Traumatic Brain Injury Among Veterans

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Summary

Traumatic Brain Injury (TBI) has been defined as “an alteration in brain function, or other evidence of brain pathology, caused by an external force.” In the general population, TBI results mainly from falls, motor vehicle/traffic accidents, assaults, and other instances in which the head is struck by or strikes against an object. In military servicemembers, TBI may also result from improvised explosive devices, mortars, grenades, bullets, or mines.

Traumatic brain injury has become known as a “signature wound” of Operation Enduring Freedom (OEF) and Operation Iraqi Freedom (OIF), because the incidence of TBI is higher in these conflicts than it has been in previous conflicts. As of FY2010, 1.25 million OEF/OIF veterans have left active duty and became eligible for Department of Veterans Affairs (VA) health care; half of them have obtained VA health care; and 45,606 have been diagnosed with TBI-related conditions at VA medical facilities. The total number of OEF/OIF veterans with TBI is not known, in part because some OEF/OIF veterans have not accessed VA health care services.

The VA has engaged in outreach efforts to OEF/OIF veterans via mail, telephone, and television advertisements. In order to make contact with servicemembers before separation and conversion to veteran status, the VA participates in some Department of Defense (DOD) activities. Some OEF/OIF veterans may choose not to enroll in VA health care, because they have health coverage from other sources or because they do not perceive a need for health care. Others may experience barriers to accessing VA care.

Servicemembers who sustain mild TBI (also known as “concussion”), which accounts for the majority of injuries, may walk away from the event, seemingly unharmed; thus mild TBI may go unnoticed and untreated. Accordingly, VA policy requires that all OEF/OIF veterans receiving medical care in the VA health care system must be screened for possible TBI, and that those who screen positive must be offered further evaluation and specialized treatment. The VA and the DOD have jointly developed evidence-based clinical practice guidelines for treatment of mild TBI.

Servicemembers who sustain moderate to severe TBI (i.e., recognizable injuries) require immediate treatment, which begins at the site of the event and continues at a military treatment facility. Once stabilized, servicemembers may remain at a military treatment facility or be transferred to the VA Polytrauma System of Care. Transfers from DOD to VA facilities require coordination between the two systems, and several programs have been developed to facilitate coordination of care.

The VA’s FY2010 budget for “TBI and Other Neurotrauma” research was $22 million (rounded). Before applying a 0.2% rescission for most non-defense items, the full-year continuing resolution for FY2011 maintained VA’s research funding at the FY2010 level. The large number of studies conducted by the VA and the DOD, as well as the Department of Health and Human Services (HHS), raises questions for some about potential duplication of effort, gaps in the research, dissemination of research findings, and translation of research into practice.
Introduction

Traumatic brain injury (TBI) has become known as a “signature wound” of Operation Enduring Freedom (OEF) and Operation Iraqi Freedom (OIF), because the incidence of TBI is higher in these conflicts than it has been in previous conflicts. This report discusses TBI among veterans receiving care in Department of Veterans Affairs (VA) medical facilities, with particular attention to OEF/OIF veterans.

The VA health care system does not function in a vacuum. Individuals may sustain injuries during military service, receive early stages of treatment from the Department of Defense (DOD), and transfer to the VA for later stages of treatment. In this report, agencies other than the VA are addressed only to the extent that they work in coordination with the VA.

The remainder of this report is organized in three parts. The first part provides an overview of TBI, as background for the rest of the report. The second part focuses on TBI among veterans receiving VA health care services, with sections addressing access to care, identification of TBI, treatment of TBI, and TBI research. The third part discusses potential issues for the 112th Congress, in the context of past congressional action related to TBI among veterans.

Several numbers are presented in this report, quantifying TBI among different populations (i.e., civilians, servicemembers, or veterans), measured over different periods (i.e., a single year or multiple years), by different organizations (i.e., the Centers for Disease Control and Prevention, DOD, or VA). Each number is independent of the others; they do not sum to a total.

Traumatic Brain Injury (TBI) Overview

To provide some context for the discussion of TBI among veterans, this part of the report discusses TBI in general terms, including the definition, causes, and prevalence of TBI; various ways in which traumatic brain injuries can be classified; the signs and symptoms of TBI; and associated comorbid conditions (including both mental and physical health).

1 Operation Enduring Freedom (OEF) began on October 7, 2001, and continues today. Operation Iraqi Freedom (OIF) began on March 20, 2003; on September 1, 2010, OIF was redesignated Operation New Dawn, which continues today. (These operations are not defined in statute; the dates presented here are commonly accepted.) The abbreviation OEF/OIF is used throughout this report to refer to Operation Enduring Freedom and Operation Iraqi Freedom (now called Operation New Dawn).

