service.

[FR Doc. 2010–24817 Filed 10–1–10; 8:45 am] BILLING CODE 3410–34–P

#### **DEPARTMENT OF COMMERCE**

#### **International Trade Administration**

[A-552-802]

Certain Frozen Warmwater Shrimp From the Socialist Republic of Vietnam: Amended Final Results of Antidumping Duty Administrative Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

DATES: Effective Date: October 4, 2010 FOR FURTHER INFORMATION CONTACT: Susan Pulongbarit, or Paul Walker, AD/CVD Operations, Office 9, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, DC 20230; telephone: (202) 482–4031, or (202) 482–0413.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

On August 9, 2010, the Department of Commerce ("Department") published in the **Federal Register** the final results of the fourth administrative review of the antidumping duty order on certain frozen warmwater shrimp from the Socialist Republic of Vietnam ("Vietnam") for the period from February 1, 2008, through January 31, 2009.

On August 10, 2010, Minh Phu Seafood Corporation (and its affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Seafood Co., Ltd. (collectively "Minh Phu Group")), Nha Trang Seaproduct Company ("Nha Trang Seafoods"), and Minh Hai-Joint Stock Seafoods Processing Company ("Seaprodex Minh Hai") (collectively "Respondents") filed a timely allegation that the Department made various ministerial errors in the Final Results and requested, pursuant to 19 CFR 351.224, that the Department correct the alleged ministerial errors. No other parties in this proceeding submitted comments on the Department's final margin calculations. Based upon our analysis of the comments and allegations of ministerial errors, we made changes to the margin calculation for Nha Trang Seafoods and the Minh Phu Group, and corrected Seaprodex Minh Hai's separate rate status. Furthermore, as a result of correcting the errors in the margin calculations for Nha Trang Seafoods and the Minh Phu Group, the margin for the companies granted separate-rate status were also revised because the margin for those companies were derived from Nha Trang Seafoods' and the Minh Phu Group's margins.

### Scope of the Antidumping Duty Order

The scope of this order includes certain warmwater shrimp and prawns, whether frozen, wild-caught (ocean harvested) or farm-raised (produced by aquaculture), head-on or head-off, shell-on or peeled, tail-on or tail-off, 3535 "Tails" in this context means the tail fan, which includes the telson and the uropods. deveined or not deveined, cooked or raw, or otherwise processed in frozen form.

The frozen warmwater shrimp and prawn products included in the scope of this investigation, regardless of definitions in the Harmonized Tariff Schedule of the United States ("HTS"), are products which are processed from warmwater shrimp and prawns through freezing and which are sold in any count size.

The products described above may be processed from any species of warmwater shrimp and prawns.

Warmwater shrimp and prawns are generally classified in, but are not limited to, the Penaeidae family. Some examples of the farmed and wild-caught warmwater species include, but are not limited to, whiteleg shrimp (Penaeus vannemei), banana prawn (Penaeus merguiensis), fleshy prawn (Penaeus chinensis), giant river prawn (Macrobrachium rosenbergii), giant tiger prawn (Penaeus monodon), redspotted

<sup>&</sup>lt;sup>1</sup> To view the environmental assessment, the comments we received and our responses to the comments, and the finding of no significant impact, go to http://www.regulations.gov/fdmspublic/component/main?main=DocketDetail&d=APHIS-2006.0172

<sup>&</sup>lt;sup>1</sup> See Certain Frozen Warmwater Shrimp from the Socialist Republic of Vietnam: Final Results, Partial Rescission of Antidumping Duty Administrative Review, 75 FR 47771 (August 9, 2010) ("Final Results").

shrimp (Penaeus brasiliensis), southern brown shrimp (Penaeus subtilis), southern pink shrimp (Penaeus notialis), southern rough shrimp (Trachypenaeus curvirostris), southern white shrimp (Penaeus schmitti), blue shrimp (Penaeus stylirostris), western white shrimp (Penaeus occidentalis), and Indian white prawn (Penaeus indicus).

Frozen shrimp and prawns that are packed with marinade, spices or sauce are included in the scope of this investigation. In addition, food preparations, which are not "prepared meals," that contain more than 20 percent by weight of shrimp or prawn are also included in the scope of this investigation.

Excluded from the scope are: (1) Breaded shrimp and prawns (HTS subheading 1605.20.10.20); 2) shrimp and prawns generally classified in the Pandalidae family and commonly referred to as coldwater shrimp, in any state of processing; (3) fresh shrimp and prawns whether shell-on or peeled (HTS subheadings 0306.23.00.20 and 0306.23.00.40); (4) shrimp and prawns in prepared meals (HTS subheading 1605.20.05.10); (5) dried shrimp and prawns; (6) canned warmwater shrimp and prawns (HTS subheading 1605.20.10.40); (7) certain dusted shrimp; and (8) certain battered shrimp. Dusted shrimp is a shrimp-based product: (1) That is produced from fresh (or thawed-from-frozen) and peeled shrimp; (2) to which a "dusting" layer of rice or wheat flour of at least 95 percent

purity has been applied; (3) with the entire surface of the shrimp flesh thoroughly and evenly coated with the flour; (4) with the non-shrimp content of the end product constituting between four and 10 percent of the product's total weight after being dusted, but prior to being frozen; and (5) that is subjected to individually quick frozen ("IQF") freezing immediately after application of the dusting layer. Battered shrimp is a shrimp-based product that, when dusted in accordance with the definition of dusting above, is coated with a wet viscous layer containing egg and/or milk, and par-fried.

The products covered by this investigation are currently classified under the following HTS subheadings: 0306.13.00.03, 0306.13.00.06, 0306.13.00.09, 0306.13.00.12, 0306.13.00.15, 0306.13.00.18, 0306.13.00.21, 0306.13.00.24, 0306.13.00.27, 0306.13.00.40, 1605.20.10.10, and 1605.20.10.30. These HTS subheadings are provided for convenience and for customs purposes only and are not dispositive, but rather the written description of the scope of this investigation is dispositive.

#### **Amended Final Results of the Review**

The Act defines "ministerial error" as including "errors in addition, subtraction, or other arithmetic function, clerical errors resulting from inaccurate copying, duplication, or the like, and any other type of unintentional error which the administering authority considers ministerial." See section

751(h) of the Act. After analyzing Respondents' comments, we have determined, in accordance with section 751(h) of the Act and 19 CFR 351.224(e), that we made certain ministerial errors in our calculations for the final results with respect to certain surrogate values for Nha Trang Seafoods, the surrogate SG&A ratio for Nha Trang Seafoods and the Minh Phu Group, and Seaprodex Min Hai's separate rate status. For a detailed discussion of these ministerial errors, as well as the Department's analysis of these errors, see Memorandum to James C. Doyle, through Scot T. Fullerton, from Susan Pulongbarit, regarding "Fourth Antidumping Administrative Review of Frozen Warmwater Shrimp from the Socialist Republic of Vietnam: Ministerial Error Memorandum," dated concurrently with this notice ("Ministerial Errors Memo").

Because we have revised the rates for Nha Trang Seafoods and the Minh Phu Group, we are also revising the margin for the companies granted separate-rate status because the margin for those companies was calculated as the simple average of Nha Trang Seafoods' and the Minh Phu Group's margins. In accordance with section 751(h) of the Act, we are amending the final results of the fourth administrative review of certain warmwater shrimp from Vietnam. As a result of correcting the ministerial errors discussed above, we determine that the following dumping margins exist for the period February 1, 2008, through January 30, 2009:

Manufacturer/exporter	Original margin (percent)	Revised margin (percent)
Minh Phu Group:		
Minh Phat Seafood Co., Ltd., aka	2.96	2.95
Minh Phu Seafood Export Import Corporation (and affiliates Minh Qui Seafood Co., Ltd. and Minh Phat Sea-		
food Co., Ltd.) aka Minh Phu Seafood Corp. aka		
Minh Phu Seafood Corporation aka		
Minh Qui Seafood aka		
Minh Qui Seafood Co., Ltd.		
Nha Trang Seaproduct Company ("Nha Trang Seafoods") 2 aka	5.58	4.89
Nha Trang Seafoods aka		
Nha Trang Seaproduct Company Nha Trang Seafoods  Bac Lieu Fisheries Company Limited, aka	4.27	3.92
Bac Lieu Fisheries Company Limited, aka	4.21	3.92
Bac Lieu Fisheries Joint Stock Company aka		
Bac Lieu Fisheries Limited Company aka		
Bac Lieu Fisheries Company Limited 3		
C.P. Vietnam Livestock Company Limited ("C.P. Vietnam") aka	4.27	3.92
C.P. Vietnam Livestock Company Limited aka		
C.P. Vietnam Livestock Corporation ("C.P. Vietnam")  Cadovimex Seafood Import-Export and Processing Joint Stock Company ("CADOVIMEX-VIETNAM") aka	4.27	3.92
Cai Doi Vam Seafood Import-Export Company ("Cadovimex") aka	4.27	0.92
Cai Doi Vam Seafood aka		
Cai Doi Vam Seafood Im-Ex Company (Cadovimex) aka		
Cai Doi Vam Seafood Processing Factory aka		
Caidoivam Seafood Company (Cadovimex) aka		
Caidoivam Seafood Im-Ex Co.		

Manufacturer/exporter	Original margin (percent)	Revised margin (percent)
Cafatex Fishery Joint Stock Corporation ("Cafatex Corp.") aka	4.27	3.92
Cantho Animal Fisheries Product Processing Export Enterprise (Cafatex), aka Cafatex, aka		
Cafatex Vietnam, aka		
Xi Nghiep Che Bien Thuy Suc San Xuat Kau Cantho, aka Cas, aka		
Cas Branch, aka		
Cafatex Saigon, aka Cafatex Fishery Joint Stock Corporation, aka		
Cafatex Corporation, aka		
Taydo Seafood Enterprise Cafatex Corp.		
Cam Ranh Seafoods Processing Enterprise Company ("Camranh Seafoods") aka Camranh Seafoods Seafoods	4.27	3.92
Camau Frozen Seafood Processing Import Export Corporation ("CAMIMEX") 4 aka	4.27	3.92
Camau Seafood Factory No. 4 aka		
Camau Seafood Factory No. 5 aka Camau Frozen Seafood Processing Import & Export aka		
Camau Frozen Seafood Processing Import & Export and Camau Frozen Seafood Processing Import Export Corp. (CAMIMEX–FAC 25) aka		
Frozen Factory No. 4  Con The Agricultural and Animal Braducta Import Export Company ("CATACO") also	4 07	2.02
Can Tho Agricultural and Animal Products Import Export Company ("CATACO") aka	4.27	3.92
CATACO aka		
Can Tho Agricultural and Animal Products Imex Company Can Tho Import Export Fishery Limited Company ("CAFISH")	4.27	3.92
Coastal Fishery Development aka	4.27	3.92
Coastal Fisheries Development Corporation ("Cofidec") aka COFIDEC aka		
Coastal Fisheries Development Corporation aka		
Coastal Fisheries Development Co., aka Coastal Fisheries Development Corp.		
Cuulong Seaproducts Company ("Cuu Long Seapro") aka	4.27	3.92
Cuu Long Seaproducts Limited ("Cuulong Seapro") aka Cuulong Seapro aka		
Cuulong Seaproducts Company ("Cuulong Seapro") aka		
Cuu Long Seaproducts Company ("Cuu Long Seapro") aka Cuu Long Seaproducts Company aka		
Cuu Long Seapro aka		
Cuulong Seaproducts Company ("Cuu Long Seapro") aka Cuu Long Seaproducts Limited (Cuulong Seapro) aka		
Cuulong Seapro aka		
Cuulong Seaproduct Company Danang Seaproducts Import Export Corporation ("Seaprodex Danang") aka	4.27	3.92
Danang Seaproducts Import Export Corporation ( Seaprodex Danang ) and	4.27	3.92
Danang Seaproduct Import-Export Corporation aka Danang Seaproducts Import Export aka		
Tho Quang Seafood Processing & Export Company aka		
Seaprodex Danang aka		
Tho Quang Seafood Processing and Export Company aka Tho Quang, aka		
The Quang Co. <sup>5</sup>	4.07	0.00
Gallant Ocean (Vietnam) Co., Ltd. ("Gallant Ocean Vietnam")	4.27 4.27	3.92 3.92
Grobest, aka		
Grobest & I–Mei Industrial (Vietnam) Co., Ltd. Investment Commerce Fisheries Corporation ("Incomfish") aka	4.27	3.92
Incomfish aka		
Investment Commerce Fisheries Corp., aka Incomfish Corp., aka		
Incomfish Corporation aka		
Investment Commerce Fisheries aka Investment Commerce Fisheries Corporation		
Kim Anh Company Limited ("Kim Anh")	4.27	3.92
Minh Hai Export Frozen Seafood Processing Joint Stock Company aka	4.27	3.92
Minh Hai Export Frozen Seafood Processing Joint-Stock Company ("Minh Hai Jostoco") aka		
Minh Hai Export Frozen Seafood Processing Joint-Stock Company aka Minh Hai Joint Stock Seafood Processing Joint-Stock Company aka		
Minh Hai Export Frozen Seafood Processing Joint-Stock Co., aka		
Minh-Hai Export Frozen Seafood Processing Joint-Stock Company Minh Hai Joint-Stock Seafoods Processing Company ("Seaprodex Minh Hai") aka	4.27	3.92
Sea Minh Hai aka	7.21	0.32

Minh Hai Joint-Stock Seafoods Processing Company aka Saaprodex Minh Hai aka Saaprodex Minh Hai Joint Stock Seafoods Processing Co.) aka Saaprodex Minh Hai Joint Hai Minh Hai Joint Stock Seafoods Processing Co.) aka Saaprodex Minh Hai Factory No. 69 aka Seaprodex Minh Hai Factory No. 78 aka Workshop 1 saaprodex Minh Hai Workshop 1 aka Saaprodex Minh Hai Factory No. 78 aka Workshop 1 Seaprodex Minh Hai Factory No. 78 aka Workshop 1 Seaprodex Minh Hai Factory No. 78 aka Saaprodex Minh Hai Factory No. 78 aka Workshop 1 Seaprodex Minh Hai Factory No. 78 aka Saaprimexco Usetnam, aka Ca Mau Seadood Joint Stock Company ("Seaprimex Co"), aka Saaprimexco Vetnam, aka Ca Mau Seadood Joint Stock Company ("Seaprimexco") Minh Hai Seaproducts Import Export Corporation Saaprimexco Minh Hai Saaproducts Import Export Corporation Minh Hai Seaproducts Import Export Corporation Minh Hai Minh Hai Mortion Minh Hai Minh Ha	Manufacturer/exporter	Original margin	Revised margin
Seaprodex Minh Hai aka Seaprodex Minh Hai (Minh Hai) Joint Stock Seafoods Processing Co.) aka Seaprodex Minh Hai (Minh Hai) Joint Stock Seafoods Processing Co.) aka Seaprodex Minh Hai Factory No. 69 aka Seaprodex Minh Hai Factory No. 78 aka Workshop I Seaprodex Minh Hai Workshop I aka Seapromexor Vietnam, aka Ca Mau Seafood Joint Stock Company ("Seaprimex Co"), aka Seaprimexor Vietnam, aka Seaprodexor Vietnam, aka Seaprodexor Vietnam, aka Searodexode Seaprodexor Vietnam, aka Searodexodexor Vietnam, aka Searodexodexor Searodexor Vietnam, aka Searodexodexor		(percent)	(percent)
Seaprodex Min Hai akia Seaprodex Minh Hai (Minh Hai Joint Stock Seafoods Processing Co.) aka Seaprodex Minh Hai Factory aka Seaprodex Minh Hai Factory No. 69 aka Seaprodex Minh Hai Factory No. 78 aka Workshop I Seaprodex Minh Hai Workshop 1 aka Seaprodex Minh Hai Factory No. 78 aka Workshop I Seaprodex Minh Hai Workshop 1 seaprodex Minh Hai Minh Minh Minh Minh Minh Minh Minh Min			
Seaprodex Minh Hal (Minh Hal Joint Stock Seafoods Processing Co.) aka Seaprodex Minh Hal Factory, No. 69 aka Seaprodex Minh Hal Factory, No. 79 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Workshop I Seaprodex Minh Hal Factory, No. 78 aka Seaprimexo O Verlama, aka Seaprimexo O Ca Mau Seafood Joint Stock Company ("Seaprimexco") Minh Hal Seaproducts Import Export Corporation Seaprimexo Minh Hal Seaproducts Import Export Corporation Seaprimexo Minh Hal Seaproducts Import Export Company ("Seaprimexco") Minh Hal Seaproducts Import Export Company Aka Man Trang Fisheries Joint Stock Company aka Nan Trang Fisheries Joint Stock Company ("Man Trang Fisco") aka Nan Trang Fisheries Joint Stock Company Aka Nan Trang Fisheries Joint Stock Mana Man Trang Minh Mal Mana Mana Mana Mana Mana Mana Mana			
Seaprodex Minh Hai Factory No. 69 aks Seaprodex Minh Hai Factory No. 78 aka Seaprodex Minh Hai Factory No. 78 aka Seaprodex Minh Hai Factory No. 78 aka Workshop I Seaprodex Seaprodex Minh Hai Sea Products Import Export Company ("Seaprimex Co"), aka Seaprimexco Vietnam, aka Seaprimexco Vietnam, aka Seaprimexco Vietnam, aka Seaprimexco Ca Mau Seafood Joint Stock Company ("Seaprimexco") Minh Hai Seaproducts Import Export Corporation Seaprimexco Minh Hai Seaproducts Co Ltd. (Seaprimexco). Ngoc Sinh Fargorducts Import Export Corporation Seaprimexco Minh Hai Seaproducts Co Ltd. (Seaprimexco). Ngoc Sinh Fargorducts Import Export Corporation Seaprimexco Minh Hai Seaproducts Processing and Trading Enterprise aka Ngoc Sinh Seafoods Processing and Trading Enterprise aka Ngoc Sinh Seafoods Processing and Trading Enterprises aka Ngoc Sinh Seafoods Processing Company aka Ngoc Sinh Seafood Processing Company aka Ngoc Sinh Seafood Processing and Export Company ("Na Trang Fisco") aka Ngoc Sinh Seafood Processing and Export Company ("Na Trang Fisco") aka Ngoc Sinh Seafood Processing and Export Seafood ("Na Export Seafood S	Seaprodex Minh Hai (Minh Hai Joint Stock Seafoods Processing Co.) aka		
Seaprodex Minh Hai Workshop 1 aka Seaprodex Minh Hai-Factory No. 78 aka Workshop I Seaprodex Minh Hai Minh Hai Sea Products Import Export Company ("Seaprimex Co"), aka			
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The Department will determine and the U.S. Bureau of Customs and Border Protection ("CBP") shall assess antidumping duties on all appropriate entries. Except where the Court of International Trade has issued a preliminary injunction enjoining the liquidation of certain entries during the period of review, we intend to issue appropriate assessment instructions directly to CBP 15 days after publication of these amended final results of review. For a general discussion of the application of assessment rates, see *Final Results*, 75 FR at 47776.

#### **Cash Deposit Requirements**

For all shipments of certain warmwater shrimp from Vietnam entered, or withdrawn from warehouse, for consumption on or after the publication date of these Amended Final Results, as provided by section 751(a)(2)(C) of the Act: (1) For companies covered by this review, the cash deposit rate will be the rate listed above; (2) for previously reviewed or investigated companies other than those covered by this review, the cash deposit rate will be the company-specific rate established for the most recent period; (3) if the exporter is not a firm covered in this review, a prior review, or the less-than-fair-value investigation, but the producer is, the cash deposit rate will be the rate established for the most recent period for the manufacturer of the subject merchandise; and (4) if neither the exporter nor the producer is a firm covered in this review, a prior review, or the investigation, the cash deposit rate will be 25.76 percent, the Vietnam-wide rate established in the less-than-fair-value investigation. These deposit requirements shall remain in effect until further notice.

#### Reimbursement of Duties

This notice also serves as a final reminder to importers of their responsibility under 19 CFR 351.402(f) to file a certificate regarding the reimbursement of antidumping duties prior to liquidation of the relevant entries during this review period. Failure to comply with this requirement

could result in the presumption that reimbursement of antidumping duties occurred and the subsequent increase in antidumping duties by the amount of antidumping duties reimbursed.

#### **Administrative Protective Order**

This notice also is the only reminder to parties subject to administrative protective order ("APO") of their responsibility concerning the return or destruction of proprietary information disclosed under APO in accordance with 19 CFR 351.305. Timely written notification of the return/destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and the terms of an APO is a sanctionable violation.

These amended final results of administrative review and notice are issued and published in accordance with sections 751(h), and 777(i)(1) of the Act, and 19 CFR 351.224.

Dated: September 29, 2010.

#### Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

[FR Doc. 2010–24997 Filed 10–1–10; 8:45 am] BILLING CODE 3510–DS–P

#### **DEPARTMENT OF COMMERCE**

# **International Trade Administration**

# Virginia Commonwealth University, School of Medicine; Notice of Decision on Applications for Duty-Free Entry of Scientific Instruments

This is a decision pursuant to Section 6(c) of the Educational, Scientific, and Cultural Materials Importation Act of 1966 (Pub. L. 89–651, as amended by Public Law 106–36; 80 Stat. 897; 15 CFR part 301). Related records can be viewed between 8:30 a.m. and 5 p.m. in Room 3720, U.S. Department of Commerce, 14th and Constitution Ave, NW., Washington, DC.

Docket Number: 10-022. Applicant: Virginia Commonwealth University, School of Medicine, Richmond, VA 23298–0551. Instrument: Electron Microscope. Manufacturer: FEI Company, the Netherlands. Intended Use: See notice at 75 FR 53271, August 31, 2010. Comments: None received. Decision: Approved. Reasons: The instrument will be used to investigate the three dimensional structure of biological macromolecules, which will be observed under cryogenic conditions. We know of no instruments of equivalent scientific value to the foreign instruments described above, for such purposes as this is intended to be used,

that was being manufactured in the United States at the time of its order.

Dated: September 28, 2010.

#### Gregory W. Campbell,

Acting Director, Subsidies Enforcement Office, Import Administration.

[FR Doc. 2010–24834 Filed 10–1–10; 8:45 am] BILLING CODE 3510–DS–P

#### **DEPARTMENT OF COMMERCE**

### **International Trade Administration**

[A-570-904]

Certain Activated Carbon From the People's Republic of China: Extension of Time Limit for Final Results of the Antidumping Duty Administrative Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

**DATES:** Effective Date: October 4, 2010. **FOR FURTHER INFORMATION CONTACT:** Bob Palmer or Kathleen Marksberry, AD/CVD Operations, Office 9, Import Administration, International Trade Administration, Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, DC 20230; telephone: (202) 482–9068 or (202) 482–7906, respectively.

### **Background**

On May 29, 2009, Department of Commerce ("Department") published the notice of the initiation of the antidumping duty administrative review on certain activated carbon from the People's Republic of China ("PRC"), covering the period April 1, 2008, through March 31, 2009. See Initiation of Antidumping and Countervailing Duty Administrative Reviews and Requests for Revocation in Part, 74 FR 25711 (May 29, 2009) ("Initiation Notice").

On May 13, 2010, the Department published the preliminary results of this review. See Certain Activated Carbon From the People's Republic of China: Notice of Preliminary Results of the Second Antidumping Duty Administrative Review, and Preliminary Rescission in Part, 75 FR 26927 (May 13, 2010) ("Preliminary Results"). On July 13, 2010, the Department extended the final results by 45 days. See Certain Activated Carbon From the People's Republic of China: Extension of Time Limit for Final Results of the Antidumping Duty Administrative Review, 75 FR 39916 (July 13, 2010). The final results are currently due on October 25, 2010.

<sup>&</sup>lt;sup>2</sup> See Letter from Thompson Hine representing the Minh Phu Group, Nha Trang Seafoods, Camimex, and Grobest, to Secretary of Commerce, regarding Certain Frozen Warmwater Shrimp from Vietnam: Case Brief on behalf of Certain Respondents, dated, April 21, 2010 ("Respondents Case Brief") at 44 for proprietary named used by importer.

<sup>&</sup>lt;sup>3</sup> See Respondents Case Brief at 24 for proprietary name used by importer.

 $<sup>^4\,</sup>See$  Respondents Case Brief at 44 for proprietary names used by importers.

 $<sup>^5\,</sup>See$  Respondents Case Brief at 44 for proprietary names used by importers.

# Extension of Time Limits for Final Results

Section 751(a)(3)(A) of the Tariff Act of 1930, as amended ("Act"), requires the Department to issue the final results in an administrative review of an antidumping duty order 120 days after the date on which the preliminary results are published. The Department may, however, extend the deadline for completion of the final results of an administrative review to 180 days if it determines it is not practicable to complete the review within the foregoing time period. See section 751(a)(3)(A) of the Act and 19 CFR 351.213(h)(2).

The Department requires additional time to complete this review because the Department must analyze and consider significant issues raised in the parties' case and rebuttal briefs and fully analyze the parties' postpreliminary surrogate value submissions. Thus, it is not practicable to complete this review by the current due date. Therefore, we are extending the time for the completion of the final results of this review by an additional 15 days to November 9, 2010.

This notice is published in accordance with sections 751(a)(1) and 777(i)(1) of the Act.

Dated: September 28, 2010.

# Susan H. Kuhbach,

Acting Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations.

[FR Doc. 2010–24830 Filed 10–1–10; 8:45 am]

BILLING CODE 3510–DS–P

#### **DEPARTMENT OF COMMERCE**

#### **International Trade Administration**

[A-570-855]

Non-Frozen Apple Juice Concentrate from the People's Republic of China: Extension of Time Limit for the Final Results of the New Shipper Antidumping Duty Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

**EFFECTIVE DATE:** October 4, 2010.

#### FOR FURTHER INFORMATION CONTACT:

Alexis Polovina, AD/CVD Operations, Office 9, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW, Washington, DC 20230; telephone: (202) 482–3927.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

On August 5, 2010, the Department of Commerce ("Department") published in the **Federal Register** its preliminary results of the new shipper review of the antidumping duty order on non–frozen apple juice concentrate from the People's Republic of China ("PRC").<sup>1</sup> This review covers the period June 1, 2009, through January 20, 2010. The final results of review are currently due no later than October 28, 2010.

# Extension of Time Limit for Final Results of Review

Section 751(a)(2)(B)(iv) of the Tariff Act of 1930, as amended (the Act), and 19 CFR 351.214(i)(1), provide that the Department will issue the final results of review within 90 days after the date on which the preliminary results were issued. However, if the Secretary concludes that a new shipper review is extraordinarily complicated, the Secretary may extend the 90-day period to 150 days. See 19 CFR 351.214(i)(2).

The Department determines that this new shipper review involves extraordinarily complicated methodological issues, including the continued evaluation of the most appropriate methodology for valuing labor. Therefore, in accordance with section 751(a)(2)(B)(iv) of the Act and 19 CFR 351.214(i)(2), the Department is extending the time limit for the final results from 90 days to 150 days. Therefore, the final results will now be due no later than December 27, 2010.

We are issuing and publishing this notice in accordance with sections 751(a)(2)(B)(iv) and 777(i) of the Act.

Dated: September 28, 2010.

# Susan H. Kuhbach,

Acting Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations.

[FR Doc. 2010–24832 Filed 10–1–10; 8:45 am] BILLING CODE 3510–DS–S

#### DEPARTMENT OF COMMERCE

# International Trade Administration [A-489-815]

Light–Walled Rectangular Pipe and Tube from Turkey; Notice of Final Results of Antidumping Duty Administrative Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce. SUMMARY: On June 15, 2010, the Department of Commerce (the Department) published in the **Federal** Register the preliminary results of the administrative review of the antidumping duty order on Light-Walled Rectangular Pipe and Tube from Turkey. See Light-Walled Rectangular Pipe and Tube from Turkey; Notice of Preliminary Results of Antidumping Duty Administrative Review, 75 FR 33779 (June 15, 2010) (Preliminary Results). We gave interested parties an opportunity to comment on the Preliminary Results, but and received noneno comments.

EFFECTIVE DATE: October 4, 2010.
FOR FURTHER INFORMATION CONTACT:
Tyler Weinhold, or Robert James, AD/
CVD Operations, Office 7, Import
Administration, International Trade
Administration, U.S. Department of
Commerce, 14th Street and Constitution
Avenue, NW, Washington, DC 20230;
telephone (202) 482–1121 or (202) 482–
0649, respectively

#### SUPPLEMENTARY INFORMATION:

#### **Background**

On June 15, 2010, the Department published the preliminary results of administrative review of the antidumping duty order covering light—walled rectangular pipe and tube from Turkey. See Preliminary Results. The parties subject to this review are Tosçelik Profil ve Sac Endustrisi A.S. and Tosyali Dis Ticaret A.S. (collectively, Tosçelik). The petitioners in this proceeding are Atlas Tube, Inc. and Searing Industries, Inc. (collectively, Petitioners).

In the *Preliminary Results*, the Department stated that interested parties were to submit case briefs within 30 days of publication of the Preliminary Results and rebuttal briefs within five days after the due date for filing case briefs. See Preliminary Results at 33782. No interested party submitted a case or rebuttal brief. On July 29, 2010, we released the verification report for the sales verification of Toscelik. See Memorandum from Tyler Weinhold and Mark Flessner to the file, "Verification of Sections A-C Questionnaire Responses submitted by Toscelik Profil ve Sac End. A.S. (Toscelik Profil) and its affiliated exporter Tosyali Dis Ticaret San. A.S. (Tosyali Dis Ticaret) (collectively Toscelik) in the Antidumping Duty Administrative Review of Light-Walled Rectangular Pipe and Tube From Turkey" (Verification Report). No interested party submitted comments on the report. We made no changes for the final results.

<sup>&</sup>lt;sup>1</sup> See Certain Non-Frozen Apple Juice Concentrate from the People's Republic of China: Notice of Preliminary Results of the New Shipper Review, 75 FR 47270 (August 5, 2010).

#### Period of Review

The period of review (POR) is January 30, 2008, through April 30, 2009.

#### Scope of the Order

The merchandise subject to this order is certain welded carbon quality lightwalled steel pipe and tube, of rectangular (including square) cross section, having a wall thickness of less than 4 mm. The term carbon-quality steel includes both carbon steel and alloy steel which contains only small amounts of alloying elements. Specifically, the term carbon-quality includes products in which none of the elements listed below exceeds the quantity by weight respectively indicated: 1.80 percent of manganese, or 2.25 percent of silicon, or 1.00 percent of copper, or 0.50 percent of aluminum, or 1.25 percent of chromium, or 0.30 percent of cobalt, or 0.40 percent of lead, or 1.25 percent of nickel, or 0.30 percent of tungsten, or 0.10 percent of molybdenum, or 0.10 percent of niobium, or 0.15 percent vanadium, or 0.15 percent of zirconium. The description of carbon-quality is intended to identify carbon-quality products within the scope. The welded carbon-quality rectangular pipe and tube subject to this order is currently classified under the Harmonized Tariff Schedule of the United States (HTSUS) subheadings 7306.61.50.00 and 7306.61.70.60. While HTSUS subheadings are provided for convenience and CBP's customs purposes, our written description of the scope of the order is dispositive.

#### Final Results of Review

The Department has determined that the following margins exist for the period January 30, 2008, through April 30, 2009:

Manufacturer	Weighted Average Margin (percent- age)
Tosçelik Profil Ve Sac Endustrisi A.S	0.00%

# **Assessment Rates**

Pursuant to these final results, the Department has determined, and CBP shall assess, antidumping duties on all appropriate entries. The Department intends to issue assessment instructions for Toscelik to CBP 15 days after the date of publication of these final results. Pursuant to 19 CFR 351.212(b)(1), we calculated importer—specific (or customer—specific) ad valorem duty assessment rates based on the ratio of the total amount of the dumping margins calculated for the examined

sales to the total entered value of those same sales. We will instruct CBP to assess antidumping duties on all appropriate entries covered by this review if any importer–specific (or customer–specific) assessment rate calculated in the final results of this review are above *de minimis*.

The Department clarified its "automatic assessment" regulation on May 6, 2003. See Antidumping and Countervailing Duty Proceedings: Assessment of Antidumping Duties, 68 FR 23954 (May 6, 2003) (Assessment of Antidumping Duties). This clarification will apply to entries of subject merchandise during the POR produced by Tosçelik for which Tosçelik did not know the merchandise was destined for the United States. In such instances, we will instruct CBP to liquidate unreviewed entries at the 27.04 percent all-others rate from the LTFV investigation if there is no companyspecific rate for an intermediary involved in the transaction. See Notice of Antidumping Duty Order: Light-Walled Rectangular Pipe and Tube From Turkey, 73 FR 31065 (May 30, 2008). See Assessment of Antidumping Duties for a full discussion of this clarification.

#### **Cash Deposit Requirements**

Furthermore, the following deposit requirements will be effective upon publication of these final results for all shipments of light-walled rectangular pipe and tube from Turkey entered, or withdrawn from warehouse, for consumption on or after the publication date of the final results of this administrative review, as provided by section 751(a)(1) of the Tariff Act: 1) the cash deposit rate for Toscelik Profil Ve Sac Endustrisi A.S. will be the rate established in the final results of review; 2) if the exporter is not a firm covered in this review or the less-than-fairvalue (LTFV) investigation, but the manufacturer is, the cash deposit rate will be the rate established for the most recent period for the manufacturer of the merchandise; and 3) if neither the exporter nor the manufacturer is a firm covered in this or any previous review conducted by the Department, the cash deposit rate will be the all-others rate from the LTFV investigation. Id. These deposit requirements, when imposed, shall remain in effect until further

# **Reimbursement of Duties**

This notice also serves as a final reminder to importers of their responsibility under 19 CFR 351.402(f) to file a certificate regarding the reimbursement of antidumping duties

prior to liquidation of the relevant entries during this POR. Failure to comply with this requirement could result in the Secretary's presumption that reimbursement of antidumping duties occurred and the subsequent assessment of doubled antidumping duties.

#### **Administrative Protective Orders**

This notice also serves as a reminder to parties subject to administrative protective orders (APO) of their responsibility concerning the return or destruction of proprietary information disclosed under APO in accordance with 19 CFR 351.305(a)(3), which continues to govern business proprietary information in this segment of the proceeding. Timely written notification of the return/destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and terms of an APO is a violation which is subject to sanction.

We are issuing and publishing this notice in accordance with sections 751(a)(1) and 777(i) of the Tariff Act.

Dated: September 27, 2010.

#### Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

[FR Doc. 2010–24831 Filed 10–1–10; 8:45 am] BILLING CODE 3510–DS–S

#### **DEPARTMENT OF COMMERCE**

#### **International Trade Administration**

[A-557-813]

# Polyethylene Retail Carrier Bags From Malaysia: Final Results of Antidumping Duty Administrative Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

**SUMMARY:** On June 15, 2010, the Department published its preliminary results of the administrative review of the antidumping duty order on polyethylene retail carrier bags (PRCBs) from Malaysia. The review covers one manufacturer/exporter. The period of review is August 1, 2008, through July 31, 2009.

We gave interested parties an opportunity to comment on the preliminary results. We have made no changes to the margin calculation for the final results of this review. The final weighted-average margin is listed below in the "Final Results of the Review" section of this notice.

DATES: Effective Date: October 4, 2010.

#### FOR FURTHER INFORMATION CONTACT:

Jerrold Freeman or Yang Chun, AD/CVD Operations, Office 5, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, DC 20230; telephone: (202) 482–0180 or (202) 482–5760, respectively.

# SUPPLEMENTARY INFORMATION:

#### **Background**

On June 15, 2010, the Department published the preliminary results of review and invited parties to comment. See Polyethylene Retail Carrier Bags From Malaysia: Preliminary Results of Antidumping Duty Administrative Review, 75 FR 33772 (June 15, 2010) (Preliminary Results). Although we received comments from interested parties, those comments are no longer on the record of the review. See "Return of Comments" section below.

We have conducted this review in accordance with section 751(a) of the Tariff Act, as amended (the Act).

# Scope of the Order

The merchandise subject to the antidumping duty order is PRCBs which may be referred to as t-shirt sacks, merchandise bags, grocery bags, or checkout bags. The subject merchandise is defined as non-sealable sacks and bags with handles (including drawstrings), without zippers or integral extruded closures, with or without gussets, with or without printing, of polyethylene film having a thickness no greater than 0.035 inch (0.889 mm) and no less than 0.00035 inch (0.00889 mm), and with no length or width shorter than 6 inches (15.24 cm) or longer than 40 inches (101.6 cm). The depth of the bag may be shorter than 6 inches (15.24 cm) but not longer than 40 inches (101.6 cm).

PRCBs are typically provided without any consumer packaging and free of charge by retail establishments, e.g., grocery, drug, convenience, department, specialty retail, discount stores, and restaurants, to their customers to package and carry their purchased products. The scope of the order excludes (1) polyethylene bags that are not printed with logos or store names and that are closeable with drawstrings made of polyethylene film and (2) polyethylene bags that are packed in consumer packaging with printing that refers to specific end-uses other than packaging and carrying merchandise from retail establishments, e.g., garbage bags, lawn bags, trash-can liners.

Imports of the subject merchandise are currently classifiable under statistical category 3923.21.0085 of the Harmonized Tariff Schedule of the United States (HTSUS). This subheading also covers products that are outside the scope of the order. Furthermore, although the HTSUS subheading is provided for convenience and customs purposes, the written description of the scope of the order is dispositive.

#### **Return of Comments**

On July 15, 2010, Target Corporation (Target), an importer of subject merchandise, filed a case brief. On July 16, 2010, Target filed a correction letter with respect to its July 15, 2010, case brief. On July 20, 2010, the petitioners <sup>1</sup> filed a rebuttal brief.

On August 4, 2010, we returned the July 15, 2010, case brief and July 16, 2010, correction letter to Target in accordance with 19 CFR 351.302(d) (see August 4, 2010, letter to Target). In our letter to Target, we stated that its case brief contained unsolicited and untimely new factual information and that we will not rely on the new factual information in the case brief and correction letter in making our final determination. See 19 CFR 351.104(a)(2). Accordingly, we provided Target an opportunity to refile its case brief omitting the new factual information. The deadline for Target to resubmit its case brief was August 10, 2010.

On August 5, 2010, we returned the July 20, 2010, rebuttal brief to the petitioners because in it they had referred to the new factual information Target raised improperly in its case brief and correction letter (see August 5, 2010, letter to the petitioners). In our letter, we provided the petitioners an opportunity to refile their rebuttal brief omitting the new factual information. The deadline for the petitioners to resubmit their rebuttal brief was August 11, 2010.

Target did not submit a revised case brief by the August 10 deadline. The petitioners did not submit a revised rebuttal brief by the August 11 deadline. See Memorandum to the File dated August 19, 2010.

# **Changes Since the Preliminary Results**

Because the interested parties in this administrative review did not refile their respective case or rebuttal briefs, there are no comments to address concerning the Department's determination in the *Preliminary Results*. Therefore, we have made no changes to our determination to apply

adverse facts available, as discussed in the *Preliminary Results* (75 FR at 33773–74), to Euro Plastics Malaysia Sdn. Bhd. (Euro Plastics).

#### Final Results of the Review

As a result of our review, we determine that a weighted-average dumping margin of 101.74 percent exists for Euro Plastics for the period August 1, 2008, through July 31, 2009.

#### **Assessment Rates**

Because we are relying on total adverse facts available to establish the dumping margin for Euro Plastics, we will instruct U.S. Customs and Border Protection (CBP) to apply a dumping margin of 101.74 percent to all entries of PRCBs from Malaysia that were produced and/or exported by Euro Plastics and entered, or withdrawn from warehouse, for consumption during the period of review.

The Department intends to issue appropriate assessment instructions to CBP 15 days after publication of these final results of review.

#### **Cash-Deposit Requirements**

The following cash-deposit requirements will be effective upon publication of this notice of final results of administrative review for all shipments of PRCBs from Malaysia entered, or withdrawn from warehouse, for consumption on or after the date of publication, as provided by section 751(a)(2)(C) of the Act: (1) The cashdeposit rate for Euro Plastics will be 101.74 percent; (2) for other previously reviewed or investigated companies, the cash-deposit rate will continue to be the company-specific rate published for the most recent period; (3) if the exporter is not a firm covered in this review, a prior review, or the less-than-fair-value investigation but the manufacturer is, the cash-deposit rate will be the rate established for the most recent period for the manufacturer of the merchandise; (4) if neither the exporter nor the manufacturer has its own rate, the cash-deposit rate will be 84.94 percent, the all-others rate established in the Notice of Final Determination of Sales at Less Than Fair Value: Polyethylene Retail Carrier Bags From Malaysia, 69 FR 34128 (June 18, 2004). These deposit requirements shall remain in effect until further notice.

#### **Notification to Importers**

This notice also serves as a final reminder to importers of their responsibility under 19 CFR 351.402(f) to file a certificate regarding the reimbursement of antidumping duties prior to liquidation of the relevant

<sup>&</sup>lt;sup>1</sup>The Polyethylene Retail Carrier Bag Committee and its individual members, Hilex Poly Co., LLC, and Superbag Corporation.

entries during the period of review. Failure to comply with this requirement could result in the Department's presumption that reimbursement of antidumping duties occurred and the subsequent assessment of doubled antidumping duties. See 19 CFR 351.402(f)(3).

### **Notification Regarding APO**

This notice also serves as a reminder to parties subject to the administrative protective order (APO) of their responsibility concerning the disposition of proprietary information disclosed under APO as explained in the APO itself. See also 19 CFR 351.305(a)(3). Timely written notification of the destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations and terms of an APO is a sanctionable violation.

We are publishing these final results of administrative review and notice in accordance with sections 751(a)(1) and 777(i)(1) of the Act and 19 CFR 351.221(b)(5).

Dated: September 27, 2010.

#### Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

[FR Doc. 2010–24837 Filed 10–1–10; 8:45 am]

BILLING CODE 3510-DS-P

#### DEPARTMENT OF COMMERCE

# International Trade Administration [A-570-831]

# Fresh Garlic From the People's Republic of China: Final Results of New Shipper Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

**SUMMARY:** The Department of Commerce (Department) is conducting a new shipper review (NSR) of Qingdao Sealine Trading Co. Ltd. (Qingdao Sea-line) under the antidumping duty order on fresh garlic from the People's Republic of China (PRC) covering the period of review (POR) of November 1, 2008 through April 30, 2009. As discussed below, we determine that a sale has been made in the United States at a price below normal value (NV) with respect to Qingdao Sea-line, an exporter who participated fully and demonstrated its eligibility for separate rate. See Fresh Garlic From the People's Republic of China: Preliminary Results of New Shipper Review, 75 FR 24578 (May 5, 2010) (Preliminary Results). We

are continuing to find Qingdao Sealine's sale to be bona fide for the final results of this review. We intend to instruct U.S. Customs and Border Protection (CBP) to assess antidumping duties on entries of subject merchandise during the POR for which importer-specific assessment rates are above de minimis.

DATES: Effective Date: October 4, 2010. FOR FURTHER INFORMATION CONTACT: Scott Lindsay, AD/CVD Operations, Office 6, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, DC 20230; telephone: (202) 482–0780.

#### SUPPLEMENTARY INFORMATION:

#### **Background**

On May 5, 2010, the Department published in the **Federal Register** the preliminary results of the NSR of the antidumping duty order on fresh garlic from the PRC. See Preliminary Results. Since the Preliminary Results, the following events have occurred.

On May 21, 2010, the Department extended the deadline for filing case briefs. See Letter from the Department to All Interested Parties (May 21, 2010). On July 19, 2010, Qingdao Sea-line submitted a document on the record of this review that contained new factual information within the meaning of 19 CFR 351.301(b)(4) and 19 CFR 351.301 (c)(1). As a result, on July 23, 2010, the Department issued a letter to Qingdao Sea-line rejecting its July 19, 2010 submission. Also on July 23, 2010, the Department notified the parties of the briefing schedule for the final results. See Memorandum to the File, Antidumping Duty New Shipper Review of Fresh Garlic From the People's Republic of China: Briefing Schedule (July 23, 2010). On August 6, 2010, Qingdao Sea-line timely submitted its case brief and requested a hearing. On August 16, 2010, Petitioners 1 timely submitted their rebuttal brief.

On August 27, 2010, the Department placed on the record a memorandum indicating that, pursuant to a telephone discussion, Qingdao Sea-line was withdrawing its request for a hearing. See Memorandum to the File, Antidumping Duty New Shipper Review of Fresh Garlic From the People's Republic of China: Canceled Hearing Request (August 27, 2010).

On September 3, 2010, as a result of the recent decision issued by the Court of Appeals for the Federal Circuit's (CAFC) ruling in Dorbest Limited et al. v. United States, 604 F.3d 1363 (Fed. Cir. 2010) (Dorbest), the Department placed a memorandum on the record regarding its reconsideration of its valuation of the labor wage rate for this review. The Department gave interested parties until September 15, 2010 to comment specifically to the proposed labor wage rate methodology. See Memorandum to the File, Fresh Garlic from the People's Republic of China: Wage Rate Data (September 3, 2010). The Department received no comments.

#### Scope of the Order

The products covered by this Order are all grades of garlic, whole or separated into constituent cloves, whether or not peeled, fresh, chilled, frozen, provisionally preserved, or packed in water or other neutral substance, but not prepared or preserved by the addition of other ingredients or heat processing. The differences between grades are based on color, size, sheathing, and level of decay. The scope of this order does not include the following: (a) Garlic that has been mechanically harvested and that is primarily, but not exclusively, destined for non-fresh use; or (b) garlic that has been specially prepared and cultivated prior to planting and then harvested and otherwise prepared for use as seed. The subject merchandise is used principally as a food product and for seasoning. The subject garlic is currently classifiable under subheadings 0703.20.0010, 0703.20.0020, 0703.20.0090, 0710.80.7060, 0710.80.9750, 0711.90.6000, and 2005.90.9700 of the Harmonized Tariff Schedule of the United States (HTSUS). Although the HTSUS subheadings are provided for convenience and customs purposes, our written description of the scope of this order is dispositive. In order to be excluded from the Order, garlic entered under the HTSUS subheadings listed above that is (1) mechanically harvested and primarily, but not exclusively, destined for non-fresh use or (2) specially prepared and cultivated prior to planting and then harvested and otherwise prepared for use as seed must be accompanied by declarations to CBP to that effect.

# **Analysis of Comments Received**

Issues raised in the case and rebuttal briefs by parties to this proceeding and to which we have responded are listed in the Appendix to this notice and addressed in the Memorandum from Susan Kuhbach, Acting Deputy

<sup>&</sup>lt;sup>1</sup> The Fresh Garlic Producers Association: Christopher Ranch L.L.C., the Garlic Company, Valley Garlic, and Vessey and Company, Inc. (collectively, Petitioners).

Assistant Secretary for Import Administration, To Ronald K. Lorentzen, Deputy Assistant Secretary for Import Administration, Fresh Garlic from the People's Republic of China: Issues and Decision Memorandum of Qingdao Sea-line Trading Co. Ltd. (September 24, 2010) (Issues and Decision Memorandum), which is hereby adopted by this notice. Parties can find a complete discussion of the issues raised in this NSR and the corresponding recommendations in this public memorandum, which is on file in the Central Records Unit, Room 1117 of the main Department building. In addition, a copy of the Issues and Decision Memorandum can be accessed directly on our Web site at http:// www.trade.go/ia/. The paper copy and electronic version of the Issues and Decision Memorandum are identical in content

#### **Bona Fides Analysis**

While conducting a review, particularly a review where a company's margin would be based on a single sale, the Department examines price, quantity, and other circumstances associated with the sale under review, to determine if the sale was based on normal commercial considerations and presents an accurate representation of the company's normal business practices. If the Department determines that the price was not based on normal commercial considerations or is atypical of the respondent's normal business practices, including other sales of comparable merchandise, the sale may be considered non-bona fide.

In the *Preliminary Results*, the Department preliminarily found that Qingdao Sea-line's single POR sale was

made on a bona fide basis. Based on our analysis of additional information placed on the record by Petitioners that we did not examine for the *Preliminary Results*, as well as comments made by interested parties, the Department continues to find that Qingdao Sealine's sale was a bona fide sale. For a more detailed discussion of this analysis, please see the Department's accompanying *Issues and Decision Memorandum*.

# **Surrogate Country**

Since the *Preliminary Results*, no interested party has commented on the selection of India as the surrogate country. Therefore, we continue to determine that India is the appropriate surrogate country for the final results of this NSR.

#### **Separate Rates**

The Department found in the *Preliminary Results* that Qingdao Sealine demonstrated a lack of *de jure* and *de facto* government control with respect to its export activities, and preliminarily determined that it was eligible for a separate rate. No information has been placed on the record of this proceeding since the *Preliminary Results* to contradict our preliminary separate-rate determination. Therefore, for the final results, we continue to determine that Qingdao Sealine is eligible for a separate rate.

# **Changes Since the Preliminary Results**

Based on our analysis of information on the record of this review, and comments received from the interested parties, we have made changes to the surrogate values for garlic bulbs. In the *Preliminary Results*, the Department stated that it would subtract seven

percent from the value of the average of Super-A grade garlic, in accordance with the fees noted by the Azapdur APMC price data used by the Department. See Preliminary Results of the 2008-2009 New Shipper Review of Fresh Garlic from the People's Republic of China: Surrogate Values (April 27, 2010) at 3. However, the Department instead used the average value inclusive of the seven percent. Therefore, for these final results, the Department is using the value for Super-A grade garlic, minus the seven percent in fees, as the surrogate value for garlic bulbs. See Issues and Decision Memorandum at Issue 2.

In addition, the Department has changed its wage rate calculation methodology for these final results. As a consequence of the CAFC ruling in Dorbest, the Department is no longer relying on the regression-based wage rate described in 19 CFR 351.408(c)(3). The Department is continuing to evaluate options for determining labor values in light of the recent CAFC decision. For these final results, we have calculated an hourly wage rate to use in valuing Qingdao Sea-line's reported labor input by averaging earnings and/or wages in countries that are economically comparable to the PRC and that are significant producers of comparable merchandise. The Issues and Decision Memorandum contains a more detailed explanation of this new methodology. See Issues and Decision Memorandum at Issue 5.

# Final Results of New Shipper Review

As a result of our review, we determine that the following margin exists for the period November 1, 2008 through April 30, 2009:

### FRESH GARLIC FROM THE PRC 11/1/2008-4/30/2009

New shipper review		
Exporter/manufacturer	Weighted- Average Mar- gin(dollars per kilogram)	
Exported by Qingdao Sea-line Trade Co. Ltd. and Produced by Jinxiang County Juxingyuan Trading Co., Ltd	\$1.28/kg.	

#### Disclosure

We will disclose the calculations used in our analysis to parties to these proceedings within five days of the date of publication of this notice. *See* 19 CFR 351.224(b).

# Assessment Rates

Consistent with the final results of the 14th administrative review (AR), we will direct CBP to assess an importerspecific assessment rate based on the resulting per-unit (i.e., per kilogram) amount on each entry of the subject merchandise during the POR. See Fresh Garlic from the People's Republic of China: Final Results and Partial Rescission of the 14th Antidumping Duty Administrative, 75 FR 34976, (June 21, 2010) (14th AR). Therefore, the Department will determine, and CBP shall assess, antidumping duties on all

appropriate entries pursuant to section 751(a)(2)(A) of the Act and 19 CFR 351.212(b)(1). The Department intends to issue appropriate assessment instructions directly to CBP 15 days after publication of the final results of this review. For assessment purposes, we calculated importer-specific assessment rates for fresh garlic from the PRC. Specifically, we divided the total dumping margins for each importer by

the total quantity of subject merchandise sold to that importer during the POR to calculate a per-unit assessment amount. We will direct CBP to assess importer-specific assessment rates based on the resulting per-unit (i.e., per kilogram) amount on each entry of the subject merchandise during the POR if any importer-specific assessment rate calculated in the final results of this review is above de minimis.

# Cash Deposit Requirements

Consistent with the final results of the 14th AR, we will collect a per kilogram cash-deposit amount. The following cash deposit requirements will be effective upon publication of the final results of this review for all shipments of the subject merchandise entered, or withdrawn from warehouse, for consumption on or after the publication date of the final results, as provided by section 751(a)(1) of the Act: (1) For subject merchandise produced by Jinxiang County Juxingyuan Trading Co., Ltd. (Juxingyuan) and exported by Qingdao Sea-line, the cash deposit rate will be the per-unit rate determined in the final results of this new shipper review; (2) for subject merchandise exported by Qingdao Sea-line but not produced by Juxingyuan, the cash deposit rate continues to be the per-unit PRC-wide rate. These requirements, when imposed, shall remain in effect until further notice.

### Notification to Importers

This notice serves as a final reminder to importers of their responsibility under 19 CFR 351.402(f)(2) to file a certificate regarding the reimbursement of antidumping duties prior to liquidation of the relevant entries during this review period. Failure to comply with this requirement could result in the Secretary's presumption that reimbursement of antidumping duties occurred and the subsequent assessment of double antidumping duties.

# **Administrative Protective Orders**

This notice also serves as a reminder to parties subject to administrative protective orders (APO) of their responsibility concerning the return or destruction of proprietary information disclosed under APO in accordance with 19 CFR 351.305, which continues to govern business proprietary information in this segment of the proceeding. Timely written notification of the return/destruction of APO materials or conversion to judicial protective order is hereby requested. Failure to comply with the regulations

and terms of an APO is a violation which is subject to sanction.

This new shipper review and notice are issued and published in accordance with sections 751(a)(2)(B) and 777(i) of the Act and 19 CFR and 351.214.

Dated: September 24, 2010.

#### Ronald K. Lorentzen,

Deputy Assistant Secretary for Import Administration.

#### Appendix I

Issue 1: The Bona Fides of Qingdao Sea-line's Sale

Issue 2: Surrogate Valuation of Garlic Bulbs Issue 3: Use of India Wholesale Price Index as Inflator for Surrogate Values

Issue 4: Financial Ratios Issue 5: Wage Rates Issue 6: Cold Storage

[FR Doc. 2010-24833 Filed 10-1-10; 8:45 am]

BILLING CODE 3510-DS-P

#### **DEPARTMENT OF COMMERCE**

# International Trade Administration

[A-570-909]

Certain Steel Nails from the People's Republic of China: Initiation of Antidumping Duty New Shipper Review

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

EFFECTIVE DATE: October 4, 2010. SUMMARY: The Department of Commerce ("Department") has determined that a request for a new shipper review ("NSR") of the antidumping duty order on certain steel nails ("steel nails") from the People's Republic of China ("PRC"), received on August 27, 2010, meets the statutory and regulatory requirements for initiation. The period of review ("POR") for this NSR is August 1, 2009, through July 31, 2010.

# FOR FURTHER INFORMATION CONTACT:

Emeka Chukwudebe, AD/CVD Operations, Office 9, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW, Washington, DC 20230; telephone: 202–482–0219.

# SUPPLEMENTARY INFORMATION:

# **Background**

The notice announcing the antidumping duty order on certain steel nails from the PRC was published in the Federal Register on August 1, 2008. See Notice of Antidumping Duty Order: Certain Steel Nails From the People's Republic of China, 73 FR 44961 (August 1, 2008) ("Antidumping Duty Order"). On August 27, 2010, pursuant to section

751(a)(2)(B)(i) of the Tariff Act of 1930, as amended ("Act"), and 19 CFR 351.214(c), the Department received a NSR request from Shanghai Colour Nail Co., Ltd. ("Shanghai Colour"). Shanghai Colour's request was properly made during August 2010, which is the annual anniversary of the Antidumping Duty Order. Shanghai Colour certified that it is the exporter and Wuxi Colour Nail Co., Ltd. ("Wuxi Colour") is the manufacturer of the subject merchandise upon which the request was based. Shanghai Colour also submitted a public version, which adequately summarized proprietary information and provided explanations as to why certain proprietary information is not capable of summarization.

Pursuant to section 751(a)(2)(B)(i)(I) of the Act and 19 CFR 351.214(b)(2)(ii), Shanghai Colour certified that it did not export steel nails to the United States during the period of investigation ("POI"); and Shanghai Colour provided a certification from Wuxi Colour that it did not export subject merchandise to the United States during the POI. In addition, pursuant to section 751(a)(2)(B)(i)(II) of the Act and 19 CFR 351.214(b)(2)(iii)(A), Shanghai Colour certified that, since the initiation of the investigation, it has never been affiliated with any Chinese exporter or producer who exported steel nails to the United States during the POI, including those not individually examined during the investigation. As required by 19 CFR 351.214(b)(2)(iii)(B), Shanghai Colour also certified that its export activities were not controlled by the central government of the PRC.

In addition to the certifications described above, pursuant to 19 CFR 351.214(b)(2)(iv), Shanghai Colour submitted documentation establishing the following: (1) the date on which Shanghai Colour first shipped steel nails for export to the United States and the date on which the steel nails were first entered, or withdrawn from warehouse, for consumption; (2) the volume of its first shipment; and (3) the date of its first sale to an unaffiliated customer in the United States.

The Department conducted U.S. Customs and Border Protection ("CBP") database queries in an attempt to confirm that Shanghai Colour's shipments of subject merchandise had entered the United States for consumption and that liquidation of such entries had been properly suspended for antidumping duties. The Department also examined whether the CBP data confirmed that such entries were made during the NSR POR. The information we examined was

consistent with that provided by Shanghai Colour.

#### Period of Review

In accordance with 19 CFR 351.214(g)(1)(i)(A), the POR for a new shipper review initiated in the month immediately following the anniversary month will be the twelve-month period immediately preceding the anniversary month. Therefore, under this order, the POR is August 1, 2009, through July 31, 2010. The sales and entries into the United States of subject merchandise exported by Shanghai Colour and produced by Wuxi Colour occurred during this twelve-month POR. Therefore, the POR for this new shipper is August 1, 2009, through July 31, 2010.

#### **Initiation of New Shipper Reviews**

Pursuant to section 751(a)(2)(B) of the Act and 19 CFR 351.214, the Department finds that Shanghai Colour meets the threshold requirements for initiation of a NSR for the shipment of steel nails from the PRC produced by Wuxi Colour and exported by Shanghai Colour. See "Memorandum to the File From Emeka Chukwudebe, Case Analyst, New Shipper Initiation Checklist: Certain Steel Nails from the People's Republic of China (A–570–909)," dated concurrently with this notice.

The Department intends to issue the preliminary results of this NSR no later than 180 days from the date of initiation, and the final results no later than 90 days from the issuance of the preliminary determination. *See* section 751(a)(2)(B)(iv) of the Act.

It is the Department's usual practice, in cases involving non–market economies, to require that a company seeking to establish eligibility for an antidumping duty rate separate from the country-wide rate provide evidence of de jure and de facto absence of government control over the company's export activities. Accordingly, we will issue a questionnaire to Shanghai Colour which will include a section requesting information with regard to Shanghai Colour's export activities for separate rates purposes. The review will proceed if the response provides sufficient indication that Shanghai Colour is not subject to either *de jure* or de facto government control with respect to its export of subject merchandise.

We will instruct CBP to allow, at the option of the importer, the posting, until the completion of the review, of a bond or security in lieu of a cash deposit for each entry of the subject merchandise from Shanghai Colour in accordance with section 751(a)(2)(B)(iii) of the Act

and 19 CFR 351.214(e). Because Shanghai Colour certified that its subject merchandise was produced by Wuxi Colour, we will apply the bonding privilege to Shanghai Colour only for subject merchandise produced by Wuxi Colour.

Interested parties requiring access to proprietary information in this NSR should submit applications for disclosure under administrative protective order in accordance with 19 CFR 351.305 and 19 CFR 351.306. This initiation and notice are in accordance with section 751(a)(2)(B) of the Act and 19 CFR 351.214 and 19 CFR 351.221(c)(1)(i).

Dated: September 28, 2010.

#### Susan H. Kuhbach,

Acting Deputy Assistant Secretary for Antidumping and Countervailing Duty Operations.

[FR Doc. 2010–24835 Filed 10–1–10; 8:45 am] BILLING CODE 3510–DS–S

#### **DEPARTMENT OF COMMERCE**

#### National Oceanic and Atmospheric Administration

RIN 0648-XZ31

# Endangered Species; File No. 14176

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Issuance of permit.

**SUMMARY:** Notice is hereby given that Michael Mangold (Permit Holder and Principal Investigator), United States Fish and Wildlife Service's Maryland Fishery Resource Office, 177 Admiral Cochrane Drive, Annapolis, MD 21401 has been issued a permit to take shortnose sturgeon for purposes of scientific research.

**ADDRESSES:** The permit and related documents are available for review upon written request or by appointment in the following offices:

Permits, Conservation and Education Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705, Silver Spring, MD 20910; phone (301)713–2289; fax (301)713–0376;

Northeast Region, NMFS, 55 Great Republic Drive, Gloucester, MA 01930; phone (978)281–9328; fax (978)281– 9394.

#### FOR FURTHER INFORMATION CONTACT:

Colette Cairns or Malcolm Mohead, (301)713–2289.

**SUPPLEMENTARY INFORMATION:** On March 19, 2010, notice was published in the **Federal Register** (75 FR 13256) that a

request for a scientific research permit to take shortnose sturgeon had been submitted by the above-named Michael Mangold, U.S. Fish and Wildlife Service. The requested permit has been issued under the authority of the Endangered Species Act of 1973, as amended (ESA; 16 U.S.C. 1531 et seq.) and the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR parts 222–226).

The applicant is authorized to conduct a five-year scientific study determining biological and life history information on shortnose sturgeon in the Potomac River. The permit authorizes non-lethal sampling with anchored gill nets, capturing up to 30 fish annually. Each fish will be captured, weighed, measured, PIT and Floy tagged, and sampled for genetic tissue analysis. A sub-set of 10 fish will be acoustically tagged, 5 internally and 5 externally, released, and tracked, determining seasonal movement and habitat selection. Additionally, the applicant is authorized to use D-nets lethally collecting up to 20 shortnose sturgeon in early life stages annually to estimate spawning success and periodicity.

Issuance of this permit, as required by the ESA, was based on a finding that such permit: (1) was applied for in good faith; (2) will not operate to the disadvantage of such endangered or threatened species; and (3) is consistent with the purposes and policies set forth in section 2 of the ESA.

Dated: September 27, 2010.

# P. Michael Payne,

Chief, Permits, Conservation and Education Division, Office of Protected Resources, National Marine Fisheries Service.

[FR Doc. 2010–24822 Filed 10–1–10; 8:45 am]

BILLING CODE 3510-22-S

# **DEPARTMENT OF COMMERCE**

# National Oceanic and Atmospheric Administration

RIN 0648-XZ15

Marine Mammals and Endangered Species; File Nos. 808–1735, 14233, 14506, 14603, and 14726

**AGENCY:** National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

**ACTION:** Notice; issuance of permits and permit amendment.

**SUMMARY:** Notice is hereby given that NMFS has issued four permits and a permit amendment to conduct research

on marine mammals or sea turtles. See **SUPPLEMENTARY INFORMATION** for additional information regarding permittees.

**ADDRESSES:** The permits and related documents are available for review upon written request or by appointment in the following offices: See **SUPPLEMENTARY INFORMATION**.

FOR FURTHER INFORMATION CONTACT: The following Analysts at (301)713–2289. For File Nos. 808–1735, 14233 and 14603: Amy Hapeman or Kristy Beard; File Nos. 14506 and 14726: Amy Hapeman or Colette Cairns.

SUPPLEMENTARY INFORMATION: On March 11, 2010, notice was published in the Federal Register (75 FR 11516) that a request for a permit amendment to conduct research on Arnoux's beaked whales (Berardius arnouxii) had been submitted by Andrew Read, Ph.D. [File No. 808-1735]. On September 10, 2009, notice was published in the Federal **Register** (74 FR 46570) that a request for a permit to conduct research on North Atlantic right whales (Eubalaena glacialis) had been submitted by Scott D. Kraus, Ph.D. [File No. 14233]. On December 16, 2009, notice was published in the Federal Register (74 FR 66618) that a request for a permit to conduct research on green (Chelonia mydas), hawksbill (Eretmochelys imbricata), Kemp's ridley (Lepidochelys kempii), loggerhead (Caretta caretta), and leatherback (Dermochelys coriacea) sea turtles had been submitted by Llewellyn Ehrhart, Ph.D. [File No. 14506]. On October 26, 2009, notice was published in the Federal Register (74 FR 54959) that a request for a permit to conduct research on North Atlantic right whales had been submitted by the Provincetown Center for Coastal Studies (CCS) [Responsible Party: Richard Delaney; File No. 14603]. On October 21, 2009, notice was published in the Federal Register (74 FR 54021) that a request for a permit to conduct research on green, hawksbill, Kemp's ridley, loggerhead, and leatherback sea turtles had been submitted by Blair Witherington [File No. 14726]. The requested permits and permit amendment have been issued under the following authorities as applicable: the Marine Mammal Protection Act of 1972, as amended (16 U.S.C. 1361 et seq.), the regulations governing the taking and importing of marine mammals (50 CFR part 216), the Endangered Species Act of 1973, as amended (ESA; 16 U.S.C. 1531 et seq.), and the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR parts 222-226).

Andrew Read, Ph.D. [File No. 808-1735], Duke University Marine Laboratory, 135 Pivers Island Road, Beaufort, North Carolina 28516, was issued an amendment to Permit No. 808-1735, originally issued on June 27, 2007 (72 FR 36429). Permit No. 808-1735 authorizes Dr. Read to take humpback (Megaptera novaeangliae), blue (Balaenoptera musculus), fin (B. physalus), sei (B. borealis), and Antarctic minke (B. bonaerensis) whales in the Southern Ocean to examine their foraging behavior relative to krill patches. The permit authorizes the close approach of whales during vessel surveys for photo-identification, behavioral observation, tracking, and incidental harassment. A subset of whales may be suction-cup tagged during surveys. This amendment, Permit No. 808-1735-01, authorizes researchers to take Arnoux's beaked whales during vessel surveys in the Southern Ocean for photoidentification, behavioral observation, and incidental harassment and suctioncup tag a subset of animals. The purpose of the research is to gain information on the distribution, biology, ecology, movement patterns, and behavior of this extremely rare species and generate a catalog of known individuals that can then be used for a mark-recapture experiment. The amendment is valid until the permit expires May 31, 2012.

Scott Kraus, Ph.D. [File No. 14233], New England Aquarium Edgerton Research Laboratory, Central Wharf, Boston, Massachusetts, 02110, was issued a five-year permit to study North Atlantic right whales along the U.S. East Coast from Florida to Maine via aerial and vessel surveys. During vessel surveys, researchers may approach, photograph and collect fecal samples from whales and biopsy sample a subset of animals. Researchers also may import and export biological samples, including samples and tissues from dead right whales in other parts of the world to use in control and comparative

Llewellyn Ehrhart, Ph.D. [File No. 14506], University of Central Florida, P.O. Box 162368, Orlando, Florida 32816, was issued a five-year permit to continue long-term studies of sea turtle populations in three disparate habitats on Florida's Atlantic coast: the Indian River Lagoon System, the Sabellariid worm rock reefs of Indian River County, and the Trident Turning Basin, Cape Canaveral Air Force Station. Researchers may capture, flipper tag, passive integrated transponder (PIT) tag, measure, weigh, blood sample, tissue biopsy, lavage, photograph, temporarily mark and/or remove epibiota from

loggerhead, green, Kemp's ridley, hawksbill, and leatherback sea turtles. A subset of green sea turtles may have a transmitter attached to the carapace.

CCS [File No. 14603], 115 Bradford Street, Provincetown, Massachusetts 02657, was issued a five year permit to harass right whales year-round to monitor demographics, life history traits, habitat use, and behavior in the Gulf of Maine; the majority of effort will be focused in Cape Cod Bay, Massachusetts Bay, and the Great South Channel during the season of right whale residency (December 15 - May 15). Researchers are authorized to approach whales during aerial surveys and by vessel for photo-identification, direct observation, collection of prey, and to attach suction cup tags to adult or juvenile right whales. Other large whale species may be incidentally harassed during aerial and vessel surveys, and opportunistic sighting information and photographs may be collected.

Blair Witherington [File No. 14726], Florida Fish and Wildlife Conservation Commission, 9700 South A1A, Melbourne Beach, Florida, 32951, was issued a five-year permit to locate and describe areas of the Atlantic Ocean and Gulf of Mexico near Florida that serve as developmental habitat for pelagicstage juvenile and neonate loggerhead, green, Kemp's ridley, hawksbill, and leatherback sea turtles, to quantify threats to pelagic sea turtles, and to gather information on their life-history, genetics, movements, behavior, and diet. Researchers are authorized to capture by dip net, flipper tag, PIT tag, measure, weigh, and oral swab loggerhead, green, Kemp's ridley, hawksbill, and leatherback sea turtles. A subset of animals may be skin biopsied, lavaged or have a satellite tag attached.

In compliance with the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.), environmental assessments (EAs) were prepared analyzing the effects of the permitted activities on the human environment. Based on the analyses in the EAs, NMFS determined that issuance of the permits and permit amendment would not significantly impact the quality of the human environment and that preparation of an environmental impact statement was not required. That determination is documented in Findings of No Significant Impact (FONSI) for these actions.

As required by the ESA, issuance of the permits were based on a finding that such permits: (1) were applied for in good faith; (2) will not operate to the disadvantage of such endangered species; and (3) are consistent with the purposes and policies set forth in section 2 of the ESA.

Documents may be reviewed in the following locations:

Permits, Conservation and Education Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705, Silver Spring, Maryland 20910; phone (301)713–2289; fax (301)713– 0376:

Southwest Region, NMFS, 501 West Ocean Blvd., Suite 4200, Long Beach, California 90802–4213; phone (562)980–4001; fax (562)980–4018;

Northeast Region, NMFS, 55 Great Republic Drive, Gloucester, Massachusetts 01930; phone (978)281– 9328; fax (978)281–9394; and

Southeast Region, NMFS, 263 13th Avenue South, Saint Petersburg, Florida 33701; phone (727)824–5312; fax (727)824–5309.

Dated: September 27, 2010.

#### P. Michael Payne,

Chief, Permits, Conservation and Education Division, Office of Protected Resources, National Marine Fisheries Service.

[FR Doc. 2010-24823 Filed 10-1-10; 8:45 am]

BILLING CODE 3510-22-S

#### **DEPARTMENT OF DEFENSE**

# Office of the Secretary

[Docket ID: DOD-2010-OS-0138]

# Privacy Act of 1974; System of Records

**AGENCY:** Department of Defense (DoD). **ACTION:** Notice to alter a system of records.

**SUMMARY:** The Office of the Secretary of Defense proposes to alter a system of records in its inventory of record systems subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended.

**DATES:** This proposed action would be effective without further notice on November 3, 2010, unless comments are received which result in a contrary determination.

**ADDRESSES:** You may submit comments, identified by docket number and title, by any of the following methods:

\* Federal Rulemaking Portal: http://www.regulations.gov. Follow the instructions for submitting comments.

\* Mail: Federal Docket Management System Office, Room 3C843 Pentagon, 1160 Defense Pentagon, Washington, DC 20301–1160.

Instructions: All submissions received must include the agency name and docket number for this **Federal Register** document. The general policy for comments and other submissions from members of the public is to make these submissions available for public viewing on the Internet at http://www.regulations.gov as they are received without change, including any personal identifiers or contact information.

**FOR FURTHER INFORMATION CONTACT:** Ms. Cindy Allard at (703) 588–6830.

**SUPPLEMENTARY INFORMATION:** The Office of the Secretary of Defense notices for systems of records subject to the Privacy Act of 1974 (5 U.S.C. 552a), as amended, have been published in the **Federal Register** and are available from the Chief, OSD/JS Privacy Office, Freedom of Information Directorate, Washington Headquarters Services, 1155 Defense Pentagon, Washington, DC 20301–1155.

The proposed system report, as required by 5 U.S.C. 552a(r) of the Privacy Act of 1974, as amended, was submitted on September 21, 2010, to the House Committee on Oversight and Government Reform, the Senate Committee on Governmental Affairs, and the Office of Management and Budget (OMB) pursuant to paragraph 4c of Appendix I to OMB Circular No. A–130, "Federal Agency Responsibilities for Maintaining Records About Individuals," dated February 8, 1996 (February 20, 1996; 61 FR 6427).

Dated: September 29, 2010.

#### Mitchell S. Bryman,

Alternate OSD Federal Register Liaison Officer, Department of Defense.

# DTIC 01

#### SYSTEM NAME:

Defense User Registration System (DURS) Records (November 12, 2008; 73 FR 66852).

#### Changes

\* \* \* \* \*

### CATEGORIES OF RECORDS IN THE SYSTEM:

Delete entry and replace with "Information relating to registration requests by individuals seeking access to DTIC-owned or controlled computers, databases, products, and/or services. The records contain the individual's name; Electronic Data Interchange Personal Identifier; citizenship; service type; personnel category; organization/ company mailing address/physical location; e-mail address; registrants' USERID; password/reset questions; organization/company telephone number(s); access eligibility; dissemination/distribution group codes; and personal and facility security clearance level(s). The records also contain the government approving official's name, office phone number

and e-mail address; dates of registration's activation and the projected date of expiration. Where applicable, the records contain contract number(s), contract expiration date(s), and the Military Critical Technical Data Agreement (MCTDA) Certification Number."

#### **AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

Delete entry and replace with "5 U.S.C. 301, Departmental Regulations; E.O. 12958, Classified National Security Information; DoD 5200.1–R, Information Security Program; and Defense Information Systems Agency Instruction 240–110–8, Information Security Program."

#### SAFEGUARDS:

Delete entry and replace with "Records are maintained in secure, limited access, or monitored areas. Database is monitored and access is password protected and Common Access Card (CAC) enabled. Physical entry by unauthorized persons is restricted through the use of locks, guards, passwords, or other administrative procedures. Archived data is stored on discs or magnetic tapes which are kept in a locked or controlled access area. Access to personal information is limited to those individuals who require the records to perform their official assigned duties."

# RETENTION AND DISPOSAL:

Delete entry and replace with "Electronic records are to be deleted when DTIC determines they are no longer needed for administrative, audit, legal or operational purposes."

#### **NOTIFICATION PROCEDURES:**

Delete entry and replace with "Individuals seeking to determine whether this system of records contains information about themselves may address their inquiries to Defense Technical Information Center; ATTN: DTIC–BC (Registration Team), 8725 John J. Kingman Road, Suite 0944, Fort Belvoir VA 22060–6218.

Requests should contain the individual's full name, telephone number, street address, and e-mail address."

# RECORD ACCESS PROCEDURES:

Delete entry and replace with "Individuals seeking to access records about themselves contained in this system of records should address written inquiries to the Privacy Act Officer, Defense Technical Information Center, 8725 John J. Kingman Road, Suite 0944, Fort Belvoir, VA 22060–6218.

Requests should contain the individual's full name, telephone number, street address, and e-mail address."

\* \* \* \* \*

#### **RECORD SOURCE CATEGORIES:**

Delete entry and replace with "Individuals and security personnel."

### DTIC 01

#### SYSTEM NAME:

Defense User Registration System (DURS) Records

### SYSTEM LOCATION:

Defense Technical Information Center (DTIC), Directorate of User Services, Marketing and Registration Division, ATTN: DTIC–BC (Registration), 8725 John J. Kingman Road, Suite 0944, Fort Belvoir, VA 22060–6218.

## CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

DoD and other U.S. Federal Government agency military and civilian personnel and their contractors; researchers of colleges or universities funded by DoD or other U.S. Federal Government agencies; students and employees of specifically qualifying educational institutions, Groups, and Programs, e.g., Historically Black Colleges, Universities, and Minority Institutions (HBCU/MI), Hispanic Serving Institutions (HSIs), and University Research Support (URS); awardees under the Multidisciplinary University Research Initiative (MURI); awardees and researchers eligible for awards under the Defense Experimental Program to Stimulate Competitive Research (DEPSCOR); designated officials and employees of foreign embassies; and members of Small Business Innovative Research (SBIR) Organizations/Groups.

### CATEGORIES OF RECORDS IN THE SYSTEM:

Information relating to registration requests by individuals seeking access to DTIC-owned or controlled computers, databases, products, and/or services. The records contain the individual's name; Electronic Data Interchange Personal Identifier; citizenship; service type; personnel category; organization/ company mailing address/physical location; e-mail address; registrants' USERID; password/reset questions; organization/company telephone number(s); access eligibility; dissemination/distribution group codes; and personal and facility security clearance level(s). The records also

contain the government approving official's name, office phone number and e-mail address; dates of registration's activation and the projected date of expiration. Where applicable, the records contain contract number(s), contract expiration date(s), and the Military Critical Technical Data Agreement (MCTDA) Certification Number.

### **AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

5 U.S.C. 301, Departmental Regulations; E.O. 12958, Classified National Security Information; DoD 5200.1–R, Information Security Program; and Defense Information Systems Agency Instruction 240–110–8, Information Security Program.

### PURPOSE(S):

The purpose of this system of records is to collect, validate eligibility, and maintain an official registry file that identifies individuals and organizations who apply for, and are granted, access privileges to DTIC products, services and electronic information systems.

# ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act of 1974, these records contained may specifically be disclosed outside the DoD as a routine use pursuant to 552a(b)(3) as follows:

The DoD 'Blanket Routine Uses' set forth at the beginning of the OSD's compilation of systems of records notices apply to this system.

### POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

#### STORAGE:

Electronic storage media.

#### RETRIEVABILITY:

Individual name, e-mail address, and security clearance level.

### SAFEGUARDS:

Records are maintained in secure, limited access, or monitored areas. Database is monitored and access is password protected and Common Access Card (CAC) enabled. Physical entry by unauthorized persons is restricted through the use of locks, guards, passwords, or other administrative procedures. Archived data is stored on discs or magnetic tapes which are kept in a locked or controlled access area. Access to personal information is limited to those individuals who require the records to perform their official assigned duties.

#### RETENTION AND DISPOSAL:

Electronic records are to be deleted when DTIC determines they are no longer needed for administrative, audit, legal or operational purposes.

### SYSTEM MANAGER(S) AND ADDRESS:

The Chief, Marketing and Registration Division, DTIC–BC, 8725 John J. Kingman Road, Suite 0944, Fort Belvoir, VA 22060–6218.

#### NOTIFICATION PROCEDURES:

Individuals seeking to determine whether this system of records contains information about themselves may address their inquiries to Defense Technical Information Center; ATTN: DTIC–BC (Registration Team), 8725 John J. Kingman Road, Suite 0944, Fort Belvoir VA 22060–6218.

Requests should contain the individual's full name, telephone number, street address, and e-mail address.

### **RECORD ACCESS PROCEDURES:**

Individuals seeking to access records about themselves contained in this system of records should address written inquiries to the Privacy Act Officer, Defense Technical Information Center, 8725 John J. Kingman Road, Suite 0944, Fort Belvoir, VA 22060–6218.

Requests should contain the individual's full name, telephone number, street address, and e-mail address.

### CONTESTING RECORD PROCEDURES:

The OSD rules for accessing records, for contesting contents and appealing initial agency determinations are published in OSD Administrative Instruction 81; 32 CFR part 311; or may be obtained from the system manager.

#### **RECORD SOURCE CATEGORIES:**

Individuals and security personnel.

#### **EXEMPTIONS CLAIMED FOR THE SYSTEM:**

None.

[FR Doc. 2010–24842 Filed 10–1–10; 8:45 am] BILLING CODE 5001–06–P

### **DEPARTMENT OF EDUCATION**

# Notice of Proposed Information Collection Requests

**AGENCY:** Department of Education. **ACTION:** Comment Request.

**SUMMARY:** The Department of Education (the Department), in accordance with the Paperwork Reduction Act of 1995 (PRA) (44 U.S.C. 3506(c)(2)(A)), provides the general public and Federal

agencies with an opportunity to comment on proposed and continuing collections of information. This helps the Department assess the impact of its information collection requirements and minimize the reporting burden on the public and helps the public understand the Department's information collection requirements and provide the requested data in the desired format. The Director, Information Collection Clearance Division, Regulatory Information Management Services, Office of Management, invites comments on the proposed information collection requests as required by the Paperwork Reduction Act of 1995.

**DATES:** Interested persons are invited to submit comments on or before December 3, 2010.

ADDRESSES: Comments regarding burden and/or the collection activity requirements should be electronically mailed to *ICDocketMgr@ed.gov* or mailed to U.S. Department of Education, 400 Maryland Avenue, SW., LBJ, Washington, D.C. 20202–4537. Please note that written comments received in response to this notice will be considered public records.

**SUPPLEMENTARY INFORMATION: Section** 3506 of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35) requires that Federal agencies provide interested parties an early opportunity to comment on information collection requests. The Director, Information Collection Clearance Division, Regulatory Information Management Services, Office of Management, publishes this notice containing proposed information collection requests at the beginning of the Departmental review of the information collection. The Department of Education is especially interested in public comment addressing the following issues: (1) Is this collection necessary to the proper functions of the Department; (2) will this information be processed and used in a timely manner; (3) is the estimate of burden accurate; (4) how might the Department enhance the quality, utility, and clarity of the information to be collected; and (5) how might the Department minimize the burden of this collection on the respondents, including through the use of information technology.

Dated: September 29, 2010.

### Darrin A. King,

Director, Information Collection Clearance Division, Regulatory Information Management Services, Office of Management.

#### **Institute of Education Sciences**

Type of Review: New.

Title of Collection: School Improvement Status and Outcomes for Students with Disabilities Study.

OMB Control Number: Pending. Agency Form Number(s): N/A. Frequency of Responses: One time.

Affected Public: Individuals or households State, Local, or Tribal Government, State Educational Agencies or Local Education Agencies.

Total Estimated Number of Annual Responses: 13,276.

Total Estimated Number of Annual Burden Hours: 1,660.

Abstract: As part of the National Assessment of the Individuals with Disabilities Education Act of 2004 (Pub. L. 108-446), the Institute of Education Sciences is evaluating how schools being required to adopt programs focused on improving academic outcomes for students with disabilities. The focus of the study is examining trends in achievement among students with disabilities in both schools that are and are not accountable for the performance of those students. In addition, the study focuses on describing improvement efforts in schools that have failed to make adequate yearly progress for students with disabilities in particular grades and subjects. The evaluation will use EdFacts data as well as data from surveys of school principals and special education designees about their school improvement practices. The study will use descriptive statistics and regression analysis to study how student outcomes and school practices vary with the identification of elementary and middle schools for improvement.

Requests for copies of the proposed information collection request may be accessed from http://edicsweb.ed.gov, by selecting the "Browse Pending Collections" link and by clicking on link number 4411. When you access the information collection, click on "Download Attachments" to view. Written requests for information should be addressed to U.S. Department of Education, 400 Maryland Avenue, SW., LBJ, Washington, DC 20202-4537. Requests may also be electronically mailed to ICDocketMgr@ed.gov or faxed to 202-401-0920. Please specify the complete title of the information collection and OMB Control Number when making your request.

Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1–800–877–8339.

### **DEPARTMENT OF EDUCATION**

### **Notice of Submission for OMB Review**

**AGENCY:** Department of Education. **ACTION:** Comment request.

SUMMARY: The Director, Information Collection Clearance Division, Regulatory Information Management Services, Office of Management invites comments on the submission for OMB review as required by the Paperwork Reduction Act of 1995 (Pub. L. 104–13).

**DATES:** Interested persons are invited to submit comments on or before November 3, 2010.

ADDRESSES: Written comments should be addressed to the Office of Information and Regulatory Affairs, Attention: Education Desk Officer, Office of Management and Budget, 725 17th Street, NW., Room 10222, New Executive Office Building, Washington, DC 20503, be faxed to (202) 395–5806 or e-mailed to

oira\_submission@omb.eop.gov with a cc: to ICDocketMgr@ed.gov. Please note that written comments received in response to this notice will be considered public records.

**SUPPLEMENTARY INFORMATION: Section** 3506 of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35) requires that the Office of Management and Budget (OMB) provide interested Federal agencies and the public an early opportunity to comment on information collection requests. The OMB is particularly interested in comments which: (1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used: (3) Enhance the quality, utility, and clarity of the information to be collected; and (4) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

Dated: September 27, 2010.

### Darrin A. King,

Director, Information Collection Clearance Division, Regulatory Information Management Services, Office of Management.

### Office of Postsecondary Education

Type of Review: Extension. Title of Collection: Annual Performance Report and Certification of Financial Need for the Jacob K. Javits Fellowship Program.

OMB Control Number: 1840–0630. Agency Form Number(s): ED 40–1304. Frequency of Responses: Annually. Affected Public:

Not-for-profit institutions.

Total Estimated Number of Annual Responses: 100.

Total Estimated Annual Burden Hours: 400.

Abstract: The Jacob K. Javits Fellowship Program is authorized by Title VII, Part A, Subpart 1 of the Higher Education Act of 1965, as amended, and provides up to four years of financial assistance to students to undertake graduate study at the doctoral and Master of Fine Arts level in selected fields of arts, humanities, and social sciences. Fellows are selected on the basis of (1) superior academic ability demonstrated by their achievements and exceptional promise; and (2) financial need. The amounts of new and continuing awards are based on a student's financial need as determined by the Title IV, Part F needs analysis system. Each individual fellow's need must be assessed and reported each year, along with a continuing fellow's academic progress as determined by the institution. This collection is completed annually by grantee institutions to report on the fellows' progress and levels of financial need for the next academic year. The Department of Education uses this data to calculate fellowship amounts and the total grant amount sent to each institution for each fiscal year.

Requests for copies of the information collection submission for OMB review may be accessed from the RegInfo.gov Web site at http://www.reginfo.gov/ public/do/PRAMain or from the Department's Web site at http:// edicsweb.ed.gov, by selecting the "Browse Pending Collections" link and by clicking on link number 4358. When you access the information collection, click on "Download Attachments" to view. Written requests for information should be addressed to U.S. Department of Education, 400 Maryland Avenue, SW., LBJ, Washington, DC 20202-4537. Requests may also be electronically mailed to the Internet address ICDocketMgr@ed.gov or faxed to 202-401–0920. Please specify the complete title of the information collection and OMB Control Number when making your request.

Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1–800–877–8339.

[FR Doc. 2010–24785 Filed 10–1–10; 8:45 am] BILLING CODE 4000–01–P

#### **DEPARTMENT OF EDUCATION**

## Committee on Measures of Student Success

**AGENCY:** National Center for Education Statistics, Institute of Education Sciences, Department of Education. **ACTION:** Notice of an open meeting.

SUMMARY: This notice sets forth the schedule and proposed agenda of an upcoming meeting of the Committee on Measures of Student Success (Committee). The notice also describes the functions of the Committee. The notice also describes the functions of the Committee. Notice of this meeting is required by section 10(a)(2) of the Federal Advisory Committee Act (FACA) and is intended to notify the public of their opportunity to attend.

DATES: October 20, 2010.

Time: 12:30 p.m. to 4:30 p.m.

ADDRESSES: The Committee will meet in Washington, DC at 1990 K Street, NW., Washington, DC 20006, 8th Floor Conference Center.

### FOR FURTHER INFORMATION CONTACT:

Archie Cubarrubia, Designated Federal Official, Advisory Committee on Measures of Student Success, U.S. Department of Education, 1990 K Street, NW., Washington, DC 20006. E-mail: *Archie.Cubarrubia@ed.gov.* Telephone: (202) 502–7601.

SUPPLEMENTARY INFORMATION: The Committee is established to advise the Secretary of Education in assisting twoyear degree-granting institutions of higher education in meeting the completion or graduation rate disclosure requirements outlined in section 485 of the HEA, as amended. Specifically, the Committee shall develop recommendations regarding the accurate calculation and reporting of completion or graduation rates of entering certificate/degree-seeking, full-time, undergraduate students by two-year degree granting institutions of higher education. The Committee may also recommend additional or alternative measures of student success that are comparable alternatives to the completion or graduation rates of entering degree-seeking full-time undergraduate students and that consider the mission and role of twoyear degree granting higher education institutions. These recommendations shall be provided to the Secretary no

later than 18 months after the first meeting of the Committee.

The agenda for the Committee's first meeting will include a welcome by Department officials followed by a roundtable discussion focusing on the strategies for accomplishing its mission as stated in its charter. The agenda will also include brief panel presentations about current efforts in the field regarding improving completion or graduation rates and alternative measures of student success.

Individuals interested in attending the meeting must register in advance because of limited space issues. Please contact Kristan Cilente at (202) 219-7001 or by e-mail at studentsuccess@ed.gov. Individuals who will need accommodations for a disability in order to attend the meeting (e.g., interpreting services, assistive listening devices, or materials in alternative format) should notify Kristan Cilente at (202) 219-7001 no later than October 13, 2010. We will attempt to meet requests for accommodations after this date but cannot guarantee their availability. The meeting site is accessible to individuals with disabilities.

Opportunities for public comment are available through the Committee's Web site at <a href="http://www2.ed.gov/about/bdscomm/list/acmss.html">http://www2.ed.gov/about/bdscomm/list/acmss.html</a>. Records are kept of all Committee proceedings and are available for public inspection on the Web site and at the National Center for Education Statistics, 1990 K Street, NW., Washington, DC 20006 from the hours of 9 a.m. to 5 p.m. E.S.T.

Electronic Access to This Document: You may view this document, as well as all other documents of this Department published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site: http://www.ed.gov/news/fed-register/index.html. To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free at 1–866–512–1830; or in the Washington, DC, area at (202) 512–0000.

Note: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available on GPO Access at: http://www.gpoaccess.gov/nara/index.html.

### John Q. Easton,

Director, Institute of Education Sciences. [FR Doc. 2010–24782 Filed 10–1–10; 8:45 am]
BILLING CODE 4000–01–P

### **DEPARTMENT OF ENERGY**

### Blue Ribbon Commission on America's Nuclear Future, Reactor and Fuel Cycle Technology Subcommittee

**AGENCY:** Department of Energy, Office of Nuclear Energy.

**ACTION:** Notice of Open Meeting.

SUMMARY: This notice announces an open meeting of the Reactor and Fuel Cycle Technology (RFCT)
Subcommittee. The RFCT
Subcommittee is a subcommittee of the Blue Ribbon Commission on America's Nuclear Future (the Commission). The establishment of subcommittees is authorized in the Commission's charter. The Commission was organized pursuant to the Federal Advisory Committee Act (Pub. L. 92–463, 86 Stat. 770) (the Act). This notice is provided in accordance with the Act.

**DATES:** Tuesday, October 12, 2010, 8:15 a.m.–4 p.m.

ADDRESSES: Washington Marriott at Metro Center, 775 12th Street, NW., Washington, DC 20005.

### FOR FURTHER INFORMATION CONTACT:

Timothy A. Frazier, Designated Federal Officer, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585; telephone (202) 586–4243 or facsimile (202) 586–0544; e-mail

CommissionDFO@nuclear.energy.gov. Additional information will be available at http://www.brc.gov.

### SUPPLEMENTARY INFORMATION:

Background: The President directed that the Commission be established to conduct a comprehensive review of policies for managing the back end of the nuclear fuel cycle. The Commission will provide advice and make recommendations on issues including alternatives for the storage, processing, and disposal of civilian and defense spent nuclear fuel and nuclear waste.

The Co-chairs of the Commission requested the formation of the RFCT Subcommittee to answer the question: "[d]o technical alternatives to today's once-through fuel cycle offer sufficient promise to warrant serious consideration and R&D investment, and do these technologies hold significant potential to influence the way in which used fuel is stored and disposed?"

Purpose of the Meeting: The meeting will primarily focus on the evaluation of advantages and disadvantages of adopting new fuel cycle technologies and the associated waste management implications of these technologies. The meeting will also address proliferation and security risks associated with new fuel cycle technologies.

Tentative Agenda: The meeting is expected to start at 8:15 a.m. on October 12 with panel presentations beginning at 8:30 a.m. and ending at 3:15 p.m. A public comment period is planned from 3:15 p.m. to 4 p.m.

Public Participation: Subcommittee meetings are not required to be open to the public; however, the Commission has elected to open the presentation sessions of the meeting to the public. Individuals and representatives of organizations who would like to offer comments and suggestions may do so at the end of the public session on Tuesday, October 12, 2010. Approximately 45 minutes will be reserved for public comments from 3:15 p.m. to 4 p.m. Time allotted per speaker will depend on the number who wish to speak but will not exceed 5 minutes. The Designated Federal Officer is empowered to conduct the meeting in a fashion that will facilitate the orderly conduct of business. Those wishing to speak should register to do so beginning at 8:30 a.m. on October 12, 2010, at the Washington Marriott at Metro Center. Registration to speak will close at 11 a.m., October 12, 2010.

Those not able to attend the meeting or have insufficient time to address the subcommittee are invited to send a written statement to Timothy A. Frazier, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, DC 20585; e-mail to CommissionDFO@nuclear.energy.gov, or post comments on the Commission Web site at http://www.brc.gov.

Additionally, the meeting will be available via live video webcast. The link will be available at http://www.brc.gov. This notice is being published less than 15 days before the date of the meeting due to programmatic issues.

Minutes: The minutes of the meeting will be available at http://www.brc.gov or by contacting Mr. Frazier. He may be reached at the postal address or email address above.

Issued in Washington, DC on September 28, 2010.

#### LaTanya R. Butler,

 $\label{lem:committee} Acting \ Deputy \ Committee \ Management \\ Officer.$ 

[FR Doc. 2010–24813 Filed 10–1–10; 8:45 am]

BILLING CODE 6450-01-P

# ENVIRONMENTAL PROTECTION AGENCY

[EPA-HQ-ORD-2010-0622; FRL-9209-5]

### Board of Scientific Counselors (BOSC); Request for Nominations of Experts

**AGENCY:** Environmental Protection Agency (EPA). **ACTION:** Notice.

**SUMMARY:** The EPA Board of Scientific Counselors (BOSC) Staff Office is requesting public nominations for technical experts to fill current vacancies on the BOSC Executive Committee. Nominations should be submitted via the BOSC Web site at <a href="http://www.epa.gov/osp/bosc/nomination.htm">http://www.epa.gov/osp/bosc/nomination.htm</a>.

**DATES:** Nominations should be submitted by November 15, 2010, per instructions below.

FOR FURTHER INFORMATION CONTACT: Anv member of the public needing further information regarding this Notice and Request for Nominations may contact Ms. Heather Drumm, Mail Code 8104-R, Office of Science Policy, Office of Research and Development, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW., Washington, DC 20460; via phone/voice mail at: (202) 564-8239; via fax at: (202) 565-2911; or via e-mail at: drumm.heather@epa.gov. General information concerning the Board of Scientific Counselors can be found at the BOSC Web site at: http:// www.epa.gov/osp/bosc.

### SUPPLEMENTARY INFORMATION:

#### **Background**

The BOSC is a chartered Federal Advisory Committee that was established by the U.S. EPA to provide independent scientific and technical peer review, advice, consultation, and recommendations about the Office of Research and Development. As a Federal Advisory Committee, the BOSC conducts business in accordance with the Federal Advisory Committee Act (FACA) (5 U.S.C. App. 2) and related regulations.

The BOSC Executive Committee is comprised of approximately 20 members who are recognized experts in various scientific and engineering fields. EPA is soliciting candidates to fill several vacancies. EPA will consider candidates from the environmental scientific/technical fields, human health care professionals, academia, industry, public and private research institutes or organizations, non-government organizations, and other relevant

interest areas. Members of the BOSC serve as special government employees. The Executive Committee meets approximately three to five times per year in face-to-face meetings and via teleconferences.

#### **Request for Nominations**

EPA's BOSC Staff Office is seeking nominations of nationally and internationally recognized scientists, engineers, and social scientists having experience and expertise in one or more of the following areas: Ecology (aquatic and terrestrial); toxicology (environmental and developmental); informatics (ecosystem and bioinformatics); socioeconomics; environmental justice, science policy (research, policy, and outcomes interface); endocrine disrupting chemicals; climate change (environmental and human health impacts and carbon sequestration); sustainability (systems engineering, lifecycle assessment, green chemistry/ technology); risk assessment (human health and ecological); and risk management.

# **Process and Deadline for Submitting Nominations**

Any interested person or organization may nominate themselves or qualified individuals in the areas of expertise described above. Nominations should be submitted via the BOSC Web site (which is preferred over hard copy) at http://www.epa.gov/osp/bosc/ nomination.htm. Nominations should be submitted in time to arrive no later than November 15, 2010. To receive full consideration, nominations should include all of the information requested. EPA's BOSC Staff Office requests: contact information about the person making the nomination; contact information about the nominee; the disciplinary and specific areas of expertise of the nominee; the nominee's curriculum vita and/or resume; sources of recent grant and/or contract support; and a biographical sketch of the nominee indicating current position, educational background, and recent service on other national advisory committees or national professional organizations. Persons having questions about the nomination procedures, or who are unable to submit nominations through the BOSC web site, should contact Ms. Heather Drumm, as indicated above in this notice.

For the EPA BOSC Staff Office, a balanced committee includes candidates who possess the necessary domains of knowledge, the relevant scientific perspectives (which, among other factors, can be influenced by work

history and affiliation), and the collective breadth of experience to adequately address the charge. Selection criteria to be used for membership include: (a) Scientific and/or technical expertise, knowledge, and experience (primary factors); (b) availability and willingness to serve; (c) absence of financial conflicts of interest; (d) absence of an appearance of a lack of impartiality; (e) skills working on committees, subcommittees and advisory panels; and (f) diversity of expertise and viewpoints. EPA values and welcomes diversity. In an effort to obtain nominations of diverse candidates, EPA encourages nominations of women and men of all racial and ethnic groups.

The BOSC Staff Office's evaluation of an absence of financial conflicts of interest will include a review of the "Confidential Financial Disclosure Form for Special Government Employees Serving on Federal Advisory Committees at the U.S. Environmental Protection Agency" (EPA Form 3110-48). This confidential form allows Government Officials to determine whether there is a statutory conflict between that person's public responsibilities (which includes membership on an EPA Federal advisory committee) and private interests and activities, or the appearance of a lack of impartiality, as defined by Federal regulation. The form may be viewed and downloaded from the following URL address http:// www.epa.gov/sab/pdf/epaform3110-48.pdf.

Dated September 28, 2010.

#### Mimi Dannel.

Acting Director, Office of Science Policy.
[FR Doc. 2010–24805 Filed 10–1–10; 8:45 am]
BILLING CODE 6560–50–P

# FEDERAL COMMUNICATIONS COMMISSION

[CC Docket No. 92-237; DA 10-1799]

### Next Meeting of the North American Numbering Council

**AGENCY:** Federal Communications Commission.

ACTION: Notice.

**SUMMARY:** The Commission released a public notice announcing the meeting and agenda of the North American Numbering Council (NANC). The intended effect of this action is to make the public aware of the NANC's next meeting and agenda.

**DATES:** Friday, October 22, 2010, 9:30 a.m.

ADDRESSES: Requests to make an oral statement or provide written comments to the NANC should be sent to Deborah Blue, Competition Policy Division, Wireline Competition Bureau, Federal Communications Commission, Portals II, 445 Twelfth Street, SW., Room 5—C162, Washington, DC 20554.

FOR FURTHER INFORMATION CONTACT: Deborah Blue, Special Assistant to the Designated Federal Officer (DFO) at (202) 418–1466 or Deborah.Blue@fcc.gov. The fax number is: (202) 418–1413. The TTY number is: (202) 418–0484.

SUPPLEMENTARY INFORMATION: This is a summary of the Commission's document in CC Docket No. 92-237, DA 10-1799 released September 23, 2010. The complete text in this document is available for public inspection and copying during normal business hours in the FCC Reference Information Center, Portals II, 445 12th Street, SW., Room CY-A257, Washington, DC 20554. The document may also be purchased from the Commission's duplicating contractor, Best Copy and Printing, Inc., 445 12th Street, SW., Room CY-B402, Washington, DC 20554, telephone (800) 378-3160 or (202) 863-2893, facsimile (202) 863–2898, or via the Internet at http://www.bcpiweb.com. It is available on the Commission's Web site at http:// www.fcc.gov.

The North American Numbering Council (NANC) has scheduled a meeting to be held Friday, October 22, 2010, from 9:30 a.m. until 5 p.m. The meeting will be held at the Federal Communications Commission, Portals II, 445 Twelfth Street, SW., Room TW-C305, Washington, DC. This meeting is open to members of the general public. The FCC will attempt to accommodate as many participants as possible. The public may submit written statements to the NANC, which must be received two business days before the meeting. In addition, oral statements at the meeting by parties or entities not represented on the NANC will be permitted to the extent time permits. Such statements will be limited to five minutes in length by any one party or entity, and requests to make an oral statement must be received two business days before the meeting. People with Disabilities: To request materials in accessible formats for people with disabilities (braille, large print, electronic files, audio format), send an e-mail to fcc504@fcc.gov or call the Consumer and Governmental Affairs Bureau at 202-418-0530 (voice), 202-418-0432 (ttv). Reasonable accommodations for people with disabilities are available upon request. Include a description of

the accommodation you will need, including as much detail as you can. Also include a way we can contact you if we need more information. Please allow at least five days advance notice; last minute requests will be accepted, but may be impossible to fill.

Proposed Agenda: Friday, October 22, 2010, 9:30 a.m.\*

- 1. Announcements and Recent News.
- 2. Approval of Transcript—Meeting of May 21, 2010.
- 3. Report from the North American Numbering Plan Billing and Collection (NANP B&C) Agent.
- 4. Report of the Billing and Collection Working Group (B&C WG).
- 5. Report of the North American Numbering Plan Administrator (NANPA).
- 6. Report of the National Thousands Block Pooling Administrator (PA).
- 7. Report of the Local Number Portability Administration (LNPA) Working Group.
- 8. Report of North American Portability Management LLC (NAPM
- 9. Report of the Telcordia Dispute Resolution Team: Telcordia Appeal.
- 10. Report of the Numbering Oversight Working Group.
- 11. Status of the Industry Numbering Committee (INC) activities.
- 12. Report of the Future of Numbering Working Group (FoN WG).
  - 13. Summary of Action Items.
- 14. Public Comments and Participation (5 minutes per speaker).

15. Other Business.

Adjourn no later than 5 p.m.

\*The Agenda may be modified at the discretion of the NANC Chairman with the approval of the DFO.

Federal Communications Commission. Marilvn Jones,

Attorney, Wireline Competition Bureau. [FR Doc. 2010-24850 Filed 10-1-10; 8:45 am]

BILLING CODE 6712-01-P

### FEDERAL TRADE COMMISSION

[File No. 101 0107]

In the Matter of The Coca-Cola Company; Analysis of Agreement **Containing Consent Order to Aid Public Comment** 

**AGENCY:** Federal Trade Commission. **ACTION:** Proposed Consent Agreement.

**SUMMARY:** The consent agreement in this matter settles alleged violations of federal law prohibiting unfair or deceptive acts or practices or unfair methods of competition. The attached Analysis of Agreement Containing

Consent Order to Aid Public Comment describes both the allegations in the draft complaint and the terms of the consent order - embodied in the consent agreement — that would settle these allegations.

**DATES:** Comments must be received on or before October 27, 2010.

**ADDRESSES:** Interested parties are invited to submit written comments electronically or in paper form. Comments should refer to "The Coca-Cola Company, File No. 101 0107" to facilitate the organization of comments. Please note that your comment – including your name and your state will be placed on the public record of this proceeding, including on the publicly accessible FTC website, at (http://www.ftc.gov/os/ publiccomments.shtm).

Because comments will be made public, they should not include any sensitive personal information, such as an individual's Social Security Number; date of birth; driver's license number or other state identification number, or foreign country equivalent; passport number; financial account number; or credit or debit card number. Comments also should not include any sensitive health information, such as medical records or other individually identifiable health information. In addition, comments should not include any "[t]rade secret or any commercial or financial information which is obtained from any person and which is privileged or confidential...," as provided in Section 6(f) of the FTC Act, 15 U.S.C. 46(f), and Commission Rule 4.10(a)(2), 16 CFR 4.10(a)(2). Comments containing material for which confidential treatment is requested must be filed in paper form, must be clearly labeled "Confidential," and must comply with FTC Rule 4.9(c), 16 CFR 4.9(c).1

Because paper mail addressed to the FTC is subject to delay due to heightened security screening, please consider submitting your comments in electronic form. Comments filed in electronic form should be submitted by using the following weblink: (https:// ftcpublic.commentworks.com/ftc/cocacola) and following the instructions on the web-based form. To ensure that the Commission considers an electronic comment, you must file it on the webbased form at the weblink: (https://

ftcpublic.commentworks.com/ftc/cocacola). If this Notice appears at (http:// www.regulations.gov/search/index.jsp), you may also file an electronic comment through that website. The Commission will consider all comments that regulations.gov forwards to it. You may also visit the FTC website at (http:// www.ftc.gov/) to read the Notice and the news release describing it.

A comment filed in paper form should include the "The Coca-Cola Company, File No. 101 0107" reference both in the text and on the envelope, and should be mailed or delivered to the following address: Federal Trade Commission, Office of the Secretary, Room H-135 (Annex D), 600 Pennsylvania Avenue, NW, Washington, DC 20580. The FTC is requesting that any comment filed in paper form be sent by courier or overnight service, if possible, because U.S. postal mail in the Washington area and at the Commission is subject to delay due to heightened

security precautions.

The Federal Trade Commission Act ("FTC Act") and other laws the Commission administers permit the collection of public comments to consider and use in this proceeding as appropriate. The Commission will consider all timely and responsive public comments that it receives, whether filed in paper or electronic form. Comments received will be available to the public on the FTC website, to the extent practicable, at (http://www.ftc.gov/os/publiccomments. shtm). As a matter of discretion, the Commission makes every effort to remove home contact information for individuals from the public comments it receives before placing those comments on the FTC website. More information, including routine uses permitted by the Privacy Act, may be found in the FTC's privacy policy, at (http://www.ftc.gov/ ftc/privacy.shtm).

FOR FURTHER INFORMATION CONTACT: [ill Frumin, (202-326-2758), Bureau of Competition, 600 Pennsylvania Avenue, NW, Washington, D.C. 20580.

**SUPPLEMENTARY INFORMATION:** Pursuant to section 6(f) of the Federal Trade Commission Act, 38 Stat. 721, 15 U.S.C. 46(f), and § 2.34 the Commission Rules of Practice, 16 CFR 2.34, notice is hereby given that the above-captioned consent agreement containing a consent order to cease and desist, having been filed with and accepted, subject to final approval, by the Commission, has been placed on the public record for a period of thirty (30) days. The following **Analysis of Agreement Containing** Consent Order to Aid Public Comment describes the terms of the consent

<sup>&</sup>lt;sup>1</sup> The comment must be accompanied by an explicit request for confidential treatment, including the factual and legal basis for the request, and must identify the specific portions of the comment to be withheld from the public record. The request will be granted or denied by the Commission's General Counsel, consistent with applicable law and the public interest. See FTC Rule 4.9(c), 16 CFR 4.9(c).

agreement, and the allegations in the complaint. An electronic copy of the full text of the consent agreement package can be obtained from the FTC Home Page (for September 27, 2010), on the World Wide Web, at (http://www.ftc.gov/os/actions.shtm). A paper copy can be obtained from the FTC Public Reference Room, Room 130-H, 600 Pennsylvania Avenue, NW, Washington, D.C. 20580, either in person or by calling (202) 326-2222.

Public comments are invited, and may be filed with the Commission in either paper or electronic form. All comments should be filed as prescribed in the **ADDRESSES** section above, and must be received on or before the date specified in the **DATES** section.

### Analysis of Agreement Containing Consent Order to Aid Public Comment

#### I. Introduction

The Federal Trade Commission ("Commission") has accepted, subject to final approval, an Agreement Containing Consent Order from Respondent The Coca-Cola Company ("TĈCC") to address concerns in connection with TCCC's acquisition of its largest bottler and the subsequent exclusive license from Dr Pepper Snapple Group, Inc. ("DPSG"), to bottle, distribute, and sell the Dr Pepper, Diet Dr Pepper, and Canada Dry carbonated soft drink brands of DPSG in certain territories. The Consent Agreement, among other things, requires that TCCC limit the persons within the company who have access to the commercially sensitive confidential information that DPSG may provide to TCCC to carry out the distribution functions contemplated by the license.

The DPSG-TCCC license agreement followed TCCC's announced proposed acquisition of the North American business of its largest bottler, Coca-Cola Enterprises Inc. ("CCE"). CCE is licensed by TCCC and DPSG to bottle and distribute many of their carbonated soft drink brands. Following the acquisition, TCCC, through its subsidiary Coca-Cola Refreshments U.S.A., Inc. ("CCR"), will take on the bottling and distribution functions previously performed in the United States by CCE.

The Complaint alleges that TCCC's access to DPSG's commercially sensitive confidential marketing and brand plans, without adequate safeguards to ensure that TCCC will not misuse the information, could lead to anticompetitive conduct that would make DPSG a less effective competitor and/or facilitate coordination in the industry. The proposed Consent Agreement remedies this concern by

limiting access to the DPSG commercially sensitive information to TCCC employees who perform traditional carbonated soft drink "bottler functions" formerly performed by CCE and not permitting access to TCCC employees involved in traditional "concentrate-related functions."