2 This report focuses on TBI as a health care issue, and therefore does not address classification of TBI as a service-connected disability for compensation purposes; for a general discussion of veterans’ disability benefits, refer to CRS Report RL34626, Veterans’ Benefits: Benefits Available for Disabled Veterans, by Christine Scott and Carol D. Davis. This report does not address TBI in the military health care system, except where necessary to provide context or explain joint endeavors of the Departments of Defense and Veterans Affairs; for information about the military health care system, refer to CRS Report RL33537, Military Medical Care: Questions and Answers, by Don J. Jansen. For information about TBI among professional football players, refer to CRS Report R41555, NFL Players and Efforts to Protect Them From Concussions, by L. Elaine Halchin.
Definition, Causes, and Prevalence

In 2011, the Brain Injury Association of America adopted a definition of TBI as “an alteration in brain function, or other evidence of brain pathology, caused by an external force.” In the general population, TBI results mainly from falls, motor vehicle/traffic accidents, assaults, and other instances in which the head is struck by or strikes against an object. In the United States each year, an estimated 1.7 million people sustain TBI:

- 1.365 million are treated and released from an emergency department,
- 275,000 are hospitalized, and
- 52,000 die as a result of their injuries.

In military servicemembers, TBI may result from the events listed above, or from improvised explosive devices, mortars, grenades, bullets, or mines. The DOD reports that in 2010, a total of 30,703 servicemembers sustained TBI.

Classification

Traumatic brain injury is not a specific diagnosis; the term encompasses a range of conditions. A TBI may be classified as focal or diffuse; open or closed; and mild, moderate, or severe. If the injury is localized to a small area of the brain, it is a focal injury; an injury occurring over a large area is diffuse. If the head hits, or is hit by, an object that penetrates the skull and the brain’s protective coverings, the injury is open (also called penetrating); otherwise, the injury is closed and can be further classified as mild, moderate, or severe.

Many methods have been used to classify TBI as mild, moderate, or severe; three commonly used methods are based on loss of consciousness, post-traumatic amnesia (i.e., loss of memory), or the Glasgow Coma Scale. The Glasgow Coma Scale assigns points in three areas: eye opening, verbal response, and motor response. The sum of points in the three areas ranges from 3 to 15, with lower scores indicating greater severity of TBI. Table 1 summarizes the criteria for mild, moderate, and severe TBI.

Table 1

<table>
<thead>
<tr>
<th></th>
<th>Mild TBI</th>
<th>Moderate TBI</th>
<th>Severe TBI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loss of consciousness</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Post-traumatic amnesia</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Glasgow Coma Scale</td>
<td>&gt;12</td>
<td>9-12</td>
<td>&lt;9</td>
</tr>
</tbody>
</table>

Table 1. Classification of TBI as Mild, Moderate, or Severe

<table>
<thead>
<tr>
<th></th>
<th>Mild</th>
<th>Moderate</th>
<th>Severe</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loss of consciousness</td>
<td>&lt; 30 minutes</td>
<td>30 minutes-24 hours</td>
<td>&gt; 24 hours</td>
</tr>
<tr>
<td>Post-traumatic amnesia</td>
<td>&lt; 1 day</td>
<td>1-7 days</td>
<td>&gt; 7 days</td>
</tr>
<tr>
<td>Glasgow Coma Scale score</td>
<td>13-15 points</td>
<td>9-12 points</td>
<td>3-8 points (coma)</td>
</tr>
</tbody>
</table>


Signs and Symptoms

Mild TBI (concussion) may manifest as a range of physical, psychological, and cognitive problems. Common physical signs and symptoms of mild TBI include headaches, fatigue, lethargy, dizziness, and lightheadedness. Individuals with mild TBI may experience blurred vision, eye fatigue, ringing in the ears, or a bad taste in the mouth. Psychological symptoms may appear as behavioral or mood changes. Cognitive difficulties may include confusion and problems with memory, attention, concentration, or thinking. Individuals with mild TBI may also sleep more or less than usual.10

Individuals with moderate to severe TBI may experience any of the signs and symptoms listed above, as well as repeated nausea or vomiting, a persistent or worsening headache, seizures or convulsions, numbness or weakness in their feet or hands, and loss of coordination. They may experience increased confusion, restlessness, or agitation. Their pupils might be dilated and their speech might be slurred. They may be unable to awaken from sleep.11

Comorbid Conditions

Traumatic brain injury is associated with comorbid conditions that include both mental and physical illnesses. Mental disorders associated with TBI include anxiety disorders and depressive disorders; estimates of how often such conditions co-occur with TBI vary.12 While some studies have found a link between TBI and increased alcohol or drug use, a report by the Institute of Medicine (IOM) found just the opposite: limited/suggestive evidence of an association between TBI and decreased alcohol and drug use within one to three years of the injury.13

(...continued)


11 Ibid.


Individuals with TBI are at increased risk of developing epilepsy and neurodegenerative diseases such as Alzheimer’s disease, Lewy body dementia, or Parkinson’s disease. Repetitive blows to the head can result in chronic traumatic encephalopathy (CTE); CTE may begin with loss of concentration, attention, or memory, and may eventually progress to problems with coordination, gait, slurred speech, and tremors. Post-traumatic hypopituitarism (PTH) is a neuroendocrine disorder associated with TBI; chronic PTH leads to other neuroendocrine conditions, including hypothyroidism and deficiencies in growth hormone and gonadotropin. Individuals with TBI may also develop sleep disturbances, obstructive sleep apnea, incontinence, sexual dysfunction, metabolic dysfunction, or musculoskeletal dysfunction.\(^{14}\)

The next part of the report addresses TBI in the veteran population, including sections on access to care, identification of TBI, treatment of TBI, and TBI research.

### TBI Among Veterans

As stated before, traumatic brain injury has become known as a “signature wound” of OEF/OIF, because servicemembers in these operations have experienced TBI in larger numbers than those serving in past conflicts. Three factors contribute to the increase in TBI. First, the number of blast injuries caused by improvised explosive devices (IED), rocket-propelled grenades, and land mines has increased; it has been reported that the primary mechanism of injury in OIF is a blast injury.\(^{15}\) Second, injuries that would have been fatal in the past may not be fatal now, thanks to advances in protective equipment, combat medicine, and air evacuation.\(^{16}\) Third, health care professionals are more alert to the possibility of TBI and may therefore be more likely to diagnose TBI accurately.\(^{17}\)

The total number of veterans who have experienced TBI is not known, in part because TBI is difficult to identify,\(^{18}\) and in part because some veterans have not accessed VA health care services.\(^{19}\) Among OEF/OIF veterans who have accessed VA health care services, the VA has indicated that 45,606 have been diagnosed with TBI-related conditions at VA medical facilities as of FY2010.\(^{20}\) The number in each state is shown in Appendix A.

This part of the report focuses on TBI among veterans receiving care provided by VA medical facilities. The four sections address access to care, identification of TBI, treatment of TBI, and TBI research. Because individuals may sustain injuries during military service (as noted

\(^{14}\) Ibid.
\(^{19}\) U.S. Department of Veterans Affairs, Office of Public Health and Environmental Hazards, Analysis of VA Health Care Utilization among Operation Enduring Freedom (OEF) and Operation Iraqi Freedom (OIF) Veterans, Cumulative from 1st Quarter FY2002 through 4th Quarter FY2010, December 2010.
previously), the DOD may have a role in each of these areas; however, as noted previously, the focus of this report is on the VA, and other agencies are addressed only to the extent that they work in coordination with the VA.

Access to Care

This section summarizes enrollment in VA health care and briefly describes VA outreach efforts to increase enrollment.

Veterans generally must enroll in the VA health care system to receive medical care, as described in the text box below.

The VA has engaged in outreach efforts to OEF/OIF veterans via mail,21 telephone,22 and television advertisements.23 In order to make contact with servicemembers before separation and conversion to veteran status, the VA participates in some DOD activities. VA reaches out to OEF/OIF veterans to inform them of their benefits by

- participating in Reserve component out-processing at DOD demobilization sites;
- attending the DOD’s Yellow Ribbon Program (YRP) events;24
- partnering with the National Guard in training transition assistance advisors;
- contacting OEF/OIF veterans through the Combat Veterans Call Center;
- supporting the DOD health assessment and linking veterans with appointments;
- hosting Individual Ready Reserve musters; and
- using social media and other internet-based outreach.25

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24 The DOD Yellow Ribbon Program, established under the National Defense Authorization Act for Fiscal Year 2008, enacted 1/28/2008 (P.L. 110-181), is distinct from the VA Yellow Ribbon Program (which focuses on education).
Veterans Health Administration: Eligibility, Enrollment, and Services

The Department of Veterans Affairs (VA) provides services and benefits to eligible veterans through three major operating units: the Veterans Health Administration (VHA), the Veterans Benefits Administration (VBA), and the National Cemetery Administration (NCA). The VHA operates the nation’s largest integrated direct health care delivery system. As of FY2010, the VHA health care system includes 152 hospitals (medical centers), 133 nursing homes, 791 community-based outpatient clinics (CBOCs), and 6 independent outpatient clinics. (The VHA also operates 300 Readjustment Counseling Centers, or Vet Centers, which provide psychosocial services, but not medical care; Vet Centers have their own eligibility criteria.)

Under the Veterans’ Health Care Eligibility Reform Act of 1996 (P.L. 104-262), eligibility for VHA health care is based primarily on veteran status, which is established by a period of active-duty status and an honorable discharge or release from active military service. (A veteran with a discharge other than honorable may still retain eligibility for VA health care benefits for disabilities incurred or aggravated during service in the military.) As a requirement to obtain care through the VA, veterans are required to enroll in the VA health care system. Once enrolled, veterans are categorized into eight priority groups. Veterans with service-connected disabilities or with incomes below a means test are designated “high priority” and are enrolled in Priority Groups 1-6. (The term “service-connected” means, with respect to disability, that such disability was incurred or aggravated in the line of duty in the active military, naval, or air service.) The remaining veterans (primarily those without service-connected medical conditions and with incomes and net worth above the means test) are enrolled in Priority Group 7 or 8.