### II. Respondent The Coca-Cola Company

TCCC is a corporation organized, existing, and doing business under and by virtue of the laws of the State of Delaware, with its office and principal place of business located at 1 Coca-Cola Plaza, Atlanta, Georgia 30313. It is the world's largest soft drink company and makes or licenses more than 3,000 drinks under 500 brand names in 200 countries. In 2009, TCCC's worldwide revenues from the sale of all products were about \$31 billion.

# III. Licensor Dr Pepper Snapple Group, Inc.

DPSG is a corporation organized, existing, and doing business under and by virtue of the laws of the State of Delaware, with its office and principal place of business located at 5301 Legacy Drive, Plano, Texas 75024. Among other things, DPSG produces the concentrate for the DPSG carbonated soft drink brands that are distributed by its bottlers. Some of these brands are Dr Pepper, Diet Dr Pepper, Crush, Canada Dry, Schweppes, Vernor's, A&W Root Beer, 7-UP, RC Cola, Sunkist, and Squirt. In 2009, DPSG's net sales were about \$5.5 billion, and its United States net sales of carbonated soft drink concentrate were about \$1.1 billion. Dr Pepper Seven Up, Inc., will sign the license with TCCC.

### IV. The Bottler

### A. Coca-Cola Enterprises Inc.

CCE is a corporation organized, existing and doing business under and by virtue of the laws of the State of Delaware, with its principal place of business located at 2500 Windy Ridge Parkway Suite 700, Atlanta, Georgia 30039. It is the largest TCCC bottler in North America, spanning 46 states and the District of Columbia. In 2009, CCE's sales of carbonated soft drinks totaled about \$21 billion. CCE's North American business operations contributed 70% of this revenue. CCE accounts for about 75-80% of TCCC's North America bottler-distributed volume, and TCCC products represent over 90% of CCE's total volume.

#### V. The Transactions

### A. The Bottler Acquisition

On February 25, 2010, TCCC reached an agreement with CCE to acquire the North American assets of CCE for \$12.3 billion. At the time of the agreement, TCCC owned about 34% of CCE. Postacquisition, the North American operations of CCE will be subsumed within a new organization known as Coca-Cola Refreshments USA, Inc. ("CCR"). CCR's business will comprise CCE's current North American operations, and CCR also will have responsibility for the supply chain for still beverages and juices, fountain/ Freestyle, and national key customer management. Post-acquisition, Coca-Cola USA will manufacture and supply concentrate and engage in consumer brand marketing and innovation with respect to new drinks and brands.

### B. The DPSG-TCCC License Agreement

Following the agreement to acquire CCE, TCCC sought a license to continue to bottle and distribute the DPSG brands that CCE had distributed. (The DPSG license held by CCE was terminated by DPSG as a result of the proposed acquisition.) In the DPSG-CCR license agreement, TCCC agreed to bottle and distribute DPSG's Dr Pepper brand products and Canada Dry products in the former CCE territories, where CCE had been producing and distributing these products. TCCC to agreed to pay DPSG \$715 million for a non-exclusive license to produce and an exclusive, twenty-year<sup>2</sup> license to distribute and sell those brands.

Under the license agreement, CCR has agreed, among other things to, (a) distribute the Dr Pepper brand in all classes of trade based on certain TCCC brands; (b) grow the Dr Pepper brand based in some measure on certain sales criteria of other bottlers; and (c) advertise, promote, and market the Dr Pepper brand and provide sales support for such promotions, based in some measure on CCR's advertising, promotions, and marketing of certain TCCC brands.

### C. The DPSG-CCR Freestyle Agreement

TCCC also will give Dr Pepper access to TCCC's new proprietary "Freestyle" fountain dispensing equipment. The Freestyle machine has a footprint comparable to a traditional lever-based fountain dispenser, but it allows users to create more than 120 custom-flavored beverages. DPSG values the Freestyle

<sup>&</sup>lt;sup>2</sup> The license agreement is for an initial term of twenty (20) years, with automatic renewal for additional twenty (20) year periods, unless terminated pursuant to its terms.

Participation Agreement at approximately \$115 million.

### VI. The Proposed Complaint

The Commission's Complaint alleges that TCCC and DPSG are direct competitors in the highly concentrated and difficult to enter (a) branded concentrate and (b) branded direct-store-delivered carbonated soft drink markets. The concentrate market is national, and the branded soft drink markets are local. Total United States sales of concentrate is about \$9 billion, and total United States sales of carbonated soft drinks, measured at retail, is about \$70 billion.

To carry out the distribution activities currently undertaken by the bottler and contemplated under the license agreement, DPSG will need to provide commercially sensitive confidential information about its marketing plans to CCR, the newly created TCCC bottler subsidiary. DPSG currently provides this sort of information to CCE in order for it to perform its bottler or distribution functions. The Commission is concerned that TCCC's access to this information could enable it to use the information in ways that could impair DPSG's ability to compete and ultimately injure competition by weakening a competitor or facilitating coordination in the industry. The Complaint alleges that TCCC's access to DPSG's confidential information could eliminate competition between TCCC and DPSG, increase the likelihood that TCCC may unilaterally exercise market power, and facilitate coordinated interaction in the industry.

### VII. The Proposed Consent Order

Under the proposed Consent Order, to remedy the alleged competitive concern associated with access to the DPSG commercially sensitive confidential information, TCCC will be required to set up a "firewall" to ensure that persons at TCCC who may be in a position to use the DPSG commercially sensitive information in ways that may injure DPSG and/or facilitate coordination will not be allowed access to such information. Persons at TCCC who are assigned to perform traditional "bottler functions"- the kinds of functions that CCE have historically performed for DPSG – will be permitted access to the DPSG information. Persons responsible for "concentrate-related functions"- the kinds of functions that TCCC engaged in as a competitor of DPSG when both had their brands distributed by CCE - will not be permitted access to the DPSG information.

The proposed Consent Agreement provides for the appointment of a

monitor to assure TCCC's compliance with the Consent Order. The monitor will have a fiduciary responsibility to the Commission. The monitor will be appointed for a five (5) year term, but the Commission may extend or modify the term as appropriate.

The proposed Consent Agreement contains a prior notice provision for subsequent acquisitions by TCCC of its franchised bottlers that also are licensed to distribute DPSG products. Under the order, TCCC will be required to give the Commission forty-five (45) advance notice of a proposed acquisition that is not subject to the Hart-Scott-Rodino Act and provide the Commission with all management documents relating to the proposed acquisition. If the 45-day period expires without Commission action, TCCC will be permitted to consummate the proposed acquisition and use DPSG confidential information in the territories of the newly acquired bottler as specified in this order. The standard Hart-Scott-Rodino procedures and time periods would continue to apply for Hart-Scott-Rodino reportable transactions.

The order, like the DPSG-TCCC license agreement, will have a term of twenty (20) years.

### VIII. Opportunity for Public Comment

The Consent Agreement has been placed on the public record for thirty (30) days for receipt of comments from interested persons. Comments received during this period will become part of the public record. After thirty days, the Commission will again review the proposed Consent Agreement, as well as the comments received, and will decide whether it should withdraw from the Consent Agreement or make final the Decision and Order.

By accepting the Consent Agreement subject to final approval, the Commission anticipates that the competitive problem alleged in the Complaint will be resolved. The purpose of this analysis is to invite and facilitate public comment concerning the Consent Agreement. It is not intended to constitute an official interpretation of the proposed Consent Agreement, nor is it intended to modify the terms of the Decision and Order in any way.

By direction of the Commission, Commissioner Ramirez recused.

### Donald S. Clark,

Secretary.

[FR Doc. 2010–24838 Filed 10–1–10; 12:10 pm]
BILLING CODE 6750–01–S

# GOVERNMENT ACCOUNTABILITY OFFICE

### Financial Management and Assurance; Government Auditing Standards

Correction

In notice document 2010–23374 beginning on page 57274 in the issue of Monday, September 20, 2010 make the following corrections:

- 1. On page 57275, in the first column, under the **ADDRESSES** section, in the second line, "(GAO–1O–853G)" should read "(GAO–10–853G)".
- 2. On the same page, in the same column, under the ADDRESSES section, in the third and fourth lines, "http://www.gao.gov/govaud/vbkO1.htm." should read "http://www.gao.gov/govaud/ybkO1.htm.".
- 3. On the same page, in the same column, under the SUPPLEMENTARY INFORMATION section, in the seventh line, "yeJlowbookgao.gov" should read "yellowbook@gao.gov."

[FR Doc. C1–2010–23374 Filed 10–1–10; 8:45 am] BILLING CODE 1505–01–D

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

# Meeting of the Advisory Committee on Blood Safety and Availability

**AGENCY:** Office of the Assistant Secretary for Health, Office of the Secretary, Department of Health and Human Services.

**ACTION:** Notice.

SUMMARY: As stipulated by the Federal Advisory Committee Act, the U.S. Department of Health and Human Services is hereby giving notice that the Advisory Committee on Blood Safety and Availability (ACBSA) will hold a meeting. The meeting will be open to the public.

**DATES:** The meeting will take place Thursday, November 4, and Friday, November 5, 2010, from 8:30 a.m. to 5 p.m.

**ADDRESSES:** The Universities at Shady Grove, 9630 Gudelsky Drive, Rockville, Maryland 20850, Phone: 301–738–6000.

FOR FURTHER INFORMATION CONTACT: Jerry A. Holmberg, PhD, Executive Secretary, Advisory Committee on Blood Safety and Availability, Office of the Assistant Secretary for Health, Department of Health and Human Services, 1101 Wootton Parkway, Suite 250, Rockville, MD 20852, (240) 453–8803, FAX (240) 453–8456, e-mail ACBSA@hhs.gov.

**SUPPLEMENTARY INFORMATION:** The Advisory Committee on Blood Safety

and Availability provides advice to the Secretary and the Assistant Secretary for Health on a range of policy issues that includes (1) definition of public health parameters around safety and availability of the blood supply and blood products, (2) broad public health, ethical and legal issues related to transfusion and transplantation safety, and (3) the implications for safety and the availability of various economic factors affecting product cost and supply.

In keeping with its established mission, the ACBSA will be asked to review and comment on previous ACBSA recommendations including elements of a strategic plan for transfusion and transplantation safety. The review is intended to align the transfusion and transplantation safety initiatives to the Secretary's Strategic Initiatives and Key Inter-Agency Collaborations: (http://www.hhs.gov/secretary/about/secretarialstrategic

initiatives2010.pdf).

The Committee will also be asked to comment and make recommendations on prioritizing previous and outstanding recommendations in light of the Assistant Secretary for Health's mission statement: "Mobilizing Leadership in Science and Prevention for a Healthier Nation" and strategic priorities: Creating Better Systems of Prevention; Eliminating Health Disparities and Achieving Health Equity; and Making Healthy People Come Alive for all Americans.

The public will have opportunity to present their views to the Committee on both meeting days. A public comment session has been scheduled for November 5, 2010. Comments will be limited to five minutes per speaker and must be pertinent to the discussion. Preregistration is required for participation in the public comment session. Any member of the public who would like to participate in this session is encouraged to contact the Executive Secretary at his/her earliest convenience to register for time (limited to 5 minutes) and registration must be prior to close of business on November 3, 2010. It is requested that those who wish to have printed material distributed to the Committee provide thirty (30) copies of the document to the Executive Secretary, ACBSA, prior to close of business on November 3, 2010. If it is not possible to provide 30 copies of the material to be distributed, then individuals are requested to provide at a minimum one (1) copy of the document(s) to be distributed prior to the close of business on November 3, 2010. It also is requested that any member of the public who wishes to

provide comments to the Committee utilizing electronic data projection submit the necessary material to the Executive Secretary prior to close of business on November 3, 2010. Electronic comments must adhere to disability accessibility guidelines (Section 508 compliance).

Dated: September 28, 2010.

### Richard A. Henry,

Deputy Executive Secretary, Advisory Committee on Blood Safety and Availability. [FR Doc. 2010–24735 Filed 10–1–10; 8:45 am]

BILLING CODE 4150-41-P

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

Substance Abuse and Mental Health Services Administration

### Agency Information Collection Activities: Submission for OMB Review; Comment Request

Periodically, the Substance Abuse and Mental Health Services Administration (SAMHSA) will publish a summary of information collection requests under OMB review, in compliance with the Paperwork Reduction Act (44 U.S.C. Chapter 35). To request a copy of these documents, call the SAMHSA Reports Clearance Officer on (240) 276–1243.

### Proposed Project: Evaluation of Pregnant and Postpartum Women (PPW) Program

The Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Treatment (CSAT), is funding 11 fiscal year (FY) 2009 Services Grants for the Residential Treatment for Pregnant and Postpartum Women (PPW) Program. The purpose of the PPW Program is to provide cost-effective, comprehensive, residential treatment services for pregnant and postpartum women who suffer from alcohol and other drug use problems, and for their infants and children impacted by the perinatal and environmental effects of maternal substance use and abuse.

Section 508 [290bb-1] of the Public Health Service Act mandates the evaluation and dissemination of findings of residential treatment programs for pregnant and postpartum women. This cross-site accountability assessment will assess project activities implemented for these services.

ČSAT is requesting approval for a total of 8,404 burden hours for this new data collection. CSAT is requesting approval for a total of 23 instruments. Of these 23 instruments, 18 instruments are client-level tools and 5 instruments

are process-level tools. To examine the effectiveness and impact of the PPW program, the current design includes both client-level outcomes and process evaluation components. The purpose of the outcome evaluation component is to examine the extent to which grantees accomplish the five core goals specified by the PPW program request for applications (RFA). These goals include:

• Decrease the use and/or abuse of prescription drugs, alcohol, tobacco, illicit and other harmful drugs (e.g., inhalants) among pregnant and

postpartum women;

• Increase safe and healthy pregnancies; improve birth outcomes; and reduce related effects of maternal drug abuse on infants and children;

- Improve the mental and physical health of the women and children;
- Improve family functioning, economic stability, and quality of life; and
- Decrease involvement in and exposure to crime, violence, sexual and physical abuse, and child abuse and neglect.

In order to help interpret client-level outcomes, the process evaluation will explore what grantees are actually doing, how well they are doing it, any challenges encountered, and strategies grantees used to address them.

Data collection instruments will be used to collect outcome and process data for this cross-site accountability evaluation, program and treatment planning, and local evaluations. For clients, data will be collected from women at four time points (intake, 6months post-intake, discharge, and 6months post-discharge), consistent with the GPRA data collection schedule. The schedule for collecting child data is similar to the mothers, with the addition of a 3-month post-intake time point. The following interview instruments will be used for women, fathers/mother's partner, and children:

### **Women Focused Tools**

- BASIS–24® (psychological symptomology).
- Child Abuse Potential Inventory (overall risk for child physical abuse).

• Ferrans and Powers Quality of Life Index (quality of life measure).

- Family Support Scale (helpfulness of sources of support to parents raising a young child).
- Women's Discharge Tool (services received, length of stay, treatment goals achieved).
- Staff Completed Women's Items (pregnancy status, problems and outcomes).
- Items Administered to Women (children residing with mother in

treatment, tobacco use, physical abuse and sexual abuse in the past year).

### Father and Partner Focused Tools

• Ferrans and Powers Quality of Life Index (quality of life measure).

#### **Child Focused Tools**

- Brief Infant Toddler Social and Emotional Assessment (children 12–35 months; social and emotional assessment).
- Child Data Collection Tool (all children; descriptive biopsychosocial measure).
- Children's Discharge Tool (all children; services received, length of stay, treatment goals achieved, whether child lived in the facility).
- CRAFFT (children 11–17; adolescent substance use screen).
- Newborn's Medical Record Audit (childen birth-3 months; birth outcomes).
- Parenting Relationship Questionnaire (children 2–17 years; parent's relationship with child).
- Parenting Stress Index (children 1 month—12 years; parenting stress).
- Social Škills Improvement System (children 3–17 years; social skills).
- Trauma Symptom Checklist for Young Children (3–12 years; trauma symptoms).
- Staff Completed Child Items (children 0–17; prematurity, child's recent primary residence, whether child will reside in treatment with mother).
- Staff Completed Newborn Items (children 0–3 months; prematurity, length of stay in hospital, neonatal

intensive care unit (NICU), and treatment for neononatal abstinence syndrome).

Note that all child focused tools are records reviews or administered as maternal interviews with the exception of CRAFFT, which is administered to the children directly.

### **Process Evaluation Tools**

- Biannual Project Director Telephone Interview (interview with grantee project directors to clarify information reported in their biannual progress reports);
- Site Visit Protocol—Client Focus Group (focus groups with clients to gather information about their experience in the program);
- Site Visit Protocol—Clinical Director(s)/Supervisor(s) (interviews with both the director of clinical services for women and the director of clinical services for children to gather more specific information about clinical services);
- Site Visit Protocol—Counselor(s) (interviews with counselors to gather information related to daily treatment operations and their experience in providing services); and
- Site Visit Protocol—Program
  Director (interview with grantee
  program directors to gather information
  about overall PPW programmatic
  issues).

All data will be collected using a combination of observation, records review, questionnaires, and personal interviews. CSAT will use this data for accountability reporting, and program monitoring to inform public policy, research, and programming as they relate to the provision of women's services. Data produced by this study will provide direction to the type of technical assistance that will be required by service providers of women's programming. In addition, the data will be used by individual grantees to support progress report efforts.

The total annualized burden to respondents for all components of the PPW program is estimated to be 8,404 hours. Table A-1 presents a detailed breakdown of the annual burden for all data collection instruments for all respondents (i.e., mother, child, project staff, partner/father (family members), medical staff, project director, clinical director, counselor, program director). The number of respondents for all childfocused tools is weighted, based on the percentage of children within the appropriate age bracket in the prior PPW evaluation. With the exception of the CRAFFT, all child-focused tools are completed for the child by the mother or project staff. The burden estimates, also summarized in Table A-2, are based on the reported experience of the 2006 cohort, proprietary instrument developer estimates and experience, pre-testing of the additional items completed by staff and administered to women, and pre-testing of process evaluation measures. There are no direct costs to respondents other than their time to participate.

TABLE A-1—DETAILED ANNUAL BURDEN FOR ALL INTERVIEWS & SURVEYS

Interviews and surveys	Respondent	Number of respondents <sup>1</sup>	Responses per respondent	Total responses	Burden per resp. (hrs.)	Total burden (hrs.)
Child Focused Interviews:						
CRAFFT (11-17 yrs) 2	Child	70	5	350	0.08	28
Brief Infant Toddler Social and Emotional Assessment (12–35 mos) <sup>3</sup> .	Mother	141	5	705	0.17	120
Child Data Collection Tool (0–17 yrs) <sup>4</sup> .	Mother	440	2	880	0.75	660
Parenting Relationship Question- naire (2–17 yrs) <sup>5</sup> .	Mother	387	5	1,935	0.25	484
Parenting Stress Index (1 month–12 yrs) <sup>6</sup> .	Mother	418	10	4,180	0.5	2,090
Social Skills Improvement System (3–17 yrs) <sup>7</sup> .	Mother	326	5	1,630	0.42	685
Trauma Symptom Checklist for Young Children (3–12 yrs) 8.	Mother	290	5	1,450	0.33	479
Women Focused Interviews:						
BASIS-24®	Mother	440	4	1,760	0.25	440
Child Abuse Potential Inventory	Mother	440	4	1,760	0.33	581
Family Support Scale	Mother	440	4	1,760	0.17	299
Ferrans and Powers Quality of Life Index (Women).	Mother	440	4	1,760	0.17	299
Items Administered to Women Partners/Fathers Interview:	Mother	440	4	1,760	0.17	299

TABLE A-1—DETAILED ANNUAL BURDEN FOR ALL INTERVIEWS & SURVEYS—Continued

Interviews and surveys	Respondent	Number of respondents <sup>1</sup>	Responses per respondent	Total responses	Burden per resp. (hrs.)	Total burden (hrs.)
Ferrans and Powers Quality of Life Index (Partners). Staff Completed Items/Record Re- views at 11 Facilities:	Partner/Father	110	2	220	0.17	37
Children's Discharge Tool (0–17 vrs) 9.	Project Staff	11	80	880	0.58	510
Women's Discharge Tool Newborn's Medical Record Audit (0–3 mos) 10.	Project Staff Medical Staff	11 11	40 25	440 275	0.58 0.08	255 22
Staff Completed Newborn Items Staff Completed Child Items (0-	Medical Staff Project Staff	11 11	25 400	275 4,400	0.25 0.08	69 352
17 yrs) <sup>11</sup> .  Staff Completed Women's Items <sup>12</sup> .	Project Staff	11	160	1,760	0.17	299
Process Evaluation: Biannual Project Director Telephone Interview.	Project Director	11	2	22	1	22
Site Visit Protocol—Client Focus Group 13.	Mother	176	1	176	1.5	264
Site Visit Protocol—Clinical Director/Supervisor.	Clinical Director/ Supervisor.	22	1	22	2	44
Site Visit Protocol—Counselor(s) Site Visit Protocol—Program Director.	Counselor Program Director	33 11	1 1	33 11	1 3	33 33
Total		4,701		28,444		8,404

<sup>&</sup>lt;sup>1</sup> Data will be collected from women at four time points (intake, 6-months post-intake, discharge, and 6-months post-discharge), consistent with the GPRA data collection schedule. Figures in this table are based on 40 mothers per site with 2 children and 0.25 father/partner per mother. The schedule for collecting child data is similar to the mother's with the addition of a 3-months post-intake time point with selected tools for a total of five time points. All child focused tools are completed by the mother or project staff, with the exception of CRAFFT. For fathers and part-Placed on 44% of 880 minor children ages 12 are total total and discharge.

Based on 440 mothers having 2 minor children at intake and/or delivery.

<sup>9</sup> Based on 1 staff member at each of the 11 programs completing the tool for 80 children at discharge.

<sup>10</sup> Based on 31% of 880 minor children ages 0–3 months at intake or delivery.

<sup>11</sup> Based on 80 minor children per site ages 0 to 17 at intake, 3 months, 6 months, discharge, and 6-months post-discharge.

12 Based on 1 staff member at each of the 11 programs completing items for 40 women at intake, 6 months, discharge, and 6-months post-dis-

charge.

13 Based on 2 focus groups with 8 mothers at each site.

TABLE A-2—SUMMARY TOTAL ANNUAL RESPONDENT BURDEN

Respondent	Number of respondents	Responses per respondent	Total responses	Hours per response	Total hour burden
Mothers Partners/Fathers Children (11–17 yrs) Medical Staff Project Staff Project Director Clinical Director/Supervisor Counselor Program Director	440 110 70 11 11 11 22 33		19,756 220 350 550 7,480 22 22 33		6,700 37 28 91 1,416 22 44 33
Total	719		28,444		8,404

Note: Total number of respondents represents the number of each type of respondent that will be completing at least one tool across eleven sites over one year of data collection. The number of respondents

(719) reported on this table differs from Table A-1 total number of respondents (4,701) which reflects completion of all tools across eleven sites over one year of data collection.

Written comments and recommendations concerning the proposed information collection should be sent by November 3, 2010 to: SAMHSA Desk Officer, Human

<sup>&</sup>lt;sup>5</sup> Based on 440 mothers naving ∠ minor children at intake and/or delivery.

<sup>5</sup> Based on 44% of 880 minor children ages 2 to 17 at intake, 3 months, 6 months, discharge, and 6-months post-discharge.

<sup>6</sup> Based on 95% of 880 minor children ages 1 month to 12 years (n = 836). For simplicity, this calculation assumes that 95% of mothers have two children in this age group and complete the tool for each child at intake, 3 months, 6 months, discharge, and 6-months post-discharge.

<sup>7</sup> Based on 37% of 880 minor children ages 3 to 17 at intake, 3 months, 6 months, discharge, and 6-months post-discharge.

<sup>8</sup> Based on 33% of 880 minor children ages 3 to 12 at intake, 3 months, 6 months, discharge, and 6-months post-discharge.

<sup>9</sup> Based on 1 staff member at each of the 11 programs completing the tool for 80 children at discharge.

Resources and Housing Branch, Office of Management and Budget, New Executive Office Building, Room 10235, Washington, DC 20503; due to potential delays in OMB's receipt and processing of mail sent through the U.S. Postal Service, respondents are encouraged to submit comments by fax to: 202–395–7285.

Dated: September 28, 2010.

#### Elaine Parry,

Director, Office of Management, Technology and Operations.

[FR Doc. 2010–24847 Filed 10–1–10; 8:45 am]

BILLING CODE 4162–20–P

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Substance Abuse and Mental Health Services Administration

### Agency Information Collection Activities: Submission for OMB Review; Comment Request

Periodically, the Substance Abuse and Mental Health Services Administration (SAMHSA) will publish a summary of information collection requests under OMB review, in compliance with the Paperwork Reduction Act (44 U.S.C. Chapter 35). To request a copy of these documents, call the SAMHSA Reports Clearance Officer on (240) 276–1243.

### Project: Assessment of the Underage Drinking Prevention Education Initiatives State Videos Project—New

The Substance Abuse and Mental Health Services Administration's Center for Substance Abuse Prevention (CSAP) is requesting Office of Management and Budget (OMB) approval of three new data collection instruments—

- State Video Contacts Form.
- Video Viewers Form.
- Dissemination Update Online Form. This new information collection is for

the assessment of the 2010–2013 Underage Drinking Prevention Education Initiatives State Videos project. In 2007, four States participated in a pilot study to produce videos on the topic of underage drinking prevention. Based upon the success of those videos, 10 additional States and 1 Territory were provided funds to produce videos in 2009. Contingent on available funds, CSAP hopes to invite approximately 10 States/Territories per year to produce their own videos.

Over the next 4 years, CSAP will conduct a process and outcome assessment of this project. The process assessment will focus on the experiences associated with planning and producing the State videos. The

outcome assessment will examine the effectiveness of the State Videos project in meeting the core project objectives and will capture the State's dissemination efforts. The process and outcome assessments will encompass State videos that will be produced in 2010-2013 and those that were produced in 2007 and 2009. State contacts will be asked to update their dissemination information online if there have been changes in these figures during the previous 6 months, up through 2013. Additionally, data will be collected from viewers of the State videos using an online survey.

The information will be collected from the primary contact employee designated by the States that have agreed to participate in the production of a video for the State Videos project. The viewers' information will be collected from those who voluntarily decide to complete a short survey after seeing the video.

SAMHSA/CSAP intends to support annual videos on State underage drinking prevention videos. The information collected will be used by CSAP to help plan for these annual video productions and provide technical assistance to the participating States. The collected information will also provide a descriptive picture of the initiative, indicate how the videos have been received, and highlight some factors that may be associated with successful dissemination outcomes.

The information needs to be obtained using a combination of initial telephone interviews to collect process data, followed by online forms to collect outcome and dissemination data. A survey of viewers, collected online, will also be used to assess the effectiveness of the State videos in increasing awareness of the underage drinking prevention activities in these States. This information collection is being implemented under authority of Section 501(d)(4) of the Public Health Service Act (42 U.S.C. 290aa).

State staff members will be contacted once the video has been finalized. These State staff members will be asked to complete a short telephone interview that asks questions about the process of producing the State video. The State Video Contacts Form includes nine items about the State video, including:

- State's objectives for the video on underage drinking prevention.
  - Targeted audiences.
- Satisfaction with technical assistance (TA) received.
- Usefulness of preplanning materials.
- Helpfulness of TA during different phases of production.

- Recommendations for improving the process.
- Recommendations for improving the content of the video.
- Advice to other States interested in producing a video.

If the State has disseminated the video at the time of the initial telephone interview, then they will also be asked to complete the second part of the State Video Contacts Form, which collects information on dissemination outcomes. The State Video Contacts Form includes 19 items about the dissemination activities of the State's video, including:

- Time when they disseminated the video.
  - Methods of dissemination.
- Number of people who viewed the video.
- Number of DVDs and videotapes requested.
- Effectiveness of the dissemination methods.
- Factors that contributed to the effectiveness of dissemination.
  - Effect of TA received.
- Effect of the video in raising awareness about underage drinking prevention successes in the State.
- Effect of the video in raising awareness about underage drinking prevention challenges in the State.
- Effectiveness of the video in presenting State's/Territory's prevention activities.
  - Feedback received.
  - Unintended positive outcomes.
- Effect of TA in improving the capacity to provide effective prevention services.

After the State points of contact have completed the State Video Contacts Form online, they will be requested to update dissemination activities online if there have been any changes during the past 6 months. This form includes seven items, including:

- If there have been changes in dissemination during the past 6 months.
- Most recent dissemination numbers by method.
  - Facilitation factors.
  - Additional feedback.
- Additional unintended positive outcomes.

Data will also be collected from viewers of the State videos. Each State video will include instructions to viewers on how to access the Video Viewers Form. The instructions may be a unique URL, or they may consist of instructions on each State's Web site on underage drinking prevention. This information will allow the CSAP to provide feedback to the States on their video and to measure the effectiveness of their video. The Video Viewers Form includes 24 items about the video, including:

- When and where they viewed the video.
- Whom they recommended to view the video.
- What they learned from watching the video.
- What actions they may take because of the video.
- Whether they plan to change behaviors and knowledge about their State's activities.

The process assessment of the State videos will be conducted using telephone interviews with the State points of contact. This interview should take 10 minutes (0.167 hours). The

outcome assessment of the State videos will be collected using an online form that will be completed by no more than 26 respondents and will require only 1 response per respondent. It will take an average of 10 minutes (0.167 hours) to review the instructions, complete the form, and submit it electronically.

Dissemination updates will be requested from each State point of contact every 6 months if there have been changes during that time period. These updates will be submitted electronically, and it should take approximately 5 minutes (0.083 hours) to review the instructions, complete the

short form, and submit it electronically. The burden estimate is based on comments from several potential respondents who completed the online form, submitted it, and provided feedback on how long it would take them to complete it. The respondents will be employees of the State.

A short survey will also be used to collect data from viewers of the State videos. An estimated 1,000 viewers will voluntarily choose to complete this online survey, which will take 10 minutes (0.167 hours) to review, complete, and submit. The viewers are expected to represent the general public.

Form name	Number of respondents	Responses per respondent	Burden per response (hrs.)	Total burden
Process Interview Dissemination Outcome Dissemination Updates Viewers Survey	26 26 26 1,000	1 1 1 1	0.167 0.167 0.083 0.167	4.34 4.34 2.16 167
Total	1,078			177.84

Written comments and recommendations concerning the proposed information collection should be sent by November 3, 2010 to: SAMHSA Desk Officer, Human Resources and Housing Branch, Office of Management and Budget, New Executive Office Building, Room 10235, Washington, DC 20503; due to potential delays in OMB's receipt and processing of mail sent through the U.S. Postal Service, respondents are encouraged to submit comments by fax to: 202–395–5806.

Dated: September 28, 2010.

#### **Elaine Parry**

Director, Office of Management Technology and Operations.

[FR Doc. 2010–24849 Filed 10–1–10; 8:45 am] BILLING CODE 4162–20–P

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

### **Food and Drug Administration**

[Docket No. FDA-2004-N-0451] (formerly Docket No. 2004N-0226)

Food and Drug Administration Modernization Act of 1997: Modifications to the List of Recognized Standards, Recognition List Number: 025

**AGENCY:** Food and Drug Administration, HHS.

ACTION: Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing a publication containing modifications the Agency is making to the list of standards FDA recognizes for use in premarket reviews (FDA recognized consensus standards). This publication, entitled "Modifications to the List of Recognized Standards, Recognition List Number: 025" (Recognition List Number: 025), will assist manufacturers who elect to declare conformity with consensus standards to meet certain requirements for medical devices. DATES: Submit either electronic or written comments concerning this document at any time. See section VII of this document for the effective date of the recognition of standards

announced in this document. **ADDRESSES:** Submit written requests for single copies of "Modifications to the List of Recognized Standards, Recognition List Number: 025" to the Division of Small Manufacturers, International, and Consumer Assistance, Center for Devices and Radiological Health, Food and Drug Administration, 10903 New Hampshire Ave., Bldg. 66, Silver Spring, MD 20993-0002. Send two self-addressed adhesive labels to assist that office in processing your requests, or fax your request to 301-847-8149. Submit written comments concerning this document, or recommendations for additional standards for recognition, to the contact person (see FOR FURTHER INFORMATION **CONTACT**). Submit electronic comments by email: standards@cdrh.fda.gov. This

document may also be accessed on FDA's Internet site at http://
www.fda.gov/MedicalDevices/
DeviceRegulationandGuidance/
Standards/ucm123792.htm. See section
VI of this document for electronic access to the searchable database for the current list of FDA recognized consensus standards, including Recognition List Number: 025
modifications and other standards related information.

#### FOR FURTHER INFORMATION CONTACT:

Carol L. Herman, Center for Devices and Radiological Health, Food and Drug Administration, 10903 New Hampshire Ave., Bldg. 66, rm. 3632, Silver Spring, MD 20993–0002, 301–796–6574.

### SUPPLEMENTARY INFORMATION:

### I. Background

Section 204 of the Food and Drug Administration Modernization Act of 1997 (FDAMA) (Public Law 105–115) amended section 514 of the Federal Food, Drug, and Cosmetic Act (the act) (21 U.S.C. 360d). Amended section 514 allows FDA to recognize consensus standards developed by international and national organizations for use in satisfying portions of device premarket review submissions or other requirements.

In a notice published in the **Federal Register** of February 25, 1998 (63 FR 9561), FDA announced the availability of a guidance entitled "Recognition and Use of Consensus Standards." The notice described how FDA would implement its standard recognition

program and provided the initial list of recognized standards. Modifications to the initial list of recognized standards, as published in the **Federal Register**, are identified in table 1 of this document.

TABLE 1.—PREVIOUS PUBLICATIONS OF STANDARD RECOGNITION LISTS

February 25, 1998	November 8, 2005
(63 FR 9561)	(70 FR 67713)
October 16, 1998	March 31, 2006 (71
(63 FR 55617)	FR 16313)
July 12, 1999 (64	June 23, 2006 (71
FR 37546)	FR 36121)
November 15, 2000	November 3, 2006
(65 FR 69022)	(71 FR 64718)
May 7, 2001 (66 FR 23032)	May 21, 2007 (72 FR 28500)
January 14, 2002	September 12, 2007
(67 FR 1774)	(72 FR 52142)
October 2, 2002 (67	December 19, 2007
FR 61893)	(72 FR 71924)
April 28, 2003 (68	September 9, 2008
FR 22391)	(73 FR 52358)

TABLE 1.—PREVIOUS PUBLICATIONS OF STANDARD RECOGNITION LISTS—Continued

March 8, 2004 (69	March, 18, 2009 (74
FR 10712)	FR 11586)
June 18, 2004 (69	September 8, 2009
FR 34176)	(74 FR 46203)
October 4, 2004 (69 FR 59240)	May 5, 2010 (75 FR 24711)
May 27, 2005 (70	June 10, 2010 (75
FR 30756)	FR 32943)

These notices describe the addition, withdrawal, and revision of certain standards recognized by FDA. The Agency maintains "hypertext markup language (HTML)" and "portable document format (PDF)" versions of the list of "FDA Recognized Consensus Standards." Both versions are publicly accessible at the Agency's Internet site. See section VI of this document for electronic access information. Interested persons should review the supplementary information sheet for the standard to understand fully the extent to which FDA recognizes the standard.

### II. Modifications to the List of Recognized Standards, Recognition List Number: 025

FDA is announcing the addition, withdrawal, correction, and revision of certain consensus standards the Agency will recognize for use in satisfying premarket reviews and other requirements for devices. FDA will incorporate these modifications in the list of FDA Recognized Consensus Standards in the Agency's searchable database. FDA will use the term "Recognition List Number: 025" to identify these current modifications.

In table 2 of this document, FDA describes the following modifications: (1) The withdrawal of standards and their replacement by others; (2) the correction of errors made by FDA in listing previously recognized standards; and (3) the changes to the supplementary information sheets of recognized standards that describe revisions to the applicability of the standards.

In section III of this document, FDA lists modifications the Agency is making that involve the initial addition of standards not previously recognized by FDA.

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS

Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
A. Anesthesia	1		
1–61	1–82	IEC 60601–2–13 Edition 3.1 2009–08 Medical electrical equipment—Part 2–13: Particular requirements for the safety and essential performance of anaesthetic systems	Withdrawn and replaced with newer version
B. Biocompatibilit	ty		
2–96		ASTM F 1903–98 (Reapproved 2003) Standard Practice for Testing For Biological Responses to Particles in vitro	Type of standard and Contact person
2–98	2–156	ANSI/AAMI/ISO 10993–1:2009 Biological evaluation of medical devices— Part 1: Evaluation and testing within a risk management process	Withdrawn and replaced with newer version
2–100		ASTM E1372–95 (2003) Standard Test Method for Conducting a 90-Day Oral Toxicity Study in Rats	Withdrawn
2–115		ASTM F 895—84 (Reapproved 2006) Standard Test Method for Agar Diffusion Cell Culture Screening for Cytotoxicity	Title, Type of standard , Relevant guidance and Contact person
2–117		ANSI/AAMI/ISO 10993–3:2003/(R)2009 Biological evaluation of medical devices - Part 3: Tests for genotoxicity, carcinogenicity, and reproductive toxicity	Reaffirmation, CDRH Office(s) and Division(s) associated with recognized standard and Contact person
2–118		ANSI/AAMI/ISO 10993–11:2006 Biological evaluation of medical devices— Part 11: Tests for systemic toxicity	Contact person
2–119		ASTM F 813–07 Standard Practice for Direct Contact Cell Culture Evaluation of Materials for Medical Devices	Title, Type of standard and Contact person
2–135		ANSI/AAMI/ISO 10993–12:2007 Biological evaluation of medical devices— Part 12: Sample preparation and reference materials	Title and Contact person

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

2-147 2-157 USP 33-MF 28 2010 Biological Tests <87- Biological Reactivity Test, In Withdrawn and replaced with never version Vitro—Direct Contact Test 2-148 2-158 USP 33-MF 28 2010 Biological Tests <88- Biological Reactivity Test, In Withdrawn and replaced with never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Test, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never version USP 33-MF 2010 Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never Version Test Control of Biological Tests <88- Biological Reactivity Tests, In Withdrawn and replaced with Never Version ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Penal Reaffirmation Debatical Research Systems ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Penal Reversion Debatical Research Systems ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Dental Materials — Reaffirmation Debatical Reversion Penal Reversion Penal Reversion Debatical Reversion Penal Reversion Penal Reversion Debatical Reversion Material Systems ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Dentar Reversion Reaffirmation ANSI/ADA Specification No. 12 2002 (Reaffirmed 2009) Methods for Coupler Calibration of Earphical Coupler Calibration of Earp	Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
2-149 2-159 USP 33-NF28 2010 Biological Tests -88> Biological Reactivity Tests, In mover version Vivo, Procedure—Preparation of Sample 2-150 2-160 USP 33-NF28 2010 Biological Tests -88> Biological Reactivity Tests, In mover version vivo, Classification of Plastice—Intracutaneous Test Withdrawn and replaced with newer version Vivo, Classification of Plastice—Systemic Injection Test Withdrawn and replaced with newer version Vivo, Classification of Plastice—Systemic Injection Test Withdrawn and replaced with Newer version of Plastice—Systemic Injection Test Withdrawn and replaced with Newer version of Plastice—Systemic Injection Test Withdrawn and replaced with newer version of Plastice—Systemic Injection Test Withdrawn and replaced with newer version of Plastice—Systemic Injection Test Withdrawn and replaced with newer version of Plastice—Systemic	2–147	2–157		
Vivo, Procedure—Preparation of Sample   newer version	2–148	2–158		
Vivo, Classification of Plastics—Intracutaneous Test   newer version	2–149	2–159	USP 33-NF28 2010 Biological Tests <88> Biological Reactivity Tests, In Vivo, Procedure—Preparation of Sample	•
C. Cardiology  3-74 3-79 ASTM F 2079—09 Standard Test Method for Measuring Intrinsic Elastic Recoil of Balloon-Expandable Stents Parameter Paramet	2–150	2–160		
3-79 ASTM F 2079—09 Standard Test Method for Measuring Intrinsic Elastic Recoil of Balloon-Expandable Stents 3-76 ANSI/AAMI SP10:2002/(R)2008 & ANSI/AAMI SP10:2002/A1:2003/(R)2008 & ANSI/AAMI SP10:2002/A2:2006/(R)2008 Manual, electronic or automated sphygmomanometers  D. Dental/ENT 4-86 ANSI/AAMI SP10:2002/A2:2006/(R)2008 Manual, electronic or automated sphygmomanometers  ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Dental Restorative Systems  4-91 ANSI/ADA Specification No. 80 2001 (Reaffirmed 2007) Dental Materials—Determination of Color Stability Test Procedure 4-107 4-188 ISO 9917–2 Second edition 2010–04–15 Dentistry—Water-based cements—Part 2: Resin-modified cements  4-117 ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Polymers  4-119 ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Revers-libel/Irreversible Hydrocolloid Impression Material Systems  4-139 ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009 (Reaffirmed 2009)—Dental Revers-libel/Irreversible Hydrocolloid Impression Material Systems  4-160 ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009 (Reaffirmed 2009) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4-164 ANSI/ASA S3.1–1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4-164 ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-167 ANSI/ASA S3.21–2004 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-169 ANSI/ASA S3.21–2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4-169 ANSI/ASA S3.35–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5-31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08.01/12002; Amendment 2: 02.15/12004	2–151	2–161		•
Recoil of Balloon-Expandable Stents  ANSI/AAMI SP10:2002/(R):2008 ANSI/AAMI SP10:2002/A1:2003/(R):2008 & ANSI/AAMI SP10:2002/A2:2006/(R):2008 Manual, electronic or automated sphygmomanometers  D. Dental/ENT  4-86  ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Dental Restorative Systems  4-91  ANSI/ADA Specification No. 80 2001 (Reaffirmed 2007) Dental Materials—Determination of Color Stability Test Procedure  4-107  4-188  ISO 9917-2 Second edition 2010-04-15 Dentistry—Water-based cements—Part 2: Resin-modified cements  4-117  ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Polymers  4-119  ANSI/ADA Specification No. 12 2002 (Reaffirmed 2009)—Dental Revers-libe/Irreversible Hydrocolloid Impression Material Systems  4-139  ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Revers-libe/Irreversible Hydrocolloid Impression Material Systems  4-139  ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009 ANSI/ASA S3.1-1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4-160  ANSI/ASA S3.1-1999 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4-166  ANSI/ASA S3.20-1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-167  ANSI/ASA S3.21-2004 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-169  4-190  ANSI/ASA S3.2-1-2004 (Reaffirmed 2003) Methods for Manual Pure-Tone Threshold Audiometry  4-169  ANSI/ASA S3.2-1-2004 (Reaffirmed 2003) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5-31  ISO 15223-2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2044  5-32  CEN EN 980:1996-A1:1999+A2:2001 Graphical Symbols for Use in the La-	C. Cardiology			
B. ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Dental Restrirmation  ANSI/ADA Specification No. 38 2001 (Reaffirmed 2007) Dental Materials—Determination of Color Stability Test Procedure  4-91 ANSI/ADA Specification No. 80 2001 (Reaffirmed 2007) Dental Materials—Determination of Color Stability Test Procedure  4-107 4-188 ISO 9917-2 Second edition 2010-04-15 Dentistry—Water-based cements—Part 2: Resin-modified cements  4-117 ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Reaffirmation  4-119 ANSI/ADA Specification No. 82 1998 (Reaffirmed 2007) Denture Base Polymers  4-119 ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Reversible Hydrocolloid Impression Material Systems  4-139 ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009  4-160 ANSI/ASA S3.1-1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4-164 ANSI/ASA S3.20-1995 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4-166 ANSI/ASA S3.20-1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-167 ANSI/ASA S3.20-1995 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4-169 A-190 ANSI/ASA S3.35-2010 (Revision of ANSI S3.35-2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5-31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5-32 CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La- Withdrawn	3–74	3–79		
ANSI/ADA Specification No. 38 2000 (Reaffirmed 2010) Metal-Ceramic Dental Restorative Systems  ANSI/ADA Specification No. 80 2001 (Reaffirmed 2007) Dental Materials— Reaffirmation Determination of Color Stability Test Procedure  Withdrawn and replaced with newer version  A-107 4-188 ISO 9917-2 Second edition 2010-04-15 Dentistry—Water-based cements—Part 2: Resin-modified cements  ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Polymers  ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007)—Dental Revers-ible/Irreversible Hydrocolloid Impression Material Systems  ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Revers-ible/Irreversible Hydrocolloid Impression Material Systems  ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed Reaffirmation  ANSI/ASA S3.1-1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  ANSI/ASA S3.7-1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  ANSI/ASA S3.20-1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4-166 ANSI/ASA S3.21-2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  ANSI/ASA S3.35-2010 (Revision of ANSI S3.35-2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5-31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment belowed to the Labels (S01/2002); Amendment 2: 2015/is/2004  CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the Label Withdrawn	3–75		& ANSI/AAMI SP10:2002/A2:2006/(R)2008 Manual, electronic or auto-	Title and Extent of recognition
ANSI/ADA Specification No. 80 2001 (Reaffirmed 2007) Dental Materials—Determination of Color Stability Test Procedure  4–107 4–188 ISO 9917–2 Second edition 2010–04–15 Dentistry—Water-based cements—Part 2: Resin-modified cements  4–117 ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Polymers  4–119 ANSI/ADA Specification No. 12 2002 (Reaffirmed 2009)—Dental Reversible/Irreversible Hydrocolloid Impression Material Systems  4–119 ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Reversible/Irreversible Hydrocolloid Impression Material Systems  4–139 ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009  4–160 ANSI/ASA S3.1–1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4–164 ANSI/ASA S3.7–1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4–166 ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–167 ANSI/ASA S3.21–2004 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–169 4–190 ANSI/ASA S3.5–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5–31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004	D. Dental/ENT			
Determination of Color Stability Test Procedure  4–107	4–86			Reaffirmation
ments—Part 2: Resin-modified cements  ANSI/ADA Specification No. 12 2002 (Reaffirmed 2007) Denture Base Polymers  ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Reversible/Irreversible Hydrocolloid Impression Material Systems  ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Reversible/Irreversible Hydrocolloid Impression Material Systems  ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009  ANSI/ASA S3.1—1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  ANSI/ASA S3.7—1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  ANSI/ASA S3.20—1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  ANSI/ASA S3.21—2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  ANSI/ASA S3.35—2010 (Revision of ANSI S3.35—2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–91			Reaffirmation
4–119 ANSI/ADA Specification No. 82 1998 (Reaffirmed 2009)—Dental Reversible Hydrocolloid Impression Material Systems  4–139 ANSI/ADA Specification No. 48-Visible Light Curing Units: 2004, Reaffirmed 2009  4–160 ANSI/ASA S3.1–1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4–164 ANSI/ASA S3.7–1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4–166 ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–167 ANSI/ASA S3.21–2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4–169 4–190 ANSI/ASA S3.35–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5–31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5–32 CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–107	4–188		•
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4–160 ANSI/ASA S3.1–1999 (Reaffirmed 2003) (Reaffirmed 2008) Maximum Permissible Ambient Noise Levels for Audiometric Test Rooms  4–164 ANSI/ASA S3.7–1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4–166 ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–167 ANSI/ASA S3.21–2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4–169 A-190 ANSI/ASA S3.35–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5–31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5–32 CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–119			Reaffirmation
missible Ambient Noise Levels for Audiometric Test Rooms  4–164  ANSI/ASA S3.7–1997 (Reaffirmed 2003) (Reaffirmed 2008) Methods for Coupler Calibration of Earphones  4–166  ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–167  ANSI/ASA S3.21–2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4–169  4–190  ANSI/ASA S3.35–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5–31  ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5–32  CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–139		,	Reaffirmation
Coupler Calibration of Earphones  ANSI/ASA S3.20–1995 (Reaffirmed 2003) (Reaffirmed 2008) Bioacoustical Terminology  4–167  ANSI/ASA S3.21–2004 (Reaffirmed 2009) Methods for Manual Pure-Tone Threshold Audiometry  4–169  4–190  ANSI/ASA S3.35–2010 (Revision of ANSI S3.35–2004) Method of Measurement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General  5–31  ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5–32  CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–160			Reaffirmation
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urement of Performance Characteristics of Hearing Aids Under Simulated Real-Ear Working Conditions  E. General    SO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004    SO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004	4–167			Reaffirmation
5–31 ISO 15223:2000 Medical device symbols to be used with medical device labels, labeling and information to be supplied—First Edition: Amendment 1: 08/01/2002; Amendment 2: 02/15/2004  5–32 CEN EN 980:1996+A1:1999+A2:2001 Graphical Symbols for Use in the La-Withdrawn	4–169	4–190	urement of Performance Characteristics of Hearing Aids Under Simulated	•
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	5–31		bels, labeling and information to be supplied—First Edition: Amendment	Withdrawn
	5–32		, ,	Withdrawn

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
5–38	5–62	ANSI/ASQ Z1.4–2008 Sampling Procedures and Tables for Inspection by Attributes	Withdrawn and replaced with newer version
F. General Hospi	tal/General Plastic	: Surgery	
6–62	6–239	ISO 8536–6 Second edition 2009–11–15 Infusion equipment for medical use—Part 6: Freeze drying closures for infusion bottles	Withdrawn and replaced with newer version
6–64	6–240	ISO 8536–3 Third edition 2009–06–01 Infusion equipment for medical use— Part 3: Aluminum caps for infusion bottles	Withdrawn and replaced with newer version
6–70		ASTM E825–98 (Reapproved 2009) Standard Specification for Phase Change-Type Disposable Fever Thermometer for Intermittent Determina- tion of Human Temperature	Reaffirmation
6–110		ASTM F 1441–03 (Reapproved 2009) Standard Specification for Soft-Tissue Expander Devices	Reaffirmation
6–112		ANSI/AAMI PB70:2003/(R)2009 Liquid barrier performance and classification of protective apparel and drapes intended for use in health care facilities	Reaffirmation
6–123		ASTM E667–98 (Reapproved 2009) Standard Specification for Mercury-in- Glass, Maximum Self-Registering Clinical Thermometers	Reaffirmation
6–124		ASTM E1104–98 (Reapproved 2009) Standard Specification for Clinical Thermometer Probe Covers and Sheaths	Reaffirmation
6–125		ASTM E1965–98 (Reapproved 2009) Standard Specification for Infrared Thermometers for Intermittent Determination of Patient Temperature	Reaffirmation
6–127	6–241	ISO 1135–4 Forth edition 2010–04–15 Transfusion equipment for medical use—Part 4: Transfusion sets for single use	Withdrawn and replaced with newer version
6–173	6–242	ISO 8536–2 Third edition 2010–03–15 Infusion equipment for medical use—Part 2: Closures for infusion bottles	Withdrawn and replaced with newer version
G. IVD	1		
7–49	7–210	CLSI H26–A2 Validation, Verification, and Quality Assurance of Automated Hematology Analyzers; Approved Standard-Second Edition	Withdrawn and replaced with newer version
7–82	7–211	CLSI C34–A3 Sweat Testing: Sample Collection and Quantitative Chloride Analysis; Approved Guideline-Third Edition	Withdrawn and replaced with newer version
7–96	7–212	CLSI EP18–A2 Risk Management Techniques to Identify and Control Laboratory Error Sources; Approved Guideline-Second Edition	Withdrawn and replaced with newer version
7–100		ISO 15197 First edition 2003–05–01 In vitro diagnostic test systems—Requirements for blood-glucose monitoring systems for self testing in managing diabetes mellitus	Title
7–141	7–213	CLSI H18–A4 Procedures for the Handling and Processing of Blood Specimens for Common Laboratory Tests; Approved Guideline-Fourth Edition	Withdrawn and replaced with newer version
7–181	7–214	CLSI M35–A2 Abbreviated Identification of Bacteria and Yeast; Approved Guideline-Second Edition	Withdrawn, see 7-197
7–186	7–215	CLSI M44–A2 Method for Antifungal Disk Diffusion Susceptibility Testing of Yeasts; Approved Guideline-Second Edition	Withdrawn and Replaced with newer version
7–199	7–216	CLSI M100–S20 Performance Standards for Antimicrobial Susceptibility Testing; Twentieth Informational Supplement	Withdrawn and Replaced with newer version
7–208	7–217	CLSI M44–S3, Zone Diameter Interpretive Standards, Corresponding Minimal Inhibitory Concentration (MIC) Interpretive Breakpoints, and Quality Control Limits for Antifungal Disk Diffusion Susceptibility Testing of Yeasts; Third Informational Supplement	Withdrawn and replaced with newer version

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
8–66	8–191	ISO 6474–1 First edition Implants for surgery—Ceramic materials—Part 1: Ceramic materials based on high purity alumina	Withdrawn and replaced with newer version
8–71	8–192	ASTM F2182—09 Standard Test Method for Measurement of Radio Frequency Induced Heating On or Near Passive Implants During Magnetic Resonance Imaging	Withdraw and replaced with newer version
8–85	8–193	ASTM F 1854—09 Standard Test Method for Stereological Evaluation of Porous Coatings on Medical Implants	Withdraw and replaced with newer version
8–88		ASTM F2024–00 Standard Practice for X-ray Diffraction Determination of Phase Content of Plasma-Sprayed Hydroxyapatite Coatings	Type of standard and Contact person
8–130		ASTM F 620—06 Standard Specification for Alpha Plus Beta Titanium Alloy Forgings for Surgical Implants	Type of standard
8–131		ASTM F 799—06 Standard Specification for Cobalt-28Chromium-6Molybdenum Alloy Forgings for Surgical Implants (UNS R31537, R31538, R31539)	Type of standard
8–137		ASTM F 75—07, Standard Specification for Cobalt-28 Chromium-6 Molybdenum Alloy Castings and Casting Alloy for Surgical Implants (UNS R30075)	Type of standard
8–138		ASTM F 745—07 Standard Specification for 18Chromium-12.5Nickel- 2.5Molybdenum Stainless Steel for Cast and Solution-Annealed Surgical Implant Applications	Type of standard
8–156		ASTM F 139—08 Standard Specification for Wrought 18Chromium- 14Nickel-2.5Molybdenum Stainless Steel Sheet and Strip for Surgical Im- plants (UNS S31673)	Type of standard
8–183		ASTM F 560—08 Standard Specification for Unalloyed Tantalum for Surgical Implant Applications (UNS R05200, UNS R05400)	Type of standard
I. Neurology			
17–2		ASTM F1542–94 (2000) Standard Specification for the Requirements and Disclosure of Self-Closing Aneurysm Clips	Withdrawn
17–6	17–9	ASTM F 2129–08 Standard Test Method for Conducting Cyclic Potentiodynamic Polarization Measurements to Determine the Corrosion Susceptibility of Small Implant Devices	Withdrawn and replaced with newer version
J. OB-GYN/Gast	roenterology		
9–23		ASTM F1518–00 Standard Practice for Cleaning and Disinfection of Flexible Fiberoptic and Video Endoscopes Used in the Examination of the Hollow Viscera	Withdrawn
K. Ophthalmic			
10–12	10–59	ISO 11980 Second edition 2009–10–15 Ophthalmic optics—Contact lenses and contact lens care products—Guidance for clinical investigations	Withdrawn and replaced with newer version
10–30		ANSI Z80.7 (2002) Ophthalmics—Intraocular Lenses	Withdrawn
10–34		ANSI Z80.20 (2004) Ophthalmics—Contact lenses- Standard Terminology, Tolerances, Measurements and Physicochemical Properties	Withdrawn
10-44	10–60	ISO 11981 Second edition 2009–07–01 Ophthalmic optics—Contact lenses and contact lens care products- Determination of physical compatibility of contact lens care products with contact lenses	Withdrawn and replaced with newer version
L. Orthopedics			
11–171		ASTM F 1814—97a (Reapproved 2009) Standard Guide for Evaluating Modular Hip and Knee Joint Components	Reaffirmation
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TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

Implants ASTM F 366—04 (Reapproved 2009) Standard Specification for Fixation Pins and Wires  11–181 11–221 ASTM F 1717—09 Standard Test Methods for Spinal Implant Constructs in a Vertebrectomy Model  11–188 11–222 ISO 14243–1 Second edition 2009–11–15 Implants for surgery—Wear of total knoe-joint prostheese—Part 1: Loading and displacement parameters for wear-testing machines with load control and corresponding environmental conditions for test  11–189 11–223 ISO 14243–2 Second edition 2009–11–15 Implants for surgery—Wear of total knee-joint prostheese—Part 2: Methods of measurement  11–197 ASTM F 983—86 (Reapproved 2009) Standard Practice for Permanent Marking of Orthopaedic Implant Component  11–199 ASTM F 983—86 (Reapproved 2009) * Standard Practice for Permanent Marking of Orthopaedic Implant Component  11–203 ASTM F 564—02 (Reapproved 2009) * Standard Practice for Care and Handling of Orthopaedic Implant Component Astm Component Componen	Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
Pins and Wires   Pins and Wires   ASTM F 1717-09 Standard Test Methods for Spinal Implant Constructs in a Vertebrectomy Model   Standard Test Methods for Spinal Implant Constructs in a Vertebrectomy Model   Standard Test Methods for Spinal Implant Constructs in a Vertebrectomy Model   Standard Test Methods for Spinal Implant Constructs in a Vertebrectomy Model   Standard Test Standard Test Standard Test Standard Individual	11–179	11–220		Withdrawn and replaced with newer version
11–188 11–222 ISO 14243–1 Second edition 2009–11–15 Implants for surgery—Wear of total knee-joint prostheses—Part 1: Loading and displacement parameters for wear-testing machines with load control and corresponding environmental conditions for test  11–189 11–223 ISO 14243–2 Second edition 2009–11–15 Implants for surgery—Wear of newer version total knee-joint prostheses—Part 2: Methods of measurement of newer version and total knee-joint prostheses—Part 2: Methods of measurement of newer version newer version of total knee-joint prostheses—Part 2: Methods of measurement of newer version newer version of total knee-joint prostheses—Part 2: Methods of measurement of newer version newer version of total knee-joint prostheses—Part 2: Methods for measurement of newer version newer version of total knee-joint prostheses—Part 2: Methods for permanent newer version newer version of total knee-joint prostheses—Part 2: Methods for permanent newer version newer version of the part of th	11–180			Reaffirmation
total knee-joint prostheses—Part 1: Loading and displacement parameters for wear-testing machines with load control and corresponding environmental conditions for test  11–189 11–223 ISO 14243–2 Second edition 2009–11–15 Implants for surgery—Wear of total knee-joint prostheses—Part 2: Methods of measurement  National Prostrict of the ASTM F 983—86 (Reapproved 2009) Standard Practice for Permanent Marking of Orthopeedic Implant Components  ASTM F 983—86 (Reapproved 2009) Standard Practice for Care and Handling of Orthopeedic Implant Components  ASTM F 565—04 (Reapproved 2009) Standard Specification and Test Methods for External Skeletal Fixation Devices  ASTM F 1541—02 (Reapproved 2009) Standard Specification and Test Methods for External Skeletal Fixation Devices  ASTM F 543—07* Standard Specification and Test Methods for Metallic Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) standard Specification and Test Relevant guide Medical Bone Screws  M. Sterility  11–214 ASTM F 382—99 (Reapproved 2008) standard Specification and Test Relevant guide Method for Metallic Bone Plates  M. Sterility  14–54 14–287 ANSI/AAMI/ISO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/ISO 14160:1988/(P)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63 14–288 ASTM F 1886/F 1886/F 1896/(P)2009 Sterilization of bealth care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices devices—Microbiologies, methodologies, methodologies	11–181	11–221		Withdrawn and replaced with newer version
total knee-joint prostheses—Part 2: Methods of measurement newer version  ASTM F 983—86 (Reapproved 2009) Standard Practice for Permanent Marking of Orthopaedic Implant Components  ASTM F 565—04 (Reapproved 2009) * Standard Practice for Care and Handling of Orthopaedic Implants and Instruments  11–203  ASTM F 1541—02 (Reapproved 2009) * Standard Specification and Test Relevant guidz Methods for External Skeletal Fixation Devices  Relevant guidz 11–210  ASTM F 543—07* Standard Specification and Test Methods for Metallic Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guidz 11–214  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guidz 11–214  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guidz 11–214  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Method for Metallic Bone Plates  M. Sterility  14–54  14–287  ANSI/AAMI/ISO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  14–55  AAMI/ANSI/ISO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63  14–288  ASTM F1886/F1886M-09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  14–77  14–290  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizars and ethylene oxide sterilization of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and mainterility as	11–188	11–222	total knee-joint prostheses—Part 1: Loading and displacement parameters for wear-testing machines with load control and corresponding envi-	Withdrawn and replaced with newer version
Marking of Orthopaedic Implant Components  ASTM F 565—04 (Reapproved 2009) * Standard Practice for Care and Handling of Orthopaedic Implants and Instruments  11–203  ASTM F 1541—02 (Reapproved 2007) * Standard Specification and Test Methods for External Skeletal Fixation Devices  ASTM F 543—07* Standard Specification and Test Methods for Metallic Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guidz Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guidz Method for Metallic Bone Plates  M. Sterility  14–54  14–287  ANSI/AAMI/SO 11737—2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/SO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63  14–288  ASTM F1886/F1886M-09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  Withdrawn and reversion of Seals for Flexible Packaging by Visual Inspection  14–77  14–290  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilant sources intended for use in health care facilities  14–116  14–291  ANSI/AAMI ST:04:41999/(R)2009 Automatic, general purpose ethylene oxide sterilacines or characterization of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI ST:02:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  4NSI/AAMI ST:02:2002/(R)2010 Dry heat (heated air) sterilization mewer version newer version and sterility assurance in health care facilities  4NSI/AAMI ST:02:2004/(R)2010 Table-top dry heat (heated air) sterilization mewer version to the provided by the manufacturer for the processing of resterilization newer version to the provided by the manu	11–189	11–223		Withdrawn and replaced with newer version
Handling of Orthopedic Implants and Instruments  ASTM F 1541—02 (Reapproved 2007) * Standard Specification and Test Relevant guide Methods for External Skeletal Fixation Devices  ASTM F 543—07 * Standard Specification and Test Methods for Metallic Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) * Standard Specification and Test Relevant guide Method for Metallic Bone Plates  M. Sterility  14–54  14–287  ANSI/AAMI/SO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/SO 14160-1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63  14–288  ASTM F 1886/F 1886M—09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  Withdrawn and rewer version  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilizers and ethylene oxide sterilars ources intended for use in health care facilities  ANSI/AAMI/SO 14937:2009 Sterilization of a sterilization process for medical devices  14–116  14–291  ANSI/AAMI/SO 14937:2009 Sterilization of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI/SO 1500 Sterilization of a sterilization process for medical devices  14–116  14–293  ANSI/AAMI/SO 1500 Sterilization of a sterilization process for medical devices  14–116  14–293  ANSI/AAMI/ST50:2004/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  Withdrawn and rewer version  14–152  14–294  ANSI/AAMI/ST50:2004/(R)2010 Table-top dry heat (heated air) sterilization newer version and sterilization and sterility assurance in health care facilities  Withdrawn and rewer version  Withdrawn and rewer version  Withdrawn and rewer version  14–164  14–295  ANSI/AAMI/ST81:2004/(R)2010 Table-top dry heat (heated air) steriliz	11–197			Reaffirmation
Methods for External Skeletal Fixation Devices  ASTM F 543—07° Standard Specification and Test Methods for Metallic Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) ° Standard Specification and Test Methods for Metallic Relevant guide Method for Metallic Bone Plates  M. Sterility  14–54  14–287  ANSI/AAMI/ISO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/ISO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by ilquid chemical  14–63  14–288  ASTM F1886/F1886M—09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  Withdrawn and routine control of sterilizers and ethylene oxide sterilization sources intended for use in health care facilities  14–88  14–291  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI/ST0:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  ANSI/AAMI/ST0:2004/(R)2010 Dry heat (heated air) sterilization newer version and sterility assurance in health care facilities  Withdrawn and roewer version  ANSI/AAMI/ST0:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of resterilization newer version	11–199			Reaffirmation
Medical Bone Screws  ASTM F 382—99 (Reapproved 2008) <sup>€</sup> Standard Specification and Test Method for Metallic Bone Plates  M. Sterility  14–54  14–287  ANSI/AAMI/ISO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/ISO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  ASTM F1886/F1886M-09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  Withdrawn and reversion  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  ANSI/AAMI/ST 4037:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilization rewer version and sterility assurance in health care facilities  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization rewer version resterilizable medical devices  Mithdrawn and reversion of the processing of resterilizable medical devices	11–203		ASTM F 1541—02 (Reapproved 2007) <sup>ε</sup> Standard Specification and Test Methods for External Skeletal Fixation Devices	Title, Type of standard and Relevant guidance
M. Sterility  14–54	11–210			Title, Type of standard and Relevant guidance
14–54 14–287 ANSI/AAMI/ISO 11737–2:2009 Sterilization of medical devices—Microbiological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/ISO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63 14–288 ASTM F1886/F1886M–09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection Withdrawn and rewer version  14–77 14–290 ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilant sources intended for use in health care facilities  14–88 14–291 ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116 14–292 ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  14–118 14–293 ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilization mewer version and sterility assurance in health care facilities  4NSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization mewer version rever version and sterility assurance in health care facilities  4NSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of mewer version	11–214			Title and Type of standard
biological methods—Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process  AAMI/ANSI/ISO 14160:1998/(R)2008 Sterilization of single-use medical devices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63  14–288  ASTM F1886/F1886M—09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilant sources intended for use in health care facilities  14–88  14–291  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilization mewer version  14–152  14–294  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization and sterility assurance in health care facilities  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of mewer version	M. Sterility			
vices incorporating materials of animal origin—Validation and routine control of sterilization by liquid chemical  14–63  14–288  ASTM F1886/F1886M–09 Standard Test Method for Determining Integrity of Seals for Flexible Packaging by Visual Inspection  Withdrawn and rever version  14–77  14–290  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilant sources intended for use in health care facilities  14–88  14–291  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  Withdrawn and reverversion  14–118  14–293  ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilizers  Withdrawn and reverversion  Withdrawn and reverversion  4–152  14–294  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization and sterility assurance in health care facilities  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of resterilizable medical devices	14–54	14–287	biological methods—Part 2: Tests of sterility performed in the definition,	Withdrawn and replaced with newer version
of Seals for Flexible Packaging by Visual Inspection  14–77  14–290  ANSI/AAMI ST:24:1999/(R)2009 Automatic, general purpose ethylene oxide sterilizers and ethylene oxide sterilant sources intended for use in health newer version  14–88  14–291  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring and alternatives to batch testing  Withdrawn and routine monitoring and alternatives to batch testing  Withdrawn and routine monitoring and alternatives to batch testing  Withdrawn and routine monitoring and alternatives to batch testing  Withdrawn and routine monitoring and alternatives to batch testing  Withdrawn and routine monitoring and sterility assurance in health care facilities  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization money version  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of newer version newer version	14–55		vices incorporating materials of animal origin—Validation and routine con-	Contact person
sterilizers and ethylene oxide sterilant sources intended for use in health care facilities  14–88  14–291  ANSI/AAMI/ISO 14937:2009 Sterilization of health care products—General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  4–116  14–292  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  4–118  14–293  ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilizers  Withdrawn and routine newer version  4–152  14–294  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization and sterility assurance in health care facilities  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of resterilizable medical devices	14–63	14–288		Withdrawn and replaced with newer version
requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices  14–116  14–292  ANSI/AAMI ST72:2002/(R)2010 Bacterial Endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine monitoring, and alternatives to batch testing  Withdrawn and routine control of a sterilization process for medical devices  Withdrawn and routine control of a sterilization of medical devices—Information to be provided by the manufacturer for the processing of newer version newer version resterilizable medical devices	14–77	14–290	sterilizers and ethylene oxide sterilant sources intended for use in health	Withdrawn and replaced with newer version
routine monitoring, and alternatives to batch testing  newer version  14–118  14–293  ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilizers  Withdrawn and r newer version  14–152  14–294  ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization and sterility assurance in health care facilities  Withdrawn and r newer version  14–164  14–295  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of newer version	14–88	14–291	requirements for characterization of a sterilizing agent and the develop- ment, validation and routine control of a sterilization process for medical	Withdrawn and replaced with newer version
14–152 14–294 ANSI/AAMI ST40:2004/(R)2010 Table-top dry heat (heated air) sterilization and sterility assurance in health care facilities Withdrawn and r newer version  14–164 14–295 ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of newer version resterilizable medical devices	14–116	14–292		Withdrawn and replaced with newer version
and sterility assurance in health care facilities newer version  14–164  14–295  ANSI/AAMI ST81:2004/(R)2010 Sterilization of medical devices—Information to be provided by the manufacturer for the processing of newer version resterilizable medical devices	14–118	14–293	ANSI/AAMI ST50:2004/(R)2010 Dry heat (heated air) sterilizers	Withdrawn and replaced with newer version
tion to be provided by the manufacturer for the processing of newer version resterilizable medical devices	14–152	14–294		Withdrawn and replaced with newer version
14–181 AAMI/ANSI ST58: 2005 Chemical sterilization and high-level disinfection in Contact person	14–164	14–295	tion to be provided by the manufacturer for the processing of	Withdrawn and replaced with newer version
health care facilities	14–181		AAMI/ANSI ST58: 2005 Chemical sterilization and high-level disinfection in health care facilities	Contact person

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change
14–197		ASTM F1608–00 (Reapproved 2009) Standard Test Method for Microbial Ranking of Porous Packaging Materials (Exposure Chamber Method)	Reaffirmation
14–211		AOAC 6.2.01:2006 Official Method 955.14 Testing Disinfectants against Salmonella choleraesuis, Use-Dilution Method	Contact person
14–212		AOAC 6.2.02:2006 Official Method 991.47 Testing Disinfectants against Salmonella choleraesuis, Hard Surface Carrier Test Method	Contact person
14–213		AOAC 6.2.03:2006 Official Method 991.48 Testing Disinfectant against Staphylococcus aureus, Hard Surface Carrier Test Method	Contact person
14–214		AOAC 6.2.04:2006 Official Method 955.15 Testing Disinfectants Against Staphylococcus aureus, Use-Dilution Method	Contact person
14–215		AOAC 6.2.05:2006 Official Method 991.49 Testing Disinfectants against Pseudomonas aeruginosa, Hard Surface Carrier Test Method	Contact person
14–216		AOAC 6.2.06:2006 Official Method 964.02 Testing Disinfectants against Pseudomonas aeruginosa, Use-Dilution Method	Contact person
14–217		AOAC 6.3.02:2006 Official Method 955.17 Fungicidal Activity of Disinfectants Using Trichophyton mentagrophytes	Contact person
14–218		AOAC 6.3.05:2006 Official Method 966.04 Sporicidal Activity of Disinfectants Method I	Contact person
14–219		AOAC 6.3.06:2006 Official Method 965.12 Tuberculocidal Activity of Dis- infectants	Contact person
14–223	14–296	ANSI/AAMI/ISO 11138–1:2006/(R)2010 Sterilization of health care products—Biological indicators—Part 1: General requirements	Withdrawn and replaced with newer version
14–224	14–297	ANSI/AAMI/ISO 11137–1:2006/(R)2010 Sterilization of health care products—Radiation—Part 1: Requirements for development, validation, and routine control of a sterilization process for medical devices	Withdrawn and replaced with newer version
14–226	14–298	ANSI/AAMI/ISO 11137–3:2006/(R)2010 Sterilization of health care products—Radiation—Part 3: Guidance on dosimetric aspects	Withdrawn and replaced with newer version
14–234	14–299	ASTM F2097–10 Standard Guide for Design and Evaluation of Primary Flexible Packaging for Medical Products	Withdrawn and replaced with newer version
14–265		USP 32:2009 <61> Microbiological Examination of Nonsterile Products: Microbial Enumeration Tests	Contact person
14–266		USP 32:2009 <71> Sterility Tests	Contact person
14–267		USP 32:2009 <85> Bacterial Endotoxins Test	Contact person
14–268		USP 32:2009 <151> Pyrogen Test	Contact person
14–269		USP 32:2009 <161> Transfusion and Infusion Assemblies and Similar Medical Devices	Contact person
14–270		USP 32:2009 Biological Indicator for Steam Sterilization—Self Contained	Contact person
14–271		USP 32: 2009 Biological Indicator for Dry-Heat Sterilization, Paper Carrier	Contact person
14–272		USP 32:2009 Biological Indicator for Ethylene Oxide Sterilization, Paper Carrier	Contact person
14–273		USP 32:2009 Biological Indicator for Steam Sterilization, Paper Carrier	Contact person
14–278		USP 32:2009 <62> Microbiological Examination of Nonsterile Products: Tests for Specified Microorganisms	Contact person
14–280		AAMI/ANSI ST79:2006 and A1:2008, A2:2009 (Consolidated Text) Comprehensive guide to steam sterilization and sterility assurance in health care facilities	Contact person

TABLE 2.—MODIFICATIONS TO THE LIST OF RECOGNIZED STANDARDS—Continued

Old Recognition No.	Replacement Recognition No.	Title of Standard <sup>1</sup>	Change			
14–284	14–300	ASTM D4169–09 Standard Practice for Performance Testing of Shipping Containers and Systems	Withdrawn and replaced with newer version			
14–285		AAMI/ANSI/ISO 14161:2009 Sterilization of health care products—Biological indicators—Guidance for the selection, use and interpretation of results	Contact person			
N. Tissue Engineering						
15–16	15–19	ASTM F2450–10 Standard Guide for Assessing Microstructure of Polymeric Scaffolds for Use in Tissue-Engineered Medical Products	Withdrawn and replaced with newer version			

<sup>&</sup>lt;sup>1</sup> All standard titles in this table conform to the style requirements of the respective organizations.

### **III. Listing of New Entries**

consensus standards added as modifications to the list of recognized

standards under Recognition List Number: 025.

In table 3 of this document, FDA provides the listing of new entries and

TABLE 3.—NEW ENTRIES TO THE LIST OF RECOGNIZED STANDARDS

Recognition No.	Title of Standard <sup>1</sup>	Reference No. & Date	
A. Anesthesia			
1–83	Medical electrical equipment—Particular requirements for the basic safety and essential performance of respiratory gas monitors	ISO 21647:2004 TECHNICA CORRIGENDUM 1	
B. Cardiology			
3–80	Non-invasive sphygmomanometers—Part 1: Requirements and test methods for non-automated measurement type	ANSI/AAMI/ISO 81060- 1:2007	
3–81	Non-invasive sphygmomanometers - Part 2: Clinical validation of automated measurement type	ANSI/AAMI/ISO 81060- 2:2009	
3–82	Implants for surgery - Cardiac pacemakers - Part 3: Low-profile connectors [IS-I] for implantable pacemakers TECHNICAL CORRIGENDUM 1	ISO 5841 -3:2000 TECH- NICAL CORRIGENDUM 1	
C. Dental/ENT			
4–189	Dentistry—Soft lining materials for removable dentures—Part 1: Materials for short-term use	ISO 10139–1:2005 TECH- NICAL CORRIGENDUM 1 2006–03–01	
D. General			
5–56	Medical devices—Symbols to be used with medical device labels, labelling, and information to be supplied—Part 2: Symbol development, selection and validation	ISO 15223–2 First edition 2010–01–15	
5–57	Human factors engineering—Design of medical devices	ANSI/AAMI HE75:2009	
5–58	Medical electrical equipment—Part 1–11: General requirements for basic safety and essential performance—Collateral Standard: Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment	IEC 60601–1–11 Edition 1.0 2010–04	
5–59	Medical devices - Symbols to be used with medical device labels, labelling and information to be supplied—Part 1: General requirements	ISO 15223–1 First Edition 2007	
5–60	Medical electrical equipment - Part 1–2: General requirements for basic safety and essential performance - Collateral standard: Electromagnetic compatibility - Requirements and tests, Interpretation Sheet	IEC 60601-1-2 (2007) Third edition/I-SH 01	
5–61	Medical devices - Symbols to be used with medical device labels, labeling, and information to be supplied—Part 1: General requirements	ANSI/AAMI/ISO 15223- 1:2007	
E. Materials			
8–194	Standard Test Method for Measurement of Camber, Cast, Helix and Direction of Helix of Coiled Wire	ASTM F 2754/F 2754M—09	

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IARIF 3 —	-NFW ENTRIES	TO THE LIST	LOE RECOGNI	ZED STANDARDS-	—Continued

Recognition No.	Title of Standard <sup>1</sup>	Reference No. & Date	
8–195	Standard Specification for Wrought Seamless Nickel-Titanium Shape Memory Alloy Tube for Medical Devices and Surgical Implants	ASTM F 2633-07	
F. Ophthalmic			
10–61	Ophthalmic optics—Contact lenses—Part 1: Vocabulary, classification system and recommendations for labelling specifications AMENDMENT 1	ISO 18369–1 First edition 2006–08–05 AMENDMEN <sup>-1</sup> 1 2009–02–15	
G. Orthopedic			
11–224	Standard Test Methods for Occipital-Cervical and Occipital-Cervical-Thoracic Spinal Implant Constructs in a Vertebrectomy Model	ASTM F 2706—08	
H. Radiology			
12–212	Medical electrical equipment—Characteristics of digital X-ray imaging devices—Part 1: Determination of the detective quantum efficiency	IEC 62220–1 First Edition 2003–10	
12–213	Medical electrical equipment—Characteristics of digital X-ray imaging devices—Part 1–2: Determination of the detective quantum efficiency—Detectors used in mammography	IEC 62220–1–2 First Edition 2007–06	
12–214	Medical electrical equipment—Characteristics of digital X-ray imaging devices—Part 1–3: Determination of the detective quantum efficiency—Detectors used in dynamic imaging	IEC 62220-1-3 Edition 1.0 2008-06	
12–215	Medical electrical equipment—Exposure index of digital X-ray imaging systems—Part 1: Definitions and requirements for general radiography	IEC 62494–1 Edition 1.0 2008–08	
12–216	Medical electrical equipment - Medical image display systems - Part 1: Evaluation methods	IEC 62563-1 Edition 1.0 2009-12	
I. Sterility			
14–289	Cleanrooms and associated controlled environments—Biocontamination control—Part 2: Evaluation and interpretation of biocontamination data	ISO 14698–2:2003 TECH- NICAL CORRIGENDUM 1	
J. Tissue Engineeri	ng		
15–20	Standard Guide for Characterization and Testing of Raw or Starting Biomaterials for Tissue-Engineered Medical Products	ASTM F 2027-08	
15–21	Standard Guide for Characterization and Testing of Biomaterial Scaffolds Used in Tissue- Engineered Medical Products	ASTM F 2150-07	
15–22	Standard Guide for Assessment of Surface Texture of Non-Porous Biomaterials in Two Dimensions	ASTM F 2791-00	
15–23	Standard Guide for Quantitating Cell Viability within Biomaterial Scaffolds	ASTM F 2739-08	
15–24	Standard Guide for Pre-clinical in vivo Evaluation in Critical Size Segmental Bone Defects	ASTM F 2721-09	

<sup>&</sup>lt;sup>1</sup> All standard titles in this table conform to the style requirements of the respective organizations.

### IV. List of Recognized Standards

FDA maintains the Agency's current list of FDA recognized consensus standards in a searchable database that may be accessed directly at FDA's Internet site at http://www.accessdata. fda.gov/scripts/cdrh/cfdocs/ cfStandards/search.cfm. FDA will incorporate the modifications and minor revisions described in this notice into the database and, upon publication in the Federal Register, this recognition of consensus standards will be effective. FDA will announce additional modifications and minor revisions to the list of recognized consensus standards, as needed, in the Federal

**Register** once a year, or more often, if necessary.

# V. Recommendation of Standards for Recognition by FDA

Any person may recommend consensus standards as candidates for recognition under the new provision of section 514 of the act by submitting such recommendations, with reasons for the recommendation, to the contact person (see FOR FURTHER INFORMATION CONTACT). To be properly considered, such recommendations should contain, at a minimum, the following information: (1) Title of the standard; (2) any reference number and date; (3)

name and address of the national or international standards development organization; (4) a proposed list of devices for which a declaration of conformity to this standard should routinely apply; and (5) a brief identification of the testing or performance or other characteristics of the device(s) that would be addressed by a declaration of conformity.

#### VI. Electronic Access

You may obtain a copy of "Guidance on the Recognition and Use of Consensus Standards" by using the Internet. CDRH maintains a site on the Internet for easy access to information including text, graphics, and files that you may download to a personal computer with access to the Internet. Updated on a regular basis, the CDRH home page includes the guidance as well as the current list of recognized standards and other standards related documents. After publication in the Federal Register, this notice announcing "Modification to the List of Recognized Standards, Recognition List Number: 025" will be available on the CDRH home page. You may access the CDRH home page at http://www.fda.gov/MedicalDevices.

You may access "Guidance on the Recognition and Use of Consensus Standards," and the searchable database for "FDA Recognized Consensus Standards" through the hyperlink at http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/Standards.

This **Federal Register** document on modifications in FDA's recognition of consensus standards is available at http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/Standards/ucm123792.htm.

# VII. Submission of Comments and Effective Date

Interested persons may submit to the contact person (see FOR FURTHER **INFORMATION CONTACT**) either electronic or written comments regarding this document. It is only necessary to send one set of comments. It is no longer necessary to sent two copies of mailed comments. Comments are to be identified with the docket number found in brackets in the heading of this document. FDA will consider any comments received in determining whether to amend the current listing of modifications to the list of recognized standards, Recognition List Number: 025. These modifications to the list or recognized standards are effective upon publication of this notice in the Federal Register.

Dated: September 28, 2010.

#### Nancy K. Stade,

Deputy Director for Policy, Center for Devices and Radiological Health.

[FR Doc. 2010-24788 Filed 10-1-10; 8:45 am]

BILLING CODE 4160-01-S

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

### National Institutes of Health

# National Institute on Aging; Notice of Closed Meetings

Pursuant to section 10(d) of the Federal Advisory Committee Act, as

amended (5 U.S.C. App.), notice is hereby given of the following meetings.

The meetings will be closed to the public in accordance with the provisions set forth in sections 552b(c)(4) and 552b(c)(6), Title 5 U.S.C., as amended. The grant applications and the discussions could disclose confidential trade secrets or commercial property such as patentable material, and personal information concerning individuals associated with the grant applications, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Name of Committee: National Institute on Aging Special Emphasis Panel, Relationships and Health.

Date: November 16, 2010. Time: 1:30 p.m. to 5:30 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institute on Aging, Gateway Building, 7201 Wisconsin Avenue, Suite 2C218, Bethesda, MD 20892. (Telephone Conference Call.)

Contact Person: Alfonso R. Latoni, PhD, Deputy Chief and Scientific Review Officer, Scientific Review Branch, National Institute on Aging, 7201 Wisconsin Avenue, Suite 2C218, Bethesda, MD 20892. 301–402–7702. Alfonso.Latoni@nih.gov.

Name of Committee: National Institute on Aging Special Emphasis Panel, Aging Bone and Muscle.

Date: November 18, 2010.

Time: 1 p.m. to 4:30 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institute on Aging, Gateway Building, 7201 Wisconsin Avenue, Suite 2C212, Bethesda, MD 20892. (Telephone Conference Call.)

Contact Person: William Cruce, PhD, Scientific Review Officer, National Institute on Aging, Scientific Review Branch, Gateway Building 2C–212, 7201 Wisconsin Ave., Bethesda, MD 20814. 301–402–7704. crucew@nia.nih.gov.

Name of Committee: National Institute on Aging Special Emphasis Panel, Restless Leg Syndrome.

Date: November 22, 2010.

Time: 1 p.m. to 4 p.m.

Agenda: To review and evaluate grant applications.

Place: National Institute on Aging, Gateway Building, 7201 Wisconsin Avenue, Suite 2C212, Bethesda, MD 20892. (Telephone Conference Call.)

Contact Person: William Cruce, PhD, Scientific Review Officer, National Institute on Aging, Scientific Review Branch, Gateway Building 2C–212, 7201 Wisconsin Ave., Bethesda, MD 20814. 301–402–7704. crucew@nia.nih.gov.

(Catalogue of Federal Domestic Assistance Program Nos. 93.866, Aging Research, National Institutes of Health, HHS) Dated: September 28, 2010.

#### Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

[FR Doc. 2010–24784 Filed 10–1–10; 8:45 am] BILLING CODE 4140–01–P

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

#### **National Institutes of Health**

# Center For Scientific Review; Amended Notice of Meeting

Notice is hereby given of a change in the meeting of the Center for Scientific Review Special Emphasis Panel, October 19, 2010, 11 a.m. to October 19, 2010, 5 p.m., National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892 which was published in the **Federal Register** on September 17, 2010, 75 FR 57042–57043.

The meeting will be two days— October 18, 2010, from 8 a.m. to October 19, 2010, 5 p.m. The meeting location remains the same. The meeting is closed to the public.

Dated: September 28, 2010.

#### Jennifer S. Spaeth,

Director, Office of Federal Advisory Committee Policy.

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Health Resources and Services Administration

# Statement of Organization, Functions and Delegations of Authority

This notice amends Part R of the Statement of Organization, Functions and Delegations of Authority of the Department of Health and Human Services (HHS), Health Resources and Services Administration (HRSA) (60 FR 56605, as amended November 6, 1995; as last amended at 75 FR 58416–58417 dated September 24, 2010).

This notice reflects organizational changes to the Health Resources and Services Administration and updates the functional statement for the Bureau of Primary Health Care (RC). Specifically, this notice (1) Creates the Office of Administrative Management (RCM) and the Office of Training and Technical Assistance Coordination (RCS); (2) abolishes the Division of Health Information Technology State and Community Assistance (RCR); (3) renames the Office of Minority and Special Populations (RCG) to the Office

of Special Population Health (RCG); and (4) abolishes the Eastern Division (RCN), the Central Mid-Atlantic Division (RCP), and the Western Division (RCQ); and establishes the Northeast Division (RCU), Central Southeast Division (RCV), the North Central Division (RCT); and the Southwest Division (RCW), to better align the regional functions of the Bureau.

### Chapter RC—Bureau of Primary Health Care

Section RC-10, Organization

Delete in its entirety and replace with the following:

The Office of the Associate Administrator (RC) is headed by the Associate Administrator, Bureau of Primary Health Care (BPHC), who reports directly to the Administrator, Health Resources and Services Administration. BPHC includes the following components:

- (1) Office of the Associate Administrator (RC);
- (2) Office of Administrative Management (RCM);
- (3) Office of Training and Technical Assistance Coordination (RCS);
- (4) Office of Policy and Program Development (RCH);
  - (5) Office of Quality and Data (RCK);
- (6) Office of Special Population Health (RCG);
  - (7) Northeast Division (RCU);
  - (8) Central Southeast Division (RCV);
  - (9) North Central Division (RCT);
  - (10) Southwest Division (RCW); and
- (11) Division of National Hansen's Disease Program (RC7).

### Section RC-20, Functions

(1) Delete the functional statement for the Bureau of Primary Health Care (RC) and replace in its entirety.

## Office of the Associate Administrator (RC)

Provides overall leadership, direction, coordination, and planning in support of BPHC programs. Specifically: (1) Establishes program goals, objectives and priorities, and provides oversight to their execution; (2) plans, directs, coordinates and evaluates BPHC-wide management activities; and (3) maintains effective relationships within HRSA and with other Department of Health and Human Services (HHS) organizations, other Federal agencies, State and local governments, and other public and private organizations concerned with primary health care, eliminating health disparities, and improving the health status of the Nation's underserved and vulnerable populations.

# Office of Administrative Management (RCM)

Plans, directs and coordinates BPHCwide administrative management activities. Specifically: (1) Serves as BPHC's principal source for administrative and management advice and assistance; (2) provides guidance and coordinates personnel activities for BPHC; (3) provides organization and management analysis, coordinating the allocation of personnel resources, developing policies and procedures for internal operations, interpreting and implementing BPHC management policies, procedures and systems; (4) develops and coordinates BPHC program and administrative delegations of authority activities; (5) provides guidance to BPHC on financial management activities; (6) provides BPHC-wide support services such as continuity of operations and emergency planning, contracts, procurement, supply management, equipment utilization, printing, property management, space management, records management, and management reports; (7) serves as BPHC Executive Secretariat; (8) serves as BPHC focal point for the design and implementation of management information systems to assess and improve program performance and internal operations; and (9) coordinates BPHC administrative management activities with other components within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations, as appropriate.

# Office of Training and Technical Assistance Coordination (RCS)

Serves as the organizational focus for the coordination of training and technical assistance activities for BPHC programs and staff. Specifically: (1) Leads and coordinates training and technical assistance activities and resources for BPHC programs and staff; (2) serves as BPHC principal contact and information resource for training and technical assistance; (3) identifies key training and technical assistance needs of BPHC programs and staff, and develops programs to address them; (4) manages training and technical assistance cooperative agreements and contracts; (5) coordinates BPHC technical assistance and training activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations concerned with primary health care, eliminating health disparities, and improving the health status of the Nation's underserved and

vulnerable populations; and (6) provides support and coordination for emergency preparedness and response.

# Office of Policy and Program Development (RCH)

Serves as the organizational focus for the development of BPHC programs and policies. Specifically: (1) Leads and monitors the development and expansion of primary care programs, including health centers and other health systems; (2) identifies and provides assistance to communities, community-based organizations, and BPHC programs related to the development and expansion of primary care programs; (3) manages BPHC capital and loan guarantee programs; (4) leads and coordinates the analysis, development and drafting of policy impacting BPHC programs; (5) consults and coordinates with other components within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations on issues affecting BPHC programs and policies; (6) performs environmental scanning on issues that affect BPHC programs; (7) monitors BPHC activities in relation to the HRSA and HHS Strategic Plan; and (8) serves as BPHC focal point for communication and program information.

### Office of Quality and Data (RCK)

Serves as the organizational focus for BPHC program performance, clinical and operational quality improvement, data reporting, and program evaluation. Specifically: (1) Provides leadership for implementing BPHC clinical quality and performance improvement strategies/ initiatives, including health information technology; (2) oversees BPHC Federal Tort Claims Act (FTCA) medical malpractice liability programs, reviewing clinical, quality improvement, risk management, and patient safety activities to improve policies and programs for primary health care services, including clinical information systems; (3) leads and coordinates BPHC accreditation and national quality recognition programs; (4) coordinates BPHC clinical, quality and performance reporting activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations concerned with primary health care, eliminating health disparities, and improving the health status of the Nation's underserved and vulnerable populations; (5) identifies and provides assistance to BPHC programs around clinical, quality and performance reporting activities; and (6)

serves as BPHC focal point for the design and implementation of program evaluations.

# Office of Special Population Health (RCG)

Serves as the organizational focus for the coordination of BPHC activities relating to the delivery of health services to special populations, including: migrant and seasonal farm workers, homeless persons and residents of public housing, school children, minorities and other vulnerable populations. Specifically: (1) Ensures that the needs and special circumstances of special populations and the provider organizations that serve them are addressed in BPHC programs and policies; (2) advises BPHC about the needs of special populations; (3) identifies and provides assistance to communities, community-based organizations and BPHC programs related to the development, delivery and expansion of services targeted to special populations; (4) coordinates BPHC activities for special populations within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations concerned with primary health care, eliminating health disparities, and improving the health status of the Nation's underserved and vulnerable populations; and (5) provides support to the National Advisory Council on Migrant Health.

#### Northeast Division (RCU)

Manages BPHC primary health care service delivery programs and associated activities within HHS Regions I, II and III. Specifically: for Regions I, II and III, (1) Manages the post-award/designation administration of BPHC primary health care service delivery programs, including Statebased training and technical assistance; (2) serves as BPHC representative to organizations receiving BPHC service delivery grants/designations; (3) promotes a continued focus on quality, cost-effective care for underserved and vulnerable populations; (4) identifies and provides assistance on programrelated statutory/regulatory policy, and program reporting requirements; (5) monitors the performance of BPHC primary health care service delivery programs, making programmatic recommendations and providing assistance to improve performance, where appropriate; (6) reviews findings and recommendations of periodic and episodic grantee assessments, developing actions needed to assure continuity of services to underserved and vulnerable populations and

appropriate use of Federal resources; (7) provides technical guidance to grantees on the management and integration of community-based systems of care, the adaptation of successful strategies/ models, and the resolution of difficult issues; (8) conducts State and regional surveillance on issues that affect BPHC primary health care service delivery programs; and (9) provides consultation to and coordinates activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations involved in the implementation of BPHC primary health care service delivery programs.

### Central Southeast Division (RCV)

Manages BPHC primary health care service delivery programs and associated activities within HHS Regions IV and VII. Specifically: For regions IV and VII, (1) Manages the postaward/designation administration of BPHC primary health care service delivery programs, including Statebased training and technical assistance; (2) serves as BPHC representative to organizations receiving BPHC service delivery grants/designations; (3) promotes a continued focus on quality, cost-effective care for underserved and vulnerable populations; (4) identifies and provides assistance on programrelated statutory/regulatory policy, and program reporting requirements; (5) monitors the performance of BPHC primary health care service delivery programs, making programmatic recommendations and providing assistance to improve performance, where appropriate; (6) reviews findings and recommendations of periodic and episodic grantee assessments, developing actions needed to assure continuity of services to underserved and vulnerable populations and appropriate use of Federal resources; (7) provides technical guidance to grantees on the management and integration of community-based systems of care, the adaptation of successful strategies/ models, and the resolution of difficult issues; (8) conducts State and regional surveillance on issues that affect BPHC primary health care service delivery programs; and (9) provides consultation to and coordinates activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations involved in the implementation of BPHC primary health care service delivery programs.

### North Central Division (RCT)

Manages BPHC primary health care service delivery programs and

associated activities within HHS Regions V, VIII and X. Specifically: for Regions V, VIII and X, (1) Manages the post-award/designation administration of BPHC primary health care service delivery programs, including Statebased training and technical assistance; (2) serves as BPHC representative to organizations receiving BPHC service delivery grants/designations; (3) promotes a continued focus on quality, cost-effective care for underserved and vulnerable populations; (4) identifies and provides assistance on programrelated statutory/regulatory, policy, and program reporting requirements; (5) monitors the performance of BPHC primary health care service delivery programs, making programmatic recommendations and providing assistance to improve performance, where appropriate; (6) reviews findings and recommendations of periodic and episodic grantee assessments, developing actions needed to assure continuity of services to underserved and vulnerable populations and appropriate use of Federal resources; (7) provides technical guidance to grantees on the management and integration of community-based systems of care, the adaptation of successful strategies/ models, and the resolution of difficult issues; (8) conducts State and regional surveillance on issues that affect BPHC primary health care service delivery programs; and (9) provides consultation to and coordinates activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations involved in the implementation of BPHC primary health care service delivery programs.

### **Southwest Division (RCW)**

Manages BPHC primary health care service delivery programs and associated activities within HHS Regions VI and IX. Specifically: for Regions VI and IX, (1) Manages the postaward/designation administration of BPHC primary health care service delivery programs, including Statebased training and technical assistance; (2) serves as BPHC representative to organizations receiving BPHC service delivery grants/designations; (3) promotes a continued focus on quality, cost-effective care for underserved and vulnerable populations; (4) identifies and provides assistance on programrelated statutory/regulatory policy, and program reporting requirements; (5) monitors the performance of BPHC primary health care service delivery programs, making programmatic recommendations and providing assistance to improve performance,

where appropriate; (6) reviews findings and recommendations of periodic and episodic grantee assessments. developing actions needed to assure continuity of services to underserved and vulnerable populations and appropriate use of Federal resources; (7) provides technical guidance to grantees on the management and integration of community-based systems of care, the adaptation of successful strategies/ models, and the resolution of difficult issues; (8) conducts State and regional surveillance on issues that affect BPHC primary health care service delivery programs; and (9) provides consultation to and coordinates activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations involved in the implementation of BPHC primary health care service delivery programs.

### Division of National Hansen's Disease Program (RC7)

Manages the National Hansen's Disease Program in accordance with regulations of the Public Health Service. Specifically: (1) Provides care and treatment for persons with Hansen's disease, including managing a national outpatient health care delivery program; (2) conducts research and provides education and training on Hansen's disease; and (3) provides consultation to and coordinates activities within HRSA and HHS, and with other Federal agencies, State and local governments, and other public and private organizations involved in Hansen's disease activities.

Section RC-30, Delegations of Authority

All delegations of authority and redelegations of authority made to HRSA officials that were in effect immediately prior to this reorganization, and that are consistent with this reorganization, shall continue in effect pending further re-delegation.

This reorganization is upon date of signature.

Dated: September 27, 2010.

### Mary K. Wakefield,

Administrator.

[FR Doc. 2010–24749 Filed 10–1–10; 8:45 am]

BILLING CODE 4165-15-P

# DEPARTMENT OF HOMELAND SECURITY

[Docket No. DHS-2010-0079]

### National Protection and Programs Directorate; National Infrastructure Advisory Council

**AGENCY:** National Protection and Programs Directorate, DHS.

**ACTION:** Committee Management; Notice of Federal Advisory Council Meeting.

**SUMMARY:** The National Infrastructure Advisory Council (NIAC) will meet on Tuesday, October 19, 2010, at the Hilton Washington Embassy Row, 2015 Massachusetts Ave, NW., Washington, DC 20036.

**DATES:** The NIAC will meet Tuesday, October 19, 2010, from 1:30 p.m. to 4:30 p.m. Please note that the meeting may close early if the committee has completed its business. For additional information, please consult the NIAC Web site, http://www.dhs.gov/niac, or contact the NIAC Secretariat by phone at 703–235–2888 or by e-mail at NIAC@dhs.gov.

ADDRESSES: The meeting will be held at the Hilton Washington Embassy Row, 2015 Massachusetts Ave., NW., Washington, DC 20036.

SUPPLEMENTARY INFORMATION: Notice of this meeting is given under the Federal Advisory Committee Act, 5 U.S.C. App. (Pub. L. 92–463). The NIAC shall provide the President through the Secretary of Homeland Security with advice on the security of the critical infrastructure sectors and their information systems.

The NIAC will meet to address issues relevant to the protection of critical infrastructure as directed by the President. At this meeting the committee will receive work from two NIAC working groups to review, deliberate on, and provide further direction to the working groups.

### **Meeting Agenda**

I. Opening of Meeting

II. Roll Call of Members

III. Opening Remarks and Introductions IV. Approval of July 13, 2010 Minutes

- V. Deliberation: A Framework for Establishing Critical Infrastructure Resilience Goals
- VI. Deliberation: Optimization of Resources for Mitigating Infrastructure Disruptions
- VII. Discussion of Potential New Study Topics

VIII. Public comment

IX. Closing Remarks

X. Adjournment

#### **Procedural**

While this meeting is open to the public, participation in the NIAC deliberations is limited to committee members and appropriate Federal Government officials. Discussions may include committee members, appropriate Federal Government officials, and other invited persons attending the meeting to provide information that may be of interest to the council.

Immediately following the committee member deliberation and discussion period, there will be a limited time period for public comment on any listed agenda items only. Relevant public comments may be submitted in writing or presented in person for the Council to consider. Be aware that off-topic questions or comments will not be permitted or discussed. In person presentations will be limited to three minutes per speaker, with no more than 30 minutes for all speakers. Parties interested in presenting in person must register no less than 15 minutes prior to the beginning of the meeting, at the meeting location. Oral presentations will be permitted based upon the order of registration; all registrants may not be able to speak if time does not permit. Written comments may be sent to Nancy Wong, Department of Homeland Security, National Protection and Programs Directorate, 245 Murray Lane, Mail Stop 0607, Washington, DC 20598-0607. Written comments must be received by Nancy Wong by no later than October 12, 2010, identified by Federal Register Docket Number DHS-2010-0079 and may be submitted by any *one* of the following methods:

- Federal eRulemaking Portal: http://www.regulations.gov. Follow the instructions for submitting written comments.
- *E-mail: NIAC@dhs.gov.* Include the docket number in the subject line of the message
  - Fax: 703–603–5098
- Mail: Nancy Wong, National Protection and Programs Directorate, Department of Homeland Security, 245 Murray Lane, Mail Stop 0607, Washington, DC 20528–0607.

Instructions: All written submissions received must include the words "Department of Homeland Security" and the docket number for this action. Written comments received will be posted without alteration at http://www.regulations.gov, including any personal information provided.

Docket: For access to the docket to read background documents or comments received by the NIAC, go to http://www.regulations.gov.

Information on Services for Individuals with Disabilities:

For information on facilities or services for individuals with disabilities or to request special assistance at the meeting, contact the NIAC Secretariat at 703–235–2888 as soon as possible.

### FOR FURTHER INFORMATION CONTACT:

Nancy Wong, National Infrastructure Advisory Council Designated Federal Officer, Department of Homeland Security, telephone 703–235–2888.

Signed: September 27, 2010.

### Caitlin Durkovich,

Representative Designated Federal Officer for the NIAC.

[FR Doc. 2010-24744 Filed 10-1-10; 8:45 am]

BILLING CODE 9110-9P-P

# DEPARTMENT OF HOMELAND SECURITY

# Federal Emergency Management Agency

[Docket ID: FEMA-2010-0057]

Agency Information Collection Activities: Proposed Collection; Comment Request, OMB No. 1660– 0072; Mitigation Grants Program/e-Grants

**AGENCY:** Federal Emergency Management Agency, DHS.

**ACTION:** Notice; 60-day notice and request for comments; extension, without change, of a currently approved information collection; OMB No. 1660–0072; No Form.

**SUMMARY:** The Federal Emergency Management Agency, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on a proposed revision of a currently approved information collection. In accordance with the Paperwork Reduction Act of 1995, this Notice seeks comments concerning this collection that is used by: (1) Applicants and sub-applicants to apply for and report on e-Grant awards; and (2) the Federal Emergency Management Agency to evaluate, award, and monitor expenditures and program/project performance for Flood Mitigation Assistance program, Severe Repetitive Loss, Repetitive Flood Claim, and Pre-Disaster Mitigation activities.

**DATES:** Comments must be submitted on or before December 3, 2010.

ADDRESSES: To avoid duplicate submissions to the docket, please use only one of the following means to submit comments:

- (1) Online. Submit comments at http://www.regulations.gov under Docket ID FEMA-2010-0057. Follow the instructions for submitting comments.
- (2) Mail. Submit written comments to Docket Manager, Office of Chief Counsel, DHS/FEMA, 500 C Street, SW., Room 835, Washington, DC 20472– 3100.
- (3) Facsimile. Submit comments to (703) 483–2999.
- (4) *E-mail*. Submit comments to *FEMA–POLICY@dhs.gov*. Include Docket ID FEMA–2010–0057 in the subject line.

All submissions received must include the agency name and Docket ID. Regardless of the method used for submitting comments or material, all submissions will be posted, without change, to the Federal eRulemaking Portal at <a href="http://www.regulations.gov">http://www.regulations.gov</a>, and will include any personal information you provide. Therefore, submitting this information makes it public. You may wish to read the Privacy Act notice that is available via the link in the footer of <a href="http://www.regulations.gov">http://www.regulations.gov</a>.

### FOR FURTHER INFORMATION CONTACT:

Cecelia Rosenberg, Grants Policy Chief, Federal Insurance and Mitigation Administration, 202–646–3321 for additional information. You may contact the Records Management Division for copies of the proposed collection of information at facsimile number (202) 646–3347 or e-mail address: FEMA-Information-Collections-Management@dhs.gov.

SUPPLEMENTARY INFORMATION: This collection of information is necessary to implement grants for the Flood Mitigation Assistance (FMA) program, Severe Repetitive Loss (SRL) program, Repetitive Flood Claims (RFC) program and the Pre-Disaster Mitigation (PDM) program.

The FMA program is authorized by section 1366 of the National Flood Insurance Act of 1968, 42 U.S.C. 4104c, as amended by the National Flood Insurance Reform Act of 2004 (NFIA), Public Law 108–264. The FMA program, under 44 CFR Part 79, is designed to award grants so that measures are taken to reduce or eliminate the long-term risk of flood damage to buildings, manufactured homes, and other structures insurable under the National Flood Insurance Program (NFIP).

The SRL program is authorized by section 1361A of the NFIA of 2004, 42 U.S.C. 4102a, with the goal of reducing flood damages to residential properties that have experienced severe repetitive losses under flood insurance coverage. The program under 44 CFR Part 79,

focuses on efforts that will result in the greatest savings to the NFIF in the shortest period of time.

The RFC program is authorized by the NFIA of 2004 (Pub. L. 108–264), 42 U.S.C. 4030. The NFIA authorizes funding annually to award grants for actions that reduce flood damages to individual properties for which one or more claim payments for losses have been made.

The PDM program is authorized by section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (the Act), 42 U.S.C. 5133, as amended by section 102 of the Disaster Mitigation Act of 2000 (DMA), Public Law 106–390, 114 Stat. 1552. The PDM program provides grants for cost-effective mitigation actions prior to a disaster event to reduce overall risks to the population and structures, while also reducing reliance on funding from actual disaster declarations.

In accordance with OMB Circular A–102, FEMA requires that all parties interested in receiving FEMA mitigation grants submit an application package for grant assistance. The e-Grants system has been developed and revamped to meet the intent of the e-Government initiative, authorized by Public Law 106–107. This initiative requires that all government agencies both streamline grant application processes and provide for the means to electronically create, review, and submit a grant application via the Internet.

### **Collection of Information**

*Title:* Mitigation Grant Program/eGrants.

Type of Information Collection: Extension, without change, of a currently approved information collection.

*OMB Number:* 1660–0072.

Form Titles and Numbers: No Forms.

Abstract: The FEMA mitigation grant programs—Flood Mitigation Assistance, Severe Repetitive Loss, Repetitive Flood Claims, and Pre-Disaster Mitigation—all utilize an automated grant application and management system known as e-Grants to apply for these grants. These programs provide funding to allow for the reduction or elimination of the risks to life and property from hazards. The e-Grants system also provides the mechanism to provide quarterly reports of the financial status of the project and the final closeout report.

Affected Public: State, local and Tribal Governments.

Estimated Total Annual Burden Hours: 43,848 Hours.

### ESTIMATED ANNUALIZED BURDEN HOURS AND COSTS

Type of respondent	Form name/Form number	No. of respondents	No. of responses per respondent	Total no. of responses	Avg. burden per response (in hours)	Total annual burden (in hours)	Avg. hourly wage rate	Total annual respondent cost
State, local or Trib- al Government.	FMA: Benefit-Cost Deter- mination.	56	2	112	5	560	\$43.54	\$24,382
State, local or Trib-	Environmental Re-	56	2	112	7.5	840	43.54	36,574
al Government. State, local or Trib- al Government.	view. Project Narrative- Subgrant Application.	56	4	224	12	2,688	43.54	117,036
Subtotal		56		448		4,088		177,992
State, local or Trib- al Government.	RFC: Benefit-Cost Deter- mination.	56	1	56	5	280	43.54	12,191
State, local or Trib-	Environmental Re-	56	1	56	7.5	420	43.54	18,287
al Government. State, local or Trib- al Government.	view. Project Narrative- Subgrant Appli- cation.	56	2	112	12	1,344	43.54	58,518
Subtotal	0.01	56		224		2,044		88,996
State, local or Trib-	SRL: Benefit-Cost Deter-	56	7	392	5	1960	43.54	85,338
al Government. State, local or Trib-	mination. Environmental Re-	56	7	392	7.5	2,940	43.54	128,008
al Government. State, local or Trib- al Government.	view. Project Narrative- Subgrant Application.	56	8	448	12	5,376	43.54	234,071
Subtotal	PDM:	56		1,232		10,276		447,417
State, local or Trib-	Benefit-Cost Deter-	56	20	1,120	5	5,600	43.54	243,824
al Government. State, local or Trib-	mination. Environmental Re-	56	20	1,120	7.5	8,400	43.54	365,736
al Government. State, local or Trib- al Government.	view. Project Narrative- Subgrant Application.	56	20	1,120	12	13,440	43.54	585,178
Subtotal		56		3,360		27,440		1,194,738
Total		56		5,264		43,848		1,909,143

Estimated Cost: There are no operation and maintenance, or capital and start-up costs associated with this collection of information.

### Comments

Comments may be submitted as indicated in the ADDRESSES caption above. Comments are solicited to: (a) Evaluate whether the proposed data collection is necessary for the proper performance of the agency, including whether the information shall have practical utility; (b) evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used; (c) enhance the quality, utility, and clarity of the information to be collected; and (d) minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

### Lesia M. Banks,

Director, Records Management Division, Mission Support Bureau, Federal Emergency Management Agency, Department of Homeland Security.

[FR Doc. 2010–24768 Filed 10–1–10; 8:45 am]

BILLING CODE 9110-13-P

# DEPARTMENT OF HOMELAND SECURITY

### **U.S. Customs and Border Protection**

Agency Information Collection Activities: Application for Exportation of Articles Under Special Bond

**AGENCY:** U.S. Customs and Border Protection, Department of Homeland Security.

**ACTION:** 30-Day notice and request for comments; Extension of an existing information collection: 1651–0004.

**SUMMARY:** U.S. Customs and Border Protection (CBP) of the Department of Homeland Security will be submitting the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork

Reduction Act: Application for Exportation of Articles under Special Bond (CBP Form 3495). This is a proposed extension of an information collection that was previously approved. CBP is proposing that this information collection be extended with a change to the burden hours. This document is published to obtain comments from the public and affected agencies. This proposed information collection was previously published in the Federal Register on August 6, 2010 (Volume 75, Page 47608), allowing for a 60-day comment period. This notice allows for an additional 30 days for public comments. This process is conducted in accordance with 5 CFR 1320.10.