Under the National Defense Authorization Act for Fiscal Year 2008 (P.L. 110-181), veterans who served in a theater of combat operations after November 11, 1998, are offered a five-year post-discharge period of enhanced enrollment for VHA health care. Veterans who enroll during this period are provided care regardless of service-connected disability status. Veterans who enroll in the VHA system under this extended enrollment authority continue to be enrolled even after the five-year eligibility period ends.

In FY2010 approximately 8.4 million veterans were enrolled in the VHA health care system; this represents 36.4% of the 23.1 million veterans living in the United States. Thus the majority of veterans (63.6%) are not enrolled in the VHA health care system.

All enrolled veterans are offered a standard medical benefits package, which includes a full range of inpatient, outpatient, and preventive medical services. Among these services are medical, surgical, and mental health care, including substance abuse treatment; prescription drugs, including over-the-counter drugs, available under the VA national formulary system; home health services and institutional respite care; noninstitutional adult day health care and noninstitutional respite care; and periodic medical exams.

Source: Summarized from CRS Report R41343, Veterans Medical Care: FY2011 Appropriations.

Note: Some of the numbers have been updated since publication of the source report.

From FY2002 through FY2010, 1.25 million OEF/OIF veterans (including members of the Reserve and National Guard) left active duty and became eligible for VA health care; by the end of FY2010, half of them had obtained VA health care. Some veterans may choose not to enroll in VA health care because they have health coverage from other sources (e.g., private insurance or TRICARE) or because they do not perceive a need for health care. Others may experience barriers to accessing VA care; potential barriers to access are discussed under “Potential Issues for the 112th Congress” later in this report.

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27 TRICARE is a system of military and private health care offering benefits for active duty servicemembers, National Guard and Reserve members, military retirees, their families, survivors, and certain former spouses. See CRS Report RL33537, Military Medical Care: Questions and Answers, by Don J. Jansen.
Identification of TBI

This section describes the two-step process the VA uses to identify TBI: screening for TBI and confirming a diagnosis of TBI.

As noted previously, the initial injury may occur during military service; thus the DOD may be responsible for identification of TBI. Moderate to severe TBI, in particular, is likely to be recognized immediately at the time of the initial injury; however, mild TBI may go unnoticed if an individual walks away seemingly unharmed. Despite repeated assessments by the DOD, a veteran may enter the VA health care system with an undiagnosed TBI. In order to identify cases of TBI that might otherwise go untreated, VA policy requires that all OEF/OIF veterans receiving medical care in the VA health care system must be screened for possible TBI, and that those who screen positive must be offered further evaluation and specialized treatment.28

The VA developed a screening instrument and a protocol for further evaluation of individuals who screen positive. The screening instrument was adapted from one already used by the DOD and was incorporated into a national clinical reminder in the computerized patient record system.29 When a provider opens a veteran’s computerized record, the clinical reminder alerts the provider that action is required. The clinical reminder then prompts the provider to ask the veteran a series of questions in order to complete the TBI screening instrument.

If a veteran screens negative for possible TBI, the clinical reminder is resolved and no further action is required. If a veteran screens positive for possible TBI, the clinical reminder generates an electronic consult for a follow-up evaluation. The protocol for completing the additional evaluation includes a 22-item neurobehavioral symptom inventory.

The diagnosis of TBI is complicated by symptoms that overlap with posttraumatic stress disorder (PTSD),30 such as difficulty concentrating, irritability or outbursts of anger, and memory loss.31 Because of the complexity of diagnosing TBI and differentiating symptoms of other disorders,32 specialized training is required to administer the evaluation.33

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28 Department of Veterans Affairs, Veterans Health Administration, Screening and Evaluation of Possible Traumatic Brain Injury in Operation Enduring Freedom (OEF) and Operation Iraqi Freedom (OIF) Veterans, VHA Directive 2007-013, April 13, 2007; unless otherwise noted, all information in this subsection is drawn from this source.

29 This reminder, together with reminders for screens for PTSD, depression, alcohol abuse, and infectious diseases endemic to Southwest Asia, constitutes the “Afghan and Iraq Post-Deployment Screen.” Veterans Health Administration, Implementation of the National Clinical Reminder for Afghan and Iraq Post-Deployment Screening, Department of Veterans Affairs, VHA Directive 2005-055, Washington, DC, December 1, 2005.

30 Posttraumatic stress disorder (PTSD) is an anxiety disorder that may occur following a traumatic event.


32 The VA is studying differential diagnoses, in an effort to build objective and consistent diagnostic criteria for TBI and PTSD. Department of Veterans Affairs, Office of Public Health and Environmental Hazards, http://www.publichealth.va.gov/research/epidemiology.

If the follow-up evaluation finds that the veteran does not have TBI, the consult is completed and results are reported to the referring clinician. If the follow-up evaluation finds that the veteran has TBI, the veteran is referred for specialized treatment, as described in the next section.