DATES: Written comments should be received on or before November 3, 2010. ADDRESSES: Interested persons are invited to submit written comments on this proposed information collection to the Office of Information and Regulatory Affairs, Office of Management and Budget. Comments should be addressed to the OMB Desk Officer for Customs and Border Protection, Department of Homeland Security, and sent via electronic mail to oira\_submission@omb.eop.gov or faxed to (202) 395–5806.

# SUPPLEMENTARY INFORMATION: U.S. Customs and Border Protection (CBP) encourages the general public and

encourages the general public and affected Federal agencies to submit written comments and suggestions on proposed and/or continuing information collection requests pursuant to the Paperwork Reduction Act (Pub. L. 104–13). Your comments should address one of the following four points:

(1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency/component, including whether the information will have practical utility;

(2) Evaluate the accuracy of the agencies/components estimate of the burden of The proposed collection of information, including the validity of the methodology and assumptions used;

(3) Enhance the quality, utility, and clarity of the information to be collected: and

(4) Minimize the burden of the collections of information on those who are to respond, including the use of appropriate automated, electronic, mechanical, or other technological techniques or other forms of information.

Title: Application for Exportation of Articles under Special Bond. OMB Number: 1651–0004. Form Number: Form 3495. Abstract: This information is submitted on CBP Form 3495. This form is used by importers (and their agents) to notify CBP that the importer intends to export goods that were subject to a duty exemption based on a temporary stay in this country. It also serves as a permit to export in order to satisfy the importer's obligation to export the same goods and thereby get a duty exemption. Form 3495 is accessible at http://www.cbp.gov/xp/cgov/toolbox/forms/.

Current Actions: This submission is being made to extend the expiration date with no change to the burden hours

*Type of Review:* Extension without change.

Affected Public: Businesses. Estimated Number of Respondents: 500.

Estimated Number of Responses per Respondent: 30.

Estimated Total Annual Responses: 15,000.

Estimated Time per Response: 8 minutes.

Estimated Total Annual Burden Hours: 2,000.

If additional information is required contact: Tracey Denning, U.S. Customs and Border Protection, Regulations and Rulings, Office of International Trade, 799 9th Street, NW., 5th Floor, Washington, DC 20229–1177, at 202–325–0265.

Dated: September 28, 2010.

#### Tracey Denning,

Agency Clearance Officer, U.S. Customs and Border Protection.

[FR Doc. 2010-24778 Filed 10-1-10; 8:45 am]

BILLING CODE 9111-14-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5374-N-17]

### Buy American Exceptions Under the American Recovery and Reinvestment Act of 2009

**AGENCY:** Office of the Assistant Secretary for Public and Indian Housing, HUD.

**ACTION:** Notice.

SUMMARY: In accordance with the American Recovery and Reinvestment Act of 2009 (Pub. L. 111–05, approved February 17, 2009) (Recovery Act), and implementing guidance of the Office of Management and Budget (OMB), this notice advises that certain exceptions to the Buy American requirement of the Recovery Act have been determined applicable for work using Capital Fund Recovery Formula and Competition

(CFRFC) grant funds. Specifically, exceptions were granted to the Cambridge Housing Authority for the purchase and installation of energy efficient bathroom exhaust fans for the Lincoln Way and Jackson Gardens projects, and for the purchase and installation of solar panels and linoleum for its Lyndon B. Johnson Apartments project. An exception was also granted to the Housing Authority of the City of Columbia in Columbia, MO, for the purchase and installation of a Variable Refrigerant Volume Heating, Ventilation and Air Conditioning (VRV HVAC) system for the Paquin Tower project.

FOR FURTHER INFORMATION CONTACT:
Dominique G. Blom, Deputy Assistant
Secretary for Public Housing
Investments, Office of Public Housing
Investments, Office of Public and Indian
Housing, Department of Housing and
Urban Development, 451 7th Street,
SW., Room 4130, Washington, DC,
20410–4000, telephone number 202–
402–8500 (this is not a toll-free
number). Persons with hearing- or
speech-impairments may access this
number through TTY by calling the tollfree Federal Information Relay Service
at 800–877–8339.

**SUPPLEMENTARY INFORMATION: Section** 1605(a) of the Recovery Act provides that none of the funds appropriated or made available by the Recovery Act may be used for a project for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States. Section 1605(b) provides that the Buy American requirement shall not apply in any case or category in which the head of a Federal department or agency finds that: (1) Applying the Buy American requirement would be inconsistent with the public interest; (2) iron, steel, and the relevant manufactured goods are not produced in the U.S. in sufficient and reasonably available quantities or of satisfactory quality; or (3) inclusion of iron, steel, and manufactured goods will increase the cost of the overall project by more than 25 percent. Section 1605(c) provides that if the head of a Federal department or agency makes a determination pursuant to section 1605(b), the head of the department or agency shall publish a detailed written justification in the Federal Register.

In accordance with section 1605(c) of the Recovery Act and OMB's implementing guidance published on April 23, 2009 (74 FR 18449), this notice advises the public that, on September 7, 2010, HUD granted the following three exceptions to the Buy American requirement:

1. Cambridge Housing Authority.
Upon request of the Cambridge Housing Authority, HUD granted an exception to applicability of the Buy American requirements with respect to work, using CFRFC grant funds, in connection with the Lincoln Way and Jackson Garden projects. The exception was granted by HUD on the basis that the relevant manufactured goods (energy efficient bathroom exhaust fans) are not produced in the U.S. in sufficient and reasonably available quantities or of satisfactory quality.

2. Cambridge Housing Authority.
Upon request of the Cambridge Housing Authority, HUD granted an exception to applicability of the Buy American requirements with respect to work, using CFRFC grant funds, in connection with the Lyndon B. Johnson Apartments project. The exception was granted by HUD on the basis that the relevant manufactured goods (solar panels and linoleum) are not produced in the U.S. in sufficient and reasonably available quantities or of satisfactory quality.

3. Housing Authority of the City of Columbia. Upon request of the Housing Authority of the City of Columbia, HUD granted an exception to applicability of the Buy American requirements with respect to work, using CFRFC grant funds, in connection with the Paquin Towers project. The exception was granted by HUD on the basis that the relevant manufactured goods (a VRV HVAC system) is not produced in the U.S. in sufficient and reasonably available quantities or of satisfactory quality.

Dated: September 24, 2010.

### Deborah Hernandez,

General Deputy Assistant Secretary for Public and Indian Housing.

[FR Doc. 2010-24747 Filed 10-1-10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5411-N-03]

### Credit Watch Termination Initiative Termination of Origination Approval Agreements

**AGENCY:** Office of the Assistant Secretary for Housing—Federal Housing Commissioner, HUD.

**ACTION:** Notice.

**SUMMARY:** This notice advises of the cause and effect of termination of Origination Approval Agreements taken by HUD's Federal Housing

Administration (FHA) against HUDapproved mortgagees through the FHA Credit Watch Termination Initiative. This notice includes a list of mortgagees which have had their Origination Approval Agreements terminated.

FOR FURTHER INFORMATION CONTACT: The Quality Assurance Division, Office of Housing, Department of Housing and Urban Development, 451 Seventh Street, SW., Room B133–P3214, Washington, DC 20410–8000; telephone (202) 708–2830 (this is not a toll-free number). Persons with hearing or speech impairments may access that number through TTY by calling the Federal Information Relay Service at (800) 877–8339.

**SUPPLEMENTARY INFORMATION:** HUD has the authority to address deficiencies in the performance of lenders' loans as provided in HUD's mortgagee approval regulations at 24 CFR

202.3. On May 17, 1999 HUD published a notice (64 FR 26769), on its procedures for terminating Origination Approval Agreements with FHA lenders and placement of FHA lenders on Credit Watch status (an evaluation period). In the May 17, 1999 notice, HUD advised that it would publish in the **Federal Register** a list of mortgagees, which have had their Origination Approval Agreements terminated.

Termination of Origination Approval Agreement: Approval of a mortgagee by HUD/FHA to participate in FHA mortgage insurance programs includes an Origination Approval Agreement (Agreement) between HUD and the mortgagee. Under the Agreement, the mortgagee is authorized to originate single-family mortgage loans and submit them to FHA for insurance endorsement. The Agreement may be terminated on the basis of poor performance of FHA-insured mortgage loans originated by the mortgagee. The termination of a mortgagee's Agreement is separate and apart from any action taken by HUD's Mortgagee Review Board under HUD's regulations at 24 CFR part 25.

Cause: HUD's regulations permit HUD to terminate the Agreement with any mortgagee having a default and claim rate for loans endorsed within the preceding 24 months that exceeds 200 percent of the default and claim rate within the geographic area served by a HUD field office, and also exceeds the national default and claim rate. For the 43rd review period, HUD is terminating the Agreement of mortgagees whose default and claim rate exceeds both the national rate and 200 percent of the field office rate.

Effect: Termination of the Agreement precludes that branch(es) of the mortgagee from originating FHA-insured single-family mortgages within the area of the HUD field office(s) listed in this notice. Mortgagees authorized to purchase, hold, or service FHA insured mortgages may continue to do so.

Loans that closed or were approved before the termination became effective may be submitted for insurance endorsement. Approved loans are those already underwritten and approved by a DE underwriter, and cases covered by a firm commitment issued by HUD. Cases at earlier stages of processing cannot be submitted for insurance by the terminated branch; however, they may be transferred for completion of processing and underwriting to another FHA insured mortgagee with direct endorsement approval for the area covered by the termination. Mortgagees are obligated to continue to pay existing insurance premiums and meet all other obligations associated with insured mortgages.

A terminated mortgagee may apply for reinstatement of the Origination Approval Agreement if the Approval for the affected branch or branches has been terminated for at least six months and the mortgagee continues to be an approved mortgagee meeting the requirements of 24 CFR 202.5, 202.6, 202.7, 202.8 and 202.12. However, Mortgagee Letter 2010–20 and Final Rule 5356-F-02 at 24 CFR 202 eliminates FHA approval for loan correspondents after December 31, 2010. Therefore, HUD will not accept requests for reinstatement from loan correspondents after that date. The mortgagee's application for reinstatement must be in a format prescribed by the Secretary and signed by the mortgagee. In addition, the application must be accompanied by an independent analysis of the terminated office's operations as well as its mortgage production, specifically including the FHA-insured mortgages cited in its termination notice. This independent analysis shall identify the underlying cause for the mortgagee's high default and claim rate. The analysis must be prepared by an independent Certified Public Accountant (CPA) qualified to perform audits under Government Auditing Standards as provided by the Government Accountability Office. The mortgagee must also submit a written corrective action plan to address each of the issues identified in the CPA's report, along with evidence that the plan has been implemented. The application for a new Agreement should be in the form of a letter, accompanied by the CPA's

report and corrective action plan. The request should be sent to the Director, Office of Lender Activities and Program Compliance, 451 Seventh Street, SW., Room B133–P3214, Washington, DC 20410–8000 or by courier to 490 L'Enfant Plaza, East, SW., Suite 3214, Washington, DC 20024–8000.

Action: The following mortgagees have had their Origination Agreements terminated by HUD:

Mortgagee name	Mortgagee branch address	HUD office jurisdictions	Termination effective date	Homeownership centers
Access National Mortgage Corporation.	1800 Robert Fulton Drive, Ste 350, Reston, VA 20191.	Atlanta	7/9/2010	Atlanta.
Access National Mortgage Corporation.	1800 Robert Fulton Drive, Ste 350, Reston, VA 20191.	Boston	7/9/2010	Philadelphia.
Access National Mortgage Corporation.	1800 Robert Fulton Drive, Ste 350, Reston, VA 20191.	Greensboro	7/9/2010	Atlanta.
Allied Home Mortgage Capital Corporation.	6110 Pinemont Drive, Ste 215, Houston, TX 77092.	Baltimore	5/27/2010	Philadelphia.
1st Continental Mortgage Inc	2691 E. Oakland Park Blvd., Ste 2, Ft. Lauderdale, FL 33306.	Tampa	5/20/2010	Atlanta.
American First Mortgage LLC Amerinet Financial LLC	7155 Kerr Plaza, Olive Branch, MS 38654	Memphis Washington	5/20/2010 5/20/2010	Atlanta. Philadelphia.
Associated Capital Resources Inc	706 East Bell Road, Ste 100, Phoenix, AZ 85022	Phoenix	5/20/2010	Santa Ana.
Castle Home Mortgage Corp  Dell Franklin Financial LLC	1600 Route 22, East, Union, NJ 07083	Newark Washington	5/20/2010 5/20/2010	Philadelphia. Philadelphia.
Dell Franklin Financial LLC	7061 Columbia Gateway Dr, Ste 110, Columbia, MD 21046.	Richmond	5/20/2010	Philadelphia.
EMI Equity Mortgage INC First Mortgage Group INC	1651 Ave Ponce De Leon, San Juan, PR 00909 118 Moulton Street East, Ste 2, Decatur, AL 35601.	Caribbean Birmingham	5/28/2010 5/28/2010	Atlanta. Atlanta.
Fox Valley Mortgage Group Hanover Funding INC JPMorgan Chase Bank NA JPMorgan Chase Bank NA Key Financial Corporation	455 East Main Street, East Dundee, IL 60118 7 Kingsbridge Road, Fairfield, NJ 07004 900 Stewart Avenue, Garden City, NY 11530 900 Stewart Avenue, Garden City, NY 11530 3631 131st Avenue North, Clearwater, FL 33762–4263.	Chicago	7/9/2010 5/20/2010 7/11/2010 7/11/2010 7/1/2010	Philadelphia. Philadelphia. Atlanta. Denver. Philadelphia.
Midwest Funding Group	6343 Presidential Gateway, Columbus, OH 43231–7695.	Columbus	5/20/2010	Atlanta.
Mortgage Plus INC	67 Walnut Avenue, Ste 310, Clark, NJ 07066- 1687.	Newark	5/28/2010	Philadelphia.
Pacific Coast Mortgage INC	6991 East Camelback Rd., Ste C250, Scottsdale, AZ 85251–2452.	Phoenix	5/28/2010	Santa Ana.
Preferred Lending Group LLC	9700 63rd Ave. N Ste 205, Maple Grove, MN 55369.	Minneapolis	6/1/2010	Denver.
Real Estate Mortgage Network, Inc.	70 Grand Avenue, River Edge, NJ 07661	Atlanta	7/2/2010	Atlanta.
Residential Mortgage Corp	1332 Andrea Street, Bowling Green, KY 42104–3334.	Louisville	6/1/2010	Atlanta.
Summit Funding	2601 Fair Oaks Boulevard, Sacramento, CA 95864.	Fort Worth	7/1/2010	Denver.

Dated: September 23, 2010.

### David H. Stevens,

Assistant Secretary for Housing—Federal Housing Commissioner.

[FR Doc. 2010-24827 Filed 10-1-10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5411-N-04]

### Credit Watch Termination Initiative Termination of Direct Endorsement (DE) Approval

**AGENCY:** Office of the Assistant Secretary for Housing—Federal Housing Commissioner, HUD.

ACTION: Notice.

SUMMARY: This notice advises of the cause and effect of termination of Direct Endorsement (DE) Approval taken by HUD's Federal Housing Administration (FHA) against HUD-approved mortgagees through the FHA Credit Watch Termination Initiative. This notice includes a list of mortgagees which have had their DE Approval terminated.

FOR FURTHER INFORMATION CONTACT: The Quality Assurance Division, Office of Housing, Department of Housing and Urban Development, 451 Seventh Street, SW., Room B133–P3214, Washington, DC 20410–8000; telephone (202) 708–2830 (this is not a toll-free number). Persons with hearing or speech impairments may access that number through TTY by calling the Federal

Information Relay Service at (800) 877–8339.

SUPPLEMENTARY INFORMATION:  $\operatorname{HUD}\ \operatorname{has}$ the authority to address deficiencies in the performance of lenders' loans as provided in HUD's mortgagee approval regulations at 24 CFR 202.3. On May 17, 1999 HUD published a notice (64 FR 26769), on its procedures for terminating Origination Approval Agreements with FHA lenders and placement of FHA lenders on Credit Watch status (an evaluation period). In the May 17, 1999 notice, HUD advised that it would publish in the **Federal Register** a list of mortgagees, which have had their Approval Agreements terminated. On January 21, 2010 HUD issued Mortgagee Letter 2010-03 which advised the extended procedures for

terminating Underwriting Authority of Direct Endorsement mortgagees.

Termination of Direct Endorsement Approval: Approval of a DE mortgagee by HUD/FHA authorizes the mortgagee to underwrite single family mortgage loans and submit them to FHA for insurance endorsement. The Approval may be terminated on the basis of poor performance of FHA-insured mortgage loans underwritten by the mortgagee. The termination of a mortgagee's DE Approval is separate and apart from any action taken by HUD's Mortgagee Review Board under HUD's regulations at 24 CFR part 25.

Cause: HUD's regulations permit HUD to terminate the DE Approval with any mortgagee having a default and claim rate for loans endorsed within the preceding 24 months that exceeds 300 percent of the default and claim rate within the geographic area served by a HUD field office, and also exceeds the national default and claim rate. For quarterly review period ending December 31, 2009, HUD is terminating the DE Approval of mortgagees whose default and claim rate exceeds both the national rate and 300 percent of the field office rate.

Effect: Termination of the DE Approval precludes the mortgagee from underwriting FHA-insured single-family mortgages within the area of the HUD field office(s) listed in this notice.

Mortgagees authorized to purchase, hold, or service FHA insured mortgages may continue to do so.

Loans that closed or were approved before the Termination became effective may be submitted for insurance endorsement. Approved loans are those already underwritten and approved by a DE underwriter, and cases covered by a firm commitment issued by HUD. Cases at earlier stages of processing cannot be submitted for insurance by the terminated mortgagee; however, the cases may be transferred for completion of processing and underwriting to another mortgagee with DE Approval in that area. Mortgagees are obligated to continue to pay existing insurance premiums and meet all other obligations associated with insured mortgages.

A terminated mortgagee may apply for reinstatement of the DE Approval if the DE Approval for the affected area or areas has been terminated for at least six months and the mortgagee continues to be an approved mortgagee meeting the requirements of 24 CFR 202.5, 202.6, 202.7, 202.10 and 202.12. The mortgagee's application for reinstatement must be in a format prescribed by the Secretary and signed by the mortgagee. In addition, the

application must be accompanied by an independent analysis of the terminated office's operations as well as its mortgage production, specifically including the FHA-insured mortgages cited in its termination notice. This independent analysis shall identify the underlying cause for the mortgagee's high default and claim rate. The analysis must be prepared by an independent Certified Public Accountant (CPA) qualified to perform audits under Government Auditing Standards as provided by the Government Accountability Office. The mortgagee must also submit a written corrective action plan to address each of the issues identified in the CPA's report, along with evidence that the plan has been implemented. The application for a new Agreement should be in the form of a letter, accompanied by the CPA's report and corrective action plan. The request should be sent to the Director, Office of Lender Activities and Program Compliance, 451 Seventh Street, SW., Room B133-P3214, Washington, DC 20410-8000 or by courier to 490 L'Enfant Plaza, East, SW., Suite 3214, Washington, DC 20024-8000.

Action: The following mortgagees have had their DE Approvals terminated by HUD:

Mortgagee name	Mortgagee branch address	HUD office jurisdictions	Termination effective date	Homeowner- ship centers
Alacrity Lending Co	2535 E Southlake Blvd., Suite 100, Southlake, TX 76092.	Fort Worth	7/9/2010	Denver.
Cambridge Home Capital LLC	80 Cuttermill Road, Suite 408, Great Neck, NY 11021.	New York	6/24/2010	Philadelphia.
Freedom Mortgage Corp	907 Pleasant Valley Avenue, Mount Laurel, NJ 08054.	San Antonio	7/1/2010	Denver.
Pierce Commercial Bankk	1722 S Union Avenue, Tacoma, WA 98405	Seattle	7/1/2010	Santa Ana.
Universal Lending Corp Universal Lending Corp	6775 E Evans Avenue, Denver, CO 80224 6775 E Evans Avenue, Denver, CO 80224	Houston Kansas City	7/9/2010 7/9/2010	Denver. Denver.

Dated: September 23, 2010.

### David H. Stevens,

Assistant Secretary for Housing—Federal Housing Commissioner.

[FR Doc. 2010–24829 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5449-D-01]

### Delegation and Redelegation of Authority for the Office of the Inspector General

**AGENCY:** Office of the Inspector General, HUD.

**ACTION:** Notice of delegation and redelegation of authority.

**SUMMARY:** This notice updates the delegation of authority of the Office of Inspector General to require by subpoena the production of all information, documents, reports, answers, records, accounts, papers, and other data in any medium (including electronically stored information, as well as any tangible thing) and documentary evidence necessary in the performance of the functions assigned by the Inspector General Act to the Deputy Inspector General, the Assistant Inspectors General, the Deputy Assistant Inspectors General, the Special Agents in Charge, the Regional Inspectors General for Audit, the Director of Inspections and Evaluations, the Directors within the Office of Audit, and the Counsel to the Inspector General.

This notice also redelegates to the above-mentioned officials the authority of the Inspector General to cause the seal of the Department to be affixed to certain documents and to certify that a copy of any book, record, paper, microfilm or other document is a true copy of that in the files of the Department. This notice also delegates the authority to the Deputy Inspector General, the Assistant Inspector General for Investigation, the Deputy Assistant Inspectors General for Investigation, the Special Agents in Charge, and the Counsel to the Inspector General to request information under 5 U.S.C. section 552a(b)(7).

**DATES:** *Effective Date:* September 27, 2010.

#### FOR FURTHER INFORMATION CONTACT:

Bryan Howell, Counsel to the Inspector General, Office of the Inspector General, Department of Housing and Urban Development, 451 Seventh Street, SW., Room 8260, Washington, DC 20410– 4500, telephone (202) 708–1613. (These are not toll-free numbers.)

**SUPPLEMENTARY INFORMATION:** This notice supersedes the delegation of authority published at 72 FR 7775 (February 20, 2007).

Section 6(a)(4) of the Inspector General Act of 1978 (5 U.S.C. app.) authorizes the Inspector General to require by subpoena the production of all information, documents, reports, answers, records, accounts, papers, and other data in any medium (including electronically stored information, as well as any tangible thing) and documentary evidence necessary in the performance of the functions assigned by the Inspector General Act. This notice delegates this authority to issue subpoenas from the Inspector General to the Deputy Inspector General, the Assistant Inspectors General, the Deputy Assistant Inspectors General, the Special Agents in Charge, the Regional Inspectors General for Audit, the Director of the Inspections and Evaluations Division, the Directors within the Office of Audit, and the Counsel to the Inspector General.

This notice also redelegates to the above-mentioned officials the authority delegated to the Inspector General by the Secretary of HUD in the Delegation of Authority published on April 15, 1987, at 52 FR 12259, which delegated to various officials, including the Inspector General, the authority to cause the seal of the Department to be affixed to certain documents and to certify that a copy of any book, record, paper, microfilm or other document is a true copy of that in the files of the Department.

Section 552a(b)(7) authorizes the Inspector General to request information protected by the Privacy Act for a civil or criminal law enforcement activity. This notice delegates to the Deputy Inspector General, the Assistant Inspector General for Investigations, the Deputy Assistant Inspectors General for Investigations, the Special Agents in Charge, and the Counsel to the Inspector General the authority to request information under 5 U.S.C. section 552a(b)(7).

The Inspector General has not limited his authority to issue subpoenas or to affix the Departmental seal and certify copies of records, or to request information under 5 U.S.C. 552a by this delegation or redelegation. Also, this delegation and redelegation of authority prohibits further delegation or redelegation.

Accordingly, the Inspector General delegates and redelegates as follows:

# Section A. Authority Delegated and Redelegated

The HUD Inspector General delegates to the Deputy Inspector General, the Assistant Inspectors General, the Deputy Assistant Inspectors General, the Special Agents in Charge, the Regional Inspectors General for Audit, the Director of the Inspections and Evaluations Division, the Directors within the Office of Audit, and the Counsel to the Inspector General the authority to require by subpoena the production of all information, documents, reports, answers, records, accounts, papers, and other data in any medium (including electronically stored information, as well as any tangible thing) and documentary evidence necessary in the performance of the functions assigned by the Inspector General Act pursuant to Section 6(a)(4) of the Inspector General Act of 1978.

Additionally, the Inspector General redelegates to the Deputy Inspector General, the Assistant Inspectors General, the Deputy Assistant Inspectors General, the Special Agents in Charge the Regional Inspectors General for Audit, the Director of Inspections and Evaluations Division, the Directors within the Office of Audit, and the Counsel to the Inspector General the authority under the delegation of authority published at 52 FR 12259 (April 15, 1987) to cause the seal of the Department of Housing and Urban Development to be affixed to such documents as may require its application and to certify that a copy of any book, record, paper, microfilm or other document is a true copy of that in the files of the Department.

Additionally, the Inspector General delegates to the Deputy Inspector General, the Assistant Inspector General for Investigations, the Deputy Assistant Inspectors General for Investigations, the Special Agents in Charge, and the Counsel to the Inspector General the authority to request information under 5 U.S.C. section 552a(b)(7).

# Section B. No Further Delegation or Redelegation

The authority delegated and redelegated in Section A above may not be further delegated or redelegated.

# Section C. Delegation of Authority Superceded

This delegation supersedes the previous delegation of authority

published in the **Federal Register** at 72 FR 7775 (February 20, 2007).

Authority: Section 6(a)(4), Inspector General Act of 1978 (5 U.S.C. App.); Section 7(d), Department of HUD Act (42 U.S.C. 3535(d)); Delegation of Authority, February 20, 2007, at 72 FR 7775; 5 U.S.C. 552a.

Dated: September 27, 2010.

#### Kenneth M. Donohue.

Inspector General.

[FR Doc. 2010–24748 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5415-N-09]

Notice of Availability: Notice of Funding Availability (NOFA) for HUD's Fiscal Year (FY) 2010 Housing Counseling Training Program

**AGENCY:** Office of the Chief of the Human Capital Officer, HUD.

**ACTION:** Notice.

SUMMARY: HUD announces the availability on its website of the applicant information, submission deadlines, funding criteria, and other requirements for HUD's Housing Counseling Training Program NOFA for FY2010. This year's Housing Counseling Training NOFA makes approximately \$5.125 million made available under the Department of Housing and Urban Development Appropriations Act, 2009 (Pub. L. 111-8, approved March 11, 2009). The purpose of this NOFA is to improve and standardize the quality of counseling provided by housing counselors employed by "participating agencies." Participating agencies include all housing counseling and intermediary organizations participating in HUD's Housing Counseling Program, including HUD-approved agencies, affiliates and branches of HUD-approved intermediaries, HUD-approved multistate organizations, and state housing finance agencies.

The notice providing information regarding the application process, funding criteria and eligibility requirements can be found using the Department of Housing and Urban Development agency link on the Grants.gov/Find Web site at http:// www.grants.gov/search/agencv.do. A link to Grants.gov is also available on the HUD Web site at http:// www.hud.gov/offices/adm/grants/ fundsavail.cfm. The Catalogue of Federal Domestic Assistance (CFDA) number for the Housing Counseling Training NOFA is 14.316. Applications must be submitted electronically

through Grants.gov.

FOR FURTHER INFORMATION CONTACT: For information concerning the Housing Counseling Training NOFA, you may contact Gerard P. Donahoe, Jr., HUD Headquarters, Single Family Housing, Program Support Division, telephone 202–402–3951 (this is not a toll-free number). Persons with speech or hearing impairments may access this telephone number via TTY by calling the toll-free Federal Relay Service during working hours at 800–877–8339.

Dated: September 29, 2010.

### Barbara S. Dorf,

Director, Office of Departmental Grants Management and Oversight, Office of the Chief of the Human Capital Officer. [FR Doc. 2010–24828 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5415-N-16]

Notice of Availability: Notice of Funding Availability (NOFA) for HUD's Fiscal Year (FY) 2010 Asthma Interventions in Public and Assisted Multifamily Housing

**AGENCY:** Office of the Chief of the Human Capital Officer, HUD.

**ACTION:** Notice.

SUMMARY: HUD announces the availability on its website of the applicant information, submission deadlines, funding criteria, and other requirements for the FY2010 Asthma Interventions in Public and Assisted Multifamily Housing Grant Program. Approximately \$2.6 million is made available through this NOFA, by the Consolidated Appropriations Act, 2010 (Pub. L. 111–117, approved December 16, 2009). The purpose of this program is to fund demonstration projects to improve asthma control among children and others currently residing in federally assisted multifamily housing developments that are administered by local public housing authorities (i.e., public housing) as well as privately owned multifamily housing that is subsidized by HUD (e.g. project-based section 8, section 202, and section 811 housing).

The notice providing information regarding the application process, funding criteria and eligibility requirements can be found using the Department of Housing and Urban Development agency link on the Grants.gov/Find Web site at http://www.grants.gov/search/agency.do. A link to Grants.gov is also available on the HUD Web site at http://

www.hud.gov/offices/adm/grants/ fundsavail.cfm. The Catalogue of Federal Domestic Assistance (CFDA) number for Asthma Interventions in Public and Assisted Multifamily Housing Grant Program is 14.914. Applications must be submitted electronically through Grants.gov.

FOR FURTHER INFORMATION CONTACT: For information concerning the FY2010 Asthma Interventions in Public and Assisted Multifamily Housing Grant Program you may contact Dr. J. Kofi Berko, Jr., Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development; 451 Seventh Street, SW., Room 8236, Washington, DC 20410-3000; at 202-402-7696 (this is not a toll-free number) or via e-mail at i.Kofi.Berko@HUD.gov. Persons with speech or hearing impairments may access this telephone number via TTY by calling the toll-free Federal Relay Service during working hours at 800-877-8339.

Dated: September 27, 2010.

#### Barbara S. Dorf,

Director, Office of Departmental Grants Management and Oversight, Office of the Chief of the Human Capital Officer.

[FR Doc. 2010–24751 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5415-N-18]

Notice of Availability: Notice of Funding Availability for HUD's Fiscal Year 2010 Healthy Homes Production Program

**AGENCY:** Office of the Chief of the Human Capital Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD announces the availability on its Web site of the applicant information, submission deadlines, funding criteria, and other requirements for the FY2010 Healthy Homes Production Program. Approximately \$10 million is made available through this NOFA, by the Consolidated Appropriations Act, 2010 (Pub. L. 111–117, approved December 16, 2009). The purpose of HUD's Healthy Homes Production Program is to prevent and correct significant housing-related health and safety hazards in low-income housing. This production-oriented grant program, modeled after the previously successful Healthy Homes Demonstration and Lead Hazard Control Grant Programs, will fund preventive and corrective

measures to address housing-related health and safety hazards.

The notice providing information regarding the application process, funding criteria and eligibility requirements can be found using the Department of Housing and Urban Development agency link on the Grants.gov/Find Web site at http:// www.grants.gov/search/agency.do. A link to Grants.gov is also available on the HUD Web site at http:// www.hud.gov/offices/adm/grants/ fundsavail.cfm. The Catalogue of Federal Domestic Assistance (CFDA) number for the Healthy Homes Production Program is 14.913. Applications must be submitted electronically through Grants.gov.

FOR FURTHER INFORMATION CONTACT: For information concerning the Healthy Homes Production Program, you may contact Michelle M. Miller, Director, Programs Division, Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development; 451 Seventh Street, SW., Room 8236, Washington, DC 20410—3000; telephone 202–402–5769 (this is not a toll-free number); facsimile 202–755–1000; e-mail:

Michelle.M.Miller@HUD.gov. Persons with speech or hearing impairments may access this telephone number via TTY by calling the toll-free Federal Relay Service during working hours at 800–877–8339.

Dated: September 27, 2010.

### Barbara S. Dorf,

Director, Office of Departmental Grants Management and Oversight, Office of the Chief of the Human Capital Officer.

[FR Doc. 2010–24752 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5415-N-13]

Notice of Availability: Notice of Funding Availability (NOFA) for HUD's Fiscal Year (FY) 2010 Lead Technical Studies and Healthy Homes Technical Studies Programs

**AGENCY:** Office of the Chief of the Human Capital Officer, HUD.

**ACTION:** Notice.

SUMMARY: HUD announces the availability on its Web site of the applicant information, submission deadlines, funding criteria, and other requirements for the FY2010 Lead Technical Studies and Healthy Homes Technical Studies Programs.

Approximately \$7 million is made

available through this NOFA, by the Consolidated Appropriations Act, 2010 (Pub. L. 111–117, approved December 16, 2009). Of this amount, approximately \$1 million is for Lead Technical Studies and approximately \$6 million is for Healthy Homes Technical Studies. The overall goal of both the Lead and the Healthy Homes Technical Studies programs is to gain knowledge to improve the efficacy and costeffectiveness of methods for evaluation and control of lead-based paint and other housing related health and safety hazards.

The notice providing information regarding the application process, funding criteria and eligibility requirements can be found using the Department of Housing and Urban Development agency link on the Grants.gov/Find Web site at http:// www.grants.gov/search/agency.do. A link to Grants.gov is also available on the HUD Web site at http:// www.hud.gov/offices/adm/grants/ fundsavail.cfm. The Catalogue of Federal Domestic Assistance (CFDA) number for the Lead Technical Studies Grant Program is 14.902. The CFDA number for the Healthy Homes Technical Studies Grant Program is 14.906. Applications must be submitted electronically through Grants.gov.

FOR FURTHER INFORMATION CONTACT: For information concerning the Lead Technical Studies and Healthy Homes Technical Studies Programs, you may contact Dr. Peter Ashley, Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development; 451 Seventh Street, SW., Room 8236, Washington, DC 20410-3000; at 202-402-7595 or via e-mail at Peter.J.Ashley@hud.gov. Persons with speech or hearing impairments may access this telephone number via TTY by calling the toll-free Federal Relay Service during working hours at 800-877-8339.

Dated: September 27, 2010.

#### Barbara S. Dorf,

Director, Office of Departmental Grants Management and Oversight, Office of the Chief of the Human Capital Officer. [FR Doc. 2010–24750 Filed 10–1–10; 8:45 am]

BILLING CODE 4210-67-P

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[FR-5386-N-10]

Privacy Act; Notification of a New Privacy Act System of Records, Homeless Families Impact Study Data Files

**AGENCY:** Office of the Chief Information Officer HUD.

**ACTION:** Notification of a New Privacy Act System of Records (SORN).

SUMMARY: HUD proposes to establish a new Privacy Act of 1974 (5 U.S.C. 552a), SORN. The proposed new SORN is the Homeless Families Impact Study Data Files (HFISDF). The records system will be used by HUD's Office of Policy Development and Research to study the effects of housing and services interventions for homeless families. Refer to the "Objective" caption to obtain detailed information about the purpose of this study.

**DATES:** Comments Due Date: November 3, 2010.

ADDRESSES: Interested persons are invited to submit comments regarding this notice to the Rules Docket Clerk, Office of General Counsel, Department of Housing and Urban Development, 451 Seventh Street, SW., Room 10276, Washington, DC 20410–0500. Communications should refer to the above docket number and title. A copy of each communication submitted will be available for public inspection and copying between 8:00 a.m. and 5:00 p.m. weekdays at the above address.

### FOR FURTHER INFORMATION CONTACT:

Donna Robinson-Staton, Departmental Privacy Act Officer, 451 Seventh Street, SW., Room 2256, Washington, DC 20410, Telephone Number (202) 402–8047. (This is not a toll-free number.) A telecommunication device for hearing-and speech-impaired individuals (TTY) is available at (800) 877–8339 (Federal Information Relay Service).

**SUPPLEMENTARY INFORMATION:** Pursuant to the Privacy Act of 1974 (5 U.S.C. 552a), as amended notice is given that HUD proposes to establish a new SORN as identified as Housing Families Impact Study Data Files.

Title 5 U.S.C. 552a(e)(4) and (11) provide that the public be afforded a 30-day period in which to comment on the new system of records.

The new system report was submitted to the Office of Management and Budget (OMB), the Senate Committee on Governmental Affairs, and the House Committee on Government Reform pursuant to paragraph 4c of Appendix 1 to OMB Circular No. A–130, "Federal

Responsibilities for Maintaining Records About Individuals," July 25, 1994 (59 FR 37914).

**Authority:** 5 U.S.C. 552a 88 Stat. 1896; 42 U.S.C. 3535(d).

Dated: September 15, 2010.

Jerry E. Williams,

Chief Information Officer.

#### HUD/PD&R-10

#### SYSTEM NAME:

Homeless Families Impact Study Data Files

### SYSTEM LOCATION:

Homeless Families Impact Study Data Files are to be located at Abt Associates Inc., 55 Wheeler Street, Cambridge, MA; Abt Associates Inc., 4550 Montgomery Avenue, Bethesda, MD; and the AT&T Datacenter, 15 Enterprise Ave., Secaucus, NJ 07094.

## CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Families enrolled in Homeless Families Impact Study.

### CATEGORIES OF RECORDS IN THE SYSTEM:

Name; Social Security Number; study identifier; birth date; contact information (home address, telephone numbers, e-mail address); demographic characteristics of the family head (e.g., race/ethnicity, gender, marital status); number of children and other adults in the household (a roster of adults and children with the family head at baseline and spouse/partner and children not with the family head at baseline, and characteristics of these family members); income sources and total family income; employment and earnings for the family head; health (behavioral health and physical health of the family head); substance use; foster care history for the family head; exposure to domestic violence; housing status prior to shelter entry; homelessness history; barriers to housing; homeless program participation; contact information for family and friends; and assigned study intervention, and study involvement information.

### AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Sec. 501, 502, Housing and Urban Development Act of 1970 (Pub. L. 91–609), 12 U.S.C. 1701z–1, 1701z–2.

#### PURPOSE:

The U.S. Department of Housing and Urban Development (HUD) is undertaking an evaluation of the Impacts of Housing and Services Interventions for Homeless Families to provide research evidence to help federal policymakers, community

planners, and local practitioners make sound decisions about the best ways to address homelessness among families. This study will compare four combinations of housing and service interventions for homeless families in a rigorous, multi-site experiment, to determine what interventions work best to promote family stability and wellbeing and, within the limits of statistical power, what sorts of families benefit most from each intervention. The interventions are: (1) Permanent housing subsidy without services (Subsidy Only); (2) Community-Based Rapid Re-housing (CBRR), consisting of temporary housing subsidy provided in conventional housing with limited supportive services; (3) temporary housing subsidy provided in facilitybased housing with intensive services but no guarantee of a permanent subsidy (Project-Based Transitional Housing-PBTH); and (4) shelter, with whatever services the shelter ordinarily provides to its residents and any other assistance available in the community (Usual Care). This study will also exploit naturally occurring variation in program features within these categories and across sites to explore, nonexperimentally, what features of programs seem most responsible for success.

# ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

- Authorized AbtSRBI researchers will collect the data from participating families and will match these primary study data with other datasets for tracking (e.g., matching with change of address databases) to track and locate families throughout the study and to manage the data collection process.
- A limited number of authorized Abt researchers will access personally identifying information to link data from one phase of data collection to another or to match primary study data with other datasets for data collection purposes (e.g., matching with HUD's public housing dataset to measure housing receipt).
- Authorized Abt researchers will also use the data for statistical analysis and to develop findings for this research study.
- Authorized Abt researchers may use the data to create a public use file of non-identifiable data for disclosure to authorized researchers for other purposes. The Department suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; the Department has determined that as a result of the

- suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the HUD or another agency or entity) that rely upon the compromised information.
- If the Department suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; or if the Department has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the HUD or another agency or entity) that rely upon the compromised information; than the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the HUD's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

Each data user's permissions will be defined based on the user's role on the project. For example, the local site interviewer will be able to review data for study participants only for his or her own specific site. Study data will be aggregated or de-identified at the highest level possible for each required, authorized use.

Abt Associates will not use or disclose the data for any purposes other than for the "The Impacts of Housing and Services Interventions for Homeless Families" study ("Homeless Families Study") or related follow-up studies. Abt Associates will not disclose the data to additional parties without the written authority of the providing organization, except where required by law.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

#### SAFEGUARDS:

The following safeguards shall be used to secure data in storage, retrieval, during access, and disposal.

- All personal data (identifiable and de-identified data analyses files) will be encrypted and maintained on a secure workstation or server that is protected by a firewall, complex passwords, and multi-authentication factors, in a directory that can only be accessed by the network administrators and the analysts actively working on the data.
- Data on the secure server will be encrypted using an industry standard algorithm incorporating at least 128-bit

encryption. The decryption key will only be known to analysts actively working with the data.

- Separate data files will be maintained for each questionnaire and for identifying information. Data files used for analysis will be stored in a separate location from files with identifying information to minimize the risk that an unauthorized user could use the unique identification number to link de-identified files with the identifiers. The unique identification number will be protected through multi-mode authentication, in addition to encryption technologies.
- Access rights to the data are granted to limited researchers on a need-to-know basis, and the level of access provided to each researcher is based on the minimal level required that individual to fulfill his research role.
- Abt Associates will backup the data on a regular basis to safeguard against system failures or disasters. Only encrypted versions of the data will be copied to the backup media. Unencrypted data will never be stored on a laptop or on a movable media such as CDs, diskettes, or USB flash drives.
- If an authorized researcher leaves employment or is no longer working on this project, their user ID and access will be terminated within one day, as will VPN access. These steps will be documented as part of termination process.
- The site interviewers will securely store any hard copy documents with personal protected information, such as signed consent forms, tracking letters, or interview appointment schedules.

Consent Forms. After the family signs the informed consent form, the site interviewers will seal the form in an envelope at the conclusion of the interview. Envelopes will be stored in a box in the trunk of the interviewer's car until the interviewer returns home. Once home, the interviewers will complete all necessary paperwork and will submit the completed surveys to the Abt Project Director via FedEx signature required.

Tracking documentation. Each site interviewer must store any tracking letters, appointment schedules, or other documentation with personal protected information, such as name, in a locked cabinet that can only be accessed by the interviewer. Tracking documentation with personal protected information should not be generated until needed in the tracking process to limit risk of unauthorized disclosures. Site interviewers should use study IDs in lieu of personal protected information on tracking documentation whenever

feasible to limit risk of unauthorized disclosures.

All hard copy forms with personal identifying data (the participant agreement/informed consent form) will be stored securely in a locked cabinet that can only be accessed by authorized individuals working on the data. The locked cabinet will be stored in a locked office in a limited-access building.

Hard copy forms that are no longer needed for the study will be shredded. If site interviewers do not have access to a paper shredder, they will submit the paperwork to the Abt Project Director via FedEx with clear instructions to destroy the documents upon receipt.

#### RETRIEVING:

The random assignment datafile within this system will include personal identifiers that can be used to locate records to update families' whereabouts or to verify if a family has already been enrolled in the study. Records within the random assignment datafile can be retrieved by name, social security number, study identification number, birthdate, or spouse name.

After data collection is complete, researchers will use a dataset that is stripped of identifying information for all analyses, with the exception of a unique study identification number assigned to each participating family. The study identification number will be randomly generated at the time of random assignment and will be unrelated to personal information such as SSN, DOB, or name. The study identifier can be linked to the personal identifying information but only by a small number of central research staff at Abt Associates.

### RETENTION AND DISPOSAL:

PII will be maintained only as long as required and only under conditions specified in the study protocol. Upon completion of all research for the Homeless Families study, Abt Associates will permanently destroy of all electronic personally-identifiable information on the working server using one of the methods described by the NIST SP 800–88.

"Guidelines for Media Sanitization" (September 2006). Encrypted versions of the data may remain on backup media for a longer period of time, but will be similarly permanently destroyed.

At the end of the contract, records that do not need to be retained will be shredded and the remainder of the files will be shredded after the three-year retention period required in the contract. The retention and disposal procedures are in keeping with HUD's

records management policies as described in HUD Records Disposition Schedules (2225.6) Appendix 67.

### SYSTEM MANAGER(S) AND ADDRESS:

Carol Star, Director of the Program Evaluation Division, Office of Policy Development and Research, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410, Telephone Number (202) 402–6139.

#### NOTIFICATION PROCEDURE:

For information, assistance, or inquiry about existence or records, contact Donna Robinson-Stanton, Departmental Privacy Act Officer, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC, in accordance with the procedures in 24 CFR part 16.

#### **RECORD ACCESS PROCEDURES:**

The Department's rules for providing access to records to the individual concerned appear in 24 CFR part 16. If additional information or assistance is required, contact the Privacy Act Officer at the appropriate location.

### **CONTESTING RECORD PROCEDURES:**

The Department's rules for contesting the contents of records and appealing initial denials, by the individual concerned, appear in 24 CFR part 16. If additional information or assistance is needed, it may be obtained by contacting:

- (i) In relation to contesting contents of records, the Departmental Privacy Act, Department of Housing and Urban Development, 451 Seventh Street, SW., Room 2256, Washington, DC 20410.
- (ii) In relation to appeals of initial denials, the HUD Departmental Privacy Appeals Officers, Office of General Counsel, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410.

### RECORD SOURCE CATEGORIES:

Original data collected directly from participating families, third party data for tracking purposes (e.g. National Change of Address database, credit bureaus), and administrative data on HUD's public housing programs.

# EXEMPTION FROM CERTAIN OF PROVISION OF THE ACT:

None.

[FR Doc. 2010–24746 Filed 10–1–10; 8:45 am] BILLING CODE 4210–67–P

### **DEPARTMENT OF THE INTERIOR**

Fish and Wildlife Service

[FWS-R5-R-2010-N164; BAC-4311-K9-S3]

Iroquois National Wildlife Refuge, Genesee County and Orleans County, NY

**AGENCY:** Fish and Wildlife Service, Interior.

**ACTION:** Notice of availability of draft comprehensive conservation plan and environmental assessment; request for comments.

SUMMARY: We, the U.S. Fish and Wildlife Service (Service), announce the availability of the draft comprehensive conservation plan (CCP) and environmental assessment (EA) for Iroquois National Wildlife Refuge (NWR) for a 30-day public review and comment period. In this draft CCP/EA, we describe three alternatives, including our Service-preferred Alternative B, for managing this refuge for the next 15 years. Also available for public review and comment is the draft compatibility determinations, which is included as Appendix A in the draft CCP/EA.

DATES: To ensure our consideration of your written comments, we must receive them by November 3, 2010. We will also hold an open house and public meeting at the refuge in the town of Alabama, New York, during the 30-day review period to receive comments and provide information on the draft plan. We will announce and post details about the public meeting in local news media, via our project mailing list, and on our Regional planning Web site, http://www.fws.gov/northeast/planning/Iroquois/ccphome.html.

**ADDRESSES:** Send your comments, requests for more information, or requests for copies of the draft CCP/EA by any of the following methods.

U.S. Mail: Thomas Bonetti, Natural Resource Planner, U.S. Fish and Wildlife Service, 300 Westgate Center Drive, Hadley, MA 01035.

Facsimile: Attention: Thomas Bonetti, 413–253–8468.

Electronic mail:

northeastplanning@fws.gov. Include "Iroquois NWR CCP" in the subject line of your message.

Agency Web site: View or download the draft document at http://www.fws.gov/northeast/iroquois/.

In-Person Drop Off: You may drop off comments during regular business hours at Iroquois NWR, 1101 Casey Road, Basom, NY 14013.

### FOR FURTHER INFORMATION CONTACT:

Thomas Roster, Project Leader, Iroquois

NWR, 1101 Casey Road, Basom, NY 14013; phone: 585–948–5445; facsimile: 585–948–9538; electronic mail: northeastplanning@fws.gov.

#### SUPPLEMENTARY INFORMATION:

#### Introduction

With this notice, we continue the CCP process for Iroquois NWR, which we started by publishing a notice in the **Federal Register** (73 FR 10279; February 26, 2008). We prepared the draft CCP in compliance with the National Environmental Policy Act of 1969 (42 U.S.C. 4321–4347, as amended) (NEPA) and the National Wildlife Refuge System Administration Act of 1966, as amended by the National Wildlife Refuge System Improvement Act of 1997 (16 U.S.C. 668dd–668ee) (Improvement Act).

Iroquois NWR was established in 1958 under the Migratory Bird Conservation Act for "\* \* \* use as an inviolate sanctuary, or for any other management purpose, for migratory birds." (16 U.S.C. 715d). The refuge consists of more than 10,800 acres within the rural townships of Alabama and Shelby, New York, midway between Buffalo and Rochester. Freshwater marshes and hardwood swamps are bounded by forests, grasslands, and wet meadows. These areas serve the habitat needs of both migratory and resident wildlife, including waterfowl, songbirds, mammals, and amphibians, as well as numerous indigenous plant species.

#### Background

The CCP Process:

The Improvement Act requires us to develop a CCP for each national wildlife refuge. The purpose for developing CCPs is to provide refuge managers with 15-year plans for achieving refuge purposes and the mission of the National Wildlife Refuge System (NWRS), in conformance with sound principles of fish and wildlife management, conservation, legal mandates, and our policies. In addition to outlining broad management direction on conserving wildlife and their habitats, CCPs identify wildlifedependent recreational opportunities available to the public, including opportunities for hunting, fishing, wildlife observation and photography, and environmental education and interpretation. We will review and update each CCP at least every 15 years, in accordance with the Improvement Act.

Public Outreach

In conjunction with our Federal Register notice announcing our intent to begin the CCP process, open houses and public information meetings were held in Western New York at three different locations during April 2008. Meetings were advertised locally through news releases, Web sites, and our mailing list. Participants were encouraged to actively express their opinions and suggestions. The public meetings allowed us to gather information and ideas from local residents, adjacent landowners, and various organizations and agencies.

Throughout the process, we have conducted additional outreach via newsletters and participation in meetings, and continued to request public input on refuge management and programs. We considered and evaluated all comments, and incorporated many of them into the varied alternatives in the draft CCP/EA.

#### CCP Actions We Are Considering, Including the Service-Preferred Alternative

We developed three management alternatives based on the purposes for establishing the refuge, its vision and goals, and the issues and concerns the public, State agencies, and the Service identified during the planning process. The alternatives have some actions in common, such as protecting cultural resources, developing step-down management plans, and controlling invasive plant species. Other actions distinguish the alternatives. The draft CCP/EA describes the alternatives in detail, and relates them to the issues and concerns we identified during the planning process. The following are highlights of each of the alternatives.

Alternative A (Current Management)

This alternative is the "No Action" alternative, as required by NEPA. Alternative A defines our current management activities, and serves as the baseline against which to compare the other alternatives. A selection of this alternative would maintain the status quo in managing the refuge for the next 15 years. No major changes would be made to current management practices. This alternative provides a basis for comparing the other two alternatives.

Current management of refuge impoundments would continue, resulting in no change in the amount of open water and emergent marsh habitat available to refuge wildlife. Forested habitat on the refuge would increase as the refuge allows natural succession of some early successional grassland and shrubland habitats. We would continue

to eliminate small, isolated grasslands that do not provide significant habitat, leading to a 138-acre decrease in grassland habitat as compared to current levels. Refuge shrublands would continue to be cut at a rate of 10-20 acres annually, resulting in a decrease of 445 acres of shrubland habitat as compared to current levels. We would maintain existing opportunities for visitors to engage in wildlife observation and photography, environmental education, interpretation, hunting, and fishing on the refuge. We would also maintain existing infrastructure and buildings, and current staffing levels.

# Alternative B (Service-Preferred Alternative)

This alternative is the one we propose as the best way to manage this refuge over the next 15 years. It includes the array of management actions that, in our professional judgment, works best toward achieving the refuge purposes, our vision and goals, and the goals of other State and regional conservation plans. We also believe it most effectively addresses the key issues raised during the planning process.

Under Alternative B, refuge habitat management would focus on decreasing habitat fragmentation and restoring native habitats. Similar to Alternative A, management of refuge impoundments would not change, with no change in the amount of open water and emergent marsh habitat available. The amount of early successional habitat, including grasslands and shrublands, would slightly increase as the refuge removes remaining hedgerows and improves connectivity between these habitats. The refuge would also convert 202 acres of non-native conifer plantations, replacing most of these plantations with native tree species. Some plantations, located in shrubland management areas, would be converted to native shrub species.

Similar to many other national wildlife refuges, we propose to limit public access to designated areas of the refuge year-round. Wildlife observation and photography, hiking, and walking would be permitted on established refuge nature trails. Off-trail access would be limited to permitted hunters participating in refuge hunting programs. Limiting off-trail access would reduce human disturbance to foraging and resting waterfowl and other migratory birds using refuge impoundments.

Under this alternative, we would renovate and expand the existing refuge headquarters building as a new visitor contact station and administration building. We also propose to co-locate the Lower Great Lakes Fish and Wildlife Conservation Office, currently located in Amherst, New York, in this new building. The 10,609 square-foot building would house a sales outlet for the Friends of Iroquois National Wildlife Refuge, an exhibit hall, multipurpose room, conference room, and office space to accommodate Service Refuge and Fisheries programs staff and New York State Department of Environmental Conservation staff.

We would also continue our biological monitoring and inventory program, but regularly evaluate the results to help us better understand the implications of our management actions and identify ways to improve their effectiveness.

#### Alternative C (Natural Systems)

Refuge management under Alternative C would focus on restoration of natural ecosystem processes and functions. Habitat management would target a more natural state and emphasize restoration of native habitats. Refuge impoundments would no longer be actively managed, resulting in a 329acre decrease in open water and emergent marsh habitat. Only the two largest grassland units would be managed, leading to a 50 percent reduction in the amount of grassland habitat. We would also discontinue active management of shrubland habitat, with only some native shrub swamp habitat remaining. Under this alternative, forest cover would increase by 1,548 acres through the natural succession of refuge grasslands, shrublands, open water, and emergent marsh habitat. Similar to Alternative B, non-native conifer plantations would be replaced with native tree species.

We propose to limit public access to designated areas of the refuge year-round, allowing wildlife observation, hiking, and walking on established refuge nature trails. Also, we propose to co-locate the Lower Great Lakes Fish and Wildlife Conservation Office currently located in Amherst, New York, with a new visitor contact station and administration building at Iroquois NWR.

#### **Public Meetings**

We will give the public opportunities to provide input at an open house and public meeting at the refuge headquarters in Alabama, New York. You can obtain the schedule from the project leader or natural resource planner (see ADDRESSES or FOR FURTHER INFORMATION CONTACT above). You may also submit comments at any time during the planning process by any means shown in the ADDRESSES section.

#### **Public Availability of Comments**

Before including your address, phone number, e-mail address, or other personal identifying information in your comments, you should be aware that your entire comment—including your personal identifying information—may be made publicly available at any time. While you can ask us in your comment to withhold your personal identifying information from public review, we cannot guarantee that we will be able to do so.

Dated: September 9, 2010.

#### James G. Geiger,

Acting Regional Director, U.S. Fish and Wildlife Service, Hadley, MA 01035.
[FR Doc. 2010–24836 Filed 10–1–10; 8:45 am]

BILLING CODE 4310-55-P

#### **DEPARTMENT OF THE INTERIOR**

#### **National Park Service**

Jackson Hole Airport Agreement Extension, Final Environmental Impact Statement, Grand Teton National Park, Wyoming

**AGENCY:** National Park Service, Department of the Interior.

**ACTION:** Notice of Availability of the Final Environmental Impact Statement for the Jackson Hole Airport Agreement Extension, Grand Teton National Park.

**SUMMARY:** Pursuant to the National Environmental Policy Act of 1969, 42 U.S.C. 4332(2)(C), the National Park Service announces the availability of a Final Environmental Impact Statement for the Jackson Hole Airport Agreement Extension, Grand Teton National Park, Wyoming. This effort addresses a request from the Jackson Hole Airport Board to amend the agreement between the Department of the Interior and the Airport Board in order to ensure that the airport remains eligible for funding through the Federal Aviation Administration (FAA). The proposal would allow the agreement to be amended to provide two additional 10year options that could be exercised by the Board, the first in 2013 and the second in 2023. By exercising these options, the Board would ensure that the airport remains eligible for Airport Improvement Program grants from the FAA, upon which commercial airports are dependent. These grants provide funds for projects such as maintenance of the runway and taxiways, purchase of capital equipment such as snowplows and fire engines, and other projects necessary for the airport to retain its certification as a commercial airport. Without such funds, the airport would

at some point be unable to retain its certification and all commercial air service would be terminated.

Alternatives considered in the EIS include Alternative 1: No Action-The airport would continue operations under the existing Agreement which currently has an expiration date of April 27, 2033; and Alternative 2: Extend Agreement—This alternative would amend the text of the 1983 Agreement to provide the Jackson Hole Airport Board with options for two additional 10-year terms. The proposed amendment would also add language to the Agreement strengthening the requirements of the Airport Board to work in good faith to further reduce and mitigate the impacts of the airport on the park to the lowest practicable level, consistent with the safe and efficient operation of the airport and within applicable laws and regulations. In addition, the Agreement would require the Airport Board to prepare a biennial report of its operations and accomplishments, including efforts to mitigate its impacts, and to periodically review the terms of the Agreement with the NPS at least every five years. Alternative 2 is the Preferred Alternative.

**DATES:** The National Park Service will execute a Record of Decision (ROD) no sooner than 30 days following publication by the Environmental Protection Agency of the Notice of Availability of the Final Environmental Impact Statement.

ADDRESSES: Information will be available for public inspection online at http://parkplanning.nps.gov/GRTE, in the office of the Superintendent, Mary Gibson Scott, Grand Teton National Park, P.O. Drawer 170, Moose, Wyoming.

FOR FURTHER INFORMATION CONTACT: Gary Pollock, Grand Teton National Park, P.O. Drawer 170, Moose, Wyoming, 307–739–3410, gary pollock@nps.gov.

Dated: September 17, 2010.

#### John Wessels,

Regional Director, Intermountain Region, National Park Service.

[FR Doc. 2010-24789 Filed 10-1-10; 8:45 am]

BILLING CODE P

#### **DEPARTMENT OF THE INTERIOR**

#### **National Park Service**

Warner Valley Comprehensive Site Plan, Final Environmental Impact Statement, Lassen Volcanic National Park, Plumas County, CA; Notice of Availability

Summary: Pursuant to § 102(2)(C) of the National Environmental Policy Act of 1969 (Pub. L. 81-190 as amended), the National Park Service (NPS), Department of the Interior, has prepared a Final Environmental Impact Statement (Final EIS) for the Warner Valley Comprehensive Site Plan. This conservation planning effort has four main objectives: (1) Improving visitor experience and enhancing public safety through improvements to infrastructure and relocating infrastructure so it is less visible; (2) Ecological restoration of Warner Valley fen and wetland areas; (3) Removal or repair of Dream Lake Dam and restoration of associated riparian/wetland complex; (4) Protect and enhance the Drakesbad Historic District through removal of noncontributing structures and functions. The Final EIS evaluates alternative methods for accomplishing ecological restoration and cultural resource protection; appropriate mitigation measures are incorporated, and an "environmentally preferred" course of action is identified.

Background: Warner Valley is located in the south central part of the Lassen Volcanic National Park, and encompasses the 400-acre project planning area. This area includes Dream Lake Dam, built in 1932 by Alex Sifford, which impounds an approximately 2.7-acre lake. The center of the valley features a large meadow that contains one of the largest known fens in the Sierra Nevada and Cascade mountains. The upper valley area was originally developed for cattle ranching in the late 1800s by E.R. Drake, who initiated efforts to ditch and dry out the wet meadow to improve the grazing for cattle. In 1900, Mr. Drake sold his Warner Valley property to the Sifford family who focused on developing a summer guest ranch for the next 50 years. The Siffords built the 10 structures which now form the core of Drakesbad Guest Ranch Historic District (both Dream Lake and the meadow are contributing features). They transformed overnight accommodations from tent camping near hot springs into a guest ranch. The Siffords also built or improved trails, created a hot spring-fed pool, constructed corrals, further dewatered the meadow, and constructed

the impoundment to create the lake for their guests.

In 1958 the facilities and land were sold to the NPS. The Guest Ranch continues today as a concession operated by California Guest Services. The current Drakesbad wetland conditions include a fen which is drying out and ceasing to function as a fen, and Dream Lake, which has submerged a natural wetland. The Historic District has accumulated many features and structures which diminish its historic character. Lack of clearly demarcated parking affects natural resources by allowing car traffic to encroach in sensitive areas. Hikers traveling the Pacific Crest Trail must walk on the unimproved Warner Valley Road, which also passes through the nearby campground.

Range of Alternatives: The Final EIS describes and analyzes a "no action" alternative (Alternative 1) which would continue current management practices, and two "action" alternatives. Alternatives 2 and 3 contain a varying mix of three major components: (1) Enhancing visitor experience and safety through improving or relocating nonessential infrastructure so it has less impact on park visitor experience and historic district qualities; (2) ecological restoration of wetlands including Drakesbad fen, the larger Warner Vallev fen wetland, and Dream Lake submerged riparian/wetland complex; (3) protecting and enhancing the Historic District by removing noncontributing structures and functions.

Both "action" alternatives include the following common elements:

• Move concession employee housing, storage, generator, and propane tanks out of the Historic District and into a new service area.

• Create a Pacific Crest Trail connection so hikers may avoid walking along Warner Valley road.

• Renovate and slightly expand the non-historic bathhouse adjacent to the swimming pool.

• Reduce parking sprawl by replacing inadequate wheel stops.

• Make minor changes to the campground and fee station location, including relocation of the day use/ trailhead parking from a meadow to the campground.

Alternative 2 (agency preferred) components include: (a) Ecological restoration of Warner Valley fen through permanently filling ditches with appropriate soil and native material; (b) creation of a concession housing and service center outside of the Historic District composed of tent cabins surrounding a single-story bathroom building; (c) removal of Dream Lake

Dam and allowing the area to revert to a riparian/wetland complex. In addition, a change to *Alternative 2* (as was presented in the Draft EIS) has been incorporated, in response to public comment and further staff analysis, which is as follows: relocate, rather than eliminate, the volleyball court to a location adjacent to the pool. This change is intended to protect historic viewsheds yet maintain a range of recreational activities for Guest Ranch visitors. This alternative has also been deemed to be "environmentally preferred".

Alternative 3 includes: (a) Restoration of Warner Valley fen through selective damming of ditches; (b) creation of a concession housing and service center outside the Drakesbad Historic District composed of a two-story dormitory building with bathrooms; (c) reconstruction of Dream Lake Dam to Bureau of Reclamation engineering standards. There are no changes to this alternative.

Public Involvement and Agency Coordination: The original public scoping effort focused on a proposed Dream Lake Dam Management Plan. Preliminary meetings were held during November 4-7, 2002, in Chico, Red Bluff, Redding, and Chester. Meeting announcements were printed in the Red Bluff Daily News, Chester Progressive, Redding Record Searchlight, and the Sacramento Bee (twenty additional media outlets, including newspaper, radio stations, and television stations were also notified). Formal public scoping for this Management Plan was announced in the Federal Register on April 4, 2003. This initial conservation planning effort was expanded into the broader Warner Valley Comprehensive Site Plan as it became apparent that separate planning projects would be more time consuming to accomplish. Preliminary scoping for the Warner Valley Comprehensive Site Plan was initiated on June 1, 2004, with solicitations at the Drakesbad Guest Ranch Lodge for comments about potential future management options for the upper valley area. Public meetings for the Comprehensive Site Plan were held during June 13-15, 2005, in Red Bluff, Chester, and Vacaville. The same media outlets mentioned above were notified, and approximately 700 public scoping announcements were distributed. Formal scoping was initiated on June 24, 2005, with publication in the Federal Register of the Notice of Intent to prepare an EIS. These outreach activities elicited information from individuals, agencies, and organizations, which aided the alternatives formulation and

environmental impact analysis processes; all comments obtained throughout the extended scoping effort are available in the administrative record.

On August 21, 2009, the Warner Valley Comprehensive Site Plan/Draft Environmental Impact Statement (Draft EIS) was released for a 90-day public review period, closing on November 21, 2009. Three public open houses were hosted; on September 2 in Chester, September 3 in Anderson, and September 8 in Vacaville. Copies of the Draft EIS were available for review at local libraries and the open houses, and an electronic version was posted at both Lassen Volcanic National Park's Web site http://www.nps.gov/lavo/ parkmgmt/index.htm and the NPS Planning, Environment and Public Comment Web site http://parkplanning. nps.gov. A total of 33 comment letters were received, including from Greenville Rancheria, the Environmental Protection Agency, the Federal Emergency Management Agency, the California Regional Water Quality Control Board, the Northern Sierra Air Quality District, the Almanor Basin Fire Safe Council, and assorted letters from groups and individuals. Topics mentioned most frequently included: Restoration of Drakesbad Meadow; removal of Dream Lake Dam; alternative energy use; changes to campgrounds and redesign of trails and pathways; proposed new concessioner employee housing; and relocation of the volleyball court. Personal letters conveyed opinions both in favor and against actions proposed—some of these comments arose out of interest in preserving Drakesbad Guest Ranch in its present condition without any significant changes. Agencies provided information regarding regulatory requirements and permitting. Comments and responses are detailed in Chapter 6 of the Final EIS.

Supplementary Information: The Final EIS will be distributed in the same manner as the Draft EIS. In addition to this announcement, availability of the document will be publicized through local and regional press media. The document will be available at park headquarters and at local public libraries, and an electronic version will also be posted on the Lassen Volcanic National Park and NPS Web sites (see above). New requests for the document can be made at (530) 595–4444 extension 5101 or

lavo\_planning@nps.gov. Not sooner than thirty days after the Federal Register notice by the Environmental Protection Agency of availability of the Final EIS, a Record of Decision may be prepared. As a delegated EIS, the official responsible for approval of the Comprehensive Site Plan is the Regional Director, Pacific West Region. The official subsequently responsible for implementation will be the Superintendent, Lassen Volcanic National Park.

Dated: March 19, 2010.

#### Patricia L. Neubacher,

Acting Regional Director, Pacific West Region. [FR Doc. 2010–24790 Filed 10–1–10; 8:45 am]

BILLING CODE 4312-GD-P

### **DEPARTMENT OF THE INTERIOR**

#### Fish and Wildlife Service

[FWS-R9-FHC-2010-N211; 94140-1341-0000-N5]

# **Aquatic Nuisance Species Task Force Meeting**

AGENCY: Fish and Wildlife Service,

Interior.

**ACTION:** Notice of meeting.

SUMMARY: This notice announces a meeting of the Aquatic Nuisance Species (ANS) Task Force. The meeting is open to the public. The meeting topics are identified in the SUPPLEMENTARY INFORMATION section.

**DATES:** The ANS Task Force will meet from 8 a.m. to 5 p.m. on Wednesday, November 3 through Thursday,

November 4, 2010.

ADDRESSES: The ANS Task Force meeting will take place at the Holiday Inn—Arlington at Ballston, 4610 N. Fairfax Drive, Arlington, VA 22203 (703–243–9800). You may inspect minutes of the meeting at the office of the Chief, Division of Fisheries and Aquatic Resource Conservation, U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, Arlington, VA 22203, during regular business hours, Monday through Friday. You may also view the minutes on the ANS Task Force Web site at: http://anstaskforce.gov/meetings.php.

### FOR FURTHER INFORMATION CONTACT:

Susan Mangin, Executive Secretary, ANS Task Force, by phone at (703) 358– 2466, or by e-mail at Susan\_Mangin@fws.gov.

**SUPPLEMENTARY INFORMATION:** Under section 10(a)(2) of the Federal Advisory Committee Act (5 U.S.C. App.), this notice announces meetings of the ANS Task Force. The ANS Task Force was established by the Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990 (Pub. L. 106–580, as amended).

Topics that the ANS Task Force plans to cover during the meeting include: Regional Panel issues and recommendations, updates on ANSTF-approved species management and control plans, vector management, and consideration for approval of State ANS management plans. The agenda and other related meeting information are on the ANS Task Force Web site at: http://anstaskforce.gov/meetings.php.

Dated: September 24, 2010.

#### Bryan Arroyo,

Co-Chair, Aquatic Nuisance Species Task Force, Assistant Director—Fisheries & Habitat Conservation.

[FR Doc. 2010-24807 Filed 10-1-10; 8:45 am]

BILLING CODE 4310-55-P

# INTERNATIONAL TRADE COMMISSION

[Investigation No. 731-TA-1088 (Final)]

### **Polyvinyl Alcohol From Taiwan**

**AGENCY:** United States International Trade Commission.

**ACTION:** Scheduling of the final phase of an antidumping investigation.

**SUMMARY:** The Commission hereby gives notice of the scheduling of the final phase of antidumping investigation No. 731-TA-1088 (Final) under section 735(b) of the Tariff Act of 1930 (19 U.S.C. 1673d(b)) (the Act) to determine whether an industry in the United States is materially injured or threatened with material injury, or the establishment of an industry in the United States is materially retarded, by reason of less-than-fair-value imports from Taiwan of polyvinyl alcohol, provided for in subheading 3905.30.00 of the Harmonized Tariff Schedule of the United States.<sup>1</sup>

For further information concerning the conduct of this phase of the investigation, hearing procedures, and rules of general application, consult the

<sup>&</sup>lt;sup>1</sup> For purposes of this investigation, the Department of Commerce has defined the subject merchandise as all PVA hydrolyzed in excess of 80 percent, whether or not mixed or diluted with commercial levels of defoamer or boric acid. PVA in fiber form and PVB-grade low-ash PVA are not included in the scope of this investigation. PVBgrade low-ash PVA is defined to be PVA that meets the following specifications: Hydrolysis, Mole % of  $98.40 \pm 0.40, 4\%$  Solution Viscosity  $30.00 \pm 2.50$ centipois, and ash-ISE, wt% less than 0.60, 4% solution color 20mm cell, 10.0 maximum APHA units, haze index, 20mm cell, 5.0, maximum. The merchandise under investigation is currently classifiable under subheading 3905.30.00 of the Harmonized Tariff Schedule of the United States (HTSUS). Although the HTSUS subheading is provided for convenience and customs purposes, the written description of the merchandise under investigation is dispositive.

Commission's Rules of Practice and Procedure, part 201, subparts A through E (19 CFR part 201), and part 207, subparts A and C (19 CFR part 207). **DATES:** Effective Date: September 13, 2010.

#### FOR FURTHER INFORMATION CONTACT:

Angela M.W. Newell (202-708-5409), Office of Investigations, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436. Hearing-impaired persons can obtain information on this matter by contacting the Commission's TDD terminal on 202-205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at 202-205-2000. General information concerning the Commission may also be obtained by accessing its Internet server (http:// www.usitc.gov). The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at http://edis.usitc.gov.

#### SUPPLEMENTARY INFORMATION:

Background.—The final phase of this investigation is being scheduled as a result of an affirmative preliminary determination by the Department of Commerce that imports of polyvinyl alcohol from Taiwan are being sold in the United States at less than fair value within the meaning of section 733 of the Act (19 U.S.C. 1673b). The investigation was requested in a petition filed on September 7, 2004, by Celanese Chemicals, Ltd., Dallas, TX.

Participation in the investigation and public service list.—Persons, including industrial users of the subject merchandise and, if the merchandise is sold at the retail level, representative consumer organizations, wishing to participate in the final phase of this investigation as parties must file an entry of appearance with the Secretary to the Commission, as provided in section 201.11 of the Commission's rules, no later than 21 days prior to the hearing date specified in this notice. A party that filed a notice of appearance during the preliminary phase of the investigation need not file an additional notice of appearance during this final phase. The Secretary will maintain a public service list containing the names and addresses of all persons, or their representatives, who are parties to the investigation.

Limited disclosure of business proprietary information (BPI) under an administrative protective order (APO) and BPI service list.—Pursuant to section 207.7(a) of the Commission's rules, the Secretary will make BPI gathered in the final phase of this investigation available to authorized applicants under the APO issued in the investigation, provided that the application is made no later than 21 days prior to the hearing date specified in this notice. Authorized applicants must represent interested parties, as defined by 19 U.S.C. 1677(9), who are parties to the investigation. A party granted access to BPI in the preliminary phase of the investigation need not reapply for such access. A separate service list will be maintained by the Secretary for those parties authorized to receive BPI under the APO.

Staff report.—The prehearing staff report in the final phase of this investigation will be placed in the nonpublic record on December 15, 2010, and a public version will be issued thereafter, pursuant to section 207.22 of the Commission's rules.

Hearing.—The Commission will hold a hearing in connection with the final phase of this investigation beginning at 9:30 a.m. on January 25, 2011, at the U.S. International Trade Commission Building. Requests to appear at the hearing should be filed in writing with the Secretary to the Commission on or before January 14, 2011. A nonparty who has testimony that may aid the Commission's deliberations may request permission to present a short statement at the hearing. All parties and nonparties desiring to appear at the hearing and make oral presentations should attend a prehearing conference to be held at 9:30 a.m. on January 19, 2011, at the U.S. International Trade Commission Building. Oral testimony and written materials to be submitted at the public hearing are governed by sections 201.6(b)(2), 201.13(f), and 207.24 of the Commission's rules. Parties must submit any request to present a portion of their hearing testimony on camera no later than 7 business days prior to the date of the hearing.

Written submissions.—Each party who is an interested party shall submit a prehearing brief to the Commission. Prehearing briefs must conform with the provisions of section 207.23 of the Commission's rules; the deadline for filing is January 18, 2011. Parties may also file written testimony in connection with their presentation at the hearing, as provided in section 207.24 of the Commission's rules, and posthearing briefs, which must conform with the provisions of section 207.25 of the Commission's rules. The deadline for filing posthearing briefs is February 1, 2011; witness testimony must be filed no later than three days before the hearing. In addition, any person who has not entered an appearance as a party

to the investigation may submit a written statement of information pertinent to the subject of the investigation, including statements of support or opposition to the petition, on or before February 1, 2011. On February 16, 2011, the Commission will make available to parties all information on which they have not had an opportunity to comment. Parties may submit final comments on this information on or before February 18, 2011, but such final comments must not contain new factual information and must otherwise comply with section 207.30 of the Commission's rules. All written submissions must conform with the provisions of section 201.8 of the Commission's rules; any submissions that contain BPI must also conform with the requirements of sections 201.6, 207.3, and 207.7 of the Commission's rules. The Commission's rules do not authorize filing of submissions with the Secretary by facsimile or electronic means, except to the extent permitted by section 201.8 of the Commission's rules, as amended, 67 FR 68036 (November 8, 2002). Even where electronic filing of a document is permitted, certain documents must also be filed in paper form, as specified in II (C) of the Commission's Handbook on Electronic Filing Procedures, 67 FR 68168, 68173 (November 8, 2002).

Additional written submissions to the Commission, including requests pursuant to section 201.12 of the Commission's rules, shall not be accepted unless good cause is shown for accepting such submissions, or unless the submission is pursuant to a specific request by a Commissioner or Commission staff.

In accordance with sections 201.16(c) and 207.3 of the Commission's rules, each document filed by a party to the investigation must be served on all other parties to the investigation (as identified by either the public or BPI service list), and a certificate of service must be timely filed. The Secretary will not accept a document for filing without a certificate of service.

Authority: This investigation is being conducted under authority of title VII of the Tariff Act of 1930; this notice is published pursuant to section 207.21 of the Commission's rules.

By order of the Commission. Issued: September 28, 2010.

#### Marilyn R. Abbott,

Secretary to the Commission. [FR Doc. 2010–24793 Filed 10–1–10; 8:45 am] BILLING CODE 7020–02–P

# INTERNATIONAL TRADE COMMISSION

[Investigation No. 337-TA-708]

In the Matter of Certain Stringed
Musical Instruments and Components
Thereof; Notice of Commission
Determination Not to Review an Initial
Determination Granting a Joint Motion
to Terminate The Proceeding as to the
Remaining Three Respondents;
Termination of the Investigation

**AGENCY:** U.S. International Trade

Commission. **ACTION:** Notice.

**SUMMARY:** Notice is hereby given that the U.S. International Trade Commission has determined not to review an initial determination ("ID") (Order No. 15) granting a joint motion to terminate the investigation as to the three remaining respondents; the investigation is terminated.

FOR FURTHER INFORMATION CONTACT:

James A. Worth, Office of the General Counsel, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436, telephone (202) 205-3065. Copies of non-confidential documents filed in connection with this investigation are or will be available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of the Secretary, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436, telephone (202) 205–2000. General information concerning the Commission may also be obtained by accessing its Internet server (http://www.usitc.gov). The public record for this investigation may be viewed on the Commission's electronic docket (EDIS) at http:// edis.usitc.gov. Hearing-impaired persons are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810.

**SUPPLEMENTARY INFORMATION:** This investigation was instituted on April 2, 2010, based upon a complaint filed on behalf of Geoffrey Lee McCabe of Hollywood, Florida ("McCabe") on February 26, 2010, and supplemented on March 18, 2010. 75 FR 16838 (April 2, 2010). The complaint alleged violations of section 337 of the Tariff Act of 1930 (19 U.S.C. 1337) in the importation into the United States, the sale for importation, and the sale within the United States after importation of certain stringed musical instruments and components thereof by reason of infringement of certain claims of United States Patent Nos. 5,986,191; 6,175,066; 7,470,841; 5,965,831 ("the '831 patent")

and 6,891,094 ("the '094 patent"). The notice of investigation named as respondents Floyd Rose Guitars of Redmond, WA ("Floyd Rose Guitars"); Floyd Rose Marketing, Inc. of Neptune, NJ ("Floyd Rose Marketing"); Davitt & Hanser Music Co. d/b/a HHI of Hebron, KY; ("Davitt"); Ping Well Indus. Co., Ltd. of Taichung, Taiwan ("Ping Well"); Ibanez, Inc. (Hoshino) US of Bensalem, PA; Ibanez, Inc. (Hoshino) Japan; and Fuji Gakki Co., Ltd., of Nagoya, Japan.

On June 11, 2010, the Commission issued notice of its determination to terminate the '831 patent and the '094 patent from this investigation.

On June 29, 2010, the Commission issued notice of its determination not to review an ID terminating the investigation as to Floyd Rose Guitars, Floyd Rose Marketing, Davitt and Ping Well on the basis of a consent order.

On September 7, 2010, the ALJ issued Order No. 15, granting a motion to terminate the three remaining respondents. No petitions for review were filed.

The Commission has determined not to review the subject ID.

This action is taken under the authority of section 337 of the Tariff Act of 1930, as amended (19 U.S.C. 1337), and of section 210.42(h) of the Commission's Rules of Practice and Procedure (19 CFR 210.42(h)).

By order of the Commission. Issued: September 28, 2010.

#### Marilyn R. Abbott,

Secretary to the Commission.
[FR Doc. 2010–24776 Filed 10–1–10; 8:45 am]
BILLING CODE 7020–02–P

#### **DEPARTMENT OF LABOR**

#### Office of the Secretary

Agency Information Collection Activities; Submission for OMB Review; Comment Request for Proposed Information Collection: Contractor Survey Under the Federal Employees' Compensation Act

**ACTION:** Notice.

SUMMARY: The Department of Labor (DOL) hereby announces the submission of the proposed information collection request (ICR) sponsored by the Office of Workers' Compensation Programs titled, "Contractor Survey under Federal Employees' Compensation Act," to the Office of Management and Budget (OMB) for review and approval in accordance with the Paperwork Reduction Act of 1995 (PRA) (Pub. L. 104–13, 44 U.S.C. chapter 35).

**DATES:** Submit comments on or before November 3, 2010.

ADDRESSES: A copy of this ICR, with applicable supporting documentation; including a description of the likely respondents, proposed frequency of response, and estimated total burden may be obtained from the RegInfo.gov Web site, http://www.reginfo.gov/public/do/PRAMain, or by contacting Michel Smyth by telephone at 202–693–4129 (this is not a toll-free number) or sending an e-mail to DOL PRA PUBLIC@dol.gov.

Submit comments about this request to the Office of Information and Regulatory Affairs, Attn: OMB Desk Officer for the Department of Labor, Office of Workers' Compensation Programs, Office of Management and Budget, Room 10235, Washington, DC 20503, Telephone: 202–395–6929/Fax: 202–395–6974 (these are not toll-free numbers), e-mail:

OIRA submission@omb.eop.gov.

**FOR FURTHER INFORMATION:** Contact Michel Smyth by telephone at 202–693–4129 (this is not a toll-free number) or by e-mail at

 $DOL\_PRA\_PUBLIC@dol.gov.$ 

SUPPLEMENTARY INFORMATION: The Federal Employees' Compensation Act provides workers' compensation coverage to three million federal and postal workers around the world for employment-related injuries and occupational diseases. The Division of Federal Employees' Compensation (DFEC) is charged with administering the FECA program. To obtain better feedback regarding various DFEC programs and functions, the DFEC is seeking approval to allow contract personnel such as Continuation of Pay (COP) Nurses, Field Nurses, and Rehabilitation Counselors, the opportunity to participate and provide feedback on DFEC effectiveness. These resources play a vital role in assisting the DFEC with the mission of returning injured employees to gainful employment. To this end, DOL seeks implementation of three separate surveys for this group. These surveys may be used on occasion, and a threeyear approval for this new information collection is requested. For additional information, see the related notice published in the Federal Register on June 18, 2010 (75 FR 34769)

Under the Paperwork Reduction Act, a Federal agency generally cannot conduct or sponsor a collection of information unless it is approved by the OMB under the PRA and displays a currently valid OMB control number. Furthermore, the public is not required to respond to a collection of information

unless it displays a currently valid OMB control number. In addition, notwithstanding any other provisions of law, no person shall be subject to penalty for failing to comply with a collection of information if the collection of information does not display a currently valid OMB control number. See 5 CFR 1320.5(a) and 1320.6.

Parties interested in commenting on this request are encouraged to send comments to the OMB, Office of Information and Regulatory Affairs at the address shown in the ADDRESSES section within 30 days of publication of this notice in the Federal Register. In order to ensure the appropriate consideration, comments should reference OMB Information Collection Request Reference Number 201005—1240—004. The OMB is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

Agency: Office of Workers' Compensation Programs.

Type of Review: New collection (Request for a new OMB Control Number).

Title of Collection: Contractor Survey under the Federal Employees' Compensation Act.

Form Numbers: None.

OMB Control Number: 1240–ONEW.

Affected Public: Private Sector: Business or other for-profit.

Total Estimated Number of Responses: 330.

Total Estimated Annual Burden Hours: 82.

Estimated Time per Response: 15 minutes.

 ${\it Total\ Estimated\ Annual\ Costs\ Burden:} \\ \$0.$ 

Dated: September 28, 2010.

#### Michel Smyth,

Departmental Clearance Officer. [FR Doc. 2010–24769 Filed 10–1–10; 8:45 am] BILLING CODE 4510–CH–P

#### **DEPARTMENT OF LABOR**

#### **Bureau of Labor Statistics**

# Proposed Collection, Comment Request

**ACTION:** Notice.

**SUMMARY:** The Department of Labor, as part of its continuing effort to reduce paperwork and respondent burden, conducts a pre-clearance consultation program to provide the general public and Federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95) [44 U.S.C. 3506(c)(2)(A)]. This program helps to ensure that requested data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed. The Bureau of Labor Statistics (BLS) is soliciting comments concerning the proposed revision of the "National Compensation Survey." A copy of the proposed information collection request (ICR) can be obtained by contacting the individual listed below in the ADDRESSES section of this notice.

**DATES:** Written comments must be submitted to the office listed in the **ADDRESSES** section of this notice on or before November 3, 2010.

ADDRESSES: Send comments to Nora Kincaid, BLS Clearance Officer, Division of Management Systems, Bureau of Labor Statistics, Room 4080, 2 Massachusetts Avenue, NE., Washington, DC 20212. Written comments also may be transmitted by fax to 202–691–5111 (this is not a toll free number.)

FOR FURTHER INFORMATION CONTACT: Nora Kincaid, BLS Clearance Officer, at 202–691–7628 (this is not a toll free number). (See ADDRESSES section.) SUPPLEMENTARY INFORMATION:

#### I. Background

The National Compensation Survey (NCS) is an ongoing survey of earnings and benefits among private firms, State, and local government. The NCS is currently the integration of the sampling, collection, and processing for

the Employment Cost Index (ECI), the Employee Benefits Survey (EBS), and the Locality Pay Surveys (LPS) into a single, unified program of compensation statistics. This integration improves data for policymakers and researchers, reduces respondent burden, improves the utilization of BLS resources, and enhances the published measures of compensation. Data from the integrated program include estimates of wages by job levels covering broad groups of related occupations, and data that directly links benefit plan costs with detailed plan provisions. The integrated program's single sample also produces both time-series indexes and cost levels for industry and occupational groups, thereby increasing the analytical potential of the data. Benefits of the integrated sample include: improved measures of trends; better integration of benefit costs and plan provisions; data for narrow occupations; and broad regional and occupational coverage. The NCS employs probability methods for selection of occupations. This ensures that sampled occupations represent all occupations in the workforce, while minimizing the reporting burden on respondents. Data from the NCS are critical for setting Federal white-collar salaries, determining monetary policy (as a Principal Federal Economic Indicator), and for compensation administrators and researchers in the private sector.

The survey collects data from a sample of employers. These data will consist of information about the duties, responsibilities, and compensation (earnings and benefits) for a sample of occupations for each sampled employer.

Data will be updated on a quarterly basis. The updates will allow for production of data on change in earnings and total compensation.

#### II. Current Action

Office of Management and Budget clearance is being sought for the National Compensation Survey.

The NCS collects earnings and work level data on occupations for the nation. The NCS also collects information on the cost, provisions, and incidence of all the major employee benefits through its benefit cost and benefit provision programs and publications.

The Administration has proposed 2011 budget calls for the elimination of the Locality Pay Survey (LPS), which is the part of the National Compensation Survey that provides occupational wage data by industry and specific geographic areas. The NCS is currently developing a new survey design that excludes the LPS. Planning and design for these changes are ongoing. NCS will

transition from an area-based survey design to a non-area-based national design, supplemented with modeled wages starting in FY 2011. Sample changes are reflected in the stated collection and respondent burden estimates.

The NCS data on benefit costs is used to produce the ECI and Employer Costs for Employee Compensation. The data provided will be the same, and the series will be continuous.

The NCS will continue to provide employee benefit provision and participation data. These data include estimates of how many workers receive the various employer-sponsored benefits. The data also will include information about the common provisions of benefit plans.

NCS has modified the collection forms, to 14 forms (normally having unique private industry and government initiation and update collection forms and versions.) Two forms are unique for private industry and government sample members who report data through the Secure Sockets Layer (SSL) encryption

Web-site. For NCS update collection, the forms give respondents their previously reported information, the dates they expected change to occur to these data, and space for reporting these changes.

#### **III. Desired Focus of Comments**

The Bureau of Labor Statistics is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the burden of the collection of information on those who are to respond, including through the

use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, *e.g.*, permitting electronic submissions of responses.

*Type of Review:* Revision of a currently approved collection.

Agency: Bureau of Labor Statistics. Title: National Compensation Survey. OMB Number: 1220–0164.

Affected Public: Businesses or other for-profit; not-for-profit institutions; and State, local, and tribal government.

Total Respondents: 14,433 (three-year average).

Total Burden Cost (capital/startup): \$0.

Total Burden Cost (operating/maintenance): \$0.

All figures in the table below are based on a three-year average. The total respondents in the table are greater than the figure shown above because many respondents are asked to provide information relating to more than one form.

Form	Total respondents per form	Frequency	Total annual responses	Avg. minutes for the predominant form use **	Total hours
Establishment collection form (NCS Form 11–1G).	*		*	19	*
Establishment collection form (NCS Form 11–1P).	1,960	At initiation	1,960	19	621
Earnings form (NCS Form 11-2G)	*		*	20	*
Earnings form (NCS Form 11-2P)	1,960	At initiation	1,960	20	653
Wage Shuttle form computer generated earnings update form #.	10,665	Quarterly (3.827 avg.)	40,823	20	13,608
Work Level Form (NCS Form 11-3G)	*		*	25	*
Work Level Form (NCS FORM 11-3P)	1,960	At initiation	1,960	25	817
Work Schedule Form (NCS 11-4G)	*		*	10	*
Work Schedule Form (NCS 11–4P)	1,960	At initiation	1,960	10	327
Benefits Collection Form (NCS 11-5G)	*		*	177	*
Benefits Collection Form (NCS 11-5P)	1,960	At initiation	1,960	178.5	5,831
Summary of Benefits (Benefit update form SO-	10,665	Quarterly	40,823	19.92	13,553
1003) is computer generated #.		(3.83 avg.)			
Collection not tied to a specific form (testing,	1,808	1.89	3,420	30	1,710
Quality Assurance/Quality Measurement, etc.).**					
Totals	32,938		94,866		37,120

<sup>\*</sup>Most NCS Government forms (NCS 11-XG), are only used for government sample initiations, which is not currently planned during this Clearance cycle.

<sup>\*\*</sup> Collection forms can have multiple uses. The table above shows the average collection times for the predominant uses of the forms. Record checks (for quality assurance and measurement) are done on a sub-sample of respondents verifying responses for pre-selected sections of the collection forms.

<sup>#</sup>Includes IDCF form time (Web based screen for SSL encryption web-site secure.)

Comments submitted in response to this notice will be summarized and/or included in the request for Office of Management and Budget approval of the information collection request; they also will become a matter of public record.

Signed at Washington, DC, this 28th day of September 2010.

#### Kimberley Hill,

Chief, Division of Management Systems, Bureau of Labor Statistics.

[FR Doc. 2010–24775 Filed 10–1–10; 8:45 am]

BILLING CODE 4510-24-P

#### **DEPARTMENT OF LABOR**

# **Employment and Training Administration**

# Notice of Availability of Funds and Solicitation for Grant Applications (SGA) for YouthBuild Grants

**AGENCY:** Employment and Training Administration, U.S. Department of Labor.

Announcement Type: Notice of Solicitation for Grant Applications.

Funding Opportunity Number: SGA/DFA PY 10–02.

Catalog of Federal Domestic Assistance (CFDA) Number: 17.274.

Kev Dates: The closing date for receipt of applications under this announcement is December 3, 2010. Applications must be received no later than 4 p.m. Eastern Time. A Virtual Prospective Applicant Conference (Webinar) will be held for this grant competition. The date and access information for this Virtual Prospective Applicant Conference will be posted on ETA's Web site at http:// www.doleta.gov/youth%5Fservices/ youthbuildgrantee.cfm. While a review of this Webinar is encouraged, it is not mandatory that applicants view this recording

**SUMMARY:** The Employment and Training Administration (ETA), U.S. Department of Labor (DOL, or the Department), announces the availability of up to approximately \$130 million in grant funds for YouthBuild Grants (the final amount available depends upon the amount of funds appropriated for YouthBuild in the Fiscal Year (FY) 2011 Department of Labor Appropriations Act). These funds are from separate appropriations and will be awarded separately. Thirty million dollars in FY 2010 funds are available for immediate award and will be reserved for awards to organizations that did not receive funding in the FY 2009 YouthBuild competition [SGA/DFA PY 08-07]. Awards from the FY 2011 appropriation will be selected after April 1, 2011, pending availability of funds.

YouthBuild Grants will be awarded through a competitive process. Grant funds will be used to provide disadvantaged youth with: The education and employment skills necessary to achieve economic selfsufficiency in occupations in high demand and postsecondary education and training opportunities; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among vouth in low-income communities. As part of their program, YouthBuild grantees will tap the energies and talents of disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to help youth develop the leadership, learning, and high-demand occupational skills needed to succeed in todav's global economy.

Based on the amount of FY 2010 funds available and our projections for FY 2011 funding, DOL hopes to serve approximately 8,500 youth participants during the grant period of performance, with projects operating in approximately 128 communities across the country. Under this solicitation, DOL will be awarding grants to organizations to oversee the provision of education and employment services to disadvantaged youth in their communities. Each applicant should indicate the proposed number of participants to be served based on an average cost per participant of between \$15,000 and \$18,000.

This solicitation provides background information and describes the application submission requirements, outlines the process that eligible entities must use to apply for funds covered by this solicitation, and details the evaluation criteria used as a basis for selecting grantees. Applicants should read the entire SGA and note specific sections that contain required information, such as in Section III.D, Section IV.B, and Section V.A, where failure to comply will be considered non-responsive and those applicants will then not be considered for funding.

The Department is committed to providing the public with an open and transparent grant selection process and to providing useful information to assist prospective applicants with developing quality proposals. One way to achieve these goals is through public access to selected and non-selected grant applications. Applicants are advised that the information they submit in

response to this solicitation may be posted on a publicly accessible Web site or may otherwise be made available to the public.

ADDRESSES: Mailed applications must be addressed to the U.S. Department of Labor, Employment and Training Administration, Division of Federal Assistance, Attention: Donna Kelly, Grant Officer, Reference SGA/DFA PY 10–02, 200 Constitution Avenue, NW., Room N4716, Washington, DC 20210. For complete "Application and Submission Information," please refer to section IV.

**SUPPLEMENTARY INFORMATION:** This solicitation consists of nine sections:

- Section I provides background information on YouthBuild, YouthBuild program objectives, and additional information on the key components of YouthBuild to consider when preparing an application.
- Section II describes the size and nature of the anticipated awards.
- Section III describes eligibility information.
- Section IV provides information on the application and submission process.
- Section V describes the criteria against which applications will be reviewed and explains the proposal review process.
- Section VI provides award administration information.
- Section VII contains ETA agency contact information.
- Section VIII lists additional resources of interest to applicants.
- Section IX lists other pertinent information.

#### I. Funding Opportunity Description

YouthBuild is a youth and community development program that simultaneously addresses several core issues facing low-income communities: housing, education, employment, crime prevention, and leadership development. Part A of this section provides a background of the YouthBuild program. Part B describes the core objectives of the YouthBuild program, with Part C providing additional information on key components of YouthBuild to consider when preparing a grant application.

#### A. Background

The YouthBuild model balances inschool learning that leads to the achievement of a high school diploma or passing the General Education Development (GED) test, and construction skills training that prepares youth for career placement. The inschool component is an alternative education program that assists youth who are often significantly behind in basic skills to obtain a high school diploma or GED credential. The primary target populations for YouthBuild are high school dropouts who may also be adjudicated youth, youth aging out of foster care, youth with disabilities, and other at-risk youth populations. The YouthBuild model enables these youth to access the education they need to move on to post-secondary education and/or high-growth, high-demand jobs which will enable them to prosper in the 21st century economy. There are currently over 200 YouthBuild programs operating in the United States, funded through various sources.

YouthBuild was started in East Harlem, New York in 1978 to provide education services for youth and teach construction skills while renovating and building homes for low-income families. It was replicated in five locations in New York City during the 1980s. In 1993, the YouthBuild program was established by Federal statute and the U.S. Department of Housing and Urban Development (HUD) was designated as the agency responsible for administering the program.

The YouthBuild Transfer Act (Pub. L. 109–281), enacted in September 2006, transferred the statutory authority for the program, with needed modifications and improvements, to subtitle D of Title I of the Workforce Investment Act (WIA) (29 U.S.C. 2918a).

Since its inception, the primary purpose of the YouthBuild program has been to provide job training and employment opportunities for disadvantaged youth. ETA will leverage its significant expertise and resources in the area of workforce investment under WIA to strengthen YouthBuild grantees' connections to One-Stop Career Centers and the Department's registered apprenticeship programs; leverage investments such as the Community-Based Job Training grants (http:// www.doleta.gov/business/Community-BasedJobTrainingGrants.cfm); improve access to the post-secondary and community college system; and broker connections to the workforce system's business partners.

#### B. YouthBuild Program Objectives

Funds made available through the YouthBuild grants will be used to carry out a YouthBuild program with the following core objectives:

• To enable disadvantaged youth to obtain the education and employment skills necessary to achieve economic self-sufficiency in occupations in demand and post-secondary education and training opportunities;

- To provide disadvantaged youth with opportunities for meaningful work and service to their communities;
- To foster the development of employment and leadership skills and commitment to community development among youth in lowincome communities; and
- To expand the supply of permanent affordable housing for homeless individuals and low-income families by utilizing the energies and talents of disadvantaged youth.

### C. Key Components and Additional Information About the YouthBuild Grant Application Process

Although there is no way to address every question in this solicitation, the following questions are frequently asked and are included for your information.

What type of information should be addressed in the design of the program?

Section IV Part II of the application contains information about the Technical Proposal, which should address specific grant requirements identified in Section A of Part V of this SGA. Applicants applying for these grants are asked to describe their community, the youth to be served, the need for this Federal support, and their plan for providing education, skills training, and leadership development services to youth. They must also demonstrate that they have established partnerships with—or made a good faith effort to establish partnerships with— Local Workforce Investment Boards, the public school system, local community colleges, the juvenile justice system, registered apprenticeship programs, disability and mental health organizations, local faith-based and community organizations that serve atrisk and disadvantaged youth, and/or the local housing authority. Applicants are expected to identify their plan to leverage other Federal, State, or local funding, as well as private funding sources, to provide other "wraparound" supportive services as well as to support the costs associated with their defined construction project. Applicants are asked to describe their previous experience operating YouthBuild or youth workforce development programs of similar complexity that provide atrisk youth with educational and skill training in housing rehabilitation and construction activities. They are also asked to describe their organization's ability to manage this grant. In addition, applicants are asked to describe how occupational safety is addressed at their worksite. Applicants must provide a description of the worksite, using ETA Form 9143, that will be used to train

YouthBuild participants and must provide the necessary paperwork that indicates access to this property has been granted for the program and participants. Finally, applicants are asked to discuss any training that is being provided in "green" construction.

What size grants are available?

Applicants can apply for three-year grants (two years of program operations with a nine- to twelve-month follow-up period) that will range from \$700,000 to \$1.1 million. These awards will support two years of core program operations (education, occupational skills training, and youth leadership development activities) plus an additional nine to twelve months of follow-up support services and tracking of participant outcomes for each cohort of youth. A minimum of five percent of total funds should be reserved for the nine- to twelve-month follow-up period.

If an organization was selected to receive a new award in FY 2009 (awarded July 2009), are they eligible to apply in this YouthBuild competition?

Yes; however, grantees who received funding from the FY 2009 YouthBuild competition [SGA/DFA PY 08-07], (including any affiliates or members or affiliates of a grantee's leadership team) will not receive funding from the \$30 million remaining in FY 2010 funds. Projects funded from the \$30 million in FY 2010 funds will be limited to applications submitted by organizations that did not receive funding from the FY 2009 YouthBuild competition [SGA/ DFA PY 08-07]. If grantees who received funding from the FY 2009 YouthBuild [SGA/DFA PY 08-07] competition are selected for award in this competition, they will be funded from the FY 2011 appropriation, pending availability of funds. If an applicant that did not receive funding in the FY 2009 YouthBuild competition [SGA/DFA PY 08-07] does not receive an award from the approximately \$30 million remaining in FY 2010 funds, their application will automatically be considered for an award from the FY 2011 appropriation.

What roles must partners play in partnerships?

Because disadvantaged youth possess a wide range of challenges that must be addressed through multiple strategies, prospective applicants must identify resources and services provided by faith-based and community organizations, government entities, and other youth-serving organizations. Collaboration across youth-serving agencies/organizations is critical to the

success of any youth initiative or program. A single organization does not typically have the resources to respond to the myriad of issues that impact youth most in need.

Partnerships and their roles will vary depending on the applicant's strategy and participant needs. However, DOL expects that the applicant will make a good-faith effort to attract the following partners and that each collaborative partner will, at a minimum, contribute as described below.

Education and training providers (Kindergarten through 12, adult education, community and technical colleges, 4-year colleges and universities, and other training entities) are important foundational partners to ensure the project's activities are tied to the broader continuum of education providers in the community. YouthBuild programs should have explicit, well-defined pathways to postsecondary educational opportunities such as community and 4-year colleges, registered apprenticeship programs, and other occupational training programs whenever possible to ensure the smooth transition of YouthBuild participants.

Employers (including professional organizations and trade associations) should be actively engaged in the project and should participate fully in grant activities including: Defining the program strategy and goals; identifying needed skills and competencies; designing training approaches and curricula; contributing financial support; sponsoring apprenticeship and pre-apprenticeship placements and activities; and, where appropriate, hiring qualified YouthBuild graduates.

The workforce investment system (which may include State and Local Workforce Investment Boards, State Workforce Agencies, and One-Stop Career Centers and their partners, as such terms are defined under the WIA) may play a number of roles, including: Identifying and assessing potential candidates for YouthBuild; working collaboratively to leverage WIA investments through co-enrollment with the Youth formula program; referring qualified candidates to the YouthBuild program for enrollment; providing access to "wraparound" supportive services, when appropriate; providing local labor market information to YouthBuild staff and participants; and connecting qualified YouthBuild graduates to employers that have existing job openings. Examples of YouthBuild programs working with the workforce system can be found in Training and Employment Notice No. 44-07, "Providing Strategies to the One-Stop Career Center System on

Collaborating with YouthBuild Programs" at http://wdr.doleta.gov/directives/corr\_doc.cfm?DOCN=2646.

The juvenile justice system is an important partner in referring potential participants to the YouthBuild program, providing support and guidance for YouthBuild participants with court involvement, and assisting in the reporting of recidivism rates among YouthBuild participants. Some YouthBuild participants may be placed in the program as a form of alternative sentencing or for re-entry services. In these instances, police, parole and probation officers, detention and juvenile correction facilities, judges, and social workers will be critically important partners for creating a safety net to prevent recidivism and ensure attachment to the community.

Faith-based and community organizations are valuable partners in the YouthBuild program. These organizations can serve as avenues of outreach to eligible youth and may provide a variety of grant services, such as case management, mentoring, and English as a Second Language (ESL) courses, as well as other comprehensive supportive services, when appropriate, for YouthBuild participants.

Each collaborative partner must have a clearly defined role. These roles must be verified through a letter of commitment (not just a letter of support) submitted by each partner. The letter of commitment must detail the role the partner will play in the project, including specific responsibilities and resources committed, if appropriate. These letters must clearly indicate the partnering organization's unique contribution and commitment to the project.

In situations where the applicant is unable to develop a partnership with one of the required types of organizations because the organization refuses to do so, the applicants should, at a minimum, demonstrate that the potential partner was contacted and provided a sufficient opportunity for response. It is suggested that applicants use registered mail to demonstrate such efforts.

What if two or more organizations submit separate applications to serve the same urban or rural community?

If more than one proposal to serve the same urban or rural community is rated highly, DOL will consider whether the urban or rural community is large enough to support more than one project.

Can I apply for multiple towns in one application?

If a town is large enough to reasonably support a YouthBuild program, the grant activities should generally be focused on one town. If the applicant determines that the town is not large enough to support a YouthBuild program, it may include additional towns and provide justification for one larger service area. If multiple towns are included together in the application, applicants may not request more than the maximum grant amount, \$1.1 million.

What is the definition of "low-income" family for the purposes of program eligibility?

The definition of "low-income family" is taken directly from the United States Housing Act of 1937 (42 U.S.C. 1437a(b)(2)) which states:

"The term 'low-income families' means those families whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low family incomes."

The median income for each applicant's proposed area of service can be found at HUD's Web site: http://www.huduser.org/datasets/il.html.

What are allowable uses of grant funds?

Allowable uses of grant funds may include:

- (1) Education and Workforce Activities, such as:
- Basic skills instruction and remedial education;
- Language instruction educational programs for individuals with limited English proficiency;
- Secondary education services and activities, including tutoring, study skills training, and dropout prevention activities, designed to lead to the attainment of a secondary school diploma, GED credential, or other Staterecognized equivalent (including recognized alternative standards for individuals with disabilities);
- Counseling and assistance in obtaining post-secondary education and required financial aid;
- Alternative secondary school services;
- Work experience and skills training (coordinated, to the maximum extent feasible, with the YouthBuild Trainee

Apprenticeship Preparation program (YB–TAP), 1 pre-apprenticeship and registered apprenticeship programs) in housing rehabilitation and construction activities;

- Occupational skills training; and
- Other paid and unpaid work experiences, including internships and job shadowing.
- (2) Case management, counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral.
- (3) Youth development activities, such as:
- Community service and peercentered activities encouraging responsibility and other positive social behaviors, and
- Leadership development activities including youth policy committees that allow YouthBuild participants to engage in local policy and decision-making related to the program.

(4) Supportive services and provision of needs-based payments necessary to enable individuals to participate in the program.

- (5) Supportive services to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to post-secondary education.
- (6) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals.
- (7) Supervision and training for participants in the rehabilitation or construction of community and other public facilities.
- (8) Payment of a portion of the administrative costs of the grantee.
- (9) Mentoring (one-on-one, group or team) of participants by adults who have been appropriately screened and matched to work with youth.
- (10) Provision of wages, stipends, or other benefits and incentives to participants in the program.
- (11) Ongoing training and technical assistance for staff that are related to developing and carrying out the program.

(12) Activities related to follow-up and tracking of participant performance.

(13) Equipment and/or supplies related to the YouthBuild activities funded through this grant. The purchase of construction materials to be used as part of the direct training for YouthBuild participants would be an allowable use of grant funds.

What costs are allowable for orientation/mental toughness?

For guidance on orientation/mental toughness allowable costs, please go to: Mental Toughness/Orientation Allowable Costs in a YouthBuild Program http://wdr.doleta.gov/directives/attach/TEGL/TEGL14-09acc.pdf.

Can training be provided in industries other than construction?

No, DOL YouthBuild funds provided under this solicitation cannot be used to support occupational skills training other than construction. Programs may offer training in other industries; however, other funding sources must be used to support these career pathways.

Can training be provided in green construction and the use of sustainable building materials?

Yes, DOL encourages YouthBuild funds provided under this solicitation to be used to train youth in green construction skills that will prepare them for employment in green jobs and encourages the use of sustainable building materials in the construction and rehabilitation of affordable housing. However, this green construction skills training must be part of a construction curriculum that leads to an industryrecognized credential. That is, if youth complete a green construction module that is either a stand-alone module or is part of a general construction curriculum, it cannot be counted as a credential for purposes of the credential attainment performance measure. It is similar to Occupational Safety and Health Administration (OSHA) or Cardio Pulmonary Resuscitation (CPR) training; both are important parts of an overall construction training program but do not represent an industryrecognized credential.

Will Youthbuild projects be required to follow OSHA guidelines?

Yes, YouthBuild projects will be required to follow OSHA guidelines in the operation of their construction projects and to submit incident reports to DOL of injuries occurring on worksites. DOL will require that YouthBuild grantees:

- Provide comprehensive documented training on construction safety for youth working on YouthBuild projects, including requirements for youth to demonstrate knowledge of and proficiency in hazard identification, abatement, and safe work practices;
- Demonstrate compliance with Federal and State child labor laws and occupational safety and health regulations;
- Provide written worksite-specific safety plans overseen by an on-site supervisor with the knowledge, skills, and authority to correct safety and health hazards and enforce the site-specific safety plan;

 Provide necessary personal protective equipment to youth working on YouthBuild projects; and

 Report to DOL all worksite injuries and illnesses to youth working on YouthBuild projects, along with documentation on remedial measures to prevent future similar injuries and help ensure that YouthBuild is a model program that takes active steps for participant safety and health.

Can DOL funds be used for paid work experiences, needs-based stipends, wages, and other supportive services?

Payments to participants for classroom training, paid work experiences, and occupational skills training, as well as other needs-based supportive services, are allowable expenses. If the applicant plans to use grant funds for these purposes, sufficient information must be provided in the budget narrative to clearly justify the proposed amounts to be provided. Grantees are responsible for consulting with an accountant or other experts to ascertain if their payment structure complies with IRS standards.

Is the purchase of food an allowable use of funds?

DOL considers food to be an allowable cost for YouthBuild when used as a supportive service. To qualify, the provision of food must be needsbased and must be necessary to enable the recipient to participate in the program. The purchase of food is an unallowable cost for grant funds if expended for any reason other than needs-based supportive services. To provide food as a supportive service, grantees must create and consistently apply a written policy for determining needs-based services for participants. Grantees can provide food to enrollees as part of an on-site training class or work-site experience where access to food services and vendors is unavailable or unreliable, but must document in the grant files that providing such food

<sup>&</sup>lt;sup>1</sup> The YouthBuild Trainee Apprenticeship Preparation (YB-TAP) program is a set of construction standards recognized by the U.S. Department of Labor's Office of Apprenticeship that are aligned with curricula from the Home Builders Institute's HPACT program, the National Center for Construction Education and Research, and the Building Trades Multi-Craft Core Curriculum. These standards are included as Appendix A of this

directly is reasonable and necessary in order to ensure continuity of training services.

Should prospective applicants include travel costs associated with technical assistance and training in their budget?

Prospective applicants should include travel funds in their budget to cover travel for several key staff to attend at least one national meeting per year and at least two regional trainings per year.

How will success be measured under these grants?

The three outcome measures are:

- Literacy and numeracy gains;
- High School diploma/GED/degree or certificate attainment rate; and
- Placement in employment/postsecondary education/occupational skills training program/military.

In addition, grantees must report on a number of interim indicators that may serve as predictors of success. Interim indicators include:

- Enrollment rate:
- Participation in education/training activities;
- Workforce preparation;
- Mentoring;
- Community service/leadership activities;
  - Placement retention rate; and
  - · Recidivism.

In applying for these grants, applicants agree to submit updated Management Information System (MIS) data on enrollee characteristics, services provided, placements, outcomes, and follow-up status. YouthBuild grantees are required to use the ETA Web-based Case Management and Performance System, which is provided to grantees at no cost.

What is the expected average cost per participant?

DOL expects the cost to be between \$15,000 and \$18,000 per participant.

When is the Youthbuild program expected to begin enrolling youth under this SGA?

Grantees must begin program operations, including the enrollment of youth, within six months from the date of the award.

The following questions involve allowable construction costs:

Can funds be used for rehabilitation or construction of buildings other than low-income housing?

Yes. In training participants, up to 10 percent of grant funds may be used in the rehabilitation or construction of community and other public facilities. The term "community and other public

facilities" means those facilities which are publicly owned and publicly used for the benefit of the community. Examples include public-use buildings such as recreation centers, libraries, public park shelters, or public schools. This term may also encompass facilities used by the program but only if the facility is publicly owned and available for public entry and use. YouthBuild participants may not repair or renovate buildings used solely by the grantee, such as offices or classrooms. The remaining 90 percent of funds must be used to train participants in the rehabilitation or construction of lowincome housing.

Would construction of a kitchen or shower facility be an allowable cost in a public facility?

If it is a public facility that needs to have a kitchen or shower facility installed and it is done under the 10 percent limitation and it is used for training purposes, then it is allowable.

Does a federally-qualified health care facility qualify as an allowable construction site?

The rehabilitation of a community health facility is permissible. The 10 percent limitation would apply to such costs

Are architectural fees an allowable use of grant funds?

Yes, architectural fees, or a proportionate share thereof, are allowable when such fees can be related to items such as architectural plans or blueprints on which participants will be trained.

Are brokerage fees an allowable use of grant funds?

No, brokerage fees and other fees associated with the acquisition of property are not directly related to participant training and are not an allowable use of grant funds. We consider these fees to be too attenuated from the costs of participant training to be allowable.

Are subcontractor costs and supplies, (e.g., roofing, landscaping, etc.), allowable uses of grant funds?

Non-training services and deliverables that are not directly related to participant training are not an allowable use of grant funds unless they are used in the provision of training. Property enhancements, such as landscaping, are not allowable grant costs, if not performed by youth as part of their training activities.

Can unallowable costs be used to fulfill the 25 percent match requirement?

If the cost is not allowed to be paid with grant funds, it would also not be acceptable in fulfilling the 25 percent match requirement.

Can funds be used to purchase land?

Grant funds may not be used to purchase land.

Can grant funds be used to purchase a home to rehabilitate for the project?

Grantees may only charge a proportion of the purchase cost, exclusive of land, which is reflective of the portion of the property that will be used for participant training.

Should prospective applicants provide more than 25 percent match?

No. Any amounts over 25 percent match will not be considered in evaluating proposals. Grantees with additional resources should allocate them as leveraged funds for the purposes of planning and budgeting.

How will ETA evaluate the Youthbuild program?

ETA has contracted with an independent organization to conduct a rigorous, national evaluation of the YouthBuild program. A subset of grantees will be selected to participate in the evaluation and those that are selected will be required to participate.

The evaluation will use a random assignment design, which includes a computerized process that will randomly select which of the youth that grantees deem eligible and appropriate for the program will receive YouthBuild services. This process, similar to drawing names from a hat, is fair and ensures that everyone has the same chance of getting YouthBuild services. Those who are not admitted will form a control group and may be referred to other non-similar youth services. The grantees will be responsible for obtaining the consent of applicants to be randomly selected to be in the control group, and for informing the applicants that they have been selected. The evaluation contractor will work with each grantee to develop study procedures that minimize any disruption of the grantee's intake procedures and program operations. It is expected that the evaluation will not reduce the total number of youth who are served by the YouthBuild program. Grantees selected to participate in this study will be required to cooperate fully with ETA and the evaluation team in the conduct of the study. Grantee staff will be required to participate in one

survey and host site visits by the research team.

The Following Questions Involve the Random Assignment Evaluation:

Participation in the YouthBuild evaluation will provide important input into the national debate about policies and practices designed to improve the well-being of disadvantaged youth. Although DOL and the evaluation team are continuing to work out the details of the study design, below are answers to some questions grantees may have about the evaluation.

How will grantees be selected for the evaluation and how many will be selected?

Approximately 60 grantees will be selected to participate in the evaluation. Grantees will be selected randomly, but some types of grantees (for example, those that have never operated a YouthBuild program before) may be excluded from consideration. Larger programs may have a greater chance of being selected in order to ensure that the evaluation includes a sufficient number of young people.

YouthBuild has been evaluated before; why is this evaluation necessary?

Previous evaluations of YouthBuild have provided very useful information, but none of them was designed to determine what difference YouthBuild makes for the young people it serves compared to what would have happened if they did not participate. The new evaluation is the first one to use a random assignment design, which is considered to provide the most reliable evidence about the effectiveness of a social program. Other national youth programs such as the Job Corps, Service and Conservation Corps, and the National Guard Youth ChalleNGe program have already been part of random assignment evaluations. These evaluations are critical to ensuring that young people are receiving services that will improve their life opportunities and demonstrating to policy-makers that their investment is paying off.

Will the evaluation mean that programs serve fewer youth than before?

Most YouthBuild programs are only able to serve a very small fraction of the youth in their communities who might benefit and who apply. Programs participating in the evaluation will assess and screen youth to identify those who are eligible for YouthBuild and then use random assignment (like picking names from a hat) to select which of the eligible youth will be admitted. The youth who are selected will form the "program group" for the

study. The youth who are not selected will form the "control group" and will not be permitted to enroll in YouthBuild during the study period, though the grantees may be able to refer them to other youth programs in the community. Ideally, the evaluation should not cause YouthBuild programs to serve fewer youth than they otherwise would. If the programs selected for the study do not currently have more qualified applicants than they can accept, additional recruiting may be necessary.

Is it unethical to use random assignment to decide which youth to accept?

No, it is not unethical to use random assignment to determine who receives services. Random assignment is a fair way to allocate scarce program slots when there are more qualified applicants than a program can serve. In fact, some youth not selected for the program may be more willing to accept that this was due to the "flip of a coin" rather than to their personal characteristics. Before it is finalized, the study design will be reviewed by an Institutional Review Board to ensure that youth in the study will be treated fairly.

What data will grantees be required to provide to the evaluators?

The grantees will not have to do anything more than they usually do to stay in touch with the young people who participate in their program. The evaluators will obtain most data for the study from the DOL YouthBuild MIS, from government records, and from surveys of youth in the program and control groups. Grantee staff will be required to participate in one survey and host site visits by the research team. Also, grantees will be required to collect and enter some information into the MIS for all youth entering the study, some of whom will be assigned to the control group.

Who will be doing the evaluation?

ETA selected a team of three organizations to conduct the evaluation: MDRC (the lead), Mathematica Policy Research, and Social Policy Research Associates (SPRA). MDRC and SPRA are very familiar with YouthBuild programs through past evaluations and assessments.

#### II. Award Information

#### A. Award Amount

Under this competition, ETA intends to fund approximately 28 grants ranging from \$700,000 to \$1.1 million from the \$30 million in FY 2010 funds and approximately 100 grants in the same range of amounts from the FY 2011

appropriation (pending availability of funding); however, this does not preclude DOL from funding grants at either a lower or higher amount, or funding a smaller or larger number of projects, based on the type and the number of quality submissions. Applicants are encouraged to submit budgets for quality projects at whatever funding level within this range is appropriate to their project. Applications requesting more than \$1.1 million will be considered nonresponsive. The average annual cost per participant should be between \$15,000 and \$18,000. In the event that additional funds become available, ETA reserves the right to use such funds to select additional grantees from applications submitted in response to this solicitation.

### B. Period of Performance

Grants will be awarded for a 3-year period of performance. This includes two years of core program operations (education, occupational skills training, and youth leadership development activities) for one or more cohorts of youth, plus an additional nine to twelve months of follow-up support services and tracking of participant outcomes for each cohort of youth. Further, applicants should plan to fully expend grant funds during the period of performance, while ensuring full transparency and accountability for all expenditures. Therefore, applicants are encouraged to carefully consider their ability to spend the level of funding requested.

#### **III. Eligibility Information**

#### A. Eligible Applicants

Eligible applicants for these grants are public or private non-profit agencies or organizations (including a consortium of such agencies or organizations with a designated lead applicant), including, but not limited to:

- Faith-based and community organizations;
- An entity carrying out activities under WIA, such as a local workforce investment board, One-Stop Career Center, or local school board;
  - A community action agency;
- A State or local housing development agency;
- An Indian tribe or other agency primarily serving Indians;
- A community development corporation;
- A State or local youth service conservation corps; or
- Any other public or private nonprofit entity that is eligible to provide education or employment training

under a Federal program and can meet the required elements of the grant.

Grantees who received funding in the FY 2009 YouthBuild competition [SGA/ DFA PY 08-07] (including any affiliates or members or affiliates of a grantee's leadership team) will not receive funding from the remaining approximately \$30 million in FY 2010 funds. Projects funded from the FY 2010 funds will be limited to applications submitted by organizations that did not receive funding from the FY 2009 YouthBuild competition [SGA/DFA PY 08-07]. If FY 2009 YouthBuild competition [SGA/DFA PY 08-07] grantees are selected for funding in this competition, they will be funded from the FY 2011 appropriation, pending availability of funds.

#### B. Eligible Enrollees

An individual may participate in a YouthBuild program only if the individual:

- 1. Is between the ages of 16 and 24 on the date of enrollment; and
- 2. Is a member of a low-income family, and/or a youth in foster care (including youth aging out of foster care), and/or a youth offender, and/or a youth who is an individual with a disability, and/or a child of an incarcerated parent, and/or a migrant youth; and
- 3. Is a school dropout or an individual who has dropped out of high school and re-enrolled in an alternative school, if that re-enrollment is part of a sequential service strategy.

Organizations are not required to serve the entire age group population between 16 and 24, but all participants must fall within this range.

Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet criteria B.2 or B.3 above, but:

- Are basic skills deficient, despite attainment of a secondary school diploma, GED credential, or other staterecognized equivalent (including recognized alternative standards for individuals with disabilities); or
- Have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma. Referrals from secondary schools to YouthBuild programs that provide only a GED degree are not allowed.

### C. Veterans Priority

The Jobs for Veterans Act (Pub. L. 107–288) requires priority of service for veterans and spouses of certain veterans for the receipt of employment, training, and placement services in any job

training program directly funded, in whole or in part, by DOL. The regulations implementing this priority of service can be found at 20 CFR part 1010. In circumstances where a grant recipient must choose between two qualified candidates for a service, one of whom is a veteran or eligible spouse, the veterans priority of service provisions require that the grant recipient give the veteran or eligible spouse priority of service by first providing him or her that service. To obtain priority of service, a veteran or spouse must meet the program's eligibility requirements. Grantees must comply with DOL guidance on veterans' priority. ETA's Training and Employment Guidance Letter (TEGL) No. 10-09 (issued November 10, 2009) provides guidance on implementing priority of service for veterans and eligible spouses in all qualified job training programs funded in whole or in part by DOL. TEGL No. 10-09 is available at http://wdr.doleta.gov/ directives/corr doc.cfm?DOCN=2816.

#### D. Matching Funds and Leveraged Resources

Aligning resources and leveraging funding are key components of success under the YouthBuild grant program. Therefore, applicants must provide cash or in-kind resources equivalent to 25 percent of the grant award amount as matching funds. Please note that neither prior investments nor Federal resources may be counted towards the matching funds threshold. Construction materials that are acquired without grant funds and are used for approved projects as part of the training for YouthBuild participants may be used in fulfilling the 25 percent match requirement.

To be allowable as part of match, a cost must be an allowable charge for Federal grant funds. Determinations of allowable costs will be made in accordance with the applicable Federal cost principles as indicated in Part IV.E. If the cost would not be allowable as a grant-funded charge, then it also cannot be counted toward matching funds.

Please note that in addition to the Federal amount you are requesting, the matching funds must be shown on the SF–424 application and SF–424A budget form. The SF–424A form is required even though the form states that it should only be used for nonconstruction. If there is a discrepancy in the amount of funds specified on the SF–424, SF–424A, or Budget Narrative, DOL will consider the amount of funds specified on the SF–424 as the applicant's match.

Applicants are expected to fulfill the match amount specified during the

grant period of performance. If the match amount specified by the applicant is not met or if a portion of the matching funds are found to be an unallowable cost, the amount of DOL grant funds may be decreased on a dollar for dollar basis. If this occurs the grantee may be required to repay funds to DOL. Applicants who fail to provide a 25 percent match will be considered non-responsive.

Applicants are encouraged to leverage additional resources beyond the match amount to supplement the project as a whole. Any cash or in-kind resources committed beyond the 25 percent of the grant award amount required as matching funds should be counted as leveraged funds. Applicants must clearly make the distinction between what will be considered matching funds and what will be considered "additional" leveraged resources, and explain leveraged resources in the budget narrative separately from the explanation of match. Do not include the leveraged funds on the SF-424 or SF-424A.

Both matching funds and leveraged resources could come from a variety of sources, including: public sector (e.g., State or local governments); non-profit sector (e.g., community organizations, faith-based organizations, or education and training institutions); private sector (e.g., businesses or industry associations); investor community (e.g., angel networks or economic development entities); and the philanthropic community (e.g., foundations).

Applications will be evaluated on how the match and leveraged funds are fully integrated in support of program outcomes. Grantees must track and report both match and other non-Federal leveraged resources quarterly on Form ETA 9130. Instructions and the form may be found at <a href="http://www.doleta.gov/grants/financial\_reporting.cfm">http://www.doleta.gov/grants/financial\_reporting.cfm</a>.

# IV. Application and Submission Information

A. How To Obtain an Application Package

This SGA contains all of the information and links to forms needed to apply for grant funding.

B. Content and Form of Application Submission

The proposal will consist of three separate and distinct parts—(I) a cost proposal; (II) a technical proposal (with attachments); and (III) a description of and information on the work site. Applications that do not contain all

three parts (including the required attachments) or that fail to adhere to the instructions in this section will be considered non-responsive and will not be considered. It is the applicant's responsibility to ensure that the funding amount requested is consistent across all parts and sub-parts of the application.

Part I. The Cost Proposal. The Cost Proposal must include the following

four items:

• SF–424, "Application for Federal Assistance" (available at http://www.grants.gov/agencies/forms\_repository\_information.jsp. The SF–424 must clearly identify the applicant and be signed by an individual with authority to enter into a grant agreement. Upon confirmation of an award, the individual signing the SF–424 on behalf of the applicant will be considered the authorized representative of the applicant

 All applicants for Federal grant and funding opportunities are required to have a Data Universal Numbering System (D-U-N-S® number). See Office of Management and Budget (OMB) Notice of Final Policy Issuance, 68 FR 38402, June 27, 2003. Applicants must supply their D-U-N-S® number on the SF-424. The D-U-N-S® number is a non-indicative, nine-digit number that uniquely identifies business entities. Obtaining a D–U–N–S® number is easy and there is no charge. To obtain a D-U-N-S® number, call 1-866-705-5711 or access this Web site: www.dunandbradstreet.com.

• The SF-424A Budget Information Form (available at http://www.grants. gov/agencies/forms\_repository\_ information.jsp) In preparing the Budget Information Form, the applicant must provide a concise narrative explanation to support the request, explained in

detail below.

The amount of Federal funding requested for the **entire period of performance** (*i.e.*, three years) must be included on both the SF–424 and SF–424A Budget Information Form. Only an applicant's match amount (not other leveraged resources) should be listed on the SF–424 (Block 18) and SF–424A Budget Information Form (Sections A & C). Please note that the funding amount included on the SF–424 will be considered the official funding amount requested.

• Budget Narrative: The budget narrative must provide a description of costs associated with each line item on the SF-424A. Additionally, the budget narrative should break down the budget, match, and leveraged resources by project activity, must discuss cost-perparticipant, and must discuss precisely

how the administrative costs support the project goals. If the applicant plans to use grant funds for paid work experiences, needs-based payments, and other supportive services for the participants, sufficient information must be provided in the budget narrative to clearly justify the proposed amounts to be provided.

Please note that applicants that fail to provide a SF-424, SF-424A, a D-U-N-S® number, and a budget narrative will be considered non-responsive, and removed from consideration before the technical review process. Applicants are also encouraged, but not required, to submit OMB Survey No. 1890–0014: Survey on Ensuring Equal Opportunity for Applicants, which can be found at <a href="http://www07.grants.gov/applicants/tipsresources\_from\_grantors.jsp#13">http://www07.grants.gov/applicants/tipsresources\_from\_grantors.jsp#13</a>.

Part II. The Technical Proposal. The Technical Proposal must demonstrate the applicant's capability to implement the YouthBuild grant project in accordance with the provisions of this solicitation. The guidelines for the content of the Technical Proposal are provided in Part V.A of this SGA. The Technical Proposal is limited to 20 double-spaced, single-sided 8.5 x 11 inch pages with 12 point text font and 1 inch margins. Applicants should number the Technical Proposal beginning with page number 1. Any materials beyond the 20-page limit will not be read.

Part IIA. Attachments to the Technical Proposal. In addition to the 20 page Technical Proposal, the applicant must submit the following

required attachments:

(a) An organizational chart that reflects how the YouthBuild program will be staffed. In instances where the YouthBuild program is part of a larger organization (e.g., a Housing Authority), include a diagram that indicates how the YouthBuild program fits within the larger organization;

(b) A timeline outlining project activities;

(c) Letters of commitment from partners; and

(d) A two-page Abstract summarizing the proposed project, including applicant name, project title, a description of the area to be served, and the funding level requested. The Abstract must note whether the application is being submitted as an urban, rural, or Native American application.

These additional required materials do not count against the 20 page limit for the Technical Proposal, but may not exceed 20 pages. Any additional materials beyond the 20 page attachment limit will not be read. Any additional materials other than those listed in Part II A a), b), c), or d) should not be included in the Technical Proposal Attachment and will not be read. ETA does not permit general letters of support submitted by organizations or individuals that are not partners in the proposed project and that do not directly identify the specific commitment or roles of the project partners. Therefore, additional materials, such as résumés or general letters of support or commitment, will not be read.

The required attachments must be affixed as separate, clearly identified appendices to the application.

Commitment letters must accompany the application electronically, not be mailed separately. Please note that applicants should not send letters of commitment separately to ETA because letters received separately are tracked through a different system and will not be attached to the application for review. Applications that do not include the required attachments will be considered non-responsive and not reviewed.

An audited financial statement and accompanying management letter (if applicable) is requested in Section V.A.2.i, but neither counts towards the page limitations for either the Technical Proposal or the Technical Proposal Attachment and should be included as an appendix. This appendix has no page limits.

Part III. The Worksite Description. The applicant must submit the Worksite Description Form (ETA-9143) including all requested attachments, which describes the planned worksite that will be used for on-site housing rehabilitation and construction training for youth participants. These forms can be found at http://www.doleta.gov/ youth%5Fservices/pdf/Work Site  $Description Augus \% 202010.\overline{xls}.$ Information on property for use in year two of your grant may be required. These forms do not count towards the 20 page limitation for either the Technical Proposal or the Technical Proposal Attachment; this is a separate part of the proposal. Please note that before finalizing the grant award document, prospective award winners must be able to re-verify information on the worksite and access to the property before grant funds are released.

Section 10 of ETA 9143 requests information from the property owner or property management company or companies allowing access to the housing site(s) for on-site construction training. DOL will deem non-responsive any application that fails to specifically identify the location of the on-site

construction, including evidence of site access. Guidance on evidence of site access is as follows:

• If the applicant has a contract or option to purchase the property, include a copy of the contract or option; or

• If a third party owns the property or has a contract or option to purchase, that third party must provide a letter stating the nature of the ownership and specifically providing access to the property for the purposes of the program and the time frame in which the property will be available. In the case of a contract or option, include a copy of the document. These should be included as part of Part III of your application and do not count against page limitations.

# C. Submission Process, Date, Times, and Addresses

Applications may be submitted electronically on Grants.gov or in hard copy by mail or hand delivery. Applicants submitting proposals in hard copy must submit an original signed application (including the SF-424) and one "copy-ready" version free of bindings, staples, or protruding tabs to ease in the reproduction of the proposal by DOL. Applicants submitting proposals in hard copy also must provide an identical electronic copy of the proposal on compact disc (CD). If discrepancies between the hard copy submission and CD copy are identified, the application on the CD will be considered the official application for evaluation purposes. Failure to provide identical applications in hard copy and CD format may have an impact on the overall evaluation.

The closing date for receipt of applications of this announcement is December 3, 2010. Applications must be received at the address below no later than 4 p.m. Eastern Time. Applications sent by e-mail, telegram, or facsimile (FAX) will not be accepted. If an application is submitted by both hard copy and through http:// www.grants.gov, a letter must accompany the hard copy application stating why two applications were submitted and the differences between the two submissions. If no letter accompanies the hard copy, we will review the copy submitted through http://www.grants.gov. Applications that do not meet the conditions set forth in this notice will be considered nonresponsive. No exceptions to the mailing and delivery requirements set forth in this notice will be granted. Further, documents submitted separately from the application, before or after the deadline, will not be accepted as part of the application.

Mailed applications must be addressed to the U.S. Department of Labor, Employment and Training Administration, Division of Federal Assistance, Attention: Donna Kelly, Grant Officer, Reference SGA/DFA PY 10–02, 200 Constitution Avenue, NW., Room N4716, Washington, DC 20210. Applicants are advised that mail delivery in the Washington, DC area may be delayed due to mail decontamination procedures. Handdelivered proposals will be received at the above address at the 3rd and C Street entrance. All overnight mail will be considered to be hand-delivered and must be received at the designated place by the specified closing date and time.

Applications that are submitted through Grants.gov must be successfully submitted at http://www.grants.gov no later than 4 p.m. Eastern Time on the closing date, and then subsequently validated by Grants.gov. The submission and validation process is described in more detail below. The process can be complicated and time-consuming. Applicants are strongly advised to initiate the process as soon as possible and to plan for time to resolve technical

problems if necessary.

The Department strongly recommends that before the applicant begins to write the proposal, applicants should immediately initiate and complete the "Get Registered" registration steps at http://www.grants.gov/applicants/get registered.jsp. Applicants should read through the registration process carefully before registering. These steps may take as much as four weeks to complete and this time should be factored into plans for electronic submission in order to avoid unexpected delays that could result in the rejection of an application. The site also contains registration checklists to help walk you through the process. The Department strongly recommends that applicants download the "Organization Registration Checklist" at http:// www.grants.gov/assets/Organization Steps Complete Registration.pdf and prepare the information requested before beginning the registration process. Reviewing and assembling required information before beginning the registration process will alleviate last minute searches for required information and save time.

To register with Grants.gov, applicants applying electronically must have a D–U–N–S® Number and must register with the Federal Central Contractor Registry (CCR). Step-by-step instructions for registering with CCR can be found at <a href="http://www.grants.gov/applicants/org\_step2.jsp">http://www.grants.gov/applicants/org\_step2.jsp</a>. All applicants must register with CCR in order to apply

online. Failure to register with the CCR will result in your application being rejected by Grants.gov during the submission process.

The next step in the registration process is creating a username and password with Grants.gov to become an Authorized Organizational Representative (AOR). AORs will need to know the D–U–N–S® Number of the organization for which they will be submitting applications to complete this process. To read more detailed instructions for creating a profile on Grants.gov visit: http://www.grants.gov/

applicants/org step3.jsp.

After creating a profile on Grants.gov, the E-Biz Point of Contact (E-Biz POC), a representative from your organization who is the contact listed for CCR, will receive an e-mail to grant the AOR permission to submit applications on behalf of their organization. The E-Biz POC will then log in to Grants.gov and approve an applicant as the AOR, thereby giving him or her permission to submit applications. To learn more about AOR Authorization visit: http:// www.grants.gov/applicants/org step5.jsp or to track AOR status visit: http://www.grants.gov/applicants/org\_ step6.jsp.

An application submitted through Grants.gov constitutes a submission as an electronically signed application. The registration and account creation with Grants.gov, with E-Biz POC approval, establishes an AOR. When you submit the application through Grants.gov, the name of your AOR on file will be inserted into the signature line of the application. Applicants must register the individual who is able to make legally binding commitments for the applicant organization as the AOR; this step is often missed and it is crucial for valid submissions.

When a registered applicant submits an application with Grants.gov, an electronic time stamp is generated within the system when the application is successfully received by Grants.gov. Within two business days of application submission, Grants.gov will send the applicant two e-mail messages to provide the status of the application's progress through the system. The first e-mail, sent almost immediately, will contain a tracking number and will confirm receipt of the application by Grants.gov. The second e-mail will indicate the application has either been successfully validated or has been rejected due to errors. Only applications that have been successfully submitted by the deadline and subsequently successfully validated will be considered. It is the sole responsibility of the applicant to ensure a timely

submission. While it is not required that an application be successfully validated before the deadline for submission, it is prudent to reserve time before the deadline in case it is necessary to resubmit an application that has not been successfully validated. Therefore, sufficient time should be allotted for submission (two business days) and, if applicable, additional time to address errors and receive validation upon resubmission (an additional two business days for each ensuing submission). It is important to note that if sufficient time is not allotted and a rejection notice is received after the due date and time, the application will not be considered.

To ensure consideration, the components of the application must be saved as .doc, .xls or .pdf files. If submitted in any other format, the applicant bears the risk that compatibility or other issues will prevent us from considering the application. ETA will attempt to open the document but will not take any additional measures in the event of problems with opening. In such cases, the non-conforming application will not be considered for funding.

We strongly advise applicants to use the plethora of tools and documents, including FAQs, which are available on the "Applicant Resources" page at http://www.grants.gov/applicants/ resources.jsp.

ETA encourages new prospective applicants to view the online tutorial, "Grant Applications 101: A Plain English Guide to ETA Competitive Grants," available through Workforce3One at: http://www.workforce3one.org/page/grants toolkit.

To receive updated information about critical issues, new tips for users, and other time sensitive updates as information is available, applicants may subscribe to "Grants.gov Updates" at <a href="http://www.grants.gov/applicants/e-mail-subscription-signup.jsp">http://www.grants.gov/applicants/e-mail-subscription-signup.jsp</a>.

If applicants encounter a problem with Grants.gov and do not find an answer in any of the other resources, call 1–800–518–4726 to speak to a Customer Support Representative or email "support@grants.gov." The Contact Center is open 24 hours a day, seven days a week. It is closed on Federal holidays.

Late Applications: For applications submitted on Grants.gov, only applications that have been successfully submitted no later than 4 p.m. Eastern Time on the closing date and then successfully validated will be considered. Applicants take a

significant risk by waiting to the last day to submit by Grants.gov.

Any hard copy application received after the exact date and time specified for receipt at the office designated in this notice will not be considered, unless it is received before awards are made, it was properly addressed, and it was: (a) Sent by U.S. Postal Service mail, postmarked not later than the fifth calendar day before the date specified for receipt of applications (e.g., an application required to be received by the 20th of the month must be postmarked by the 15th of that month); or (b) sent by professional overnight delivery service to the addressee not later than one working day before the date specified for receipt of applications. "Postmarked" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable, without further action, as having been supplied or affixed on the date of mailing by an employee of the U.S. Postal Service. Therefore, applicants should request the postal clerk to place a legible hand cancellation "bull's eye" postmark on both the receipt and the package. Failure to adhere to these instructions will be a basis for a determination that the application was not filed on time and will not be considered. Evidence of timely submission by a professional overnight delivery service must be demonstrated by equally reliable evidence created by the delivery service provider indicating the time and place of receipt.

#### D. Intergovernmental Review

This funding opportunity is not subject to Executive Order (EO) 12372, "Intergovernmental Review of Federal Programs."

#### E. Funding Restrictions

All proposal costs must be necessary and reasonable in accordance with Federal guidelines. Determinations of allowable costs will be made in accordance with the applicable Federal cost principles, e.g., Non-Profit Organizations—OMB Circular A–122. Disallowed costs are those charges to a grant that the grantor agency or its representative determines not to be allowed in accordance with the applicable Federal cost principles or other conditions contained in the grant. Applicants will not be entitled to reimbursement of pre-award costs.

1. Legal Rules Pertaining to Inherently Religious Activities by Organizations That Receive Federal Financial Assistance

Direct Federal grants, sub-awards, or contracts under this program must not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services supported with DOL financial assistance under this program. Neutral, secular criteria that neither favor nor disfavor religion must be employed in the selection of grant and sub-grant recipients. In addition, under WIA and DOL regulations implementing WIA, a recipient may not use direct Federal assistance to train a participant in religious activities, or employ participants to construct, operate, or maintain any part of a facility that is used or to be used for religious instruction or worship. See 29 CFR 37.6(f). Under WIA, "[n]o individual shall be excluded from participation in, denied the benefits of, subjected to discrimination under, or denied employment in the administration of or in connection with, any such program or activity because of race, color, religion, sex (except as otherwise permitted under Title IX of the Education Amendments of 1972 and the Religious Freedom Restoration Act of 1993), national origin, age, disability, or political affiliation or belief." 29 U.S.C. 2938(a)(2). Regulations on the Equal Treatment for Faith-Based Organizations, which includes the prohibition against supporting inherently religious activities with direct DOL financial assistance, can be found at 29 CFR part 2, subpart D. Provisions relating to the use of indirect support (such as vouchers) are at 29 CFR 2.33(c) and 20 CFR 667.266.

A faith-based organization receiving Federal financial assistance retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services supported with Federal financial assistance without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal financial assistance retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and

include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of DOL funded activities.

The Religious Freedom Restoration Act (RFRA), 42 U.S.C. sec. 2000bb, applies to all Federal law and its implementation. If your organization is a faith-based organization that makes hiring decisions on the basis of religious belief, it may be entitled to receive Federal financial assistance under Title I of WIA and maintain that hiring practice even though Section 188 of WIA contains a general ban on religious discrimination in employment. If you are awarded a grant, you will be provided with information on how to request such an exemption.

Faith-based and community organizations may reference "Transforming Partnerships: How to Apply the U.S. Department of Labor's Equal Treatment and Religion-Related Regulations to Public-Private Partnerships" at: http://www.workforce3one.org/view/5566/info.

#### 2. Indirect Costs

As specified in OMB Circular Cost Principles, indirect costs are those that have been incurred for common or joint objectives and cannot be readily identified with a particular final cost objective. In order to use grant funds for indirect costs incurred, the applicant must obtain an Indirect Cost Rate Agreement with its Federal Cognizant Agency either before or shortly after the grant award. If an applicant already has a Federal Indirect Cost Rate Agreement, that agreement may be used.

### 3. Administrative Costs

Under the YouthBuild grants, an entity that receives a grant to carry out a project or program may not use more than 15 percent of the amount of the grant to pay administrative costs associated with the program or project. Administrative costs could be direct or indirect costs and are defined at 20 CFR 667.220. Administrative costs do not need to be identified separately from program costs on the SF-424A Budget Information Form. They should be discussed in the budget narrative and tracked through the grantee's accounting system. To claim any administrative costs that are also indirect costs, the applicant must obtain an indirect cost rate agreement from its Federal Cognizant Agency as specified above.

#### 4. Intellectual Property Rights

The Federal Government reserves a paid-up, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use for Federal purposes: (i) The copyright in all products developed under the grant, including a subgrant or contract under the grant or subgrant; and (ii) any rights of copyright to which the grantee, subgrantee or a contractor purchases ownership under an award (including but not limited to curricula, training models, technical assistance products, and any related materials). Such uses include, but are not limited to, the right to modify and distribute such products worldwide by any means, electronically or otherwise. Federal funds may not be used to pay any royalty or licensing fee associated with such copyrighted material, although they may be used to pay costs for obtaining a copy which is limited to the developer/seller costs of copying and shipping. If revenues are generated through selling products developed with grant funds, including intellectual property, these revenues are program income. Program income is added to the grant and must be expended for allowable grant activities.

If applicable, the following needs to be on all products developed in whole or in part with grant funds:

This workforce product was funded by a grant awarded by the U.S. Department of Labor's Employment and Training Administration. The product was created by the grantee and does not necessarily reflect the official position of the U.S. Department of Labor. The Department of Labor makes no guarantees, warranties, or assurances of any kind, express or implied, with respect to such information, including any information on linked sites and including, but not limited to, accuracy of the information or its completeness, timeliness, usefulness, adequacy, continued availability, or ownership. This product is copyrighted by the institution that created it. Internal use by an organization and/or personal use by an individual for non-commercial purposes is permissible. All other uses require the prior authorization of the copyright owner.

#### 5. Salary and Bonus Limitations

Under Public Law 109–234, none of the funds appropriated in Public Law 109–149 or prior Acts under the heading "Employment and Training Administration" that are available for expenditure on or after June 15, 2006, may be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II, except as provided for in section 101 of Public Law 109–149. This limitation applies to grants funded by this Solicitation under Public Law 111–117, and will also apply to grants funded by this Solicitation under the FY 2011 appropriation. The salary and bonus limitation does not apply to vendors providing goods and services as defined in OMB Circular A–133 (codified at 29 CFR Parts 96 and 99). See TEGL No. 5–06 for further clarification: http://wdr.doleta.gov/directives/corr doc.cfm?DOCN=2262.

#### F. Other Submission Requirements

Withdrawal of Applications:
Applications may be withdrawn by written notice or telegram (including mailgram) to the Grant Officer received at any time before an award is made.
Applications may be withdrawn in person by the applicant or by an authorized representative thereof, if the representative's identity is made known and the representative signs a receipt for the return of the proposal.

### V. Application Review Information

#### A. Evaluation Criteria

This section identifies and describes the criteria that will be used to evaluate proposals for a YouthBuild Grant. These criteria and point values are:

Criterion	Points	
Statement of Need      Program Management, Organizational Capacity, and Evidence of Past and Projected Success in YouthBuild or Other Relevant	10	
Programs	35	
<ol> <li>Project Design, Service Strategy, and Program Outcomes</li> <li>Linkages to Key Partners, Match and Leveraged Re-</li> </ol>	40	
sources	15	
Total	100	

#### 1. Statement of Need (10 Points)

Please describe the community where the YouthBuild program will operate. Identify the need for a YouthBuild program in the community that is proposed to be served through the grant and demonstrate the need for the project in that area. Applicants are expected to present information on various characteristics of the community(ies) in which they expect to operate. If there are particular neighborhoods within the city where the grant will be focused, describe these neighborhoods and provide available data specific to those areas. Required information includes the population of the area, its poverty rate, shortage of affordable housing, its unemployment rate, the graduation rate, and the number of 18-24 year olds

without a high school diploma. In addition, because one of the goals of the YouthBuild program is to provide for the inclusion of tenants who were previously homeless individuals or families in the rental of housing provided through the program, the incidence of homelessness in your community should be included.

To obtain these indicators, applicants can use census tract data from the 2000 census—go to http://factfinder.census.gov and use the link on the left for People. Graduation rates for every school district in the nation may be found at http://www.edweek.org/apps/maps/

All of these indicators must be presented in chart form, which may be single-spaced, and the applicant must provide the sources for the data provided. In addition, applicants should provide information on the economic and employment factors facing the community, including negative factors as well as promising economic and employment trends that will require an educated and skilled workforce.

If the organization plans to build or rehabilitate houses or community/public facilities in a different community from that in which youth will be recruited, present the homelessness and poverty data for that area and the unemployment, poverty, and graduation rates for the area in which the organization will be recruiting youth participants.

Applicants will be evaluated on:

• The clear and specific need for a YouthBuild program in their community, as evidenced by high poverty and low graduation rates; and

- The degree to which other factors in distressed communities, such as crime, unemployment, plant closures, or other indicators of economic decline, particularly in comparison with other areas of the city or state, are negatively impacting youth and their families.
- 2. Program Management, Organizational Capacity, and Evidence of Past and Projected Success in YouthBuild or Other Relevant Programs (35 Points).
- i. Program Management and Organizational Capacity (15 Points)

The applicant must provide a description of the applicant's organization and a statement of its qualifications for running a YouthBuild program, including years of operation, current annual budget, continuity of leadership, and the experience of staff, their roles, as well as those of any consultants and collaborative organizations that may be part of operating the YouthBuild program.

Applicants should include an organizational chart that reflects how the YouthBuild program will be staffed and, in instances where the YouthBuild program is part of a larger organization (e.g., a Housing Authority), a diagram that indicates where the YouthBuild program fits within the larger organization.

organization.

The applicant must fully describe the organization's capacity to track and report outcomes and its ability to collect and manage data in a way that allows consistent, accurate, and expedient reporting. The applicant must discuss the professional development activities available to staff, either on-site or

through training funds.

The applicant must fully describe any previous experience of the organization in operating grants from either Federal or non-Federal sources. Describe the fiscal controls in place in the organization for auditing and accountability procedures. Applicant must also provide information on the overall financial stability of the organization that has financial oversight for this program. Applicants must include the most recent audited financial statements and, if applicable, the accompanying management letter. This attachment will not count towards your 20-page limit for either the technical proposal or attachments.

The applicant must describe the organization's ability to handle multiple funding streams with appropriate accounting systems in place.

The applicant must describe its proposed project management structure including, where appropriate, the identification of a proposed project manager, discussion of the proposed staffing pattern, and the qualifications and experience of key staff members or short job descriptions and their time commitment to the project.

Scoring under this criterion will be based on the extent to which applicants provide evidence of the following:

- The overall financial stability of the organization as demonstrated by strong accounting systems, fiscal controls, previous grants management, and the audited financial statements.
- The capacity of the applicant organization to accomplish the goals and outcomes of the project, including the ability to collect and manage data in a way that allows consistent, accurate, and expedient reporting.
- The time commitment of the proposed staff dedicated to the YouthBuild program is sufficient to ensure proper direction, management, and timely completion of the project.
- The roles and contribution of staff, consultants, and collaborative

organizations are clearly defined and linked to specific tasks.

- The background, experience, and other qualifications of the staff are sufficient to carry out their designated roles.
- The adequacy of the budget and narrative to run the proposed program, including the cost per participant, which should not exceed the range of \$15,000–\$18,000.

ii. Evidence of Past Success in YouthBuild or Other Relevant Programs (20 Points)

The applicant must fully describe and document past accomplishments it has had operating a YouthBuild program or another youth workforce development program that is comparable to YouthBuild in its complexity and duration in the provision of education and skills training for at-risk youth, including skills training in housing rehabilitation and construction activities. If you have previously received a YouthBuild award from DOL, please include the quarterly performance numbers from the most recently submitted Quarterly Performance Report. The applicant must describe the program and how long the program has been in operation. Applicants that have operated a YouthBuild program or another comparable youth workforce development program must provide in a chart, which may be single-spaced, the following information:

- Program duration (e.g., months participants are enrolled in the program);
  - Number of youth recruited;
  - Number of youth enrolled;
- Number of youth who successfully completed the program;
- Number and percent of youth receiving their GED, high school diploma, and/or other state recognized equivalent (including recognized alternative standards for individuals with disabilities; please differentiate between these credentials);
- Rate of literacy and numeracy gains by participants;
- Number and percent of youth who have entered construction-related employment;
- Number and percent of youth who have entered other employment;
  - Employment retention rates;
- Number and percent of youth who have entered post-secondary training or education;
- Post-secondary training or education retention rates (where available, please indicate the number of participants who have completed post-

secondary training or education and have achieved a credential);

- Number and percent of youth who have entered registered apprenticeship programs; and
- Cost per participant.

The applicant must indicate the projected enrollment per year. Please fully describe how both the academic and skills training curricula were developed and how long they have been used. Please note that projected enrollment described in this section is not binding. At the time of grant award, DOL will inform grantees of expected enrollment goals as well as expected outcomes based on existing outcome data for a YouthBuild program or another comparable youth workforce development program. DOL reserves the right to set expected performance outcomes at a later date in the awards selection process.

Scoring under this criterion will be based on the extent to which applicants provide evidence of the following:

- The degree to which the performance data is provided and documented:
- The degree to which the organization has demonstrated success in working with at-risk youth and preparing them for employment or education;
- The complexity of construction activities undertaken and the degree to which youth are exposed and trained in a variety of construction skills;
- The use of occupational skills training curriculum that resulted in youth receiving industry-recognized credentials, e.g., the National Center for Construction Education and Research, the Home Builders Institute's curriculum, or the Building Trades Multi-Craft Core curriculum; and
- The use of State-approved curricula for either GED or high school diploma.
- 3. Project Design, Service Strategy, and Program Outcomes (40 Points Total).
- i. How will youth be recruited and selected for the program? (5 Points)

The applicant must provide a description that fully demonstrates how eligible youth will be recruited and selected as participants, including a description of arrangements that will be made for the recruitment and selection of eligible youth with the following groups: Local Workforce Investment Boards, One-Stop Career Centers, faith-based and community organizations, State educational agencies or local educational agencies (including agencies of Indian tribes), public assistance agencies, the courts of jurisdiction, agencies operating shelters

for homeless individuals, people with disabilities, and other agencies that serve youth who are homeless individuals, foster care agencies, and other appropriate public and private agencies. The applicant must provide a description that fully demonstrates the outreach efforts that will be undertaken to recruit eligible young women (including young women with dependent children) as participants. Scoring under this criterion will be based on the extent to which applicants provide evidence of the following:

- The quality and comprehensiveness of their recruitment strategy including methods for outreach, referral, and selection.
- The program's successful efforts to recruit eligible young women into the YouthBuild program or other comparable youth workforce development program.
- ii. How will education and occupational skills training be delivered to youth as required by the YouthBuild Transfer Act in an integrated and cross-cutting manner? (20 Points)

The applicant must fully demonstrate how the academic program is integrated with the occupational skills training component of the program. The applicant must explain how academic and occupational skills training instructors work together to reinforce and complement classroom and workplace lessons and how other innovative teaching strategies are used in the program. Describe how the applied learning of the construction trades will improve and enhance the academic outcomes for the youth and provide examples of the integration of these two YouthBuild program components.

The applicant must provide a description that fully demonstrates the educational and job training activities, work opportunities, post-secondary education and training opportunities, and other services that will be provided to participants, and how those activities, opportunities, and services will prepare youth for employment in construction occupations in demand in the local labor market. Given the connection between education and earnings, DOL expects that the academic component will be rigorous and challenging and will provide youth with opportunities to transition to post-secondary training. The program must be structured so that participants in the program are offered education and related services designed to meet educational needs for at least 50 percent of the time during which they participate in the program. YouthBuild program participants must be offered

work and skill development activities in housing rehabilitation and construction activities, for at least 40 percent of the time during which they participate in the program. The 50–40 time allocation applies at the program level and not to the actual time that an individual spends in a component.

The applicant must indicate the type of academic credential that participants will earn while in the program (GED, high school diploma or degree certificate, or other state recognized equivalent including recognized alternative standards for people with disabilities). The applicant must fully describe the quality of the academic program and the qualifications of the teaching staff. The applicant must fully describe any innovative and successful strategies that the program or initiative has used to address low basic skills of participants. The applicant must describe if and how the academic portion of your program differs from that of a traditional comprehensive high school. If distance learning and/or credit retrieval is used, please fully describe how this is incorporated into the overall academic program. The applicant must describe how student mastery is demonstrated.

The applicant must describe how it will link program participants to local community colleges and trade schools, particularly for YouthBuild programs that only offer GEDs to participants. Please also describe the types of college exploration, planning, preparation, and assistance that will be provided.

assistance that will be provided.

The applicant must discuss the occupational skills training component of the program, including where and how the training will be conducted, how the curriculum is developed, the type of industry-recognized credentials that result from the training, the inclusion of green construction skill training, and the involvement of industry partners and apprenticeship programs in the development of the training. Please describe the skills and qualifications of the occupational skills training instructors. Applicants must provide the worksite form ETA-9143 and all related attachments as part of their proposal. All information requested on the ETA-9143 and related attachments must be addressed in full in order to be considered responsive to this requirement. Please provide a description of the payment structure for participants.

The applicant must describe how it will oversee the worksite to identify existing and potential hazards, how youth will be trained to protect themselves from potential worksite accidents, and how hazards will be

prevented and controlled through policies and procedures. Provide information on how worksite supervisors will be trained to ensure OSHA-approved worksite safety. The applicant must indicate the ratio of adults to youth at construction training sites.

This section of the proposal will be rated on:

- The use of innovative and evidencebased instructional strategies to address basic skills deficiencies;
- The extent to which a challenging curriculum is provided;
- The extent to which project-based learning or other methods of integrating education and occupational skill training are used;
- The specific partnerships that create explicit links for participants to local community colleges and trade schools;
- The degree to which career and college exploration are incorporated into the overall culture of the program;
- The availability of industryrecognized credentials upon completion of the occupational skills training components of the program;
- The strength of connections to business partners and apprenticeship programs;
- The comprehensiveness of form ETA-9143 describing the worksite and access:
- The comprehensiveness of safety plans for the occupational skills training worksite, including the training of staff and participants in OSHA guidelines;
- The extent of green construction training that is included in the overall construction curriculum;
- The proposed time period during which educational programming will be offered (including when the programming will be offered and the amount of time that it will be offered);
- The proposed time period during which occupational skills training will be offered (including when the programming will be offered and the amount of time that it will be offered); and
- The quality of the integration of these two components.
- iii. How will community service learning and leadership development opportunities be provided for youth in the program? (5 Points)

The applicant must describe the proposed leadership curriculum, qualifications of instructors, and the impact of the proposed leadership activities on the target area. The application must fully describe the leadership development training that will be offered to participants, the

expected leadership competencies with which participants will graduate, youth committee involvement strategies, efforts for providing the training to build group cohesion and peer support, and opportunities for continued leadership after graduation. The applicant must describe how community service learning opportunities will be implemented at the site.

Applicants will be evaluated on:

- The quality of leadership development and community service learning activities; and
- How these activities are integrated with academic, skills training, and career exploration components of the program.
- iv. What types of post-program transition services will be provided and how will follow-up for outcome reporting and participant retention be assured? (10 Points)

Post-program transition services are defined as services offered during program enrollment that will assist a young person in making a successful transition from the YouthBuild program into employment and/or post-secondary education and training programs. Follow-up services are services that may be provided to a YouthBuild program participant upon exit from the program. Please fully describe the types of postprogram transition services that will be offered to prepare youth for career pathway opportunities and placements and/or educational opportunities and placements. The applicant must describe how each individual's work readiness will be assessed and how work readiness training will be provided. Also describe how an individual's readiness for placement in post-secondary education and/or apprenticeship programs will be assessed. The applicant must demonstrate the types of career exploration and planning activities that will be offered by the program, particularly for high-growth, highdemand, and high-wage occupations. For a list of ETA's or the Department's Targeted High-Growth Industries, go to: http://www.doleta.gov/brg/ job train initiative / # Targeted Industries.

The applicant must describe the program's job placement and retention strategy, including how the program will work with employers and/or One-Stop Career Centers to identify and create job openings for the young people served by the program. Describe the types of follow-up services that will be provided to support youth as they transition to post-secondary education or employment and ensure that they are

retained in placements. Describe how appropriate continued support services will be provided during the nine to twelve month follow-up period.

Important elements for evaluation include:

- The degree to which work readiness and career exploration are integrated into the culture, core mission, and activities of the program;
- The program's integrated approach to providing post-program planning for participants; and
- The structure of its participant follow-up service strategy.
- 4. Linkages to Key Partners, Match and Leveraged Resources (15 Points Total)
- i. Who are the key partners that will be supporting the program? (10 Points)

The applicant must describe the key partners who will be involved in the proposed YouthBuild project. Specifically, describe in detail the activities to be undertaken by partners, the level of commitment from each partnering organization, and their qualifications to assist with this project. As an attachment, the applicant must include letters of commitment from key partners that demonstrate the strength and maturity of the partnership, including previous collaboration on projects. Please note that letters of support do not equate to letters of commitment and should not be included.

The applicant must provide a description of how the proposed program will coordinate with Federal, State, and local agencies and Indian tribes to access services, including local workforce investment activities with One-Stop Career Centers and their cooperating partners, vocational education programs, faith-based organizations, limited English proficiency instruction programs, and activities conducted by public schools, community colleges, and national service programs, as well as other job training provided with funds available under this title.

The applicant must describe partnerships with the juvenile justice system, adult probation/parole agencies, and housing and community development systems. The applicant must fully describe the specific role of employers in the proposed program, such as their role in developing the proposed program and assisting in service provision and in placement activities. The applicant must fully describe the program's relationship with local building trade unions and their role in training, the relationship of the proposed program to established

registered apprenticeship programs and employers, and the ability of the applicant to grant industry-recognized skills certifications through the

program.

The applicant must indicate the types of private funding the organization has secured in the past. Also, fully describe long-term partnerships with organizations that have added to the robustness of the program and how the organization has sustained these partnerships. The applicant must discuss how they have successfully managed partnerships.

Points for this factor will be awarded

based on:

• The commitment of additional resources to the proposed program (in addition to the funds made available through the grant) by:

(1) An applicant;

(2) Recipients of other Federal, State, or local housing and community development assistance who will sponsor any part of the rehabilitation, construction, operation and maintenance, or other housing and community development activities undertaken as part of the proposed program; or

(3) Entities carrying out other Federal, State, or local activities or activities conducted by Indian tribes, including vocational education programs, adult and language instruction educational programs, and job training using funds

provided under WIA.

• An applicant's ability to attract as partners in the proposed program:

(1) Education and training providers including:

(i) The kindergarten through twelfth grade educational system;

(ii) Adult education; (iii) Community and technical

(iv) Four-year colleges and universities;

(v) Registered apprenticeship programs; and

(vi) Other training entities.

- (2) Employers, including professional organizations and associations. An applicant will be evaluated on the extent to which employers participate in:
- (i) Defining the program strategy and goals;
- (ii) Identifying needed skills and competencies;
- (iii) Designing training approaches and curricula;
- (iv) Contributing financial support; and
- (v) Hiring qualified YouthBuild graduates.
- (3) The workforce investment system which may include:

- (i) State and local workforce investment boards;
- (ii) State workforce agencies; and (iii) One-Stop Career Centers and their cooperating partners.
- (4) The juvenile justice system, and the extent to which it provides:
- (i) Support and guidance for YouthBuild participants with court involvement; and

(ii) Assists in the reporting of recidivism rates among YouthBuild

participants

- (5) Faith-based and community organizations that serve at-risk and disadvantaged youth, and the extent to which they provide a variety of grant services such as:
  - (i) Case management;

(ii) Mentoring;

(iii) English as a Second Language courses; and

(iv) Other comprehensive supportive services, when appropriate.

- (6) Local building trade unions and established registered apprenticeship programs and the extent to which they play a role in training, developing curricula, and providing post-program opportunities for employment or entry into training programs.
- (7) Housing authorities, where appropriate, and how they are engaged in the YouthBuild program including, the recruitment of potential participants, the provision of worksites for participant training, office or training space, staff, and any other resources that they may be providing to the YouthBuild program;

• The partners' knowledge and experience about the proposed grant activities and their ability to impact the success of the project;

- Evidence, including letters of commitment (not letters of support), that key partners have expressed a clear dedication to the project and understand their areas of responsibility; and
- Evidence of the demonstrated ability of the applicant to successfully manage partnerships.
- ii. What match and other leveraged resources are being contributed to this project? (5 Points)

Applicants should clearly describe the required matching funds (not to exceed 25 percent and any additional funds or resources leveraged in support of the proposed strategies and demonstrate how these funds contribute to the goals of the project. Important elements of the explanation include:

• Which partners and/or grant subrecipients have or will contribute(d) match and leveraged resources and the extent of each contribution, including

- an itemized description of each contribution;
- The quality of the match and leveraged resources, including the extent to which each contribution will be used to further the goals of the project; and
- Evidence, such as letters of commitment (not letters of support), that key partners have expressed a clear commitment to provide the contribution.

Assessment of this criterion will be based on the extent to which the applicant fully describes the amount, commitment, nature, and quality of match and leveraged resources. A match in the sum of exactly 25 percent of the Federal funding request must be provided. Matching funds may be either cash or in-kind. Application will also be evaluated on the extent to which additional Federal, State, local, or private funding is provided for wraparound support services as well as to support the costs associated with their defined construction project. Both matching funds and additional leveraged resources will be scored based on the degree to which the source and use of those resources are clearly explained and the extent to which all resources are fully integrated into the project to support grant outcomes. Proposing a match amount in excess of the required 25 percent will NOT result in additional points and may have a negative impact on the overall evaluation.

# B. Review and Selection Process

Applications for grants under this Solicitation will be accepted after the publication of this announcement and until the closing date. Proposals that are timely and responsive to the requirements of this SGA will be rated against the criteria listed above by an independent panel comprised of representatives from DOL, HUD, U.S. Department of Justice, U.S. Department of Health and Human Services, and other peers. The ranked scores will serve as the primary basis for selection of applications for funding, in conjunction with other factors such as urban, rural, and geographic balance; whether the areas to be served have previously received grants for YouthBuild programs; the availability of funds; and which proposals are most advantageous to the Department. The approximately \$30 million that remains of FY 2010 funds will be reserved for awards to organizations that did not receive funding in the FY 2009 YouthBuild competition [SGA/DFA PY 08-07].

If an applicant that did not receive funding in the FY 2009 YouthBuild competition [SGA/DFA PY 08–07] does not receive an award from the approximately \$30 million of FY 2010 funds, their application will automatically be considered for award from the FY 2011 appropriation.

The panel results are advisory in nature and not binding on the Grant Officer, who may consider any information that comes to his/her attention. The Department may elect to award the grant(s) with or without discussions with the applicants. Should a grant be awarded without discussions, the award will be based on the applicant's signature on the SF–424, which constitutes a binding offer by the applicant (including electronic signature via E–Authentication on http://www.grants.gov).

#### VI. Award Administration Information

#### A. Award Notices

All award notifications will be posted on the ETA homepage (http://www.doleta.gov). Applicants selected for award will be contacted directly before the grant's execution. Applicants not selected for award will be notified by mail. All applicants will be given the opportunity to request written feedback based on the technical panel review.

As part of the grant package and before any drawdown, all successful grantees will be required to re-confirm access to their proposed worksite. In addition, a revised worksite plan will be required before funds can be drawn down for the second year of the grant.

#### B. Administrative and National Policy Requirements

# 1. Administrative Program Requirements

All grantees will be subject to all applicable Federal laws, regulations, and the applicable OMB Circulars. The grant(s) awarded under this SGA will be subject to the following administrative standards and provisions:

i. Non-Profit Organizations—OMB Circular A–122 (Cost Principles), relocated to 2 CFR part 230, and 29 CFR part 95 (Administrative Requirements).

ii. Educational Institutions—OMB Circular A–21 (Cost Principles), relocated to 2 CFR part 220, and 29 CFR part 95 (Administrative Requirements).

iii. State, Local, and Indian Tribal Governments—OMB Circular A–87 (Cost Principles), relocated to 2 CFR part 225, and 29 CFR part 97 (Administrative Requirements).

iv. Profit Making Commercial Firms—Federal Acquisition Regulation (FAR)—48 CFR part 31 (Cost Principles), and 29

CFR part 95 (Administrative Requirements).

v. The Workforce Investment Act of 1998, Public Law 105–220, 112 Stat. 936 (codified, as amended, at 29 U.S.C. 2801 et seq.) and 20 CFR part 667 (General Fiscal and Administrative Rules);

vi. 29 CFR Part 37—Implementation of the Nondiscrimination and Equal Opportunity Provisions of the Workforce Investment Act of 1998;

vii. All entities must comply with 29 CFR parts 93 (New Restrictions on Lobbying), 29 CFR part 94 (Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)), and 29 CFR part 98 (Governmentwide Debarment and Suspension), and, where applicable, 29 CFR part 96 (Audit Requirements for Grants, Contracts, and Other Agreements) and 29 CFR Part 99 (Audits of States, Local Governments and Non-Profit Organizations).

ix. 29 ČFR part 2, subpart D—Equal Treatment in Department of Labor Programs for Religious Organizations, Protection of Religious Liberty of Department of Labor Social Service Providers and Beneficiaries.

x. 29 CFR part 31—Nondiscrimination in Federally Assisted Programs of the Department of Labor—Effectuation of Title VI of the Civil Rights Act of 1964.

xi. 29 CFR part 32— Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance.

xii. 29 CFR part 33—Enforcement of Nondiscrimination on the Basis of Handicap in Programs or Activities Conducted by the Department of Labor.

xiii. 29 CFK part 35— Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance from the Department of Labor.

xiv. 29 CFR part 36— Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance.

The following administrative standards and provisions also may be applicable:

i. The YouthBuild Transfer Act, Public Law 109–281, 120 Stat 1173 (codified at 29 U.S.C. 2918a);

ii. 29 CFR parts 29 and 30—Labor Standards for the Registration of Apprenticeship Programs and Equal Employment Opportunity in Apprenticeship and Training;

iii. 29 CFR part 570—Child Labor Regulations, Orders, and Statements of Interpretation;

iv. The Davis-Bacon Act, as amended, 40 U.S.C. 3141 *et seq.* and 29 CFR part 5—Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction;

v. Health and Safety Standards established under Federal and State Law (including the Occupational Safety and Health Act of 1970) otherwise applicable to working conditions of employees are equally applicable to working conditions of participants engaged in the activities funded by this grant. See 29 U.S.C. 2931(b)(4); and

vi. Environmental protection statutes and regulations as applicable.

In accordance with Section 18 of the Lobbying Disclosure Act of 1995 (Pub. L. 104–65) (2 U.S.C. 1611) non-profit entities incorporated under Internal Revenue Service Code section 501(c)(4) that engage in lobbying activities are not eligible to receive Federal funds and grants.

Other Administrative Standards and Provisions:

Except as specifically provided in this Notice, DOL/ETA's acceptance of a proposal and an award of Federal funds to sponsor any program(s) does not provide a waiver of any grant requirements and/or procedures. For example, OMB Circulars require that an entity's procurement procedures must ensure that all procurement transactions are conducted, as much as practical, to provide open and free competition. If a proposal identifies a specific entity to provide services, the DOL/ETA's award does not provide the justification or basis to sole source the procurement, i.e., avoid competition, unless the activity is regarded as the primary work of an official partner to the application.

Further, as a Federal agency, DOL has a statutory duty to affirmatively further fair housing. DOL requires the same of its funding recipients under this solicitation. If the organization is a successful applicant, the organization will have a duty to affirmatively further fair housing opportunities for classes protected under the Fair Housing Act. Protected classes include race, color, national origin, religion, sex, disability, and familial status. Therefore, the grantee must take specific steps to:

- Overcome the effects of impediments to fair housing choice that were identified in the jurisdiction's Analysis of Impediments (AI) to Fair Housing Choice;
- Remedy discrimination in housing; or
- Promote fair housing rights and fair housing choice.

Further, the applicant has a duty to carry out the specific activities provided in its responses to this solicitation that address affirmatively furthering fair housing.

### 2. Special Program Requirements

i. Evaluation of YouthBuild Program. To measure the impact of the YouthBuild programs, DOL has arranged for an independent evaluation of the outcomes and benefits of the projects. ETA has contracted with an independent organization to conduct a rigorous, national evaluation of the YouthBuild program. A subset of grantees will be selected to participate in the evaluation and those that are selected will be required to participate.

The evaluation will use a random assignment design, which includes a computerized process that will randomly select which of the youth that grantees deem eligible for the program will receive YouthBuild services. This process, similar to drawing names from a hat, is fair and ensures that everyone has the same chance of getting YouthBuild services. Those who are not admitted will form a control group and may be referred to other non-similar youth services. The grantees will be responsible for obtaining the consent of applicants to be randomly selected to be in the control group, and for informing the applicants that they have been selected. The evaluation contractor will work with each grantee to develop study procedures that minimize any disruption of the grantee's intake procedures and program operations. It is expected that the evaluation will not reduce the total number of youth who are served by the YouthBuild program. For more information on the evaluation, please see the Q & A section of this solicitation.

### C. Reporting

Grantees must agree to meet DOL reporting requirements. Quarterly financial reports, quarterly progress reports, and MIS data will be submitted by the grantee electronically. The grantee is required to provide the reports and documents listed below:

#### 1. Quarterly Financial Reports

A Quarterly Financial Status Report (ETA 9130) is required until such time as all funds have been expended or the grant period has expired. Quarterly reports are due 45 days after the end of each program year quarter. Grantees must use DOL's On-Line Electronic Reporting System about which information and instructions will be provided to grantees.

#### 2. Quarterly Narrative Progress Reports

The grantee must submit a quarterly progress report to their designated

Federal Project Officer within 45 days after the end of each quarter. This report must provide a detailed account of activities undertaken during that quarter. The quarterly progress report should be in narrative form and should include:

- i. In-depth information on accomplishments, including project success stories, upcoming grant activities, and promising approaches and processes.
- ii. Progress toward performance outcomes, including updates on product, curricula, and training development.

### 3. Quarterly Performance Reports

Organizations will be required to submit updated data on enrollment, services provided, placements, outcomes, and follow-up status within 45 days after the end of each quarter. A government-procured, Web-based Case Management and Performance system will be provided at no charge to all grantees. Grantees will be required to have industry-standard computer hardware and high-speed Internet access in order to use the MIS system. Grant funds may be used with the prior approval of the Grant Officer to upgrade computer hardware and Internet access to enable projects to use the MIS system.

#### 4. Injury Incident Reports

Organizations will be required to submit incident reports of injuries received by enrollees during the training program. DOL will provide specifications for this reporting after grant award.

### 5. Final Report

A final report must be submitted no later than 90 days after the expiration date of the grant. This report must summarize project activities, employment outcomes, and related results of the training project, and should thoroughly document capacity building and training approaches. The final report should also include copies of all deliverables, e.g. curricula and competency models. Three copies of the final report must be submitted to ETA, and grantees must agree to use a designated format specified by DOL for preparing the final report.

6. A Closeout Financial Status Report Is Due 90 Days After the End of the Grant Period.

#### 7. Record Retention

Applicants should be aware of Federal guidelines on record retention, which require grantees to maintain all records pertaining to grant activities for a period of not less than 3 years from the time of final grant close-out.

#### VII. Agency Contacts

For further information about this SGA, please contact Ariam Ferro, Grants Management Specialist, Division of Federal Assistance, at (202) 693-3968 (please note this is not a toll-free number). Applicants should fax all technical questions to (202) 693-2705 and must specifically address the fax to the attention of Ariam Ferro and should include SGA/DFA PY 10-02, a contact name, fax and phone number, and email address. This announcement is being made available on the ETA Web site at http://www.doleta.gov/grants/ find grants.cfm, at http:// www.grants.gov, and in the Federal Register.

# VIII. Additional Resources of Interest to Applicants

#### A. Web-Based Resources

DOL maintains a number of Webbased resources that may be of assistance to applicants:

- The Web site for ETA (http://www.doleta.gov) is a valuable source for background information on the High Growth Job Training Initiative.
- The Workforce3One Web site (http://www.workforce3one.org) is a valuable resource for information about demand-driven projects of the workforce investment system, educators, employers, and economic development representatives.
- America's Service Locator (http://www.servicelocator.org) provides a directory of the nation's One-Stop Career Centers.
- We encourage applicants to review "Applying for ETA Competitive Grants: A Web-Based Toolkit for Prospective Applicants" (http://www.workforce3one.org/page/grants\_toolkit).
- For an understanding of the Department's Equal Treatment and Religion-Related regulations and the responsibilities of receiving Federal grant support, please see "Transforming Partnerships: How to Apply the U.S. Department of Labor's Equal Treatment and Religion-Related Regulations to Public-Private Partnerships" at: http://www.workforce3one.org/view/5566/info.
- TRAINING AND EMPLOYMENT NOTICE NO. 44–07 "Providing Strategies to the One-Stop Career Center System on Collaborating with YouthBuild Programs" can be found at <a href="http://wdr.doleta.gov/directives/corr\_doc.cfm?DOCN=2646">http://wdr.doleta.gov/directives/corr\_doc.cfm?DOCN=2646</a>.

#### IX. Other Information

#### OMB Information Collection No. 1225– 0086

OMB Information Collection No. 1225– 0086, Expires November 30, 2012

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 20 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimated or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, to the attention of: Departmental Clearance Officer, 200 Constitution Avenue, NW., Room N-1310, Washington, DC 20210. Comments may also be e-mailed to DOL\_PRA\_PUBLIC@dol.gov. Please do not return the completed application to this address. Send it to the sponsoring agency as specified in this solicitation.

This information is being collected for the purpose of awarding a grant. The information collected through this "Solicitation for Grant Applications" will be used by DOL to ensure that grants are awarded to the applicant best suited to perform the functions of the grant. Submission of this information is required in order for the applicant to be considered for award of this grant. Unless otherwise specifically noted in this announcement, information submitted in the respondent's application is *not* considered to be confidential.

Signed at Washington, DC, this 28th day of September 2010.

#### Donna Kelly,

Grant Officer, Employment and Training Administration.

[FR Doc. 2010-24825 Filed 10-1-10; 8:45 am]

BILLING CODE 4510-FT-P

# MILLENNIUM CHALLENGE CORPORATION

[MCC FR 10-11]

# Notice of Entering Into a Compact With the Republic of the Philippines

AGENCY: Millennium Challenge

Corporation. **ACTION:** Notice.

SUMMARY: In accordance with Section 610(b)(2) of the Millennium Challenge Act of 2003 (Pub. L. 108–199, Division D), the Millennium Challenge Corporation (MCC) is publishing a summary and the complete text of the Millennium Challenge Compact between the United States of America, acting through the Millennium Challenge Corporation, and the Republic of the Philippines. Representatives of the United States Government and the Republic of the Philippines executed the Compact documents on September 23, 2010.

Dated: September 29, 2010.

#### Melvin F. Williams, Jr.,

VP/General Counsel and Corporate Secretary, Millennium Challenge Corporation.

### Summary of Millennium Challenge Compact With the Republic of the Philippines

The five-year Millennium Challenge Compact with the Republic of the Philippines ("Compact") will provide up to \$433,910,000 million to reduce poverty and accelerate economic growth. The Compact is intended to support: (i) Reforms and investments to modernize the Bureau of Internal Revenue to increase fiscal space for public investment and to reduce opportunities for corruption in tax administration; (ii) expansion and improvement of a community-driven development project, Kalahi-CIDSS; and (iii) rehabilitation of a secondary national road in Samar province.

Revenue Administration Reform Project (\$54.3 million)

The Revenue Administration Reform Project addresses two problems: (i) the need to raise tax revenues and (ii) the need to reduce tax evasion and revenue agent-related corruption. A key constraint to economic growth in the Philippines is the lack of fiscal space for growth-enhancing investments in public goods such as infrastructure and social services (e.g., education and health). This project will focus on the Bureau of Internal Revenue within the Department of Finance to increase the efficiency and sustainability of revenue collection through a redesign and computerization of business processes, thereby helping to relieve some pressure on the Government of the Republic of the Philippines' ("GRP's") fiscal position. This project will narrow the gap between potential and actual collections by reducing the discretion of individual revenue (i.e., tax and customs) collection officers, and help improve the predictability and impartiality with which revenue laws and regulations are

enforced. Some of these activities are extensions of the Philippines' threshold program activities that concluded in May 2009. The project is expected to reach the entire Philippine population and has an economic rate of return of 40 percent.

Kalahi-CIDSS Community Development Project (\$120.0 million)

The Kalahi-CIDSS Project will improve welfare in rural areas by targeting communities where poverty incidence exceeds the national average for small-scale, community-driven development projects. The project does this through the direct provision of infrastructure and services associated with community-selected and managed sub-projects, strengthened community participation in development and governance activities at the village and municipal level, and improved responsiveness of local government to community needs. The project will build on and support the application of the participatory planning, implementation, and evaluation methodology developed by GRP's Department of Social Welfare and Development (DSWD) in collaboration with the World Bank.

Grants for the community sub-projects are provided directly to the local communities, which are responsible for sub-project selection, the procurement of goods and services for their sub-project, and, in most cases, the operations and maintenance of the physical assets. DSWD will implement the project, overseen by a National Steering Committee that includes representatives from government departments and NGOs, and in collaboration with local governments.

Typical sub-projects will include small-scale transportation infrastructure such as village access roads and bridges, school buildings, health clinics, drinking water systems, pre-and post-harvest facilities, and other economic assets. The project is expected to benefit over five million beneficiaries over the next 20 years and has an estimated economic rate of return of 13 percent.

Secondary National Roads Development Project (\$214.4 million)

The Secondary National Roads
Development Project is designed to
reduce transportation costs through the
rehabilitation of an existing 222
kilometer road segment. By bringing
about savings in vehicle operating cost
and time for both passengers and goods,
and by reducing road maintenance
costs, the investment will facilitate
increased commerce in and between the
provinces of Samar and Eastern Samar,

and ultimately contribute to the Compact's objective of increasing incomes.

This project will incorporate enhanced safety measures in the final road designs, including: (i) Paved shoulders intended to improve conditions for vehicles and provide space for pedestrians; (ii) construction of sidewalks and curbs where pedestrian activity is higher, such as near schools and other public facilities; (iii) improved gateway treatments to indicate where lower speeds are required, typically in more developed communities and urban areas; and (iv) increased use of road narrowing, median islands, and traffic humps to slow traffic speeds. The project is expected to reach 290,000 beneficiaries and has an economic rate of return of 14 percent.

#### Administration

The Compact also includes program management and oversight costs estimated at \$36.91 million over a five-year time frame, including the costs of administration, management, auditing, and fiscal and procurement agent services. In addition, the cost of monitoring and evaluation of the Compact is budgeted at approximately \$8.26 million.

#### Millennium Challenge Compact

Between the United States of America Acting Through the Millennium Challenge Corporation and the Republic of the Philippines

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### Millennium Challenge Compact

#### Preamble

This Millennium Challenge Compact (this "Compact") is between the United States of America, acting through the Millennium Challenge Corporation, a United States government corporation ("MCC"), and the Republic of the Philippines ("the Philippines"), acting through its government (the "Government"), represented by its Department of Finance.

MCC and the Government are individually referred to in this Compact as a "Party" and together, as the "Parties." Capitalized terms used in this Compact will have the meanings specified in Annex V hereto.

Recalling that the Government consulted with the private sector and civil society of the Philippines to determine the priorities for the use of Millennium Challenge Account assistance and developed and submitted to MCC a proposal for such assistance to achieve lasting economic growth and poverty reduction; and

Recognizing that MCC wishes to help the Philippines implement a program to achieve the goal and objectives described herein (the "*Program*").

# The Parties agree as follows: **Article 1. Goal and Objectives**

#### Section 1.1 Compact Goal

The goal of this Compact is to reduce poverty through economic growth in the Philippines (the "Compact Goal").

Section 1.2 Program Objective

The objective of the Program is to: (a) Increase the incomes of Filipinos through the benefits of community-driven sub-projects; (b) obtain time savings and lower transportation costs for road users in Program areas; and (c) increase investment and government expenditure due to an increase in tax revenue and a reduction in corruption (as further described in Annex I, the "Program Objective").

#### Section 1.3 Project Objectives

The objectives of the Projects (as further described in Annex I) (each a "Project Objective" and collectively, the "Project Objectives") are as follows:

(a) The objectives of the KALAHI–CIDSS Project (as defined in Annex I) are to: (i) Improve the responsiveness of local governments to community needs; (ii) encourage communities to engage in development activities; and (iii) deliver benefits to *barangay* residents through individual sub-projects.

(b) The objectives of the Secondary National Roads Development Project (as defined in Annex I) are to: (i) save time; and (ii) lower vehicle operating costs for those Filipinos living near the roads.

(c) The objectives of the Revenue Administration Reform Project (as defined in Annex I) are to: (i) increase tax revenues over time; and (ii) support the Department of Finance's initiatives to detect and deter corruption within its revenue agencies.

#### **Article 2. Funding and Resources**

#### Section 2.1 Program Funding

Upon entry into force of this Compact, MCC will grant to the Government, under the terms of this Compact, an amount not to exceed Four Hundred Eight Million Eight Hundred Fifty Thousand United States Dollars (U.S. \$408,850,000) to support the Program ("Program Funding"). The allocation of Program Funding is generally described in Annex II to this Compact.

# Section 2.2 Compact Implementation Funding

(a) Upon signature of this Compact, MCC hereby grants to the Government, under the terms of this Compact, in addition to the Program Funding described in Section 2.1, an amount not to exceed Twenty-Five Million Sixty Thousand United States Dollars (U.S. \$25,060,000) ("Compact Implementation Funding") under Section 609(g) of the Millennium Challenge Act of 2003, as amended (the "MCA Act"), for use by the Government as agreed by the Parties, which may include use for the following purposes:

(i) Project management activities for the KALAHI–CIDSS Project;

(ii) Procurement and establishment of a project management company for the Secondary National Roads Development Project; and

(iii) Technical assistance for advisory services for the Revenue Administration

Reform Project.

The allocation of Compact Implementation Funding is generally described in Annex II to this Compact.

(b) In accordance with Section 7.4 of this Compact, this Section 2.2 and other provisions of this Compact necessary to make use of Compact Implementation Funding for the purposes set forth herein, will be effective, for purposes of Compact Implementation Funding only, as of the date this Compact is signed by MCC and the Government.

(c) Each Disbursement of Compact Implementation Funding is subject to satisfaction of the conditions to such Disbursement as set forth in Annex IV.

- (d) If, after the first anniversary of this Compact entering into force, MCC determines that the full amount of Compact Implementation Funding under Section 2.2(a) of this Compact exceeds the amount which reasonably can be utilized for the purposes and uses set forth in Section 2.2(a) of this Compact, MCC, by written notice to the Government, may withdraw the excess amount, thereby reducing the amount of the Compact Implementation Funding as set forth in Section 2.2(a) (such excess, the "Excess CIF Amount"). In such event, the amount of Compact Implementation Funding granted to the Government under Section 2.2(a) will be reduced by the Excess CIF Amount, and MCC will have no further obligations with respect to such Excess CIF Amount.
- (e) MCC, at its option by written notice to the Government, may elect to grant to the Government an amount equal to all or a portion of such Excess CIF Amount as an increase in the Program Funding, and such additional Program Funding will be subject to the terms and conditions of this Compact and any relevant supplemental agreement applicable to Program Funding.

#### Section 2.3 MCC Funding

Program Funding and Compact Implementation Funding are collectively referred to in this Compact as "MCC Funding."

### Section 2.4 Disbursement

In accordance with this Compact and the Program Implementation Agreement, MCC will disburse MCC Funding for expenditures incurred in

furtherance of the Program (each instance, a "Disbursement"). Subject to the satisfaction of all applicable conditions, the proceeds of such Disbursements will be made available to the Government, at MCC's sole election. by (a) deposit to one or more bank accounts established by the Government through MCA-Philippines and acceptable to MCC (each, a "Permitted Account") or (b) direct payment to the relevant provider of goods, works or services for the implementation of the Program. MCC Funding may be expended only to fund Program expenditures as provided in this Compact and the Program Implementation Agreement.

#### Section 2.5 Interest

Except as otherwise agreed by MCC, the Government will transfer to MCC any interest or other earnings that accrue on MCC Funding (whether by directing such payments to a bank account outside the Philippines that MCC may from time to time indicate or as otherwise directed by MCC).

#### Section 2.6 Government Resources; Budget

(a) The Government will provide all funds and other resources, and will take all actions, that are necessary to carry out the Government's responsibilities and obligations under this Compact.

(b) The Government will provide suitable and adequate office space for MCA-Philippines, the Fiscal Agent, the Procurement Agent, and the MCC resident country mission.

(c) The Government will ensure that all MCC Funding it receives or is projected to receive in each of its fiscal years is fully accounted for in its annual budget on a multi-year basis.

(d) The Government will not reduce the normal and expected resources that it would otherwise receive or budget from sources other than MCC for the activities contemplated under this Compact and the Program.

(e) Unless the Government discloses otherwise to MCC in writing, MCC Funding will be in addition to the resources that the Government would otherwise receive or budget for the activities contemplated under this Compact and the Program.

(f) Without limitation of its obligations under Section 2.6(a) above, the Government shall: (i) Contribute funding to MCA-Philippines as described in Section 16 of the Establishment Decree and in compliance with Section 2.13 of the Program Implementation Agreement; and (ii) fund all costs in excess of those budgeted for the Program, as set forth in

Annex II (as such may be modified in accordance with the terms thereof), in order to ensure the full and complete implementation of the Program.

# Section 2.7 Limitations on the Use of MCC Funding

The Government will ensure that MCC Funding (or any refunds or reimbursements of MCC Funding paid by the Government in accordance with this Compact that MCC permits to be used in connection with the Program) will not be used for any purpose that would violate United States law or policy, as specified in this Compact or as further notified to the Government in writing or by posting from time to time on the MCC Web site at www.mcc.gov (the "MCC Web site"), including, but not limited to, the following purposes:

- (a) For assistance to, or training of, the military, police, militia, national guard or other quasi-military organization or unit:
- (b) For any activity that is likely to cause a substantial loss of United States jobs or a substantial displacement of United States production;
- (c) To undertake, fund or otherwise support any activity that is likely to cause a significant environmental, health, or safety hazard, as further described in MCC's environmental and social guidelines posted from time to time on the MCC Web site or otherwise made available to the Government by MCC (the "MCC Environmental Guidelines"); or
- (d) To pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions, to pay for the performance of involuntary sterilizations as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations or to pay for any biomedical research which relates, in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning.

#### Section 2.8 Taxes and Contributions

The Government will ensure that no MCC Funding will be used for the payment of any existing or future taxes, customs duties, social security and other employment-related contributions, or other similar charges of the Government or any other governmental entity (national or sub-national, including of provinces, cities, municipalities, barangays, and other local governmental entities) in the Philippines ("Taxes and Contributions"), in accordance with

Section 2.4 of the Program Implementation Agreement.

#### Article 3. Implementation

Section 3.1 Program Implementation Agreement

Prior to entry into force of this Compact, the Government and MCC will enter into an agreement relating to, among other matters, implementation arrangements, fiscal accountability, and the disbursement and use of MCC Funding (the "Program Implementation Agreement" or "PIA"). The Government will implement the Program in accordance with the Compact and the PIA.

# Section 3.2 Government Responsibilities

- (a) The Government has principal responsibility for overseeing and managing the implementation of the Program.
- (b) The Government hereby designates MCA-Philippines, an entity established through the issuance of Executive Order No. 849 of the Government (as amended, the "Establishment Decree"), as the accountable entity to implement the Program and to exercise and perform the Government's rights and responsibilities with respect to the oversight, management, and implementation of the Program, including, without limitation, managing the implementation of Projects and their Activities, allocating resources, and managing procurements. Such entity will be referred to herein as Millennium Challenge Account-Philippines ("MCA-Philippines"), and has the authority to bind the Government with regard to all Program Activities. The Establishment Decree will remain in form and substance satisfactory to MCC. For the avoidance of doubt, the designation of MCA-Philippines as set forth in this Section 3.2(b) will not relieve the Government of any of its obligations or responsibilities as set forth hereunder, under any related agreement (including, upon execution thereof, the PIA), or under the Program Guidelines, for which the Government remains fully responsible. MCC hereby acknowledges and consents to the designation in this Section 3.2(b).
- (c) The Government will ensure that no law or regulation in the Philippines now or hereinafter in effect makes or will make unlawful or otherwise prevent or hinder the performance of any of the Government's obligations under this Compact, the PIA, or any other related agreement or any transaction contemplated hereby or thereby.

- (d) The Government will ensure that any assets or services funded in whole or in part (directly or indirectly) by MCC Funding are used solely in furtherance of this Compact and the Program unless otherwise agreed by MCC in writing.
- (e) The Government will take all necessary or appropriate steps to achieve the Program Objective and the Project Objectives during the Compact Term.
- (f) The Government will fully comply with the Program Guidelines, as applicable, in its implementation of the Program.

#### Section 3.3 Policy Performance

In addition to undertaking the specific policy, legal, and regulatory reform commitments identified in Annex I (if any), the Government will seek to maintain and to improve its level of performance under the policy criteria identified in Section 607 of the MCA Act, and the selection criteria and methodology used by MCC.

#### Section 3.4 Government Assurances

The Government assures MCC that, as of the date this Compact is signed by the Government, the information provided to MCC by or on behalf of the Government in the course of reaching agreement with MCC on this Compact is true, correct and complete in all material respects.

### Section 3.5 Implementation Letters

From time to time, MCC may provide guidance to the Government in writing on any matters relating to this Compact, MCC Funding, or implementation of the Program (each, an "Implementation Letter"). The Government will apply such guidance in implementing the Program. Without limiting the foregoing, either Party may, through its Principal Representative or any Additional Representative, as the case may be, initiate discussions that may result in a jointly agreed-upon Implementation Letter to confirm and record their mutual understanding on aspects related to the implementation of this Compact, the PIA, or other related agreements.

### Section 3.6 Procurement

The Government will ensure that the procurement of all goods, works, and services by the Government, or any applicable provider providing goods, works, and services, to implement the Program will be consistent with the program procurement guidelines posted from time to time on the MCC Web site (the "MCC Program Procurement Guidelines"). The MCC Program

Procurement Guidelines include, among others, the following requirements:

(a) Open, fair, and competitive procedures must be used in a transparent manner to solicit, award and administer contracts and to procure goods, works, and services;

(b) Solicitations for goods, works, and services must be based upon a clear and accurate description of the goods, works, and services to be acquired:

- (c) Contracts must be awarded only to qualified contractors that have the capability and willingness to perform the contracts in accordance with their terms on a cost effective and timely basis;
- (d) No more than a commercially reasonable price, as determined, for example, by a comparison of price quotations and market prices, will be paid to procure goods, works, and services; and
- (e) Such procurement of goods, works, and services by the Government, or any applicable provider providing goods, works, and services, to implement the Program will not be subject to any domestic preference, local content, or local labor requirements.

#### Section 3.7 Records; Accounting; Covered Providers; Access

(a) Government Books and Records. The Government will maintain, and will use its best efforts to ensure that all Covered Providers maintain, accounting books, records, documents, and other evidence relating to the Program adequate to show, to MCC's satisfaction, the use of all MCC Funding ("Compact Records"). In addition, the Government will furnish or cause to be furnished to MCC, upon its request, all such Compact Records.

(b) Accounting. The Government will maintain, and will use its best efforts to ensure that all Covered Providers maintain, Compact Records in accordance with generally accepted accounting principles prevailing in the United States, or at the Government's option and with MCC's prior written approval, other accounting principles, such as those (i) prescribed by the **International Accounting Standards** Board, or (ii) then prevailing in the Philippines. Compact Records must be maintained for at least five (5) years after the end of the Compact Term or for such longer period, if any, required to resolve any litigation, claims or audit findings or any statutory requirements.

(c) Providers and Covered Providers.
Unless the Parties agree otherwise in writing, a "Provider" is (i) any entity of the Government that receives or uses MCC Funding or any other Program Asset in carrying out activities in

furtherance of this Compact, or (ii) any third party that receives at least Fifty Thousand United Stated Dollars (US\$50,000) in the aggregate of MCC Funding (other than as salary or compensation as an employee of an entity of the Government) during the Compact Term. A "Covered Provider" is (1) a non-United States Provider that receives (other than pursuant to a direct contract or agreement with MCC) Three **Hundred Thousand United States** Dollars (US\$300,000) or more of MCC Funding in any Government fiscal year or any other non-United States person or entity that receives, directly or indirectly, Three Hundred Thousand United States Dollars (US\$300,000) or more of MCC Funding from any Provider in such fiscal year, or (2) any United States Provider that receives (other than pursuant to a direct contract or agreement with MCC) Five Hundred Thousand United States Dollars (US\$500,000) or more of MCC Funding in any Government fiscal year or any other United States person or entity that receives, directly or indirectly, Five Hundred Thousand United States Dollars (US\$500,000) or more of MCC Funding from any Provider in such fiscal year.

(d) Access. Upon MCC's request, the Government, at all reasonable times, will permit, or cause to be permitted, authorized representatives of MCC, an authorized Inspector General, the United States Government Accountability Office, any auditor responsible for an audit contemplated herein or otherwise conducted in furtherance of this Compact, and any agents or representatives engaged by MCC or the Government to conduct any assessment, review, or evaluation of the Program, the opportunity to audit, review, evaluate, or inspect facilities and activities funded in whole or in part by MCC Funding.

#### Section 3.8 Audits; Reviews

(a) Government Audits. Except as the Parties may otherwise agree in writing, the Government will, on at least a semiannual basis, conduct, or cause to be conducted, financial audits of all Disbursements of MCC Funding covering the period from signing of this Compact until the earlier of the following December 31 or June 30 and covering each six-month period thereafter ending December 31 and June 30, through the end of the Compact Term. In addition, upon MCC's request, the Government will ensure that such audits are conducted by an independent auditor approved by MCC and named on the list of local auditors approved by the Inspector General of MCC (the

"Inspector General") or a United Statesbased certified public accounting firm selected in accordance with the "Guidelines for Financial Audits Contracted by MCA" (the "Audit Guidelines") issued and revised from time to time by the Inspector General, which are posted on the MCC Web site. Audits will be performed in accordance with the Audit Guidelines and be subject to quality assurance oversight by the Inspector General. Each audit must be completed and the audit report delivered to MCC no later than ninety (90) days after the first period to be audited and no later than ninety (90) days after each June 30 and December 31 thereafter, or such other period as the Parties may otherwise agree in writing.

- (b) Audits of United States Entities. The Government will ensure that agreements between the Government or any Provider, on the one hand, and a United States nonprofit organization, on the other hand, that are financed with MCC Funding state that the United States nonprofit organization is subject to the applicable audit requirements contained in OMB Circular A-133, "Audits of States, Local Governments, and Non Profit Organizations," issued by the United States Government Office of Management and Budget. The Government will ensure that agreements between the Government or any Provider, on the one hand, and a United States for-profit Covered Provider, on the other hand, that are financed with MCC Funding state that the United States for-profit organization is subject to audit by the applicable United States Government agency, unless the Government and MCC agree otherwise in writing.
- (c) Corrective Actions. The Government will (i) use its best efforts to ensure that Covered Providers take, where necessary, appropriate and timely corrective actions in response to audits, (ii) consider whether the results of a Covered Provider's audit necessitates adjustment of the Government's records, and (iii) require each such Covered Provider to permit independent auditors to have access to its records and financial statements as necessary.
- (d) Audit by MCC. MCC will have the right to arrange for audits of the Government's use of MCC Funding.
- (e) Cost of Audits, Reviews or Evaluations. MCC Funding may be used to fund the costs of any audits, reviews, or evaluations required under this Compact.

#### **Article 4. Communications**

#### Section 4.1 Communications

Any document or communication required or submitted by either Party to the other under this Compact must be in writing and, except as otherwise agreed with MCC, in English. For this purpose, the address of each Party is set forth below. The Government will provide to MCC any information that is missing from below.

To MCC:

Millennium Challenge Corporation, Attention: Vice President, Compact Operations, (in each case, with a copy to the Vice President and General Counsel), 875 Fifteenth Street, NW., Washington, DC 20005, United States of America, Facsimile: (202) 521–3700, Telephone: (202) 521–3600, e-mail: VPOperations@mcc.gov (Vice President, Compact Operations), VPGeneralCounsel@mcc.gov (Vice President and General Counsel).

To the Government:

Attention: Secretary of Finance, (in each case, with a copy to the Undersecretary for International Finance Group), Address: 6/F, DOF Building, Department of Finance, Bangko Sentral ng Pilipinas Complex, Roxas Boulevard, Manila 1004 Philippines, Facsimile: (632) 523–9495/(632) 523–9216, Telephone: (632) 523–9215/(632) 523–9911, e-mail: mcccompact@dof.gov.ph.

To MCA-Philippines:

Attention: Managing Director, Address: Room Nos. 602–604, 6/F EDPC Building, Bangko Sentral ng Pilipinas Complex, Roxas Boulevard, Manila 1004 Philippines, Contact details on the facsimile number, telephone number, and e-mail address will be provided in writing to MCC by MCA-Philippines

#### Section 4.2 Representatives

For all purposes of this Compact, the Government will be represented by the individual holding the position of, or acting as, the Secretary of Finance and MCC will be represented by the individual holding the position of, or acting as, Vice President, Compact Operations (each of the foregoing, a "Principal Representative"). Each Party, by written notice to the other Party, may designate one or more additional representatives (each, an "Additional Representative") for all purposes other than signing amendments to this Compact. The Government hereby irrevocably designates the Managing Director of MCA-Philippines as an Additional Representative. A Party may change its Principal Representative to a new representative that holds a position

of equal or higher rank upon written notice to the other Party.

#### Article 5. Termination; Suspension; Refunds

Section 5.1 Termination; Suspension

(a) Either Party may terminate this Compact without cause in whole by giving the other Party thirty (30) days written notice. MCC may also terminate this Compact without cause in part by giving the Government thirty (30) days written notice.

(b) MCC may, immediately, upon written notice to the Government, suspend or terminate this Compact or MCC Funding, in whole or in part, and any obligation related thereto, if MCC determines that any circumstance identified by MCC in writing to the Government as a basis for suspension or termination has occurred, which circumstances include, but are not limited, to the following:

(i) The Government fails to comply with its obligations under this Compact, the PIA, or any other agreement or arrangement entered into by the Government in connection with this

Compact or the Program;

(ii) An event or series of events has occurred that MCC determines makes it probable that the Program Objective or any of the Project Objectives will not be achieved during the Compact Term or that the Government will not be able to perform its obligations under this Compact:

(iii) A use of MCC Funding or continued implementation of this Compact or the Program violates applicable law or United States Government policy, whether now or hereafter in effect;

- (iv) The Government or any other person or entity receiving MCC Funding or using assets acquired in whole or in part with MCC Funding is engaged in activities that are contrary to the national security interests of the United States;
- (v) An act has been committed or an omission or an event has occurred that would render the Philippines ineligible to receive United States economic assistance under Part I of the Foreign Assistance Act of 1961, as amended (22 U.S.C. 2151 et seq.), by reason of the application of any provision of the Foreign Assistance Act of 1961 or any other provision of law;

(vi) The Philippines is classified as a Tier 3 country in the United States Department of State's annual Trafficking in Persons Report;

(vii) The Government has engaged in a pattern of actions inconsistent with the criteria used to determine the

eligibility of the Philippines for assistance under the MCA Act; or

(viii) The Government or another person or entity receiving MCC Funding or using assets acquired in whole or in part with MCC Funding is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking.

(c) All Disbursements will cease upon expiration, suspension, or termination of this Compact; provided, however, MCC may permit MCC Funding to be used, in compliance with this Compact and the PIA, to pay for (i) expenditures for goods, works, or services that are properly incurred under or in furtherance of the Program before expiration, suspension, or termination of this Compact, and (ii) reasonable expenditures (including administrative expenses) properly incurred in connection with the winding up of the Program within one hundred twenty (120) days after the expiration, suspension, or termination of this Compact, so long as, with respect to (i) and (ii) herein, the request for such expenditures is submitted within ninety (90) days after such expiration, suspension, or termination.

(d) Subject to Section 5.1(c), upon the expiration, suspension, or termination of this Compact, (i) any amounts of MCC Funding not disbursed by MCC in accordance with the Compact and the PIA will be automatically released from any obligation in connection with this Compact, and (ii) any amounts of MCC Funding disbursed to the Permitted Account by MCC but not expended before the expiration, suspension or termination of this Compact, plus accrued interest thereon will be returned to MCC within thirty (30) days after the Government receives MCC's request for such return; provided, however, that if this Compact is suspended or terminated in part, MCC may request a refund for only the amount of MCC Funding allocated to the suspended or terminated portion. For the avoidance of doubt, interest will accrue from the date of the violation and will be calculated at the 10-year U.S. Treasury Note rate prevailing as of the close of business in Washington, DC as of the date of MCC's request for

(e) MCC may reinstate any suspended or terminated MCC Funding under this Compact if MCC determines that the Government or other relevant person or entity has committed to correct each condition for which MCC Funding was suspended or terminated.

Section 5.2 Refunds; Violation

(a) If any MCC Funding, any interest or earnings thereon, or any asset

acquired in whole or in part with MCC Funding is used for any purpose in violation of the terms of this Compact or the PIA, including, but not limited to, any violation of the Program Guidelines, then MCC may require the Government to repay to MCC in United States Dollars the value of the misused MCC Funding, interest, earnings, or asset, plus interest within thirty (30) days after the Government's receipt of MCC's request for repayment. For the avoidance of doubt, interest will accrue from the date of the violation and will be calculated at the 10-year U.S. Treasury Note rate prevailing as of the close of business in Washington, DC as of the date of MCC's request for payment. The Government will not use MCC Funding, proceeds thereof or Program Assets to make such payment.

(b) Notwithstanding any other provision in this Compact or any other agreement to the contrary, MCC's right under this Section 5.2 for a refund will continue during the Compact Term and for a period of (i) five (5) years thereafter, or (ii) one (1) year after MCC receives actual knowledge of such violation, whichever is later.

Section 5.3 Survival

The Government's responsibilities under Sections 2.4, 2.6, 2.7, 2.8, 3.7, 3.8, 5.1(c), 5.1(d), 5.2, 5.3, 6.2, and 6.4 of this Compact will survive the expiration, suspension, or termination of this Compact.

### **Article 6. Compact Annexes**; Amendments; Governing Law

Section 6.1 Annexes

Each annex to this Compact constitutes an integral part hereof, and references to "Annex" mean an annex to this Compact unless otherwise expressly stated.

Section 6.2 Amendments

(a) The Parties may amend this Compact only by a written agreement signed by the Principal Representatives.

(b) Without amending this Compact, the Government hereby acknowledges and agrees that the Parties may, through the Principal Representative or any Additional Representative, in writing agree to modify any Annex to this Compact to (i) suspend, terminate (including the termination of a Project Objective), or modify any project described in Annex I (each, a "Project" and collectively, the "Projects") or to create a new project, (ii) change the allocations of funds from what is set forth in Annex II as of the date hereof, or (iii) add, delete, or waive any condition precedent described in Annex IV, provided that any such modification, (1) is consistent in all material respects with the Program Objective, (2) does not cause the amount of Program Funding to exceed the aggregate amount specified in Section 2.1 of this Compact (as may be modified by operation of Section 2.2(e) of this Compact), (3) does not cause the amount of Compact Implementation Funding to exceed the aggregate amount specified in Section 2.2(a) of this Compact, (4) does not cause the Government's responsibilities or contribution of resources to be less than specified in this Compact, (5) does not extend the Compact Term, and (6) in the case of a modification to change allocations of funds among Projects or the creation of a new Project, does not materially adversely affect any components under the Program Administration and Audits or Monitoring and Evaluation line items in Annex II.

(c) Any modification of any Annex to this Compact signed in accordance with Section 6.2(b), or any modification of any other provision of this Compact pursuant to Section 6.2(a), will be binding on the Government without the need for further action by the Government, any further Congressional action, or satisfaction of any additional legal requirements of the Philippines.

#### Section 6.3 Inconsistencies

In the event of any conflict or inconsistency between:

(a) Any Annex to this Compact and any of Article 1.1 and Articles 2 through 8, such Article 1.1 and Articles 2 through 8, as applicable, will prevail; or

(b) This Compact and any other agreement between the Parties regarding the Program, this Compact will prevail.

### Section 6.4 Governing Law

This Compact is an international agreement and as such will be governed by the principles of international law.

#### Section 6.5 Additional Instruments

Any reference to activities, obligations, or rights undertaken or existing under or in furtherance of this Compact or similar language will include activities, obligations, and rights undertaken by or existing under or in furtherance of any agreement, document, or instrument related to this Compact and the Program.

Section 6.6 References to MCC Web site

Any reference in this Compact, the PIA, or any other agreement entered into in connection with this Compact, to a document or information available on, or notified by posting on, the MCC Web

site will be deemed a reference to such document or information as updated or substituted on the MCC Web site from time to time.

Section 6.7 References to Laws, Regulations, Policies, and Guidelines

Each reference in this Compact, the PIA, or any other agreement entered into in connection with this Compact, to a law, regulation, policy, guideline, or similar document (including, but not limited to, the Program Guidelines) will be construed as a reference to such law, regulation, policy, guideline, or similar document as it may, from time to time, be amended, revised, replaced, or extended and will include any law, regulation, policy, guideline, or similar document issued under or otherwise applicable or related to such law, regulation, policy, guideline, or similar document.

#### Section 6.8 MCC Status

MCC is a United States government corporation acting on behalf of the United States government in the implementation of this Compact. MCC and the United States government have no liability under this Compact, the Program Implementation Agreement, or any related agreement, are immune from any action or proceeding arising under or relating to any of the foregoing documents, and the Government hereby waives and releases all claims related to any such liability. In matters arising under or relating to this Compact, the Program Implementation Agreement, or any related agreement, neither MCC nor the United States government will be subject to the jurisdiction of the courts of the Philippines or of any other jurisdiction or of any other body.

# Section 6.9 Counterparts; Electronic Delivery

(a) Counterparts. Signatures to this Compact, the Program Implementation Agreement, and any amendments to these agreements will be signed on the same page, except in the case of amendment via exchange of letters or diplomatic notes. Any other documents arising out of this Compact may be signed in one or more counterparts. Such counterparts when delivered and taken together will constitute a single document.

(b) Electronic Delivery. A signature to this Compact, the Program Implementation Agreement, and any amendments to such agreements, will be an original signature. With respect to any other documents arising out of this Compact, a signature delivered by facsimile or electronic mail in accordance with Section 4.1 of this

Compact will be deemed an original signature and will be binding on the Party delivering such signature, and the Parties hereby waive any objection to such signature or to the validity of the underlying document, certificate, notice, instrument, or agreement on the basis of the signature's legal effect, validity or enforceability solely because it is in facsimile or electronic form.

#### **Article 7. Entry Into Force**

Section 7.1 Conditions Precedent to Entry Into Force

Before this Compact enters into force: (a) The PIA must have been signed by the parties thereto;

(b) The Government must have delivered to MCC:

(i) A legal opinion from the Secretary of Justice of the Philippines (or such other legal representative of the Government acceptable to MCC), in form and substance satisfactory to MCC; and

(ii) Complete, certified copies of all decrees, legislation, regulations, or other governmental documents relating to the Government's domestic requirements for this Compact to enter into force, which MCC may post on the MCC Web site or otherwise make publicly available; and

(c) MCC must determine that, after signature of this Compact, the Government has not engaged in a pattern of actions inconsistent with the eligibility criteria for MCC Funding.

#### Section 7.2 Date of Entry Into Force

This Compact will enter into force on the date of the last letter in an exchange of letters between the Principal Representatives confirming that each Party has completed its domestic requirements for entry into force of this Compact and that the conditions precedent to entry into force of Section 7.1 have been met. The letter from the Government will contain an affirmation of the Government's commitment to its obligations hereunder and under the Program Implementation Agreement.

## Section 7.3 Compact Term

This Compact will remain in force for five (5) years after its entry into force, unless terminated earlier under Section 5.1 (the "Compact Term").

#### Section 7.4 Provisional Application

Upon signature of this Compact and until this Compact has entered into force in accordance with Section 7.2, the Parties will provisionally apply the terms of this Compact and the PIA; provided that, no Program Funding will be made available or disbursed before this Compact enters into force.

# Article 8. Additional Government Covenants

Section 8.1 Project Covenants

- (a) KALAHI–CIDSS Project. With regard to the KALAHI–CIDSS Project, the Government agrees that:
- (i) Throughout the Compact Term, the Department of Social Welfare and Development ("DSWD") will use the classification system approved by MCC to assess and classify every proposed sub-project, and provide the engineering design and oversight support appropriate to the classification of such sub-project; and
- (ii) For those municipalities that are randomly selected to be included in the control group, DSWD will not (1) provide KALAHI–CIDSS funding, or (2) provide other programs of DSWD on a systematic basis, in both cases for the duration of the Compact Term.
- (b) Revenue Administration Reform Project. With regard to the Revenue Administration Reform Project, the Government agrees to implement the following prior to the initial disbursement of any Program Funding for the Revenue Administration Reform Project:
- (i) To the full extent allowed by existing law, procedures shall be put in place wherein decisions of the Commissioner of Internal Revenue and the Commissioner of Customs in all graft-related cases shall be transmitted promptly to the Secretary of Finance, the head of the Revenue Integrity Protection Service created under Executive Order No. 259, s. 2003, who shall then immediately forward them to the Revenue Integrity Protection Service to review the said cases and determine their compliance with existing laws and procedures. If warranted by the evidence on record and any additional evidence it gathers, the Revenue Integrity Protection Service shall file the necessary complaint(s) with the office of the ombudsman or other appropriate administrative body or agency of competent jurisdiction.
- (ii) The Revenue Integrity Protection Service shall actively exercise its powers pursuant to Executive Order No. 259, to ensure the proactive pursuit of graft-related programs, policies and procedures by the internal inspection units of the revenue agencies under the Department of Finance. These actions shall include, but may not be limited to, the conduct of operational audits of said units.
- (iii) The Bureau of Internal Revenue and the Bureau of Customs internal audit units will be reorganized directly under the Office of the Commissioner.

In Witness Whereof, the undersigned, duly authorized by their respective governments, have signed this Compact.

Done at New York, NY, this 23rd day of September 2010, in the English language only.

For Millennium Challenge Corporation, on behalf of the United States of America. Daniel W. Yohannes, Chief Executive Officer.

For the Republic of the Philippines. Cesar V. Purisima, Secretary of Finance.

#### Annex I Program Description

This Annex I describes the Program that MCC Funding will support in the Philippines during the Compact Term.

#### A. Program Overview

#### 1. Background and Consultative Process

The Philippines was declared eligible for MCC assistance in March 2008. With a population of approximately 90 million inhabitants, the 7.107 islands of the Philippines cover a combined area of 115,830 square miles. Despite unprecedented growth gains over the past decade, accompanied by moderate inflation, the Philippines continues to face severe constraints to reducing poverty. In an effort to prioritize its development spending, the Government elaborated a national medium-term development plan and several sector strategies, and undertook an analysis of constraints to economic growth. Priorities were identified for increased social sector spending, improvements to basic infrastructure, and improvements to governance, and were confirmed through a number of national, regional, and local consultations from early 2007 through early

The Program has been designed by the Government, building upon initiatives from numerous donors, non-governmental organizations, and the domestic private sector to spur growth in economically depressed or vulnerable regions and to provide a platform for continued poverty reduction efforts. The Program will enable the Government to increase resources available for high-priority expenditures and target Government initiatives toward some of the poorest regions and municipalities in the archipelago.

#### 2. Program Objective

The Program Objective is to: (a) Increase the incomes of Filipinos through the benefits of community-driven sub-projects; (b) obtain time savings and lower transportation costs for road users in Program areas; and (c) increase investment and government expenditure due to an increase in tax revenue and a reduction in corruption.

#### 3. Environmental and Social Safeguards

The Program will be implemented in compliance with the MCC Environmental Guidelines, MCC guidance on the integration of gender in program implementation, and MCC's guidance on the implementation of resettlement activities (or any other MCC policy comparable to the World Bank's Operational Policy on Involuntary

Resettlement in effect as of July 2007) ("OP 4.12"). The Government will also ensure that the Projects comply with all national environmental laws and regulations, licenses and permits, except to the extent such compliance would be inconsistent with this Compact. The Government will: (a) Cooperate with any ongoing environmental review, or if necessary undertake and complete any additional environmental reviews required by MCC or under the laws of the Philippines; (b) implement to MCC's satisfaction environmental and social mitigation measures identified in such environmental review; and (c) fund the costs of environmental mitigation (including costs of resettlement) that exceed the MCC Funding specifically allocated for such costs in the budget for any Project. To maximize the positive social impacts of the program, the Government will take steps to address cross-cutting social and gender-specific issues, including, but not limited to, combating human trafficking and HIV/AIDS, during Compact implementation.

#### B. Description of the Projects

Set forth below is a description of each of the Projects that the Government will implement, or cause to be implemented, using MCC Funding to advance the applicable Project Objective. In addition, specific activities that will be undertaken within each Project (each, an "Activity"), including sub-activities, are described.

#### 1. KALAHI-CIDSS Project

(a) Background.

The Philippines lags significantly behind other countries in the region with respect to government development expenditures as a percentage of GDP and infrastructure investment and quality. The Asian Development Bank's 2007 growth diagnostic report found that inadequacies in infrastructure are a critical constraint to growth and that the availability of basic infrastructure (water, sanitation, roads, electricity) is regressive. While human capital was not found to be a critical constraint to growth, inadequate human capabilities are often an underlying cause of poverty. Provision and use of education and health services were found to vary across regions, particularly as a function of incomes. Community driven development projects are a strategy for addressing these constraints and providing community empowerment and poverty reduction. In the past, they have been used to support a wide range of community priority needs including provision of water supply and nutrition programs for women and children; building of school, day care and health facilities, farm to market roads, foot bridges, and drainage systems; and support for productive enterprises such as pre- and post-harvest facilities as well as community capacity building.

Kapit bisig Laban sa Kahirapan ("Linking Arms Against Poverty")—Comprehensive Integrated Delivery of Social Services ("KALAHI–CIDSS") is a community driven development project implemented by DSWD of the Philippines. Through KALAHI–CIDSS, communities ("barangays" or villages) are trained, together with their local

governments, both at the barangay and the municipal level, to choose, design and implement sub-projects that are intended to address their most pressing needs. This is done through a four-year program, which includes one year of "social preparation" training for communities, barangays and municipalities, followed by 3 "cycles" of subproject implementation. The KALAHI-CIDSS project to be funded by MCC (the "KALAHI-CIDSS Project") is an expansion of an initial KALAHI-CIDSS project ("KC1") that was implemented between 2003 and 2010. KC1 was funded by a loan from the World Bank. During KC1 implementation, the World Bank and DSWD were able to ensure that the project incorporated lessons learned and reinforced elements that had been shown to work well.

The KALAHI-CIDSS Project is particularly well suited to the sociopolitical environment in the Philippines. Following decentralization, local governments have a responsibility to provide basic services, yet suffer from a lack of development resources. This issue is compounded by the geographic distribution of poverty in the Philippines. Poverty in the country is correlated with rural isolation and distance from towns and urban centers, meaning that the communities that have the greatest needs for basic services are the ones that are most difficult to reach. Community-driven development offers an alternative, needs-based approach that provides development resources for basic services directly to the poorest communities, specifically targeting those in far-flung areas, while at the same time building the capacity of local government to be responsive to these needs over time. It is because of this contextualized approach that KC1 has already met with considerable success.

(b) Project.

The objectives of the KALAHI–CIDSS Project are to:

- Improve the responsiveness of local governments to community needs;
- Encourage communities to engage in development activities; and
- Deliver benefits to *barangay* residents through the individual sub-projects.

In conjunction with DSWD, MCC will incorporate a number of enhancements to KC1 into the KALAHI-CIDSS Project, all of which are supported by lessons learned from KC1 and desires expressed by KALAHI-CIDSS Project stakeholders. These refinements include, but are not limited to: (i) Dedicated gender staff positions and gender-focused activities, including the provision of "gender incentive grants" to communities; (ii) reinforced financial controls on the Project, including an additional set of transaction and technical audits; (iii) dedicated staff positions to explore private-sector involvement opportunities within municipalities included in the KALAHI-CIDSS Project; (iv) development of a set of user-friendly community tools to assess environmental impact and ensure the KALAHI-CIDSS Project's environmental sustainability; (v) a management information system to enable a much greater level of data capture at the barangay and municipal level, including a "geographic information system" component;

(vi) a rigorous impact evaluation to assess the KALAHI–CIDSS Project's impact on social capital and welfare measures using a rigorous random selection technique that allows the measurement of attribution; and (vii) support for a joint advisory board to oversee the impact evaluation, composed of members from MCC, MCA–Philippines, the World Bank, DSWD, the National Economic Development Authority, and local academics.

The KALAHI–CIDSS Project will cover municipalities that have a poverty incidence higher than the national average and that are not in the Mindanao island group. The KALAHI–CIDSS Project consists of the following Activities:

(i) Capacity Building and Implementation

Support Activity.

MCC Funding will be granted to DSWD to provide the staff salaries and trainings for the DSWD frontline workers, known as the area coordinating teams. These teams are made up of a standard staffing complement and there will be one team for each municipality in the KALAHI-CIDSS Project. The role of the area coordinating team is to carry out the "Community Empowerment Activity Cycle." This framework follows a progression of strategies and activities as a gradual "hand off" to local government of responsibilities takes place over the course of three cycles. During each cycle, barangays hold a series of meetings that are facilitated by members of the area coordinating team at which barangay residents identify and prioritize constraints to economic activities within their communities and then identify and prioritize solutions to these constraints. Finally, the barangay selects one constraint and associated solution for presentation by elected community representatives to the "Municipal Inter-Barangay Forum." At the municipal level, two Municipal Inter-Barangay Forums are held, the first to determine the criteria by which the community representatives will prioritize the barangay sub-projects for funding and the second to prioritize them according to such criteria. At the conclusion of each of the three cycles of sub-project implementation, there is a transition and reporting period. The entire Community Empowerment Activity Cycle process is facilitated by the area coordinating team, with various team members responsible for ensuring that processes are transparent and in accordance with the KALAHI-CIDSS Project manuals as revised by MCC. This Activity also supports the existing grievance redress system.

(ii) Grants for Community Projects Activity.