As of September 2010, a total of 420,374 OEF/OIF veterans had been screened for TBI. Of those who were screened, 102,569 either reported a prior diagnosis of TBI or screened positive for possible TBI. A follow-up evaluation (which was not required for those who reported a prior diagnosis of TBI) was completed for 57,995 of those who screened positive for possible TBI, and a TBI diagnosis was confirmed in 18,280 of them.\(^\text{34}\) Note that the 18,280 represents only those OEF/OIF veterans with a TBI diagnosis confirmed by the VA’s follow-up evaluation. It does not include OEF/OIF veterans who reported a prior diagnosis of TBI at the screening, nor does it include OEF/OIF veterans who do not receive VA health care.

The VA’s identification process is illustrated in Appendix B (which also illustrates the treatment process that follows).

**Treatment of TBI**

This section describes treatment of veterans with TBI in the VA health care system, focusing on treatment of veterans with moderate to severe TBI. The first subsection describes the VA Polytrauma System of Care. The second subsection describes several programs aimed at coordinating VA and DOD treatment.

As mentioned previously, the early stages of treatment may occur within the military health care system, if the initial injury occurs during military service; however, this report focuses on treatment within the VA health care system. Regardless of where treatment is provided, the type of treatment needed depends on the severity of the injury.

Servicemembers who sustain mild TBI (which accounts for the majority of injuries\(^\text{35}\)) may walk away from the event, seemingly unharmed; thus mild TBI may go unnoticed and untreated. Most cases of mild TBI resolve without medical attention. Education about mild TBI can effectively “normalize symptoms and provide expectation of rapid recovery.”\(^\text{36}\) The VA and the DOD have jointly developed evidence-based clinical practice guidelines for treatment of mild TBI\(^\text{37}\).

Servicemembers who sustain moderate to severe TBI (i.e., recognizable injuries) require immediate treatment, which begins at the site of the event and continues at a military treatment facility. Once stabilized, servicemembers may remain at a military treatment facility or be sent to VA medical facilities for continuing treatment, rehabilitation, and transitional care. When servicemembers transfer from DOD to VA facilities (regardless of whether they change to veteran status), coordination between the two systems is necessary.

\(^\text{34}\) Response to CRS inquiry to VA Program Office, January 5, 2011.


VA Polytrauma System of Care

Veterans with moderate to severe TBI (alone or in combination with other conditions) may receive care through the VA Polytrauma System of Care (PSC). The PSC is designed to function within the existing VA health care system, which is organized into geographic regions called Veterans Integrated Service Networks (VISN). Like the larger VISN structure, the PSC is geographically dispersed, thereby making the specialized treatment more accessible to veterans, regardless of where they live. The PSC operates as a “hub and spoke” model with four components, each of which is described below.

Component I of the PSC comprises four regional Polytrauma Rehabilitation Centers, which serve as regional referral centers, the “hubs” of the PSC. Polytrauma Rehabilitation Centers provide direct care and consultation, as well as research and education related to polytrauma and TBI. Each Polytrauma Rehabilitation Center has a minimum of 12 dedicated comprehensive rehabilitation beds and 10 dedicated transitional rehabilitation beds. Required staff include a rehabilitation physician, registered nurses, social workers, speech-language pathologists, physical therapists, occupational therapists, recreation therapists, a neuropsychologist, a counseling psychologist, and a family therapist, among others. Construction of a fifth regional Polytrauma Rehabilitation Center is underway.

Component II of the PSC extends the “spokes” to 22 Polytrauma Network Sites: one in each VISN (including one at each of the Polytrauma Rehabilitation Centers) plus one in Puerto Rico. In consultation with the Polytrauma Rehabilitation Centers, Polytrauma Network Sites provide specialized, post-acute rehabilitation services in a setting appropriate to the needs of veterans, servicemembers, and families. Each Polytrauma Network Site has a dedicated interdisciplinary team with specialized training, to provide case management and identify resources both within and outside the VA. Figure 1 shows the location of each Polytrauma Network Site, including the Polytrauma Rehabilitation Centers.

Component III of the PSC extends care to 82 VA medical facilities that do not have Polytrauma Rehabilitation Centers or Polytrauma Network Sites, by establishing Polytrauma Support Clinic Teams. These local teams of providers have rehabilitation expertise and deliver follow-up services in consultation with regional and network specialists. They provide direct care, consultation, and telerehabilitation, as needed.

Component IV of the PSC addresses the needs of veterans at 48 VA medical facilities that lack the necessary services to provide specialized care. Such facilities must designate a Polytrauma Point of Contact who is responsible for coordinating the treatment of veterans at their facility. The role of the Polytrauma Point of Contact is to ensure that veterans are referred to a facility capable of providing the services they require.

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38 Veterans Health Administration, Polytrauma-Traumatic Brain Injury (TBI) System of Care, Department of Veterans Affairs, VHA Directive 2009-028, Washington, DC, June 9, 2009; unless otherwise noted, all information in this subsection is drawn from this source. Polytrauma is defined therein as “two or more injuries sustained in the same incident that affect multiple body parts or organ systems and result in physical cognitive, psychological, or psychosocial impairments and functional disabilities.”
Figure 1. VA Polytrauma System of Care
Location of Polytrauma Rehabilitation Centers and Polytrauma Network Sites


Note: A fifth Polytrauma Rehabilitation Center is currently under construction in San Antonio, TX.