MCC Funding will be granted to DSWD, to be used by DSWD to plan and implement community-chosen sub-projects in accordance with the KALAHI–CIDSS Project manuals approved by MCC. Specifically, the KALAHI–CIDSS Project provides grants for livelihood activities and the construction, repair and improvement/upgrading of small-scale rural infrastructure sub-projects identified by the community. The municipalities and barangays in which sub-project activities will occur will make cash and in-kind contributions (including partially-paid labor and local materials) to the sub-projects equal, in each case, to at

least 30 percent of the total sub-project costs. The grant allocated to the municipal local governments to fund sub-project implementation is proportionate in size to the number of barangays within that municipality. Suppliers and contractors will be selected according to the procedures in the "Community-Based Procurement System." This procurement system was specifically designed for implementing the KALAHI-CIDSS Project taking into account the nature of the procurements, the local market conditions and the local capacities. At the community level an "Audit and Inventory Committee" is responsible for auditing the financial records and reports of the community and conducting a regular inventory of all properties acquired by the community. The community's books and records are open at all times to all members of the community for inspection.

Communities have the opportunity to select from a variety of sub-projects, many which involve the selection, design, and construction of small infrastructure sub-projects. DSWD—in cooperation with local governments—will build the capacity of communities through trainings and other methods and provide guidance and oversight throughout the process. In cooperation with DSWD, MCC will create a detailed risk profiling system for sub-projects and a complementary risk-based management approach to oversight that may affect the way that the grants are spent within the Grants for Community Project Activity.

(iii) Project Management Activity. MCC Funding will be granted to DSWD to provide salaries and training for DSWD project management staff at the regional and national level. These funds will also be used for the office space, conferences, capacity building and project monitoring associated with the project management activity. Goods to support this activity will be procured by MCA-Philippines.

(c) Beneficiaries.

In the project catchment areas (i.e. those municipalities that will receive support from the KALAHI-CIDSS Project), 16 to 20 percent of the households have a female head, while the young and elderly constitute a significant fraction of the expected beneficiaries. The Project is expected to benefit approximately 5.2 million Filipinos 20 years after the Compact enters into force. Of these, 39 percent consume below the poverty line of US\$2 (in 2005 PPP US dollars) per day (compared to 28 percent of the national population). As for the extreme poor, 13 percent of the Project's beneficiaries consume below US\$1.25 a day (compared to 9 percent of the national population). And as for the non-poor, only 26 percent of this Project's beneficiaries consume above US\$4 a day (as opposed to 38 percent of the national population). Overall, the Project is welltargeted to the poor.

(d) Donor Coordination.

MCC worked closely with the World Bank on issues of targeting and impact evaluation strategy over the course of project development in 2009. As of January 2010, the World Bank intends to provide an additional loan to expand KC1. The World Bank and MCC plan to continue close collaboration

during and beyond the scope of this additional funding and share lessons learned with each other and with DSWD as KALAHI–CIDSS continues to mature and develop towards a potential national expansion that could involve many other donors. MCC will also be joining DSWD's donor forum related to KALAHI–CIDSS.

(e) USAID.

The United States Agency for International Development ("USAID") has had significant experience with community-based development that targets poor communities, and the "Growth with Equity in Mindanao" Program has provided a number of lessons for the implementation of the KALAHI-CIDSS Project as it relates to smallinfrastructure construction specifically. Approximately 60 percent of USAID's program funding is provided to Mindanao and the agency aims to continue these investments and others like it in the region. Therefore, USAID, the World Bank and MCC agreed that MCC would concentrate its KALAHI-CIDSS Project in the Luzon and Visayas regions thereby broadening the reach of such programs throughout the Philippines.

(f) Sustainability. The implementation methods used in this Project emphasize transparency and accountability in local decision making, attributes which enable small infrastructure sub-projects to contribute to a more empowered citizenry, a more responsive government and ultimately to more sustainable community assets. The process of involving communities in sub-project activities builds their capacity to take charge of their own development within the KALAHI-CIDSS Project and beyond, reduces corruption, increases accountability for the use of resources and results in more and better distributed assets as communities build a sense of ownership around these

DSWD will use a set of sustainability and functionality evaluation tools to assess MCC-funded sub-projects and will target those sub-projects experiencing sustainability-related difficulties with additional resources to resolve them. MCC's risk profiling and risk management approach described above will also contribute to sub-project sustainability by ensuring that the most risk-prone projects are designed and constructed to minimize risk, reducing the likelihood of sub-project failure.

The sustainability of the KALAHI–CIDSS Project and its ability to attract new and continued resources from both the Government and other donors is closely related to its ability to demonstrate continuing project successes as it moves towards a national scale. MCC Funding will be used for a robust impact evaluation that will assist the Government in evaluating the effectiveness of the community-driven development model.

2. Secondary National Roads Development Project

(a) Background.

Road transportation is the dominant transport mode in the Philippines, accounting for 53 percent of freight tonkilometers and 89 percent of passenger tonkilometers. The Philippines has a total road network of about 200,000 km, including about 29,000 km of national roads. Approximately 79 percent of the national arterial roads are paved, and 48 percent of these require rehabilitation.<sup>1</sup>

Inter- and intra-island transport systems have a crucial role in supporting the economic development of the widely dispersed regions of the Philippine archipelago. However, the present inadequate condition of infrastructure facilities and lack of reliable, safe, and efficient transport services significantly hamper the movement of passengers and cargo throughout the country, thus limiting direct internal and external trade links and tourism, and constituting a major constraint to increased regional economic growth. This is particularly true in many poor areas of the Philippines, where adequate accessibility has the potential to lower marketing costs for local agricultural products, improve access of the local population to social services and economic opportunities, and be a catalyst for investments to develop local resources.

(b) Project.

The objectives of the Project to be funded by MCC in respect of the sections of the Samar road described below (the "Secondary National Roads Development Project") are to: (i) Save time; and (ii) lower vehicle operating costs for those Filipinos living near the roads. This Project consists of the following Activity:

(i) Samar Road Activity.

MCC Funding will be used to reconstruct and rehabilitate 220 km of the Samar road crossing the provinces of Samar and Eastern Samar, of which approximately 180 km will undergo reconstruction/major rehabilitation while 40 km will receive only minor rehabilitation, as well as the replacement or upgrading of associated structures, such as bridges and culverts, to eliminate flooding and improve road safety. The road begins at the junction of Highway-Buray Wright (km 827 + 200) in Samar, and traverses eastward along primarily mountainous terrain to Taft (km 890 + 000). From Taft, it continues southward, along the coastline of Eastern Samar, ending in the town of Guiuan (km 1047 + 300). The section of road from Wright to Taft is an important east-west corridor providing inter-provincial connection between Samar and Eastern Samar. The section of the road from Taft to Guiuan provides the only access to 13 coastal municipalities. The capital of Eastern Samar, Borongan, is located centrally on this section of the road.

(1) Construction costs. These costs include, without limitation, pavement rehabilitation and strengthening, embankment construction, road safety improvements, replacement or upgrading of associated structures, such as bridges, drainage systems and culverts, and any activity associated with the environmental management plan developed with respect to the Samar Road Activity.

(2) Non-construction costs. These costs include, without limitation, studies, construction supervision, environmental and

social mitigation (including resettlement), and other project management costs and technical assistance to be incurred in connection with the Samar Road Activity.

(c) Beneficiaries.

A 2006 household survey shows that in this Project's regions, two of the most common occupations for household heads are farmers and drivers. These people would be expected to rely significantly on transportation infrastructure. The survey indicates, in addition, that from 16 to 20 percent of households have a female head, while the young and elderly constitute significant fractions of household members in the Project regions. Improvement of the road will benefit the users and owners of motorized vehicles, including laborers, enterprises, consumers and tourists. Estimates of the total number of beneficiaries are based upon a percentage of the populations of municipalities through which this road will pass.

The beneficiary analysis conducted as part of the project appraisal process has estimated that approximately 282,000 people will benefit from rehabilitation of the Samar road. Thirteen percent of the beneficiaries are estimated to consume below the poverty line of US\$1.25 per day in 2005 PPP ÛS dollars, while 42 percent of project beneficiaries are estimated to consume below US\$2 per day. Relative to the national distribution of consumption, the beneficiaries of the Samar road are substantially poorer. Aggregated over 25 years, beneficiaries are expected to accumulate an increment equal to 86 percent of their 2009 annual (median) income due to this Project.

(d) Donor Coordination.

The Secondary National Road Development Project is anchored on preliminary work undertaken with the assistance of Japan Bank for International Cooperation (now known as the Japan International Cooperation Agency), which was instrumental in identifying viable priority road segments eligible for MCC investments.

MCC has coordinated closely with the World Bank on the ongoing efforts in: (i) Road sector reform; (ii) improving the adequacy of the "Special Road Support Fund" (as described below); (iii) improving governance structure for the Special Road Support Fund; (iv) standardizing measures and approaches used to combat corruption and to increase accountability (funded by the Australian Agency for International Development) during project execution; and (v) standardizing technical audits.

(e) USAID.

While USAID is not currently active in secondary national road rehabilitation in the Philippines, USAID's "Growth with Equity in Mindanao" Program mentioned above includes the development of road infrastructure.

(f) Sustainability.

There are two main sources of public finance for the national road network:
(i) The General Appropriations Act; and (ii) a "Special Road Support Fund" that is financed by the imposition of a motor vehicle user charge. The overall resources devoted to the national road sector have increased considerably since 2004.

<sup>&</sup>lt;sup>1</sup> "Philippines: Critical Development Constraints," Asian Development Bank, December 2007.

Despite the large increases, there remains a considerable gap (about 30 billion Philippine pesos) between the sector's need and the projected resource allocation. To meet the overall needs of the sector and reduce the existing gap, greater funding is required from three sources. The first source is increased government budgetary allocations for the sector. The second source is the private sector, the resources of which can be utilized for network expansion when roads involve expressways that can be subject to tolling arrangements. The third source of revenue is the Special Road Support Fund. To improve sustainability, the Government needs to augment revenues from the motor vehicle user charge through raising the current charge, indexing such charge to the general price level, and by introducing a fuel levy.

# 3. Revenue Administration Reform Project

(a) Background.

The Asian Development Bank cited the Philippines' tight fiscal situation as one of its most significant constraints to growth.<sup>2</sup> One consequence of the Philippines' tight fiscal situation is its limited ability to fund its growing needs for basic infrastructure and social programs and, thereby, to reduce poverty. The Philippines has seen a declining rate of tax effectiveness, *i.e.* tax revenues divided by GDP, in recent years due in part to legislated reductions in corporate tax rates and increases in personal exemptions.

In addition, tax-related patterns of non-compliance and tax administration inefficiencies contribute to a poor business climate and, ultimately, to a reduced rate of domestic investment. Since the Asian financial crisis of 1997, the Philippines has ranked the lowest among its major regional neighbors in foreign direct investment.<sup>3</sup> The Philippines has struggled in recent years to improve its international rankings with regard to corruption.

MCC supported some of the Government's anti-corruption efforts through MCC's Philippines Threshold Program that sought to improve the Government's office of the ombudsman's pursuit of tax evaders and smugglers as well as to roll out nationwide the Integrated Tax System in offices of the Bureau of Internal Revenue ("BIR"). Several of the themes of MCC's Philippines Threshold Program have carried over into this Project.

(b) Project.

The objectives of the Project to be supported by MCC Funding in connection with the reform of tax collection in the Philippines (the "Revenue Administration Reform Project") are to increase tax revenues over time and to support the Department of Finance's initiatives to detect and deter corruption within its revenue agencies. The Project consists of two Activities as further described below: (i) An Activity focused on BIR's efforts to re-engineer its policies and practices and to implement the electronic Tax Information System ("eTIS"); and (ii) an Activity focused on supporting the Philippines' Revenue Integrity Protection

Service ("RIPS") the anti-graft investigation unit within the Department of Finance. In turn, the BIR-focused Activity will consist of three sub-activities as further described below: (1) The implementation of eTIS; (2) the utilization of automated auditing tools in the large taxpayer unit; and (3) a public awareness campaign to disseminate information about BIR's reform and enforcement activities.

(i) BIR Revenue Administration Reform Activity.

(1) eTIS sub-Activity.

MCC Funding will provide an International Monetary Fund ("IMF") resident advisor on tax administration, and support the cost of short-term IMF tax administration specialists as well as other systems and technology consultants, the training of BIR staff, and the procurement of equipment related to the implementation of eTIS. This sub-Activity will improve the trustworthiness of data, increase access to that data, and improve the actions and decisions based on that data. From a tax administration perspective these results can be described as improving compliance monitoring, reducing client contact and the concomitant opportunities for negotiated assessments, increasing the likelihood of the detection of misreporting. and improving the value of reports. These are the components that will contribute to a sustainable program of tax administration with improved compliance, audit and enforcement tools.

(2) Automated Auditing Tools Sub-Activity.

MCC Funding will purchase software licenses for automated auditing tools and provide computers to run them. MCC Funding will also pay for a subscription to a data base service to provide BIR with transfer pricing information and provide training for the use of these tools. The automated auditing tools will leverage a recent BIR decision that requires large taxpayers to maintain and submit tax records in digital form and will also expand on a pilot program sponsored by the Swedish International Development Agency that demonstrates the values of these tools. These auditing tools have demonstrated their revenue-raising potential, and they also reduce by half the number of days it takes to complete an audit. These tools also remove one taxpayer concern about the fairness of an audit that is based on sampling rather than a review of all transactions. The reduction in man days per audit will help the BIR to reduce its backlog of unfinished audits.

(3) Public Awareness Campaign Sub-Activity.

MCC Funding will provide consulting services and support the costs of implementing a public awareness campaign regarding BIR services and programs. Individuals and businesses in the Philippines have a limited understanding of their tax obligations and BIR programs. Many BIR services—particularly on-line services—are under-utilized. Greater understanding of tax obligations, and an increased ability to access tax information, should lead to better compliance. It is also hoped that, as with the eTIS sub-Activity, utilization of on-line services will reduce the opportunities for

corruption that in-person transactions may provide. The public awareness campaign is intended to promote increased compliance with tax rules and thus increased revenue collection through better public and business awareness of the BIR's plans, programs, initiatives, policies and practices.

(ii) RIPS Activity.

MCC Funding will fund the acquisition and customization of case management software, a related data depository system, and training. This will support RIPS, a relatively new unit within the Department of Finance, and is intended to strengthen surveillance and discipline of the Department of Finance and its attached agencies through administrative actions such as temporary suspensions or dismissals. Experience in MCC's Philippines Threshold Program showed that actions taken through the courts in the Philippines are slow and that, even when a conviction is secured, punishment is likely to be deferred and/or reduced through subsequent appeals. For that reason, this Activity focuses on trying to detect and punish those forms of malfeasance that permit revenue agents to reap financial rewards from taxpayers. By increasing the likelihood of detection and punishment, the frequency of such incidents will decline. If effective, this should improve the image of revenue generating agencies and also support increased collections and improve the business climate within the Philippines.

(g) Beneficiaries.

Beneficiary analysis for the Revenue Administration Reform Project is undertaken at the level of the overall Project since the incidence of benefits from each Activity is expected to be spread broadly throughout the Philippine population. Accordingly, aggregation at the Project level is reasonable.

Identifying beneficiaries in this broadbased, national program is challenging. It is reasonable to expect, however, that a majority of the population of the Philippines will—due to increased public revenues and expenditures or domestic investments—enjoy at least a small increase to their incomes over the benefits horizon of 2011 to 2030. Accordingly, MCC estimates (conservatively) that 85 percent of the country's population, which will be approximately 125 million people, are beneficiaries of this Project by 2030. Consequently, the distribution of the Revenue Administration Reform Project's benefits by poverty level mirrors the national population's poverty distribution.

Since rates of access to health and education services are already relatively high in the Philippines, most Project impacts will be realized as increases in quality and reliability of existing services. As a consequence, and also due to the large number of beneficiaries expected for the project, per-beneficiary benefits are fairly modest.

(h) Donor Coordination.

The due diligence for the tax administration aspects of the Revenue Administration Reform Project was undertaken in close cooperation with the IMF's Fiscal Affairs Division as well as with the World Bank's National Program Supporting Tax Administration Reform program to support tax reform efforts in the

 <sup>2 &</sup>quot;Philippines: Critical Development Constraints,"
 Asian Development Bank, December 2007, p. 49.
 3 Ibid. p. 26.

Philippines. The outlines of the eTIS sub-Activity and its emphasis on process redesign and training are based on longstanding recommendations that have been made by the IMF and World Bank to the BIR.

It is anticipated that the tax administration advisors provided to the BIR under the Compact will be sourced through the IMF and coordinated by a resident IMF advisor in Manila, the Philippines.

The Automated Audit Tools sub-Activity builds on the previous efforts of the Swedish International Development Agency and the World Bank. Both donors have sponsored pilot programs in the utilization of automated audit tools.

#### (i) USAID.

MCC's due diligence relied heavily on the reports and findings of MCC's Philippines Threshold Program administered by USAID. That program included support for anticorruption activities under the aegis of the office of the ombudsman, and assistance to the Department of Finance's anti-corruption units, as well as the extension of the Integrated Tax System (eTIS' predecessor) to regional offices that had not previously been able to implement that system. The lessons learned under MCC's Philippines Threshold Program were the basis for decisions to focus on internal administrative disciplinary procedures (the focus of the RIPS Activity) rather than the pursuit of tax evaders and smugglers through the courts, to broaden the scope of tax administration, and to focus more resources on training and process redesign than on hardware and software.

(j) Sustainability.

A critical ingredient to the success of this complex undertaking is the continued commitment of the Department of Finance to embark on a program that is likely to meet with staff as well as taxpayer resistance and to manage the personnel, organizational and technical issues that will require both vision and resolve. Maintaining that commitment over the course of the Compact Term will be a test of BIR's and the Department of Finance's management skills and staff capacity.

(k) Policy, Legal, Regulatory and Other Reforms.

The Department of Finance has completed or committed to complete a number of policy, legal, regulatory and other reforms in order to achieve success under this Project. These reforms are referenced in the Compact, the Program Implementation Agreement, and in other documents.

#### 4. Implementation Framework

#### (a) Overview.

The implementation framework and the plan for ensuring adequate governance, oversight, management, monitoring and evaluation, and fiscal accountability for the use of MCC Funding are summarized below. MCC and the Government will enter into the Program Implementation Agreement, and any other agreements in furtherance of this Compact, all of which, together with this Compact, set out certain rights, responsibilities, duties and other terms relating to the implementation of the Program.

(b) MCC.

MCC will take all appropriate actions to carry out its responsibilities in connection with this Compact and the Program Implementation Agreement, including the exercise of its approval rights in connection with the implementation of the Program.

(c) MCA-Philippines.

In accordance with Section 3.2(b) of this Compact, MCA-Philippines will act on the Government's behalf to implement the Program and to exercise and perform the Government's rights and responsibilities with respect to the oversight, management, monitoring and evaluation, and implementation of the Program, including, without limitation, managing the implementation of Projects and their Activities, allocating resources, and managing procurements. The Government will ensure that MCA-Philippines takes all appropriate actions to implement the Program, including the exercise and performance of the rights and responsibilities designated to it by the Government pursuant to this Compact and the Program Implementation Agreement. Without limiting the foregoing, the Government will also ensure that MCA-Philippines has full decision-making autonomy, including, inter alia, the ability, without consultation with, or the consent or approval of, any other party. to: (i) Enter into contracts in its own name; (ii) sue and be sued; (iii) establish Permitted Accounts in a financial institution in the name of MCA-Philippines and hold MCC Funding in such accounts; (iv) expend MCC Funding; (v) engage a fiscal agent who will act on behalf of MCA-Philippines on terms acceptable to MCC; (vi) engage one or more procurement agents who will act on behalf of MCA-Philippines, on terms acceptable to MCC, to manage the acquisition of the goods, works, and services required by MCA-Philippines to implement this Compact; and (vii) competitively engage one or more auditors to conduct audits of its accounts. The Government will take the necessary actions to establish and maintain MCA-Philippines, in accordance with the terms hereof including the applicable conditions precedent to the Disbursement of Compact Împlementation Funding set forth in Annex IV to this Compact.

MCA-Philippines will be administered and managed by a Board of Trustees and a Management Unit. In addition, MCA-Philippines will have a Stakeholders' Committee to continue the consultative process during implementation of the Program. The governance of MCA-Philippines will be set forth in more detail in the Establishment Decree, the Program Implementation Agreement, and the internal regulations of MCA-Philippines ("MCA-Philippines Bylaws"), which will, collectively, set forth the responsibilities of the Board of Trustees, the Stakeholders Committee and the Management Unit. The MCA-Philippines Bylaws will be developed and adopted in accordance with MCC's Guidelines for Accountable Entities and Implementation Structures, published on the MCC Web site (the "Governance Guidelines"), and will be in form and substance satisfactory to MCC.

(i) Board of Trustees.

(1) Composition. MCA-Philippines will be governed by a board of trustees (the "Board of Trustees"), which will consist of voting members representing those Government departments and civil society and private sector organizations set forth in the Establishment Decree and the MCA-Philippines Bylaws. The Board of Trustees will also consist of those non-voting observers set forth in the MCA-Philippines Bylaws. All voting members will be selected in accordance with the MCA-Philippines Bylaws and must be sufficiently senior and qualified to make decisions on behalf of their respective ministries and civil society and private sector organizations, as applicable. Each voting member named to serve on the Board of Trustees, and any replacement for any voting member or any alteration of the size or composition of the Board of Trustees, will be subject to MCC prior approval.

(2) Roles and Responsibilities. The Board of Trustees will be responsible for overseeing the implementation of the Program and will have final decision-making authority over the implementation of the Program. The Board of Trustees will meet regularly; the frequency of meetings will be set forth in the MCA-Philippines Bylaws and will be in accordance with the Governance Guidelines. The specific roles of the voting members and non-voting observers will be set forth in the Establishment Decree and the MCA-Philippines Bylaws.

(ii) Stakeholders' Committee.

(1) Composition. The composition of the Stakeholders' Committee will be selected in accordance with the MCA-Philippines Bylaws and the Governance Guidelines and subject to MCC approval (the "Stakeholders' Committee"). Without limiting the foregoing, the Establishment Decree provides that the Stakeholders' Committee will be composed of, inter alia, representatives from nongovernmental organizations, civil society, private sector, and local and regional government Program beneficiaries.

(2) Roles and Responsibilities. Consistent with the Governance Guidelines, the Stakeholders' Committee will be responsible for continuing the consultative process throughout implementation of the Program. While the Stakeholders' Committee will not have any decision-making authority, it will be responsible for, inter alia, reviewing, at the request of the Board of Trustees or the Management Unit, certain reports, agreements, and documents related to the implementation of the Program in order to provide advice and input to MCA-Philippines regarding the implementation of the Program.

(iii) Management Unit.

(1) Composition. The management unit, which will be led by a competitively selected Managing Director, will be composed of competitively selected staff with expertise in the key components of the Program, including, without limitation, a KALAHI–CIDSS Project Director, a Secondary National Roads Development Director, a Revenue Administration Reform Director, as well as a Deputy Managing Director and other key Directors, including, without limitation, a Director for Finance, a Director for Legal/General Counsel, a Director for Procurement,

a Director for Social and Environmental Assessment, and a Director for Monitoring and Evaluation, (the "Management Unit"). The Management Unit will also include such other personnel as provided for in the MCA-Philippines Bylaws. The directors will be supported by appropriate additional staff to enable the Management Unit to execute its roles and responsibilities.

(2) Roles and Responsibilities. The Management Unit will be based in Manila, the Philippines, and will be responsible for managing the day-to-day implementation of the Program, with oversight from the Board of Trustees. The Management Unit will serve as the principal link between MCC and the Government, and will be accountable for the successful execution of the Program, each Project, and each Activity. As a Government entity, MCA-Philippines will be subject to Government audit requirements. As a recipient of MCC Funding, MCA-Philippines will also be subject to MCC audit requirements.

(d) Implementing Entities.

Subject to the terms and conditions of this Compact and any other related agreements entered into in connection with this Compact, the Government and MCC have identified certain principal public institutions that may or will serve as implementing entities (each, an "Implementing Entity"), to implement and

carry out certain Projects, Activities or components thereof in furtherance of this Compact. Any Implementing Entity will be subject to review and approval by MCC. The Government will ensure that the roles and responsibilities of each Implementing Entity and other appropriate terms are set forth in an agreement between MCA-Philippines and each Implementing Entity, which agreement must be in form and substance satisfactory to MCC (each an "Implementing Entity Agreement").

(e) Fiscal Agent.

Unless MCC otherwise agrees in writing, the Government, directly or through MCA-Philippines, will engage a fiscal agent (a "Fiscal Agent"), who will be responsible for assisting the Government with its fiscal management and ensure appropriate fiscal accountability of MCC Funding, and whose duties will include those set forth in the Program Implementation Agreement.

(f) Procurement Agent.

Unless MCC otherwise agrees in writing, the Government, directly or through MCA-Philippines, will engage one or more procurement agents (each, a "Procurement Agent") to carry out and certify specified procurement activities in furtherance of this Compact. The roles and responsibilities of each Procurement Agent will be set forth in the Program Implementation Agreement or such agreement as the Government, directly

or through MCA-Philippines, enters into with each Procurement Agent, which agreement will be in form and substance satisfactory to MCC. Each Procurement Agent will adhere to the procurement standards set forth in the MCC Program Procurement Guidelines and ensure procurements are consistent with the procurement plan adopted by MCA-Philippines pursuant to the Program Implementation Agreement, unless MCC otherwise agrees in writing.

### Annex II Multi-Year Financial Plan Summary

This Annex II summarizes the multi-year financial plan for the Program.

#### 1. General

A multi-year financial plan summary ("Multi-Year Financial Plan Summary") is set forth below. By such time as is specified in the Program Implementation Agreement, the Government will adopt, subject to MCC approval, a multi-year financial plan that includes, in addition to the multi-year summary of estimated MCC Funding, the annual and quarterly funding requirements for the Program (including administrative costs) and for each Project, projected both on a commitment and cash requirement basis. The Multi-Year Financial Plan Summary below does not include the contributions by the Government to the Program.

MULTI-YEAR FINANCIAL PLAN SUMMARY
[Millions of US\$]

Project	CIF	Year 1	Year 2	Year 3	Year 4	Year 5	Total
Revenue Administration Reform Project:     (a) BIR Revenue Administration Reform Activity     (b) Revenue Integrity Protection Services (RIPS) Ac-	7.30	11.50	15.90	9.00	5.60	1.00	50.30
tivity		0.50	3.35	0.15			4.00
Sub-Total	7.30	12.00	19.25	9.15	5.60	1.00	54.30
2. KALAHI–CIDSS:							_
(a) Capacity Building and Implementing Support		4.91	2.84	1.48	1.11	0.28	10.62
(b) Grants for Community Projects		1.82	20.43	17.99	30.81	24.46	95.51
(c) Project Management	2.31	3.06	2.31	1.93	1.87	2.39	13.87
Sub-Total	2.31	9.79	25.58	21.40	33.79	27.13	120.00
3. Secondary National Roads Development Program:							
(a) Samar road	5.66	6.61	36.01	54.38	57.30	40.22	200.18
(b) Environmental and Social Mitigation	5.09	6.53	0.82	0.87	0.95		14.26
Sub-Total	10.75	13.14	36.83	55.25	58.25	40.22	214.44
4. Monitoring and Evaluation:							
Monitoring and Evaluation	0.24	2.13	1.44	1.13	1.59	1.73	8.26
Sub-Total	0.24	2.13	1.44	1.13	1.59	1.73	8.26
5. Compact Administration & Oversight:							
(a) Program Administration	4.46	3.24	3.28	3.33	3.42	3.38	21.11
(b) Program Audits		0.36	0.46	0.46	0.46	0.46	2.20
(c) Fiscal Agent		1.50	1.50	1.50	1.50	1.50	7.50
(d) Procurement Agent		1.40	1.40	1.10	1.10	1.10	6.10
Sub-Total—Compact Administration & Audit	4.46	6.50	6.64	6.39	6.48	6.44	36.91
Total Estimated MCC Contribution	25.06	43.56	89.74	93.32	105.71	76.52	433.91

### Annex III Description of the Monitoring and Evaluation Plan

This Annex III (this "M&E Annex") generally describes the components of the Monitoring and Evaluation Plan ("M&E Plan") for the Program. The actual content and form of the M&E Plan will be agreed to by MCC and the Government in accordance with the Program Implementation Agreement. The M&E Plan may be modified from time to time with MCC approval without requiring an amendment to this Annex III.

#### 1. Overview

MCC and the Government will formulate and agree to, and the Government will implement, or cause to be implemented, an M&E Plan that specifies (a) how progress toward the Compact Goal, Program Objective and Project Objectives will be monitored ("Monitoring Component"), (b) a process and timeline for the monitoring of planned, ongoing, or completed Activities to determine their efficiency and effectiveness, and (c) a methodology for assessment and rigorous evaluation of the outcomes and impact of the Program ("Evaluation Component"). Information regarding the Program's performance, including the M&E Plan, and any amendments or modifications thereto, as well as progress, evaluation, and other reports, will be made publicly available on the Web site of MCA-Philippines and elsewhere.

#### 2. Program Logic

The M&E Plan will be built on a logic model which illustrates how the Program, Projects and Activities contribute to the Compact Goal, the Program Objective and the Project Objectives. The goal of this Compact is to reduce poverty through economic growth. The Program Objective is to (a) increase the incomes of Filipinos through the benefits of community-driven sub-projects, (b) obtain time savings and lower transportation costs for road users in Program areas, and (c) increase investment and government expenditure due to an increase in tax revenue and a reduction in corruption. The corresponding Project Objectives are:

- (a) The KALAHI–CIDSS Project expects to improve the responsiveness of local governments to community needs, encourage communities to engage in development activities and deliver benefits to barangay residents through the individual sub-projects.
- (b) The Secondary National Roads Development Project expects to lower vehicle operating costs and save the time of those Filipinos living near the roads.
- (c) The Revenue Administration Reform Project expects to increase tax revenues over time and support the Department of Finance's initiatives to detect and deter corruption within its revenue agencies.

#### 3. Monitoring Component

To monitor progress toward the achievement of the desired impact and outcomes of the Compact, the Monitoring Component of the M&E Plan will identify (a) the Indicators (as defined below), (b) the definitions of the Indicators, (c) the sources and methods for data collection, (d) the frequency for data collection, (e) the party or parties responsible, and (f) the timeline for reporting on each Indicator to MCC.

Further, the Monitoring Component will track changes in the selected Indicators as a means for measuring progress towards the achievement of the objectives during the Compact Term. The M&E Plan will establish

baselines which measure the situation prior to a development intervention, against which progress can be assessed or comparisons made (including evaluations and special studies) (each, a "Baseline"). MCA-Philippines will collect Baselines on the selected Indicators or verify already collected Baselines where applicable and as set forth in the M&E Plan.

(a) Indicators. The M&E Plan will measure the results of the Program using quantitative, objective and reliable data ("Indicators"). Each Indicator will have benchmarks that specify the expected value and the expected time by which that result will be achieved ("Target"). The M&E Plan will be prepared in accordance with the MCC Policy for Monitoring and Evaluation of Compacts and Threshold Programs. All Indicators will be disaggregated by gender, income level and age, and beneficiary types to the extent practicable. Subject to prior written approval from MCC, MCA-Philippines may add Indicators or refine the definitions and Targets of existing Indicators.

(i) Compact Indicators.

- (1) Goal. The M&E Plan will contain the following Indicators related to the Compact Goal. These Indicators of national goals are specified in the "Medium-Term Philippine Development Plan" to which the Projects contributes, but are not solely attributable to the Projects:
- (A) Annual growth in Gross National Product.
- (B) Percent of households living below the subsistence poverty line.
- (2) Other Indicators. The M&E Plan will contain the Indicators listed in the following tables.

#### TABLE 1-M&E INDICATORS FOR KALAHI-CIDSS PROJECT

Result	Indicator	Definition of indicator	Baseline 4	Year 5 <sup>5</sup>
	Objective Le	evel		
Increased responsiveness of Local Government Units (LGUs) to community needs.	Use of inclusive Community Driven Development (CDD) processes by local governments.	Percentage of project municipal local government units (MLGUs) that have meetings with community representatives to solicit inputs to municipal development plans and/ or percentage of barangays that reflect community priorities in their barangay development plans.	TBD	80%
	LGU provision of funds for O&M	Percentage of MLGUs that provide funding support for KALAHI–CIDSS sub-project O&M.	0%	80%
	LGU application of CDD practices to non-KALAHI–CIDSS activities.	Number of project MLGUs that pass ordinances/resolutions adopting CDD principles.	TBD	TBD
Increased community engagement in development activities.	Participation of women in local government.	Number of women representatives in targeted areas.	TBD	TBD
	Community engagement in development activities.	Percentage of MCC-funded KALAHI–CIDSS-developed community organizations that have satisfactory organizational performance ratings.	0%	80%

<sup>&</sup>lt;sup>4</sup> As the municipalities are due to be randomly selected, baseline figures are not yet known.

initial round of data collection in the selected control and treatment municipalities. The targets will be informed by this information and by the results of the endline data collection in KC1 areas.

<sup>&</sup>lt;sup>5</sup> These figures are indicative.

<sup>&</sup>lt;sup>6</sup>The baseline levels for these indicators will be determined by the initial round of data collection

in the selected municipalities. The targets will be informed by this information and by the results of the endline data collection in KC1 areas.

<sup>&</sup>lt;sup>7</sup>The precise indicators, definitions, baseline level and final targets will be determined by the

TABLE 1—M&E INDICATORS FOR KALAHI-CIDSS PROJECT—Continued

Result	Indicator	Definition of indicator	Baseline 4	Year 55
		Percentage of communities that attract additional funding for development activities after the KALAHI–CIDSS Project is completed.	0%	30%
Increased value of sub-project benefits <sup>6</sup> .	Aggregate value of benefits of subprojects	(Varies, please see below)		
	Outcome Le	vel		
Increased LGU engagement	LGU provision of funds	Percentage of LGUs that provide at least 80% of Memorandum of Agreement (MOA) funding requirements.	0%	90%
	LGU provision of technical support	Percentage of LGUs that provide at least 80% of MOA technical support requirements.	0%	90%
Increased community engagement	Barangay assembly participation	Percentage of <i>barangay</i> assemblies with 80% of community households represented.	TBD	80%
	Marginalized group participation	Percentage of <i>barangay</i> assemblies with 65% of youth, women, indigenous people and poorest households represented.	TBD	80%
Increased value of sub-project benefits 7.	Time savings		TBD	TBD
	Labor force participation (by age and gender).		TBD	TBD
	School enrollment		TBD	TBD
	Number of beneficiary farming households.		TBD	TBD
	Yield of paddy rice		TBD	TBD
	Water consumption (by use)		TBD	TBD
	Use of barangay health facilities		TBD	TBD
	Post-harvest losses		TBD	TBD
	Output Lev	rel		
Sub-projects delivered	Sub-projects completed	Number of completed sub-projects (by type).	0	3400
Sub-projects sustained	Sub-projects sustained	Percentage of sub-projects that pass functionality audits or receive satisfactory or higher ratings of sustainability.	0%	80%

#### TABLE 2—M&E INDICATORS FOR SECONDARY NATIONAL ROADS DEVELOPMENT PROJECT

Result	Indicator	Definition of indicator	Road	Baseline	Year 5	Year 20
		Objective Level				
Net incomes of road users increased.	Costs to road users	Aggregate value of time savings (in 2009US\$m)8.	Wright-Taft- Borongan- Guiuan.	NA	5.2	9.5
		Change in aggregate vehicle operating cost (in 2009US\$m) <sup>9</sup> .	Wright-Taft- Borongan- Guiuan.	NA	9.4	16.5
		Outcome Level				
Improved road quality	Roughness	International Roughness Index of the road seg- ments supported by the Compact.	Wright-Taft- Borongan- Guiuan.	107.1	1.8	5.8
Increased vehicle activity	Average Annual Daily Traffic (AADT).	AADT on the road seg- ments supported by the Compact.	Wright-Taft- Borongan- Guiuan.	1,179	1,450	2,720
Lower maintenance costs	Maintenance savings	Reduction in annual maintenance spending (in 2009US\$m) 11.	Wright-Taft- Borongan- Guiuan.	NA	0.4	0.3

TABLE 2—M8	&E INDICAT	TORS FOR SE	ECONDARY NATIONAL	ROADS DEVELOPMENT P	ROJECT—	Continued	
Result	Indi	icator	Definition of indicator	Road	Baseline	Year 5	Year 20
			Output Leve	l			
Roads rehabilitated or built.	Total length		KM of road sections completed—rehabilitated.	Wright-Taft- Borongan- Guiuan.	0	222	222
T,	ABLE 3—M	1&E INDICAT	ORS FOR REVENUE A	DMINISTRATION REFORM	PROJECT		
Result			Indicator	Definition of indicator		Baseline	Year 5
			Project-wide Indic	eators			
			Objective Lev	el			
Increased tax revenues over	er time	Tax gap		Percentage of tax potentia		<sup>12</sup> TBD	TBD
Decreased incidence of conties within Department (DOF).		Perceptions of	f corruption	actually collected (VAT on DOF staff and the general perceptions that DOF staf gaged in corrupt activities.	public's f are en-	TBD	TBD
				Perceptions that DOF is taking to fight corruption.	ng action	TBD	TBD
			eTIS sub-Activ	ity			
			Outcome Leve	el			
Increased number of returns	s	Number of re	urns filed	Number of tax returns filed viduals and corporate bus BIR Revenue District Off have implemented eTIS.	siness at	TBD	TBD
Corruption perceptions			of change in incidence on among BIR employ-	Perceptions of corruption as cally related to eTIS imp tion e.g. use of electronic as	lementa-	TBD	TBD
Efficiency perceptions			of organizational effi- ng BIR employees.	Perceptions of efficiency as cally related to eTIS imp tion.	s specifi-	TBD	TBD
			Output Leve	<u> </u>	·	·	
Increased number of automatically-generated audits.		Number of au	dits	Automatically-generated (b audits broken down by la payer unit and RDOs that plemented eTIS.	arge tax-	0	TBD
		Auto	mated Audit Tools (AA	Ts) sub-Activity	'	'	
			Outcome Leve	el			
Increased revenue		Revenue colle	ection per audit	Average collection per fir Automated Audit Tools Appesos).		2,500,000	4,300,000
			Output Leve	<u> </u>			
Decreased time to complete	e an audit	Time to comp	lete an audit	Calendar days from start of completion.	audit to	117	44
Increased percentage of a AATS.	udits using	Percentage o	f audit cases performed	Large taxpayer unit audit ca formed using only AATS.	ases per-	2.9%	100%
Reduced opportunities for d	liscretion		t taxpayer premises per	Hours to perform all audit needed at taxpayer premis		335	50
		Pub	lic Awareness Campaig	gn sub-Activity			
			Outcome Leve	el			
Increased revenue		Revenue from	target group	Target group to be defined to project type.	pased on	TBD	TBD
Increased satisfaction		Taxpayer sat ices.	sfaction with BIR serv-	Improvement in customer sa survey scores.	tisfaction	TBD	TBD

	ATODO FOD DEVENUE AD	ANNUATO ATION DECOD	M PROJECT—Continued
1 ABLE 3—IVI&E INDICA	ATORS FOR BEVENUE AD	MINISTRATION BEFOR	W PROJECT—Confinied

Result	Indicator	Definition of indicator	Baseline	Year 5
Increased awareness	Perception of change based on specific message.	Awareness of the campaign, the available BIR services and/or tax-payer obligations.	TBD	TBD
	RIPS Activity	1		
	Outcome Lev	el		
Increased number of resolved cases	Number of "successful" case resolutions (cumulative).	Number of personnel charged by RIPS who are then suspended, dismissed or convicted.	28	140
Corruption perceptions	Perceptions of corrupt activities within DOF agencies.	Perceptions among DOF staff and the general public.	TBD	TBD
	Output Leve		•	
Increased number of investigations	Number of complaints investigated (cumulative).	Number of cases opened	110	400
Decreased time to complete an investigation.	Time taken to complete investigation (average).	Days from case opened to resolution	120	60
Increased number of DOF personnel charged.	Personnel charged (cumulative)	Number of DOF personnel charged with either graft or corruption.	67	500

**Note:** Many of these indicators are in draft form as the development of a full set of indicators, baselines and targets is proposed as part of the eTIS sub-Activity and the Public Awareness Campaign sub-Activity. For indicators of perceptions of corruption, a baseline survey will be developed and conducted as soon as possible and the indicators and corresponding targets will be developed for relevant sub-Activities at that time.

(b) Data Collection and Reporting. The M&E Plan will establish guidelines for data collection and reporting, and identify the responsible parties. Compliance with data collection and reporting timelines will be conditions for Disbursements for the relevant Activities as set forth in the Program Implementation Agreement. The M&E Plan will specify the data collection methodologies, procedures, and analysis required for reporting on results at all levels. The M&E Plan will describe any interim MCC approvals for data collection, analysis, and reporting plans.

(c) Data Quality Reviews. As determined in the M&E Plan or as otherwise requested by MCC, the quality of the data gathered through the M&E Plan will be reviewed to ensure that data reported are as valid, reliable, and

<sup>8</sup> These indicators are defined as the actual cost or spending minus what they were estimated to be in the without project scenario, as calculated by the model of the feasibility study.

<sup>9</sup> These indicators are defined as the actual cost or spending minus what they were estimated to be in the without project scenario, as calculated by the Highway Development and Management 4 model used by the feasibility study. These indicators will not be measured directly in year 5 of the Compact. Instead they will be recalculated using the same model, based on actual data on traffic, roughness, and maintenance spending (see below).

 $^{10}\,\mathrm{This}$  baseline is a visual estimation, not an International Roughness Index measure.

<sup>11</sup>These indicators are defined as the actual cost or spending minus what they were estimated to be in the without project scenario, as calculated by the model of the feasibility study.

<sup>12</sup> There have been several calculations of the VAT tax gap in the last 15 years and these have produced a wide range of estimates depending on the methodology employed. We are aware of no more recent estimate by the IMF than 1999, when it was estimated at 50%. The IMF will be responsible for producing a more current baseline figure for the tax gap indicator.

timely as resources will allow. The objective of any data quality review will be to verify the quality and the consistency of performance data across different implementation units and reporting institutions. Such data quality reviews also will serve to identify where those levels of quality are not possible, given the realities of data collection.

(d) Management Information System. The M&E Plan will describe the information system that will be used to collect data, store, process and deliver information to relevant stakeholders in such a way that the Program information collected and verified pursuant to the M&E Plan is at all times accessible and useful to those who wish to use it. The system development will take into consideration the requirement and data needs of the components of the Program, and will be aligned with existing MCC systems, other service providers, and relevant

Implementing Entities.

(e) Role of MCA-Philippines. The monitoring and evaluation of this Compact spans discrete Projects and will involve a variety of governmental, non-governmental, and private sector institutions. Subject to Section 3.2(b) of the Compact, MCA-Philippines is responsible for implementation of the M&E Plan. MCA-Philippines will oversee all Compact-related monitoring and evaluation activities conducted for each of the Projects, ensuring that data from all implementing entities is consistent, accurately reported and aggregated into regular Compact performance reports as described in the M&E Plan.

#### 4. Evaluation Component

The evaluation component of the M&E Plan will contain three types of evaluations: (a) Impact evaluations; (b) final evaluations; and (c) special studies. The evaluation component of the M&E Plan will describe the

purpose of the evaluation, methodology, timeline, required MCC approvals, and the process for collection and analysis of data for each evaluation. The results of all evaluations will be made publicly available in accordance with MCC's guidelines for monitoring and evaluation plans posted from time to time on the MCC Web site (the "MCC Policy for Monitoring and Evaluation of Compacts and Threshold Programs").

(a) Impact Evaluation. The M&E Plan will include a description of the methods to be used for impact evaluations and plans for integrating the evaluation method into Project design. Based on in-country consultation with stakeholders, the strategies outlined below were jointly determined as having the strongest potential for rigorous impact evaluation. The M&E Plan will further outline in detail these methodologies. Final impact evaluation strategies are to be included in the M&E Plan. The following is a summary of the current impact evaluation methodology:

(i) KALAHI–CIDSS Project.

The planned impact evaluation will cover new municipalities across both MCC-supported and World Bank-supported areas. Although the final design and implementation of the impact evaluation will be contracted to an independent consultant firm, a joint advisory board, with members from MCC, MCA-Philippines, the World Bank, DSWD, the National Economic Development Agency, and local academics will oversee the impact evaluation, which will be made publically available upon completion.

MCC and the World Bank cannot provide sufficient funding for all eligible municipalities. Thus the proposed approach will randomly select some pairs of municipalities to serve as treatment and controls from the eligible list. The specific municipalities will be randomly selected from that list by an independent party. Several of the Government's obligations are related to the methodology and implementation of this Impact Evaluation.

Key Impact Evaluation questions will include: How does receiving KALAHI–CIDSS support (from either the KALAHI–CIDSS Project or KC1) influence individual and community measures of:

(1) Social capital (participation in meetings, membership in groups, trust, etc);

(2) Welfare (consumption expenditure, labor force participation (including for women), hours on household production, enrollment, etc.); and

(3) The link between social capital and welfare (operations and maintenance practices, sustainability, project costs, congruence of preferences with sub-projects selected, etc.).

(ii) Secondary National Roads Development Project.

A rigorous impact evaluation is not currently planned for the Secondary National Roads Development Project due to the lengthy time of construction and the corresponding time required for the economy to adapt to the improvement.

- (b) Final Evaluation. The M&E Plan will make provision for final Project level evaluations ("Final Evaluations"). With the prior written approval of MCC, MCA-Philippines will engage independent evaluators to conduct the Final Evaluations at the end of each Project. The Final Evaluations will review progress during Compact implementation and provide a qualitative context for interpreting monitoring data and impact evaluation findings. They must at a minimum (i) evaluate the efficiency and effectiveness of the Activities, (ii) determine if and analyze the reasons why the Compact Goal, Program Objective and Project Objective(s), outcome(s) and output(s) were or were not achieved, (iii) identify positive and negative unintended results of the Program, (iv) provide lessons learned that may be applied to similar projects, and (v) assess the likelihood that results will be sustained over
- (c) Special Studies. The M&E Plan will include a description of the methods to be used for special studies, as necessary, funded through this Compact or by MCC. Plans for conducting the special studies will be determined jointly between MCA-Philippines and MCC before the approval of the M&E Plan. The M&E Plan will identify and make provision for any other special studies, ad hoc evaluations, and research that may be needed as part of the monitoring and evaluating of this Compact. Either MCC or MCA-Philippines may request special studies or ad hoc evaluations of Projects, Activities, or the Program as a whole prior to the expiration of the Compact Term. When MCA-Philippines engages an evaluator, the engagement will be subject to the prior written approval of MCC. Contract terms must ensure non-biased results and the publication of results.

As of the date hereof, two special studies are planned: For the KALAHI–CIDSS Project, an evaluation is planned to measure the various benefits of the sub-projects; for the

Secondary National Roads Development Project, evaluations are planned to focus on measuring changes in travel times and transportation costs.

(i) Request for Ad Hoc Evaluation or Special Study. If MCA-Philippines requires an ad hoc independent evaluation or special study at the request of the Government for any reason, including for the purpose of contesting an MCC determination with respect to a Project or Activity or to seek funding from other donors, no MCC Funding or MCA-Philippines resources may be applied to such evaluation or special study without MCC's prior written approval.

#### 5. Other Components of the M&E Plan

In addition to the monitoring and evaluation components, the M&E Plan will include the following components for the Program, Projects and Activities, including, where appropriate, roles and responsibilities of the relevant parties and providers:

(a) Costs. A detailed cost estimate for all components of the M&E Plan; and

(b) Assumptions and Risks. Any assumption or risk external to the Program that underlies the accomplishment of the Program Objective, Project Objectives and Activity outcomes and outputs. However, such assumptions and risks will not excuse any Party's performance unless otherwise expressly agreed to in writing by the Parties.

#### 6. Approval and Implementation of the M&E Plan

The approval and implementation of the M&E Plan, as amended from time to time, will be in accordance with the Program Implementation Agreement and any other relevant supplemental agreement, and the MCC Policy for Monitoring and Evaluation of Compacts and Threshold Programs.

### Annex IV Conditions to Disbursement of Compact Implementation Funding

This Annex IV sets forth the conditions precedent applicable to Disbursements of Compact Implementation Funding (each a "CIF Disbursement"). Capitalized terms used in this Annex IV and not defined in this Annex IV or in the Compact have the meanings assigned to such terms in the Program Implementation Agreement.

#### 1. Conditions to the Initial CIF Disbursement

Each of the following conditions precedent must have been met to MCC's satisfaction prior to the initial CIF Disbursement:

(a) MCA-Philippines will have delivered to MCC a complete, correct, and fully executed Disbursement Request for the relevant Disbursement Period, in form and substance satisfactory to MCC and submitted in accordance with the Reporting Guidelines. Each Disbursement Request will include the following reference number: GR10PHL10010.

(b)(i) Each Activity being funded by such CIF Disbursement will facilitate implementation of the Compact, (ii) there has been no violation of, and the use of the requested funds for the purposes requested will not violate, the limitations on the use or treatment of (1) MCC Funding, as set forth in this Compact, including under Section 2.7, or (2) Compact Implementation Funding, and (iii) no material breach of any covenant,

obligation, or responsibility of the Government or MCA-Philippines under this Compact, the Program Implementation Agreement, any supplemental agreement, or any Program Guidelines has occurred or is continuing.

(c) The Government will have published the Establishment Decree, and such decree will remain in full force and effect, without modification, alteration, rescission, or suspension of any kind unless otherwise agreed by MCC. Without limitation of the foregoing, MCA-Philippines will have delivered to MCC (i) evidence of the adoption and publication of the Establishment Decree, and (ii) an up-to-date extract from the state registry verifying that MCA-Philippines is a fully-formed and registered public institution under the laws of the Philippines.

(d) MCA-Philippines will be sufficiently mobilized in order for MCA-Philippines to be able to fully perform its obligations and to act on behalf of the Government.

(e) MCA-Philippines will have adopted a Procurement Plan, in form and substance satisfactory to MCC, with respect to the Compact Implementation Funding, and such Procurement Plan remains in full force and effect.

(f) MCA-Philippines will have adopted a Fiscal Accountability Plan, in form and substance satisfactory to MCC, and such Fiscal Accountability Plan remains in full force and effect.

(g) The Government will have adopted and published such decrees and regulations as necessary to implement the tax assumption mechanisms set forth in the Program Implementation Agreement, and such decrees and regulations will remain in full force and effect without modification, alteration, rescission, or suspension of any

(h) The Fiscal Agent will have been duly appointed, and MCA-Philippines will have duly executed the Fiscal Agent Agreement, and such agreement will be in full force and effect without modification, alteration, rescission, or suspension of any kind, unless otherwise agreed by MCC, and no material breach has occurred or is continuing

kind, unless otherwise agreed by MCC.

thereunder.

(i) The Procurement Agent will have been duly appointed, and MCA-Philippines will have duly executed an agreement with the Procurement Agent, and such agreement will be in full force and effect without modification, alteration, rescission, or suspension of any kind, unless otherwise agreed by MCC, and no material breach has occurred or is continuing thereunder.

(j) The Bank will have been duly appointed, and MCA-Philippines and the Fiscal Agent will have duly executed the Bank Agreement, and such agreement will be in full force and effect without modification, alteration, rescission, or suspension of any kind, unless otherwise agreed by MCC, and no material breach has occurred or is continuing thereunder.

(k) The Permitted Account will be established.

(l) Prior to the deployment of the resident tax administration technical assistance lead advisor, the IMF resident advisor will be designated as a senior advisor to the head of the BIR's Project Implementation & Monitoring Office. Said resident advisor will coordinate all tax administration-related technical assistance from all donors.

#### 2. Conditions to Each CIF Disbursement

Each of the following conditions precedent must have been met to MCC's satisfaction prior to the applicable CIF Disbursement:

(a) MCA-Philippines will have delivered to MCC a complete, correct, and fully executed Disbursement Request for the relevant Disbursement Period, together with any applicable Periodic Reports covering such Disbursement Period, in each case in form and substance satisfactory to MCC and submitted in accordance with the Reporting Guidelines. Each Disbursement Request will include the following reference number: GR10PHL10010.

(b)(i) Each Activity being funded by such CIF Disbursement will facilitate implementation of the Compact, (ii) there has been no violation of, and the use of the requested funds for the purposes requested will not violate, the limitations on the use or treatment of (1) MCC Funding, as set forth in this Compact, including under Section 2.7, or (2) Compact Implementation Funding, (iii) no material breach of any covenant, obligation, or responsibility of the Government or MCA-Philippines under this Compact, the Program Implementation Agreement, any supplemental agreement, or any Program Guidelines has occurred or is continuing, and (iv) any Taxes and Contributions paid with MCC Funding prior to or on the date ninety (90) days prior to the start of the applicable Disbursement Period have been assumed by the Government in full in accordance with this Compact.

- (c) The MCA-Philippines Procurement Plan will be in full force and effect.
- (d) The MCA-Philippines Fiscal Accountability Plan will be in full force and effect.
- (e) Each of the Fiscal Agent Agreement, the MCA-Philippines agreement with the Procurement Agent, and the Bank Agreement will be in full force and effect without modification, alteration, rescission, or suspension of any kind, unless otherwise agreed by MCC, and no material breach has occurred or is continuing thereunder.
- (f) The Permitted Account will be in existence.
- (g) The tax assumption mechanism set forth in the Program Implementation Agreement will be in full force and effect.

#### Annex V Definitions

 $\ensuremath{\mathit{Activity}}$  has the meaning provided in Part B of Annex I.

Additional Representative has the meaning provided in Section 4.2.

Audit Guidelines has the meaning provided in Section 3.8(a).

Baseline has the meaning provided in paragraph 3 of Annex III.

BIR has the meaning provided in paragraph 3(a) of Part B of Annex I.

Board of Trustees has the meaning provided in paragraph 4(c)(i)(1) of Part B of Annex I.

CIF Disbursement has the meaning provided in Annex IV.

 ${\it Compact}$  has the meaning provided in the Preamble.

Compact Goal has the meaning provided in Section 1.1.

Compact Implementation Funding has the meaning provided in Section 2.2(a).

Compact Records has the meaning provided in Section 3.7(a).

Compact Term has the meaning provided in Section 7.3.

Covered Provider has the meaning provided in Section 3.7(c).

Disbursement has the meaning provided in Section 2.4.

*DSWD* has the meaning provided in Section 8.1(a)(i).

Establishment Decree has the meaning provided in Section 3.2(b).

*eTIS* has the meaning provided in paragraph 3(b) of Part B of Annex I.

Evaluation Component has the meaning provided in paragraph 1 of Annex III.

Excess CIF Amount has the meaning

Excess CIF Amount has the meaning provided in Section 2.2(d).

Final Evaluations has the meaning provided in paragraph 4(b) of Annex III.

Fiscal Agent has the meaning provided in

paragraph 4(e) of Part B of Annex I.

Governance Guidelines has the meaning provided in paragraph 4(c) of Part B of Annex

Government has the meaning provided in the Preamble.

*IMF* has the meaning provided in paragraph 3(b)(i)(1) of Part B of Annex I.

Implementation Letter has the meaning provided in Section 3.5.

Implementing Entity has the meaning provided paragraph 4(d) of Part B of Anney I

Implementing Entity Agreement has the meaning provided in paragraph 4(d) of Part B of Annex I.

Indicators has the meaning provided in paragraph 3(a) of Annex III.

Inspector General has the meaning provided in Section 3.8(a).

KALAHI-CIDSS has the meaning provided in paragraph 1(a) of Part B of Annex I.

KALAHĪ-CIDŚS Project has the meaning provided in paragraph 1(a) of Part B of Annex

KC1 has the meaning provided in paragraph 1(a) of Part B of Annex I.

 $M\mathscr{E}EAnnex$  has the meaning provided in Annex III.

*M&E Plan* has the meaning provided in Annex III.

Management Unit has the meaning provided in paragraph 4(c)(iii)(1) of Part B of Annex I.

*MCA Act* has the meaning provided in Section 2.2(a).

*MCA-Philippines* has the meaning provided in Section 3.2(b).

MCA-Philippines Bylaws has the meaning provided in paragraph 4(c) of Part B of Annex

MCC has the meaning provided in the Preamble.

MCC Environmental Guidelines has the meaning provided in Section 2.7(c).

*MCC Funding* has the meaning provided in Section 2.3.

MCC Policy for Monitoring and Evaluation of Compacts and Threshold Programs has the

meaning provided for in paragraph 4 of Annex III.

MCC Program Procurement Guidelines has the meaning provided in Section 3.6.

 $MCC\ Web\ \tilde{s}ite$  has the meaning provided in Section 2.7.

Monitoring Component has the meaning provided in paragraph 1 of Annex III.

Multi-Year Financial Plan Summary has the meaning provided in paragraph 1 of Annex II.

OP 4.12 has the meaning provided in paragraph 3 of Part A of Annex I.

Party and Parties has the meaning provided in the Preamble.

Permitted Account has the meaning provided in Section 2.4.

The *Philippines* has the meaning provided in the Preamble.

*Principal Representative* has the meaning provided in Section 4.2.

Procurement Agent has the meaning provided in paragraph 4(f) of Part B of Annex

 ${\it Program}$  has the meaning provided in the Preamble.

Program Assets include MCC Funding, interest accrued thereon, and any assets, goods or property (real, tangible or intangible) purchased or financed in whole or in part (directly or indirectly) by MCC Funding.

*Program Funding* has the meaning provided in Section 2.1.

Program Guidelines means collectively the Audit Guidelines, the MCC Environmental Guidelines, the Governance Guidelines, the MCC Program Procurement Guidelines, the Reporting Guidelines, the MCC Policy for Monitoring and Evaluation of Compacts and Threshold Programs, and any other guidelines, policies or guidance papers from time to time published on the MCC Web site.

Program Implementation Agreement or PIA has the meaning provided in Section 3.1.

Program Objective has the meaning provided in Section 1.2.

*Project(s)* has the meaning provided in Section 6.2(b).

*Project Objective(s)* has the meaning provided in Section 1.3.

*Provider* has the meaning provided in Section 3.7(c).

Reporting Guidelines means the MCC "Guidance on Quarterly MCA Disbursement Request and Reporting Package" posted by MCC on the MCC Web site or otherwise publicly made available.

Revenue Administration Reform Project has the meaning provided in paragraph 3(b) of Part B of Annex I.

*RIPS* has the meaning provided in paragraph 3(b) of Part B of Annex I.

Secondary National Roads Development Project has the meaning provided in paragraph 2(b) of Part B of Annex I.

Stakeholders' Committee has the meaning provided in paragraph 4(c)(ii)(1) of Part B of Annex I.

*Target* has the meaning provided in paragraph 3(a) of Annex III.

Taxes and Contributions has the meaning provided in Section 2.8.

United States Dollars or US\$ means the lawful currency of the United States of America.

USAID has the meaning provided in paragraph 1(e) of Part B of Annex I.

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### MILLENNIUM CHALLENGE CORPORATION

[MCC FR 10-12]

### Report on the Selection of Eligible Countries for Fiscal Year 2011

**AGENCY:** Millennium Challenge

Corporation. **ACTION:** Notice.

**SUMMARY:** This report is provided in accordance with section 608(d)(1) of the Millennium Challenge Act of 2003, Public Law 108–199, Division D, (the "Act"), 22 U.S.C. 7708(d)(1).

Dated: September 28, 2010.

#### Melvin F. Williams, Jr.,

VP/General Counsel and Corporate Secretary, Millennium Challenge Corporation.

#### Report on the Criteria and Methodology for Determining the Eligibility of Candidate Countries for Millennium Challenge Account Assistance for Fiscal Year 2011

This report to Congress is provided in accordance with section 608(b) of the Millennium Challenge Act of 2003, as amended, 22 U.S.C. 7707(b) (the "Act").

The Act authorizes the provision of Millennium Challenge Account (MCA) assistance to countries that enter into a Millennium Challenge Compact with the United States to support policies and programs that advance the prospects of such countries achieving lasting economic growth and poverty reduction. The Act requires the Millennium Challenge Corporation (MCC) to take a number of steps in determining what countries will be selected as eligible for MCA compact assistance for fiscal year 2011 (FY11) based on the countries' demonstrated commitment to just and democratic governance, economic freedom, and investing in their people, as well as MCC's opportunity to reduce poverty and generate economic growth in the country. These steps include the submission of reports to the congressional committees specified in the Act and publication of notices in the Federal Register that identify:

The countries that are "candidate countries" for MCA assistance for FY11 based on their per capita income levels and their eligibility to receive assistance under U.S. law. This report also identifies countries that would be candidate countries but for specified

legal prohibitions on assistance (section 608(a) of the Act; 22 U.S.C. 7707(a));

The criteria and methodology that MCC's Board of Directors (Board) will use to measure and evaluate the policy performance of the candidate countries consistent with the requirements of section 607 of the Act (22 U.S.C. 7706) in order to determine "MCA eligible countries" from among the "candidate countries" (section 608(b) of the Act); and

The list of countries determined by the Board to be "MCA eligible countries" for FY11, with justification for eligibility determination and selection for compact negotiation, including with which of the MCA eligible countries the Board will seek to enter into MCA compacts (section 608(d) of the Act).

This report is the second of the three required reports listed above.

Criteria and Methodology for FY11

The Board will base its selection of eligible countries on several factors including:

The country's overall performance in three broad policy categories—Ruling Justly, Encouraging Economic Freedom, and Investing in People; MCC's opportunity to reduce poverty and generate economic growth in a country; and Availability of funds to MCC.

#### Performance of Policy Categories

Section 607 of the Act requires that the Board's determination of eligibility be based "to the maximum extent possible, upon objective and quantifiable indicators of a country's demonstrated commitment" to the criteria set out in the Act. For FY11, there will be two groups of candidate countries—low income countries (LIC) and lower middle income countries (LMIC). As outlined in MCC's Report on Countries that are Candidate Countries for Millennium Challenge Account Eligibility for Fiscal Year 2011 and Countries that would be Candidates but or Legal Prohibitions (August 2010), LIC candidates are those countries that have a per capita income equal to or less than \$1,905 and are not ineligible to receive United States economic assistance under part I of the Foreign Assistance Act of 1961 by reason of the application of any provision of the Foreign Assistant Act or any other provision of law. LMIC candidates are those countries that have a per capita income between \$1,906 and \$3,945 and are not ineligible to receive United States economic assistance under the same stipulations.

The Board uses seventeen indicators to assess the policy performance of individual countries (specific definitions of the indicators and their sources are set out in the attached Annex A). These indicators are grouped for purposes of the FY11 assessment methodology under the three policy categories listed below.

#### **Ruling Justly**

Civil Liberties Political Rights Voice and Accountability Government Effectiveness Rule of Law Control of Corruption

#### **Encouraging Economic Freedom**

Inflation
Fiscal Policy
Business Start-up
Trade Policy
Regulatory Quality
Land Rights Access

#### **Investing in People**

Public Expenditure on Health Public Expenditure on Primary Education Immunization Rates Girls' Primary Education Completion

Natural Resource Management

In making its determination of eligibility with respect to a particular candidate country, the Board will consider whether a country performs above the median in relation to its income level peers (LIC or LMIC) on at least three of the indicators in each of the Ruling Justly, Encouraging Economic Freedom, and Investing in People categories, and above the median on the Control of Corruption indicator. One exception to this methodology is that the median is not used for the *Inflation* indicator. Instead, to pass the *Inflation* indicator a country's inflation rate must be under a fixed ceiling of 15 percent. The Board may also take into consideration whether a country performs substantially below the median on any indicator (i.e., below the 25th percentile) and has not taken appropriate measures to address this shortcoming.

### Approach to Income Classification Transition

Each year a number of countries shift income groups, and some countries formerly classified as LICs suddenly face new, higher performance standards in the LMIC group. As a result, they typically perform relatively worse as an LMIC, even if they performed relatively well as an LIC, and maintained or improved performance over the previous year in absolute terms. To address the challenges associated with sudden changes in performance standards for these countries, MCC has adopted an approach to income category

transition whereby the Board may consider the indicator performance of countries that transitioned from the LIC to the LMIC category both relative to their LMIC peers, as well as in comparison to the current fiscal year's LIC pool for a period of three years.

#### Supplemental Information

While the indicators are the predominant basis for determining which countries will be eligible for MCA assistance, it is consistent with the Act for the Board to exercise discretion when evaluating performance on the indicators and determining a final list of eligible countries. The Board may take into account other quantitative and qualitative information (supplemental information) to determine whether a country performed satisfactorily in relation to its peers in a given income category. Such supplemental information is important because there are elements of the eligibility criteria set out in the Act for which there is either limited quantitative information (e.g., the rights of people with disabilities) or no well-developed performance indicator. Until such data and/or indicators are developed, the Board may rely on additional data and qualitative information to assess policy performance. For example, the State Department Human Rights Report contains qualitative information to make an assessment on a variety of criteria outlined by Congress, such as the rights of people with disabilities, the treatment of women and children, workers rights, and human rights.

 $Supplement \bar{a} linformation\ is\ also$ important because it makes up for data gaps, lags, trends, or other weaknesses in particular indicators. For example, the median score (and passing threshold) for the Girls' Primary Education Completion indicator in the LMIC group has historically been very high. Recognizing that this may pose limitations on the indicator's ability to meaningfully differentiate policy performance, the Board may consider that a girls' primary education completion rate above 95 percent essentially represents full completion, regardless of where the median score for this indicator falls. As additional information in the area of corruption, the Board may consider how a country is evaluated by supplemental sources like Transparency International's Corruption Perceptions Index and the Global Integrity Report, among others, as well as on the defined indicator.

#### **Consideration for Subsequent Compacts**

Countries nearing the end of compact implementation may be considered for

eligibility for a second compact. In determining eligibility for a second compact, the Board will consider, among other factors, the country's policy performance using the methodology and criteria described above and the country's track record of performance implementing its first compact. To assess implementation of a first compact, the Board will consider the nature of the country partnership with MCC, the degree to which the country has demonstrated a commitment and capacity to achieve program results, and the degree to which the country has implemented the compact in accordance with MCC's policies and standards.

#### **Continuing Policy Performance**

Partner countries that are developing or implementing a compact are expected to seek to maintain and improve policy performance. MCC recognizes that partner countries may not meet the indicator criteria from time to time due to a number of factors, such as changes in the peer-group median; transition into a new income category (e.g., from LIC to LMIC); numerical declines in score that are within the statistical margin of error; slight declines in policy performance; revisions or corrections of data; the introduction of new sub-data sources; or changes in the indicators used in measuring performance. None of these factors alone signifies a significant policy reversal or warrants suspension or termination of eligibility and/or assistance.

However, MCC may issue a warning, suspension, or termination of eligibility and/or assistance to countries that demonstrate a significant policy reversal. According to MCC's authorizing legislation, "[a]fter consultation with the Board, the Chief Executive Officer may suspend or terminate assistance in whole or in part for a country or entity \* \* \* if \* the country or entity has engaged in a pattern of actions inconsistent with the criteria used to determine the eligibility of the country or entity \* \* \*." Because of data lags, this pattern of actions need not be captured in the indicators for MCC to take action.

Consideration of Changes to the Criteria and Methodology

For FY11, there are no changes to the core criteria or methodology used in FY10.

In keeping with MCC's commitment to aid effectiveness through regular evaluation of its own practice, MCC is undertaking a comprehensive review of its country selection process. At the time the selection system was

established, MCC's country scorecard represented the most effective way to use third-party data to compare policy performance as objectively as possible across the broad majority of low and lower middle income countries. After using this system for six years, MCC believes it is appropriate to undertake a review to ensure that the most effective indicator system is being used to evaluate and select countries for eligibility. While the review may find that MCC should make no changes to the selection system, it may, alternatively, identify recommended adjustments. Any such adjustments could be implemented as part of the fiscal year 2012 selection process.

The selection review is in its early stages and planned to extend through mid-2011. The review will include consultations with a broad range of stakeholders and experts in the development community. As a first step, MCC encourages broad participation in the formal public comment period that follows the publication of this report and lasts until December 15.

#### Relationship to Statutory Criteria

Within each policy category, the Act sets out a number of specific selection criteria. As indicated above, a set of objective and quantifiable policy indicators is used in determining eligibility for MCA assistance and in measuring the relative performance by candidate countries against these criteria. Performance against each of these criteria is assessed by at least one of the seventeen objective indicators and some criteria are addressed by multiple indicators. The following list of the criteria set forth in the Act identifies in parentheses the corresponding indicators.

## Section 607(b)(1): Just and democratic governance, including a demonstrated commitment to—

- (A) Promote political pluralism, equality and the rule of law (*Political Rights, Civil Liberties, Voice and Accountability, and Rule of Law*);
- (B) Respect human and civil rights, including the rights of people with disabilities (Political Rights, Civil Liberties, and Voice and Accountability);
- (C) Protect private property rights (Civil Liberties, Regulatory Quality, Rule of Law, and Land Rights and Access);
- (D) Encourage transparency and accountability of government (Political Rights, Civil Liberties, Voice and Accountability, Control of Corruption, Rule of Law, and Government Effectiveness); and

(E) Combat corruption (*Political Rights, Civil Liberties, Rule of Law, and Control of Corruption*).

## Section 607(b)(2): Economic freedom, including a demonstrated commitment to economic policies that—

(A) Encourage citizens and firms to participate in global trade and international capital markets (Fiscal Policy, Inflation, Trade Policy, and Regulatory Quality);

(B) Promote private sector growth (Inflation, Business Start-Up, Fiscal Policy, Land Rights and Access, and Regulatory Quality);

(C) Strengthen market forces in the economy (Fiscal Policy, Inflation, Trade Policy, Business Start-Up, Land Rights and Access, and Regulatory Quality);

(D) Respect worker rights, including the right to form labor unions (*Civil Liberties and Voice and Accountability*).

# Section 607(b)(3): Investments in the people of such country, particularly women and children, including programs that—

(A) Promote broad-based primary education (*Girls' Primary Education Completion and Public Expenditure on Primary Education*);

(B) Strengthen and build capacity to provide quality public health and reduce child mortality (Immunization Rates, Public Expenditure on Health, and Natural Resource Management); and

(C) Promote the protection of biodiversity and the transparent and sustainable management and use of natural resources (Natural Resource Management).

#### Annex A

#### Indicator Definitions

The following 17 indicators will be used in measuring candidate countries' demonstrated commitment to the criteria set forth in section 607(b) of the Act. The indicators are intended to assess the degree to which the political and economic conditions in a country serve to promote broad-based sustainable economic growth and reduction of poverty, and thus provide a sound environment for the use of MCA funds. The indicators are not goals in themselves; rather they are proxy measures of policies that are linked to broad-based sustainable economic growth. The indicators were selected based on their (i) Relationship to economic growth and poverty reduction; (ii) the number of countries they cover; (iii) transparency and availability; and (iv) relative soundness

and objectivity. Where possible, the indicators are developed by independent sources.

#### Ruling Justly

Civil Liberties: Independent experts rate countries on: Freedom of expression; association and organizational rights; rule of law and human rights; and personal autonomy and economic rights, among other things. Source: Freedom House.

Political Rights: Independent experts rate countries on: The prevalence of free and fair elections of officials with real power; the ability of citizens to form political parties that may compete fairly in elections; freedom from domination by the military, foreign powers, totalitarian parties, religious hierarchies and economic oligarchies; and the political rights of minority groups, among other things. Source: Freedom House.

Voice and Accountability: An index of surveys and expert assessments that rate countries on: The ability of institutions to protect civil liberties; the extent to which citizens of a country are able to participate in the selection of governments; and the independence of the media, among other things. Source: Worldwide Governance Indicators (World Bank/Brookings Institution).

Government Effectiveness: An index of surveys and expert assessments that rate countries on: The quality of public service provision; civil servants' competency and independence from political pressures; and the government's ability to plan and implement sound policies, among other things. Source: Worldwide Governance Indicators (World Bank/Brookings Institution).

Rule of Law: An index of surveys and expert assessments that rate countries on: The extent to which the public has confidence in and abides by the rules of society; the incidence and impact of violent and nonviolent crime; the effectiveness, independence, and predictability of the judiciary; the protection of property rights; and the enforceability of contracts, among other things. Source: Worldwide Governance Indicators (World Bank/Brookings Institution).

Control of Corruption: An index of surveys and expert assessments that rate countries on: "Grand corruption" in the political arena; the frequency of petty corruption; the effects of corruption on the business environment; and the tendency of elites to engage in "state capture," among other things. Source: Worldwide Governance Indicators (World Bank/Brookings Institution).

Encouraging Economic Freedom

Inflation: The most recent average annual change in consumer prices. Source: The International Monetary Fund's World Economic Outlook Database.

Fiscal Policy: The overall budget balance divided by GDP, averaged over a three-year period. The data for this measure come primarily from IMF country reports or, where public IMF data are outdated or unavailable, are provided directly by the recipient government with input from U.S. missions in host countries. All data are cross-checked with the IMF's World Economic Outlook database to try to ensure consistency across countries and made publicly available. Source: International Monetary Fund Country Reports, National Governments, and the International Monetary Fund's World Economic Outlook Database.

Business Start-Up: An index that rates countries on the time and cost of complying with all procedures officially required for an entrepreneur to start up and formally operate an industrial or commercial business. Source: International Finance Corporation.

Trade Policy: A measure of a country's openness to international trade based on weighted average tariff rates and non-tariff barriers to trade. Source: The Heritage Foundation.

Regulatory Quality: An index of surveys and expert assessments that rate countries on: the burden of regulations on business; price controls; the government's role in the economy; and foreign investment regulation, among other areas. Source: Worldwide Governance Indicators (World Bank/Brookings Institution).

Land Rights and Access: An index that rates countries on the extent to which the institutional, legal, and market framework provide secure land tenure and equitable access to land in rural areas and the time and cost of property registration in urban and periurban areas. Source: The International Fund for Agricultural Development and the International Finance Corporation.

#### Investing in People

Public Expenditure on Health: Total expenditures on health by government at all levels divided by GDP. Source: The World Health Organization.

Immunization Rates: The average of DPT3 and measles immunization coverage rates for the most recent year available. Source: The World Health Organization and the United Nations Children's Fund.

Total Public Expenditure on Primary Education: Total expenditures on

primary education by government at all levels divided by GDP. Source: The United Nations Educational, Scientific and Cultural Organization and National Governments.

Girls' Primary Completion Rate: The number of female students enrolled in the last grade of primary education minus repeaters divided by the population in the relevant age cohort (gross intake ratio in the last grade of primary). Source: United Nations Educational, Scientific and Cultural Organization.

Natural Resource Management: An index made up of four indicators: ecoregion protection, access to improved water, access to improved sanitation, and child (ages 1–4) mortality. Source: The Center for International Earth Science Information Network and the Yale Center for Environmental Law and Policy.

[FR Doc. 2010–24727 Filed 10–1–10; 8:45 am] BILLING CODE 9211–03–P

### NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

[Notice (10-116)]

### Aerospace Safety Advisory Panel; Meeting

**AGENCY:** National Aeronautics and Space Administration (NASA).

**ACTION:** Notice of meeting.

**SUMMARY:** In accordance with the Federal Advisory Committee Act, Public Law 92–463, as amended, the National Aeronautics and Space Administration announces a forthcoming meeting of the Aerospace Safety Advisory Panel.

**DATES:** Friday, October 22, 2010, 12:30 p.m. to 2 p.m. Central Standard Time.

ADDRESSES: Johnson Space Center, NASA Road 1, Building 1, Room 966, Houston, TX 77058.

#### FOR FURTHER INFORMATION CONTACT: Ms.

Kathy Dakon, Aerospace Safety Advisory Panel Executive Director, National Aeronautics and Space Administration, Washington, DC 20546, (202) 358–0732.

SUPPLEMENTARY INFORMATION: The Aerospace Safety Advisory Panel will hold its fourth Quarterly Meeting for 2010. This discussion is pursuant to carrying out its statutory duties for which the Panel reviews, identifies, evaluates, and advises on those program activities, systems, procedures, and management activities that can contribute to program risk. Priority is given to those programs that involve the safety of human flight. The agenda will

include Safety and Mission Assurance Issues, Safely De-Orbiting the International Space Station, and Inspector General Study: Astronaut Health Update. The meeting will be open to the public up to the seating capacity of the room. Seating will be on a first-come basis. Attendees will be required to sign a visitor's register and to comply with NASA security requirements, including the presentation of a valid picture ID, before receiving an access badge. Foreign nationals attending the meeting will be required to provide the following information no less than 7 working days prior to the meeting: Full name; gender; date/place of birth; citizenship; visa/ green card information (number, type, expiration date); passport information (number, country, expiration date): employer/affiliation information (name of institution, address, country, telephone); and title/position of attendee. Additional information may be requested. This would also include Legal Permanent Resident information: Green card number and expiration date. To expedite admittance, attendees with U.S. citizenship can provide identifying information 2 working days in advance. Persons with disabilities who require assistance should indicate this. Photographs will only be permitted during the first 10 minutes of the meeting. During the first 30 minutes of the meeting, members of the public may make a 5-minute verbal presentation to the Panel on the subject of safety in NASA. Any member of the public is permitted to file a written statement with the Panel at the time of the meeting. Verbal presentations and written comments should be limited to the subject of safety in NASA and should be received 2 working days in advance. It is imperative that the meeting be held on this date to accommodate the scheduling priorities of the key participants. To reserve a seat, file a written statement, or make a verbal presentation, please contact Ms. Susan Burch via e-mail at Susan.Burch@nasa.gov.

Dated: September 28, 2010.

#### P. Diane Rausch,

Advisory Committee Management Officer, National Aeronautics and Space Administration.

[FR Doc. 2010–24753 Filed 10–1–10; 8:45 am] BILLING CODE P

### NUCLEAR REGULATORY COMMISSION

[Docket No. 50-458; NRC-2010-0315]

#### Entergy Operations, Inc.; River Bend Station, Unit 1; Environmental Assessment and Finding of No Significant Impact

The U.S. Nuclear Regulatory Commission (NRC) is considering changes to the Emergency Plan, pursuant to 10 CFR 50.54, "Conditions of licenses," paragraph (q), for Facility Operating License No. DPF-47, issued Entergy Operations, Inc. (Entergy, the licensee), for operation of the River Bend Station, Unit 1 (RBS), located in West Feliciana Parish, Louisiana. In accordance with 10 CFR 51.21, the NRC performed an environmental assessment. Based on the results of the environmental assessment, the NRC is issuing a finding of no significant impact.

#### **Environmental Assessment**

Identification of the Proposed Action

The guidance in NUREG-0654/ FEMA-REP-1, Table B-1, "Minimum Staffing Requirements for NRC Licensees for Nuclear Power Plant Emergencies," for repair and corrective actions states that two individuals, one Mechanical Maintenance/Radwaste Operator and one Electrical Maintenance/Instrumentation and Control (I&C) technician, should be designated for each shift, but their functions may be carried out by shift personnel assigned other duties. The licensee is committed to the guidance in NUREG-0654, but has requested a deviation. Specifically, the proposed action would revise Section 13.3.4.2.2.4, "Plant Systems Engineering, Repair, and Corrective Actions," and Table 13.3–17, "Shift Staffing and Augmentation Capabilities," of the RBS Emergency Plan (E-Plan). The revision will allow two maintenance positions on shift to be filled with any combination of the three maintenance craft disciplines. Currently, Table 13.3–17 of the E-Plan only allows Electrical or I&C technicians to fill these two positions.

The proposed action is in accordance with the licensee's application dated January 28, 2010 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML100320044).

The Need for the Proposed Action

The proposed change will allow the required shift complement of two technicians to be any combination from the three maintenance groups. Since the

repair and corrective action function is currently filled by I&C technicians, additional actions will be taken to ensure basic electrical/I&C tasks can be performed by Mechanical Maintenance personnel. Mechanical Maintenance personnel will receive training in basic electrical and I&C tasks to ensure that tasks related to these disciplines can be performed if needed in the first 90 minutes of an event. The proposed change will reduce the burden related to scheduling of only selected maintenance technicians on shift.

The NRC staff has concluded that the proposed change will provide greater initial coverage than the NUREG-0654/ FEMA-REP-1, Table B-1 requirement and will continue to provide maintenance support capability in the early stages of an event. Based on the on-shift staffing complement designated in the proposed E-Plan change for Repair and Corrective Actions (which is in excess of Table B-1 of NUREG-0654/ FEME–REP–1) and the training that will be provided to the Mechanical Maintenance personnel in basic electrical and I&C tasks, the NRC staff believes that adequate on-shift resources exist to support Repair and Corrective Actions within 90 minutes of an event, prior to being relieved by the augmenting Emergency Response Organization.

In addition, the proposed changes to the on-shift and 90-minute augmented I&C Maintenance staffing result in a total of nine personnel designated for Repair and Corrective actions which is in excess of NUREG-0654/FEMA-REP-1, Table B-1's minimum staffing guidance. This will increase the licensee's capability to perform the Repair and Corrective actions during an event.

Based on the above, the NRC staff concludes that the proposed changes to the E-Plan meet the standards of 10 CFR 50.47(b) and the requirements of Appendix E to 10 CFR part 50 and provide reasonable assurance that the licensee will take adequate protective measures in a radiological emergency.

Environmental Impacts of the Proposed Action

The NRC has completed its environmental assessment of the proposed changes to the RBS E-Plan. The staff has concluded that the changes would not significantly affect plant safety and would not have a significant adverse effect on the probability of an accident occurring. The proposed action would not result in an increased radiological hazard beyond those previously analyzed in the Updated Safety Analysis Report. There will be no

change to radioactive effluents that affect radiation exposures to plant workers and members of the public. No changes will be made to plant buildings or the site property. Therefore, no changes or different types of radiological impacts are expected as a result of the proposed changes.

The proposed action does not result in changes to land use or water use, or result in changes to the quality or quantity of non-radiological effluents. No changes to the National Pollution Discharge Elimination System permit are needed. No effects on the aquatic or terrestrial habitat in the vicinity or the plant, or to threatened, endangered, or protected species under the Endangered Species Act, or impacts to essential fish habitat covered by the Magnuson-Stevens Act are expected. There are no impacts to the air or ambient air quality. There are no impacts to historical and cultural resources. There would be no noticeable effect on socioeconomic conditions in the region. Therefore, no changes or different types of nonradiological environmental impacts are expected as a result of the proposed action. Accordingly, the NRC concludes that there are no significant environmental impacts associated with the proposed action.

The details of the NRC staff's safety evaluation will be provided with the license amendment that will be issued to the licensee approving the E-Plan changes.

Environmental Impacts of the Alternatives to the Proposed Action

As an alternative to the proposed action, the staff considered denial of the proposed action (*i.e.*, the "no-action" alternative). Denial of the application would result in no change in current environmental impacts. The environmental impacts of the proposed action and the alternative action are similar.

Alternative Use of Resources

The action does not involve the use of any different resources than those previously considered in the Final Environmental Statement for the RBS, dated January 1985.

Agencies and Persons Consulted

In accordance with its stated policy, on September 21, 2010, the NRC staff consulted with the Louisiana State official, Ms. Ji Wiley, of the Louisiana Emergency and Radiological Services Division, Louisiana Department of Environmental Quality, regarding the environmental impact of the proposed action. The State official had no comments.

#### **Finding of No Significant Impact**

On the basis of the environmental assessment, the NRC concludes that the proposed action will not have a significant effect on the quality of the human environment. Accordingly, the NRC has determined not to prepare an environmental impact statement for the proposed action.

For further details with respect to the proposed action, see the licensee's letter dated January 28, 2010. Documents may be examined, and/or copied for a fee, at the NRC's Public Document Room (PDR), located at One White Flint North, Public File Area O1 F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible electronically from the ADAMS Public Electronic Reading Room on the Internet at the NRC Web site, http://www.nrc.gov/reading-rm/ adams.html. Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS should contact the NRC PDR Reference staff by telephone at 1-800-397-4209 or 301-415-4737, or send an e-mail to pdr.resource@nrc.gov.

Dated at Rockville, Maryland this 28th day of September 2010.

For the Nuclear Regulatory Commission.

#### Alan B. Wang,

Project Manager, Plant Licensing Branch IV, Division of Operating Reactor Licensing, Office of Nuclear Reactor Regulation.

[FR Doc. 2010–24808 Filed 10–1–10; 8:45 am]

BILLING CODE 7590-01-P

### NUCLEAR REGULATORY COMMISSION

[Docket No. 50-020; NRC-2010-0313]

Massachusetts Institute of Technology: Massachusetts Institute of Technology Research Reactor Environmental Assessment and Finding of No Significant Impact

The U.S. Nuclear Regulatory Commission (NRC, the Commission) is considering issuance of a renewed Facility Operating License No. R-37, to be held by the Massachusetts Institute of Technology (MIT, the licensee), which would authorize continued operation of the Massachusetts Institute of Technology Research Reactor (MITR-II, the facility), located in Cambridge, Middlesex County, Massachusetts. Therefore, as required by Title 10 of the Code of Federal Regulations (10 CFR) 51.21, the NRC is issuing this Environmental Assessment (EA) and Finding of No Significant Impact.

#### **Environmental Assessment**

Identification of the Proposed Action

The proposed action would renew Facility Operating License No. R-37 for a period of twenty years from the date of issuance of the renewed license and increase the maximum licensed power level from 5 megawatts thermal (MW(t)) to 6 MW(t). The proposed action is in accordance with the licensee's application dated July 8, 1999, as supplemented by letters dated February 10 and May 8, 2000, January 29, 2004, July 5 and October 11, 2006, January 26, 2007, February 22, May 29, August 15, August 21, August 26, October 6, October 7 and December 1, 2008, May 26, August 27, October 5, October 9 and November 19, 2009, and March 30, August 6 and August 26, 2010. In accordance with 10 CFR 2.109, the existing license remains in effect until the NRC takes final action on the renewal application.

#### Need for the Proposed Action

The proposed action is needed to allow the continued operation of the MITR–II to routinely provide teaching, research, and services to numerous institutions for a period of twenty years. The proposed action is also needed to enhance the facility's experiment capabilities by increasing the maximum neutron flux in the experiment facilities.

Environmental Impacts of the Proposed Action

The NRC staff has completed its safety evaluation of the proposed action to issue a renewed Facility Operating License No. R–37 to allow continued operation of the MITR for a period of twenty years at an increased power level of 6 MW(t) and concludes there is reasonable assurance that the MITR–II will continue to operate safely for the additional period of time at the increased licensed power level. The details of the NRC staff's safety evaluation will be provided with the renewed license that will be issued as part of the letter to the licensee approving the license renewal application. This document contains the environmental assessment of the proposed action.

The MITR—II is located on the MIT campus and is a part of the MIT Nuclear Reactor Laboratory. The reactor is housed in a dedicated building constructed primarily of reinforced concrete and steel which serves as a containment. The reactor site comprises the reactor building and a small area immediately surrounding it, bounded by a chain-link fence, and a portion of an attached multipurpose academic

building. Adjacent to the site are an industrial building to the north, a parking lot and warehouse building to the east, a warehouse building to the south, and academic and dormitory buildings to the west. According to the licensee, the nearest point of normal public occupancy is on Albany Street, approximately 21 meters (68 feet) northwest of the reactor building, the nearest dormitories are located approximately 100 meters (330 feet) west of the reactor, and the nearest non-MIT residence is approximately 250 meters (820 feet) from the reactor building.

The MITR–II is a tank-type, light-water-cooled and heavy-water-moderated research reactor that will be licensed to operate at a maximum steady-state power level of 6 MW(t). The core is located at the bottom of an aluminum tank surrounded by a heavy water reflector tank and a concrete biological shield. The reactor is fueled with plate-type, aluminum-clad fuel arranged in a compact core. A detailed description of the reactor can be found in the MITR–II Safety Analysis Report (SAR).

There have been no major modifications to the Facility Operating License since Amendment No. 10, dated July 23, 1975, which approved operation of a modified reactor core at a maximum power level of 5 MW(t). In connection with Amendment No. 10, the NRC staff evaluated the potential for environmental impacts associated with operation of the MITR–II. Based on that evaluation, the NRC staff concluded that there would be no significant environmental impact associated with licensing the MITR–II to operate at a maximum power level of 5 MW(t).

The licensee requested a change in the facility operating conditions as part of the renewal request. Specifically, the licensee requested an increase in the licensed maximum steady-state power level. This change should not affect the types of effluents released off site. There may be an increase in the quantity of gaseous effluents released offsite due to the increase in maximum power level. As discussed in this EA, off site concentrations of airborne radioactive material and potential radiation doses should continue to be a small fraction of the limits established in 10 CFR Part 20. The licensee has systems in place for controlling the release of radiological effluents and implements a radiation protection program to monitor personnel exposures and releases of radioactive effluents. As discussed in the NRC staff's safety evaluation, the systems and radiation protection program are appropriate for the types

and quantities of effluents expected to be generated by continued operation of the reactor at the increased power level. Accordingly, there should be no significant increase in routine occupational or public radiation exposure as a result of license renewal or the increase in maximum power level. As discussed in the NRC staff's safety evaluation, the proposed action will not significantly increase the probability of accidents. The proposed action may increase the consequences of accidents. Specifically, the increase in maximum steady-state power level may increase the fission product source term and potential occupational and public accident doses for the maximum hypothetical accident. As discussed in the NRC staff's safety evaluation, the worst case fission product source term will not result in occupational doses or doses to members of the general public in excess of the limits specified by 10 CFR part 20. Therefore, the proposed action should not significantly change the environmental impact of facility operation. The NRC staff evaluated information contained in the licensee's application and data reported to the NRC by the licensee for the last five years of operation to determine the projected radiological impact of the facility on the environment during the period of the renewed license at the increased power level. The NRC staff found that releases of radioactive material and personnel exposures were all well within applicable regulatory limits. Based on this evaluation, the NRC staff concluded that continued operation of the reactor and the increase in the licensed maximum steady-state power level should not have a significant environmental impact.

#### I. Radiological Impact

Environmental Effects of Reactor Operations

Gaseous radioactive effluents are discharged by the facility exhaust system via a stack adjacent to the reactor building, at a volumetric flow rate of approximately 3.5 cubic meters per second (7,500 cubic feet per second). The only significant nuclide found in the gaseous effluent stream is Argon-41. The licensee performs continuous measurements of Argon-41 at the point of release. Argon-41 releases reported in the licensee's annual reports average approximately 1445 Curies (Ci) for a typical year. According to the licensee's annual reports, these releases resulted in an annual average effluent concentration of 0.386E-8 microCuries per milliliter (mCi/ml). The NRC staff performed check calculations of Argon41 releases and found the licensee's calculations to be reasonable. The calculated value is based on a dilution factor of 3,000 for gaseous effluents released from the facility exhaust stack. The licensee's application for license renewal contains a more realistic, and still conservative, dilution factor of 50,000. Based on this dilution factor, the annual average effluent concentration of Argon-41 would be  $0.023E-8 \mp Ci/ml$ . This concentration is less than three percent of the air effluent concentration limit of 1E–8  $\mp$ Ci/ml set by 10 CFR part 20, appendix B, Table 2. The potential annual radiation dose to a member of the general public resulting from this concentration is approximately 0.012 milliSieverts (mSv) (1.2 millirems (mrem)). As discussed later in this EA, the licensee's off-site dose measurements show a potential annual radiation dose from gaseous effluents of less than 0.01 mSv (1 mrem). These potential radiation doses demonstrate compliance with the dose limit of 1 mSv (100 mrem) set by 10 CFR 20.1301, and the air emissions dose constraint of 0.1 mSv (10 mrem) specified in 10 CFR 20.1101(d). The increase in maximum steady-state power level may increase the production of Argon-41 by 20 percent. Calculations by the licensee predict a maximum potential annual radiation dose to a member of the public of less than 0.02 mSv (2 mrem) given a 20 percent increase in Argon-41 production. The NRC staff performed check calculations of the maximum potential dose and found the licensee's calculations to be reasonable. The calculated potential dose is a small fraction of the regulatory limits discussed above. Because the licensee performs continuous monitoring of all airborne releases, the effluent concentrations at the increased power level will be measured to ensure that releases remain below the regulatory limits and as low as is reasonably achievable (ALARA).

Liquid wastes are generated at the MITR-II primarily as a result of sampling of the coolant, decontamination activities, and routine cleaning of the facility. Liquid wastes are stored in two above-ground tanks located in a dedicated structure equipped with leak detection and leak containment capabilities. The licensee disposes of liquid radioactive wastes primarily by discharge to the sanitary sewer. Liquid wastes may also be disposed of by use of ion exchangers, decay in storage, solidification, or transfer to an appropriate waste management facility. Discharge of liquid waste to the sanitary sewer requires the

approval of the Reactor Radiation Protection Office (RRPO) to ensure that discharges meet the requirements of 10 CFR 2.2003. Prior to discharge, a waste sample is analyzed for gross alpha-beta, tritium, and isotopic content to ensure the concentrations of radionuclides in the liquid meet the limits in 10 CFR Part 20, Appendix B, Table 3 for releases to sewers. A sewer discharge pump is located within the restricted area for the discharge of liquid waste to the sewer system. The discharge path is from the liquid waste storage tanks into a filtration system, through a radiation monitor for continuous monitoring, and then to the sewer. Discharges reported in the licensee's annual reports indicate an annual average release of 0.08 milliCuries (mCi) of radionuclides other than tritium. Reported annual releases have not exceeded 0.21 mCi. This demonstrates compliance with the annual release limit of 1 Ci specified in 10 CFR 20.2003(a)(4) for radionuclides other than tritium. These radionuclides were discharged at an annual average concentration of 0.54E-8 ∓Ci/ml, with no monthly average concentration exceeding 9.3E-8 <del>+</del>Ci/ml. As mentioned above, the licensee performs appropriate sampling to ensure releases of liquid mixtures of radionuclides meet the release criteria in 10 CFR 20.2003. Tritium discharges reported in the licensee's annual reports indicate an annual average release of 240 milliCuries (mCi) at an average concentration of 1.91E-5 ∓Ci/ml. The maximum monthly concentration released during the past 5 years was  $2.19E-4 \mp Ci/ml$ . These releases demonstrate compliance with the annual limit of 5 Ci specified in 10 CFR 20.2003(a)(4) and the monthly average concentration limit of 1.0E-2  $\mp$ Ci/ml for disposal of tritium by releases to sewers specified in 10 CFR 20, Appendix B, Table 3. Due to the nature of the liquid waste sources, quantities of liquid wastes should not increase significantly as a result of the increase in maximum steady-state power level. Because the licensee samples all liquid wastes prior to discharge and continuously monitors the wastes during discharge, the licensee's liquid waste discharge program is adequate to ensure that all releases will remain within the applicable regulatory limits.

The licensee classifies solid low-level radioactive wastes generated at the MITR-II as either wet or dry waste. Wet waste includes filters and ion exchange resins. Dry waste includes ventilation filters and contaminated materials such as paper, cloth, metals, and other items used for routine facility operations.

Solid waste may also include reactor components and experiment materials. Solid waste management is divided into four processes: Collection, pretreatment, solidification, and packing. According to the licensee, volume reduction methodologies are applied to all processes and solid wastes are stored onsite for decay. After solid waste is processed, it is sent to a designated waste facility in accordance with all applicable regulations. Solid radioactive releases reported in the licensee's annual reports for the last 5 years totaled 1127 mCi.

The reactor fuel and heavy-water are supplied by the Department of Energy (DOE). The DOE is responsible for disposing of the spent fuel and the heavy-water. To comply with the Nuclear Waste Policy Act of 1982, MIT has entered into a contract with DOE that provides that DOE retain title to the fuel utilized at the MITR-II and that DOE is obligated to take the fuel from the site for final disposition. The licensee prepares the spent fuel for shipment in accordance with the applicable regulations in 10 CFR parts 71 and 73, and U.S. Department of Transportation regulations. Heavy-water is likewise treated and stored in the facility until DOE transfers it to a DOE storage facility or to a processing facility.

Personnel exposures at the facility are well within the limits set by 10 CFR 20.1201, and ALARA. Doses to personnel are monitored under a program that meets the requirements of 10 ČFR 20.1501. The RRPÔ records and tracks all personnel radiation exposures. Data reported in the licensee's annual reports indicates that most personnel receive an annual dose of less than 1 mSv (100 mrem), with many of the personnel doses being below the detectable level. Data reported in the licensee's annual reports indicates that the maximum personnel dose is typically less than 7.5 mSv (750 mrem) per year, and no personnel have received a dose greater than half the occupational limit of 50 mSv (5,000 mrem) specified in 10 CFR 20.1201. The licensee maintains air sampling, area radiation monitoring, and bioassay programs to further monitor potential radiation hazards and exposures to personnel. The licensee does not expect the increase in reactor power level to cause a proportional increase in personnel doses. However, even with a 20 percent increase, personnel doses will remain well below the regulatory limit and the licensee's radiation protection program should continue to keep personnel doses ALARA.

The licensee conducts an environmental monitoring program to record and track the potential radiological impact of MITR-II operation on the surrounding environment. The RRPO administers the program and maintains the appropriate records in accordance with 10 CFR 20.2103. The program includes monthly exposure measurements at locations on the restricted area boundary and control locations. The program also includes quarterly exposure measurements and continuous monitoring using Geiger-Mueller tube detectors at five locations approximately 0.40 kilometers (0.25 miles) from the site boundary. The measurements are representative of potential public radiation doses from the release of gaseous effluents from the facility. Over the past five years, the environmental monitoring program indicated that radiation exposures at the monitoring locations were less than 0.01 mSv (1 mrem) per year. Based on the NRC staff's review of the past five years of data, the NRC staff concludes that the potential radiological impact of operation of the MITR-II on the surrounding environment is a small fraction of the regulatory limits. Any changes in radiological impact due to the increase in reactor power are expected to be minimal, and the potential radiological impact will remain a small fraction of the regulatory limits.

Environmental Effects of Accidents

Accident scenarios are discussed in Chapter 13 of the MITR-II SAR. The maximum hypothetical accident is the release of the fission products contained in four fuel plates to the reactor coolant, the containment building, and ultimately the uncontrolled environment. The licensee conservatively calculated doses to facility personnel and the maximum potential dose to a member of the public. NRC staff performed independent calculations to verify that the doses represent conservative estimates for the MHA. As discussed in the NRC staff's safety evaluation, the worst case fission product source term will not result in occupational doses or doses to members of the general public in excess of the limits specified by 10 CFR part 20.

#### II. Non-Radiological Impacts

The MITR-II core is cooled by a light water primary system consisting of the reactor tank, a heat removal system, and a coolant cleanup system. Cooling occurs by forced or natural convection, with the heated coolant rising out of the core and into the bulk tank water. The primary system transfers heat to the secondary system via heat exchangers. The secondary system also contains heat exchangers to remove heat from other reactor systems at the MITR–II. The secondary system coolant is continuously monitored for radioactivity using redundant radiation detectors, and the coolant is sampled for radioactivity daily during reactor operation.

Losses of secondary coolant due to evaporation and system discharge to the sewer (blowdown) are replaced using water from the local city water supply. According to the licensee, daily secondary coolant losses are approximately 7,000 gallons due to system blowdown and an average of 30,000 gallons due to evaporation during reactor operation. This is a small percentage of the approximate 2.7 million gallons used campus-wide by MIT per day. The increase in licensed power level may proportionally increase the facility water usage, but the total facility water usage will remain a small percentage of the campus-wide water usage. Given that the proposed action does not involve a significant increase in water usage, the NRC staff concludes that the proposed action will not have a significant impact on the local water supply.

Heat is transferred from the secondary system to the atmosphere via cooling towers rated at 10 MW(t) total heat dissipation capacity. During reactor operation at 6 MW(t), the heat dissipation would be comparable to that at local factories and other MIT laboratories. Neither extensive heat drift nor fog will occur at this heat dissipation rate. A small amount of heat may be discharged to the sewer during blowdown of the cooling towers. However, the small amount of heat dissipated in this manner is insufficient to raise average water temperatures in the surrounding environment. Based on the above considerations, the NRC staff concludes that the proposed action will not have a significant thermal impact on the surrounding environment.

National Environmental Policy Act (NEPA) Considerations

NRC has responsibilities that are derived from NEPA and from other environmental laws, which include the Endangered Species Act (ESA), Coastal Zone Management Act (CZMA), National Historic Preservation Act (NHPA), Fish and Wildlife Coordination Act (FWCA), and Executive Order 12898 Environmental Justice. The following presents a brief discussion of impacts associated with these laws and other requirements.

#### I. Endangered Species Act

Federally- or State-protected species have not been found in the vicinity of the MITR–II. Effluents and emissions from the MITR–II have not had an impact on critical habitat.

#### II. Coastal Zone Management Act

The MITR–II is not located within any managed coastal zones, nor would the MITR–II effluents and emissions impact any managed coastal zones.

#### III. National Historic Preservation Act

The NHPA requires Federal agencies to consider the effects of their undertakings on historic properties. The National Register of Historic Places (NRHP) lists two historical sites located near the MIT campus, the North Avenue Congregational Church and the New **England Confectionery Company** Factory. According to the NRHP, the locations of these sites are approximately 100 meters (300 feet) from the MITR-II. Given the distance to these sites and that the proposed action does not involve any demolition, rehabilitation, construction, changes in land use, or significant changes in effluents from the facility, continued operation of the MITR-II will not impact any historic sites. Based on this information, the NRC finds that the potential impacts of license renewal would have no adverse effect on historic properties. The NRC staff informed the State Historic Preservation Officer (SHPO) of this finding, and the SHPO concurred with the NRC finding.

#### IV. Fish and Wildlife Coordination Act

The licensee is not planning any water resource development projects, including any of the modifications relating to impounding a body of water, damming, diverting a stream or river, deepening a channel, irrigation, or altering a body of water for navigation or drainage.

#### V. Executive Order 12898— Environmental Justice

The environmental justice impact analysis evaluates the potential for disproportionately high and adverse human health and environmental effects on minority and low-income populations that could result from the relicensing and the continued operation of the MITR–II. Such effects may include human health, biological, cultural, economic, or social impacts. Minority and low-income populations are subsets of the general public residing in the vicinity of the research reactor, and all are exposed to the same health and environmental effects

generated from activities at the MITR–II.

Minority Populations in the Vicinity of the MITR–II—According to 2000 census data, 18.1 percent of the population (approximately 6,472,000 individuals) residing within a 50-mile radius of the MITR-II identified themselves as minority individuals. The largest minority group was Hispanic or Latino (approximately 438,000 persons or 6.8 percent), followed by Black or African American (approximately 397,000 persons or about 6.1 percent). According to the U.S. Census Bureau, about 16.4 percent of the Middlesex County population identified themselves as minorities, with persons of Asian origin comprising the largest minority group (6.9 percent). According to census data 3-year average estimates for 2006–2008, the minority population of Middlesex County, as a percent of total population, had increased to 20.1 percent.

Low-Income Populations in the Vicinity of the MITR–II—According to 2000 census data, approximately 106,300 families and 575,000 individuals (6.6 and 8.9 percent, respectively) residing within a 50-mile radius of the MITR–II were identified as living below the Federal poverty threshold in 1999. The 1999 Federal poverty threshold was \$17,029 for a family of four.

According to Census data in the 2006–2008 American Community Survey 3-Year Estimates, the median household income for Massachusetts was \$64,684, while 10.0 percent of the state population and 7.1 percent of families were determined to be living below the Federal poverty threshold. Middlesex County had a higher median household income average (\$77,373) and lower percentages (7.4 percent) of individuals and families (4.9 percent) living below the poverty level, respectively.

Impact Analysis—Potential impacts to minority and low-income populations would mostly consist of radiological effects, however radiation doses from continued operations associated with the license renewal are expected to continue at near current levels, and would be well below regulatory limits.

Based on this information and the analysis of human health and environmental impacts presented in this EA, the proposed relicensing would not have disproportionately high and adverse human health and environmental effects on minority and low-income populations residing in the vicinity of the MITR–II.

Environmental Impacts of the Alternatives to the Proposed Action

As an alternative to license renewal, the NRC staff considered denial of the proposed action. If the Commission denied the application for license renewal, facility operations would end and decommissioning would be required. The NRC staff notes that, even with a renewed license, the MITR-II will eventually be decommissioning, at which time the environmental effects of decommissioning will occur. Decommissioning would be conducted in accordance with an NRC-approved decommissioning plan which would require a separate environmental review under 10 CFR 51.21. Cessation of reactor operations would reduce or eliminate radioactive effluents and emissions. However, as previously discussed in this environmental assessment, radioactive effluents and emissions from reactor operations constitute a small fraction of the applicable regulatory limits. Therefore, the environmental impacts of license renewal and the denial of the request for license renewal would be similar. In addition, denying the request for license renewal would eliminate the benefits of teaching, research, and services provided by the MITR-II. If the Commission denied the request for an increase in the licensed maximum steady-state power level, effluent releases and emissions would remain at the current levels. As discussed in this EA, the increase in power level should not result in a significant increase in effluent releases, and all releases will remain a small fraction of the applicable regulatory limits. Therefore, the environmental impacts of the increase in the licensed maximum steady-state power level and denial of the request are similar.

#### Alternative Use of Resources

The proposed action does not involve the use of any different resources or significant quantities of resources beyond those previously considered in the issuance of Amendment No. 10 to Facility Operating License No. R–37 for the MITR–II dated July 23, 1975, which approved operation of a modified reactor core at a maximum power level of 5 MW(t).

#### Agencies and Persons Consulted

In accordance with the agency's stated policy, on July 22, 2010, the NRC staff consulted with the State Liaison Officer regarding the environmental impact of the proposed action. The consultation involved a thorough explanation of the environmental review, the details of this environmental assessment, and the NRC

staff's findings. The State official stated the he understood the NRC review and had no comments regarding the proposed action. The NRC staff also informed the SHPO of the potential impact of the proposed action on historic resources. As previously mentioned, the SHPO concurred with the NRC determination that license renewal and the increase in licensed power level would have no adverse effect on historic properties in the vicinity of the MITR–II.

Finding of No Significant Impact

On the basis of the environmental assessment, the NRC concludes that the proposed action will not have a significant effect on the quality of the human environment. Accordingly, the NRC has determined not to prepare an environmental impact statement for the proposed action.

For further details with respect to the proposed action, see the licensee's letter dated July 8, 1999 (ML080950435), as supplemented by letters dated February 10 (ML003683419, ML052900533, ML053190234, and ML053190384), and May 8, 2000 (ML081000625), January 29, 2004 (ML081000626), July 5 (ML061930319) and October 11, 2006 (ML063340716), January 26, 2007 (ML070320555), February 22 (ML081000627), May 29 (ML081560246), August 15 (ML082350069), August 21 (ML082401050), August 26 (ML082470562), October 6 (ML082900488), October 7 (ML082910241), and December 1, 2008 (ML083430006), May 26 (ML091540202), August 27 (ML092450427), October 5 (ML092930273), October 9 (ML092930278), and November 19, 2009 (ML093290155), and March 30 (ML100970368), August 6 (ML102310032), and August 26, 2010 (ML102440122). Documents may be examined, and/or copied for a fee, at the NRC's Public Document Room (PDR), located at One White Flint North, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible electronically from the Agencywide Documents Access and Management System (ADAMS) Public Electronic Reading Room on the NRC Web site http://www.nrc.gov/readingrm/adams.html. Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS should contact the NRC PDR Reference staff at 1-800-397-4209, or 301-415-4737, or send an e-mail to pdr@nrc.gov.

Dated at Rockville, Maryland, this 27th day of September 2010.

For the Nuclear Regulatory Commission. **Jessie Quichocho**,

Chief, Research and Test Reactors Licensing Branch, Division of Policy and Rulemaking, Office of Nuclear Reactor Regulation.

[FR Doc. 2010–24809 Filed 10–1–10; 8:45 am]

BILLING CODE 7590-01-P

### NUCLEAR REGULATORY COMMISSION

[Docket No. 50-397; NRC-2010-0029]

#### Energy Northwest; Columbia Generating Station Environmental Assessment and Finding of No Significant Impact

The U.S. Nuclear Regulatory Commission (NRC) is considering changes to the Emergency Plan, pursuant to 10 CFR 50.54, "Conditions of licenses," paragraph (q), for Facility Operating License No. NPF-21, issued to Energy Northwest (EN, the licensee) for operation of the Columbia Generating Station (CGS), located in Benton County, Washington. Therefore, as required by 10 CFR 51.21, the NRC performed an environmental assessment. Based on the results of the environmental assessment, the NRC is issuing a finding of no significant impact.

#### **Environmental Assessment**

Identification of the Proposed Action

The proposed action would revise the Emergency Plan to support U.S. Department of Energy (DOE) nonintrusive surveillance and characterization activities within the 618–11 High-Level Waste Burial Ground (618-11). The 618-11 site is an 8-acre parcel located on DOE property that is directly adjacent to land leased by EN from the DOE, and is located wholly within CGS's Exclusion Area Boundary. The site was used from 1962 through 1967 and contains low- to high-activity waste, fission products, some plutonium-contaminated waste, and toxicological waste. The DOE intends to remediate 618–11 and other waste burial ground locations on the Hanford Site. The licensee proposes to modify the Emergency Plan to address interagency coordination, cooperation, and responsibilities for potential 618-11 site events and to add specific emergency action level criteria and actions associated with any potential toxic, flammable, or radioactive material release from an abnormal event at the 618-11 site that could pose a threat to the health and safety of licensee staff or visitors within the CGS exclusion area.

The proposed action is in accordance with the licensee's application dated April 28, 2010 (Agencywide Documents Access and Management System (ADAMS) Accession No.
ML101250340), as supplemented by letter dated August 9, 2010 (ADAMS Accession No. ML102300537).

The Need for the Proposed Action

The 618-11 site is an 8-acre parcel located on DOE property that is directly adjacent to land leased by EN from the DOE, and is located wholly within CGS's Exclusion Area Boundary. The site was used from 1962 through 1967 and contains low- to high-activity waste, fission products, some plutoniumcontaminated waste, and toxicological waste. The Hanford Federal Facility Agreement and Consent Order between the DOE, the U.S. Environmental Protection Agency, and the State of Washington, is the legal document that binds DOE to milestones to remediate the 618-11 site, among other waste burial ground locations, on the Hanford Site. The non-intrusive surveillance and characterization activities will obtain data and information necessary for planning future intrusive activities and remediation strategies. The licensee proposes to modify the Emergency Plan to address inter-agency coordination, cooperation, and responsibilities for potential 618-11 site events during the DOE's non-intrusive surveillance and characterization activities and to add specific emergency action level criteria and actions associated with any potential toxic, flammable, or radioactive material release from an abnormal event at the 618-11 site that could pose a threat to the health and safety of licensee staff or visitors within the CGS exclusion area.

The NRC has completed its evaluation of the proposed action and concludes that the proposed changes to the CGS Emergency Plan meet the standards of 10 CFR 50.47(b) and the requirements of Appendix E to 10 CFR part 50 and provide reasonable assurance that the licensee will take adequate protective measures in a radiological emergency. The NRC staff's safety evaluation will be provided with the license amendment that will be issued to the licensee approving the changes to the Emergency Plan.

In its application, the licensee also requested changes to the CGS Final Safety Analysis Report (FSAR). The NRC staff's determination regarding the proposed changes to the FSAR will be provided by separate correspondence.

Environmental Impacts of the Proposed Action

The NRC has completed its environmental assessment of the proposed Emergency Plan changes to CGS. The staff has concluded that the changes would not significantly affect plant safety and would not have a significant adverse effect on the probability of an accident occurring. The proposed action would not result in an increased radiological hazard beyond those previously analyzed in the Final Safety Analysis Report. There will be no change to radioactive effluents that affect radiation exposures to plant workers and members of the public. No changes will be made to plant buildings or the site property. Therefore, no changes or different types of radiological impacts are expected as a result of the proposed changes.

The proposed action does not result in changes to land use or water use, or result in changes to the quality or quantity of non-radiological effluents. No changes to the National Pollution Discharge Elimination System permit are needed. No effects on the aquatic or terrestrial habitat in the vicinity or the plant, or to threatened, endangered, or protected species under the Endangered Species Act, or impacts to essential fish habitat covered by the Magnuson-Stevens Act are expected. There are no impacts to the air or ambient air quality. There are no impacts to historical and cultural resources. There would be no noticeable effect on socioeconomic conditions in the region. Therefore, no changes or different types of nonradiological environmental impacts are expected as a result of the proposed action. Accordingly, the NRC concludes that there are no significant environmental impacts associated with the proposed action.

Environmental Impacts of the Alternatives to the Proposed Action

As an alternative to the proposed action, the staff considered denial of the proposed action (i.e., the "no-action" alternative). Denial of the application would result in no change in current environmental impacts. The environmental impacts of the proposed action and the alternative action are similar.

Alternative Use of Resources

The action does not involve the use of any different resources than those previously considered in the Final Environmental Statement for CGS dated December 1981. Agencies and Persons Consulted

In accordance with its stated policy, on September 21, 2010, the NRC staff consulted with the Washington State official, Mr. R. Cowley of the Office of Radiation Protection, regarding the environmental impact of the proposed action. The State official had no comments.

#### Finding of No Significant Impact

On the basis of the environmental assessment, the NRC concludes that the proposed action will not have a significant effect on the quality of the human environment. Accordingly, the NRC has determined not to prepare an environmental impact statement for the proposed action.

For further details with respect to the proposed action, see the licensee's letter dated April 28, 2010, as supplemented by letter dated August 9, 2010. Documents may be examined, and/or copied for a fee, at the NRC's Public Document Room (PDR), located at One White Flint North, Public File Area O1 F21, 11555 Rockville Pike (first floor), Rockville, Maryland. Publicly available records will be accessible electronically from the ADAMS Public Electronic Reading Room on the Internet at the NRC Web site, http://www.nrc.gov/ reading-rm/adams.html. Persons who do not have access to ADAMS or who encounter problems in accessing the documents located in ADAMS should contact the NRC PDR Reference staff by telephone at 1-800-397-4209 or 301-415–4737, or send an e-mail to pdr.resource@nrc.gov.

Dated at Rockville, Maryland, this 28th day of September 2010.

For The Nuclear Regulatory Commission. Carl F. Lyon,

Project Manager, Plant Licensing Branch IV, Division of Operating Reactor Licensing, Office of Nuclear Reactor Regulation.

[FR Doc. 2010-24811 Filed 10-1-10; 8:45 am]

BILLING CODE 7590-01-P

### NUCLEAR REGULATORY COMMISSION

[NRC-2010-0314; Docket Nos. 50-313 and 50-368]

Exemption; Entergy Operations, Inc.; Arkansas Nuclear One, Units 1 and 2

#### 1.0 Background

Entergy Operations, Inc. (Entergy, the licensee) is the holder of Facility Operating License Nos. DPR–51 and NPF–6, which authorize operation of the Arkansas Nuclear One, Units 1 and 2 (ANO–1 and ANO–2), respectively. The licenses provide, among other

things, that the facility is subject to all rules, regulations, and orders of the U.S. Nuclear Regulatory Commission (NRC, the Commission) now or hereafter in effect.

The facility consists of two pressurized-water reactors located in Pope County, Arkansas.

#### 2.0 Request/Action

Title 10 of the Code of Federal Regulations, Part 50, Section 50.36a, paragraph (a)(2) (10 CFR 50.36a(a)(2)) requires each licensee to "submit a report to the Commission annually that specifies the quantity of each of the principal radionuclides released to unrestricted areas in liquid and in gaseous effluents during the previous 12 months, including any other information as may be required by the Commission to estimate maximum potential annual radiation doses to the public resulting from effluent releases. The report must be submitted as specified in § 50.4, and the time between submission of the reports must be no longer than 12 months. If quantities of radioactive materials released during the reporting period are significantly above design objectives, the report must cover this specifically." The licensee submitted its Radioactive Effluent Release Report for the Calendar Year 2009 on February 25, 2010.

The ANO–1 Technical Specification (TS) 5.5.1 and ANO-2 TS 6.5.1 require the Radioactive Effluent Release Report, covering the operation of each unit in the previous year, to be submitted prior to May 1 of each year in accordance with 10 CFR 50.36a. Originally, both ANO-1 and ANO-2 TSs required this report be submitted either prior to March 1 or within 60 days of January 1 of each year. Later, during the ANO-1 TS conversion, the submittal date for ANO-1 became May 1. The licensee continued to send one submittal for the site as allowed by the TSs. The licensee also continued to submit the report in accordance with the March 1 deadline. Entergy proposed to reorganize Section 6 of the ANO-2 TS in 2003. The proposed changes were to make the ANO-2 requirements consistent with the ANO-1 TS requirements. These changes were subsequently approved by the NRC and the submittal date became "prior to May 1" of each year for both units. However, while the submittal dates were consistent again between the ANO-1 and ANO-2 TSs, the 12-month interval between submittals was not addressed. The actual submittal date remained at the end of February of each year because, the TS changes notwithstanding, the time between report submittals cannot be more than

12 months. As a result, a period of only 2 months is available to prepare and submit the report. With ANO continuing to send one submittal for the site, this presents an undue administrative burden on ANO personnel due to the compressed schedule for data collection, report preparation, and internal review following the closure of the reporting period.

Therefore, the licensee has requested a one-time exemption from the 12month reporting criteria specified in 10 CFR 50.36a(a)(2) for its submittal of the calendar year 2010 Radioactive Effluent Release Report. The proposed exemption allows an additional 2 months for these activities to match the current submittal date stated in the TSs. In summary, the exemption does not affect the information required to be submitted or the time period the report covers, only the date the report is submitted. Subsequent submittals, pursuant to 10 CFR 50.36a(a)(2), will follow the 12-month reporting criteria.

The application for exemption, dated March 18, 2010, is publicly available in the Agencywide Documents Access and Management System (ADAMS) under ADAMS Accession No. ML100780094).

#### 3.0 Discussion

Pursuant to 10 CFR 50.12, the Commission may, upon application by any interested person or upon its own initiative, grant exemptions from the requirements of 10 CFR Part 50, when (1) the exemptions are authorized by law, will not present an undue risk to public health or safety, and are consistent with the common defense and security; and (2) when special circumstances are present. These circumstances include the special circumstances that would provide only temporary relief from the applicable regulation and the licensee or applicant has made good faith efforts to comply with the regulation.

#### Authorized by Law

This exemption would allow the licensee to submit the 2010 Radioactive Effluent Release Report prior to May 1, 2011, which would exceed the report submittal requirement of no longer than 12 months specified in 10 CFR 50.36a(a)(2). As stated above, 10 CFR 50.12 allows the NRC to grant exemptions from the requirements of 10 CFR Part 50. The NRC staff has determined that granting of the licensee's proposed exemption will not result in a violation of the Atomic Energy Act of 1954, as amended, or the Commission's regulations. Therefore, the exemption is authorized by law.

No Undue Risk to Public Health and Safety

The underlying purpose of the reporting requirements specified in 10 CFR 50.36a(a)(2) is to report to the Commission annually the quantity of each of the principal radionuclides released to unrestricted areas in liquid and in gaseous effluents during the previous 12 months, including any other information as may be required by the Commission to estimate maximum potential annual radiation doses to the public resulting from effluent releases. This exemption does not affect the information required to be submitted or the time period the report covers, only the date the report is submitted. Based on the above, no new accident precursors are created by extending the submittal date for the 2010 Radioactive Effluent Release Report from prior to March 1 to prior to May 1, 2011. Thus, the probability of postulated accidents is not increased. Also, based on the above, the consequences of postulated accidents are not increased. Therefore, there is no undue risk to public health and safety.

Consistent With Common Defense and Security

The proposed exemption would allow the licensee to submit the 2010 Radioactive Effluent Release Report prior to May 1, 2011, which would exceed the report submittal requirement of no longer than 12 months specified in 10 CFR 50.36a(a)(2) by 2 months. This change has no relation to security issues. Therefore, the common defense and security is not impacted by this exemption.

#### Special Circumstances

Special circumstances, in accordance with 10 CFR 50.12(a)(2)(v), are present whenever application of the regulation would provide only temporary relief from the applicable regulation and the licensee or applicant has made good faith efforts to comply with the regulation. The underlying purpose of the reporting requirement specified in 10 CFR 50.36a(a)(2) is to require each licensee to submit a report to the Commission annually that specifies the quantity of each of the principal radionuclides released to unrestricted areas in liquid and in gaseous effluents, including any other information as may be required by the Commission to estimate maximum potential annual radiation doses to the public resulting from effluent releases. The proposed exemption does not affect the information required to be submitted or the time period the report covers, only

the date the report is to be submitted. The requested exemption provides temporary relief from the regulation in that it affords a one-time extension for submitting the annual report. The proposed exemption is an appropriate means to ensure that future reports are submitted on an annual basis as required by 10 CFR 50.36a(a)(2). Therefore, since the underlying purpose of 10 CFR 50.36a(a)(2) is achieved, the special circumstances of 10 CFR 50.12(a)(2)(v) for the granting of an exemption from 10 CFR 50.36a(a)(2) exists.

#### 4.0 Conclusion

Accordingly, the Commission has determined that, pursuant to 10 CFR 50.12(a), the exemption is authorized by law, will not present an undue risk to the public health and safety, and is consistent with the common defense and security. Also, special circumstances are present. Therefore, the Commission hereby grants Entergy Operations, Inc., a one-time exemption from the requirements of 10 CFR 50.36a(a)(2), for ANO-1 and ANO-2.

The Commission has determined that granting this one-time exemption from the requirements of a regulation of 10 CFR 50.36(a)(2) involves (i) no significant hazards consideration, (ii) no significant change in the types or significant increase in the amounts of any effluents that may be released offsite, (iii) no significant increase in individual or cumulative public or occupational radiation exposure, (iv) no significant construction impact, and (v) no significant increase in the potential for or consequences from radiological accidents. In addition, the requirements from which this exemption is sought involve reporting requirements in 10 CFR 50.36a(a)(2). Accordingly, the exemption meets the eligibility criteria for categorical exclusion set forth in 10 CFR 51.22(c)(25)(i)-(vi). Therefore, in accordance with 10 CFR 51.22(b), no environmental impact statement or environmental assessment need be prepared in connection with the NRC's consideration of this exemption.

This exemption is effective upon issuance.

Dated at Rockville, Maryland this 28th day of September 2010.

For the Nuclear Regulatory Commission.

#### Robert A. Nelson,

Acting Director, Division of Operating Reactor Licensing, Office of Nuclear Reactor Regulation.

[FR Doc. 2010-24806 Filed 10-1-10; 8:45 am]

BILLING CODE 7590-01-P

### NUCLEAR REGULATORY COMMISSION

Advisory Committee on Reactor Safeguards Meeting of the ACRS Subcommittee on Future Plant Designs; Revision to the September 24, 2010, ACRS Meeting Federal Register Notice

The **Federal Register** Notice for the ACRS Subcommittee Meeting on Future Plant Designs scheduled to be held on October 21, 2010, is being revised to notify the following:

The meeting will be open to public attendance with exception of portions that may be closed to protect information that is proprietary to Westinghouse Electric Company, General Electric—Hitachi Nuclear Energy (GEH), and their contractors, pursuant to 5 U.S.C. 552b(c)(4).

The notice of this meeting was previously published in the **Federal Register** on Friday, September 24, 2010, [75 FR 58448]. All other items remain the same as previously published.

Further information regarding this meeting can be obtained by contacting Christina Antonescu, Designated Federal Official (*Telephone*: 301–415–6792, *E-mail*:

Christina.Antonescu@nrc.gov) between 7:30 a.m. and 5:15 p.m. (ET).

Dated: September 28, 2010.

#### Antonio F. Dias,

Chief, Reactor Safety Branch B, Advisory Committee on Reactor Safeguards.

[FR Doc. 2010-24791 Filed 10-1-10; 8:45 am]

BILLING CODE 7590-01-P

### NUCLEAR REGULATORY COMMISSION

[NRC-2010-0002]

#### **Sunshine Act: Notice of Meeting**

**AGENCY HOLDING THE MEETINGS:** Nuclear Regulatory Commission.

**DATE:** Weeks of October 4, 11, 18, 25, November 1, 8, 2010.

**PLACE:** Commissioners' Conference Room, 11555 Rockville Pike, Rockville, Maryland.

**STATUS:** Public and Closed.

#### Week of October 4, 2010

There are no meetings scheduled for the week of October 4, 2010.

#### Week of October 11, 2010—Tentative

Thursday, October 14, 2010

9:30 a.m.—Briefing on Alternative Risk Metrics for New Light Water Reactors, (Public Meeting) (Contact: CJ Fong, 301 415–6249). This meeting will be webcast live at the Web address—http://www.nrc.gov.

#### Week of October 18, 2010—Tentative

Monday, October 18, 2010

1:30 p.m.—NRC All Employees Meeting (Public Meeting), Marriott Bethesda North Hotel, 5701 Marinelli Road, Rockville, MD 20852.

Wednesday, October 20, 2010

9 a.m.—Briefing on Medical Issues (Public Meeting), (Contact: Michael Fuller, 301–415–0520).

This meeting will be webcast live at the Web address—http://www.nrc.gov.

#### Week of October 25, 2010—Tentative

Tuesday, October 26, 2010

9:30 a.m.—Briefing on Security Issues (Closed—Ex. 1).

#### Week of November 1, 2010—Tentative

Tuesday, November 2, 2010

9:30 a.m.—Briefing on Equal Employment Opportunity (EEO) and Small Business Programs (Public Meeting), (Contact: Barbara Williams, 301–415–7388).

This meeting will be webcast live at the Web address—http://www.nrc.gov.

#### Week of November 8, 2010—Tentative

There are no meetings scheduled for the week of November 8, 2010.

\* \* \* \* \* \*

\* The schedule for Commission meetings is subject to change on short notice. To verify the status of meetings, call (recording)—(301) 415–1292. Contact person for more information: Rochelle Bavol, (301) 415–1651.

The NRC Commission Meeting Schedule can be found on the Internet at: http://www.nrc.gov/about-nrc/policy-making/schedule.html.

\* \* \* \* \*

The NRC provides reasonable accommodation to individuals with disabilities where appropriate. If you need a reasonable accommodation to participate in these public meetings, or need this meeting notice or the transcript or other information from the public meetings in another format (e.g. braille, large print), please notify Angela Bolduc, Chief, Employee/Labor Relations and Work Life Branch, at 301-492-2230, TDD: 301-415-2100, or by e-mail at angela.bolduc@nrc.gov. mailto:dlc@nrc.gov.mailto:aks@nrc.gov Determinations on requests for reasonable accommodation will be made on a case-by-case basis.

This notice is distributed electronically to subscribers. If you no longer wish to receive it, or would like to be added to the distribution, please contact the Office of the Secretary, Washington, DC 20555 (301–415–1969), or send an e-mail to darlene.wright@nrc.gov.

Dated: September 29, 2010.

#### Rochelle C. Bavol,

Policy Coordinator, Office of the Secretary. [FR Doc. 2010–24912 Filed 9–30–10; 4:15 pm]

BILLING CODE 7590-01-P

### NUCLEAR WASTE TECHNICAL REVIEW BOARD

#### Board Meeting: Technical Lessons Gained From High-Level Nuclear Waste Disposal Efforts

Pursuant to its authority under section 5051 of Public Law 100-203, Nuclear Waste Policy Amendments Act of 1987, the U.S. Nuclear Waste Technical Review Board will meet in Dulles, Virginia, on October 26, 2010, to discuss technical aspects of the U.S. Department of Energy's (DOE) activities over the last 20 years related to managing and disposing of spent nuclear fuel and high-level radioactive waste. The Board will consider technical lessons that can be gained from those experiences that may be useful in informing future waste management and disposal efforts.

Former managers, contractors, and scientists associated with the Yucca Mountain program have been invited to discuss their experiences at the meeting. The Board also has invited representatives from affected units of governments in Nevada to provide their perspectives on technical oversight, and representatives from several countries with nuclear waste programs have been invited to discuss their own programs and their views of the U.S. experience to date.

The Board meeting will be held at the Marriott Washington Dulles Airport, 45020 Aviation Drive, Dulles, VA 20166; (tel.) 703–471–9500, (fax) 703–661–8714. A block of rooms has been reserved at the hotel for meeting attendees. To ensure receiving the meeting rate, reservations must be made by October 6, 2010. For directions to the hotel or to make reservations, go to <a href="http://www.marriott.com/hotels/travel/iadap?groupCode=nucnuca&app=resvi">http://www.marriott.com/hotels/travel/iadap?groupCode=nucnuca&app=resvi</a> or call 800–228–9290.

A detailed meeting agenda will be available on the Board's Web site at <a href="http://www.nwtrb.gov">http://www.nwtrb.gov</a> approximately one week before the meeting. The

agenda also may be obtained by telephone request at that time. The meeting will be open to the public, and opportunities for public comment will be provided.

The meeting will begin at 8:30 a.m. on Tuesday morning. Time has been set aside at the end of the day for public comments. Those wanting to speak are encouraged to sign the "Public Comment Register" at the check-in table. A time limit may have to be set on individual remarks, but written comments of any length may be submitted for the record.

Transcripts of the meeting will be available on the Board's Web site, by email, on computer disk, and on libraryloan in paper format from Davonya Barnes of the Board's staff no later than November 22, 2010.

The Board was established as an independent Federal agency to provide objective expert advice to Congress and the Secretary of Energy on technical issues and to review the technical validity of DOE activities related to implementing the Nuclear Waste Policy Act. Board members are experts in their fields and are appointed to the Board by the President from a list of candidates submitted by the National Academy of Sciences. The Board is required to report to Congress and the Secretary no fewer than two times each year. Board reports, correspondence, congressional testimony, and meeting transcripts and materials are posted on the Board's Web site: http://www.nwtrb.gov.

For information on the meeting agenda, contact Karyn Severson. For information on lodging or logistics, contact Linda Coultry; 2300 Clarendon Boulevard, Suite 1300; Arlington, VA 22201–3367; (tel) 703–235–4473; (fax) 703–235–4495.

Dated: September 28, 2010.

#### Nigel Mote,

Executive Director, U.S. Nuclear Waste Technical Review Board.

[FR Doc. 2010–24771 Filed 10–1–10; 8:45 am]

BILLING CODE 6820-AM-M

#### **SMALL BUSINESS ADMINISTRATION**

### Data Collection Available for Public Comments and Recommendations

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Small Business Administration's intentions to request approval on a new and/or currently approved information collection.

**DATES:** Submit comments on or before December 3, 2010.

ADDRESSES: Send all comments regarding whether these information collections are necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collections, to Gail Hepler, Chief 7(a) Program Branch, Office of Financial Assistance, Small Business Administration, 409 3rd Street, 8th Floor, Washington, DC 20416.

FOR FURTHER INFORMATION CONTACT: Gail Hepler, Office of Financial Assistance, 202–205–7530 gail.hepler@sba.gov; Curtis B. Rich, Management Analyst, 202–205–7030 curtis.rich@sba.gov.

#### SUPPLEMENTARY INFORMATION:

The purpose of this data collection is to monitor loan payment information on SBA loan portfolios arising from the Immediate Disaster Assistance Program. This exercise will involve monthly updates on the payments received by lenders from small businesses that have received funding through this guaranty program. The Agency looks to better manage the program's effectiveness by having lenders provide this form of periodic reporting to SBA.

Title: "Guaranteed Disaster Assistance Program—Payment Reporting."

Description of Respondents: Small Businesses that have experienced a physical or economic disaster in a federally declared disaster.

Form Number: N/A.
Annual Responses: 5,580.
Annual Burden: 467.

Small Business Administration (SBA) has established a loan program, the immediate Disaster Assistance Program, (IDAP) to assist small businesses affected by a federally declared disaster or economic disaster. The program will provide guaranteed loan through 7(a) lenders participating in IDAP to cover the short time frame between the data of the disaster damage and a small business. This requested information, which will be provided by the affected small businesses and IDAP participating lenders, will be used to determine eligibility for an IDAP loan and participation in the program.

Title: "Immediate Disaster Assistance Loan Program Application and Eligibility Data."

Description of Respondents: Small Businesses that have experienced a physical or economic disaster in a federally declared disaster.

Form Numbers: 2410, 2411, 2412. Annual Responses: 984. Annual Burden: 543.

#### Jacqueline White,

Chief, Administrative Information Branch. [FR Doc. 2010–24764 Filed 10–1–10; 8:45 am] BILLING CODE 8025–01–P

#### **SMALL BUSINESS ADMINISTRATION**

[Disaster Declaration #12335 and #12336]

#### Ohio Disaster #OH-00023

 $\textbf{AGENCY:} \ U.S. \ Small \ Business$ 

Administration. **ACTION:** Notice.

**SUMMARY:** This is a notice of an Administrative declaration of a disaster for the State of OHIO dated 09/27/2010. *Incident:* Severe Storms and

Tornadoes.

Incident Period: 09/16/2010. Effective Date: 09/27/2010. Physical Loan Application Deadline Date: 11/26/2010.

Economic Injury (EIDL) Loan Application Deadline Date: 06/27/2011.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street, SW., Suite 6050, Washington, DC 20416.

**SUPPLEMENTARY INFORMATION:** Notice is hereby given that as a result of the Administrator's disaster declaration, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Athens. Contiguous Counties:

Ohio: Hocking, Meigs, Morgan, Perry, Vinton, Washington. West Virginia: Wood.

The Interest Rates are:

	Percent
For Physical Damage:	
Homeowners With Credit Avail-	
able Elsewhere	5.000
Homeowners Without Credit	
Available Elsewhere	2.500
Businesses With Credit Available	
Elsewhere	6.000
Businesses Without Credit Avail-	
able Elsewhere	4.000
Non-Profit Organizations With	
Credit Available Elsewhere	3.625
Non-Profit Organizations Without	
Credit Available Elsewhere	3.000
For Economic Injury:	

	Percent
Businesses & Small Agricultural Cooperatives Without Credit Available Elsewhere Non-Profit Organizations Without Credit Available Elsewhere	4.000

The number assigned to this disaster for physical damage is 12335 C and for economic injury is 12336 0.

The States which received an EIDL Declaration # are Ohio and West Virginia.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

Dated: September 27, 2010.

Karen G. Mills, Administrator.

[FR Doc. 2010-24765 Filed 10-1-10; 8:45 am]

BILLING CODE 8025-01-P

#### SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #12333 and #12334]

#### South Dakota Disaster #SD-00034

**AGENCY:** U.S. Small Business Administration.

ACTION: Notice.

**SUMMARY:** This is a Notice of the Presidential declaration of a major disaster for Public Assistance Only for the State of South Dakota (FEMA–1938–DR), dated 09/23/2010.

*Incident:* Severe Storms and Flooding. *Incident Period:* 07/21/2010 through 07/30/2010.

Effective Date: 09/23/2010. Physical Loan Application Deadline Date: 11/22/2010.

Economic Injury (EIDL) Loan Application Deadline Date: 06/23/2011.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street, SW., Suite 6050, Washington, DC 20416.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the President's major disaster declaration on 09/23/2010, Private Non-Profit organizations that provide essential services of governmental nature may file disaster loan applications at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Counties: Beadle, Brule, Clay, Fall River, Hand, Jerauld, Lincoln,

Miner, Minnehaha, Sanborn, Turner, Union.

The Interest Rates are:

For Physical Damage:	
Non-Profit Organizations With	
Credit Available Elsewhere 3.6	25
Non-Profit Organizations Without	
Credit Available Elsewhere 3.0	00
For Economic Injury:	
Non-Profit Organizations Without	
Credit Available Elsewhere 3.0	00

The number assigned to this disaster for physical damage is 12333B and for economic injury is 12334B.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

#### James E. Rivera,

Associate Administrator for Disaster Assistance.

[FR Doc. 2010–24766 Filed 10–1–10; 8:45 am]

BILLING CODE 8025-01-P

### SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 29441; 813–00373]

#### Elfun Trusts, et al.; Notice of Application

September 27, 2010.

**AGENCY:** Securities and Exchange Commission ("Commission").

**ACTION:** Notice of an application under section 6(b) of the Investment Company Act of 1940 ("Act") for an exemption from sections 15(a) and 15(c) of the Act, as well as from certain disclosure requirements.

SUMMARY OF APPLICATION: Applicants request an order that would permit certain employees' securities companies to enter into and materially amend investment subadvisory agreements ("Subadvisory Agreements") with investment subadvisers ("Subadvisers") without shareholder approval, and subject to the approval of a board of trustees ("Board") all the members of which are "interested persons" within the meaning of section 2(a)(19) of the Act ("Interested Board Members"), and would grant relief from certain disclosure requirements.

APPLICANTS: Elfun Trusts, Elfun International Equity Fund, Elfun Diversified Fund, Elfun Tax-Exempt Income Fund, Elfun Income Fund, Elfun Money Market Fund, General Electric S&S Program Mutual Fund, and General Electric S&S Income Fund (each, a "Fund" and, collectively, the "Funds"),

and GE Asset Management Incorporated (the "Adviser").

#### DATES:

FILING DATES: The application was filed on July 9, 2008, and amended on January 20, 2009, January 28, 2009, and August 20, 2010. Applicants have agreed to file an amendment during the notice period, the substance of which is reflected in this notice.

HEARING OR NOTIFICATION OF HEARING: An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on October 22, 2010, and should be accompanied by proof of service on applicants, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

ADDRESSES: Secretary, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549–1090; Applicants: c/o GE Asset Management Incorporated, 3001 Summer Street, Stamford, CT 06905.

FOR FURTHER INFORMATION CONTACT: Steven I. Amchan, Senior Counsel, at (202) 551–6826, or Jennifer L. Sawin, Branch Chief, at (202) 551–6821 (Division of Investment Management, Office of Investment Company Regulation).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained via the Commission's Web site by searching for the file number, or an applicant using the Company name box, at http://www.sec.gov/search/search.htm or by calling (202) 551–8090.

#### **Applicants' Representations**

1. Each Fund is organized as a trust under the laws of New York or under the laws of Connecticut, and is a single series open-end management investment company registered under the Act.<sup>1</sup> Each Fund is an "employees" securities company," as defined in section 2(a)(13) of the Act, that has previously received a Commission order under section 6(b) of the Act granting relief from: (i) Section 10(a) of the Act to permit the Fund to have a Board comprised only of Interested Board Members, (ii) section 15(a) of the Act to permit the Fund to enter into an advisory agreement with the Adviser (or predecessors of the Adviser) without obtaining the approval of shareholders, and (iii) section 15(c) of the Act to permit the Fund to enter into, renew, or perform an advisory agreement with the Adviser (or its predecessors) with the approval of its fully "interested" Board (collectively, the "Prior Orders").

2. The Adviser, a wholly-owned subsidiary of General Electric Company ("GE"), is registered as an investment adviser under the Investment Advisers Act of 1940, as amended ("Advisers Act"). The Adviser serves as investment adviser to each Fund pursuant to an investment advisory agreement with the Fund (each, an "Advisory Agreement"). In accordance with the Prior Orders, the Funds did not obtain shareholder approval to implement the Advisory Agreements, and the Advisory Agreements were approved by the Board comprised only of Interested Board Members.

3. Under the terms of the Advisory Agreements, the Adviser provides each Fund with investment management services and administrative services. For these services, the Adviser receives from each Fund the compensation specified in the related Advisory Agreement, which is limited to reimbursement of the Adviser's reasonable costs of providing investment management and administrative services to the Fund, without an element of profit to the Adviser or its employees. The Advisory Agreements permit the Adviser to delegate certain responsibilities to Subadvisers. The Adviser has not exercised this authority, but may do so if the Commission grants the requested order. Each Subadviser will be registered as an investment adviser under the Advisers Act. The Adviser will evaluate, allocate assets to, and oversee the Subadvisers, and make recommendations about their hiring, termination and replacement to the Board, at all times subject to the authority of the fully "interested" Board. For its services to a Fund, a Subadviser will receive a fee as described in the

conditions of the application. The only existing registered open-end companies of this type that currently intend to rely on the requested order are named as applicants. If the name of any Fund contains the name of a Subadviser, the name of the Adviser will precede the name of the Subadviser.

<sup>&</sup>lt;sup>1</sup>Applicants also request relief with respect to any future registered open-end company that may be organized as an "employees' securities company" as defined in section 2(a)(13) of the Act, similar to the Funds as described in the application, which:
(a) Is advised by the Adviser; (b) uses the investment management structure described in the application; and (c) complies with the terms and

related Subadvisory Agreement, which will be paid directly by the Fund. Unlike the Adviser's compensation, which is limited to reimbursement of the Adviser's reasonable costs, the fee paid to a Subadviser (other than an Affiliated Subadviser, as defined below) will contain an element of profit. Compensation to a Subadviser that is an "affiliated person," as defined in section 2(a)(3) of the Act, of the Funds or the Adviser (other than by reason of serving as a Subadviser to one or more Funds) ("Affiliated Subadviser") would be limited to the Affiliated Subadviser's reasonable cost of providing subadvisory services to the particular Fund and would not contain any element of profit.

4. Applicants request an order to permit the Adviser, subject to the approval of the Board, all the members of which are Interested Board Members, to enter into and materially amend Subadvisory Agreements without obtaining shareholder approval. Applicants also request an exemption from the various disclosure provisions described below that may require the Funds to disclose fees paid by the Adviser to each Subadviser. An exemption is requested to permit a Fund to disclose (as both a dollar amount and as a percentage of the Fund's net assets): (a) The aggregate compensation paid to the Adviser and any Affiliated Subadvisers; and (b) the aggregate fees paid to Subadvisers other than Affiliated Subadvisers ("Aggregate Fee Disclosure").

#### Applicants' Legal Analysis

- 1. Section 15(a) of the Act provides, in relevant part, that it is unlawful for any person to act as an investment adviser to a registered investment company except pursuant to a written contract that has been approved by the vote of a majority of the company's outstanding voting securities. Section 15(c) of the Act makes it unlawful for any registered investment company having a board of directors to enter into, renew, or perform any contract with an investment adviser unless the terms of the contract and any renewal thereof have been approved at an in-person meeting by a majority of directors who are not parties to the contract or "interested persons" of any such party, as that term is defined in section 2(a)(19) of the Act.
- 2. Form N-1A is the registration statement used by open-end investment companies. Item 14(a)(3) of Form N-1A requires disclosure of the method and

amount of the investment adviser's compensation.<sup>2</sup>

- 3. Item 22 of Schedule 14A under the Securities Exchange Act of 1934 ("1934 Act"), through the application of rule 20a-1 under the Act, sets forth the information that must be included in an investment company proxy statement. Items 22(c)(1)(ii), 22(c)(1)(iii), 22(c)(8)and 22(c)(9) of Schedule 14A, taken together, require a proxy statement for a shareholder meeting at which the advisory contract will be voted upon to include the "rate of compensation of the investment adviser," the "aggregate amount of the investment adviser's fees," a description of the "terms of the contract to be acted upon," and, if a change in the advisory fee is proposed, the existing and proposed fees and the difference between the two fees.
- 5. Regulation S–X sets forth the requirements for financial statements required to be included as part of investment company registration statements and shareholder reports filed with the Commission. Sections 6–07(2)(a), (b), and (c) of Regulation S–X require that investment companies include in their financial statements information about investment advisory fees
- 6. Section 6(b) of the Act provides that the Commission may by order upon application exempt any employees' securities company from the provisions of the Act, as well as the rules and regulations thereunder, "if and to the extent that such exemption is consistent with the protection of investors." Applicants believe that their requested relief meets this standard.
- 7. Applicants assert that under the proposed arrangement, the Adviser would not face any of the types of conflicts that the typical adviser faces in managing a registered investment company. Applicants assert that the Adviser shares a substantial community of interest with the Funds' shareholders, all of whom are current or former employees of GE, the Adviser, and other affiliates of GE, including immediate family members of these employees, as well as with the Board members (who themselves are officers or employees of GE). Applicants also argue that GE, the Adviser, and the Board members have a strong interest in assuring that the Funds are well managed because of their belief that the success of these Funds helps to boost employee morale and maintain satisfactory employee/ retiree relations, both of which are

matters of vital importance to GE. Applicants state that the Adviser provides investment management and other services to the Funds at cost, and does not profit in any way from doing so. Applicants assert that because of the alignment of their respective interests and the compensation terms under which the Adviser provides services to the Funds, the Adviser is motivated at all times to act in the best interests of shareholders. Applicants believe that by acting for the benefit of shareholders, the Adviser and the members of the Board are also acting to protect substantially corresponding interests of their own.

- 8. Applicants further state that shareholders would expect the Adviser and the Board to select the Subadviser for a Fund that is best suited to achieve the Fund's investment objective. Applicants assert that, from the perspective of the investor, the role of Subadvisers is substantially equivalent to the role of the individual portfolio managers employed by traditional investment company advisory firms. Applicants believe that permitting the Adviser to select, supervise and evaluate Subadvisers without incurring unnecessary delay or expense would benefit Fund investors because it would allow the Funds to operate more efficiently.
- 9. Applicants assert that many investment advisers charge their customers for advisory services according to a "posted" fee schedule. Applicants state that while investment advisers typically are willing to negotiate fees that are lower than those posted on the schedule, they are reluctant to do so where the negotiated fees are disclosed to other prospective and existing customers. Applicants submit that the requested relief will allow the Adviser to negotiate more effectively with each Subadviser that is not an Affiliated Subadviser.

#### **Applicants' Conditions**

Applicants agree that any order granting the requested relief will be subject to the following conditions:

1. Before a Fund may rely on the order requested in the application, the operation of the Fund in the manner described in the application will be approved by a majority of the Fund's outstanding voting securities, as defined in the Act, or, in the case of a Fund whose public shareholders purchase shares on the basis of a prospectus containing the disclosure contemplated by condition 2 below, by the sole initial shareholder before offering the Fund's shares to the public.

<sup>&</sup>lt;sup>2</sup> Form N–1A was recently amended by the Commission, effective March 31, 2009, and Item 14(a)(3) should be read to refer to Item 19(a)(3) for each Fund when that Fund begins using the revised form.

- 2. The prospectus for each Fund will disclose the existence, substance, and effect of any order granted pursuant to the application. Each Fund will hold itself out to the public as employing the management structure described in the application. The prospectus will prominently disclose that the Adviser has ultimate responsibility (subject to oversight by the Board) to oversee the Subadvisers and recommend their hiring, termination, and replacement.
- 3. Within 90 days of the hiring of a new Subadviser, the affected Fund's shareholders will be furnished all information about the new Subadviser that would be included in a proxy statement, except as modified to permit Aggregate Fee Disclosure. This information will include Aggregate Fee Disclosure and any change in such disclosure caused by the addition of the new Subadviser. To meet this obligation, the Fund will provide shareholders within 90 days of the hiring of a new Subadviser with an information statement meeting the requirements of Regulation 14C, Schedule 14C, and Item 22 of Schedule 14A under the 1934 Act, except as modified by the order to permit Aggregate Fee Disclosure.
- 4. The Adviser will not enter into a Subadvisory Agreement with an Affiliated Subadviser unless the agreement provides that the Affiliated Subadviser's compensation will be limited to reimbursement of its reasonable costs of providing subadvisory services to the particular Fund, without any element of profit.
- 5. When a Subadviser change is proposed for a Fund with an Affiliated Subadviser, the Board will make a separate finding, reflected in the applicable Board minutes, that such change is in the best interests of the Fund and its shareholders and does not involve a conflict of interest from which the Adviser or the Affiliated Subadviser derives an inappropriate advantage.
- 6. The Adviser will provide general management services to each Fund, including overall supervisory responsibility for the general management and investment of the Fund's assets and, subject to review and approval of the Board, will (a) Set each Fund's overall investment strategies; (b) evaluate, select and recommend Subadvisers to manage all or a part of a Fund's assets; (c) when appropriate, allocate and reallocate a Fund's assets among multiple Subadvisers; (d) monitor and evaluate the performance of Subadvisers; and (e) implement procedures reasonably designed to ensure that the Subadvisers comply

with each Fund's investment objective, policies and restrictions.

- 7. No director, trustee or officer of any Fund, or director or officer of the Adviser, will own directly or indirectly (other than through a pooled investment vehicle that is not controlled by such person) any interest in a Subadviser, except for (a) ownership of interests in the Adviser or any entity that controls, is controlled by, or is under common control with the Adviser; or (b) ownership of less than 1% of the outstanding securities of any class of equity or debt of a publicly traded company that is either a Subadviser or an entity that controls, is controlled by or is under common control with a Subadviser.
- 8. Each Fund will disclose in its registration statement the Aggregate Fee Disclosure.

For the Commission, by the Division of Investment Management, under delegated authority.

#### Florence E. Harmon,

Deputy Secretary.

[FR Doc. 2010-24824 Filed 10-1-10; 8:45 am]

BILLING CODE 8010-01-P

### SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 29446; 813–358]

#### RIEF RMP LLC and Renaissance Technologies LLC; Notice of Application

September 28, 2010.

**AGENCY:** Securities and Exchange Commission ("Commission").

ACTION: Notice of application for an order under sections 6(b) and 6(e) of the Investment Company Act of 1940 (the "Act") granting an exemption from all provisions of the Act, except section 9, and sections 36 through 53, and the rules and regulations under the Act. With respect to sections 17 and 30 of the Act, and the rules and regulations thereunder, and rule 38a–1 under the Act, the exemption is limited as set forth in the application.

Summary of Application: Applicants request an order to exempt certain limited liability companies and other investment vehicles formed for the benefit of eligible employees of Renaissance Technologies LLC ("RTC") and its affiliates from certain provisions of the Act. Each limited partnership or other investment vehicle will be an "employees' securities company" within the meaning of section 2(a)(13) of the Act.

Applicants: RIEF RMP LLC ("RMP") and RTC.

**DATES:** The application was filed on August 2, 2005 and amended on May 3, 2007, May 14, 2008, and June 4, 2010. Applicants have agreed to file an amendment during the notice period, the substance of which is reflected in this notice.

Hearing or Notification of Hearing: An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicant with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on October 25, 2010, and should be accompanied by proof of service on applicant, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

ADDRESSES: Secretary, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549–1090; Applicants, 800 Third Avenue, New York, New York 10022.

#### FOR FURTHER INFORMATION CONTACT:

Deepak T Pai, Senior Counsel, at (202) 551–6876, or Mary Kay Frech, Branch Chief, at (202) 551–6821 (Division of Investment Management, Office of Investment Company Regulation).

Supplementary Information: The following is a summary of the application. The complete application may be obtained via the Commission's Web site by searching for the file number, or an applicant using the Company name box, at http://www.sec.gov/search/search.htm or by calling (202) 551–8090.

Applicants' Representations:

1. RTC is registered as an investment adviser under the Investment Advisers Act of 1940 (the "Advisers Act") and as a commodity pool operator and commodity trading adviser with the Commodity Futures Trading Commission under the Commodity Exchange Act, and is a member of the National Futures Association. RTC provides investment management services primarily to institutional investors as well as its own employees and related parties and is the general partner, managing member or investment adviser to several private funds. RTC and its "affiliates," defined in rule 12b-2 under the Securities Exchange Act of 1934 (the

"1934 Act"), are referred to collectively as "RTC Group."

2. RTC has organized RMP as a Delaware limited liability company, and RTC may in the future organize additional private investment funds ("Future Pooled Investment Vehicles," and together with RMP, "Pooled Investment Vehicles") identical in all respects to RMP (other than investment objectives and strategies and form of organization) for the benefit of the same categories of eligible investors as those investing in RMP. RMP was formed to benefit Eligible Employees (as defined below) and other eligible investors as part of a program by RTC to (a) create capital building opportunities that are competitive with those at other investment management firms, and (b) facilitate the recruitment and retention by RTC of high caliber personnel and key administrative employees. Investing in the Pooled Investment Vehicles will be voluntary. RMP will operate as a non-diversified, closed-end management investment company. Membership interests ("Interests") in RMP will be offered in two series—A and B ("Series"). Each Series will be identical to the other except for the fees each will pay to RTC for the services rendered to RMP by RTC. RMP and each Future Pooled Investment Vehicle will be an "employees' securities company" as that term is defined in section 2(a)(13) of the Act.

3. RTC serves as the managing member of RMP and will serve as the general partner, managing member or investment adviser ("Managing Member") of the Future Pooled Investment Vehicles. RTC will control each Pooled Investment Vehicle within the meaning of section 2(a)(9) of the Act, and will have the authority to make all decisions regarding the acquisition, management and disposition of the portfolio investments for Pooled Investment Vehicles. RTC will be paid an advisory fee for its services, which may be determined as a percentage of assets under management. In addition, RTC may be entitled to a performancebased fee or allocation based on net capital appreciation in addition to the fixed fee.1

4. RTC, as Managing Member, and any other person acting for or on behalf of the Pooled Investment Vehicles shall act in the best interest of the Pooled Investment Vehicles and their Participants (as defined below). Whenever RTC or any other person acting for or on behalf of the Pooled

Investment Vehicles is required or permitted to make a decision, take or approve an action, or omit to do any of the foregoing in such person's discretion, then that person shall exercise such discretion in accordance with reasonableness and good faith and any fiduciary duties owed to the Pooled Investment Vehicles and the Participants. The organizational documents for, and any other contractual arrangements regarding, a Pooled Investment Vehicle will not contain any provision which protects or purports to protect RTC or its delegates against any liability to the Pooled Investment Vehicles or the Participants to which such person would otherwise be subject by reason of willful misfeasance, bad faith, or gross negligence in the performance of such person's duties, or by reason of such person's reckless disregard of such person's obligations and duties under such contract or organizational

5. Interests in the Pooled Investment Vehicles will be offered to Eligible Investors (as defined below) without registration in reliance on section 4(2) of the Securities Act of 1933 (the "1933 Act") or Regulation D under the 1933 Act. RTC must reasonably believe, before offering an Interest to an Eligible Investor, that such person has the knowledge, sophistication and experience in business and financial matters to be capable of evaluating the merits and risks of the investment, is able to bear the economic risk of such investment, and is able to afford a complete loss of such investment.

6. "Eligible Investors" who may invest in the Pooled Investment Vehicles are: (a) Individuals who are current or former employees, officers or directors of the RTC Group ("Eligible Employees"); (b) a spouse, child, spouse of a child, brother, sister, parent or grandchild of an individual who is an Eligible Employee ("Eligible Family Members"); (c) persons or entities whom the RTC Group has engaged on retainer to provide services and professional expertise on an ongoing basis as regular consultants in business or legal advisers to the RTC Group and who share a community of interest with RTC and RTC employees ("Consultants"); and (d) either (i) trusts or other investment vehicles of which the trustees or grantors are Eligible Employees or Eligible Employees together with their Eligible Family Members, (ii) trusts or other investment vehicles established solely for the benefit of Eligible Employees, Consultants or Eligible Family Members, or (iii) partnerships, corporations or other entities all of the

voting power of which is controlled by Eligible Employees ("Qualified Investment Entities") (together with Eligible Employees, Eligible Family Members and Consultants, "Eligible Investors").

7. All Eligible Investors investing in RMP must be "accredited investors" as defined in rule 501(a)(5) or 501(a)(6) of Regulation D, except that up to 35 Eligible Employees who are nonaccredited investors may invest in RMP provided they fall into one of the following categories: (a) Eligible Employees who (i) Have a graduate degree in business, law or accounting, (ii) have a minimum of five years of consulting, investment banking or similar business experience, and (iii) have had reportable income from all sources of at least \$100,000 in each of the two most recent years and have a reasonable expectation of income from all sources of at least \$140,000 in the vears in which the Eligible Employee invests in a Pooled Investment Vehicle; or (b) Eligible Employees who are "knowledgeable employees," as defined in rule 3c-5 under the Act, of the Pooled Investment Vehicle (with the Pooled Investment Vehicle treated as though it were a "covered company" for purposes of the rule). In addition, an Eligible Employee in category (a) above will not be permitted to invest in any year more than 10% of his or her income from all sources for the immediately preceding year in the aggregate in the Pooled Investment Vehicle and in all other Pooled Investment Vehicles in which that Eligible Employee has previously invested.

- 8. A Qualified Investment Entity must be either (a) an accredited investor as defined in rule 501(a) of Regulation D, or (b) an entity for which an Eligible Employee is the settlor and principal investment decisionmaker and is counted toward the limit on the 35 non-accredited investors that may invest in a Pooled Investment Vehicle.
- 9. The specific investment objectives and strategies for a particular Pooled Investment Vehicle will be set forth in the private placement memorandum relating to the Interests in the Pooled Investment Vehicles being offered, which will be delivered to each offeree at or before the sale of an Interest. Each Pooled Investment Vehicle will furnish to those Eligible Investors who are accepted as investors ("Participants") annual financial statements audited by its registered public accounting firm and unaudited monthly reports of the results

<sup>&</sup>lt;sup>1</sup> Any performance fee or allocation will be charged only if permitted by rule 205–3 under the Advisers Act.

of its investments.<sup>2</sup> In addition, RTC will cause a report to be sent to each Participant in a Pooled Investment Vehicle, as soon as practicable after the end of each fiscal year, setting forth the information with respect to his or her share of income, gains, losses, credits and other items for federal and state

income tax purposes. 10. Interests in the Pooled Investment Vehicles will not be transferable except with the express consent of RTC and then only to Eligible Investors. No sales load or fees of any kind will be charged in connection with the sale of Interests. Each private placement memorandum of the Pooled Investment Vehicles will describe the terms by which a Participant may transfer an Interest or withdraw from the Pooled Investment Vehicle. In addition, RTC has the right to cause any Participant to withdraw without cause. Upon withdrawal, the Pooled Investment Vehicles will pay to the Participant the net asset value of the Interest determined at the time of withdrawal, as determined in good faith

11. RTC intends to structure an investment program in which the Pooled Investment Vehicles will coinvest in portfolio companies with RTC or other pooled investment funds organized primarily for the benefit of investors who are not affiliated with RTC over which RTC exercises investment discretion ("Third Party Funds").3 Side-by-side investments by a Third Party Fund, or by an RTC entity in a transaction in which the RTC investment was made pursuant to a contractual obligation to a Third Party Fund, will not be subject to the restrictions contained in condition 3. All other side-by-side investments will be subject to the restrictions contained in condition 3.

12. RMP and other Pooled Investment Vehicles may borrow money from others. Any indebtedness of the Pooled Investment Vehicles will be the debt of such Pooled Investment Vehicles and without recourse to the Participants. The Pooled Investment Vehicles will not borrow from any person if the borrowing would cause any person other than an Eligible Investor to own securities of the Pooled Investment Vehicles (other than short term paper).

13. The Pooled Investment Vehicles will not purchase or otherwise acquire any security issued by any registered

investment company if the Pooled Investment Vehicles immediately after such purchase or acquisition will own in the aggregate more than 3% of the total outstanding voting stock of such investment company.

Applicants' Legal Analysis:

1. Section 6(b) of the Act provides, in part, that the Commission will exempt employees' securities companies from the provisions of the Act to the extent that the exemption is consistent with the protection of investors. Section 6(b) provides that the Commission will consider, in determining the provisions of the Act from which the company should be exempt, the company's form of organization and capital structure, the persons owning and controlling its securities, the price of the company's securities and the amount of any sales load, how the company's funds are invested, and the relationship between the company and the issuers of the securities in which it invests. Section 2(a)(13) defines an employees' securities company, in relevant part, as any investment company all of whose securities (other than short-term paper) are beneficially owned (a) by current or former employees, or persons on retainer, of one or more affiliated employers, (b) by immediate family members of such persons, or (c) by such employer or employers together with any of the persons in (a) or (b).

Section 7 of the Act generally prohibits investment companies that are not registered under section 8 of the Act from selling or redeeming their securities. Section 6(e) of the Act provides that, in connection with any order exempting an investment company from any provision of section 7, certain provisions of the Act, as specified by the Commission, will be applicable to the company and other persons dealing with the company as though the company were registered under the Act. Applicants request an order under sections 6(b) and 6(e) of the Act exempting RMP and each Future Pooled Investment Vehicle from all provisions of the Act, except section 9 and sections 36 through 53 of the Act, and the rules and regulations under the Act. With respect to sections 17 and 30 of the Act, and the rules and regulations thereunder, and rule 38a-1 under the Act, the exemption is limited as set forth in the application.

3. Section 17(a) of the Act generally prohibits any affiliated person of a registered investment company, or any affiliated person of an affiliated person, acting as principal, from knowingly selling or purchasing any security or other property to or from the company. Applicants request an exemption from

section 17(a) to permit: (a) RTC or a Third Party Fund (or any affiliated person of RTC or a Third Party Fund), acting as principal, to engage in any transaction directly or indirectly with a Pooled Investment Vehicle or any company controlled by a Pooled Investment Vehicle; and (b) the Pooled Investment Vehicles to invest in or engage in any transaction with any RTC entity (or any affiliated person of such entity), acting as principal, (i) in which the Pooled Investment Vehicles, any company controlled by a Pooled Investment Vehicle, or any RTC entity or Third Party Fund has invested or will invest, or (ii) with which the Pooled Investment Vehicles, any company controlled by a Pooled Investment Vehicle or any RTC entity or Third Party Fund is or will become affiliated.

4. Applicants submit that an exemption from section 17(a) is consistent with the purposes of the Pooled Investment Vehicles and the protection of investors. Applicants state that Participants in the Pooled Investment Vehicles will be fully informed of the possible extent of the Pooled Investment Vehicles' coinvesting with RTC and Third Party Funds. Applicants also state that, as professionals employed in the investment management business, or in administrative, financial, accounting, legal or operational activities related thereto, or otherwise familiar with RTC, Eligible Investors will be able to understand and evaluate the attendant risks. Applicants assert that the community of interest among the Eligible Investors investing in the Pooled Investment Vehicles, on the one hand, and RTC, on the other hand, will protect Participants against any risk of abuse.

5. Section 17(d) of the Act and rule 17d-1 under the Act prohibit any affiliated person of a registered investment company, or any affiliated person of such person, acting as principal, from participating in any joint arrangement with the registered investment company unless authorized by the Commission. Applicants request an order pursuant to section 17(d) and rule 17d-1 to the extent necessary to permit affiliated persons of RMP and the Future Pooled Investment Vehicles (including, without limitation, RTC, affiliated persons of RTC and a Third Party Fund), or affiliated persons of any of these persons to participate in, or effect any transaction in connection with, any joint enterprise or other joint arrangement or profit-sharing plan in which any Pooled Investment Vehicle or a company controlled by any Pooled Investment Vehicle is a participant.

 $<sup>^2</sup>$  "Audit" shall have the meaning defined in rule 1–02(d) of Regulation S–X.

<sup>&</sup>lt;sup>3</sup> The investment objectives and program of one such Third Party Fund, Renaissance Institutional Equities Fund LLC ("RIEF"), will be substantially similar to RMP's investment objectives and program.

- 6. Applicants assert that compliance with section 17(d) would prevent RMP and the Future Pooled Investment Vehicles from achieving their principal purpose, which is to provide Eligible Employees a vehicle through which they may invest in the same securities as RIEF or another Third Party Fund. Applicants believe that if RMP's or any Future Pooled Investment Vehicle's coinvestments with RTC and its affiliated persons and affiliated persons of its affiliated persons are prohibited, the appeal of RMP and Future Pooled Investment Vehicles for the Eligible Investors would be significantly diminished. Eligible Investors have indicated a desire to participate in such co-investment opportunities because they believe that: (a) RTC can analyze investment opportunities to an extent that individual employees would have neither the time nor resources to duplicate; (b) investments made by Third Party Funds managed by RTC may not be generally available to or available on the same terms and conditions to investors even of the financial status of the Eligible Investors; and (c) Eligible Investors will be able to pool their investment resources, thus achieving greater diversification of their individual investment portfolios. Applicants further believe that the flexibility to structure co-investments and joint investments will not involve abuses of the type section 17(d) and rule 17d–1 were designed to prevent.
- 7. Applicants believe that the interests of the Eligible Employees participating in the Pooled Investment Vehicles will be adequately protected even in situations where condition 3 does not apply. In structuring a Third Party Fund, it is common for the unaffiliated investors of such fund to require that RTC invest its own capital in fund investments, either through the fund or on a side-by-side basis, and that RTC investments be subject to substantially the same terms as those applicable to the fund's investments. Applicants believe it is important that the interests of the Third Party Fund take priority over the interests of the Pooled Investment Vehicles, and that the activities of the Third Party Fund not be burdened or otherwise affected by activities of the Pooled Investment Vehicles. If condition 3 were to apply to RTC's investment in these situations, the effect of such a requirement would be to indirectly burden the Third Party Fund with the requirements of condition 3. In addition, applicants state that the relationship of RMP to RIEF or another Pooled Investment Vehicle to another Third Party Fund is

- fundamentally different from RMP's and the other Pooled Investment Vehicles' relationship to RTC. The focus of, and the rationale for, the protections contained in the requested relief are to protect the Pooled Investment Vehicles from any overreaching by RTC in the employer/employee context, whereas the same concerns are not present with respect to RMP and the other Pooled Investment Vehicles vis-à-vis the investors in RIEF or other Third Party Funds.
- 8. Section 17(f) of the Act designates the entities that may act as investment company custodians, and rule 17f-1 under the Act imposes certain requirements when the custodian is a member of a national securities exchange. Applicants request an exemption from section 17(f) and rule 17f–1 to permit the following exceptions from the requirements of rule 17f–1: (a) In lieu of compliance with rule 17f-1(b)(1), RMP and each Future Pooled Investment Vehicle will enter into a written customer and prime broker agreement with one or more brokerdealers each of whom is a member of the New York Stock Exchange LLC in substantially similar form to the form used by the subject prime broker(s); 4 (b) in lieu of compliance with rule 17f-1(b)(4), the Pooled Investment Vehicles' registered public accountant shall semiannually reconcile the prime broker's statement of each Pooled Investment Vehicle's account with each Pooled Investment Vehicle's records of its holdings of cash and securities and issue to each Pooled Investment Vehicle a report on such reconciliation; (c) the Pooled Investment Vehicles will not comply with rule 17f-1(c), which requires transmitting to the Commission a copy of any contract executed pursuant to rule 17f–1; and (d) RTC as the Managing Member will approve the contracts required to be ratified by the board of directors in rule 17f-1(d) Applicants will comply with all other requirements of rule 17f-1.
- 9. Section 17(g) of the Act and rule 17g–1 under the Act generally require the bonding of officers and employees of a registered investment company who have access to its securities or funds. Rule 17g–1 requires that a majority of directors who are not interested persons take certain actions and give certain

- approvals relating to fidelity bonding. Applicants request instead that such actions and approvals be taken by RTC, regardless of whether it is deemed to be an interested person of such Pooled Investment Vehicles. Applicants state that because RTC will be considered an interested person of each Pooled Investment Vehicle, the Pooled Investment Vehicles could not comply with rule 17g-1 without the requested relief. Applicants also request an exemption from the requirements of paragraphs (g) and (h) of rule 17g-1 relating to the filing of copies of fidelity bonds and related information with the Commission and the provision of notices to the board of directors, and from the requirements of rule 17g-1(j)(3) that the board of directors of an investment company satisfy the fund governance standards defined in rule 0-1(a)(7). The Pooled Investment Vehicles will comply with all other requirements of rule 17g-1.
- 10. Section 17(j) of the Act and paragraph (b) of rule 17j–1 under the Act make it unlawful for certain enumerated persons to engage in fraudulent or deceptive practices in connection with the purchase or sale of a security held or to be acquired by a registered investment company. Rule 17j-1 also requires that every registered investment company adopt a written code of ethics and that every access person of a registered investment company report personal securities transactions. Applicants request an exemption from the provisions of rule 17j-1, except for the anti-fraud provisions of paragraph (b), because they are unnecessarily burdensome as applied to the Pooled Investment Vehicles and would serve little purpose in light of the community of interest among the Participants by virtue of their common association with RTC.
- 11. Applicants request an exemption from the requirements in sections 30(a), 30(b), and 30(e) of the Act, and the rules under those sections, that registered investment companies prepare and file with the Commission and mail to their shareholders certain periodic reports and financial statements. Applicants contend that the forms prescribed by the Commission for periodic reports have little relevance to the Pooled Investment Vehicles and would entail administrative and legal costs that outweigh any benefit to the Participants in the Pooled Investment Vehicles. Applicants request exemptive relief to the extent necessary to permit the Pooled Investment Vehicles to report annually to their Participants. Applicants also request an exemption from section 30(h) of the Act to the

<sup>&</sup>lt;sup>4</sup> Almost all of the securities in which RMP and the Future Pooled Investment Vehicles will invest will be eligible for deposit in a securities depository registered as a clearing agency under the 1934 Act. There will be no physical segregation, and segregation will be accomplished by entries on the books and records of the prime broker and periodic account statements issued by the prime broker to RMP and each Future Pooled Investment Vehicle will reflect such recordation.

extent necessary to exempt RTC, directors and officers of RTC and any other persons who may be deemed members of an advisory board of the Pooled Investment Vehicles from filing Forms 3, 4 and 5 under section 16 of the 1934 Act with respect to their ownership of Interests in the Pooled Investment Vehicles. Applicants assert that, because there would be no trading market and the transfers of Interests are severely restricted, these filings are unnecessary for the protection of investors and burdensome to those required to make them.

12. Rule 38a-1 requires investment companies to adopt, implement and periodically review written policies reasonably designed to prevent violation of the federal securities laws and to appoint a chief compliance officer. RMP and Future Pooled Investment Vehicles will comply will rule 38a-1(a), (c) and (d), except that the board of directors of RTC, the Managing Member, will fulfill the responsibilities assigned to a fund's board of directors under the rule and, since all the members of RTC's board of directors would be considered interested persons, approval by a majority of the disinterested directors required by rule 38a-1 will not be obtained. In addition, because the board of directors of RTC does not have any disinterested directors, RMP and the Future Pooled Investment Vehicles will comply with the requirement in rule 38a-1(a)(4)(iv) that the chief compliance officer meet with the disinterested directors by having the chief compliance officer meet with the board of directors of RTC as constituted.

Applicants' Conditions:

Applicants agree that any order granting the requested relief will be subject to the following conditions:

- 1. Each proposed transaction otherwise prohibited by section 17(a) or section 17(d) of the Act and rule 17d—1 thereunder to which RMP or a Future Pooled Investment Vehicle is a party (the "Section 17 Transactions") will be effected only if RTC determines that:
- (a) The terms of the Section 17
  Transaction, including the
  consideration to be paid or received, are
  fair and reasonable to the Participants in
  the Pooled Investment Vehicle and do
  not involve overreaching of the Pooled
  Investment Vehicle or its Participants
  on the part of any person concerned;
  and
- (b) The Section 17 Transaction is consistent with the interests of the Participants, the organizational documents of the Pooled Investment Vehicle, and the Pooled Investment Vehicle's reports to its Participants.

In addition, RTC will record and preserve a description of the Section 17 Transactions, the findings of RTC, the information or materials upon which the findings of RTC are based, and the basis for those findings. All such records will be maintained for the life of the Pooled Investment Vehicles and at least six years thereafter, and will be subject to examination by the Commission and its staff. The Pooled Investment Vehicles will preserve the accounts, books and other documents required to be maintained in an easily accessible place for the first two years.

2. In connection with the Section 17 Transactions, RTC will adopt, and periodically review and update, procedures designed to ensure that reasonable inquiry is made, prior to the consummation of any Section 17 Transaction, with respect to the possible involvement in the transaction of any Affiliated Person or promoter of or principal underwriter for the Pooled Investment Vehicles, or any affiliated person of any such person, promoter or

principal underwriter.

3. RTC will not invest the funds of a Pooled Investment Vehicle in any investment in which a "Co-Investor" (as defined below) has acquired or proposes to acquire the same class of securities of the same issuer, where the investment involves a joint enterprise or other joint arrangement within the meaning of rule 17d–1 in which a Pooled Investment Vehicle and the Co-Investor are participants, unless any such Co-Investor, prior to disposing of all or part of its investment: (a) Gives RTC sufficient, but not less than one day's notice of its intent to dispose of its investment; and (b) refrains from disposing of its investment unless any Pooled Investment Vehicle has the opportunity to dispose of its investment prior to or concurrently with, and on the same terms as, and pro rata with the Co-Investor. The term "Co-Investor" with respect to RMP or a Future Pooled Investment Vehicle means any person who is: (a) An affiliated person (as defined in section 2(a)(3) of the Act) of the Pooled Investment Vehicle (other than a Third Party Fund); (b) RTC; (c) an officer or director of RTC; or (d) an entity (other than a Third Party Fund) in which RTC acts as a general partner, managing member, trustee or has a similar capacity to control the sale or other disposition of the entity's securities.

The restrictions contained in this condition, however, will not be deemed to limit or prevent the disposition of an investment by a Co-Investor: (a) To its direct or indirect wholly-owned subsidiary, to any company (a "Parent")

of which the Co-Investor is a direct or indirect wholly-owned subsidiary, or to a direct or indirect wholly-owned subsidiary of its Parent; (b) to immediate family members of the Co-Investor or to a trust or other investment vehicle established for any Co-Investor or any such family member; (c) when the investment is comprised of securities that are listed on any exchange registered as a national securities exchange under section 6 of the 1934 Act; (d) when the investment is comprised of securities that are national market system securities pursuant to section 11A(a)(2) of the 1934 Act and rule 600(a) of Regulation NMS thereunder; (e) when the securities are government securities as defined in section 2(a)(16) of the Act; and (f) when the investment is comprised of securities that are listed on or traded on any foreign securities exchange or board of trade that satisfies regulatory requirements under the law of the jurisdiction in which such foreign securities exchange or board of trade is organized similar to those that apply to a national securities exchange or a national market system for securities.

4. The Pooled Investment Vehicles and RTC will maintain and preserve, for the life of each Pooled Investment Vehicle and at least six years thereafter, such accounts, books, and other documents as constitute the record forming the basis for the audited financial statements that are to be provided to the Participants in the Pooled Investment Vehicles, and each annual report of each Pooled Investment Vehicle required to be sent to such Participants, and agree that all such records will be subject to examination by the Commission and its staff.<sup>5</sup>

5. Each Pooled Investment Vehicle will send to each Participant in such Pooled Investment Vehicle who had an interest in the Pooled Investment Vehicle at any time during the fiscal year then ended financial statements of the Pooled Investment Vehicle audited by the Pooled Investment Vehicle's independent public accountants. At the end of each fiscal year, RTC will make a valuation or have a valuation made of all of the assets of the Pooled Investment Vehicle as of such fiscal year end in a manner consistent with customary practice with respect to the valuation of assets of the kind held by the Pooled Investment Vehicle. In addition, within 120 days after the end of each Pooled Investment Vehicle's

<sup>&</sup>lt;sup>5</sup> RMP and the Pooled Investment Vehicles will preserve the accounts, books and other documents required to be maintained in an easily accessible place for the first two years.

fiscal year or as soon as practicable thereafter, RTC will send a report to each person who was a Participant in a Pooled Investment Vehicle at any time during the fiscal year then ended, setting forth such tax information as shall be necessary for the preparation by the Participant of that Participant's federal and state income tax returns and a report of the investment activities of the Pooled Investment Vehicle during that fiscal year.

6. If any Pooled Investment Vehicle makes purchases or sales from or to an entity affiliated with such Pooled Investment Vehicle by reason of an officer, director or employee of RTC (a) serving as an officer, director, general partner or investment adviser of the entity, or (b) having a 5% or more investment in the entity, such individual will not participate in the Pooled Investment Vehicle's determination of whether or not to effect the purchase or sale.

For the Commission, by the Division of Investment Management, under delegated authority.

#### Florence E. Harmon,

Deputy Secretary.

[FR Doc. 2010-24787 Filed 10-1-10; 8:45 am]

BILLING CODE 8010-01-P

### SECURITIES AND EXCHANGE COMMISSION

#### **Sunshine Act Meeting**

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94–409, that the Securities and Exchange Commission will hold a Closed Meeting on Monday, October 4, 2010 at 10 a.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(10) and 17 CFR 200.402(a)(10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Paredes, as duty officer, voted to consider the item listed for the Closed Meeting in closed session, and determined that no earlier notice thereof was possible.

The subject matter of the Closed Meeting scheduled for Monday, October 4, 2010 will be: A litigation matter. At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 551–5400.

Dated: September 30, 2010.

#### Elizabeth M. Murphy

Secretary.

[FR Doc. 2010–24982 Filed 9–30–10; 4:15 pm]

BILLING CODE 8010-01-P

### SECURITIES AND EXCHANGE COMMISSION

#### **Sunshine Act Meeting**

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94–409, that the Securities and Exchange Commission will hold a Closed Meeting on Wednesday, October 6, 2010 at 1:00 p.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matters also may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(3), (5), (7), 9(B) and (10) and 17 CFR 200.402(a)(3), (5), (7), 9(ii) and (10), permit consideration of the scheduled matters at the Closed Meeting.

Commissioner Paredes, as duty officer, voted to consider the items listed for the Closed Meeting in a closed session.

The subject matter of the Closed Meeting scheduled for Wednesday, October 6, 2010 will be:

Institution and settlement of injunctive actions:

Institution and settlement of administrative proceedings; and other matters relating to enforcement proceedings.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact:

The Office of the Secretary at (202) 551–5400.

Dated: September 29, 2010.

#### Elizabeth M. Murphy,

Secretary.

[FR Doc. 2010–24885 Filed 9–30–10; 11:15 am]

BILLING CODE 8010-01-P

### SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-62997; File No. SR-CBOE-2010-088]

Self-Regulatory Organizations; Chicago Board Options Exchange, Incorporated; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Related to Dates for Pilot Programs

September 28, 2010.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"),1 and Rule 19b–4 thereunder,2 notice is hereby given that on September 21, 2010, the Chicago Board Options Exchange, Incorporated ("Exchange" or "CBOE") filed with the Securities and Exchange Commission (the "Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the Exchange. The Exchange has designated this proposal as one constituting a stated policy, practice, or interpretation with respect to the meaning, administration, or enforcement of an existing rule under Section 19(b)(3)(A)(i) of the Act, and Rule 19b-4(f)(1) thereunder, which renders the proposal effective upon filing with the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to make technical amendments to its rules to insert the specific dates for two pilot programs. The text of the proposed rule change is available on the Exchange's Web site (http://www.cboe.org/Legal), at the Exchange's Office of the Secretary, and at the Commission.

#### II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

<sup>&</sup>lt;sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>&</sup>lt;sup>2</sup> 17 CFR 240.19b-4.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

#### 1. Purpose

CBOE proposes to make technical amendments to its rules to insert specific dates for two pilot programs.

First, the Commission has approved the Exchange's proposal to modify the clearly erroneous policy of the CBOE Stock [sic] on a pilot basis.<sup>3</sup> This rule change proposes to amend the text of Rule 52.4, *Clearly Erroneous Policy*, to insert the approval date of the pilot program, which is September 10, 2010.

Second, the Commission has approved the Exchange's proposal to establish a pilot program that would permit P.M.-settled options on broadbased indexes that expire: (a) on any Friday of the month, other than the third Friday-of-the-month, and (b) the last trading <sup>4</sup> [sic] of the month ("EOW/EOM Pilot Program") under a 14-month pilot program.<sup>5</sup> This rule change proposes to amend the text of Rule 29.4(e) <sup>6</sup> [sic], *Terms of Index Option Contracts*, to insert the specific conclusion date of the EOW/EOM Pilot Program, which is December 14, 2011.<sup>7</sup>

#### 2. Statutory Basis

The basis under the Act for this proposed rule change is the requirement under Section 6(b)(5)8 that an exchange have rules that are designed to promote just and equitable principles of trade, and to remove impediments to and perfect the mechanism for a free and open market and a national market system, and, in general, to protect investors and the public interest. In particular, the proposed rule change seeks to update rule text to insert specific dates for two pilot programs in a manner that is consistent with the original approval orders of the pilot programs.

B. Self-Regulatory Organization's Statement on Burden on Competition

CBOE does not believe that the proposed rule change will impose any

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

The Exchange neither solicited nor received comments on the proposal.

#### III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing proposed rule change will take effect upon filing with the Commission pursuant to Section 19(b)(3)(A)(i) of the Act <sup>9</sup> and Rule 19b–4(f)(1) thereunder, <sup>10</sup> because it constitutes a stated policy, practice, or interpretation with respect to the meaning, administration, or enforcement of an existing rule.

At any time within 60 days of the filing of the proposed rule change, the Commission summarily may temporarily suspend such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

#### IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Comments may be submitted by any of the following methods:

#### Electronic Comments

- Use the Commission's Internet comment form (http://www.sec.gov/rules/sro.shtml); or
- Send an e-mail to *rule-comments@sec.gov*. Please include File No. SR-CBOE-2010-088 on the subject line.

#### Paper Comments

• Send paper comments in triplicate to Elizabeth M. Murphy, Secretary, Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549–1090.

All submissions should refer to File No. SR–CBOE–2010–088. This file number should be included on the subject line if e-mail is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Web site (http://www.sec.gov/rules/

sro.shtml). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for website viewing and printing in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of such filing also will be available for inspection and copying at the principal office of CBOE. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File No. SR-CBOE-2010-088 and should be submitted on or before October 25, 2010.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.  $^{11}$ 

#### Florence E. Harmon,

Deputy Secretary.

[FR Doc. 2010–24770 Filed 10–1–10; 8:45 am]

BILLING CODE 8010-01-P

#### **DEPARTMENT OF STATE**

[Public Notice 7193]

# Culturally Significant Objects Imported for Exhibition Determinations: "Expanding the Story With Four Greek Vases"

**SUMMARY:** Notice is hereby given of the following determinations: Pursuant to the authority vested in me by the Act of October 19, 1965 (79 Stat. 985; 22 U.S.C. 2459), Executive Order 12047 of March 27, 1978, the Foreign Affairs Reform and Restructuring Act of 1998 (112 Stat. 2681, et seq.; 22 U.S.C. 6501 note, et seq.), Delegation of Authority No. 234 of October 1, 1999, and Delegation of Authority No. 236-3 of August 28, 2000, I hereby determine that the objects to be included in the exhibition "Expanding the Story with Four Greek Vases," imported from abroad for temporary exhibition within the United States, are of cultural significance. The objects are imported pursuant to a loan agreement with the foreign owner or custodian. I also determine that the exhibition or

 $<sup>^3\,</sup>See$  Securities Exchange Act Release No. 62886 (September 10, 2010) (approving SR–CBOE–2010–056).

 $<sup>^4\,\</sup>mathrm{The}$  Commission notes that the correct reference is to the "last trading day of the month."

<sup>&</sup>lt;sup>5</sup> See Securities Exchange Act Release No. 62911 (September 14, 2010) (approving SR–CBOE–2009–075).

 $<sup>^6</sup>$  The Commission notes that the correct rule number is Rule 24.9(e).

<sup>&</sup>lt;sup>7</sup>Previously the rule text indicated that the Exchange would insert the date 14 months from the next full month from approval, which approval occurred on September 14, 2010. See supra note 5.

<sup>8</sup> 15 U.S.C. 78f(b)(5).

burden on competition not necessary or appropriate in furtherance of the purposes of the Act.

<sup>9 15</sup> U.S.C. 78s(b)(3)(A)(i).

<sup>10 17</sup> CFR 240.19b-4(f)(1).

<sup>11 17</sup> CFR 200.30-3(a)(12).

display of the exhibit objects at the Art Institute of Chicago, Chicago, Illinois, from on or about October 18, 2010, until on or about September 30, 2013, and at possible additional exhibitions or venues yet to be determined, is in the national interest. I have ordered that Public Notice of these Determinations be published in the **Federal Register**.

FOR FURTHER INFORMATION CONTACT: For further information, including a list of the exhibit objects, contact Paul W. Manning, Attorney-Adviser, Office of the Legal Adviser, U.S. Department of State (telephone: 202–632–6469). The mailing address is U.S. Department of State, SA–5, L/PD, Fifth Floor (Suite 5H03), Washington, DC 20522–0505.

Dated: September 27, 2010.

#### Ann Stock,

Assistant Secretary, Bureau of Educational and Cultural Affairs, Department of State.

[FR Doc. 2010–24989 Filed 10–1–10; 8:45 am]

BILLING CODE 4710-05-P

### OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE

Request for Public Comments on Annual Review of Country Eligibility for Benefits Under the African Growth and Opportunity Act

**AGENCY:** Office of the United States Trade Representative.

**ACTION:** Notice and request for Comments.

**SUMMARY:** The African Growth and Opportunity Act Implementation Subcommittee of the Trade Policy Staff Committee (the "Subcommittee") is requesting written public comments for the annual review of the eligibility of sub-Saharan African countries to receive the benefits of the African Growth and Opportunity Act (AGOA). The Subcommittee will consider these comments in developing recommendations on AGOA country eligibility for the President. Comments received related to the child labor criteria may also be considered by the Secretary of Labor for the preparation of the Department of Labor's report on child labor as required under section 412(c) of the Trade and Development Act of 2000. This notice identifies the eligibility criteria that must be considered under the AGOA, and lists those sub-Saharan African countries that are currently eligible for the benefits of the AGOA, and those that are currently ineligible for such benefits.

**DATES:** Public comments are due at the Office of the U.S. Trade Representative

(USTR) by noon, Thursday, October 21, 2010.

ADDRESSES: USTR strongly prefers electronic submissions made at http://www.regulations.gov, docket number USTR-2010-0024. See "Requirements for Submission," below. If you are unable to make a submission at http://www.regulations.gov, please contact Gloria Blue, Executive Secretary, Trade Policy Staff Committee, at (202) 395-6143 to make other arrangements.

FOR FURTHER INFORMATION CONTACT: For procedural questions, please contact Gloria Blue, Office of the U.S. Trade Representative, 600 17th Street, NW., Room F516, Washington, DC 20508, at (202) 395–3475. All other questions should be directed to Constance Hamilton, Deputy Assistant U.S. Trade Representative for Africa, Office of the U.S. Trade Representative, at (202) 395–9514.

SUPPLEMENTARY INFORMATION: The AGOA (Title I of the Trade and Development Act of 2000, Pub. L. 106–200) (19 U.S.C. 3721 et seq.), as amended, authorizes the President to designate sub-Saharan African countries as beneficiary sub-Saharan African countries eligible for duty-free treatment for certain additional products under the Generalized System of Preferences (GSP) (Title V of the Trade Act of 1974 (19 U.S.C. 2461 et seq.) (the "1974 Act")), as well as for the preferential treatment the AGOA provides for certain textile and apparel articles.

The President may designate a country as a beneficiary sub-Saharan African country eligible for both the additional GSP benefits and the textile and apparel benefits of the AGOA for countries meeting certain statutory requirements intended to prevent unlawful transshipment of such articles, if he determines that the country meets the eligibility criteria set forth in: (1) Section 104 of the AGOA; and (2) section 502 of the 1974 Act. For 2010, 39 countries have been designated as beneficiary sub-Saharan African countries. These countries, as well as the 9 countries currently ineligible, are listed below. Section 506A of the 1974 Act provides that the President shall monitor and review annually the progress of each sub-Saharan African country in meeting the foregoing eligibility criteria in order to determine whether each beneficiary sub-Saharan African country should continue to be eligible, and whether each sub-Saharan African country that is currently not a beneficiary sub-Saharan African country, should be designated as such a country. Section 506A of the 1974 Act requires that, if the President

determines that a beneficiary sub-Saharan African country is not making continual progress in meeting the eligibility requirements, he must terminate the designation of the country as a beneficiary sub-Saharan African country.

The Subcommittee is seeking public comments in connection with the annual review of the eligibility of beneficiary sub-Saharan African countries for the AGOA's benefits. The Subcommittee will consider any such comments in developing recommendations on country eligibility for the President. Comments related to the child labor criteria may also be considered by the Secretary of Labor in making the findings required under section 504 of the 1974 Act. The following sub-Saharan African countries were designated as beneficiary sub-Saharan African countries in 2010:Q

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Angola,
Republic of Benin,
Republic of Botswana,
Burkina Faso,
Burundi,
Republic of Cape Verde,
Republic of Cameroon,
Republic of Chad,
Federal Islamic Republic of Comoros,
Republic of Congo,
Democratic Republic of Congo,
Republic of Djibouti,
Ethiopia,

Gabonese Republic,
The Gambia,
Republic of Ghana,
Republic of Guinea-Bissau,
Republic of Kenya,
Kingdom of Lesotho,
Republic of Liberia,

Republic of Malawi,
Republic of Mali,
Islamic Republic of Mauritania,
Republic of Mauritius,
Republic of Mozambique,
Republic of Namibia,
Federal Republic of Nigeria,
Republic of Rwanda,
Sao Tome & Principe,
Republic of Senegal,
Republic of Seychelles,

Republic of Sierra Leone, Republic of South Africa, Kingdom of Swaziland, United Republic of Tanzania, Republic of Togo, Republic of Uganda, Republic of Zambia.

The following sub-Saharan African countries were not designated as beneficiary sub-Saharan African countries in 2010:

Central African Republic, Republic of Cote d'Ivoire, Republic of Guinea, Republic of Equatorial Guinea, State of Eritrea, Republic of Madagascar, Republic of Niger, Somalia, Republic of Sudan, Republic of Zimbabwe.

Requirements for Submissions: Comments must be submitted in English. To ensure the most timely and expeditious receipt and consideration of petitions, USTR has arranged to accept on-line submissions via http:// www.regulations.gov. To submit petitions via this site, enter docket number USTR-2010-0024 on the home page and click "search." The site will provide a search-results page listing all documents associated with this docket. Find a reference to this notice by selecting "notice" under "Document Type" on search-results page and click on the link entitled "Submit a Comment." (For further information on using the http://www.regulations,gov Web site, please consult the resources provided on the Web site by clicking on "Help" at the top of the home page.)

The http://www.regulations.gov Web site provides the option of making submissions by filling in a "Type comment & Upload file" field, or by attaching a document. USTR prefers comments to be submitted as attachments. When doing this, it is sufficient to type "See attached" in the "Type comment & Upload file" field. Submissions in Microsoft Word (.doc) or Adobe Acrobat (pdf) are preferred.

Persons wishing to file comments containing business confidential information must submit both a business confidential version and a public version. Persons submitting business confidential information should write "See attached BC comments" in the "Type comment & Upload file" field. Any page containing business confidential information must be clearly marked "BUSINESS CONFIDENTIAL" on the top of that page. Persons submitting a business confidential comment must also submit a separate public version of that comment with the business confidential information deleted. Persons should write "See attached public version" in the "Type comment & Upload file" field of the public submission. Submissions should not attach separate cover letters; rather, information that might appear in the cover letter should be included in the comments you submit. Similarly, to the extent possible, please include any exhibits, annexes, or other attachments to a submission in the same file as the submission itself and not as separate files.

Public versions of all documents relating to this review will be available for review no later than two weeks after the due date at http://www.regulations.gov, docket number USTR-2010-0024.

#### Carmen Suro-Bredie,

Chairman, Trade Policy Staff Committee. [FR Doc. 2010–24781 Filed 10–1–10; 8:45 am] BILLING CODE 3190–W0–P

#### **DEPARTMENT OF THE TREASURY**

#### Internal Revenue Service

[REG-139236-07 (TD 9467)]

#### Proposed Collection; Comment Request for Regulation Project

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning an existing Final Regulation, REG-139236-07 (TD 9467), Measurement of Assets and Liabilities for Pension Funding Purposes.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

ADDRESSES: Direct all written comments to Gerald Shields, Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

#### FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the regulations should be directed to Joel Goldberger at Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or at (202) 927–9368, or through the Internet at Joel.P.Goldberger@irs.gov.

#### SUPPLEMENTARY INFORMATION:

*Title:* Measurement of Assets and Liabilities for Pension Funding Purposes.

*ÖMB Number:* 1545–2095. *Regulation Project Number:* REG– 139236–07 (TD 9467).

Abstract: (These final regulations were issued in two separate NPRMs, which received separate OMB control

numbers of 1545–2095 and 1545–2112. The NPRMs have been combined into a single set of final regulations and the IRS is changing the Information Collection Request (ICR) under OMB control number 1545–2095 and abandoning the ICR under OMB control number 1545–2112. The collections that were under 1545–2112 are being added to 1545–2095.)

In order to implement the statutory provisions under sections 430 and 436, this final regulation contains collections of information in §§ 1.430(f)-1(f), 1.430(h)(2)-1(e), 1.436-1(f), and 1.436-1(h). The information required under  $\S 1.430(f)-1(f)$  is required in order for plan sponsors to make elections regarding a plan's credit balances upon occasion. The information under  $\S 1.430(g)-1(d)(3)$  is required in order for a plan sponsor to include as a plan asset a contribution made to avoid a restriction under section 436. The information required under  $\S 1.430(h)(2)-1(e)$  is required in order for a plan sponsor to make an election to use an alternative interest rate for purposes of determining a plan's funding obligations under § 1.430(h)(2)-1. The information required under §§ 1.436-1(f) and 1.436-1(h) is required in order for a qualified defined benefit plan's enrolled actuary to provide a timely certification of the plan's adjusted funding target attainment percentage (AFTAP) for each plan year to avoid certain benefit restrictions.

Current Actions: There is no change to this existing regulation.

*Type of Review:* Revision of a currently approved collection.

Affected Public: Individuals, business or other for-profit organizations, not-for-profit institutions and Federal, state, local or tribal governments.

Estimated Number of Respondents: 80,000.

Estimated Time per Respondent: 1.5

Estimated Total Annual Burden Hours: 120,000.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will

be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 15, 2010.

#### Gerald Shields,

IRS Supervisory Tax Analyst. [FR Doc. 2010–24761 Filed 10–1–10; 8:45 am] BILLING CODE 4830–01–P

#### **DEPARTMENT OF THE TREASURY**

#### **Internal Revenue Service**

[REG-157711-02]

### Proposed Collection: Comment Request for Regulation Project

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning an existing notice of proposed rulemaking, REG-157711-02 (TD 9424—final), Unified Rule for Loss on Subsidiary Stock.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald J. Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the regulation should be directed to R. Joseph Durbala, at (202) 622–3634, or at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the Internet, at RJoseph.Durbala@irs.gov.

#### SUPPLEMENTARY INFORMATION:

*Title:* Unified Rule for Loss on Subsidiary Stock.

OMB Number: 1545–2096. Regulation Project Number: REG– 157711–02 (TD 9424—final).

Abstract: This document contains final regulations under sections 358, 362(e)(2), and 1502 of the Internal Revenue Code (Code). The regulations apply to corporations filing consolidated returns, and corporations that enter into certain tax-free reorganizations. The regulations provide rules for determining the tax consequences of a member's transfer (including by deconsolidation and worthlessness) of loss shares of subsidiary stock. In addition, the regulations provide that section 362(e)(2) generally does not apply to transactions between members of a consolidated group. Finally, the regulations conform or clarify various provisions of the consolidated return regulations, including those relating to adjustments to subsidiary stock basis.

Current Actions: There is no change to this existing regulation.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Business or other forprofit organizations.

Estimated Number of Respondents: 100.

Estimated Time per Respondent: 15 minutes.

Estimated Total Annual Burden Hours: 25.

The following paragraph applies to all of the collections of information covered by this notice.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper

performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 28, 2010.

#### R. Joseph Durbala,

IRS Tax Analyst.

[FR Doc. 2010–24756 Filed 10–1–10; 8:45 am] BILLING CODE 4830–01–P

#### **DEPARTMENT OF THE TREASURY**

#### **Internal Revenue Service**

#### Proposed Collection; Comment Request for Form 8838

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Form 8838, Consent To Extend the Time To Assess Tax Under Section 367—Gain Recognition Agreement.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald J. Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

#### FOR FURTHER INFORMATION CONTACT:

Requests for copies of the form and instructions should be directed to R. Joseph Durbala at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or at (202) 622–3634, or through the Internet at *RJoseph.Durbala@irs.gov*.

#### SUPPLEMENTARY INFORMATION:

Title: Consent To Extend the Time To Assess Tax Under Section 367—Gain Recognition Agreement. *OMB Number:* 1545–1395. *Form Number:* 8838.

Abstract: Form 8838 is used to extend the statute of limitations for U.S. persons who transfer stock or securities to a foreign corporation. The form is filed when the transferor makes a gain recognition agreement. This agreement allows the transferor to defer the payment of tax on the transfer. The IRS uses Form 8838 so that it may assess tax against the transferor after the expiration of the original statute of limitations.

Current Actions: There are no changes being made to the Form 8838 at this time.

*Type of Review:* Extension of a current approval.

Affected Public: Business or other forprofit organizations and individuals.

Estimated Number of Respondents: 666.

Estimated Time per Respondent: 8 hrs., 14 min.

Estimated Total Annual Burden Hours: 5,482.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 28, 2010.

#### R. Joseph Durbala,

IRS Tax Analyst.

[FR Doc. 2010-24755 Filed 10-1-10; 8:45 am]

BILLING CODE 4830-01-P

#### **DEPARTMENT OF THE TREASURY**

### Internal Revenue Service [PS-106-91] (TD 8563)

### Proposed Collection: Comment Request for Regulation Project

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning an existing final regulation, PS-106-91 (TD 8563), State Housing Credit Ceiling and Other Rules Relating to the Low-Income Housing Credit (§ 1.42-14).

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

ADDRESSES: Direct all written comments to Gerald Shields, Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW, Washington, DC 20224.

#### FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the regulation should be directed to Joel Goldberger, at (202) 927–9368, or at Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the Internet, at Joel.P.Goldberger@irs.gov.

#### SUPPLEMENTARY INFORMATION:

Title: State Housing Credit Ceiling and Other Rules Relating to the Low-Income Housing Credit.

OMB Number: 1545–1423. Regulation Project Number: PS–106– 91 (TD 8563)

Abstract: The regulation concerns the low-income housing credit under section 42 of the Internal Revenue Code. The regulation provides rules relating to the order in which housing credit dollar amounts are allocated from each State's housing credit ceiling under section 42(h)(3)(C) and the determination of which States qualify to receive credit

from a national pool of credit under section 42(h)(3)(D). The regulation affects State and local housing credit agencies and taxpayers receiving credit allocations, and provides them with guidance for complying with section 42.

*Current Actions:* There is no change to this existing regulation.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Business or other forprofit organizations, not-for-profit institutions, individuals or households, and state, local or tribal governments.

Estimated Number of Respondents: 110.

Estimated Time per Respondent: 2 hours, 30 minutes.

Estimated Total Annual Burden Hours: 275.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 15, 2010.

#### Gerald Shields

IRS Supervisory Tax Analyst. [FR Doc. 2010–24762 Filed 10–1–10; 8:45 am]

BILLING CODE 4830-01-P

#### **DEPARTMENT OF THE TREASURY**

Internal Revenue Service [REG-209626-93] (TD 8796)

### Proposed Collection; Comment Request for Regulation Project

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning an existing Final Regulation, REG-209626-93 (TD 8796), Notice, Consent, and Election Requirements Under Sections 411(a)(11) and 417 (§§ 1.411(a)-11T and 1.417(e)-1T).

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

#### FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the regulations should be directed to Joel Goldberger at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or at (202) 927–9368, or through the Internet at Joel.P.Goldberger@irs.gov.

#### SUPPLEMENTARY INFORMATION:

*Title:* Notice, Consent, and Election Requirements Under Sections 411(a)(11) and 417.

*OMB Number:* 1545–1471. *Regulation Project Number:* REG–209626–93 (TD 8796).

Abstract: These regulations provide guidance concerning the notice consent requirements under Code section 411(a)(11) and the notice and election requirements of Code section 417, Regulation section 1.411(a)–11(c) provides that a participant's consent to a distribution under code section 411(a)(11) is not valid unless the participant receives a notice of his or her rights under the plan no more than 90 and no less than 30 days prior to the annuity starting date. Regulation section 1.417(e)–1 sets forth the same 90/30-day time period for providing the notice

explaining the qualified joint and survivor annuity and waiver rights under Code section 417(a)(3).

*Current Actions:* There is no change to this existing regulation.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Individuals, business or other for-profit organizations, not-for-profit institutions and Federal, state, local or tribal governments.

Estimated Number of Respondents: 750.000.

Estimated Time per Respondent: .011 hr.

Estimated Total Annual Burden Hours: 8,333.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request For Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 15, 2010.

#### Gerald Shields,

IRS Supervisory Tax Analyst. [FR Doc. 2010–24760 Filed 10–1–10; 8:45 am]

BILLING CODE 4830-01-P

#### **DEPARTMENT OF THE TREASURY**

#### **Internal Revenue Service**

#### Proposed Collection; Comment Request for Form 5307

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Form 5307, Application for Determination for Adopters of Master or Prototype or Volume Submitter Plans.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald Shields, Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

#### FOR FURTHER INFORMATION CONTACT:

Requests for copies of the form and instructions should be directed to Joel Goldberger, at (202) 927–9368, Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the Internet at Joel.P.Goldberger@irs.gov.

#### SUPPLEMENTARY INFORMATION:

*Title:* Application for Determination for Adopters of Master or Prototype or Volume Submitter Plans.

*OMB Number:* 1545–0200. *Form Number:* 5307.

Abstract: Employers whose pension plans meet the requirements of Internal Revenue Code section 401(a) are permitted a deduction for their contributions to these plans. To have a plan qualified under Code section 401(a), the employer must submit an application to the IRS as required by regulation § 1.401–1(b)(2). Form 5307 is used as an application for this purpose by adopters of master or prototype or volume submitter plans.

*Current Actions:* There are no changes being made to the form at this time.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Business or other forprofit organizations.

Estimated Number of Respondents: 100,000.

Estimated Time per Respondent: 51 hours, 9 minutes.

Estimated Total Annual Burden Hours: 5,115,000.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number.

Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 16, 2010. **Gerald Shields**,

IRS Supervisory Tax Analyst. [FR Doc. 2010–24759 Filed 10–1–10; 8:45 am]

BILLING CODE 4830-01-P

## **DEPARTMENT OF THE TREASURY**

### **Internal Revenue Service**

[INTL-9-95]

## Proposed Collection; Comment Request for Regulation Project

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

**SUMMARY:** The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and

other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning an existing final regulation, INTL–9–95 (TD 8702), Certain Transfers of Domestic Stock or Securities by U.S. Persons to Foreign Corporations (§ 1.367(a)–3). DATES: Written comments should be

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

ADDRESSES: Direct all written comments to Gerald J. Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

## FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the regulations should be directed to R. Joseph Durbala at Internal Revenue Service, Room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or at (202) 622–3634, or through the Internet at *RJoseph.Durbala@irs.gov*.

## SUPPLEMENTARY INFORMATION:

*Title:* Certain Transfers of Domestic Stock or Securities by U.S. Persons to Foreign Corporations.

OMB Number: 1545–1478. Regulation Project Number: INTL–9– 95 (TD 8702).

Abstract: This regulation relates to certain transfers of stock or securities of domestic corporations pursuant to the corporate organization, reorganization, or liquidation provisions of the internal Revenue Code. Transfers of stock or securities by U.S. persons in tax-free transactions are treated as taxable transactions when the acquirer is a foreign corporation, unless an exception applies under Code section 367(a). This regulation provides that no U.S. person will qualify for an exception unless the U.S. target company complies with certain reporting requirements.

Current Actions: There is no change to this existing regulation.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Business or other forprofit organizations.

Estimated Number of Responses: 100. Estimated Time Per Response: 10 hours.

Estimated Total Annual Burden Hours: 1,000.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 28, 2010.

## R. Joseph Durbala,

IRS Tax Analyst.

[FR Doc. 2010–24758 Filed 10–1–10; 8:45 am]

BILLING CODE 4830-01-P

## **DEPARTMENT OF THE TREASURY**

## **Internal Revenue Service**

## Proposed Collection; Comment Request for Form 8038–R

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Form 8038–R, Request for Recovery of Overpayments Under Arbitrage Rebate Provisions.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald J. Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue NW., Washington, DC 20224.

## FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the form and instructions should be directed to R. Joseph Durbala at Internal Revenue Service, room 6129, 1111 Constitution Avenue NW., Washington, DC 20224, or at (202) 622–3634, or through the internet at RJoseph.Durbala@irs.gov.

## SUPPLEMENTARY INFORMATION:

*Title:* Request for Recovery of Overpayments Under Arbitrage Rebate Provisions.

*OMB Number:* 1545–1750. *Form Number:* 8038–R.

Abstract: Under Treasury Regulations section 1.148–3(i), bond issuers may recover an overpayment of arbitrage rebate paid to the United States under Internal Revenue Code section 148. Form 8038–R is used to request recovery of any overpayment of arbitrage rebate made under the arbitrage rebate provisions.

Current Actions: There are no changes being made to the form at this time.

*Type of Review:* Extension of a currently approved collection.

Affected Public: State, local or tribal governments.

Estimated Number of Respondents: 200.

Estimated Time per Respondent: 12 hours, 16 minutes.

Estimated Total Annual Burden Hours: 2,458.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request for comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record.

Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the

collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 28, 2010.

## R. Joseph Durbala,

IRS Tax Analyst.

[FR Doc. 2010–24757 Filed 10–1–10; 8:45 am]

BILLING CODE 4830-01-P

## **DEPARTMENT OF THE TREASURY**

### **Internal Revenue Service**

Proposed Collection; Comment Request for the EFTPS Primary Contact Information Change Form

**AGENCY:** Internal Revenue Service (IRS), Treasury.

**ACTION:** Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning the EFTPS Primary Contact Information Change Form.

**DATES:** Written comments should be received on or before December 3, 2010 to be assured of consideration.

**ADDRESSES:** Direct all written comments to Gerald J. Shields, Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224.

## FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the form and instructions should be directed to R. Joseph Durbala, (202) 622–3634, at Internal Revenue Service, room 6129, 1111 Constitution Avenue, NW., Washington, DC 20224, or through the Internet at RJoseph.Durbala@irs.gov.

**SUPPLEMENTARY INFORMATION:** *Title:* EFTPS Primary Contact Information Change Form.

*OMB Number:* 1545–2100. *Form Number:* N/A.

Abstract: EFTPS is a service offered free by the U.S. Department of the Treasury to help business and individual taxpayers conveniently pay all their federal taxes electronically. Currently taxpayers can only obtain the Primary Contact Information Form by calling EFTPS Customer Service. The taxpayer calls EFTPS Customer Service requesting to change the contact information on their enrollment. As an alternative to faxing, we are offering the taxpayer the option of downloading the form.

Current Actions: There is no change in the paperwork burden previously approved by OMB. This form is being submitted for renewal purposes only.

*Type of Review:* Extension of a currently approved collection.

Affected Public: Individuals and Households.

Estimated Number of Respondents: 12,000.

Estimated Time Per Respondent: 9 minutes.

Estimated Total Annual Burden Hours: 200.

The following paragraph applies to all of the collections of information covered by this notice:

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection of information displays a valid OMB control number. Books or records relating to a collection of information must be retained as long as their contents may become material in the administration of any internal revenue law. Generally, tax returns and tax return information are confidential, as required by 26 U.S.C. 6103.

Request For Comments: Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval. All comments will become a matter of public record. Comments are invited on: (a) Whether the collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology; and (e) estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

Approved: September 28, 2010.

## R. Joseph Durbala,

IRS Tax Analyst.

[FR Doc. 2010-24754 Filed 10-1-10; 8:45 am]

BILLING CODE 4830-01-P

### DEPARTMENT OF THE TREASURY

## Office of Thrift Supervision

[AC-51: OTS No. H-4729]

## Kaiser Federal Financial Group, Inc., Covina, CA; Approval of Conversion Application

Notice is hereby given that on September 28, 2010, the Office of Thrift Supervision approved the application of K-Fed Mutual Holding Company and Kaiser Federal Bank, Covina, California, to convert to the stock form of organization. Copies of the application are available for inspection by appointment (phone number: 202–906– 5922 or e-mail

Public.Info@OTS.Treas.gov) at the Public Reading Room, 1700 G Street, NW., Washington, DC 20552, and the OTS Western Regional Office, 122 W. John Carpenter Freeway, Suite 600, Irving, Texas 75261–9027.

Dated: September 28, 2010. By the Office of Thrift Supervision.

## Sandra E. Evans,

Federal Register Liaison.

[FR Doc. 2010-24772 Filed 10-1-10; 8:45 am]

BILLING CODE 6720-01-M

## DEPARTMENT OF THE TREASURY

## Office of Foreign Assets Control

Additional Identifying Information Associated With Persons Whose Property and Interests in Property Are Blocked Pursuant to Executive Order 13551 of August 30, 2010, "Blocking Property of Certain Persons With Respect to North Korea"

**AGENCY:** Office of Foreign Assets

Control, Treasury. **ACTION:** Notice.

SUMMARY: The Treasury Department's Office of Foreign Assets Control ("OFAC") is publishing additional identifying information associated with the one individual and three entities listed in the Annex to Executive Order 13551 of August 30, 2010, "Blocking Property of Certain Persons With Respect to North Korea," whose property and interests in property are therefore blocked.

FOR FURTHER INFORMATION CONTACT:

Assistant Director, Compliance

Outreach & Implementation, Office of Foreign Assets Control, Department of the Treasury, 1500 Pennsylvania Avenue, NW. (Treasury Annex), Washington, DC 20220, Tel.: 202/622– 2490.

## SUPPLEMENTARY INFORMATION:

## **Electronic and Facsimile Availability**

This document and additional information concerning OFAC are available from OFAC's Web site (http://www.treas.gov/ofac) or via facsimile through a 24-hour fax-on-demand service, Tel.: 202/622–0077.

### **Background**

On August 30, 2010, the President issued Executive Order 13551 "Blocking Property of Certain Persons With Respect to North Korea" (the "Order") pursuant to, *inter alia*, the International Emergency Economic Powers Act (50 U.S.C. 1701–06). In the Order, the President expanded the scope of the national emergency declared in Executive Order 13466 of June 26, 2008, and took additional steps with respect to the situation in North Korea.

Section 1 of the Order blocks, with certain exceptions, all property and interests in property that are in the United States, that come within the United States, or that are or come within the possession or control of any United States person, of persons listed in the Annex to the Order and of persons determined by the Secretary of the Treasury, in consultation with the Secretary of State, to satisfy certain criteria set forth in the Order.

The Annex to the Order lists one individual and three entities whose property and interests in property are blocked pursuant to the Order. OFAC is publishing additional identifying information associated with that individual and those entities.

The listings for the individual and entities now appear as follows:

## Individual

1. KIM, Yong Chol (a.k.a. KIM, Yong-Chol; a.k.a. KIM, Young-Cheol; a.k.a. KIM, Young-Chol; a.k.a. KIM, Young-Chul); DOB circa 1947; alt. DOB circa 1946; POB Pyongan-Pukto, North Korea (individual) [DPRK].

## **Entities**

GREEN PINE ASSOCIATED CORPORATION (a.k.a. CHONGSONG YONHAP; a.k.a. CH'O'NGSONG YO'NHAP), Nungrado, Pyongyang, Korea, North; c/o Reconnaissance General Bureau Headquarters, Hyongjesan-Guyok, Pyongyang, Korea, North [DPRK].

1. OFFICE 39 (a.k.a. BUREAU 39; a.k.a. CENTRAL COMMITTEE BUREAU 39; a.k.a. DIVISION 39; a.k.a. OFFICE #39; a.k.a. OFFICE NO. 39; a.k.a. THIRD FLOOR), Second KWP Government Building (Korean—Ch'o'ngsa), Chungso'ng, Urban Town (Korean—Dong), Chung Ward, Pyongyang, Korea, North; Chung-Guyok (Central District), Sosong Street, Kyongrim-Dong, Pyongyang, Korea, North; Changgwang Street, Pyongyang, Korea, North [DPRK].

2. RECONNAISSANCE GENERAL BUREAU (a.k.a. CHONGCH'AL CH'ONGGUK; a.k.a. KPA UNIT 586; a.k.a. "RGB"), Hyongjesan-Guyok, Pyongyang, Korea, North; Nungrado, Pyongyang, Korea, North [DPRK].

Dated: September 27, 2010.

#### Adam J. Szubin,

Director, Office of Foreign Assets Control.
[FR Doc. 2010–24816 Filed 10–1–10; 8:45 am]
BILLING CODE 4811–42–P

### DEPARTMENT OF THE TREASURY

## **Fiscal Service**

# Surety Companies Acceptable on Federal Bonds: Darwin National Assurance Company

**AGENCY:** Financial Management Service, Fiscal Service, Department of the Treasury.

**ACTION:** Notice.

**SUMMARY:** This is Supplement No. 4 to the Treasury Department Circular 570, 2010 Revision, published July 1, 2010, at 75 FR 38192.

## FOR FURTHER INFORMATION CONTACT:

Surety Bond Branch at (202) 874–6850. **SUPPLEMENTARY INFORMATION:** A

Certificate of Authority as an acceptable surety on Federal bonds is hereby issued under 31 U.S.C. 9305 to the following company: Darwin National Assurance Company (NAIC # 16624). Business Address: 9 Farm Springs Road, Farmington, CT 06032. Phone: (860) 284–1300. Underwriting Limitation b/: \$28,329,000. Surety Licenses c/: AL, AK, AZ, GO, DE, DC, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY. INCORPORATED IN: Delaware.

Federal bond-approving officers should annotate their reference copies of the Treasury Circular 570 ("Circular"), 2010 Revision, to reflect this addition.

Certificates of Authority expire on June 30th each year, unless revoked prior to that date. The Certificates are subject to subsequent annual renewal as long as the companies remain qualified (see 31 CFR part 223). A list of qualified companies is published annually as of July 1st in the Circular, which outlines details as to the underwriting limitations, areas in which companies are licensed to transact surety business, and other information.

The Circular may be viewed and downloaded through the Internet at http://www.fms.treas.gov/c570.

Questions concerning this Notice may be directed to the U.S. Department of the Treasury, Financial Management Service, Financial Accounting and Services Division, Surety Bond Branch, 3700 East-West Highway, Room 6F01, Hyattsville, MD 20782.

Dated: September 20, 2010.

### Laura Carrico,

Director, Financial Accounting and Services Division.

[FR Doc. 2010–24632 Filed 10–1–10; 8:45 am]

BILLING CODE 4810-35-M

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0043]

# Proposed Information Collection (Declaration of Status of Dependents); Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments on information needed to confirm marital status and dependent children.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to

"OMB Control No. 2900–0043" in any correspondence. During the comment period, comments may be viewed online through the FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from OMB for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

*Title:* Declaration of Status of Dependents, VA Form 21–686c.

OMB Control Number: 2900-0043.

*Type of Review:* Extension of a currently approved collection.

Abstract: The form is used to obtain information to confirm marital status and existence of any dependent child(ren). The information is used by VA to determine eligibility and rate of payment for veterans and surviving spouses who are entitled to an additional allowance for dependents.

Affected Public: Individuals or households.

Estimated Annual Burden: 56,500 hours.

Estimated Average Burden per Respondent: 15 minutes.

Frequency of Response: On occasion.

Estimated Number of Respondents:
226.000.

Dated: September 28, 2010. By direction of the Secretary.

## Denise McLamb,

 $Program\ Analyst, Enterprise\ Records\ Service.$  [FR Doc. 2010–24796 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0116]

Proposed Information Collection (Notice to Department of Veterans Affairs of Veteran or Beneficiary Incarcerated in Penal Institution) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments for information needed from penal institutions regarding incarcerated VA beneficiaries.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0116" in any correspondence. During the comment period, comments may be viewed online through the FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility;

(2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

Title: Notice to Department of Veterans Affairs of Veteran or Beneficiary Incarcerated in Penal Institution, VA Form 21–4193.

OMB Control Number: 2900–0116. Type of Review: Extension of a currently approved collection.

Abstract: The data collected on VA Form 21–4193 is used to determine whether a beneficiary's VA compensation or pension rate should be reduced or terminated when he or she is incarcerated in a penal institution in excess of 60 days after conviction.

Affected Public: Federal Government, and State, Local or Tribal Government. Estimated Annual Burden: 416 hours. Estimated Average Burden per Respondent: 15 minutes.

Frequency of Response: One-time. Estimated Number of Respondents: 1.664.

Dated: September 28, 2010. By direction of the Secretary.

## Denise McLamb,

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24739 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0216]

Proposed Information Collection (Application for Accrued Amounts Due a Deceased Beneficiary) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

SUMMARY: The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public

comment in response to the notice. This notice solicits comments on information needed to determine a claimant's entitlement to accrued benefits.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail to nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0216" in any correspondence. During the comment period, comments may be viewed online through the Federal Docket Management System (FDMS) at http://www.Regulations.gov.

### FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

Title: Application for Accrued Amounts Due a Deceased Beneficiary, VA Form 21–601.

OMB Control Number: 2900–0216. Type of Review: Extension of a currently approved collection.

Abstract: The information collected on VA Form 21–601 is used to determine a claimant's entitlement to accrued benefits that was due to a deceased veteran but not paid prior to the veteran's death. Each survivor claiming a share of the accrued benefits must complete a separate VA Form 21–601; however if there are no living survivors who are entitled on the basis

of relationship, accrued benefits may be payable as reimbursement to the person or persons who bore the expenses of the veteran's last illness and burial expenses.

Affected Public: Individuals or households.

Estimated Annual Burden: 2,300 hours.

Estimated Average Burden per Respondent: 30 minutes.

Frequency of Response: One time. Estimated Number of Respondents: 4,600.

Dated: September 28, 2010. By direction of the Secretary.

### Denise McLamb.

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24741 Filed 10–1–10; 8:45 am] BILLING CODE 8320–01–P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0095]

Proposed Information Collection (Pension Claim Questionnaire for Farm Income) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of a currently approved collection and allow 60 days for public comment in response to the notice. This notice solicits comments on information needed to determine net income derived from farming.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0095" in any correspondence. During the comment

period, comments may be viewed online through the FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

SUPPLEMENTARY INFORMATION: Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

*Title:* Pension Claim Questionnaire for Farm Income, VA Form 21–4165.

OMB Control Number: 2900-0095.

Type of Review: Extension of a currently approved collection.

Abstract: VA Form 21–4165 is used to gather information necessary to determine a claimant's countable annual income and available assets due to farm operations. Farm income is not necessarily received on a weekly or monthly basis, and farm operating expenses must be considered in determining a claimant's eligibility to income-based benefits.

Affected Public: Individuals or households, and Farms.

Estimated Annual Burden: 1,038 hours.

Estimated Average Burden Per Respondent: 30 minutes.

Frequency of Response: Annually.
Estimated Number of Respondents: 2.075.

Dated: September 28, 2010.

## By direction of the Secretary.

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24742 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

Denise McLamb,

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0654]

Proposed Information Collection (Annual Certification of Veteran Status and Veteran-Relatives) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments on information needed to identify and properly protect VA benefit records.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0654" in any correspondence. During the comment period, comments may be viewed online through the FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the

burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

Title: Annual Certification of Veteran Status and Veteran-Relatives, VA Form

OMB Control Number: 2900–0654. Type of Review: Extension of a currently approved collection.