The treatment process within the VA’s PSC (excluding any treatment provided by the DOD) is illustrated in Appendix B, which also illustrates the identification process described earlier.

Coordination of VA and DOD Treatment

As noted elsewhere in this report, injured servicemembers may transfer directly from military treatment facilities to VA medical facilities (and may later convert to veteran status), or veterans may access VA medical facilities after having received treatment in military facilities. Such situations require coordination between the two agencies in caring for servicemembers or veterans with TBI. Three VA and joint VA/DOD programs seek to address the transition from DOD to VA health care facilities: OEF/OIF Care Management, the VA Liaison Program, and the Federal Recovery Coordinator Program. 39

Every VA Medical Center has an OEF/OIF Care Management Team, consisting of case managers and transition patient advocates, to help coordinate care and navigate the VA system. Case managers are either nurses or social workers. Transition patient advocates serve as personal advocates for patients moving throughout the VA health care system. This service is available to all OEF/OIF veterans without referral.

The VA Liaison Program places VA employees at military treatment facilities, where they provide onsite consultation about VA resources. Liaisons coordinate referrals with the OEF/OIF Care

39 Department of Veterans Affairs, Returning Service Members (OEF/OIF), http://www.oefoif.va.gov.
Management teams at local VA facilities; they maintain involvement until health care is arranged and transfer is complete. Figure 2 shows the locations of military treatment facilities with VA liaisons and the number of liaisons at each facility.

Figure 2. Locations of VA Liaisons Assigned to Military Treatment Facilities

![Map of VA Liaisons Assigned to Military Treatment Facilities]

Source: CRS adaptation of DOD map from Real Warriors Campaign, http://www.realwarriors.net/guardreserve/reintegration/VAbenefits.php

Notes: NNMC = National Naval Medical Center; WRAMC = Walter Reed Army Medical Center.

The Federal Recovery Coordination Program (FRCP) was established via a memorandum of understanding between the VA and the DOD, signed on October 30, 2007. Veterans or servicemembers with TBI (one of several qualifying conditions) can self-refer to the FRCP or be referred by clinicians, family members, veterans service organizations, or others. Each veteran (or servicemember) enrolled in the FRCP is assigned a Federal Recovery Coordinator (FRC), who coordinates services provided by the DOD, the VA, and other public and private entities; the FRC does not provide direct services.

TBI Research

The VA’s FY2010 budget for “TBI and Other Neurotrauma” research was $22 million (rounded). Before applying a 0.2% rescission for most non-defense items, the full-year continuing resolution for FY2011 maintained VA’s research funding at the FY2010 level.

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42 Department of Defense and Full-Year Continuing Appropriations Act, 2011, enacted April 15, 2011 (P.L. 112-10).
The VA Office of Research and Development has solicited research proposals on a range of topics related to TBI, including (but not limited to)

- identifying the origins, disease pathways, and genetics of TBI;
- operationalizing the definition of mild TBI;
- developing and evaluating instruments to measure TBI;
- assessing the service needs of veterans with TBI and barriers to treatment; and
- identifying and evaluating treatments for TBI.43

Research on TBI and related conditions may also be conducted under the auspices of the VA’s Mental Health Strategic Healthcare Group (MHSHG), which supports the research efforts conducted at the National Center for PTSD, four Centers of Excellence (CoEs), and 10 Mental Illness Research Education and Clinical Centers (MIRECCs).44

The VA is currently partnering with the National Institute of Disability and Rehabilitation Research to develop the Traumatic Brain Injury Veterans Health Registry, which will facilitate future research by providing longitudinal data on the demographics, military service data, injury information, and treatment of all veterans with TBI.45

The Defense and Veterans Brain Injury Center (DVBIC), a component of the Defense Centers of Excellence for Psychological Health and Traumatic Brain Injury, is a collaboration between the VA and the DOD. Research conducted by the DVBIC includes randomized controlled trials of treatment strategies for TBI, epidemiologic studies of military-related TBI, and translational research involving brain imaging technology.46

Potential Issues for the 112th Congress

This part of the report focuses on ongoing issues that may be of interest to the 112th Congress, in the context of past congressional action addressing these issues. Appendix C summarizes provisions of public laws that address TBI among veterans, beginning with the 108th Congress and ending with the 111th Congress. In addition to specific issues noted here, a number of initiatives are in the planning and implementation process, providing opportunities for Congress to exercise its oversight function while programs are implemented and research is conducted.