Abstract: VBA employees, non-VBA employees in VBA space and Veteran Service Organization employees who have access to VA's benefit records complete VA Form 20–0344. These individuals are required to provide personal identifying information on themselves and any veteran relatives, in order for VA to identify and protect benefit records. VA uses the information collected to determine which benefit records require special handling to guard against fraud, conflict of interest, improper influence etc., by VA and non-VA employees.

Affected Public: Individuals or households.

Estimated Annual Burden: 5,834 hours.

Estimated Average Burden Per Respondent: 25 minutes.

Frequency of Response: Annually.
Estimated Number of Respondents:
14.000.

Dated: September 29, 2010. By direction of the Secretary.

## Denise McLamb,

 $Program\ Analyst, Enterprise\ Records\ Service.$  [FR Doc. 2010–24795 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0045]

Proposed Information Collection (VA Request for Determination of Reasonable Value) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

SUMMARY: The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments for information needed to determine the reasonable value of properties for guaranteed or direct home loans.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through the Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0045" in any correspondence. During the comment period, comments may be viewed online through FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501—3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology

*Title:* VA Request for Determination of Reasonable Value, VA Form 26–1805 and 26–1805–1.

OMB Control Number: 2900–0045. Type of Review: Extension of a currently approved collection.

Abstract: VA Forms 26–1805 and 26–1805–1 are used to identify properties to

be appraised and to make assignments to an appraiser. VA home loans cannot be guaranteed or made unless the nature and conditions of the property is suitable for dwelling purposes is determined; the loan amount to be paid by the veteran for such property for the cost of construction, repairs, or alterations does not exceed the reasonable value; or if the loan is for repair, alteration, or improvements of property, the work substantially protects or improves the basic livability of the property. VA or the lender's participating in the lender appraisal processing program issues a notice of values to notify the veteran and requester of the determination of reasonable value and any conditional requirements.

Affected Public: Individuals or households.

Estimated Annual Burden: 60,000 hours.

Estimated Average Burden Per Respondent: 12 minutes.

Frequency of Response: On occasion.
Estimated Number of Respondents:
300,000.

Dated: September 29, 2010. By direction of the Secretary.

#### Denise McLamb.

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24797 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0568]

Proposed Information Collection (Submission of School Catalog to the State Approving Agency) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection and allow 60 days for public comment in response to the notice. This notice solicits comments on information needed from accredited and nonaccredited educational institutions.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010. **ADDRESSES:** Submit written comments on the collection of information through the Federal Docket Management System (FDMS) at http://www.Regulations.gov; or to Nancy I. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail to nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900-0568" in any correspondence. During the comment period, comments may be viewed online through FDMS.

**FOR FURTHER INFORMATION CONTACT:** Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology

*Title:* Submission of School Catalog to the State Approving Agency.

OMB Control Number: 2900–0568. Type of Review: Extension of a previously approved collection.

Abstract: Accredited and nonaccredited educational institutions, with the exceptions of elementary and secondary schools, must submit copies of their catalog to State approving agency when applying for approval of a new course. State approval agencies use the catalog to determine what courses can be approved for VA training. VA pays educational assistance to veterans, persons on active duty or reservists, and eligible persons pursuing an approved program of education. Educational assistance is not payable when claimants pursue unapproved courses.

Affected Public: Not-for-profit institutions.

Estimated Annual Burden: 2,000 hours.

Estimated Average Burden Per Respondent: 15 minutes.

Frequency of Response: On Occasion.
Estimated Number of Respondents:
3,000.

Dated: September 29, 2010. By direction of the Secretary.

## Denise McLamb,

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24794 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0065]

Proposed Information Collection (Request for Employment Information in Connection With Claim for Disability Benefits) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments on the information needed to determine a claimant's eligibility for increased disability benefits.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0065" in any correspondence. During the comment period, comments may be viewed online through the FDMS.

FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

SUPPLEMENTARY INFORMATION: Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

*Title:* Request for Employment Information in Connection With Claim for Disability Benefits, VA Form 21– 4192.

OMB Control Number: 2900-0065.

*Type of Review:* Extension of a currently approved collection.

Abstract: VA Form 21–4192 is used to request employment information from a claimant's employer. The collected data is used to determine the claimant's eligibility for increased disability benefits based on unemployability.

Affected Public: Business or other forprofit.

Estimated Annual Burden: 15,000 hours.

Estimated Average Burden per Respondent: 15 minutes.

Frequency of Response: One time.

Estimated Number of Respondents: 60,000.

Dated: September 28, 2010. By direction of the Secretary.

## Denise McLamb,

 $Program\ Analyst, Enterprise\ Records\ Service.$  [FR Doc. 2010–24743 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0089]

Proposed Information Collection (Statement of Dependency of Parent(s)) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

**ACTION:** Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of a currently approved collection and allow 60 days for public comment in response to the notice. This notice solicits comments on the information needed to establish a claimant's parents' dependency.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0089" in any correspondence. During the comment period, comments may be viewed online through the FDMS.

**FOR FURTHER INFORMATION CONTACT:** Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

SUPPLEMENTARY INFORMATION: Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the

burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

*Title:* Statement of Dependency of Parent(s), VA Form 21–509.

OMB Control Number: 2900-0089.

*Type of Review:* Extension of a currently approved collection.

Abstract: Veterans receiving compensation benefits based on 30 percent or higher for service-connected injuries and depends on his or her parent(s) for support complete VA Form 21-509 to report income and dependency information. Surviving parents of deceased veterans are required to establish dependency only if they are seeking death compensation. Death compensation is payable when a veteran died on active duty or due to service-connected disabilities prior to January 1, 1957, or died between May 1, 1957 and January 1, 1972 while the veteran's waiver of U.S. Government Life Insurance was in effect. The data collected will be used to determine the dependent parent(s) eligibility for benefits.

Affected Public: Individuals or households.

Estimated Annual Burden: 4,000 hours.

Estimated Average Burden per Respondent: 30 minutes.

Frequency of Response: One-time.
Estimated Number of Respondents:
8.000.

Dated: September 28, 2010.

By direction of the Secretary.

## Denise McLamb,

 $Program\ Analyst, Enterprise\ Records\ Service.$  [FR Doc. 2010–24740 Filed 10–1–10; 8:45 am]

BILLING CODE 8320-01-P

## DEPARTMENT OF VETERANS AFFAIRS

[OMB Control No. 2900-0474]

Proposed Information Collection (Create Payment Request for the VA Funding Fee Payment System (VA FFPS); A Computer Generated Funding Fee Receipt) Activity: Comment Request

**AGENCY:** Veterans Benefits Administration, Department of Veterans Affairs.

ACTION: Notice.

**SUMMARY:** The Veterans Benefits Administration (VBA), Department of Veterans Affairs (VA), is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act (PRA) of 1995, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of a currently approved collection, and allow 60 days for public comment in response to the notice. This notice solicits comments on information needed to determine whether funding fees for VA guaranteed loans were paid.

**DATES:** Written comments and recommendations on the proposed collection of information should be received on or before December 3, 2010.

ADDRESSES: Submit written comments on the collection of information through the Federal Docket Management System (FDMS) at http://www.Regulations.gov or to Nancy J. Kessinger, Veterans Benefits Administration (20M35), Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420 or e-mail

nancy.kessinger@va.gov. Please refer to "OMB Control No. 2900–0474" in any correspondence. During the comment period, comments may be viewed online through FDMS.

## FOR FURTHER INFORMATION CONTACT:

Nancy J. Kessinger at (202) 461–9769 or FAX (202) 275–5947.

**SUPPLEMENTARY INFORMATION:** Under the PRA of 1995 (Pub. L. 104–13; 44 U.S.C. 3501–3521), Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each

collection of information they conduct or sponsor. This request for comment is being made pursuant to Section 3506(c)(2)(A) of the PRA.

With respect to the following collection of information, VBA invites comments on: (1) Whether the proposed collection of information is necessary for the proper performance of VBA's functions, including whether the information will have practical utility; (2) the accuracy of VBA's estimate of the burden of the proposed collection of information; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or the use of other forms of information technology.

Title: Create Payment Request for the VA Funding Fee Payment System (VA FFPS); A Computer Generated Funding Fee Receipt, VA Form 26–8986.

OMB Control Number: 2900–0474. Type of Review: Extension of a currently approved collection.

Abstract: Veterans obtaining a VA-guaranteed home loan must pay a funding fee to VA before the loan can be guaranteed. The only exceptions are loans made to veterans receiving VA compensation for service-connected disabilities, (or veterans whom, but for receipt of retirement pay, would be entitled to receive compensation) and unmarried surviving spouses of veterans who died in active military service or from service-connected disability regardless of whether the spouse has his or her own eligibility.

Affected Public: Business or other for profit.

Estimated Annual Burden: 8,000 hours.

Estimated Average Burden per Respondent: 2 minutes.

Frequency of Response: One-time. Estimated Number of Respondents: 240,000.

Dated: September 29, 2010. By direction of the Secretary.

### Denise McLamb,

Program Analyst, Enterprise Records Service. [FR Doc. 2010–24798 Filed 10–1–10; 8:45 am] BILLING CODE 8320–01–P



Monday, October 4, 2010

## Part II

# Department of Housing and Urban Development

Final Fair Market Rents for Fiscal Year 2011 for the Housing Choice Voucher Program and Moderate Rehabilitation Single Room Occupancy Program; Notice

## DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5430-N-02]

Final Fair Market Rents for Fiscal Year 2011 for the Housing Choice Voucher Program and Moderate Rehabilitation Single Room Occupancy Program

**AGENCY:** Office of the Assistant Secretary for Policy Development and Research, HUD.

**ACTION:** Notice of Final Fair Market Rents (FMRs) for Fiscal Year (FY) 2011.

**SUMMARY:** Section 8(c)(1) of the United States Housing Act of 1937 (USHA) requires the Secretary to publish FMRs periodically, but not less than annually, adjusted to be effective on October 1 of each year. The primary uses of FMRs are to determine payment standards for the Housing Choice Voucher program, to determine initial renewal rents for some expiring project-based Section 8 contracts, to determine initial rents for housing assistance payment (HAP) contracts in the Moderate Rehabilitation Single Room Occupancy program (Mod Rehab), and to serve as rent ceilings in the HOME program. Today's notice provides final FY 2011 FMRs for all areas that reflect the estimated 40th and 50th percentile rent levels trended to April 1, 2011. The FY 2011 FMRs are based on 2000 Census data updated with more current survey data. For FY 2011, FY 2010 FMRs are updated using 2008 American Community Survey (ACS) data, and Consumer Price Index (CPI) rent and utility indexes through the year end of 2009. HUD continues to use ACS data in different ways according to how many two-bedroom standard-quality and recent-mover sample cases are available in the FMR area or its Core-Based Statistical Area (CBSA). As proposed in the August 4, 2010, notice (75 FR 46958), this notice establishes FY 2011 Small Area FMRs for the Housing Choice Voucher program in the Dallas, TX HUD Metropolitan FMR Area (HMFA). All Public Housing Authorities (PHAs) operating in the 8-county HMFA must use the Small Area FMRs from Schedule B Addendum (listed by county and ZIP code) for the voucher program. All other programs that use FMRs will continue to use area-wide FMRs shown in Schedule B for Dallas, TX HMFA.

**DATES:** *Effective Date:* The FMRs published in this notice are effective on October 1, 2010.

FOR FURTHER INFORMATION CONTACT: For technical information on the methodology used to develop FMRs or a listing of all FMRs, please call the HUD USER information line at (800) 245-2691 or access the information at the following link on the HUD Web site: http://www.huduser.org/datasets/ fmr.html. FMRs are listed at the 40th or 50th percentile in Schedule B. An asterisk before the FMR area name identifies a 50th percentile area. Any questions related to use of FMRs or voucher payment standards should be directed to the respective local HUD program staff. Questions on how to conduct FMR surveys or further methodological explanations may be addressed to Marie L. Lihn or Mark Stanton, Economic and Market Analysis Division, Office of Economic Affairs, Office of Policy Development and Research, telephone number (202) 708-0590. Persons with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Information Relay Service at (800) 877-8339. (Other than the HUD USER information line and TTY numbers, telephone numbers are not toll-free.)

## SUPPLEMENTARY INFORMATION:

### I. Background

Section 8 of the USHA (42 U.S.C. 1437f) authorizes housing assistance to aid lower-income families in renting safe and decent housing. Housing assistance payments are limited by FMRs established by HUD for different areas. In the Housing Choice Voucher program, the FMR is the basis for determining the "payment standard amount" used to calculate the maximum monthly subsidy for an assisted family (see 24 CFR 982.503). In general, the FMR for an area is the amount that would be needed to pay the gross rent (shelter rent plus utilities) of privately owned, decent, and safe rental housing of a modest (non-luxury) nature with suitable amenities. In addition, all rents subsidized under the Housing Choice Voucher program must meet reasonable rent standards. The interim rule published on October 2, 2000 (65 FR 58870), established 50th percentile FMRs for certain areas.

Electronic Data Availability: This
Federal Register notice is available
electronically from the HUD Web site at
http://www.hudclips.org. Federal
Register notices also are available
electronically from the U.S. Government
Printing Office Web site, http://

www.gpoaccess.gov/fr/index.html. Complete documentation of the methodology and data used to compute each area's Final FY 2011 FMRs is available at http://www.huduser.org/datasets/fmr/fmrs/index.asp?data=fmr11.

## II. Procedures for the Development of FMRs

Section 8(c) of the USHA requires the Secretary of HUD to publish FMRs periodically, but not less frequently than annually. Section 8(c) states in part, as follows:

Proposed fair market rentals for an area shall be published in the Federal Register with reasonable time for public comment and shall become effective upon the date of publication in final form in the Federal Register. Each fair market rental in effect under this subsection shall be adjusted to be effective on October 1 of each year to reflect changes—based on the most recent available data trended so the rentals will be current for the year to which they apply—of rents for existing or newly constructed rental dwelling units, as the case may be, of various sizes and types in this section.

The Department's regulations at 24 CFR part 888 provide that HUD will develop proposed FMRs, publish them for public comment, provide a public comment period of at least 30 days, analyze the comments, and publish final FMRs (*See* 24 CFR 888.115).

In addition, HUD's regulations at 24 CFR 888.113 set out procedures for HUD to assess whether areas are eligible for FMRs at the 50th percentile. Areas that currently have 50th percentile FMRs are evaluated for progress in voucher tenant deconcentration after three years in the program. Continued eligibility is determined using HUD administrative data that show levels of voucher tenant concentration. The levels of voucher holder concentration must be above 25 percent and show a decrease in concentration since the last evaluation. At least 85 percent of the voucher units in the area must be used to make this determination. For FY 2011, there were 17 areas that were designated as 50th percentile areas. None of the current 50th percentile FMR areas were evaluated this year because they have not completed three years of program participation. As listed below, 10 areas complete their three-year program period and will be evaluated to determine if they remain 50th percentile FMR areas in the proposed FY 2012 FMR publication.

## FY 2010 50TH-PERCENTILE FMR AREAS NOT SLATED FOR ELIGIBILITY EVALUATION UNTIL FY 2012 FMRs

Albuquerque, NM MSA Chicago-Naperville-Joliet, IL HMFA Hartford-West Hartford-East Hartford, CT HMFA Kansas City, MO-KS, HMFA Richmond, VA HMFA North Point-Bradenton-Sarasota, FL MSA Denver-Aurora, CO MSA Houston-Baytown-Sugar Land, TX HMFA Milwaukee-Waukesha-West Allis, WI MSA Tacoma, WA HMFA

An additional seven current 50th percentile FMR areas complete their three-year program period and will be evaluated to determine if they remain 50th percentile FMR areas in the

proposed FY 2013 FMR, as shown below.

## FY 2010 50TH-PERCENTILE FMR AREAS NOT SLATED FOR ELIGIBILITY EVALUATION UNTIL FY 2013 FMRs

Baltimore-Towson, MD MSA Grand Rapids-Wyoming, MI HMFA Philadelphia-Camden-Wilmington, PA-NJ-DE-MD MSA West Palm Beach-Boca Raton, FL HMFA Fort Lauderdale, FL HMFA New Haven-Meriden, CT HMFA Washington-Arlington-Alexandria, DC-VA-MD HMFA

As noted in the publication of proposed FY 2011 FMRs, an additional area qualified for 50th percentile FMRs and will be eligible for review with the proposed FY 2014 FMRs. This area is Bergen-Passaic, NJ HMFA.

## III. Proposed FY 2011 FMRs

On August 4, 2010 (75 FR 46958), HUD published proposed FY 2011 FMRs. As noted in the preamble to the proposed FMRs, the FMRs for FY 2011 reflect the use of both one-year and three-year 2008 ACS data to update June 2007 rent estimates for each area. In addition, the FY 2011 FMRs include all changes made to metropolitan area definitions made by the Office of Management and Budget (OMB) as of December 2009.

During the comment period, which ended September 3, 2010, HUD received 16 public comments on the proposed FY 2011 FMRs. None of the comments HUD received included the data needed to support FMR changes. Several of these comments expressed that proposed FY 2011 FMRs are incorrect for their respective market areas. One commenter noted an inconsistency in the methodology that is corrected and discussed in the following methodology section. The rest of the comments received are discussed in more detail later in this notice.

## IV. FMR Methodology

The FY 2011 FMRs are based on current OMB metropolitan area definitions that were first used in the FY 2006 FMRs. The changes OMB made to the Metropolitan Area Definitions in December 2009 are incorporated. As of December 2009, there was a change in the principal cities of three metropolitan areas that resulted in a code change. These three metropolitan areas are: North Port-Bradenton-Sarasota, FL

MSA, Crestview-Fort Walton Beach-Destin, FL MSA, and Steubenville-Weirton, OH-WV MSA. In Alaska, there was a name change for a nonmetropolitan borough, from Prince of Wales-Ketchikan Census Area, AK to Prince of Wales-Hyder Census Area, AK; and two other Alaskan boroughs were divided, from Skagway-Hoonah-Angoon to Skagway and Hoonah-Angoon boroughs; and from Wrangell-Petersburg to Wrangell and Petersburg boroughs. The area definitions based on 2000 Census data have the advantages of providing more relevant commuting interchange standards, and more current measures of housing market relationships than those based on 1990 Census data and used prior to the FY 2006 FMRs.

At HUD's request, the Census Bureau prepared a special publicly releasable census file that permits almost exact replication of HUD's 2000 Census Base Rent calculations, except for areas with few rental units. This data set is located on HUD's HUD USER Web site at <a href="http://www.huduser.org/datasets/fmr/CensusRentData/">http://www.huduser.org/datasets/fmr/CensusRentData/</a>.

A. Data Sources—2000 Census and American Community Survey

As in all post-FY 2006 FMR publications, FY 2011 FMRs start with base rents generated using Census 2000 long form survey data. They are updated with American Community Survey (ACS) data and Bureau of Labor Statistics Consumer Price Index (CPI) data. FY 2011 FMRs are FY 2010 FMRs updated by replacing the CPI data used for FY 2010 FMRs with ACS 2008 survey data and updated with CPI data through the end of 2009. Specifically, the FY 2010 rent (as of date: April, 2010) is deflated to June 2007 by dividing it by 18 months of CPI data representing June 2007 through

December 2008 inflation, and the usual 15 month trend factor. This June 2007 rent is the best and most recent rent estimate available using only ACS survey and eliminating all other update data. It is this rent that is updated with additional ACS data and new CPI data.

In order to preserve additional information gathered by HUD through random digit dialing (RDD) surveys, areas surveyed after June 2008 are updated separately, the details of which can be found at the Web site listed above.

*B. Updates From 2007 to 2008–2008 ACS* 

ACS survey data continues to be applied to areas based on the type of area (CBSA, metropolitan subarea, or non-metropolitan county), the amount of survey data available, and the reliability of the survey estimates. Both one- and three-year ACS 2008 data are used to update June 2007 rents. HUD considered using the change in the three-year 2005-2007 ACS to three-year 2006-2008 ACS in place of the change from 2007 one-year ACS to 2008 oneyear ACS, but the nature of the 3 year data mutes the effects of the more recent data, which HUD finds more important for achieving the objectives of the HCV program. Consequently, HUD calculates update factors using the change in ACS one-year data from 2007 to 2008. Beginning with the FY 2010 FMRs, HUD tests these rent changes for statistical significance 1

 $<sup>^1</sup>$  The change is considered statistically significant if Z > 1.645 where (see equation above) and EST $_1$  = ACS 2008 Estimate, EST $_2$  = ACS 2007 Estimate (or ACS 2006 Estimate when the change from 2006–2007 = 1), SE $_1$  = Standard Error of Estimate 1 and SE $_2$  = Standard Error of Estimate 2.

$$Z = \frac{EST_1 - EST_2}{\sqrt{\left(SE_1^2 + SE_1^2\right)}}$$

before applying them to the appropriate base rent. Any state- or metropolitanlevel change that is not statistically significant is not applied. That is, the updated 2008 rent is the same as the 2007 rent if the applicable update factor does not represent a statistically significant change. HUD applied this test as a measure to minimize fluctuations in rents due to survey error. Metropolitan level rent changes are used for CBSA areas and subareas that have more than 200 standard quality cases in 2007 and 2008. All other areas are updated with state level rent changes. For subareas, State and CBSA change factors continue to be selected based on which factor brings the subarea rent closer to the CBSA-wide rent. Subareas which have 200 or more local standard quality survey observations are updated with their local area update factor.

The error measurement test and ACSbased update factor is revised from the proposed FY 2011 FMRs, in response to a comment. The commenter noted that using a z-test that compared the 2008 rent to the 2007 rent was not logical for areas where the 2007 ACS rent was never used in the update, or where the z-test last year (evaluating the change from 2006 to the 2007 ACS rent) was not statistically significant and HUD applied an ACS update factor of one. To correct this oversight, HUD revised the FMR estimation process for areas where there was no statistically significant difference between the 2006 and the 2007 ACS rent result, where the ACS update equaled one. The z-test for these areas would be applied to the difference in the rent and error measurement for 2006 compared with 2008 ACS, rather than a comparison of 2007 to 2008 ACS.

After all areas have been updated with a standard quality median rent change, local areas with estimates that reflect more than 200 one-year recent mover cases are evaluated further. If the updated rent is outside the confidence interval of the ACS recent mover estimate, the updated rent is replaced with the ACS recent mover rent estimate. In areas without 200 or more one-year ACS recent mover observations, but with 200 or more three-year ACS recent mover observations, the three year estimate <sup>2</sup> is

used if it is statistically different from the updated 2008 rent based on the standard quality median rent change. This process creates a June 2008 rent.

## C. Updates From 2008 to 2009

ACS 2008 data updates the June 2007 rents used in the FY 2010 FMRs forward by 12 months to June 2008. HUD uses six months of 2008 and 12 months of 2009 CPI rent and utilities price index data to update the June 2008 rents to the end of 2009. HUD uses local CPI data for FMR areas with at least 75 percent of their population within Class A metropolitan areas covered by local CPI data. HUD uses CPI data aggregated to Census regions for FMR areas in Class B and C size metropolitan areas and nonmetropolitan areas without local CPI update factors.

## D. Updates From 2009 to 2011

HUD applies the national 1990 to 2000 average annual rent increase trend of 1.03 to end-of-2009 rents for 15 months, to derive the proposed FY 2011 FMRs. HUD will publish an additional Federal Register notice this fall requesting alternatives to the use of this long-term historical trend factor. HUD is considering alternatives for trend factors that include historical average annual trend factors based on shorter time periods and trends based on projections linked to other government forecasts.

The area-specific data and computations used to calculate proposed FY 2011 FMRs and FMR area definitions can be found at http://www.huduser.org/datasets/fmr/fmrs/index.asp?data=fmr11.

## E. Large Bedroom Rents

HUD's principal FMR estimate is for two-bedroom units. This generally is the most common size of rental units, and therefore the most reliable to survey and analyze. After each decennial census, HUD calculates rent relationships between two-bedroom units and other unit sizes and uses these relationships to set FMRs for other units. This is done because it is much easier to update twobedroom estimates and to use preestablished cost relationships with other bedroom sizes than it is to develop independent FMR estimates for each bedroom size. This was last done using 2000 Census data. A publicly releasable version of the data file used that permits derivations of rent ratios is available at http://www.huduser.org/datasets/fmr/ CensusRentData/index.html. Rent ratio derivations are also shown in the FMR documentation system at http:// www.huduser.org/datasets/fmr/fmrs/ index.asp?data=fmr11.

The rents for three-bedroom and larger units continue to reflect HUD's policy to set higher rents for these units than would result from using normal market rents. This adjustment is intended to increase the likelihood that the largest families, who have the most difficulty in leasing units, will be successful in finding eligible program units. The adjustment adds bonuses of 8.7 percent to the unadjusted threebedroom FMR estimates and adds 7.7 percent to the unadjusted four-bedroom FMR estimates. The FMRs for unit sizes larger than four bedrooms are calculated by adding 15 percent to the fourbedroom FMR for each extra bedroom. For example, the FMR for a fivebedroom unit is 1.15 times the fourbedroom FMR, and the FMR for a sixbedroom unit is 1.30 times the fourbedroom FMR. FMRs for single-room occupancy units are 0.75 times the zerobedroom (efficiency) FMR.

A further adjustment was made using 2000 Census data in establishing rent ratios for areas with local bedroom-size intervals above or below what are considered to be reasonable ranges or where sample sizes are inadequate to accurately measure bedroom rent differentials. HUD's experience has shown that highly unusual bedroom ratios typically reflect inadequate sample sizes or peculiar local circumstances that HUD would not want to utilize in setting FMRs (e.g., large numbers of luxury efficiency apartments that rent for more than typical one-bedroom units). Bedroom interval ranges were established based on an analysis of the range of such intervals for all areas with large enough samples to permit accurate bedroom ratio determinations. These ranges are: efficiency FMRs are constrained to fall between 0.65 and 0.83 of the twobedroom FMR; one-bedroom FMRs must be between 0.76 and 0.90 of the twobedroom FMR; three-bedroom FMRs must be between 1.10 and 1.34 of the two-bedroom FMR; and four-bedroom FMRs must be between 1.14 and 1.63 of the two-bedroom FMR. Bedroom rents for a given FMR area were then adjusted if the differentials between bedroomsize FMRs were inconsistent with normally observed patterns (i.e., efficiency rents were not allowed to be higher than one-bedroom rents and fourbedroom rents were not allowed to be lower than three-bedroom rents).

For low-population, nonmetropolitan counties with small census recentmover rent samples, HUD uses census-defined county group data in determining rents for each bedroom size. This adjustment was made to protect against unrealistically high or

<sup>&</sup>lt;sup>2</sup> The recent mover estimate from the three year data includes all those who moved in the most recent 24 month period. That means that no 2006 survey data are included in this three-year recent mover classification and the likelihood of having a valid (with 200 or more cases) three-year recent mover rent is lower for these estimates.

low FMRs due to insufficient sample sizes. The areas covered by this estimation method had less than the HUD standard of 200 two-bedroom census-tabulated observations.

### V. Public Comments

A total of 16 public comments were received on the proposed FY 2011 FMRs. Seven of the comments filed concerned the 4.1 percent decline in FMRs for the Pittsburgh, PA HUD Metro FMR Area. According to the commenters, within the city there are high vacancy rates, high abandonment and condemnation rates and high percentages of substandard housing in the older, denser communities. A 2004 study of the city determined that 70 percent to 90 percent of the housing stock is considered below average in certain districts of the city, which is a major factor in the affordability of the City's housing market. The market for voucher holders is further constrained by refusal by landlords to accept vouchers and the changing nature of neighborhoods of choice. As a solution to this problem, it was suggested HUD institute a hold-harmless policy for FMRs; that is the FY 2011 FMRs should not be allowed to decline, but should be left at the higher level from FY 2010, at a minimum. It was also suggested that the FMR area be split into smaller areas to produce more accurate results. HUD will not institute a hold-harmless for Pittsburgh or any other FMR area. The FY 2011 FMR for the Pittsburgh, PA HUD Metro FMR Area is calculated using the most recent data available, based on local surveys from both the ACS and CPI. If smaller areas will help Public Housing Agencies (PHAs) operating the Housing Choice Voucher program within the city manage the program, these PHAs should consider applying for participation in HUD's small area FMR demonstration project. Information on the structure of the demonstration project and instructions for application will be announced in early 2011 by publication of a Federal Register notice.

A commenter in the Washington-Arlington-Alexandria, DC-VA-MD HUD Metro FMR Area also requested a hold harmless policy be instituted to prevent declines in FMRs. Even under the small area FMR demonstration project, FMRs will be allowed to decline from year to year. Small area FMRs used in the demonstration project will continue to include a rent floor (based on the state minimum as is currently in place); however, the methodology for calculating the current year metropolitan area rent has not been

proposed to be changed from the current methodology.

There were several comments filed addressing the small area FMR demonstration project and much confusion about HUD's failure to respond to the comments filed with respect to the May 18, 2010 Federal Register notice (75 FR 27808). Comments on small area FMRs were received from the Montgomery County Housing Authority of Norristown, PA; the Public Housing Authorities Directors Association, and, in part, the National Association of Home Builders. Internally, all of the comments to the small area FMR demonstration project notice have been discussed and a notice with this discussion and decisions and further details on the program will be published in early 2011. Including a general discussion of the comments filed in response to the May 18, 2010 notice in this notice finalizing FY 2011 FMRs is likely to exacerbate the confusion. For this reason, the small area FMR methodology section is not included in this Notice, though it is unchanged from the proposed FY 2011 FMR publication for the Dallas, TX HUD Metro FMR Area, and may be accessed at http://www.huduser.org/portal/ datasets/fmr/fmr2011p/ Preamble FY2011P FMRs.pdf. One commenter noticed that the Small Area FMRs for Dallas did not incorporate the 10 dollar rounding protocol identified in the methodology and posted in the Web site. This has been corrected in this publication, so that the published Small Area FMRs that will be used for the voucher program in Dallas, TX HMFA beginning on October 1, 2010 show a 10 dollar rounding protocol instead of an earlier proposed 25 dollar protocol. These Small Area FMRs are provided in the Schedule B Addendum, which lists the FMRs by county and ZIP code. All PHAs operating in the Dallas must use these Small Area FMRs for the Housing Choice Voucher program. The area-wide FMRs for the Dallas, TX HMFA, listed in Schedule B, continue to be used for all other programs.

Another commenter questioned how the demonstration project could be considered voluntary, when it is not so for Dallas. While all other participation in the demonstration project will be voluntary and will not begin until early 2011 after discussion of all comments and further description of the program, the Dallas demonstration project and its timing reflects a court settlement between HUD and the Inclusive Communities Project, Inc. and the timing cannot be changed, nor can other aspects of the program. Therefore, the demonstration project being conducted

in the Dallas, TX HUD Metropolitan FMR Area may differ in other ways (other than the start date) from what is decided for voluntary participants of the demonstration project.

The National Association of Housing and Redevelopment Officials (NAHRO) discusses eight issues in its comments to the FY 2011 FMRs, although most are reiterations of positions that NARO has expressed consistently since the publication of the FY 2006 FMRs. Foremost among those concerns is the use by HUD of metropolitan area definitions based on 2000 Census data as opposed to the area definitions from FY 2005 FMRs based on 1990 data. HUD modified the metropolitan area definitions based on rent and income relationships comparing old (FY 2005) FMR areas to new FMR areas, but NAHRO specifically opposes the inclusion of formerly nonmetropolitan counties into metropolitan areas. Under HUD's current methodology for calculating FMRs and median family income estimates, these nonmetropolitan subareas would be given their own FMR and designated a HUD Metro FMR Area (HMFA) if either their rent or income was more than five percent different from the rent or income of the new area and there is sufficient Census data available to provide statistically reliable rent and income estimates. For the former nonmetropolitan counties that did not have enough data to make this comparison, HUD determined it could not dilute the FMR for the metropolitan area. Overall, HUD does not agree with the premise of the argument, that metropolitan FMR areas have been diluted by the change in the area definitions. Furthermore, NAHRO's argument does not allow for the growth and change of metropolitan areas over time. Moreover, unlike in past years where FMR base-year data was updated every 10 years with the decennial census, FMRs are updated with ACS data annually, and can be rebenchmarked using ACS data if enough recent mover observations exist for the area. ACS data is aggregated using the new area definitions. The fewer changes HUD has to these definitions, the more transparent the FMR calculation process, since it is not dependent on special tabulation of data.

The request by NAHRO to re-institute the 10 regional surveys of metropolitan and nonmetropolitan areas used for the Annual Adjustment Factors (AAFs) and the FMRs is also being denied. These 20 factors were based on longitudinal surveys from around FY 1996 to FY 2005. HUD determined, through analysis of 2000 Census data, that these

20 surveys did not improve estimates of rent changes, so HUD reverted to using CPI rent and utility surveys for the 4 Census regions. The CPI data provided better results while saving the Department the cost of the 20 surveys. Based on the analysis of the 2000 Census data, HUD concluded there was a valid statistical reason for the elimination of the 20 regional surveys. The regional AAFs are also based on the same data (CPI for rent and utilities—aggregated to the 4 Census Regions) that is used for the local AAFs.

NAHRO request that HUD publish the utility component of FMRs. HUD cannot do this because, as discussed in the methodology and emphasized here, HUD establishes FMRs based on gross rent data from the census. HUD does not collect utility data to update the FMRs. The base FMR and the ACS updates are generated using data collected on a gross rent basis. Only the CPI update is split between rent and utilities and this split, as discussed in the methodology, uses the percentage of those who pay for heat (again not utility data) to determine the percentage of utilities in the gross rent to apply the CPI utility index. HUD does not collect utility data and therefore cannot provide it.

Several of NAHRO's issues concern the adjustment for housing quality standards and suggestions for improvement of this determination for the FMRs. HUD is also concerned with adjustments for housing quality standards and will continue to evaluate this issue. At this time, however, no changes will be made.

NAHRO requests changes to the requalification process for 50th percentile FMRs including an automatic extension for areas that lose 50th percentile status and an unspecified change in the criteria used to evaluate the program. The automatic extension goes against the intent of the program, which is only available to a select number of areas, and any changes would require a rulemaking process. At this point HUD is focusing its efforts to expand opportunities to voucher holders through small area FMRs rather than changes to the 50th percentile FMR program.

The request for data to determine the median rent method (which HUD would not have to provide if the 2000 Census metropolitan area definitions were not modified since this data would be available through the Census Bureau's American Factfinder) has been available internally for some time. This is now posted on our Web site at <a href="http://www.huduser.org/portal/datasets/fmr.html">http://www.huduser.org/portal/datasets/fmr.html</a>.

NAHRO also comments on random digit dialing surveys (RDDs), in terms of the limited time to conduct them and the current methodology. HUD will accept RDDs conducted by areas and make revisions at any time during the fiscal year. Currently, HUD is conducting several RDDs and plans a revised Final FY2011 FMR notice following the completion of the surveys and processing of the data. Additionally, HUD is investigating ways of revising its survey methodology to include other less expensive ways to collect rent data, under a contract that will begin early next year. HUD's current survey methodology collects recent mover rents to determine if adjustments to FMRs are needed. The recent mover period has ranged from as little as 6 months to 24 months depending on the economic climate of the survey area. The fifteen-month designation for recent mover rents is the definition used in the decennial census. Under the ACS, however, the recent mover rent is a 24-month period and this is what is used most often in current RDD surveys. The current random digit dialing methodology collects enough recent-mover rents in an area to provide a statistically significant rent, which is generally 200 cases, though the results of the survey are compared to the current FMR to determine if a statistically significant difference exists. If so, the RDD survey result replaces the current FMR. Rents for stavers are also collected for purposes of comparison and further validity tests of the survey data.

A comment from Minot, ND states that this area continues to struggle with a very tight rental market, despite the increased FMRs provided from a RDD survey conducted by HUD last year. Rents continue to increase at a rapid rate. Another informal comment from Williams County, ND also shows significant rental increases. The causes of these increases are somewhat the same, and are attributed to increased jobs in oil and gas exploration, although in Minot, the Air Force base is also expanding, with estimated growth in personnel of 900 to 1,000. Small and rapidly changing markets, such as these, for which the ACS provides less timely data do require rent surveys, but it may be worthwhile for Minot to partner with Williams and other counties in the area to conduct a joint survey. Local agencies can conduct surveys at substantially less cost than HUD. Under HUD's current procedures, the timing of Minot's survey is crucial. Surveys are too expensive, even if conducted by small agencies, to be administered annually. The full

extent of the expansion of the Air Force base cannot be measured until at least 6 months after it has occurred, and then the survey must limit the definition of recent movers as those moved in the past 6 months. HUD will contact Minot and Williams County to determine how and when to proceed with collecting updated rent information.

The Oklahoma City Housing Authority commented that the proposed increase in FMRs for the Oklahoma City,

OK MSA is appropriate.

A comment filed by the National Association of Home Builders (NAHB) requested that HUD institute a floor to prevent any FY 2011 FMRs from declining by more than five percent, or else conduct RDD surveys of these areas. HUD considers it an inappropriate use of scarce resources to survey all areas that have FMR declines of more than 5 percent. In order to be effective, FMRs must follow the market, both up and down, in accordance with the most recent data available. HUD has attempted to mitigate the impact of annual changes, with the implementation of statistical significance testing for changes measured in annual ACS data, but HUD is committed to allowing changing market conditions to be reflected in the FMRs.

## VI. Manufactured Home Space Surveys

The FMR used to establish payment standard amounts for the rental of manufactured home spaces in the Housing Choice Voucher program is 40 percent of the FMR for a two-bedroom unit. HUD will consider modification of the manufactured home space FMRs where public comments present statistically valid survey data showing the 40th percentile manufactured home space rent (including the cost of utilities) for the entire FMR area. For FY 2011, HUD received no comments or data concerning manufactured home space rents.

All approved exceptions to these rents that were in effect in FY 2010 were updated to FY 2011 using the same data used to estimate the Housing Choice Voucher program FMRs if the respective FMR area's definition remained the same. If the result of this computation was higher than 40 percent of the rebenchmarked two-bedroom rent, the exception remains and is listed in Schedule D. The FMR area definitions used for the rental of manufactured home spaces are the same as the area definitions used for the other FMRs. Areas with definitional changes that previously had exceptions to their manufactured housing space rental FMRs are requested to submit new

surveys to justify higher-than-standard space rental FMRs if they believe higher space rental allowances are needed.

## VII. HUD Rental Housing Survey Guides

For the supporting data, HUD recommends the use of professionally conducted RDD telephone surveys to test the accuracy of FMRs for areas where there is a sufficient number of Section 8 units to justify the survey cost of approximately \$35,000. Areas with 2,000 or more program units usually meet this cost criterion, and areas with fewer units may meet it if actual rents for two-bedroom units are significantly different from the FMRs proposed by HUD. In addition, HUD has developed a version of the RDD survey methodology for smaller, nonmetropolitan PHAs. This methodology is designed to be simple enough to be done by the PHA itself, rather than by professional survey organizations, at a cost of \$5,000 or less.

PHAs in nonmetropolitan areas may, in certain circumstances, conduct surveys of groups of counties. HUD must approve all county-grouped surveys in advance. PHAs are cautioned that the resulting FMRs will not be identical for the counties surveyed. Each individual FMR area will have a separate FMR based on the relationship of rents in that area to the combined rents in the cluster of FMR areas. In addition, PHAs are advised that counties where FMRs are based on the combined rents in the cluster of FMR areas will not have their FMRs revised unless the grouped survey results show a revised FMR above the combined rent level.

PHAs that plan to use the RDD survey technique should obtain a copy of the appropriate survey guide. Larger PHAs should request HUD's survey guide entitled "Random Digit Dialing Surveys; A Guide to Assist Larger Public Housing Agencies in Preparing Fair Market Rent Comments." Smaller PHAs should obtain the guide entitled "Rental Housing Surveys: A Guide to Assist Smaller Public Housing Agencies in Preparing Fair Market Rent Comments." These guides, in Microsoft Word format, are available from HUD USER at HUD's Web site at the following address: http://www.huduser.org/datasets/ fmr.html.

Other survey methodologies are acceptable in providing data to support comments, if the survey methodology can provide statistically reliable, unbiased estimates of the gross rent. Survey samples should preferably be randomly drawn from a complete list of rental units for the FMR area. If this is

not feasible, the selected sample must be drawn to be statistically representative of the entire rental housing stock of the FMR area. Surveys must include units at all rent levels and be representative by structure type (including single-family, duplex, and other small rental properties), age of housing unit, and geographic location. The decennial census should be used as a means of verifying if a sample is representative of the FMR area's rental housing stock.

Most surveys of FMR areas cover only one- and two-bedroom units. If the survey is statistically acceptable, HUD will estimate FMRs for other bedroom sizes using ratios based on the decennial census. A PHA or contractor that cannot obtain the recommended number of sample responses after reasonable efforts should consult with HUD before abandoning its survey; in such situations, HUD may find it appropriate to relax normal sample size requirements.

HUD will consider increasing manufactured home space FMRs where public comment demonstrates that 40 percent of the two-bedroom FMR is not adequate. In order to be accepted as a basis for revising the manufactured home space FMRs, comments must include a pad rental survey of the mobile home parks in the area, identify the utilities included in each park's rental fee, and provide a copy of the applicable public housing authority's utility schedule.

## VIII. Environmental Impact

This Notice involves the establishment of fair market rent schedules, which do not constitute a development decision affecting the physical condition of specific project areas or building sites. Accordingly, under 24 CFR 50.19(c)(6), this Notice is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

Accordingly, the Fair Market Rent Schedules, which will not be codified in 24 CFR part 888, are amended as follows:

Dated: September 24, 2010.

## Raphael W. Bostic,

Assistant Secretary for Policy Development and Research.

## Fair Market Rents for the Housing Choice Voucher Program

## Schedules B and D—General Explanatory Notes

- 1. Geographic Coverage
- a. Metropolitan Areas—FMRs are market-wide rent estimates that are

intended to provide housing opportunities throughout the geographic area in which rental-housing units are in direct competition. The FY 2011 FMRs reflect a change in metropolitan area definitions. HUD is using the metropolitan Core Based Statistical Areas (CBSA), which are made up of one or more counties, as defined by the OMB, with some modifications. HUD is generally assigning separate FMRs to the component counties of CBSA Micropolitan Areas.

b. Modifications to OMB Definitions— Following OMB guidance, the estimation procedure for the FY 2011 FMRs incorporates the current OMB definitions of metropolitan areas based on the CBSA standards as implemented with 2000 Census data, but makes adjustments to the definitions to separate subparts of these areas where FMRs or median incomes would otherwise change significantly if the new area definitions were used without modification. In CBSAs where sub-areas are established, it is HUD's view that the geographic extent of the housing markets are not yet the same as the geographic extent of the CBSAs, but may become so in the future as the social and economic integration of the CBSA component areas increases. Modifications to metropolitan CBSA definitions are made according to a formula as described below.

Metropolitan area CBSAs (referred to as Metropolitan Statistical Areas or MSAs) may be modified to allow for sub-area FMRs within MSAs based on the boundaries of old FMR areas (OFAs) within the boundaries of new MSAs. (OFAs are the FMR areas defined for the FY2005 FMRs. Collectively, they include 1999 definition MSAs/PMSAs, metropolitan counties deleted from 1999 definition MSAs/PMSAs by HUD for FMR purposes, and counties and county parts outside of 1999 definition MSAs/PMSAs referred to as nonmetropolitan counties.) Sub-areas of MSAs are assigned their own FMRs when the sub-area 2000 Census Base Rent differs by at least 5 percent from the MSA 2000 Census Base Rent (i.e., by at most 95 percent or at least 105 percent), or when the 2000 Census Median Family Income for the sub-area differs by at least 5 percent from the MSA 2000 Census Median Family Income. MSA sub-areas, and the remaining portions of MSAs after subareas have been determined, are referred to as HUD Metro FMR Areas (HMFAs) to distinguish these areas from OMB's official definition of MSAs.

The specific counties and New England towns and cities within each

state in MSAs and HMFAs are listed in Schedule B.

## 2. Bedroom Size Adjustments

Schedule B shows the FMRs for zerobedroom through four-bedroom units. The FMRs for unit sizes larger than four bedrooms are calculated by adding 15 percent to the four-bedroom FMR for each extra bedroom. For example, the FMR for a five-bedroom unit is 1.15 times the four-bedroom FMR, and the FMR for a six-bedroom unit is 1.30 times the four-bedroom FMR. FMRs for single-room-occupancy (SRO) units are 0.75 times the zero-bedroom FMR.

- 3. Arrangement of FMR Areas and Identification of Constituent Parts
- a. The FMR areas in Schedule B are listed alphabetically by metropolitan FMR area and by nonmetropolitan county within each state. The exception rents for manufactured home spaces FMRs are listed alphabetically in Schedule D.
- b. The constituent counties (and New England towns and cities) included in each metropolitan FMR area are listed immediately following the listings of the FMR dollar amounts. All constituent parts of a metropolitan FMR area that are in more than one state can be identified by consulting the listings for each applicable state.
- c. Two nonmetropolitan counties are listed alphabetically on each line of the nonmetropolitan county listings.

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SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	PAGE 1	
ALABAWA		
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR 4 BR Counties of	FMR AREA within STATE	
Ambiston-Oxford, AL MSA.  Auburn-Opelika, AL MSA.  Auburn-Opelika, AL MSA.  Birmingham-Hoover, AL HMFA.  Birmingham-Hoover, AL HMFA.  Chilton County, AL HMFA.  Columbus, GA-AL MSA.  Africation Resolution AL HMFA.  Columbus, GA-AL MSA.  Africation Resolution AL MSA.  Africation Resolution AL MSA.  Africation Resolution Resol	unt, Jefferson, St. Clair, Shelby Morgan Gouston Lauderdale , Madison Elmore, Lowndes, Montgomery sale, Tuscaloosa	
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR NONMETROPOLITAN COUNTIES	0 BR 1 BR 2 BR 3 BR 4	4 BR
764 1 550 536	448 449 539 667 397 449 550 659 464 465 560 667	687 710 688
. 444 469 536 680 908 Clarke . 446 448 536 662 825 Cleburne	348 481 536 642 450 451 541 663	942 825
Coffee.       426 487 551 754 967       Conecuh.         Coosa.       435 481 536 726 815       Covington.         Crenshaw.       397 449 550 659 710       Cullman.         Dale.       414 478 536 774 939       Dallas.         DeKalb.       400 425 536 713 733       Escambia.	444 469 536 680 446 447 536 731 464 478 560 753 355 493 547 690 445 451 536 668	908 754 774 740 822
Fayette       352       407       536       781       943       Franklin         Jackson       445       482       536       683       941       Lamar         Macon       397       428       551       735       759       Marengo         Marion       348       407       536       681       942       Marshall         Monroe       445       483       536       742       820       Perry	349 452 536 723 359 445 536 716 445 473 536 694 472 506 571 771 445 473 536 694	941 940 713 849
Pickens.       359 445 536 716 940       Pike.         Randolph.       446 448 536 662 825       Sumter.         Talladega.       454 455 545 735 961       Tallapoosa.         Washington.       444 469 536 680 908       Wilcox.         Winston.       358 407 536 642 660	. 427 460 536 688 . 359 458 536 716 . 429 439 538 759 . 444 469 536 680	710 940 881 908
ALASKA		
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR 4 BR Counties of	FMR AREA within STATE	
Anchorage, AK HMFA	North Star Susitna	

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING		PAGE 2	
ALASKA continued			
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMETRO	NONMETROPOLITAN COUNTIES 0 BR	1 BR 2 BR	3 BR 4 BR
Aleutians East	Aleutians West	902 1143 902 1143 902 1143 902 1143 684 832	1413 1456 1413 1456 1413 1456 1413 1456 1140 1461
Ketchikan Gateway.       713       910       1094       1594       1921       Kodiak Is.         Lake and Peninsula.       793       902       1143       1413       1456       Nome         North Slope.       818       957       1257       1503       1547       Northwest         Petersburg.       793       902       1143       1413       1456       Prince of         Sitka.       759       875       1044       1521       1832       Skagway	ak Island       765         nwest Arctic       793         ce of Wales-Hyder       793         way       793	895 1178 1022 1173 902 1143 902 1143	1693 1792 1416 1458 1413 1456 1413 1456 1413 1456
Southeast Fairbanks	Valdez-Cordova	824 1029 902 1143 902 1143	1445 1627 1413 1456 1413 1456
ARIZONA			
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR 3 BR 4	4 BR Counties of FMR AREA within	STATE	
Flagstaff, AZ MSA.       845 1005 1136 1461 18         Lake Havasu City-Kingman, AZ MSA.       633 697 812 1123 11         Phoenix-Mesa-Glendale, AZ MSA.       666 776 936 1363 11         Prescott, AZ MSA.       705 728 919 1339 11         Tucson, AZ MSA.       562 661 848 1221 11         Yuma, AZ MSA.       592 699 835 1184 1	1843 Coconino 1253 Mohave 1596 Maricopa, Pinal 1379 Yavapai 1291 Pima 1451 Yuma		
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMETRO	NONMETROPOLITAN COUNTIES 0 BR	1 BR 2 BR	3 BR 4 BR
Apache	Cochise       524         Graham       594         La Paz       609         Santa Cruz       626	607 762 640 717 610 732 626 794	1052 1293 991 1140 1036 1067 1158 1192
ARKANSAS			
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR 3 BR 4	4 BR Counties of FMR AREA within	STATE	
Fayetteville-Springdale-Rogers, AR HMFA 482 508 635 925 5 6 751 8 6 751 8 6 751 8	951 Benton, Madison, Washingt 818 Crawford, Sebastian 829 Franklin 851 Grant 851 Craighead 991 Faulkner, Lonoke, Perry, 041 Crittenden 877 Cleveland, Jefferson, Lin 854 Poinsett	on Pulaski, Saline coln	

SCHEDULE B - FY 2011 FINAL FAIR MARKET		IS FOR	EXISTI	RENTS FOR EXISTING HOUSING				PAGE	т		
ARKANSAS continued											
NONMETROPOLITAN COUNTIES 0 BR	3 1 BR	2 BR	3 BR	4 BR	NONMETROPOLITAN COU	COUNTIES	0 BR	1 BR	2 BR	3 BR 4	4 BR
Arkansas       409         Baxter       411         Bradley       399         Carroll       470         Clark       427	9 431 1 477 9 405 0 471 7 433	536 577 536 565 557	778 775 665 712 718	800 978 704 992 740	Ashley		417 452 347 399 431	431 453 481 405 434	566 545 536 536	678 698 683 665	746 784 869 704 731
Cleburne	487 7 481 7 481 7 483 7 484	584 536 536 536 536	801 738 683 744 784	1028 803 869 1038 807	Columbia		352 448 399 427 420	452 450 405 428	541 540 536 550	664 787 682 704 659	760 860 704 786
Hot Spring	5 448 3 444 1 473 3 484 1 430	536 536 536 556	703 693 756 665	725 753 780 795 830	Howard		410 427 347 349 423	475 428 477 428 484	536 536 536 536	690 704 714 659 678	713 786 854 872 795
Logan	450 7 421 5 483 7 448 4 448	536 551 608 538 536	766 727 764 695	858 877 787 780 720	Marion		445 446 423 347 423	446 4447 484 482 484	5 2 3 6 2 3 6 2 3 6 2 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	705 672 665 738 665	777 693 795 865 795
Polk.       445         Prairie.       446         St. Francis.       454         Searcy.       4476         Sharp.       446	483 5 447 4 471 7 448 5 447	536 536 538 538	697 672 776 695 683	847 693 964 780 705	Pope		402 348 445 447	432 436 447 462 428	536 536 536 536	788 641 741 741	810 940 940 858 786
Union	3 467 3 467	551 554 536	714 752 735	927 774 758	Van Buren		348 446	407	536 536	664	693
METROPOLITAN FMR AREAS			0 BR	1 BR 2 BR	3 BR 4 BR Counties	of FMR AREA	within S	STATE			
Bakersfield-Delano, CA MSA. Chico, CA MSA El Centro, CA MSA. Fresno, CA MSA. Hanford-Corcoran, CA MSA. Los Angeles-Long Beach, CA HMFA. Madera-Chowchilla, CA MSA. Merced, CA MSA. Modesto, CA MSA. Napa, CA MSA.			614 609 622 652 652 973 659 969 174	662 789 725 874 724 855 697 810 1173 1465 691 882 674 819 768 905 1176 1393	1140 1367 Kern 1232 1471 Butte 1193 1520 Imperial 1244 1340 Fresno 1181 1423 Kings 1967 2367 Los Angeles 1282 1322 Madera 1168 1364 Merced 1298 1499 Stanislaus 1950 2215 Napa 1889 2339 Alameda, Co 2241 2580 Orange	l eles aus , Contra Costa					

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EXISTING
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CALIFORNIA continued		
METROPOLITAN FWR AREAS 0 BR 1 BR 2	BR 3	BR 4 BR Counties of FMR AREA within STATE
Oxnard-Thousand Oaks-Ventura, CA MSA.       1087 1200         Redding, CA MSA.       599 698         Riverside-San Bernardino-Ontario, CA MSA.       882 970         SacramentoArden-ArcadeRoseville, CA HMFA.       757 861         Salinas, CA MSA.       845 951         San Diego-Carlsbad-San Marcos, CA MSA.       847 1147         San Diego-Carlsbad-San Marcos, CA MSA.       1004 1149         San Diego-Carlsbad-Santa Clara, CA HMFA.       1222 1416         San Jose-Sunnyvale-Santa Clara, CA MSA.       826 977         Santa Barbara Santa Maria-Goleta, CA MSA.       978 1091         Santa Rosa-Petaluma, CA MSA.       842 1024         Santa Rosa-Petaluma, CA MSA.       656 748         Vallejo-Fairfield, CA MSA.       656 748         Volo, CA HMFA.       651 656         Yolo, CA HMFA.       654 636	1527 2188 849 1238 1144 1610 1050 1515 1092 1543 1406 1999 11702 2447 1190 1734 11730 2489 11730 2489 1253 1771 703 1005 703 1005	8 2503 Ventura 19 1493 Shasta 10 1877 Riverside, San Bernardino 15 1737 El Dorado, Placer, Sacramento 18 2238 San Benito 18 2470 San Diego 17 2594 Santa Clara 18 18 San Luis Obispo 18 256 Santa Cruz 18 2145 Sonoma 18 2145 Sonoma 19 2181 Solomo 10 2181 Solano 10 2181 Sulare 11 2181 Sulare 12 184 San Luse 18 2145 San Mateo
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR	NON	NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR
Alpine	Amac Coll Gler Inyc	Amador
Mariposa       658       738       941       1342       1381         Modoc       572       632       827       1178       1223         Nevada       745       870       1146       1655       2014         Sierra       696       812       1071       1518       1879         Tehama       538       611       798       1159       1393	Meno Mono Plui Sisl	Mendocino
Tuolumne		
METROPOLITAN FWR AREAS 0 BR 1 BR 2	BR 3	BR 4 BR Counties of FWR AREA within STATE
Boulder, CO MSA	1102 1607 773 1103 1007 1430	77 1926 Boulder 33 1305 El Paso 80 1667 Adams, Arapahoe, Broomfield, Clear Creek, Denver, Douglas, Elbert, Gilpin, Jefferson, Park
Fort Collins-Loveland, CO MSA.       584       700         Grand Junction, CO MSA.       594       596         Greeley, CO MSA.       550       583         Pueblo, CO MSA.       500       527         Teller County, CO HMFA.       560       654	849 1236 715 1041 713 1040 692 907 861 1254	1441 Larimer 1258 Mesa 1227 Weld 1026 Pueblo 1510 Teller

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENT	ARKET	RENTS	FOR E	XISTIN	EXISTING HOUSING	ING					ı	PAGE	Ŋ			
COLORADO continued																
NONMETROPOLITAN COUNTIES 0	0 BR 1	BR	2 BR	3 BR	4 BR		NONMETR	OPOLIT	NONMETROPOLITAN COUNTIES		0 BR	1 BR :	2 BR	3 BR	4 BR	
Alamosa	434 456 445 474	537 535 561 535 490	2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	810 849 995 849 781	1047 916 1025 916	14 4 6 6 6	Archuleta. Bent Cheyenne Costilla	: : : : :			534 474 474 456 475	628 490 490 535 556	790 596 596 732	961 781 781 849 1025	1240 960 960 916	
Delta	523 885 1 907 1 549 456	534 1034 1033 603 535	629 1360 1145 784 596	863 1711 1413 1085 849	889 2336 1455 1377 916		Dolores Fremont Grand Hinsdale Jackson				534 423 531 669 595	626 506 606 844	724 649 770 1019 763	959 931 1120 1269 984	1237 1068 1154 1788	
Kiowa	474 669 405 467 545	490 844 538 595	596 1019 596 596 747	781 1269 768 776 979	960 1788 793 898 1312		Kit Carson La Plata Lincoln Mineral	: : : : :			474 586 474 669	490 715 490 844	596 818 596 1019 632	781 1148 781 1269 755	960 1306 960 1788 1008	
Montrose Otero. Phillips Prowers	450 465 474 457 457	590 491 490 536 535	688 689 799 796 796	908 825 781 808 865	1125 850 960 1048 918		Morgan Ouray Pitkin Rio Blanco				508 669 935 690	550 844 .093 .687 816	613 1019 1438 763	817 1269 1997 984 1269	986 1788 2525 1187 1863	
Saguache	456 720 774 474	535 865 910 490	596 1105 1189 596	849 1611 1693 781	916 1660 2087 960		San Juan Sedgwick Washington	n 法 ton	San JuanSedgwick		534 474 474	626 490 490	724 596 596	959 781 781	1237 960 960	
CONNECTICUT METROPOLITAN FWR AREAS			0	BR 1	BR 2	BR	3 BR 4	BR	Components of F1	of FMR AREA	within	STATE				
Bridgeport, CT HMFA		:	:	838 1	1083 1	291	1543 1	873		towns Monroe	of Bridgeport town, town, town,	lgeport Shelt	town on tow	124	Easton town, Stratford town,	own,
Colchester-Lebanon, CT HMFA			  	733	860 1 1262 1	1129	1350 1 1916 2	393 376	Trumbull town  New London County towns of Colchester town, Lebanon town  Fairfield County towns of Bethel town, Brookfield town,  Danbury town, New Fairfield town, Newtown town, Redding	cy towns towns towns towns	of Co. of Beth	chesterel tor	er towi wn, Browi Newtowi	n, Lebar Sokfield n town,	anon town ld town, , Redding	m ng town,
*Hartford-West Hartford-East Hartford, CT HMF	rd, CI	, нмға.	:	760	910 1	1113	1337 1	1659 н	Ridgefield town, Sherman town Hartford County towns of Avon town, Berlin town, Bloomfield town, Bristol town, Burlington town, Cant East Granby town, East Hartford town, East Windsor t Enfield town, Farmington town, Glastonbury town, Gra Hartford town, Hartland town, Manchester town, Marlborough town, New Britain town, Newington town, Plainville town, Rocky Hill town, Simsbury town, Southington town, South Windsor town, West Hartford town, Wethersfield town, Windsor town, Windsor Locks town	n, Sherman town  towns of Avon town, Berlin town n, Bristol town, Burlington town wn, East Hartford town, East Win Farmington town, Glastonbury tow Hartland town, Manchester town, wn, New Britain town, Newington n, Rocky Hill town, Simsbury tow wn, South Windsor town, Suffield town, Wethersfield town, Windsor town	an town E Avon 51 town Hartfe 51 town, 3 town, 3ritain Hill t Hill t	town,  town,  brd town,  Glass  Mancl  town,  oown,  eld to	Berlii Lingtoi Wn, Eas stonbus nester Newii Simsbus Wn, Su	n town, n town, st Windse ry town, town, ry town, ry town, ffield to	4 4 4 5	Canton town, or town, Granby town, wn, own,

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING

CONNECTICUT continued						
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FWR AREA within STATE
Milford-Ansonia-Seymour, CT HWFA	913	1058	1184	1507	1655	town, Cromwell ist Hampton tow daletown town, wh, Bolton tow ington town, Fiford town, Tollon town, Beacon Hown, Beacon I
*New Haven-Meriden, CT HMFA	910	1032	1246	1491	1705	Derby town, Milford town, Oxford town, Seymour town New Haven County towns of Bethany town, Branford town, Cheshire town, East Haven town, Guilford town, Hamden town.
Norwich-New London, CT HMFA	733	870	1007	1233	1361	noted town, Neriden town, New Haven town, unford town, North Haven town, Orange town, ord town, West Haven town, Woodbridge town, County towns of Bozrah town, East Lyme town, town, Griswold town, Groton town, Lyme town, Montville town, New London town information, Norwich town, Old Lyme town,
Southern Middlesex County, CT HMFA	862	806	1155	1482	1690	Fieston cown, Salem cown, Sprague cown, Sconingcon cown, Voluntown town, Waterford town Middlesex County towns of Clinton town, Deep River town, Essex town, Killingworth town, Old Saybrook town,
Stamford-Norwalk, CT HMFA	1190	1449	1811	2360	2851	Westbrook town Fairfield County towns of Darien town, Greenwich town, New Canaan town Norwalk town Stamford town Weston town
Waterbury, CT HMFA	618	800	951	1138	1185	Westport town, Wilton town New Haven County towns of Middlebury town, Naugatuck town, Prospect town, Southbury town, Waterbury town, Wolcott town
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Litchfield County, CT	662	862	1018	1307	1470	Barkhamsted town, Bethlehem town, Bridgewater town, Canaan town, Colebrook town, Cornwall town, Goshen town, Harwinton town, Kent town, Litchfield town, Morris town, New Hartford town, New Milford town, Norfolk town,
Windham County, CT	611	740	891	1121	1189	North Canaan town, Plymouth town, Roxbury town, Salisbury town, Sharon town, Thomaston town, Torrington town, Warren town, Washington town, Watertown town, Winchester town, Woodbury town  Ashford town, Brooklyn town, Canterbury town, Chaplin town, Eastford town, Hampton town, Killingly town, Plainfield town, Pomfret town, Putnam town, Scotland town, Sterling town, Thompson town, Windham town, Woodstock town
DELAWARE						
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Counties of FMR AREA within STATE
Dover, DE MSA	674	733	812	1062	1426	Kent

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	PAGE 7
DELAWARE continued	
METROPOLITAN FWR AREAS 0 BR 1 BR 2 BR 3 BR	4 BR Counties of FMR AREA within STATE
*Philadelphia-Camden-Wilmington, PA-NJ-DE-MD MSA 789 900 1077 1317	1589 New Castle
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMET	NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR
Sussex620 675 750 1026 1056	
DISTRICT OF COLUMBIA	
METROPOLITAN FWR AREAS 0 BR 1 BR 2 BR 3 BR	4 BR Counties of FMR AREA within STATE
*Washington-Arlington-Alexandria, DC-VA-MD HMFA 1131 1289 1461 1885	2466 District of Columbia
FLORIDA	
METROPOLITAN FWR AREAS 0 BR 1 BR 2 BR 3 BR	4 BR Counties of FMR AREA within STATE
Cape Coral-Fort Myers, FL MSA	1393 Lee 1368 Okaloosa 1248 Volusia 2256 Broward 1242 Alachua, Gilchrist 1304 Clay, Duval, Nassau, St. Johns 1275 Miami-Dade 1518 Collier 1559 Marion 1656 Lake, Orange, Osceola, Seminole 1365 Broward 1456 Lake, Orange, Osceola, Seminole 1365 Broward 1499 Flagler 1240 Bay 1385 Escambia, Santa Rosa 1305 Martin, St. Lucie 1613 Charlotte 1625 Indian River 1225 Indian River 1225 Gadsden, Jefferson, Leon 1466 Hernando, Hillsborough, Pasco, Pinellas 1907 Makulla
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMET	NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR
Bradford       418       580       643       797       822       Calhoun.         Citrus.       577       627       694       1007       1212       Columbia.         DeSoto.       567       580       682       822       846       Dixie         Franklin.       538       540       647       815       927       Glades         Gulf.       539       540       647       815       930       Hamilton.         Hardee.       567       615       682       837       860       Hendry	Calhoun

HOUSING	
EXISTING	
FOR	
RENTS	
MARKET	
FAIR	
FINAL	
2011	
FY	
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В	
HEDULE	

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS	ARKET 1		FOR E	XISTI	EXISTING HOUSING	SING					щ	PAGE 8	80			
FLORIDA continued																
NONMETROPOLITAN COUNTIES 0	0 BR 1	BR	2 BR	3 BR	4 BR		NONMETRO	POLIT	NONMETROPOLITAN COUNTIES	0	0 BR 1	BR	2 BR	3 BR	4 BR	
Highlands	612 612 501 539 609	615 535 537 540 631	736 596 604 647 734	952 738 771 815 989	1138 861 794 930 1018		Holmes Lafayette Liberty Monroe		Holmes Lafayette Liberty. Monroe.		514 492 539 900 1	546 537 540 1096	620 596 647 1350 614	807 744 815 1964	847 830 930 2103 759	
Sumter       4         Taylor       5         Walton       6	5493 548 603	536 594 621	596 661 727	783 791 899	1047 812 925		Suwannee Union Washington	on			395 501 397	538 576 451	596 647 596	751 856 854	823 883 879	
GEORGIA METROPOLITAN FMR AREAS			0	BR	1 BR	2 BR	3 BR 4	BR C	Counties of FMR ?	AREA within STATE	ts uit	ATE				
Albany, GA MSAAthens-Clarke County, GA MSA	HMFA	· · · · · · · · · · · · · · · · · · ·		509 545 731	544 606 792	638 760 881	856 8 1012 10 1072 11	884 B6 1044 CJ 1170 B6	Baker, Dougherty, Lee, Terrell, Worth Clarke, Madison, Oconee, Oglethorpe Barrow, Bartow, Carroll, Cherokee, Clayton, Cobb, Dawson, DeKalb, Douglas, Fayette, Forsyth, Fultor Heard, Henry, Jasper, Newton, Paulding, Pickens,	', Lee, Terrell, Wor Oconee, Oglethorpe Carroll, Cherokee, Douglas, Fayette, F isper, Newton, Pauld	errell, Wor Oglethorpe Cherokee, Fayette, I	Terrell, Worth ), Oglethorpe ., Cherokee, Cl. ), Fayette, For	cth Clayton, Forsyth, l	n, Cobb, ( , Fulton, ickens, P:	b, Coweta, on, Gwinnett, , Pike,	, ett,
Augusta-Richmond County, GA-SC MSA.  Brunswick, GA MSA.  Chattanooga, TN-GA MSA.  Columbus, GA-AL MSA.  Dalton, GA HMFA.  Gainesville, GA MSA.  Hinesville-Fort Stewart, GA HMFA.  Lamar County, GA HMFA.  Macon, GA MSA.  Mariwether County, GA HMFA.  Monroe County, GA HMFA.	MSA. FA. O BR 1 BF 449 48 450 470 469 474 444 444 499 517 556 555	N N N N N N N N N N N N N N N N N N N		5516 5511 5511 5524 7011 7011 7011 7011 7011 7011 7011 701	55550 55500 555000 555000 555000 555000 555000 55500 55500 55500 5550	0 0 0 0 L 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	842 886 878 1082 915 1089 874 1039 874 1039 1056 1225 754 1004 750 783 677 697 745 770 745 770 745 770 745 1105 886 886 1022 1175 NONMETROPOl Atkinson Baldwin Baldwin Candler	886 BB 11089 BV 11089 BV 11039 C2 1033 C2 1033 C2 1033 C2 1034 C2 1004 L2 1225 H2 830 BV 1225 M2 820 F1 1175 H2 1175 H2 1175 H2 1175 H2	842 886 Burke, Columbia, McDuffie, 878 1082 Brantley, Glynn, McIntosh 915 1089 Butts 884 1039 Catoosa, Dade, Walker 872 1033 Chattahoochee, Harris, Marij 74 798 Whitfield 1056 1225 Hall 789 956 Haralson 877 997 Liberty 754 1004 Lomar 760 784 1004 Lomar 760 784 1004 Lomar 760 784 830 Bibb, Crawford, Jones, Twice 677 697 Meriwether 760 723 Murray 702 723 Murray 704 820 Floyd 1008 Bryan, Chatham, Effingham 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 762 784 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 Brooks, Echols, Lanier, Low 1022 1175 Houston 860 886 886 886 886 886 886 886 886 886	s, s, nucleon	Twiggs Twiggs  Twarton,  lownde  Downde  123  450  423  380  449	Musc Musc 2000	0 0 6 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	3 BR 691 755 662 671 695	4 BR 7786 7786 680 680 681 681	
	450 4	470	542	691	786		Chattoog	 	Chattooga		353	432	542	650	946	

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	RENTS	FOR E	XISTIN	FOR EXISTING HOUSING			PAGE	O		
GEORGIA continued											
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR 4	4 BR
Clay Coffee Cook Decatur Dooly	4 4 4 4 4 4 4 4 5 0 4 4 4 6 5 0 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	488 464 460 467	542 542 542 615	695 675 737 736 683	854 825 953 922	Clinch Colquitt Crisp Dodge Early	450 451 450 428 450	470 487 454 429 488	542 542 542 542 542	691 649 685 725 695	786 816 706 746 854
Elbert.  Evans.  Franklin  Glascock.	4 4 4 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	470 488 508 411 487	542 542 563 542 542	681 661 684 649 751	702 681 972 777	Emanuel Fannin Gilmer Gordon Greene	354 367 531 531 450	411 510 575 535	542 565 641 687 542	661 676 845 822 681	844 811 1021 848 702
HabershamJacksonJeffersonJohnson	534 450 558 389 408	537 488 606 433 500	643 542 674 542 557	770 647 819 649	1128 950 1069 762	Hancock	4 4 5 0 4 4 4 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	470 477 488 411 489	2 4 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	681 687 661 649 728	702 838 681 762 883
Lincoln.  Macon.  Mitchell  Morgan.	450 450 353 487	44 44 8 4 4 4 4 4 8 8 8 4 4 4 0 7 0 4 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	542 542 542 602 542	681 683 650 721 691	7 8 6 8 7 8 6 8 6 8 6 8 6 8 6 8 6 8 6 8	Lumpkin. Miller. Montgomery. Peach.	475 418 411 490 444	617 486 480 492 494	733 542 593 603	990 679 725 849 743	1097 809 829 892 768
Pulaski. Quitman. Randolph. Screven. Stephens.	411 450 450 389 361	488 488 411 502	542 542 542 542 557	789 695 649 667	8 8 8 8 8 8 8 8 8 8 9 8 9 9 9 9 9 9 9 9	Putnam. Rabun. Schley. Seminole.	409 533 450 418	413 553 466 486 488	2 9 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	788 828 683 679 695	810 998 922 809 854
Sumter Taliaferro. Taylor Thomas. Toombs.	424 450 450 490 700 700 700	4 4 4 4 4 4 4 4 4 4 4 4 4 6 6 4 8 8 8 8	58 44 542 542 542 542	700 681 683 769	1028 702 922 1051 836	Talbot Tattnall Telfair Tift Towns	519 451 411 472 533	520 487 480 512 553	627 542 542 762 762	772 715 725 724 824	795 782 829 837 998
Treutlen. Turner. Upson. Warren.	4 11 4 5 0 3 8 2 4 5 0 3 8 8	480 477 517 470 439	542 542 588 542 542	725 687 703 681 715	829 838 724 702 951	Troup	519 533 449 450	525 553 485 466	658 642 542 542 542	832 824 694 683	8 5 9 8 8 9 9 8 8 9 9 8 8 9 9 8 9 9 8 9
Wheeler	411 411 408	4 8 0 4 8 0 5 0 0	542 542 557	725 725 720	829 829 751	White	474	591 470	657 542	829 681	998
METROPOLITAN FWR AREAS Honolulu, HI MSA				0 BR 1 1190 13	. BR 2 BR	3 BR 4 BR Counties of FWR AREA within 2470 2764 Honolulu		STATE			

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	PAGE	3 10
HAWAII continued		
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1 BR	R 2 BR 3 BR 4 BR
Hawaii	Kalawao	7 1383 1748 1990 1 1617 2164 2317
ІВАНО		
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR	3 BR 4 BR Counties of FWR AREA within STATE	[4]
Boise City-Nampa, ID HMFA.       502       595       702         Coeur d'Alene, ID MSA.       570       615       740         Gem County, ID HMFA.       505       612       680         Idaho Falls, ID MSA.       484       510       651         Lewiston, ID-WA MSA.       504       523       655         Logan, UT-ID MSA.       502       542       677         Pocatello, ID MSA.       416       484       624	1021 1085 Ada, Boise, Canyon, Owyhee 1076 1203 Kootenai 989 1017 Gem 893 1120 Bonneville, Jefferson 930 1133 Nez Perce 908 1121 Franklin 902 1057 Bannock, Power	
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1 BR	2 BR 3 BR 4 BR
Adams	Bear Lake       416       47         Bingham       420       46         Bonner       566       59         Butte       464       49         Caribou       416       47	5 613 871 1028 5 596 820 847 3 728 1030 1061 6 634 896 1065 9 613 871 1028
Cassia	Clark.       464       496         Custer.       464       496         Fremont.       464       496         Idaho.       484       518         Latah.       498       520	6 634 896 1065 6 634 896 1065 6 634 896 1065 8 683 817 966 0 628 916 1059
Lemhi	Lewis.       496       512         Madison.       463       464         Oneida.       416       479         Shoshone.       493       494         Twin Falls.       448       544	2     635     916     1057       4     596     865     942       9     613     871     1028       4     596     785     831       4     689     888     1051
Valley487 507 639 865 1031	Washington487 507	7 639 865 1031
ILLINOIS METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR	3 BR 4 BR Counties of FWR AREA within STATE	FM.
Bloomington-Normal, IL MSA	971 1214 McLean 842 990 Bond 777 955 Alexander 895 1229 Champaign, Ford, Piatt 1242 1403 Cook, DuPage, Kane, Lake, McHenry, 724 768 Vermilion 853 889 Henry, Mercer, Rock Island 1114 1367 DeKalb 829 855 Macon	/, Will

  Clair 4 BR

	st. o	BR 4	09	99	735	48	47	716	762		49	49	749	91	749	8	01	24	777	59	66	82	736	687	49	8 4 8	784	25	741	869	10	24	82	
	, rd	r	7	7							7	7					80	7							7	7						7	7	
11	Woodfo	2 BR	569	615	569	569	572	569	603	269	269	569	9	9	569	569	603	269	651	569	569	6	584	569	269	592	569	269	269	269	569	569	632	
PAGE	Tazewell, Madison,	1 BR	448	488	476	438	469	433	501	452	472	472	472	470	458	479	458	432	H	452	468	474	449	483	472	450	513	451	473	475	433	448	513	
within S	, Taze y, Mad	0 BR	369	432	372	371	468	370	200	368	464	464	464	423	369	447	392	369	422	384	369	473	380	369	9	385	424	369	472	473	370	369	435	
3 BR 4 BR Counties of FMR AREA	955 1127 1517 Grundy 725 940 1008 Kankakee 969 1362 1476 Kendall 652 812 841 Macoupin 675 869 987 Marshall, Peoria, Stark, 7 718 939 967 Boone, Winnebago 671 876 978 Menard, Sangamon 794 1023 1070 Calhoun, Clinton, Jersey,	NONMETROPOLITAN COUNTIES	Brown	Carroll	sti	Crawford	De Witt	Edgar	Effingham	Franklin	Gallatin	Hamilton	Hardin	Iroquois	asper	Jo Daviess	Knox	Lawrence	Livingston	McDonough	Mason	Montgomery	Moultrie	Perry	Pope	Putnam	Richland	Schuyler	Shelby	Union	Warren	Wayne	ide	
MARKET RENTS FOR EXISTING HOUSING	6683 8806 5542 5542 639	4 BR	763	834	745	1062	1038	868	826	812	902	761	707	859	1003	770	826	1084	939	921	801	855	827	946	788	826	925	866	761	812	826	753	826	845
EXISTIN 0 BR 1	583 805 805 541 503 442 589	3 BR	739	760	723	853	788	842	749	ω	728	725		719	806	747	749	843	806	803	728	828	762	886	765	749	754	770	CV	787	749	731	749	820
FOR E		2 BR	569	617	569	605	592	592	269	269	9	572	9	569	592	594	569	899	0	585	9	569	614	677	9	569	569	269	_	658	269	569	569	569
r rents		1 BR	440	467	452	503	490	482	7	485	7	436	476	2	470	498	472	202	503	489	476	474	466	517	451	472	432	477	436	499	472	451	7	434
MARKET		0 BR	370	402	450	393	394	384	464	472	395	391	475	393	385	485	464	470	409	488	415	473	401	484	369	464	370	370	391	427	464	394	464	372
SCHEDULE B - FY 2011 FINAL FAIR ILLINOIS continued METROPOLITAN FWR AREAS	Grundy County, IL HMFA.  Kankakee-Bradley, IL MSA.  Kendall County, IL HMFA.  Macoupin County, IL HMFA.  Peoria, IL MSA.  Rockford, IL MSA.  Springfield, IL MSA.  St. Louis, MO-IL HMFA.	NONMETROPOLITAN COUNTIES	Adams	Bureau	Cass	Coles	Cumberland	Douglas	Edwards	Fayette	Fulton	Greene		Henderson	Jackson	Jefferson	Johnson	La Salle	Lee	Logan	Marion	Massac			Pike	Pulaski	Randolph	Saline	Scott	Stephenson	Wabash	Washington	White	Williamson

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HOUSING	
EXISTING	
FOR	
RENTS	
MARKET	
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FINAL	
2011	
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SCHEDULE	

INDIANA											
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR 4	4 BR	Counties of FMR AREA within STATE	hin STAT	田			
Anderson, IN MSA. Bloomington, IN HMFA. Carroll County, IN HMFA. Cincinnati-Middleton, OH-KY-IN HMFA. Columbus, IN MSA. Blkhart-Goshen, IN MSA. Evansville, IN-KY HMFA. Gary, IN HMFA. Indianapolis, IN HMFA. Jasper County, IN HMFA. Indianapolis, IN HMFA. Jasper County, IN HMFA. Jasper County, IN HMFA. Jasper County, IN HMFA. Jasper County, IN HMFA. Owen County, IN HMFA. Coulty IN HMFA. Douisville, KY-IN HMFA. Owen County, IN HMFA. South Bend-Mishawaka, IN HMFA. South Bend-Mishawaka, IN HMFA. South Bend-Mishawaka, IN HMFA. Sullivan County, IN HMFA. Sullivan County, IN HMFA.		5 7 3 8 6 4 4 8 8 9 6 6 6 2 8 9 6 6 8 9 6 6 8 9 6 6 8 9 6 9 6	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	88	10023 10045 10045 10045 10045 10043 10043 10043 10043 10043 10043 10043 10043 10043 10043 10043 10043 10043 10043 10045	Madison Monroe Carroll Dearborn, Franklin, Ohio Bartholomew Elkhart Posey, Vanderburgh, Warrick Allen, Wells, Whitley Lake, Newton, Porter Gibson Greene Brown, Hamilton, Hancock, Hendricks, Johnson, Marion, Morgan, Shelby Jasper Howard, Tipton Benton, Tippecanoe Clark, Floyd, Harrison LaPorte Delaware Owen St. Joseph Sullivan Clay, Vermillion, Vigo	ick Hancock,	Hendri	cks, Jor	nson, Mari	, no
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 E	BR 3 BR	4 BR		NONMETE	ROPOLI	NONMETROPOLITAN COUNTIES	BR 1	BR 2 BR	3 BR	4 BR	
Adams	780 775 775 737 87 890 11 834	920 799 781 918 858		Blackford. Clinton Daviess DeKalb	ord	Blackford	505 507 525 556 495 498 485 519	66 608 8 608 9 659 6 659	773 849 775 906	836 891 931 844	
Fountain       438       526       596         Grant       517       518       626         Huntington       462       550       650         Jay       387       474       596         Jennings       426       503       657	16 797 16 790 10 811 16 808 17 796	834 921 982 833 1095		Fulton Henry Jackson Jefferson	1 30n		505 524 536 539 553 554 438 470 414 472	4 608 9 645 4 674 0 619 2 596	858 829 866 741 738	885 928 1051 917 921	
	87 874 89 788 86 734 83 889 86 750	1017 811 858 936 818		LaGrange. Marshall. Miami Noble Parke	98	LaGrange. Marshall. Miami. Noble. Parke	544 545 467 539 387 455 580 582 496 498 387 457			875 936 936 945 796	
			·	Randolph Rush Spencer	dc	RandolphRush Spencer	4 0 4	96 596 35 643 57 596	850 770 771	877 844 796	

		4 BR	918 828 922 1160				4 BR	757 768 892 788 746	840 784 765 844 808	757 800 973 765	764 744 828 746	823
		3 BR	892 802 795 821				3 BR	677 701 829 686 713	780 763 719 674 708	677 740 702 719	730 709 804 713 738	789
13		2 BR	740 644 646 687			Warren	2 BR	555 555 637 572 555	636 614 555 555 555	555 572 555 555 555	55 55 55 55 55 55 55 55 55 55 55 55 55	620
PAGE		1 BR	563 510 516 619		STATE	lk, Wai	1 BR	422 421 500 437	506 467 433 421	422 434 444 433 441	426 441 470 441 455	472
		0 BR	494 418 420 448			Madison, Polk Pottawattamie dy	0 BR	379 360 415 432 440	415 420 393 360 360	379 393 360 393 401	415 401 468 440 430	432
		NONMETROPOLITAN COUNTIES	Steuben		3 BR 4 BR Counties of FMR AREA within	1059 1253 Story 691 925 Benton 670 906 Bremer 947 1075 Linn 853 889 Scott 947 1055 Dallas, Guthrie, Madison, Polk, 793 864 Dubuque 1087 1272 Johnson 778 802 Jones 997 1026 Harrison, Mills, Pottawattamie 845 870 Woodbury 717 862 Washington 756 926 Black Hawk, Grundy	NONMETROPOLITAN COUNTIES	Adams. Appanoose Boone. Buena Vista.	Cass	Davis. Delaware Dickinson Fayette Franklin	Greene. Hancock Henry. Humboldt	Jasper
USING					2 BR	7 C C C C C C C C C C C C C C C C C C C						
EXISTING HOUSING		4 BR	858 927 928 870		1 BR	0 4 4 4 0 0 0 4 0 4 0 0 0 0 0 0 0 0 0 0	4 BR	757 765 764 735	712 800 764 759 765	764 757 844 798 715	840 731 726 765	800
EXIST		3 BR	816 831 815 844		0 BR	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	3 BR	677 719 730 716 716	691 740 730 681 719	730 677 749 679 69	780 699 683 719 730	740
RENTS FOR		2 BR	617 664 596 622				2 BR	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	579 572 555 569 555	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	636 555 571 555 555	572
T RENT		1 BR	539 505 454 496				1 BR	4 4 4 2 2 2 4 4 4 4 4 4 4 4 4 4 4 4 4 4	434 434 433 433	438 422 470 421 421	506 432 477 433 426	434
R MARKI		0 BR	511 465 389 422			IA MSA. IA MSA.	0 BR	379 393 415 461 393	376 393 415 379 393	415 379 430 390 390	415 431 475 393 415	393
SCHEDULE B - FY 2011 FINAL FAIR MARKET	INDIANA continued	NONMETROPOLITAN COUNTIES	StarkeSwitzerlandWabashWayne	IOWA	METROPOLITAN FMR AREAS	Ames, IA MSA  Benton County, IA HMFA.  Bremer County, IA HMFA.  Cedar Rapids, IA HMFA.  Davanport-Moline-Rock Island, IA-IL MSA.  Dabuque, IA MSA  Iowa City, IA HMFA  Jones County, IA HMFA  Jones County, IA HMFA  Sioux City, IA-NE-SD MSA  Sioux City, IA-NE-SD MSA  Washington County, IA HMFA	NONMETROPOLITAN COUNTIES	Adair Allamakee Audubon Buchanan	Carroll	Crawford  Decatur  Des Moines  Emmet  Floyd	Fremont. Hamilton Hardin Howard	Jackson

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	RENTS	FOR	IXISTIN	EXISTING HOUSING	ING					PAGE	14		
IOWA continued														
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETRO	POLITAN	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Jefferson	464 401 426 390 415	472 441 477 421 509	558 555 555 555 636	703 709 762 679 785	854 744 806 798 808		Keokuk Lee Lucas Mahaska. Marshall		Keokuk	379 . 405 . 379 . 410	4 4 7 1 2 2 4 4 4 4 4 4 4 6 6 6 4 6 6 6 6 6 6 6	555 555 593 614	677 704 677 710 790	757 724 757 938
Mitchell	401 379 423 390	441 422 523 421 421	555 650 555 555	709 677 800 679 679	744 757 863 798 798		Monona Montgomery O'Brien Page			. 415 . 415 . 390 . 359	426 506 421 422 461	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	730 780 679 662 748	764 840 798 682 770
Pocahontas	440 379 415 430 379	441 422 506 455 422	555 555 636 564 555	713 677 780 738 677	746 757 840 761		Poweshiek Sac Sioux Taylor		Poweshiek	387 415 451 379 379	451 426 457 422 422	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	759 730 750 677 677	782 764 772 757
Wapello	396 422 361 440	460 430 422 441	607 558 555 555	724 772 721 713	755 797 977 746		Wayne Winnebago. Worth		Wayne	379 . 401 . 401	422 441 441	555 555 555	677 709 709	757 744 744
Kansas														
METROPOLITAN FMR AREAS			0	0 BR 1	1 BR 2	BR	3 BR 4	BR Cour	Counties of FMR AREA	A within	STATE			
Franklin County, KS HMFA. *Kansas City, MO-KS HMFA. Lawrence, KS MSA. Manhattan, KS MSA. St. Joseph, MO-KS MSA. Sumner County, KS HMFA. Topeka, KS MSA.				5539 610 610 4442 3392 4240 424	540 733 733 733 744 744 75	669 842 753 618 602 666	852 911 1139 1198 1099 1322 860 1011 758 899 775 902 844 888		Franklin Johnson, Leavenworth, Linn, Douglas Geary, Pottawatomie, Riley Doniphan Summer Jackson, Jefferson, Osage, Butler, Harvey, Sedgwick	, Linn, Riley Osage,	Miami, Wyandotte Shawnee, Wabaunsee	Wyandotte , Wabaunse	ot te msee	
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETRO	POLITAN	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
AllenAtchisonBartonChautauquaChautauqua	433 454 373 454 416	438 506 450 506	575 620 575 620 575	762 903 764 903	829 1089 1089 1089 806	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Anderson. Barber Bourbon Chase			. 416 . 374 . 417 . 401	462 440 443 438 497	575 575 575 575 575	741 749 831 731 805	806 883 936 754
Cheyenne	431 448 401 384 431	437 438 430 470	575 605 575 575 575	736 776 731 729 736	757 956 754 750		Clark		Clark	. 501 . 449 . 374 . 374	506 457 440 480 436	616 578 575 631 575	750 759 749 850 692	822 784 883 948 853

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	ద	ENTS FOR E	XISTI	EXISTING HOUSING	ING				щ	PAGE	15		
KANSAS continued														
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	ON	NONMETROPOLITAN	OLITAN COUNTIES	0	BR 1	BR	2 BR	3 BR	4 BR
Edwards	374 414 509 431 501	440 469 509 437 506	575 617 657 575 616	749 853 798 736 750	883 893 1010 757 822	E1 F0 Gr	Elk Ellsworth. Ford Graham			416 449 529 431 501	462 457 530 437 506	575 578 638 575 616	741 759 785 736 750	806 784 839 757 822
Greeley. Hamilton. Haskell. Jewell. Kingman.	501 501 501 449 374	506 506 506 457 440	616 616 616 578	750 750 750 759 749	8 8 8 2 2 2 8 8 8 8 8 8 8 8 8 8 8 8 8 8	Gr Ha Ho Ke Ki	Greenwood. Harper Hodgeman Kearny			401 374 501 374	438 440 506 506 440	575 575 616 616 575	731 749 750 750	754 883 822 822 883
Labette	374 449 374 401 501	447 457 438 506	575 578 575 575 616	779 759 768 731 750	8 0 0 0 4 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	La Lo Ma Ma	Lane Logan McPherson. Marshall.	Lane		501 431 448 449	506 437 480 492 457	616 575 575 605 578	750 736 753 776 759	822 757 774 956 784
Montgomery	412 501 373 431 449	460 506 448 437 457	575 616 575 575 578	707 750 684 736	880 822 1006 757 784	MO Ne Ne Os	Morris Nemaha Ness			448 454 501 374	492 506 506 437 440	605 620 616 575 575	776 903 750 736 749	956 1089 822 757 883
Phillips Rawlins Republic Rooks Russell Scott Sheridan Smith Stanton Thomas	4 4 4 4 4 4 8 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	44444	575 575 575 575 575 616 575 575	736 736 736 736 736 736 736 736	757 757 757 757 757 757 757 757 753	PR Run Sa St St Tr	Pratt Reno Rice Rush Saline Sherman Sherman Stafford.			4412 4412 4418 474 434 423 374 423 423	4 4 4 4 4 4 6 8 8 8 8 8 8 8 8 8 8 8 8 8	575 602 577 575 631 617 575 575	7	8849 8833 866 918 823 757
Wallace	431 501 416	437 506 462	575 616 575	736 750 741	757 822 806	Was] Wil:	Washington. Wilson		: : : :	449415	457	578 575	759	784 804
METROPOLITAN FWR AREAS  Bowling Green, KY MSA  Cincinnati-Middleton, OH-KY-IN HWFA.  Clarksville, IN-KY HMFA  Elizabethtown, KY MSA  Evansville, IN-KY HWFA  Grant County, KY HMFA	4 · · · ·			0 BR 460 490 549 425 441	1 BR 2 550 581 571 473 515 560	BR 3 BR 669 892 752 1007 663 959 571 813 640 790 713 878	892 1051 007 1045 959 987 813 1000 790 858 878 983	Edwonson, Warren Boone, Bracken, Ca Christian, Trigg Hardin, Larue Henderson, Webster Grant	AREA within n Campbell, Ga g	hin ST , Gall	n STATE Gallatin,	Kenton,		Pendleton

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING KENTUCKY continued	NG PAGE 16
METROPOLITAN FWR AREAS 0 BR 1 BR 2	BR 3 BR 4 BR Counties of FWR AREA within STATE
Huntington-Ashland, WV-KY-OH MSA.       420       496       5         Lexington-Fayette, KY MSA.       477       573       7         Louisville, KY-IN HMFA.       506       585       6         Meade County, KY HMFA.       481       483       5         Nelson County, KY HMFA.       412       497       6         Owensboro, KX MSA.       414       461       6         Shelby County, KY HMFA.       575       576       6	596 735 759 Boyd, Greenup 707 950 980 Bourbon, Clark, Fayette, Jessamine, Scott, Woodford 694 970 1030 Bullitt, Henry, Jefferson, Oldham, Spencer, Trimble 578 742 831 Meade 601 876 947 Nelson 606 841 890 Daviess, Hancock, McLean 696 916 943 Shelby
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR
Adair	Allen
Butler       453       537       653       884         Calloway.       503       504       606       747       1064         Carroll.       497       498       619       818       889         Casey.       384       426       506       617       688         Clinton.       384       426       506       617       688	Caldwell       420       421       506       641       728         Carlisle       415       458       565       724       816         Carter       330       439       509       606       686         Clay       328       454       506       604       622         Crittenden       420       421       506       661       750
Cumberland.       384       426       506       617       688         Estill.       420       421       506       647       852         Floyd.       383       430       506       664       765         Fulton.       415       458       565       724       816         Graves.       406       408       506       604       737	Elliott
Green       381       422       506       612       683         Harrison       435       437       571       749       773         Hickman       415       458       565       724       816         Jackson       419       441       506       612       630         Knott       420       441       506       633       657	Harlan.       419       451       506       622       787         Hart.       376       411       506       646       752         Hopkins.       419       420       506       635       888         Johnson.       330       431       506       690       709         Knox.       331       400       506       713       738
Laurel	Lawrence
McCracken.       379       477       586       786       810         Madison.       434       461       590       832       976         Marion.       413       415       534       714       736         Martin.       420       424       506       623       651         Menifee.       396       428       529       661       683	McCreary       420       454       506       652       669         Magoffin       420       424       506       623       651         Marshall       453       455       548       714       929         Mason       341       436       527       769       883         Mercer       461       491       557       734       863
Metcalfe       376       411       506       646       752         Montgomery.       406       473       624       744       768         Muhlenberg.       417       418       506       643       660         Ohio.       418       444       506       671       737	Monroe       376       411       506       646       752         Morgan       396       428       529       661       683         Nicholas       518       519       679       832       917         Owen       553       631       720       969       1262

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	PAGE	E 17
KENTUCKY continued		
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1 BR	R 2 BR 3 BR 4 BR
Owsley       420       441       506       633       657         Pike       429       430       518       622       640         Pulaski       359       398       506       624       661         Rockcastle       419       441       506       612       630         Russell       384       426       506       617       688	Perry.       420       442         Powell.       383       483         Robertson.       396       428         Rowan.       445       494         Simpson.       453       532	2 506 605 742 3 591 707 729 8 529 661 683 4 549 689 711 2 699 869 895
Taylor.       333       455       506       652       857         Union.       446       447       539       656       690         Wayne.       331       404       506       656       676         Wolfe.       420       441       506       633       657	Todd	2 595 772 800 5 534 714 736 7 536 640 659
LOUISIANA		
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR	: 3 BR 4 BR Counties of FMR AREA within STATE	ы
Alexandria, LA MSA	826 850 Grant, Rapides 1021 1123 Ascension, East Baton Rouge	, East Feliciana, Livingston,
	897 1022 Lafourche, Terrebonne 815 840 Iberville 930 1180 Lafayette, St. Martin	) 0 0 0 0
533 601 484 548 767 850	902 1270 Calcasieu, Cameron 904 932 Ouachita, Union 1276 1319 Jefferson, Orleans, Plaque	St. Bernard, St. Charles,
Shreveport-Bossier City, LA MSA 552 635 742	St. John the Baptist, St. Tammany 942 971 Bossier, Caddo, De Soto	<b>&gt;</b>
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1 BR	R 2 BR 3 BR 4 BR
Acadia       455       455       554       700       803         Assumption       520       522       627       765       786         Beauregard       473       485       571       831       1000         Caldwell       437       467       554       701       782         Claiborne       510       519       614       734       803	Allen 459 461 Avoyelles 358 488 Bienville 510 519 Catahoula 420 453 Concordia 450 453	1 554 805 894 8 554 754 901 9 614 734 803 3 554 702 849 3 554 718 849
Bast Carroll       437       467       554       701       782         Franklin       437       467       554       701       782         Jackson       437       467       554       701       782         La Salle       420       453       554       702       849         Madison       437       467       554       701       782	Evangeline	0 554 710 730 9 636 785 916 1 554 701 720 4 657 855 883 5 58 705 763
hes	510 51 510 51 362 43 481 55	614 734 8 614 734 8 554 748 7 703 842 10 554 760 7
Vernon.       454       500       554       804       960         Webster.       447       448       566       763       787         Winn.       460       499       554       699       744	Washington	4 554 738 760 7 554 701 782

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR	FOR EXISTING HOUSING	NG HOU	SING			PAGE 18
MAINE						
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FWR AREA within STATE
Bangor, ME HMFA	548	639	815	1036	1170	
Cumberland County, ME (part) HMFA	597	713	919	1097	1406	Orono town, Orrington town, Penobscot Indian Island Reservation, Veazie town Cumberland County towns of Baldwin town, Bridgton town, Brunswick town, Harpswell town, Harrison town, Naples town,
Lewiston-Auburn, ME MSA	451	565	691	875	6 9 6	New Gloucester Cown, Fowman town, Sebago town Androscoggin County towns of Auburn city, Durham town, Greene town, Leeds town, Lewiston city, Lisbon town, Livermore town, Livermore Falls town, Mechanic Falls town, Minot town, Poland town, Sabattus town, Turner town,
Penobscot County, ME (part) HMFA	559	561	674	843	1033	Wales town Penobscot County towns of Alton town, Argyle UT, Bradford town, Bradley town, Burlington town, Carmel town,
						Carroll plantation, Charleston Cown, Chester town, Clifton town, Corinna town, Corinth town, Dexter town, Dixmont town, Drew plantation, Bast Central Penobscot UT, East Millinocket town, Edinburg town, Enfield town, Etna town, Exeter town, Garland town, Greenbush town, Howland town, Howland town, Howland town, Howland town, Howland town, Layanape town, Island town Island town Island town, Isl
						Lawrenting Down, Mattawamkeag town, Maxfield town, Medway town, Millinocket town, Mount Chase town, Newburgh town, Newport town, North Penobscot UT, Passadumkeag town, Patter town, Plymouth town, Prentiss UT, Seboeis plantation, Partianfield town Starwalls Town
Portland, ME HMFA	729	865	1121	1412	1513	-r o o
Sagadahoc County, ME HMFA	721	722	998	1045	1500	ms of Buxton town, Holliss 1, Old Orchard Beach town 2y towns of Arrowsic town, Bowdoinham town, Georgetc m, Richmond town, Topsham
York County, ME (part) HMFA	659	685	871	1042	1138	Woolwich town York County towns of Acton town, Alfred town, Arundel town, Biddeford city, Cornish town, Dayton town, Kennebunk town, Kennebunkport town, Lebanon town, Limerick town, Lyman town, Newfield town, North Berwick town, Councuit town,
York-Kittery-South Berwick, ME HMFA	847	852	1022	1488	1622	Parsonsfield town, Saco city, Sanford town, Shapleigh town, Waterboro town, Wells town  York County towns of Berwick town, Eliot town, Kittery town, South Berwick town, York town

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SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING

MAINE continued						
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Aroostook County, ME	929	525	6 2 3	821	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Allagash town, Amity town, Ashland town, Bancroft town, Blaine town, Bridgewater town, Caribou city, Cary plantation, Castle Hill town, Caswell town, Central Aroostook UT, Chapman town, Connor UT, Crystal town, Cyr plantation, Dyer Brook town, Eagle Lake town, Easton town, Bort Fairfield town, Fort Kent town, Frenchville town, Garfield plantation, Glenwood plantation, Grand Isle town, Hammond town, Haynesville town, Hersey town, Hodgdon town, Haumond town, Island Falls town, Limestone town, Linneus town, Ittleton town, Macwahoc plantation, Madawaska town, Mapleton town, Massardis town, Merrill town, Monticello town, Moro plantation, Nashville plantation, New Canada town, New Linerick town, Nashville plantation, New Canada town, Penobscot Indian Island Reservation, Perham town, Portage Lake town, St. Francis town, St. John plantation, Sherman town, Sherman town, Washburn town, Wastlield town, Washburn town, Westfield town, Washburn town, Winterville plantation, Westmanland town, Westen town, Winterville plantation,
Franklin County, ME	528	570	694	829	1076	Woodland town Moodland town Avon town, Carrabassett Valley town, Carthage town, Chesterville town, Coplin plantation, Dallas plantation, East Central Franklin UT, Eustis town, Farmington town, Industry town, Jay town, Kingfield town, Madrid town, New Sharon town, New Vineyard town, North Franklin UT, Phillips town, Rangeley town, Rangeley plantation, Sandy River plantation, South Franklin UT, Strong town, Weld town, Weld town, West Central Franklin UT,
Hancock County, ME	577	665	774	1090	1122	Amhlton Cown, wyman UT Amhlton Cown, Bar Harbor town, Blue Hill town, Brooklin town, Brooksville town, Bucksport town, Castine town, Central Hancock UT, Cranberry Isles town, Dedham town, Deer Isle town, Eastbrook town, East Hancock UT, Ellsworth city, Franklin town, Frenchboro town, Gouldsboro town, Great Pond town, Hancock town, Lamoine town, Mariaville town, Mount Desert town, Northwest Hancock UT, Orland town, Osborn town, Otis town, Penobscot town, Sedgwick town, Sorrento town, Southwest Harbor town, Stonington town, Sullivan town, Surry town, Stonington town, Tremont town, Trenton town, Verona town,
Kennebec County, ME	457	548	682	931	994	Waltham town, Winter Harbor town Albion town, Augusta city, Belgrade town, Benton town, Chelsea town, China town, Clinton town, Farmingdale town, Fayette town, Gardiner city, Hallowell city, Litchfield town, Manchester town, Monmouth town, Mount Vernon town, Oakland town, Pittston town, Randolph town, Readfield town,

PAGE

MAINE continued						
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Knox County, ME	523	691	789	1068	1232	tey town, Unity UT, terville city, Wayn Camden town, Criebe, When town, Criebe, Hope town, Isle plantation, North
Lincoln County, ME	631	678	818	8 8 8	1018	Kockland city, kockport town, St. George town, South Thomaston town, Thomaston town, Union town, Vinalhaven town, Warren town, Washington town, Alna town, Boothbay Harbor town, Bremen town, Bristol town, Damariscotta town, Dresden town, Edgecomb town, Hibberts gore, Jefferson town, Monhegan plantation, Monhedan town, Monhegan plantation,
Oxford County, ME	441	586	675	6 6 8	1127	South Bristol town, Southport town, Waldoboro town, Westport town, Whitefield town, Wiscasset town Andover town, Bethel town, Brownfield town, Buckfield town, Byron town, Canton town, Denmark town, Dixfield town, Fryeburg town, Glead town, Greenwood town, Hanover town, Interfered town, Hebron town, Lincoln plantation, Town of the control of the control of town without the control town was a second town, which town without the control town without the control of the c
Piscataquis County, ME	548	625	773	981	1050	
Somerset County, ME	439	545	646	912	796	Lake View plantation, Medford town, Milo town, Monson town, Northeast Piscataquis UT, Northwest Piscataquis UT, Parkman town, Sangerville town, Sebec town, Shirley town, Southeast Piscataquis UT, Wellington town, Willimantic town Anson town, Athens town, Bingham town, Brighton plantation, Cambridge town, Canaan town, Caratunk town, Central Somerset UT, Cornville town, Dennistown plantation, Detroit town Embden town Rainfield town
Waldo County, ME	615	099	796	976	1038	Hartland town, Highland plantation, Jackman, Marlong town, Madison town, Marcer town, Mose River town, Moscow town, New Portland town, Morridgewock town, Northeast Somerset UT, Northwest Somerset UT, Palmyra town, Pittsfield town, Pleasant Ridge plantation, Ripley town, St. Albans town, Seboomook Lake UT, Skowhegan town, Smithfield town, Solon town, Starks town, The Forks plantation, West Forks plantation, Belfast city, Belmont town, Brooks town, Burnham town, Frankfort town, Freedom town, Islesboro town, Jackson town, Knox town, Liberty town, Lincolnville town, Monroe town, Montville town, Morrill town, Northport town, Prespect town, Searsmont town, Searsport town,

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR E	XISTIN	EXISTING HOUSING	מז		PAGE 21
MAINE continued					
NONMETROPOLITAN COUNTIES	0 BR 1	BR 2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Washington County, ME	22.8	571 681	1 844	0 70 6	Stockton Springs town, Swanville town, Thorndike town, Troy town, Unity town, Waldo town, Winterport town Addison town, Alexander town, Baileyville town, Canterville town, Charlotte town, Cherryfield town, Codyville plantation, Columbia town, Columbia Falls town, Cooper town, Crawford town, Cutler town, Danforth town, Deblois town, Dennysville town, East Central Washington UT, East Machias town, Eastport city, Grand Lake Stream plantation, Harrington town, Jonesboro town, Jonesport town, Lubec town, Machias town, Machiasport town, Marshfield town, Meddybemps town, Milbridge town, Northfield town, North Washington UT, Passamaquoddy Indian Township Reservation, Parssamaquoddy Indian Township Reservation, Perry town, Princeton town, Robbinston town, Roque Bluffs town, Steuben town, Talmadge town, Topsfield town, Wanteboro town, Waite town, Wesley town, Whiting town, Whitneyville town
MARYLAND					
METROPOLITAN FMR AREAS	0 BR 1	BR 2 BR	3 BR	4 BR	Counties of FMR AREA within STATE
*Baltimore-Towson, MD HMFA	931 1(	1052 1263	3 1622	2003	Anne Arundel, Baltimore, Carroll, Harford, Howard,
Columbia city, MD HMFA  Cumberland, MD-WV MSA  Hagerstown, MD HMFA  *Philadelphia-Camden-Wilmington, PA-NJ-DB-MD MSA  Salisbury, MD HMFA  Somerset County, MD HMFA  *Washington-Arlington-Alexandria, DC-VA-MD HMFA	1352 14 425 568 6789 603 595 6131 121	1406 1631 514 603 651 833 900 1077 751 882 633 745 1289 1461	2217 3 813 3 1202 7 1317 1093 5 922 1 1885	2582 949 1240 1589 1253 1211 2466	baltimore les, Frede
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR	3 BR 4	4 BR	NONME	TROPOL	NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR
Caroline.       676       699       817         Garrett.       424       526       653         St. Mary's       854       886       1154         Worcester       725       753       873	1105 842 1516 1275	1135 1117 1996 1357	Dorche Kent Talbot	lester.	Dorchester       509       612       779       1081         Kent       760       761       916       1124       1509         Talbot       791       793       954       1291       1363
MASSACHUSETTS					
METROPOLITAN FMR AREAS	0 BR 1	BR 2 BR	3 BR	4 BR	Components of FMR AREA within STATE
Barnstable Town, MA MSA	784	918 1208	3 1441	1487	Barnstable County towns of Barnstable Town city, Bourne town, Brewster town, Chatham town, Dennis town, Eastham town, Falmouth town, Harwich town, Mashpee town, Orleans town, Provincetown town, Sandwich town, Truro town, Wellfleet town,

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MASSACHUSETTS continued						
METROPOLITAN FWR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FWR AREA within STATE
Berkshire County, MA (part) HMFA	6 22 8	თ თ ს	908	1103	1135	Yarmouth town  Berkshire County towns of Alford town, Becket town,  Clarksburg town, Egremont town, Florida town,  Great Barrington town, Hancock town, Monterey town,  Mount Washington town, New Ashford town,  New Marlborough town, North Adams city, Otis town, Peru town,  Sandisfield town, Savoy town, Sheffield town, Tyringham town,  Washington town, West Stockbridge town, Williamstown town,
Boston-Cambridge-Quincy, MA-NH HMFA	10083	1149	1349	1613	1773	Essex County towns of Amesbury town, Beverly city, Danvers town, Essex town, Gloucester city, Hamilton town, Ipswich town, Lynn city, Lynnfield town, Manchester-by-the-Sea town, Marblehead town, Middleton town, Mahant town, Newbury town, Salem city, Salisbury town, Saugus town, Swampscott town, Salem city, Salisbury town, Ashby town, Ashland town, Arlington town, Ashby town, Ashland town, Arlington town, Belmont town, Boxborough town, Berford town, Everett city, Framingham town, Holliston town, Hopkinton town, Hudson town, Lexington town, Holliston town, Mittleton town, Malden city, Mariborough city, Maynard town, Medford city, Melrose city, Mariborough city, Maynard town, Medford city, Stoneham town, Sherborn town, Shirley town, Somerville city, Stoneham town, Sherborn town, Shirley town, Somerville city, Stoneham town, Waltham city, Watertown city, Wayland town, Weston town, Waltham city, Watertown city, Mayland town, Braintree town, Malthington town, Winchester town, Woburn city, Holbrook town, Dover town, Foxborough town, Franklin city, Holbrook town, Medfield town, Medway town, Millis town, Milton town, Needham town, Norfolk town, Norwood town, Plainville town, Walpole town, Wellesley town, Westwood town, Welmont town, Wrentham town, Wrentham town, Wellesley town, Westwood town, Welmont town, Wrentham town
Brockton, MA HMFA	98	1025	1291	1544	1935	Plymouth County towns of Carver town, Duxbury town, Hanover town, Hingham town, Hull town, Kingston town, Marshfield town, Norwell town, Pembroke town, Plymouth town, Scituate town, Wareham town Sulfolk County towns of Boston city, Chelsea city, Revere city, Winthrop town Norfolk County towns of Avon town Plymouth County towns of Abington town, Bridgewater town, Brockton city, East Bridgewater town, Halifax town,
Eastern Worcester County, MA HMFA	721	808	1061	1268	1862	Hanson town, Lakeville town, Marion town, Mattapoisett town, Middleborough town, Plympton town, Rochester town, West Bridgewater town, Whitman town Worcester County towns of Berlin town, Blackstone town, Bolton town, Harvard town, Hopedale town, Lancaster town,