Ongoing Issues in Access to Care

By extending the period of enhanced eligibility for OEF/OIF veterans (as described in the text box, “Veterans Health Administration: Eligibility, Enrollment, and Services,” above), the National

Defense Authorization Act for Fiscal Year 2008 (P.L. 110-181) facilitated access to care. However, a veteran’s own behavior—whether intentional or not—may interfere with his or her ability to access the VA health care system and thus be properly diagnosed. Some veterans may choose not to disclose symptoms of TBI because they believe that being diagnosed with a TBI would affect their ability to stay in the National Guard or Reserves, or affect other future employment plans.\(^{47}\) Similarly, some servicemembers leaving war zones may not disclose mental health symptoms, or may downplay such symptoms, in order to avoid any delay in their return home.\(^{48}\) Some veterans may not be fully aware of changes in their functioning due to TBI.\(^{49}\) Cognitive impairments due to TBI may compromise veterans’ ability to seek care or navigate the system.\(^{50}\)

**Ongoing Issues in Identification of TBI**

In addition to facilitating access to care (as noted above), P.L. 110-181 contributed to the identification of TBI by directing the Secretaries of the VA and DOD to propose medical codes to indicate TBI on medical records; this law also contributed to identification of TBI by requiring the VA Secretary to establish and maintain a TBI Veterans Health Registry. The Traumatic Brain Injury Act of 2008 (P.L. 110-206) also contributed to identification of TBI by involving HHS in tracking the incidence and prevalence of TBI among veterans. Ongoing issues in identification of TBI among OEF/OIF veterans at VA medical facilities generally fall into two categories—the screening instrument and how it is used.

Because the VA modified an existing screening instrument, the VA is responsible for establishing its validity and reliability for use with a slightly different population (i.e., OEF/OIF veterans receiving care from the VA, rather than servicemembers returning from OEF/OIF). Clinical validity refers to both how well the screening instrument identifies patients with TBI (i.e., sensitivity) and how well it identifies patients without TBI (i.e., specificity). At least one study has found that the screening instrument has high sensitivity and moderate specificity.\(^{51}\) Because a positive screen is followed by a more thorough evaluation, sensitivity is more important than specificity in the screening instrument.

Reliability is the ability of the screening instrument to yield the same results if administered repeatedly to the same person. A small, preliminary study of test-retest reliability found that most


items in the screening instrument do not have good reliability.\textsuperscript{52} A larger study found that the screening instrument has high test-retest reliability.\textsuperscript{53} Another VA study is in progress.\textsuperscript{54}

The VA is also responsible for ensuring that the screening instrument is used as intended, by properly trained staff. Investigations have found that some providers used the screening instrument before being trained,\textsuperscript{55} and that some OEF/OIF veterans were not screened or had delayed screenings because staff were not aware of procedures.\textsuperscript{56} The VA is currently tracking completion and timeliness of TBI screenings and follow-up evaluations at the VISN level.\textsuperscript{57}

\textbf{Ongoing Issues in Treatment of TBI}

Several laws have addressed treatment of TBI provided by either professionals or family caregivers. The Veterans Health Programs Improvement Act of 2004 (P.L. 108-422) resulted in the establishment of the Polytrauma System of Care. The Caregivers and Veterans Omnibus Health Services Act of 2010 (P.L. 111-163) built on work initiated under the National Defense Authorization Act for Fiscal Year 2007 (P.L. 109-364) in training family caregivers for veterans; P.L. 111-163 also enabled the VA to utilize non-VA facilities under certain circumstances.

An ongoing issue in treatment of TBI is coordination of care. Programs intended to improve coordination of care between VA and DOD may face their own coordination challenges. A 2011 report by the GAO identified challenges the Federal Recovery Coordinator Program is facing, including in coordination with other programs.\textsuperscript{58} Because the majority of enrollees are enrolled in at least one other program for wounded servicemembers or veterans, the program must coordinate not only among care providers, but also among other care coordinators. Having multiple care coordinators increases the potential for duplication of effort, conflicting treatment goals, or failure to address issues (if each coordinator thinks someone else is handling the issue).

\textbf{Ongoing Issues in TBI Research}

Congress has appropriated funds for many research projects related to TBI. For example, the National Defense Authorization Act for Fiscal Year 2008 (P.L. 110-181) required the VA to collaborate with the TBI rehabilitation research community, grantees of the National Institute of

\begin{footnotesize}
\begin{enumerate}
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\end{footnotesize}
Disability and Rehabilitation Research (within the Department of Education), the Defense and Veterans Brain Injury Center, and other governmental entities engaged in TBI rehabilitation.