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MASSACHUSETTS continued						
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FMR AREA within STATE
Easton-Raynham, MA HMFAFitchburg-Leominster, MA HMFA	853 702	1131	1315	1573 1239	2274 1346	Mendon town, Milford town, Millville town, Southborough town, Upton town Bristol County towns of Easton town, Raynham town Worcester County towns of Ashburnham town, Fitchburg city,
Franklin County, MA (part) HMFA	626	730	902	1207	1458	Gardner city, Leominster city, Lunenburg town, Templeton town, Westminster town, Winchendon town Franklin County towns of Ashfield town, Bernardston town, Buckland town, Charlemont town, Colrain town, Conway town, Deerfield town, Erving town, Gill town, Greenfield town, Hawley town, Heath town, Leverett town, Leyden town,
Lawrence, MA-NH HMFA	769	978	1183	1413	1456	Monroe town, Montague town, New Salem town, Northfield town, Orange town, Rowe town, Shelburne town, Shutesbury town, Warwick town, Wendell town, Whately town  Essex County towns of Andover town, Boxford town, Georgetown town, Groveland town, Haverhill city,
Lowell, MA HMFA	852	1020	1311	1565	1717	Lawrence city, Merrimac town, Methuen city, North Andover town, West Newbury town Middlesex County towns of Billerica town, Chelmsford town, Dracut town, Dunstable town, Groton town, Lowell city, Pepperell town, Tewksbury town, Tyngsborough town,
New Bedford, MA HMFA	596	764	874	1047	1412	Westford town Bristol County towns of Acushnet town, Dartmouth town,
Pittsfield, MA HMFA	587	685	850	1092	1125	Fairhaven town, Freetown town, New Bedford city Berkshire County towns of Adams town, Cheshire town,
Providence-Fall River, RI-MA HMFA	762	848	977	1168	1440	Dalton town, Hinsdale town, Lanesborough town, Lee town, Lenox town, Pittsfield city, Richmond town, Stockbridge town Bristol County towns of Attleboro city, Fall River city, wheth the county towns of Attleboroush towns of Attleboroush towns of the county towns of the county towns of the county towns of the county towns to t
Springfield, MA HMFA	588	669	888	1063	1233	North Attreborough cown, Kenoboth cown, Seekonk cown, Somerset town, Swansea town, Westport town Franklin County towns of Sunderland town
						Hampden County towns of Agawam city, Blandford town, Brimfield town, Chester town, Chicopee city, Bast Longmeadow town, Granville town, Hampden town, Holland town, Holyoke city, Longmeadow town, Ludlow town, Monson town, Montgomery town, Palmer town, Russell town, Southwick town, Springfield city, Tolland town, Wales town, Westfield city, West Springfield town, Wilbraham town Hampshire County towns of Amherst town, Belchertown town, Chesterfield town, Cummington town, Easthampton city,
	737	930	1135	1393	1503	Bristol County towns of Berkley town, Dighton town, Mansfield town, Norton town, Taunton city
Wordester, MA HMFA	704	810	986	1179	1250	Morcester County Comis of Action Count, materials, Count, Hubbardston town, New Braintree town, Petersham town, Phillipston town, Royalston town, Warren town Worcester County towns of Auburn town, Barre town,

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR	EXIST	EXISTING HOUSING	JSING				PAGE	24		
MASSACHUSETTS continued										
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR 4	BR C	Components of FMR AREA within STATE	vithin STAT	Ы		
						Boylston town, Brookfield town, Charlton town, C Douglas town, Dudley town, East Brookfield town, Grafton town, Holden town, Leicester town, Millk Northborough town, Northbridge town, North Brook Oakham town, Oxford town, Paxton town, Princetor Rutland town, Shrewsbury town, Southbridge town, Spencer town, Sterling town, Sturbridge town, Subbridge town, Webster town, Westborough town, West Boylston town, West Brookfield town, Worces	1, Brookfield town, Charlton town, Clinton Dudley town, East Brookfield town, Holden town, Leicester town, Millbury to town, Northbridge town, North Brookfield Oxford town, Paxton town, Princeton town, Shrewsbury town, Southbridge town, Sterling town, Sturbridge town, Stron town, Webster town, Westborough town, 1, Webster town, Westborough town, 1 town, West Brookfield town, Worcester ci	cown, Charlton town, C East Brookfield town, Leicester town, Mills, dge town, North Brook axton town, Princeton wn, Southbridge town, t, Sturbridge town, t, Westborough town, cookfield town, Worces	town, and town, m, Mill th Broc rrincet uge town town, town, Worce town,	und town, Charlton town, Clinton town, own, East Brookfield town, wm, Leicester town, Millbury town, thoridge town, North Brookfield town, m, Paxton town, Princeton town, y town, Southbridge town, town, Sturbridge town, Sutton town, town, Westborough town, it Brookfield town, Worcester city
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR 4	BR T	Towns within nonmetropolitan counties	litan count	 e.s		
Dukes County, MA	941	1194	1422	1699 17	1752 A O	Aquinnah town, Chilmark town, Edgartown town, Go Oak Bluffs town, Tisbury town, West Tisbury town	town, Edga / town, Wes	rtown t t Tisbu	own, G	Gosnold town,
Nantucket County, MA	1102	1525	1693	2025 20	2086 N	Nantucket town			1	
MICHIGAN										
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR 4	BR	Counties of FMR AREA within STATE	chin STATE			
Battle Creek, MI MSA.  Bay City, MI MSA.  Cass County, MI HMFA.  Platroit-Warren-Livonia, MI HMFA.  Flint, MI MSA.  *Grand Rapids-Wyoming, MI HMFA.		556 500 555 676 523 606				Calhoun Bay Cass Lapeer, Macomb, Oakland, Genesee Kent	St.	Clair, Wayne		
Ionia County, MI HMFA. Jackson, MI MSA. Kalamazoo-Portage, MI MSA. Lansing-East Lansing, MI MSA. Livingston County, MI HMFA. Monroe, MI MSA. Muskegon-Norton Shores, MI MSA. Newaygo County, MI HMFA. Niles-Benton Harbor, MI MSA. Saginaw-Saginaw Township North, MI MSA.		0				Ionia Jackson Kalamazoo, Van Buren Clinton, Eaton, Ingham Livingston Monroe Muskegon Newaygo Berrien				
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR	3 BR	4 BR		NONMETRO	POLIT	NONMETROPOLITAN COUNTIES	0 BR 1 BR	2 BR	3 BR ,	4 BR
Alcona	801 877 845 734 812	848 941 1066 826 835		Alger		AlgerAlpenaAbpenacBenzieCharlevoix	393 499 470 534 473 499 622 623 528 571	595 595 7595 633	734 822 796 943 910	826 909 881 970 939
Cheboygan	799	840		Chippewa	:	Chippewa	387 482	595	721	808

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS	MARKET	RENTS	FOR	IXISIXE	EXISTING HOUSING	SING				PAGE	25			
MICHIGAN continued														
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPC	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	
Clare Delta Emmet Godebic	436 412 442 411	453 491 544 91	595 595 678 595	801 783 914 728	826 830 967 865		Crawford Dickinson Gladwin Grand Traverse	Crawford	411 387 473 637	477 470 499 638	5 6 9 5 8 8 9 5 9 5 9 5 9 5 9 5 9 5 9 5 9 5	785 718 796 1047	831 978 881 1080	
Gratiot	496	497	595	793	988		Hillsdale.		407	501	597	839	918	
Houghton Iosco Isabella Keweenaw	423 486 494 411 622	495 515 534 491 623	595 595 595 595 755	774 865 856 728 943	887 898 935 865 970		Huron Iron Kalkaska Lake Lenawee	Huron. Iron. Kalkaska	494 411 499 456	497 491 542 498 565	595 695 695 693	789 728 731 779 884	958 865 754 937 965	
Luce	411 464 387 494 447	502 480 455 496 537	5000 2000 2000 2000 2000	781 754 779 785 832	851 845 856 1047 914		Mackinac Marquette. Mecosta Midland Montcalm	Mackinac          Marquette          Mecosta          Midland          Montcalm	386 424 472 449	481 500 505 538	595 611 663 595	718 749 812 914 803	782 813 1071 976 828	
Montmorency	410 458 494 475	476 481 495 555	595 595 730 595	784 769 815 875 773	829 850 1026 920 951		Oceana Ontonagon Oscoda Presque Isle. St. Joseph	Oceana	431 411 420 420 480	499 491 486 535	595 595 595 631	720 728 801 801 779	7 6 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	
SanilacShiawasseeWexford	496 415 406	534 510 536	595 635 625	838 874 827	861 975 911		Schoolcraft. Tuscola		411	502	595	781	851 870	
METROPOLITAN FMR AREAS			-	0 BR	1 BR	2 BR	3 BR 4 BR	Counties of FMR AREA	within 8	STATE				
Duluth, MN-WI MSA  Fargo, ND-MN MSA.  Grand Forks, ND-MN MSA.  La Crosse, WI-MN MSA.  Mankato-North Mankato, MN MSA.  Minneapolis-St. Paul-Bloomington, MN-WI MSA.  Rochester, MN HMFA  St. Cloud, MN MSA	MN-WI MSA	MSA		4116 4405 4418 5511 646 618 6209	507 481 525 492 761 761 471	6399 6449 6448 691 691 601 604	803 1022 883 1021 816 1109 860 1056 964 1118 1210 1359 1124 1174 949 1102 756 1061	Carlton, St. Louis Clay Polk Houston Blue Earth, Nicoll Anoka, Carver, Chi Scott, Sherburne, Dodge, Olmsted Benton, Stearns	et .sago, Dakota, Henn Washington, Wright	ca, He	nnepin ht	, Isant	Isanti, Ramsey,	
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPC	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	
Aitkin Beltrami Brown Chippewa	427 414 441 457 387	501 489 502 496 491	659 622 603 596 596	822 855 722 713	890 1092 742 735		Becker Big Stone Cass Clearwater Cottonwood	BeckerBig StoneCassCassColearwaterCottonwood	386 386 387 417 436	458 470 495 470	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	746 762 752 752 760	775 788 774 1045	

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SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	r rents	FOR	XISTI	EXISTING HOUSING				PAGE	26		
MINNESOTA continued												
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONMETROPOLITAN COUNTIES	NTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Crow WingFaribaultGraphorn	441 436 387 386 404	515 477 453 470 499	680 596 596 522	872 760 710 762 753	1020 795 937 788 883	Douglas. Fillmore. Goodhue. Hubbard. Jackson.		420 410 476 417 436	500 494 559 470	629 616 734 596 596	911 804 934 752 760	997 1008 1011 1045 795
Kanabec	458 392 457 417 457	537 4470 4496 470	706 596 596 596	881 759 713 752 713	952 889 735 1045 735	Kandiyohi Koochiching Lake Le Sueur Lyon		480 387 387 530 455	492 495 491 547	611 596 596 628	823 752 747 916 783	849 774 769 945
McLeod	554 478 399 436	555 470 530 475	688 596 615 614 596	985 759 804 734 760	1017 889 827 1078 795	Mahnowen		417 494 494 399	470 495 508 467 491		752 865 829 740 791	1045 892 921 763 815
Norman Pennington Pipestone Red Lake	392 389 436 392 478	470 458 477 470 999	596 596 596 596 615	759 753 760 759 804	8 8 7 8 8 8 7 4 9 8 8 7 4 9 9 12 9 1	Otter Tail.  Pine. Pope. Redwood.		389 478 386 457 580	462 518 470 496 606	596 596 596 797	727 874 762 713	749 902 788 735
Rock Sibley Stevens Todd	436 478 387 430 430	4 4 4 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	596 615 596 597 597	760 804 718 721	795 827 970 958	Roseau Steele Swift. Traverse		387 464 386 386 427	460 563 470 470 501	596 711 596 596 659	743 894 762 762 788	870 1166 788 788 824
Watonwan	436 435	477 514	596	760 926	795 1175	WilkinYellow Medicine		386	470	596 596	762 713	788
METROPOLITAN FMR AREAS			0	0 BR 1	1 BR 2 BR	3 BR 4 BR Counties	of FMR AREA	within S	STATE			
Gulfport-Biloxi, MS MSA.  Hattiesburg, MS MSA.  Jackson, MS HMFA  Marshall County, MS HMFA.  Memphis, TN-MS-AR HMFA.  Pascagoula, MS MSA  Simpson County, MS HMFA.  Tate County, MS HMFA.  Tunica County, MS HMFA.				7 4 7 3 3 3 3 3 3 4 4 9 5 5 6 5 6 8 8 6 6 6 6 6 6 6 6 6 6 6 6 6	776 906 564 671 659 764 491 606 682 758 719 863 529 605 579 644	1181 1213 977 1009 919 947 885 912 1010 1041 1188 1275 725 1047 902 1131 919 1127	Hancock, Harrison, Stone Forrest, Lamar, Perry Copiah, Hinds, Madison, Rankin Marshall DeSoto George, Jackson Simpson Tate Tunica	ne , Ranki	ni			

SCHEDULE B - FY 2011 FINAL FAIR MARKET	ARKET	RENTS		XISTI	FOR EXISTING HOUSING	Ð				PAGE	27		
MISSISSIPPI continued													
NONMETROPOLITAN COUNTIES	O BR	1 BR	2 BR	3 BR	4 BR	NON	NONMETROPOLITAN	COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Adams. Amite. Benton. Calhoun. Chickasaw.	397 460 524 472 435	550 517 586 487 535	610 576 650 576 626	731 696 780 772 750	1047 791 801 967 788	Alca Atta Bol. Car:	AlcornBattala		479 472 469 389 472	516 487 530 436 487	576 576 610 576	800 772 731 764 772	1013 967 1072 800 967
Claiborne	479 478 479 437 486	480 479 480 468 562	576 576 576 576 626	722 839 722 752 749	847 866 847 792 784	Cla: Coal Fra: Gre: Humj	Clarke Coahoma Franklin Grenada		478 490 460 449 389	532 506 517 492 436	611 668 576 576 576	800 798 696 811 764	827 1173 791 965 800
	486 453 479 478 485	562 489 480 532 543	626 576 576 611	749 692 722 800 875	784 737 847 827 903	Itawi Jeffe Jones Lafa	amba erson s γette		373 479 389 515 479	509 480 452 609 480	576 576 576 751	761 722 759 900 722	899 847 783 926
Leake	453 374 503 373	489 438 516 509 503	576 576 605 576	692 765 879 721 685	737 900 906 771 1007	Line Mar Mon New	Lee		523 420 453 472 478	545 518 514 487 532	629 576 576 576 611	859 790 775 800	968 1011 861 967 827
Noxubee	484 373 478 374 479	502 517 518 436 509	584 576 576 576	800 690 758 691 689	853 795 782 711 743	Okt. Pea: Pon: Qui: Sha:	Oktibbeha Pearl River. Pontotoc Quitman		458 566 478 469	556 567 479 502 562	677 679 576 592 626	8828 829 783 709	908 1169 806 889 784
Smith Tallahatchie Tishomingo Walthall	453 389 374 460 397	489 436 487 517 517	576 576 576 576 610	692 764 723 696	737 800 748 791	Sun: Tipj Uni( War:	Sunflower Tippah Union Warren		418 478 391 585 437	514 520 543 642 468	576 576 602 717 576	821 750 721 857 752	847 934 872 883
Webster. Winston. Yazoo.	472 435 477	487 535 506	576 626 576	772 750 688	967 788 711	Wil] Yal	Wilkinson Yalobusha		460	517	576 576	696	791
METROPOLITAN FMR AREAS			0	0 BR	1 BR 2 I	BR 3 BR	4 BR	Counties of FMR AREA within		STATE			
Bates County, MO HMFA				376 462 404 448 352 417	4443 57 4667 55 50 50 50 50 60 60 60 60 60 60 60 60 60 60 60 60 60	578 812 590 806 601 777 666 970 541 738	838 830 955 1082 937	Bates Callaway Bollinger, Cape Girardeau Boone, Howard Dallas Cole, Osage	au				

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MISSOURI continued															
METROPOLITAN FMR AREAS			0	BR 1	BR 2	BR 3	BR 4	BR C	Counties of FMR AREA within STATE	thin s	TATE				
Joplin, MO MSA* *Kansas City, MO-KS HMFA			: :	391 610	469 733	598 842 1	761 7 1139 11	783 J 1198 C	Jasper, Newton Caldwell, Cass, Clay, C Rav	Clinton,		Jackson, I	Lafayette,		Platte,
McDonald County, MO HMFA.  Moniteau County, MO HMFA.  Polk County, MO HMFA.  Springfield, MO HMFA.  St. Joseph, MO-KS MSA.  St. Louis, MO-IL HMFA.				4 3 3 3 3 3 3 4 4 4 5 5 5 5 5 5 5 5 5 5	4434 417 4419 484 639	544 550 551 594 602 794	774 77665 8 803 9 846 9 758 8	799 8887 8887 921 967 070 899 899	nald teau stian, Gr ew, Buche ivan city	ter b Trawfor		Franklin,		Jefferson,	Lincoln,
Washington County, MO HWFA			:	421	490	550	724 8	807 W	St. Charles, St. Louis, Warren, Washington	Warre		St. Louis	s city		
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Ż	ONMETRO	POLIT	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	
Adair. Audrain Barton. Butler. Carroll	3 9 2 2 3 4 4 8 8 4 4 4 7 4 7 3 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	455 450 444 448 474	600 541 541 541	788 683 655 750 751	871 867 719 802 839	4 ф ф Ü Ü	Atchison Barry Benton Camden		Atchison	434 353 352 496 448	435 445 419 503 450	541 541 541 618 541	674 705 750 900 752	834 729 778 926 808	
Cedar	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	419 410 446 435	541 541 541 541 541	750 669 720 674 716	778 789 949 834 829		Chariton Cooper Dade Dent		Chariton	473 432 415 405	474 454 438 440 456	599 591 575 541	751 788 736 714 691	839 918 795 907 772	
Gasconade Grundy Henry Holt. Iron.	6 4 6 4 6 8 6 6 6 6 4 4 6 6 8 8 6 6 6 6	418 435 455 435	541 541 600 541 588	677 674 720 674 751	862 834 742 834 870	онннь	Gentry Harrison Hickory Howell		Gentry	434 434 352 372 476	435 435 419 427 507	541 541 541 541 615	674 674 750 671 822	834 778 949 925	
Knox. Lawrence Linn. Macon.	398 448 398 437 657	410 450 410 439	541 541 541 541	669 736 669 648 714	789 849 789 696	ឯឯឯឪឪ	Laclede Lewis Livingston. Madison		Laclede	444 398 425 398 357	445 410 426 447 415	541 541 541 588 548	708 669 723 751	928 789 946 870 733	
Mercer. Mississippi Montgomery. New Madrid.	434 379 357 385 399	435 412 417 443 448	541 541 550 541	674 714 707 722 716	834 823 727 743 829	ZZZZO	Miller Monroe Morgan Nodaway		Miller	452 357 460 480 399	453 417 461 482 448	541 550 554 599 541	722 707 752 716	753 727 876 836 829	
Pemiscot Pettis pike. Putnam. Randolph.	3 5 5 3 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	413 475 411 410 431	541 615 542 541 564	680 767 709 669 715	700 919 775 789 735	ប្បុប្យុល្	Perry. Phelps Pulaski Ralls. Reynolds.		Perry. Phelps. Pulaski. Ralls	403 402 466 357 448	439 433 503 417 450	575 543 559 550	689 751 812 707 752	1011 925 889 727 808	

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	r rents	FOR	XISTI	EXISTING HOUSING	Ð			PAGE	2 9		
MISSOURI continued												
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONME	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Ripleyste. Genevieveste. Genevievesalinesslinesshannon	4448 398 398 399	44 50 44 44 44 44 44 44 44 44 84 84 84 84 84	541 588 556 541	752 751 721 669 716	808 870 846 789	St. Claistran St. Fran Schuyler Scott Shelby	St. Clair	8 4 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	419 473 410 451	541 568 541 565	750 793 669 705 669	778 825 789 833 789
Stoddard	4 1 9 8 8 4 4 4 4 4 8 8 8 8 9 9 7 9 9 9 9 9 9 9 9 9 9 9 9 9	436 410 450 415	541 541 541 541	737 669 745 752 683	797 789 858 808 703	Stone Taney Vernon. Worth	StoneTaney	401 514 377 434	467 516 449 435	617 651 543	809 777 760 674	890 988 784 834
MONTANA												
METROPOLITAN FMR AREAS			J	0 BR 1	1 BR 2 F	BR 3 BR	4 BR Counties of FMR AREA wi	within S	STATE			
Billings, MT MSAGreat Falls, MT MSA			: : :	451 411 533	536 693 495 63 614 77	93 935 5 859 75 1004	1125 Carbon, Yellowstone 1034 Cascade 1202 Missoula					
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONME	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Beaverhead	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	581 502 502 503	763 609 596 596	987 812 803 868 803	1196 927 855 898 855	Big H Broad Chout Danie Deer	Big Horn	4445 4445 40344464 484465	462 510 481 502 510	596 648 609 648	738 876 812 803 876	787 941 927 855
Fallon	4 4 4 4 8 8 4 8 8 4 8 8 8 8 8 8 8 8 8 8	502 552 502 502 477	5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	8 0 3 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	855 1202 855 855 912	Fergus Gallatin. Glacier Granite Jefferson	Fergus	434 508 403 445 445	453 604 481 510	596 786 609 648	722 1049 812 876 876	769 1377 927 941
Judith Basin	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	481 528 528 603	609 684 660 763	812 993 913 987	927 1025 1024 1196 1161	Lake Liberty. McCone Meagher.	Lake         Liberty         McCone         Meagher         Musselshell	5 4 4 4 4 6 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	531 502 502 502 502	644 609 596 763	868 812 803 987 803	935 927 855 1196 855
Park Phillips Powder River Prairie	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	554 502 502 502 502	728 596 596 596	871 803 803 803 803	1151 855 855 855 855	Petroleum Pondera Powell Ravalli	Petroleum	484 403 445 505 484	502 481 510 550	596 609 648 706 596	803 812 876 925 803	855 927 941 1094 855
RosebudSheridan.stillwater.	4 4 4 4 4 4 8 8 8 4 8 4 8 8 8 8 8 8 8 8	460 502 502 481	200 200 200 200 200	735 803 803 812	784 855 855 927	Sanders Silver Sweet G Toole.	SandersSilver BowSweet GrassToole	430 436 484 403	528 469 502 481	660 603 609 609	913 788 803 812	1024 862 855 927

SCHEDULE B - FY 2011 FINAL FAIR MARKET MONTANA continued NONMETROPOLITAN COUNTIES 0 BR	MARKE' O BR	r rents	FOR E	XISTII 3 BR	FOR EXISTING HOUSING	ING	NONMETROPOLITAN	OLITAN	COUNTIES	0 BR	PAGE 1 BR	30 2 BR	3 BR	4 BR
Treasure	484 484	502	വവ	803	8 55 55		Valley Wibaux			484 484	502	(Y (Y	803	855 855
NEBRASKA METROPOLITAN FMR AREAS			O	0 BR	1 BR 2	BR	3 BR 4 B	BR Cour	Counties of FMR AREA	within	STATE			
Lincoln, NE HMFAOmaha-Council Bluffs, NE-IA HMFASaunders County, NE HMFASeward County, NE HMFAsioux City, IA-NE-SD MSA				463 527 567 435	520 599 570 446 511	661 747 684 558 671	927 1124 997 1026 997 1027 742 941 845 870		ter Douglas, rs , Dixon	Sarpy, Washington	gton			
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOLITAN COUNTIES	OLITAN	COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Adams. Arthur Blaine. Box Butte.	389 421 466 417	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	597 558 561 558 558	755 737 693 730	777 759 808 868 868		Antelope. Banner Boone Boyd			464 417 464 417 420	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	558 558 558 647	000 000 000 000 000 000	722 868 722 868 1023
Burt. Cedar. Cherry. Clay. Cuming.	464 464 417 393 464	465 465 465 460 465	558 558 605 558	699 724 774 699	722 722 868 898 722		Butler Chase Cheyenne. Colfax			463 421 417 464 466	464 487 424 465	55 58 8 8 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9	708 737 724 699 693	742 759 868 722 808
Dawes. Deuel. Dundy. Franklin. Furnas.	361 417 421 393 421	424 424 487 460	55 58 58 58 58 58 58 58 58 58 58 58 58 5	668 724 737 774 737	831 868 759 898 759		Dawson Dodge Fillmore. Frontier. Gage			4 8 8 2 4 4 4 8 8 4 4 4 8 8 4 4 8 9 4 4 8 9 9 4 6 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	523 519 464 487	5 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	708 814 708 737 681	730 994 742 759
Garden. Gosper. Greeley. Hamilton.	417 421 466 466	424 487 467 467	558 558 561 561 558	724 737 693 737	868 759 808 759		Garfield Grant Hall Harlan			466 421 472 393 421	467 487 473 460	561 558 593 605 558	693 737 741 774	808 759 959 759
Holt Howard Johnson Keith Kimball	417 466 463 421 417	424 467 487 424	558 561 558 558 558	724 693 708 737	868 808 742 759 868		Hooker Jefferson. Kearney Keya Paha. Knox			421 463 393 417	487 464 460 424 465	9 2 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	737 708 774 724 699	759 742 898 868 722
Lincoln.  Loup.  Madison.  Morrill.	408 466 413 417	459 467 436 464	584 561 573 558 558	716 693 781 724 708	902 808 806 868 742		Logan		Logan	4 2 2 1 4 4 2 1 4 4 6 6 4 4 6 4 4 6 4 8 9 3 8 9 3	487 487 467 465	558 561 558 605	737 737 693 699 774	759 808 722 898

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	<i>7</i> .6	PAGE 31
NEBRASKA continued		
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1	BR 2 BR 3 BR 4 BR
Otcoe	Pawnee       463         Phelps       393         Platte       464         Red Willow       386         Rock       417	464 558 708 742 460 605 774 898 465 558 814 838 503 558 812 837 424 558 724 868
Saline.       493       520       594       726       750         Sheridan.       417       424       558       724       868         Sioux.       417       424       558       724       868         Thayer.       463       464       558       708       742         Thurston.       464       465       558       699       722	Scotts Bluff.       463         Sherman.       466         Stanton.       464         Thomas.       421         Valley.       466	464 558 711 938 467 561 693 808 465 558 699 722 487 558 737 759 467 561 693 808
Wayne	Webster393 4	460 605 774 898 465 608 738 886
NEVADA METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR	3 BR 4 BR Counties of FWR AREA within ST	STATE
MSA	292 1558 Carson 483 1785 Clark 271 1537 Storey, Washoe	
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR	NONMETROPOLITAN COUNTIES 0 BR 1	BR 2 BR 3 BR 4 BR
Churchill       659       661       830       1050       1233         Blko       594       646       838       1044       1344         Bureka       517       597       762       1012       1119         Lander       517       597       762       1012       1119         Lyon       546       614       808       1177       1213	Douglas.       690         Esmeralda.       517         Humboldt.       521         Lincoln.       517         Mineral.       517	849 1031 1435 1591 597 762 1012 1119 611 802 960 988 597 762 1012 1119 597 762 1012 1119
Nye	Pershing 517	597 762 1012 1119
NEW HAMPSHIRE		
METROPOLITAN FMR AREAS 0 BR 1 BR 2 BR	3 BR 4 BR Components of FWR AREA within 8	STATE
Boston-Cambridge-Quincy, MA-NH HMFA	1613 1773 Rockingham County towns of Seabrook town, South Hamp 1456 1754 Hillsborough County towns of Antrim town, Bennington Deering town, Francestown town, Greenfield town, Hancock town, Hillsborough town, Cyndeborough town, Mar Boeton town Detechborough town town	i Seabrook town, South Hampton town of Antrim town, Bennington town, I town, Greenfield town, htown, Lyndeborough town, Tammle town
Lawrence, MA-NH HMFA	Mindsor town Windsor town Windsor town Windsor town Danville town, Derry town, Fremont Kingston town, Newton town, Plaistc	town, Chester town, town, town, Hampstead town, w town, Raymond town,
Manchester, NH HMFA721 885 1059	Salem town, Sandown town, Windham town 1266 1304 Hillsborough County towns of Bedford town, Manchester city, Weare town	Goffstown

PAGE

NEW HAMPSHIRE continued						
METROPOLITAN FWR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FMR AREA within STATE
Nashua, NH HMFA	798	939	1174	1570	1680	Hillsborough County towns of Amherst town, Brookline town, Greenville town, Hollis town, Hudson town, Litchfield town, Mason town, Merrimack town, Milford town, Months Americal town, Milford town, Milford town, Willes town
Portsmouth-Rochester, NH HMFA	თ თ დ	8 2 6	1030	1360	1534	Nashua Ciry, New ipswich Cown, Felinam Lown, Willon Cown Rockingham Courty towns of Brentwood town, East Kingston town, Epping town, Exeter town, Greenland town, Hampton town, Hampton Falls town, Kensington town, New Castle town, Newfields town, Newington town, Newmarket town, North Hampton town, Portsmouth city, Rye town, Stratham town  Strafford County towns of Barrington town, Madbury town, Durham town, Farmington town, Madbury t
Western Rockingham County, NH HMFA	910	911	1096	1449	1494	Additional cown, mileon cown, new Durnam cown, Accuescer ciry, Rollinsford town, Somersworth city, Strafford town Rockingham County towns of Auburn town, Candia town, Deerfield town, Londonderry town, Northwood town, Nottingham town
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Belknap County, NH	591	727	806	1199	1541	Alton town, Barnstead town, Belmont town, Center Harbor town, Gilford town, Gilmanton town, Laconia city, Meredith town,
Carroll County, NH	654	691	911	1239	1521	New Hampton town, Sanbornton town, Tilton town Albany town, Bartlett town, Brookfield town, Chatham town, Conway town, Eaton town, Effingham town, Freedom town, Hale's location, Hart's Location town, Jackson town,
Cheshire County, NH	725	774	970	1170	1424	Madison town, Moultonborough town, Ossipee town, Sandwich town, Tamworth town, Tuftonboro town, Wakefield town, Wolfeboro town Alstead town, Chesterfield town, Dublin town, Fitzwilliam town, Gilsum town, Harrisville town, Andfrey town, Keene city, Marlborough town, Marlow town Dichmond town Dindage town
Coos County, NH	425	556	653	916	1028	Mariow cown, Nelson cown, Alchimona cown, Alliage cown, Roxbury town, Stoddard town, Sullivan town, Surry town, Swanzey town, Troy town, Walpole town, Westmoreland town, Winchester town Atkinson and Gilmanton Academy grant, Beans grant, Beans purchase, Berlin city, Cambridge township,
						Carroll town, Chandlers purchase, Clarksville town, Colebrook town, Columbia town, Crawfords purchase, Cutts grant, Dairon town, Dixs grant, Dixville township, Dummer town, Ervings location, Gorham town, Greens grant, Hadleys purchase, Jefferson town, Griens prant, Hadleys purchase, Jefferson town, Martins location, Milan town, Millsfield township, Northumberland town, Odell township, Pinkhams grant, Pittsburg town, Randolph town, Sargents purchase, Second College grant, Shelburne town, Stark town, Stewartstown town, Stratford town, Success township,

PAGE 33		. Towns within nonmetropolitan counties	Thompson and Meserves purchase, Wentworth location, Whitefield town, Ashland town, Bath town, Benton town, Bethlehem town, Ashland town, Bristol town, Campton town, Canaan town, Dorchester town, Easton town, Elsworth town, Enfield town, Franconia town, Grafton town, Groton town, Hanover town, Haverhill town, Hebron town, Holderness town, Landaff town, Libanon city, Lincoln town, Lisbon town, Littleton town, Livermore town, Lyman town, Lyme town, Monroe town, Orange town, Orford town, Piermont town,	Plymouth t Warren tow Woodstock Allenstown Bradford t Concord ci	Hopkinton town, Loudon town, Newbury town, New London town, Northfield town, Pembroke town, Pittsfield town, Salisbury town, Salisbury town, Sutton town, Marner town, Webster town, Wilmot town Acworth town, Charlestown town, Claremont city, Cornish town, Croydon town, Goshen town, Grantham town, Langdon town, Lempster town, Newport town, Plainfield town, Springfield town, Washington town		: Counties of FMR AREA within STATE	Atlantic Bergen, Passaic Hudson Hunterdon, Middlesex, Somerset Monmouth, Ocean Essex, Morris, Sussex, Union Cape May Burlington, Camden, Gloucester, Salem Mercer Cumberland		: Counties of FWR AREA within STATE	Bernalillo, Sandoval, Torrance, Valencia San Juan Dona Ana
		4 BR	1273	1578	1236		4 BR	1564 2281 1570 2145 1683 1683 1589 1589 1641 1293		4 BR	1416 948 889
		3 BR	1208	1231	1144		3 BR	1395 1884 1458 1745 1745 1243 1317 1227 1227		3 BR	1181 841 800
JSING		2 BR	80 60 80	766	844		2 BR	11100 1494 1203 14449 1333 1233 1077 1010 1039		2 BR	811 636 580
ING HOI		1 BR	709	764	993		1 BR	922 1302 1031 1232 1097 1079 754 900 1018 888		1 BR	642 528 520
EXIST		0 BR	644	646	547		0 BR	837 11195 11188 11188 849 883 739 739 749 769 779 789		0 BR	546 499 483
SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	NEW HAMPSHIRE continued	NONMETROPOLITAN COUNTIES	Grafton County, NH	Merrimack County, NH	Sullivan County, NH	NEW JERSEY	METROPOLITAN FMR AREAS	Atlantic City-Hammonton, NJ MSA. *Bergen-Passaic, NJ HMFA. Jersey City, NJ HMFA. Middlesex-Somerset-Hunterdon, NJ HMFA. Mommouth-Ocean, NJ HMFA. Newark, NJ HMFA. Ocean City, NJ MSA. *Philadelphia-Camden-Wilmington, PA-NJ-DE-MD MSA. Trenton-Ewing, NJ MSA. Vineland-Millville-Bridgeton, NJ MSA. Warren County, NJ HMFA.	NEW MEXICO	METROPOLITAN FMR AREAS	*Albuquerque, NM MSA Farmington, NM MSA Las Cruces, NM MSA

	<i>Id</i>	PAGE 34	
NEW MEXICO continued  METROPOLITAN FWR AREAS  0 BR 1 BR 2 BR 3 BR 4 BR Co	Counties of FMR AREA within STATE	TE	
Santa Fe, NM MSA	Santa Fe		
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMETROPOLITAN COUNTIES	I COUNTIES 0 BR 1	BR 2 BR 3 BR	4 BR
Catron.       391       440       527       768       791       Chaves.         Cibola.       439       473       527       766       832       Colfax.         Curry.       438       454       527       714       928       De Baca.         Eddy.       352       448       527       707       859       Grant.         Guadalupe.       516       523       623       782       816       Harding.	Chaves	423     540     706       498     560     707       451     527     711       490     557     785       451     527     711	728 735 865 807 865
Hidalgo	Lea	475     527     693       764     1003     1204       489     643     768       476     527     770       480     567     734	730 1240 995 928 814
Roosevelt	441 441 438 4438 4438 4438 4	475 585 777 440 527 631 451 527 711	903 894 865
NEW YORK  WETROPOLITAN FWR AREAS  0 BR 1 BR 2 BR 3 BR 4 BR Co	Counties of FMR AREA within STATE	\TE	
Albany-Schenectady-Troy, NY MSA.  Binghamton, NY MSA.  Buffalo-Niagara Falls, NY MSA.  Buffalo-Niagara Falls, NY MSA.  Gos 655 726 898 992 Experimentally, NY MSA.  Glens Falls, NY MSA.  Ithaca, NY MSA.  Kingston, NY MSA.  NASSau-Suffolk, NY HMFA.  New York, NY HMFA.  Poughkeepsie-Newburgh-Middletown, NY MSA.  Rochester, NY MSA.  Syracuse, NY MSA.  Gos 656 825 1041 172 Wa in the second of the	Albany, Rensselaer, Saratoga, Sc Broome, Tioga Erie, Niagara Chemung Warren, Washington Tompkins Ulster Nassau, Suffolk Bronx, Kings, New York, Putnam, Dutchess, Orange Livingston, Monroe, Ontario, Orl Madison, Onondaga, Oswego Herkimer, Oneida	chenectady, Queens, Ric	Schoharie chmond, Rockland
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR NONMETROPOLITAN COUNTIES	I COUNTIES 0 BR 1	BR 2 BR 3 BR	4 BR
Allegany	577	578     696     915       587     704     908       659     790     1003       627     765     972       620     744     990	1050 992 1304 1193
Franklin.     566     567     678     871     963     Fulton.       Genesee.     676     677     814     1009     1142     Greene.       Hamilton.     623     625     750     935     1083     Jefferson.		592 748 895 668 814 1058 646 776 1000	951 1153 1051

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET		FOR	EXISTI	RENTS FOR EXISTING HOUSING	ING						PAGE	E 35			
NEW YORK continued																
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOLITAN	ROPOLI	TAN COUNTIES	IES	О	BR 1 B	BR 2 I	BR 3	BR 4	BR
Lewis Otsego Schuyler Steuben Wyoming	575 609 627 612 594	578 624 630 613	693 733 755 737 716	867 974 1006 946 1042	967 1013 1040 1044 1138		Montgomery St. Lawrence Seneca Sullivan	gomery Lawrence. ca			575 575 664 632	5 617 5 577 4 666 2 700 1 619		693 8 694 8 798 10 735 9	877 879 1050 1 1076 1	949 960 1329 1260 980
NORTH CAROLINA																
METROPOLITAN FMR AREAS				0 BR	1 BR 2	2 BR	3 BR	4 BR	Counties	of FMR AREA	3A within	n STATE	田			
Anson County, NC HMFA Asheville, NC HMFA				496 504 627	5 2 3 3 6 4 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9			884 1180 1058	Anson Buncombe, Alamance	Henderso	n, Madis					
Charlotte-Gastonia-Rock Hill, NC-SC HWFA Durham-Chapel Hill, NC HWFA Fayetteville, NC HMFA				547 571	750 618			1201 1185 1159	Chatham, D Cumberland	Gaston, Durham, C d	Mecklemburg, Orange					
Goldsboro, NC MSA Greene County, NC HMFA			: :	441 495	524 496	613 596		1026 870	Wayne Greene							
Greensboro-High Point, NC HMFAGreenville, NC HMFA			: :	535 509	611 528	681 651		923 932	Guilford, Pitt	Randolph						
Haywood County, NC HMFA			: :	515 517	516 543	644 624		1079 932	Haywood Alexander,	Burke,	Caldwell,	., Catawba	wba			
Hoke County, NC HWFA			: :	529 523	575 560	637 629		1063 1037	Hoke Onslow							
Pender County, NC HMFA			: :	523	526 526	632	831	854 868	Pender Person							
Raleigh-Cary, NC MSARockingham County, NC HMFA			: :	695 476	779		90	1129 763	Franklin, Rockingham	Johnston, Wake m	, Wake					
Rocky Mount, NC MSAVirginia Beach-Norfolk-Newbort News, VA-	VA-	-NC MSA.	: :	388	468 834	596		762 1590	Edgecombe, Currituck	, Nash						
Wilmington, NC HMFA				602 491	664 559	802 648		1156 1038	Brunswick Davie, Fo	Brunswick, New Hanover Davie, Forsyth, Stokes,		Yadkin				
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMET	ROPOLI	NONMETROPOLITAN COUNTIES	IES	О	BR 1 B	BR 2 1	BR 3	BR 4	BR
Alleghany Avery Bertie Camden	4443 451 408 434	520 558 518 566 492	900 900 900 900 900	782 788 713 902 736	806 931 735 925 768		AsheBeaufort Bladen Carteret	6			4 6 6 6 6	93 495 88 506 87 470 36 537 87 502		2 2 2 3 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	788 718 868 939 1 866 1	932 738 938 1131 1044
Chowan Cleveland Craven Davidson	434 576 491 504 434	566 577 560 505 566	668 694 642 609	902 914 865 794	925 1026 1081 907		Clay Columbus Dare Duplin	su	Clay		4 4 0 4 4 0 1 0 0 0	15 497 7 537 4 665 15 535 15 497		9999	782 714 079 1 754 782	911 735 1110 777 911
GranvilleHarnett	540	542 543	651 602	812	966 1057		Halifax Hertford.	х rd	HalifaxHertford		388	8 53	വര	. 296 596	758 782	870 804

36		2 BR 3 BR 4 BR	5 712 943 1231 5 640 886 1126 1 596 712 1023 650 803 826 5 596 772 794	596 743 1047 596 758 780 660 958 987 669 836 862 1 596 715 796	652 780 804 616 748 933 596 796 819 762 962 1014 596 714 736	629 755 775 596 765 796 596 712 733		63		2 BR 3 BR 4 BR	545 762 958 1 545 722 754 1 545 722 754 3 545 752 948 1 545 722 754	3 545 752 948 3 545 752 948 1 545 722 754 1 545 722 754 3 545 752 948	1 565 796 867 1 545 722 754 1 545 722 754 624 844 937 624 844 937
PAGE		BR 1 BR	590 595 495 535 451 454 422 503 495 525	494 536 389 525 430 555 544 545	531 533 485 486 445 536 495 688 494 495	409 552 436 499 493 495		within STATE		BR 1 BR	452 455 382 444 382 444 446 448 382 444	446 448 446 448 382 444 382 444 446 448	414 454 382 444 382 444 421 523 421 523
		NONMETROPOLITAN COUNTIES 0.	Iredell	Montgomery	Rutherford	Washington		3 BR 4 BR Counties of FMR AREA wit	859 883 Burleigh, Morton 883 1021 Cass 816 1109 Grand Forks	NONMETROPOLITAN COUNTIES 0	Barnes	Eddy         Foster         Grant         Hettinger         LaMoure	McHenry
EXISTING HOUSING		BR	925 848 1162 781	931 1193 772 925 772	.021 .049 892 911	753 1176 853		BR 2 BR	77 593 81 612 25 644	4 BR	754 948 867 867 948	754 867 754 948 867	867 867 867 867 754
XISTING		3 BR 4	902 822 814 1 760 757 1	788 978 1 750 902 749	956 1 828 1 820 782 902	732 911 1 804		0 BR 1	455 4 405 4 418 5	3 BR 4	722 752 796 796 752	722 796 722 752 796	796 796 796 722
RENTS FOR E		2 BR	668 626 626 629 623	659 680 596 596	670 596 602 596 668	599 749 672		0	· · · · · · · · · · · · · · · · · · ·	2 BR	545 565 565 545	545 545 545 545 565	565 565 565 565 545
		1 BR	566 526 587 565 483	558 540 507 566 537	604 505 492 497 566	500 595 554				1 BR	444 448 454 454 448	444 444 448 458	454 454 454 454
MARKE		0 BR	434 508 429 409	451 339 434 429	557 495 495 434	498 488 553				0 BR	382 446 414 414 446	382 414 382 446 414	414 414 414 414 382
SCHEDULE B - FY 2011 FINAL FAIR MARKET	NORTH CAROLINA continued	NONMETROPOLITAN COUNTIES	Hyde Jackson Lee Lincoln	MitchellPworePamlicoPamlicoRichmond	Rowan         Sampson.         Stanly.         Swain.         Tyrrell.	WarrenWatauga	NORTH DAKOTA	METROPOLITAN FMR AREAS	Bismarck, ND MSAFargo, ND-MN MSAGrand Forks, ND-MN MSA	NONMETROPOLITAN COUNTIES	Adams	Dunn	Logan McIntosh McLean Mountrail

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	USING		н	PAGE 3	3.7		
NORTH DAKOTA continued							
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR		NONMETROPOLITAN COUNTIES	0 BR 1	1 BR 2	BR 3	BR 4	BR
Pierce       414       454       565       796       867         Ransom       446       448       545       752       948         Richland       368       442       560       727       863         Sargent       446       448       545       752       948         Sioux       382       444       545       722       754	Ramsey Renville Rolette Sheridan		403 414 414 414 382	414 454 454 454 444	545 565 565 565 545	679 796 796 796 722	859 867 867 754
Stark       387       471       545       793       958         Stutsman       453       455       545       755       958         Traill       421       523       624       844       937         Ward       422       525       646       892       1059         Williams       355       433       545       718       761		SteeleTowner	421 446 421 446	523 448 448 448	624 545 624 545	844 752 844 752	937 948 937 948
c .	, נ נ	i. Ch	ر ا- ا-	Ë			
METROPOLITAN FMR AREAS	2 BK 3 BK 4 BK	Councies of FMR AREA Within STAIE	cuin si	ALE			
Akron, OH MSA       498       582         Brown County, OH HMFA       448       470         Canton-Massillon, OH MSA       448       497         Cincinnati-Middleton, OH-KY-IN HMFA       490       581         Cleveland-Elyria-Mentor, OH MSA.       515       598         Columbus, OH HMFA       530       616	745     948     977       620     800     964       628     793     840       752     1007     1045       720     923     981       779     980     1065	Portage, Summit Brown Carroll, Stark Butler, Clermont, Hamilton, Wa Cuyahoga, Geauga, Lake, Lorain Delaware, Fairfield, Franklin,	Hamilton, Warren Lake, Lorain, Medina d, Franklin, Licking	ırren 1, Medina Licking,		dison,	Madison, Morrow,
Davton, OH HWFA 508 580	714 961 1147	Fichaway Greene, Miami, Montgomerv	ĽV				
420 489	735	Φ					
402	803	Richland					
Parkersburg-Marietta-Vienna, WV-OH MSA 435 465 Preble County, OH HMFA533 550	596 791 854 667 864 895	Washington Preble					
441	886	Erie					
Springfield, OH MSA	660 853 1096 596 744 808	Clark Jefferson					
	835	Fulton, Lucas, Ottawa, I	Wood				
ng, WV-OH MSA387 stown-Warren-Boardman, OH HMFA462	749	Belmont Mahoning, Trumbull					
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR 3 BR 4 BR		NONMETROPOLITAN COUNTIES	0 BR 1	. BR 2	BR 3	BR 4	BR
. 485 503 596 790			422	503	652		865
. 432 508 647 822		Athens	496	539	598	0 (	800
471 573		Columbiana	41 <i>/</i> 478	504 504	642 609		851 915
. 415 500 596 771			492	499	596	768	840
387 495 596 793			452	517	628		965
Fayette		Gallia Hancock	405 447	538	596 678		995
495 538 596 747		Harrison	368	474	596	763	785
Henry	Highland		495	496	596		329

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET		FOR I	EXISTII	RENTS FOR EXISTING HOUSING	ING				PAGE	38		
OHIO continued													
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOI	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Hocking.  Huron.  Knox.  Marion.	386 438 527 389	538 530 531 545 505	596 648 637 666 596	850 899 815 844 803	875 989 934 1028 827		Holmes Jackson Logan Meigs	Holmes	. 505 . 505 . 543 . 495	496 508 549 737 796	596 608 653 596 596	786 729 821 817 732	834 751 848 841 818
Morgan	495 495 495 418	496 496 496 543	596 596 610 639	732 732 746 757	818 818 767 789 868		Muskingum Paulding Pike Ross	Muskingum	. 483 . 438 . 389 . 451	44 49 45 45 45 45 45 45 45 45 45 45 45 45 45	5 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	763 778 716 737 783	963 802 745 846 936
SenecaTuscarawas	441 400 434 494	463 468 537 501	598 617 596 621	751 781 816 822	774 805 1016 909		Shelby Van Wert Wayne	Shelby.           Van Wert.           Wayne.           Wyandot.	. 497 . 387 . 445	508 464 553 496	661 596 681 596	825 725 814 817	914 749 890 842
oklahoma metropolitan fmr areas				0 BR	1 BR 2	BR	3 BR 4 BR	Counties of FMR AREA	A within	STATE			
Fort Smith, AR-OK HMFA  Grady County, OK HMFA  Lawton, OK MSA  Lie Flore County, OK HWFA  Lincoln County, OK HWFA  Oklahoma City, OK HMFA  Okmulgee County, OK HMFA  Pawnee County, OK HMFA				399 407 456 381 466 529 471 518	4 4 4 4 4 0 4 4 0 0 0 4 9 7 7 8 8 0 0 0 4 8 7 8 8 0	564 619 619 564 701 564 688	751 818 904 1088 698 854 743 766 946 1014 765 813 731 752 909 938	Sequoyah Grady Comanche Le Flore Lincoln Canadian, Cleveland, Okmulgee Pawnee Creek, Osage, Rogers,	, Logan, s, Tulsa,	McClain, , Wagoner		Oklahoma	
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOI	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Adair	470 408 469 458	472 457 508 460 530	564 564 564 600	673 733 738 729 746	693 9856 7999	N A A G G	Alfalfa Beaver Blaine Caddo	AlfalfaBeaverBlaine	. 470 . 470 . 388 . 455	44 44 44 44 44 44 44 44 44 44 44 44 44	564 564 564 564 567	766 766 766 675	790 790 790 844 819
Choctaw	466 408 381 405 470	505 457 445 456 486	564 564 564 564	800 733 701 757 766	823 856 1028 781		Cimarron Cotton Custer Dewey	Cimarron	. 470 . 428 . 435 . 470	44 44 44 44 44 44 44 44 44 44 44 44 44	564 584 564 564	766 845 807 766 813	790 989 831 790 836
Garvin.  Greer.  Harper.  Hughes.	365 437 470 456	426 453 486 519	564 564 564 584 584	741 758 766 789 845	904 794 790 811		Grant		. 470 . 367 . 388 . 408	486 453 440 503	564 564 564 564 564	766 758 710 793 733	790 794 778 817 856

SCHEDULE B - FY 2011 FINAL FAIR MARKE		T RENTS	FOR I	EXISTI	RENTS FOR EXISTING HOUSING	NG						PAGE	3		
OKLAHOMA continued															
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Z	ONMETR	OPOLITA	NONMETROPOLITAN COUNTIES	10	0 BR	1 BR	2 BR	3 BR	4 BR
Kay Kiowa Love McIntosh	383 437 408 417 408	474 453 457 472	589 564 565 565	813 758 733 707	8 8 5 6 8 5 6 8 5 6 8 5 6 8 6 5 6 6 6 6	ᄍ 너 줄 듯 줄	Kingfisher. Latimer McCurtain. Major	Kingfisher Latimer McCurtain Major	Kingfisher		470 367 366 470 366	486 440 427 486 508	5 6 4 4 5 6 4 4 4 4 4 4 4 4 4 4 4 4 4 4	792 710 732 766 706	815 778 756 790 807
Murray	470 411 456 498 396	471 478 519 571 443	564 575 619 700 564	758 799 789 991 769	971 823 811 1021 793	22044	Muskogee Nowata Ottawa Pittsburg	Muskogee Nowata Ottawa Pittsburg	Muskogee		427 430 471 385 490	502 455 472 449 556	596 564 592 619	754 752 769 745	833 849 793 909
Pushmataha	367 366 439 418	44 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	0 0 0 0 0 0 4 4 0 0 4	710 677 749 797 820	778 698 896 877 845	K 01 H Z Z	Roger Mills Stephens Tillman Washita	Roger Mills Stephens Tillman Washita	Roger Mills		437 369 428 437 391	453 463 463 453 7	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	758 770 845 758 703	794 795 989 794 725
OREGON METROPOLITAN FWR AREAS			9	0 BR	1 BR 2	BR 3	BR 4	BR Co	Counties of	FMR AREA within		STATE			
Bend, OR MSA  Corvallis, OR MSA  Eugene-Springfield, OR MSA  Medford, OR MSA  Portland-Vancouver-Hillsboro, OR-WA MSA Salem, OR MSA	OR-WA MSA			572 537 523 529 675	664 7 651 8 634 8 629 7 783 9	92 03 12 12	1154 1. 1178 1. 1123 1. 1149 1. 1318 1.	1190 De 1355 Be 1250 La 1183 Ja 1583 Cl	Deschutes Benton Lane Jackson Clackamas, C	olumbia,	Multnomah, Washington,	ah, Was	shingto	n, Yam	Yamhill
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Z	ONMETR	OPOLITA	NONMETROPOLITAN COUNTIES	10	0 BR	1 BR	2 BR	3 BR	4 BR
Baker.         Coos.         Curry.         Gilliam.         Harney.	419 458 517 485	4 7 7 7 8 8 7 8 7 7 8 7 8 9 7 8 7 8 7	644 704 702 686	937 934 1026 930 878	965 1076 1238 1087 933	ООДОЕ	Clatsop Crook Douglas Grant		Clatsop		474 451 447 485 485	5 8 8 8 8 8 8 8 9 8 9 8 9 8 9 9 9 9 9 9	728 694 687 686 750	1053 939 932 930 1067	1086 1099 1153 1087 1101
Jefferson	53 8 4 4 5 3 8 4 4 5 5 8 8 5 5 5 5 5 5 5 5 5 5 5 5 5	573 506 614 524 568	649 645 783 638 686	944 902 1085 923 930	1062 1002 1225 949 1087	рнихн	Josephine. Lake Linn Morrow	Josephine Lake Linn Morrow	Josephine		521 434 514 485	597 623 568 595	722 635 777 686 765	1027 878 1071 930 1069	1139 933 1326 1087 1102
Umatilla         Wallowa.         Wheeler	450 426 485	513 496 568	656 655 686	921 937 930	1027 1007 1087	ρ×	Union Wasco		: :		430	501 559	661	964 989	992 1224

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PENNSYLVANIA											
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Counties of FMR AREA	REA within STATE	STATE			
Allentown-Bethlehem-Baston, PA HWFAAltoona, PA MSA	. 631	768	909	1176	1244 844	Carbon, Lehigh, N Blair	Lehigh, Northampton				
Armstrong County, PA HMFA	488	531	587	751	986	Armstrong Erie					
	. 549	627	790	997	1033	Cumberland, Dauphin,	in, Perry				
:	. 470	478	587	739	846 1098	Cambria Langaster					
Lebanon, PA MSA	450 .	537	692	939	968	Lebanon					
adelphia-Camden-Wilmington, PA-NJ-DE-MD		006	1077	1317	1589	s, Chester,	Delaware, Mo	Montgomery,		Philadelphia	hia
Pike County, PA HMFA	. 837	871	1009	1366	1673	Pike Allachany Basmar Butler Fewette	B11+	4	Mod	† 0	West, not on Westmorel and
Reading, PA MSA	. 557	622	767	1025	1058	Arregueny, beaver Berks	, bucie, r	ayarra	, wasiii	LIIG COII,	Weschoreraid
, PA MSA	474	999	619	861	606	Lackawanna, Luzerne, Wyoming	ne, Wyoming				
Sharon, PA HMFA	. 492 645	514	627	768	844	Mercer					
Williamsport, PA MSA	456	524 594	631 754	829 911	852 944	Control Lycoming York					
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR	R 3 BR	2 4 BR		NONME	FROPOL	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
551		Н		Bedford	rd		447	508	587	701	932
				Camero	on	Cameron	491	209	289	781	840
				Clear	Clearfield.			494	587	842	993
Clincon	0 /66 7 779	/8/		Elk	Columbia Elk		489	513 511	632 587	808 761	920
								Ĺ	L	(	I L
		787		Franklin.	п.	franklin	45/	אות אות	0 0 0 0	207	105/ 722
don382 473	7 758			Indiana	na			544	629	751	821
399 494				Juniata.				489	589	800	826
426 557				McKean				518	591	792	852
413 478				Monro	Monroe		600	740	925	1181	1322
r 525 602				North	umberl	Northumberland	403	526	587	727	753
				Schuylkill	lkill.		391	510	587	733	806
Sullivan387 519 595	746	888		Susque	Susquehanna.		477	519	608	731	806
F 503 614	7.08	7 862		Thi	IInion		7	η 7	87.8	α	0 12 0
492 573		H		Warren			382	490	587	762	807
RHODE ISLAND											
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Components of FMR	FMR AREA within	n STATE	ы		
Newport-Middleton-Portsmouth, RI HMFA	. 822	1003	1240	1684	2176	Newport County towns	wns of Middletown town,	letown	town,	Newport	t city,
Providence-Fall River, RI-MA HMFA	. 762	848	977	1168	1440	Bristol County towns	of	Barrington	town,	Bristol	l town,
						Warren town		)			

SCHEDULE B - FY ZULL FINAL FAIR MARKET RENTS FOR EXISTING HOUSING	RHODE ISLAND continued	
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METROPOLITAN FMR AREAS	0 BR	1 BR 2	2 BR 3	BR 4	BR Cc	Components of FMR AREA within STATE	within S	TATE			
Westerly-Hopkinton-New Shoreham, RI HWFA	697	8 76	1025 1	1225 1599		Kent County towns of Coventry town, East Greenwich town, Warwick city, West Greenwich town, West Warwick town Newport County towns of Jamestown town, Little Compton town, Tiverton town  Tiverton town  Central Falls city, Cranston city, Cumberland town, East Providence cluty, Foster town, Glocester town, Johnston town, Lincoln town, North Providence town, North Smithfield town, Pawtucket city, Providence city, Scituate town, Smithfield town, Woonsocket city Washington County towns of Charlestown town, Exeter town, South Kingstown town, North Kingstown town, Richmond town, Washington County towns of Hopkinton town, New Shoreham town, Westerly town	Coventry to treenwich to of Jamesto to Jamesto Cranston Cranston Cranston (', Foster to In town, Nat, Pawtuck Hield town ns of Charles of Charles of Hopk ns of Hopk	town, B town, W cown tow crillvil city, G town, G North P Sket cit w, Woon arlestow arlestow gstown t	ry town, East Greenwich ch town, West Warwick to lestown town, Little Comy Burrillville town, con city, Cumberland town, cer town, Glocester town, m, North Providence town trucket city, Providence town, Woonsocket city Charlestown town, Exetentingstown town, Richmond Hopkinton town, New Shon	Greenwich to Warwick town ittle Compto cown, crland town, ster town, dence town, rovidence ciet city wn, Exeter the Richmond to Richmond to 1, New Shoreh	ms of Coventry town, East Greenwich town, West Greenwich town, West Warwick town towns of Jamestown town, Little Compton town, try towns of Burrillville town, city, Cranston city, Cumberland town, city, Foster town, Glocester town, Lincoln town, North Providence town, Smithfield town, Woonsocket city Smithfield town, Woonsocket city try towns of Charlestown town, Exeter town, cown, North Kingstown town, Richmond town, nn town tty towns of Hopkinton town, New Shoreham town
SOUTH CAROLINA											
METROPOLITAN FMR AREAS	0 BR	1 BR 2	2 BR 3	3 BR 4 I	BR Cc	Counties of FMR AREA within STATE	thin STA	ΤE			
Anderson, SC MSA.  Augusta-Richmond County, GA-SC MSA. Charleston-North Charleston-Summerville, SC MSA. Charlotte-Gastonia-Rock Hill, NC-SC HMFA. Columbia, SC HMFA. Darlington County, SC HMFA. Florence, SC HMFA. Greenville-Mauldin-Easley, SC MSA. Kershaw County, SC HMFA. Laurens County, SC HMFA. Myrtle Beach-North Myrtle Beach-Conway, SC MSA. Spartanburg, SC MSA.	4 2 6 6 6 7 6 6 6 7 6 6 6 7 6 7 6 6 6 7 6	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	629 629 836 1 743 1 743 5 573 639 642 660 560	796 819 842 886 1089 1268 1032 1201 918 947 681 734 688 863 843 867 767 895 967 1171 830 855		Anderson Alken, Edgefield Berkeley, Charleston, D York Calhoun, Fairfield, Lex Darlington Florence Greenville, Pickens Kershaw Laurens Horry Spartanburg Sumter	1, Dorchester Lexington, Richland, Saluda	r Richla	nd, Sa.	luda	
NONMETROPOLITAN COUNTIES 0 BR 1 BR 2 BR	3 BR	4 BR	Z	ONMETRO	POLITA	NONMETROPOLITAN COUNTIES	0 BR 1	BR 2	BR 3 I	BR 4	BR
Abbeville	688 756 1107 699 712	709 778 1176 743 811	а, щ О О О	Allendale Barnwell Cherokee Chesterfield	ield	Allendale	472 5 469 4 465 4 401 5 368 4	10 94 66 00 59	567 70 567 68 568 68 567 6°	704 683 680 678 802	910 883 721 995 823
Dillon       471       479       567         Greenwood       499       522       600         Jasper       520       565       629         Lee       387       475       567         Marion       468       469       567	709 871 750 697 688	778 898 851 873 707	OHIZZ	Georgetown Hampton Lancaster McCormick	мр. К К	Georgetown	555 5 471 4 384 4 476 4	556 6 480 5 484 5 472 5	670 86 567 69 567 78 573 79	868 10 699 7 780 8 792 8	1048 793 858 818
Newberry469 510 567 Orangeburg	721 704	887	0.5	Oconee Union		Oconee	372 4 471 4	436 5	572 70 567 78	709 10 783 8	1007 879

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET		FOR E	XISTI	RENTS FOR EXISTING HOUSING	ŊĊ					PAGE	42		
SOUTH CAROLINA continued	р С	9	G G	c G	, dd	Ĭ		од таки солимент в сероня в с	Ç.	р О	6	C G	с Б	р С
Williamsburg	494	. 4	10		ന	Í			2				á	
SOUTH DAKOTA														
METROPOLITAN FMR AREAS			0	O BR 1	1 BR 2	BR 3	BR 4 BR	Counties c	of FMR AREA within	thin s	STATE			
Meade County, SD HMFARapid City, SD HMFASioux City, IA-NE-SD MSASioux Falls, SD MSA				360 507 435 517	430 5 592 7 511 6 543 6	556 8 745 9 671 8	808 902 986 1014 845 870 906 1003	Meade Pennington Union Lincoln, M	Meade Pennington Union Lincoln, McCook, Minnehaha,		Turner			
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Ĭ	ONMETROPOI	NONMETROPOLITAN COUNTIES	ES	0 BR	1 BR	2 BR	3 BR	4 BR
277717	37.6	717	777	α σ	776	ď	0.000			7 7 2	777	7 / 7	703	o o
Bennett	200	424	ር ት 4 ር	729	811	ňř	Bon Homme			7 10 10 10 10 10 10 10 10 10 10 10 10 10	414	ር 4 4 0 ቢ	000	746
	355	446	548	773	963	й Б	Brown			399	427	563	714	849
Brule	356	414	545	698	746	Щ	Buffalo			356	414	545	869	746
Butte	411	427	545	729	811	ΰ	Campbell			396	415	545	719	855
Charles Mix	356	414	5 ተ	869	746	ئ	Clark			365	42.4	54 7	737	869
	, (	442	7.87	80.1	1020	ť				403	470	619	000	914
: :	411	427	545	729	811	ິ ບົ	Custer			411	427	545	729	811
Davison	375	440	578	742	797	ñ				396	415	545	719	855
Deuel	365	424	545	737	869	Ď	Dewey			411	427	545	729	811
Donalas	356	414	545	869	746	Ħ	Edmunds			396	415	545	719	855
Fall River	404	421	553	717	798	íŭ	Faulk			396	415	545	719	8 22
:	365	424	545	737	869	5	:			356	414	545	869	746
	411	427	545	729	811	H	Hamlin			365	424	545	737	869
	396	415	545	719	855	Ĥ	Hanson			356	414	545	869	746
Harding	411	427	545	729	811	H	Hughes			363	455	562	705	727
Hutchinson	356	414	545	869	746	Ħ	:			356	414	545	869	746
Jackson	411	427	545	729	811	Ď			:	396	415	545	719	855
Jones	411	S	545	729	$\vdash$	X	Kingsbury.			365	424	545	737	869
Lake	365	424	545	737	869	ĭ	Lawrence			385	468	576	802	857
Lyman	356	414	545	698	746	Ĭ	McPherson.		:	396	415	545	719	855
Marshall	396	415	545	719	855	Me	Mellette		:	411	427	545	729	811
Miner	365	424	545	737	869	Ĭ	Moody			365	424	545	737	869
Perkins	411	427	545	729	$\vdash$	Ъ	:			411	427	545	729	811
Roberts	396	415	545	719	852	Ω̈́	Sanborn			356	414	545	869	746
Shannon	411	427	545	729	811	S	Spink		:	396	415	545	719	855
Stanley	356	414	545	869	746	S	Sully			356	414	545	869	746
Todd	411	427	545	729	811	₽≯	Tripp	Trippvanbton		356	414	545	698	746 795
Ziebach	411	427	545	729	811	Ä	ally Collins			0	# ጋ #	) )	2	0

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SCHEDULE B - FY 2011 FINAL FAIR MARKET R	ARKET	RENTS FOR		ISTING	EXISTING HOUSING	ING							PAGE	43		
TENNESSEE																
METROPOLITAN FMR AREAS			0	BR 1	BR 2	BR 3	BR 4	BR	Counties		of FMR AREA within STATE	ithin S	STATE			
Chattanooga, TN-GA MSA Clarksville, TN-KY HMFA. Cleveland, TN MSA. Hickman County, TN HMFA. Jackson, TN MSA. Kingsport-Bristol-Bristol, TN-VA MSA. Knoxville, TN MSA. Macon County, TN HMFA. Memphis, TN-MS-AR HMFA. Morristown, TN MSA. Nashville-DavidsonMurfreesboroFranklin Smith County, TN HMFA.				20	017 84 10 10 44 10 49 10 10 10 10 10 10 10 10 10 10 10 10 10	718 6631 6621 7700 7700 7709 7709 7709 7709 7709 770	884 1 959 959 937 732 950 950 950 950 950 950 950 950 950 950	10039 9900 9900 9903 9912 10033 10099 17099	Hamilton, Marion, Montgomery Bradley, Polk Hickman Chester, Madison Carter, Unicoi, We Hawkins, Sullivan Anderson, Blount, Macon Fayette, Shelby, T Grainger, Hamblen, Cannon, Cheatham, Sumner, Trousdale, Smith	n, Maria Pry Polk Madiss, Unicoi Sulli, Sulli, Shelb Cheath	Hamilton, Marion, Sequatchie Montgomery Bradley, Polk Hickman Chester, Madison Carter, Unicoi, Washington Hawkins, Sullivan Anderson, Blount, Knox, Loudon, Union Macon Fayette, Shelby, Tipton Grainger, Hamblen, Jefferson Cannon, Cheatham, Davidson, Dickson, I Sumner, Trousdale, Williamson, Wilson Smith	Sequatchie shington Knox, Loudd 'ipton Jefferson Davidson, I	on, Uni Oicksor 1, Wils	.on 1, Robe	rtson,	ry Polk Madison Unicoi, Washington Sullivan , Blount, Knox, Loudon, Union Shelby, Tipton ', Hamblen, Jefferson Cheatham, Davidson, Dickson, Robertson, Rutherford, Trousdale, Williamson, Wilson
NONMETROPOLITAN COUNTIES	0 BR 1	BR	2 BR 3	BR	4 BR	4	IONMETR	OPOLI	NONMETROPOLITAN COUNTIES	NTIES		0 BR	1 BR	2 BR	3 BR	4 BR
BedfordBledsoeCarrollClay.	442 353 447 437 484	541 443 448 438 485	680 540 540 540 582	851 709 666 701 789	877 731 744 721 862	щоооо	Benton Campbell Claiborne. Cocke	1 ne				426 448 351 352 449	4 4 4 8 4 4 8 4 4 8 8 4 4 8 8 4 8 4 8 7 8 7	540 540 540 540 540	667 696 723 647	733 828 810 881 727
Cumberland  DeKalb  Fentress  Gibson	4447 4448 4437 350	448 450 4438 4453	540 540 540 540 540	766 779 701 678 732	947 805 721 750 752	ппжоо	Decatur Dyer Franklin Giles	: : : : : : : : : : : : : : : : : : :	Decatur. Dyer. Franklin Giles. Grundy.			404 365 371 381 353	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	540 562 572 591 540	694 749 832 711 709	790 818 1002 732 731
Handock Hardin. Henderson. Houston. Jackson.	4448 395 378 426 437	4449 441 501 427 438	540 540 585 540 540	691 716 697 667	832 738 719 733	Сицип	Hardeman Haywood Henry Humphreys	ln	Hardeman. Haywood. Henry. Humphreys.			390 454 353 449 350	484 470 413 486 435	540 615 544 540 540	731 735 651 770 724	948 807 792 759
Lake	401 372 448 351 466	4449 417 4449 581	540 540 540 540 717	701 668 660 780 913	743 762 679 803 940	ннада	Lauderdale Lewis McMinn Marshall	lale	Lauderdale			474 362 476 437 353	475 421 464 463	572 543 574 608 540	695 692 687 731 709	718 712 915 917 731
Monroe	427 446 352 437 350	428 4447 4443 438	543 540 540 540 540	649 676 660 701	829 787 679 721 738	уощик	Moore Obion Perry Putnam		Moore			475 370 362 450 475	476 447 421 451 690	569 540 543 562 569	751 712 692 809 761	775 751 712 868 781
ScottVan Buren	448 437	457 438	540 540	715 701	951 721	01 🔀	Sevier Warren		Sevier			541 438	586 443	661 570	795 764	1161 909

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SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	RENTS	FOR E	XISTIN	FOR EXISTING HOUSING			PAGE	46		
TEXAS continued											
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR .	4 BR
Knox	4 0 3 4 5 9 4 7 5 5 8 4 8 4 8 4	478 511 525 579 487	595 595 645 595	758 774 786 840 793	900 981 808 818	Lamar	447 488 477 387 411	517 490 542 538 516	649 602 595 595	818 810 824 762 793	914 960 849 788 844
Llano Lynn McMullen Marion	615 459 411 498	619 511 516 499 493	814 595 595 613	974 774 793 837 768	1003 981 844 863 793	Loving  McCulloch  Madison  Martin	493 494 528 491 387	522 496 579 493 509	595 595 595 595 595	772 866 840 768 867	917 894 865 793 1045
Maverick	496 387 459 450	497 478 461 516	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	865 770 851 866 774	8 8 9 1 8 9 2 4 8 8 9 2 4 8 9 8 1 1 8 9 2 9 8 1 1 8 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1	Menard	491 473 450 446 482	493 508 575 513 604	595 642 641 619 712	768 843 809 758	793 966 1124 912 1165
Navarro	557 462 484 495	567 463 487 536	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	832 767 793 724 721	859 1042 818 1045 873	Newton Ochiltree Palo Pinto Parmer	493 484 504 484 494	494 487 505 487 501	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	774 831 865 793 712	1042 856 889 818 733
Presidio	493 4446 411 4911	522 493 513 516 493	500 200 200 200 200 200 200	772 768 758 793	917 793 912 844 793	Rains. Real. Reeves. Roberts.	501 488 494 484 493	503 490 487 494	62 62 63 63 63 63 63 63 63 63 63 63 63 63 63	843 810 763 774	868 960 925 818
San Augustine	4 4 4 4 9 9 3 9 9 9 9 9 9 9 9 9 9 9 9 9	494 493 461 487 538	2 2 2 2 2 2 2 2 3 3 3 3 3 3 3 3 3 3 3 3	774 768 851 793 868	1042 793 924 818 1048	San SabaScurry.Shelby.Somervell.Stephens.	473 393 494 482 443	508 465 496 517 452	642 595 595 612	843 866 855 782 819	966 951 1042 850 890
Sterling	491 491 493 459	493 493 522 461 615	595 595 595 690	768 768 772 851 826	793 793 917 924 894	Stonewall Swisher Terry Titus Tyler	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	461 487 510 552 495	2002 2002 2022 2022	851 793 782 787	924 818 979 1150
Upton Val Verde Walker Washington	4 4 9 1 4 2 9 1 5 8 9 9 4 8 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4 4 8 4	493 507 629 642 487	595 598 761 711 595	768 744 979 998 793	793 867 1268 1030 818	Uvalde	388 525 494 477 386	528 528 500 536 461	5 9 9 5 9 5 9 5 9 5 9 5 9 5 9 5 9 5 9 5	775 902 741 787 764	1043 928 880 811 852
Willacy	494 447	536 451	595 595	866	964 1043	WinklerYoakum	493 459	522 511	595 595	772	917

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENT	MARKET	r RENT	S FOR	EXISTI	EXISTING HOUSING	SING					PAGE	47			
TEXAS continued															
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOLITAN COUNTIES	LITAN C	OUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	
YoungZavala	388 488	452 490	595 595	755 810	878 960		Zapata			494	531	595	850	947	
<b>ОТАН</b>															
METROPOLITAN FMR AREAS				0 BR	1 BR 2	2 BR	3 BR 4 BR		Counties of FMR AREA within STATE	within	STATE				
Logan, UT-ID MSA Ogden-Clearfield, UT MSA Provo-Orem, UT MSA Salt Lake City, UT HMFA St. George, UT MSA Summit County, UT HMFA Tooele County, UT HMFA				502 518 536 630 695 544	542 623 612 685 600 966 1	677 767 715 826 713 725	908 1121 1055 1247 1040 1253 1162 1353 1037 1166 1502 1882 916 1270		Cache Davis, Morgan, Weber Juab, Utah Salt Lake Washington Summit						
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMETROPOLITAN COUNTIES	LITAN C	OUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	
Beaver. Carbon. Duchesne. Garfield. Iron.	548 495 678 548 503	550 496 737 550 531	672 596 817 672 611	952 783 1055 952 890	1013 919 1435 1013		Box Elder. Daggett Emery Grand		Box Elder Daggett Emery. Grand.	426 507 507 508 548	522 551 551 553 553	658 611 611 613 672	870 792 792 790 952	1012 1074 1074 1076 1011	
Millard	548 511 548 548 584	550 542 550 635 550	672 678 672 704 672	952 911 952 924	1013 1106 1013 1040 1013		Piute San Juan Sevier Wasatch		Piute	548 507 548 567	550 551 550 663	672 611 672 873	952 792 952 1043	1013 1074 1013 1253	
VERMONT															
METROPOLITAN FMR AREAS				0 BR	1 BR 2	2 BR	3 BR 4 BR		Components of FMR AREA within STATE	A withi	n STAT	斑			
Burlington-South Burlington, VT MSA.				7 9 8	954	1197	1532 1718		Chittenden County towns of Bolton town, Buels gore, Burlington city, Charlotte town, Colchester town, Essex t Hinesburg town, Huntington town, Jericho town, Milton tow Richmond town, St. George town, Shelburne town, South Burlington city, Underhill town, Westford town, Williston town, Winnoski city Franklin County towns of Bakersfield town, Berkshire town, Franklin County towns of Bakersfield town, Berkshire town, Franklin town, Georgia town, Flairfield town, Montgomery to Richford town, St. Albans city, St. Albans town, Sheldon town, Swanton town Grand Isle County towns of Alburg town, Grand Isle town, Isle La Motte town, North Hero town, South Hero town	ity towns of Bolton town, Buels gor Y, Charlotte town, Colchester town, I, Huntington town, Jericho town, No. St. George town, Shelburne town, con city, Underhill town, Westford I, Winooski city 'towns of Bakersfield town, Berksh Fairfax town, Fairfield town, Fle Georgia town, Highgate town, Mont St. Albans city, St. Albans town, Swanton town Ity towns of Alburg town, Grand Isl town, North Hero town, South Hero	olton town, town, own, S rhill ty ersfie , Fair, , High ity, S	Colchester tow Colchester town, Jericho town, Shelburne town, . town, Westford eld town, Berks rfield town, Fl shgate town, Mon St. Albans town I town, Grand Is	Buels .  sster t.  no town ne tow. Westfo nn, Berr town, M oans to Grand	gore, cown, E cown, E town, E triangle	Y towns of Bolton town, Buels gore, ', Charlotte town, Colchester town, Essex town, Huntington town, Jericho town, Milton town, St. George town, Shelburne town, nn city, Underhill town, Westford town, wincoski city towns of Bakersfield town, Berkshire town, Fairfax town, Fairfield town, Fletcher town, Georgia town, Highgate town, Montgomery town, St. Albans city, St. Albans town, wanton town 'Y towns of Alburg town, Grand Isle town, cown, North Hero town, South Hero town

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SCREDULE B - FI ZULI FINAL FAIR MARKEI RENIS FUR	EALSILING		5		FAGE 40
VERMONT continued					
NONMETROPOLITAN COUNTIES	0 BR 1	BR 2 B	BR 3 BR	4 BR	Towns within nonmetropolitan counties
Addison County, VT	621 7	777 935	5 1230	1640	Addison town, Bridport town, Bristol town, Cornwall town, Ferrisburg town, Goshen town, Granville town, Hancock town, Laiaster town Lincoln town Middlebury, town Monkton town
Bennington County, VT	620 7	777 904	4 1178	1384	town, Carell town, town, Shoreham town, city, Waltham town, town, Bennington tow, y town, Landgroot town. Readshort town
Caledonia County, VT	585 6	608 763	3 966	1000	town, own coton town ewark town town,
Essex County, VT	605 6	679 825	5 1052	1233	Sheffield town, Stannard town, Sutton town, Walden town, Waterford town, Wheelock town Averill town, Avery's gore, Bloomfield town, Brighton town, Brunswick town, Canaan town, Concord town, East Haven town, Ferdinand town, Granby town, Guildhall town, Lemington town,
Lamoille County, VT	611 7	734 855	5 1191	1501	enburg town, Marner's grant, Cambridge tow
Orange County, VT	653 7	738 859	9 1196	1233	Waterville town, Wolcott town, Brookfield town, Chelsea town, Corinth town, Fairlee town, Newbury town, Orange town, Randolph town, Strafford town, Thetford town, Topsham town,
Orleans County, VT	441 6	609 681	1 860	1081	Tunbridge town, Vershire town, Washington town, West Fairlee town, Williamstown town Albany town, Barton town, Brownington town, Charleston town, Coventry town, Craftsbury town, Derby town, Glover town, Greensboro town, Holland town, Irasburg town, Jay town,
Rutland County, VT	559 7	732 851	1 1125	1440	Lowell town, Morgan town, Newport city, Newport town, Troy town, Westfield town, Westmore town Benson town, Brandon town, Castleton town, Chittenden town, Clarendon town, Danby town, Fair Haven town, Hubbardton town, Ira town, Killington town, Mendon town,
Washington County, VT	615 7	720 901	1 1217	1362	Middletown Springs town, Mount Holly town, Mount Tabor town, Pawlet town, Pittsfield town, Pittsford town, Poultney town, Proctor town, Rutland city, Rutland town, Shrewsbury town, Sudbury town, Tinmouth town, Wallingford town, Wells town, West Haven town, West Rutland town Cabot town, Calais town, Duxbury town, Barre town, Berlin town, Cabot town, Calais town, Duxbury town, East Montpelier town, Fayston town, Marshfield town, Middlesex town, Montpelier city, Morretown town, Worthfield town, Plainfield town, Mailed town
Windham County, VT	729 7	759 998	8 1206	1244	Brookline town, Dover t

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS FOR	FOR EXISTING HOUSING	NG HOL	USING			PAGE 49
VERMONT continued						
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	Towns within nonmetropolitan counties
Windsor County, VT	9 8 9	768	9 0 4	1230	1464	Dummerston town, Grafton town, Guilford town, Halifax town, Jamaica town, Londonderry town, Marlboro town, Newfane town, Putney town, Rockingham town, Somerset town, Stratton town, Westminster town, Whitingham town, Wilmington town, Windham town  Mindham town  Andover town, Baltimore town, Barnard town, Bethel town, Bridgewater town, Cavendish town, Chester town, Hartford town, Hartland town, Ludlow town, Norwich town, Plymouth town, Pomfret town, Reading town, Rochester town, Royalton town, Sharon town, Springfield town, Stockbridge town, Weathersfield town, Weston town, West Windsor town, Windsor town, Woodstock town
VIRGINIA						
METROPOLITAN FMR AREAS	0 BR	1 BR	2 BR	3 BR	4 BR	Counties of FMR AREA within STATE
Blacksburg-Christiansburg-Radford, VA HMFA. Charlottesville, VA MSA. Danville, VA MSA. Franklin County, VA HMFA. Giles County, VA HMFA. Harrisonburg, VA MSA. Kingsport-Bristol-Bristol, TN-VA MSA.	573 654 416 382 383 528 440	627 787 787 787 786 786 873	702 931 617 588 588 713 588	1207 769 703 750 750 788	1233 1335 826 749 1034 1026	Montgomery, Radford city Albemarle, Fluvanna, Greene, Nelson, Charlottesville city Pittsylvania, Danville city Franklin Giles Rockingham, Harrisonburg city Scott, Washington, Bristol city
Louisa County, VA HMFA	639 528	725 542	826 653	988	1017	Louisa Amherst, Appomattox, Bedford, Campbell, Bedford city, Lynchburg city
Pulaski County, VA HMFA*Richmond, VA HMFA	446	472 857	58 958 88	843	906	Pulaski Amelia, Caroline, Charles, Chesterfield, Cumberland, Dinwiddie, Goochland, Hanover, Henrico, King and Queen, King William, New Kent, Powhatan, Prince George, Sussex, Colonial Heights city, Hopewell city, Petersburg city, Richmond city
Roanoke, VA HWFAVirginia Beach-Norfolk-Newport News, VA-NC MSA	524 800	558 834	721 965	915	999 1590	Botefcourt, Craig, Roanoke, Roanoke city, Salem city Gloucester, Isle of Wight, James, Mathews, Surry, York, Chesapeake city, Hampton city, Newport News city, Norfelk city, Poquoson city, Portsmouth city, Suffelk city, Viscinia Boach city, Milliamshurz city, Suffelk city, Viscinia Boach city, Annahurz city, Suffelk city, Viscinia Boach city, Annahurz city, Suffelk city, Viscinia Boach city, Suffelk city,
Warren County, VA HMFA******************************	587 1131	683 1289	850 1461	1195 1885	1232	Warren Warren Arlington, Clarke, Fairfax, Fauquier, Loudoun, Prince William, Spotsylvania, Stafford, Alexandria city, Fairfax city, Falls Church City, Fredericksburg city, Manages oity,
Winchester, VA-WV MSA	568	590	778	1074	1105	Manassas Clry, Manassas Park clry Frederick, Winchester city

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKET	RENTS	FOR	XISTI	EXISTING HOUSING	7.5				PAGE	50		
VIRGINIA continued													
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	NONMET	ROPOLIT	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Accomack	402 513 490 489	550 528 507 507 530	619 689 588 588	752 985 750 750	926 1133 833 833 784	Alleghany. Bath Brunswick. Buckingham Charlotte.	Alleghany Bath Brunswick Buckingham Charlotte	AlleghanyBathBrunswickBrunswickBrunswick	382 504 506 489 489	4 90 5 2 4 5 2 3 5 2 8 5 2 8	588 651 609 588 588	714 898 759 756	745 1085 1051 956 956
Culpeper.  Essex.  Grayson.  Halifax.  Highland.	659 473 490 382 504	671 584 507 531 524	794 719 588 588 651	1027 979 750 790 898	1091 1009 833 1033	Dickenson. Floyd Greensvill. Henry	Dickenson Floyd Greensville Henry	Dickenson	490 541 507 453 666	524 588 550 472 667	588 652 610 588 802	767 907 737 754 1166	789 1148 915 864 1201
Lancaster.  Lunenburg.  Mecklenburg.  Northampton.  Nottoway.	472 506 385 472 489	581 481 581 581 528	708 609 593 708 588	871 759 728 862 835	937 1051 970 937 956	Lee Madison Middlesex. Northumber Orange	Lee		381 519 472 472	460 580 581 581 647	588 700 708 708	756 969 862 862 1049	800 1000 937 1265
Page Prince Edward Richmond Russell	400 571 472 383 486	467 572 581 508 528	613 688 708 588 588	791 824 862 720	815 1102 937 742 967	Patric Rappah Rockbr Shenar Southa	Patrick Rappahannock. Rockbridge Shenandoah Southampton		487 519 470 484 426	531 580 528 519 589	588 700 588 634 653	729 969 856 846 808	750 1000 1031 937 1149
Tazewell	490 489 470 382 426	4 4 9 4 9 4 9 4 9 9 9 9 9 9 9 9 9 9 9 9	2 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	755 765 856 714 808	854 964 1031 745 1149	Westmore Wythe Clifton Emporia Galax ci	land. Forge	city	478 382 382 507 489	583 484 490 550 530	736 588 588 610 588	1010 771 714 737	1040 1034 745 915
Lexington city  Norton city  Waynesboro city	470 489 513	528 499 528	588 588 689	856 765 985	1031 964 1133	Martir Staunt	Martinsville cityStaunton city	city	453	472 528	588 689	754 985	864 1133
WASHINGTON METROPOLITAN FWR AREAS			0	0 BR 1	1 BR 2 BR	3 BR	4 BR C	Counties of FMR AREA	within	STATE			
Bellingham, WA MSA.  Bremerton-Silverdale, WA MSA.  Kennewick-Pasco-Richland, WA MSA.  Lewiston, ID-WA MSA.  Longview, WA MSA.  Mount Vernon-Anacortes, WA MSA.  Olympia, WA MSA.  Portland-Vancouver-Hillsboro, OR-WA MSA.  Seattle-Bellevue, WA HMFA.  Spokane, WA MSA.  *Tacoma, WA HMFA.	MA MSA			612 667 539 614 628 675 699 558	676 848 748 921 588 738 523 655 603 700 760 943 705 901 783 905 977 1176 555 731 816 1018	1237 1318 1318 998 908 1020 11308 11308 11318 11004 1 1004 1 1004	1394 W 1440 K. 1182 B. 11133 B. 11610 SJ 1582 TJ 1583 C. 1138 SJ 11669 P.	Whatcom Kitsap Benton, Franklin Asotin Cowlitz Skagit Thurston Clark, Skamania King, Snohomish Spokane Pierce Chelan, Douglas					

				Federal Re	egister / Vol.	75,	No.	193	1 / Monday, O	October 4,	2010	0 / Notices		61311
			4 BR	1188 919 945 1710	1041 1246 991 1601	1198					4 BR	725 776 725 832 760	679 912 712 861 732	764
			3 BR	1153 891 920 1417 1033	995 1052 953 1311	978					3 BR	689 689 689 680	660 717 666 663 669	644 689
51			2 BR	789 664 681 974 771	745 770 672 912 701	693					2 BR	526 545 526 526 543	526 526 526 526 526	526 534
PAGE	STATE		1 BR	607 514 526 807 585	620 642 513 738 600	534		STATE	шĸ		1 BR	4 2 2 2 4 4 2 2 2 4 4 2 2 2 4 4 7 6 4 5 2 2 4 5 2 2 5 5 2 5 5 5 5 5 5 5 5 5	449 454 446 461	454 414
			0 BR	548 434 805 502	485 546 476 686	485		within S	, Putnam		0 BR	405 362 405 418	414 439 437 437	437
	3 BR 4 BR Counties of FWR AREA within	1030 1086 Yakima	NONMETROPOLITAN COUNTIES	Clallam. Ferry. Grant. Island. Kittitas.	Lewis.  Mason.  Pacific. San Juan.  Wahkiakum.	Whitman		3 BR 4 BR Counties of FMR AREA w	651 721 Boone 789 812 Clay, Kanawha, Lincoln, 813 949 Mineral 735 759 Cabell, Wayne 1180 1420 Jefferson 951 1141 Berkeley, Morgan	901 854 808 874 1105	NONMETROPOLITAN COUNTIES	Braxton	Lewis. McDowell. Mason. Mingo. Nicholas.	PocahontasRandolph
HOUSING	2 BR	782						2 BR	526 619 603 596 711	586 596 596 778				
	1 BR	604	4 BR	919 1112 1112 1003 1258	1012 919 1036 919	1023		1 BR	4444 4444 4444 4444 4444 4444 4444 4444 4444	4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	4 BR	725 827 700 967 967	827 664 838 901 678	965
EXISTING	0 BR	515	3 BR	891 932 932 976 1223	983 891 941 910	992		0 BR	8 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	476 435 395 387 568	3 BR	689 720 651 779	720 646 688 711 655	778
FOR		:	2 BR	664 690 690 841	700 664 688 664	069					2 BR	526 524 595 595	554 526 575 526 526	596 531
T RENT		:	1 BR	518 523 523 527 688	589 514 514 520	523					1 BR	4 4 2 2 4 4 5 6 4 4 3 9 5 3 0 5 3 0	456 446 479 453	528 471
MARKE			0 BR	4 4 4 4 4 4 4 4 4 4 8 4 4 8 4 5 0 0 1 6 1 6 1 6 1 6 1 6 1 6 1 6 1 6 1 6	584 484 486 432 586	448				H MSA.	0 BR	4 4 4 3 8 3 4 4 4 5 9 8 8 4 4 5 9 8 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	383 367 374 437 437	458 443
SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS	WASHINGTON continued METROPOLITAN FMR AREAS	Yakima, WA MSA	NONMETROPOLITAN COUNTIES	Adams. Columbia Garfield. Grays Harbor. Jefferson.	Klickitat. Lincoln. Okanogan. Pend Oreille.	Walla Walla	WEST VIRGINIA	METROPOLITAN FMR AREAS	Boone County, WV HMFA  Charleston, WV HMFA  Cumberland, MD-WV MSA  Huntington-Ashland, WV-KY-OH MSA  Jefferson County, WV HMFA	Morgantown, WV MSA  Parkersburg-Marietta-Vienna, WV-OH MSA  Steubenville-Weirton, OH-WV MSA  Wheeling, WV-OH MSA  Winchester, VA-WV MSA	NONMETROPOLITAN COUNTIES	Barbour Calhoun Fayette Grant Hardy	Jackson. Logan. Marion. Mercer.	PendletonRaleigh

SCHEDULE B - FY 2011 FINAL FAIR MARKET	MARKE		S FOR	EXISTI	RENTS FOR EXISTING HOUSING	ING					PAGE	52		
WEST VIRGINIA continued														
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	-	NONMETROPOLITAN	LITAN COUNTIES	ВЗ	0 BR	1 BR	2 BR	3 BR	4 BR
Ritchie Summers Tucker Upshur	383 437 405 342 343	456 474 422 427 468	5526 526 526 526 526	720 655 689 706 670	827 678 725 762		Roane Taylor Tyler Webster	Roane. Taylor Tyler. Webster		383 362 383 437 439	456 456 456 454 454	5 5 5 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	720 653 720 644 717	827 776 827 764 912
WISCONSIN														
METROPOLITAN FMR AREAS				0 BR	1 BR 2	BR	3 BR 4 BR	Counties o	of FMR AREA w	within S	STATE			
Appleton, WI MSA.  Columbia County, WI HMFA.  Duluth, MN-WI MSA.  Eau Claire, WI MSA.  Fond du Lac, WI MSA.  Green Bay, WI HMFA.  Iowa County, WI HMFA.  Janesville, WI MSA.  Kenosha County, WI HMFA.  La Crosse, WI-MN MSA.  Madison, WI HMFA.  *Milwaukee-Waukesha-West Allis, WI MSA.  *Milwaukee-Waukesha-West Allis, WI MSA.  *Milwaukee-Waukesha-West Allis, WI MSA.  Oconto County, WI HMFA.  Ocotto County, WI HMFA.  Ochkosh Neenah, WI MSA.  Sheboygan, WI MSA.	, WI MSA	MSA		U U 4 4 U U 4 U 0 4 U 0 9 4 4 U 14 4 E H H G H G 9 0 0 0 0 4 4 F H G G E G 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	55 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	789 789 789 789 789 789 789 789	991 1019 860 896 871 945 959 988 905 990 1161 1335 860 1056 1177 1458 1210 1124 1210 1359 945 1037 802 985	Calumbia Columbia Douglass Chippewa, Fond du L Brown, Ke Iowa Rock Kenosha La Crosse Dane Milwaukee Pierce, S Oconto Winnebago Racine Sheboygan Marathon	utagamie Eau Claire c aunee Ozaukee, . Croix	Washington, Waukesha	on, Wau	ıkesha		
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR	-	ONMETROPO:	NONMETROPOLITAN COUNTIES	ES	0 BR	1 BR	2 BR	3 BR	4 BR
Adams.  Barron.  Buffalo.  Clark.  Dodge.	453 396 418 385 599	499 499 475 455	614 596 607 596 723	799 760 770 815	824 783 803 839 988	NAHOH	Ashland Bayfield Burnett Crawford	Ashland		460 407 407 495	462 475 475 530 564	596 596 596 679	758 763 740 912	1026 791 791 911 1023
Dunn. Forest Green Iron. Jefferson	457 453 440 407 515	493 497 474 475 603	619 614 622 596 794	903 799 790 763 951	927 824 922 791 1199		Florence Grant Green Lake. Jackson			399 495 448 396	481 514 475 488	596 596 607 609	758 772 780 770 801	796 1046 952 803 826
Lafayette	440 496 496 463	464 498 536 519	596 596 596 631	763 868 781 813 822	855 895 805 902 1132		Langlade Manitowoc Marquette Monroe			495 400 463 413	4 4 9 6 9 4 4 6 9 6 9 4 4 8 2 4 8 2 5 1 5 1 5 1 5 1 5 1 5 1 5 1 5 1 5 1 5	596 617 631 635	786 738 813 806 770	857 918 902 880 803

SCHEDULE B - FY 2011 FINAL FAIR MARKET RENTS	T RENT	FOR	IXISTIN	EXISTING HOUSING	NG				PAGE	53		
WISCONSIN continued												
NONMETROPOLITAN COUNTIES 0 BR	1 BR	2 BR	3 BR	4 BR	Ĭ	ONMETROP	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Polk.       457         Price.       407         Rusk.       407         Sawyer.       407         Taylor.       407	534 475 475 479 479	702 596 596 596 596	863 763 763 763	891 791 791 791	9 5 3 2 E	Portage Richland. Sauk Shawano Trempeale	Portage	525 419 455 410	532 468 604 484 470	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	841 764 931 744 814	865 789 961 855 839
Vernon	476 624 510 490	596 813 620 607	753 1015 810 739	821 1047 834 809	V Week	Vilas Washburn Waushara	Vilas	453 407 463	497 475 519	614 596 631	836 763 813	861 791 902
WYOMING												
METROPOLITAN FWR AREAS			O BR	1 BR 2	BR 3	BR 4 BR	R Counties of FMR AREA within	ithin S	STATE			
Casper, WY MSA		: :	466 556	510 6 586 7	645 743 1	938 1131 1011 1302	1 Natrona 2 Laramie					
NONMETROPOLITAN COUNTIES 0 BR	1 BR	2 BR	3 BR	4 BR	ž	ONMETROP	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Albany	580 606 479 499 525	736 679 596 634 628	1011 919 813 794 820	1070 1002 1048 1012 981	m υυυ ή	Big Horn. Carbon Crook Goshen	Big Horn	502 392 502 493 503	5225 5225 5255 5255 524 53	628 628 628 596 646	820 754 820 735 820	981 917 981 1011 981
Lincoln       554         Park       470         Sheridan       504         Sweetwater       465         Uinta       482	586 540 544 565	667 635 668 709 693	890 798 854 991	1054 1051 1043 1029 1123	Z Q Q L X	Niobrara. Platte Sublette. Teton		502 502 503 803 205	525 525 587 525 525	628 628 681 1210 628	820 820 891 1595 820	981 981 1056 1642 981
Weston 502	525	628	820	981								
GUAM												
NONMETROPOLITAN COUNTIES 0 BR	1 BR	2 BR	3 BR	4 BR	ž	ONMETROP	NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR
Pacific Islands 781	838	1023	1491	1782								
PUERTO RICO												
METROPOLITAN FMR AREAS		Ū	O BR	1 BR 2	BR 3	BR 4 BR	Counties of FMR AREA	within S	STATE			
Aguadilla-Isabela-San Sebastián, PR MSA.	:	:	364	396 4	39	564 63:	2 Aguada, Aguadilla, Añasco,		Isabela,	, Lares,	s, Moca,	, Rincón,
Arecibo, PR HMFABarranquitas-Aibonito-Quebradillas, PR HMFA	HMFA	: :	384 378	417 4 408 4	63 54	632 740 578 664			Ciales, Maunabo,		Orocovis	is,
Caguas, PR HMFA	:	:	422	456 5	208	704 848	guebraniias Caguas, Cayey, Cidra,	Gurabo,	San	Lorenzo	•	

2011 FINAL FAIR MARKET RENTS FOR EXISTING HOUSING

FΥ

												1				
PUERTO RICO continued																
METROPOLITAN FMR AREAS				0 BR	1 BR	2 BR	3 BR	4 BR	Counties of FM	FMR AREA within STATE	thin S	TATE				
Fajardo, PR MSA				437 385 413 446 360 463	76 4448 4448 3744 502	5 4 4 4 6 5 8 8 8 8 8 8 9 8 9 8 9 8 9 9 9 9 9 9 9	768 657 745 745 739	926 814 822 849 74 811	Ceiba, Fajardo, Luquillo Arroyo, Guayama, Patillas Hormigueros, Mayagüez Juana Díaz, Ponce, Villalba Cabo Rojo, Lajas, Sabana Grande, San Germán Aguas Buenas, Barceloneta, Bayamón, Canóvanas, Carolina, Cataño, Comerío, Corozal, Dorado, Florida, Guaynabo, Humacao, Maranitto Bro, Caral	Guayama, Patillaa Guayama, Patillaa teros, Mayagüez Maz, Ponce, Villaa Monas, Barceloneti Comerio, Corozal Las Piederas, Loi	as alba a Gran ta, Ba 1, Dor íza, M	de, Sa: Yamôn, ado, F anatí,	n Germ Canóv lorida Morov	ián anas, 1, Gua} ris, Ne	Carolina, mabo, Humac. gquabo,	, 01
Yauco, PR MSA	:	:	:	356	376	428	539	687	maramjico, Alo Grando, San Ouan, 10a Trujillo Alto, Vega Alta, Vega Baja, Guánica, Guayanilla, Peñuelas, Yauco	Vega Alta 1111a, Pei	a, Veg ñuelas	an, io a Baja , Yauc	a Aica, i , Yabucoa o	Icoa	۲۵] ۵,	
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMET	ROPOLI	NONMETROPOLITAN COUNTIES		0 BR	1 BR	2 BR	3 BR	4 BR	
Adjuntas. Culebra. Las Marías. Salinas. Utuado.		8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	4 4 4 4 4 2 2 2 4 4 8 8 2 2 2 8 8 8 8	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	636 636 636 636 636		Coamo. Jayuya Marica Santa Vieque	 o Isabel s	Coamo		3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	3 3 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	4 4 4 4 4 8 2 2 2 2 2 3 2 3 8 8 8 8 8	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	636 636 636 636	
VIRGIN ISLANDS																
NONMETROPOLITAN COUNTIES	0 BR	1 BR	2 BR	3 BR	4 BR		NONMET	ROPOLI	NONMETROPOLITAN COUNTIES		0 BR	1 BR	2 BR	3 BR	4 BR	
St. Croix	571	595	721	901	1030		St. Jo	hn	st. John	; ;	649	775	8 66 6	1237	1292	

Notel: The FMRs for unit sizes larger than 4 BRs are calculated by adding 15% to the 4 BR FMR for each extra bedroom. Note2: 50th percentile FMRs are indicated by an \* before the FMR Area name.

09/20/2010

Schedule B: Addendum:
Small Area Rents for Dallas, TX HMFA

County	ZIP	0 BR	1 BR	2 BR	3 BR	4 BR
Collin County	75002	870	960	1,160	1,510	1,790
	75009	820	900	1,090	1,420	1,680
	75013	820	900	1,090	1,420	1,680
	75023	970	1,080	1,300	1,690	2,000
	75024	950	1,050	1,270	1,650	1,960
	75025	890	980	1,190	1,550	1,830
	75034	790	880	1,060	1,380	1,630
	75035	820	900	1,090	1,420	1,680
	75048	680	750	910	1,180	1,400
	75069	670	740	890	1,160	1,370
	75070	790	870	1,050	1,370	1,620
	75071	820	900	1,090	1,420	1,680
	75074	810	890	1,080	1,410	1,660
	75075	820	900	1,090	1,420	1,680
	75078	820	900	1,090	1,420	1,680
	75080	850	930	1,130	1,470	1,740
	75082	810	890	1,080	1,410 1,580	1,660
	75093 75094	910	1,000 900	1,210 1,090	1,380	1,860 1,680
	75094	820 700	780	940	1,420	1,450
	75121	820	900	1,090	1,420	1,680
	75164	820	900	1,090	1,420	1,680
	75166	820	900	1,090	1,420	1,680
	75173	820	900	1,090	1,420	1,680
	75189	690	760	920	1,200	1,420
	75248	790	870	1,050	1,370	1,620
	75252	700	780	940	1,220	1,450
	75287	760	840	1,020	1,330	1,570
	75407	820	900	1,090	1,420	1,680
	75409	820	900	1,090	1,420	1,680
	75424	820	900	1,090	1,420	1,680
	75442	800	880	1,070	1,390	1,650
	75452	660	730	880	1,150	1,360
	75454	820	900	1,090	1,420	1,680
	75491	820	900	1,090	1,420	1,680
	75495	820	900	1,090	1,420	1,680
Dallas County	75001	840	930	1,120	1,460	1,730
	75006	730	810	980	1,280	1,510
	75007	860	950	1,150	1,500	1,770
	75019	970	1,080	1,300	1,690	2,000
	75038	740	820	990	1,290	1,530
	75039	970	1,070	1,290	1,680	1,990
	75040	730	800	970	1,260	1,490
	75041	630	690	840	1,090	1,290
	75042	630	690	840	1,090	1,290

75043	710	790	950	1,240	1,460
75044	840	930	1,120	1,460	1,730
75047	670	740	890	1,160	1,370
75048	680	750	910	1,180	1,400
75050	670	740	890	1,160	1,370
75051	590	650	790	1,030	1,220
75052	750	830	1,000	1,300	1,540
75060	660	730	880	1,150	1,360
75061	640	710	860	1,120	1,330
75062	700	780	940	1,220	1,450
75063	880	970	1,170	1,520	1,800
75080	850	930	1,130	1,470	1,740
75081	820	900	1,090	1,420	1,680
75082	810	890	1,080	1,410	1,660
75088	680	750	910	1,180	1,400
75089	670	740	900	1,170	1,390
75098	700	780	940	1,220	1,450
75104	770	850	1,030	1,340	1,590
75115	700	780	940	1,220	1,450
75116	720	790	960	1,250	1,480
75125	610	680	820	1,070	1,260
75134	680	750	910	1,180	1,400
75137	850	930	1,130	1,470	1,740
75141	670	740	890	1,160	1,370
75146	690	760	920	1,200	1,420
75149	680	750	910	1,180	1,400
75150	730	800	970	1,260	1,490
75154	710	790	950	1,240	1,460
75159	670	740	890	1,160	1,370
75172	670	740	890	1,160	1,370
75180	650	720	870	1,130	1,340
75181	670	740	890	1,160	1,370
75182	670	740	890	1,160	1,370
75201	1,010	1,120	1,350	1,760	2,080
75202	670	740	890	1,160	1,370
75203	480	530	640	830	990
75204	790	880	1,060	1,380	1,630
75205	930	1,030	1,240	1,610	1,910
75206	700	780	940	1,220	1,450
75207	670	740	890	1,160	1,370
75208	530	590	710	920	1,090
75209	650	720	870	1,130	1,340
75210	480	530	640	830	990
75211	580	640	770	1,000	1,190
75212	480	530	640	830	990
75214	680	750	910	1,180	1,400
75215	440	490	590	770	910
75216	540	600	720	940	1,110
75217	590	650	790	1,030	1,220
75218	720	790	960	1,250	1,480

	75219	640	700	850	1,110	1,310
	75220	580	640	770	1,000	1,190
	75221	670	740	890	1,160	1,370
	75223	550	610	740	960	1,140
	75224	540	600	720	940	1,110
	75225	1,010	1,120	1,350	1,760	2,080
	75226	920	1,020	1,230	1,600	1,900
	75227	600	660	800	1,040	1,230
	75228	610	670	810	1,050	1,250
	75229	640	710	860	1,120	1,330
	75230	690	760	920	1,200	1,420
	75231	550	610	740	960	1,140
	75232	520	580	700	910	1,080
	75233	640	710	860	1,120	1,330
	75234	720	790	960	1,250	1,480
	75235	600	660	800	1,040	1,230
	75236	650	720	870	1,130	1,340
	75237	590	650	790	1,030	1,220
	75238	610	680	820	1,070	1,260
	75240	730	800	970	1,260	1,490
	75241	580	640	770	1,000	1,190
	75242	670	740	890	1,160	1,370
	75243	670	740	890	1,160	1,370
	75244	740	820	990	1,290	1,530
	75246	500	550	670	870	1,030
	75247	670	740	890	1,160	1,370
	75248	790	870	1,050	1,370	1,620
	75249	670	740	890	1,160	1,370
	75251	670	740	890	1,160	1,370
	75252	700	780	940	1,220	1,450
	75253	580	650	780	1,020	1,200
	75254	670	740	890	1,160	1,370
Delta County	75415	440	490	590	770	910
	75432	440	490	590	770	910
	75448	440	490	590	770	910
	75450	440	490	590	770	910
	75469	440	490	590	770	910
Denton County	75007	860	950	1,150	1,500	1,770
	75009	820	900	1,090	1,420	1,680
	75010	910	1,010	1,220	1,590	1,880
	75022	740	820	990	1,290	1,530
	75028	740	820	990	1,290	1,530
	75034	790	880	1,060	1,380	1,630
	75056	1,000	1,100	1,330	1,730	2,050
	75057	790	880	1,060	1,380	1,630
	75065	740	820	990	1,290	1,530
	75067	790	880	1,060	1,380	1,630
	75068	740	820	990	1,290	1,530

	75077	740	820	990	1,290	1,530
	75078	820	900	1,090	1,420	1,680
	75093	910	1,000	1,210	1,580	1,860
	75287	760	840	1,020	1,330	1,570
	76052	650	720	870	1,130	1,340
	76201	600	660	800	1,040	1,230
	76204	740	820	990	1,290	1,530
	76205	700	780	940	1,220	1,450
	76207	710	790	950	1,240	1,460
	76208	740	820	990	1,290	1,530
	76209	740	820	990	1,290	1,530
	76210	740	820	990	1,290	1,530
	76226	740	820	990	1,290	1,530
	76227	740	820	990	1,290	1,530
	76234	520	570	690	900	1,060
	76247	740	820	990	1,290	1,530
	76249	740	820	990	1,290	1,530
	76258	740	820	990	1,290	1,530
	76259	740	820	990	1,290	1,530
	76262	730	810	980	1,280	1,510
	76266	740	820	990	1,290	1,530
	76272	740	820	990	1,290	1,530
Ellis County	75101	610	670	810	1,050	1,250
	75119	580	640	770	1,000	1,190
	75120	610	670	810	1,050	1,250
	75125	610	680	820	1,070	1,260
	75152	610	670	810	1,050	1,250
	75154	710	790	950	1,240	1,460
	75165	640	700	850	1,110	1,310
	75167	610	670	810	1,050	1,250
	76041	610	670	810	1,050	1,250
	76050	570	630	760	990	1,170
	76055	610	670	810	1,050	1,250
	76064	610	670	810	1,050	1,250
	76065	610	670	810	1,050	1,250
	76084	580	640	770	1,000	1,190
	76651	610	670	810	1,050	1,250
	76670	610	670	810	1,050	1,250
Hunt County	75135	500	550	670	870	1,030
	75169	510	560	680	890	1,050
	75189	690	760	920	1,200	1,420
	75401	490	540	650	850	1,000
	75402	580	650	780	1,020	1,200
	75422	500	550	670	870	1,030
	75423	500	550	670	870	1,030
	75428	450	500	600	780	920
	75442	800	880	1,070	1,390	1,650
	75449	500	550	670	870	1,030

	75452	660	730	880	1,150	1,360	
	75453	500	550	670	870	1,030	
	75474	500	550	670	870	1,030	
	75496	500	550	670	870	1,030	
Kaufman County	75114	560	620	750	980	1,160	
	75126	560	620	750	980	1,160	
	75142	560	620	750	980	1,160	
	75143	560	620	750	980	1,160	
	75147	560	620	750	980	1,160	
	75156	560	620	750	980	1,160	
	75157	560	620	750	980	1,160	
	75158	560	620	750	980	1,160	
	75159	670	740	890	1,160	1,370	
	75160	580	650	780	1,020	1,200	
	75161	560	620	750	980	1,160	
	75169	510	560	680	890	1,050	
Rockwall County	75032	730	810	980	1,280	1,510	
	75087	700	780	940	1,220	1,450	
	75088	680	750	910	1,180	1,400	
	75089	670	740	900	1,170	1,390	
	75098	700	780	940	1,220	1,450	
	75132	730	810	980	1,280	1,510	
	75189	690	760	920	1,200	1,420	

SCHEDULE D - FY 2011 FAIR MARKET RENTS FOR MANUFACTURED HOME SPACES IN THE SECTION 8 HOUSING CHOICE VOUCHER PROGRAM

State fffffffffffffff	Area Name ffffffffffffffffffffffffffffffffffff	Space Rent ffffffff
California	Los Angeles-Long Beach, CA HUD Metro FMR A Orange County, CA HUD Metro FMR Area Riverside-San Bernardino-Ontario, CA MSA San Diego-Carlsbad-San Marcos, CA MSA Santa Rosa-Petaluma, CA MSA Vallejo-Fairfield, CA MSA	\$648 \$787 \$509 \$795 \$689 \$554
Colorado	Boulder, CO MSA	\$445
Maryland	St. Mary's County	\$480
Oregon	Bend, OR MSA Salem, OR MSA	\$347 \$472
Pennsylvania	Adams County	\$535
Washington	Olympia, WA MSA Seattle-Bellevue, WA HUD Metro FMR Area	\$580 \$639
West Virginia	Logan County McDowell County Mercer County Mingo County Wyoming County	\$435 \$435 \$435 \$435 \$435

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# Federal Register

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# **CFR PARTS AFFECTED DURING OCTOBER**

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www.gpoaccess.gov/plaws/index.html. Some laws may not yet be available.

H.R. 5297/P.L. 111-240

Small Business Jobs Act of 2010 (Sept. 27, 2010; 124 Stat. 2504)

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