The large number of studies conducted by the VA, DOD, and HHS (including both the Centers for Disease Control and Prevention and the National Institutes of Health) raises questions for some about potential duplication of effort, gaps in the research, dissemination of research findings, and translation of research into practice. While duplication of effort may seem wasteful, replicating studies is a normal part of the research process; ideally, the replicated studies yield the same results as the original study and thus increase confidence in the findings. The direction of subsequent research is often guided by identifying gaps in the existing research. Dissemination of research findings is necessary in order for the findings to be useful, and strong findings should be translated into practice in order to improve care.
Appendix A. OEF/OIF Veterans with TBI, by State

Table A-1. Number of OEF/OIF Veterans Diagnosed with TBI-Related Conditions at VA Medical Facilities, FY2002-FY2010, by State of Residence

<table>
<thead>
<tr>
<th>State</th>
<th>Number</th>
<th>State</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alabama</td>
<td>766</td>
<td>Nevada</td>
<td>286</td>
</tr>
<tr>
<td>Alaska</td>
<td>258</td>
<td>New Hampshire</td>
<td>232</td>
</tr>
<tr>
<td>Arizona</td>
<td>1,049</td>
<td>New Jersey</td>
<td>480</td>
</tr>
<tr>
<td>Arkansas</td>
<td>1,106</td>
<td>New Mexico</td>
<td>292</td>
</tr>
<tr>
<td>California</td>
<td>5,084</td>
<td>New York</td>
<td>1,934</td>
</tr>
<tr>
<td>Colorado</td>
<td>1,158</td>
<td>North Carolina</td>
<td>1,842</td>
</tr>
<tr>
<td>Connecticut</td>
<td>280</td>
<td>North Dakota</td>
<td>219</td>
</tr>
<tr>
<td>Delaware</td>
<td>91</td>
<td>Ohio</td>
<td>1,389</td>
</tr>
<tr>
<td>District Of Columbia</td>
<td>93</td>
<td>Oklahoma</td>
<td>1,203</td>
</tr>
<tr>
<td>Florida</td>
<td>2,383</td>
<td>Oregon</td>
<td>706</td>
</tr>
<tr>
<td>Georgia</td>
<td>1,725</td>
<td>Pennsylvania</td>
<td>1,350</td>
</tr>
<tr>
<td>Hawaii</td>
<td>361</td>
<td>Rhode Island</td>
<td>129</td>
</tr>
<tr>
<td>Idaho</td>
<td>244</td>
<td>South Carolina</td>
<td>379</td>
</tr>
<tr>
<td>Illinois</td>
<td>1,116</td>
<td>South Dakota</td>
<td>224</td>
</tr>
<tr>
<td>Indiana</td>
<td>816</td>
<td>Tennessee</td>
<td>1,017</td>
</tr>
<tr>
<td>Iowa</td>
<td>369</td>
<td>Texas</td>
<td>4,075</td>
</tr>
<tr>
<td>Kansas</td>
<td>693</td>
<td>Utah</td>
<td>221</td>
</tr>
<tr>
<td>Kentucky</td>
<td>1,243</td>
<td>Vermont</td>
<td>163</td>
</tr>
<tr>
<td>Louisiana</td>
<td>505</td>
<td>Virginia</td>
<td>1,050</td>
</tr>
<tr>
<td>Maine</td>
<td>185</td>
<td>Washington</td>
<td>1,645</td>
</tr>
<tr>
<td>Maryland</td>
<td>493</td>
<td>West Virginia</td>
<td>256</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>636</td>
<td>Wisconsin</td>
<td>704</td>
</tr>
<tr>
<td>Michigan</td>
<td>790</td>
<td>Wyoming</td>
<td>147</td>
</tr>
<tr>
<td>Minnesota</td>
<td>1,028</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mississippi</td>
<td>331</td>
<td>Puerto Rico</td>
<td>459</td>
</tr>
<tr>
<td>Missouri</td>
<td>796</td>
<td>Other</td>
<td>577</td>
</tr>
<tr>
<td>Montana</td>
<td>363</td>
<td>Unknown</td>
<td>336</td>
</tr>
</tbody>
</table>


Notes: Status as an OEF/OIF veteran is based on OEF/OIF deployment rosters from the DOD Defense Manpower Data Center with the last out-of-country dates through August 2010. Diagnoses were made during outpatient visits and hospitalizations at VA medical facilities between FY2002Q1 and FY2010Q4. TBI-related conditions are defined by VA Environmental Epidemiology System as the following ICD-9-CM codes: 310.2, 800, 801, 802, 803, 804, 850, 851, 852, 853, 854, 950. Each veteran may be diagnosed in the VA medical system with multiple TBI-related conditions, but is counted only once in the table. State of residence taken from first record of deployment in support of OEF/OIF. Due to the duration of OEF/OIF and repeated deployments, a number of the veterans who died in-theater may have previously accessed VA health care services and may therefore be included in these counts.
Appendix B. Flowchart of VA Process for Identifying and Treating TBI

Figure B-1. Flowchart of VA Process for Identifying and Treating TBI

At the first primary care or specialty care visit, a clinical reminder prompts the screening for TBI

Is screening positive?

No → Clinical reminder resolved

Yes → A consult for a follow-up evaluation is sent to a provider responsible for confirming a diagnosis of TBI

Is diagnosis confirmed?

No → Consult completed

Yes → The patient, family, and PSCT develop and implement an individualized treatment plan

Is treatment successful?

Yes → Follow up as needed

No → Refer to a polytrauma network site

Is treatment successful?

Yes → Follow up as needed

No → Refer to a polytrauma rehabilitation center

Source: Visual depiction of the identification and treatment processes described under the heading “TBI Among Veterans.”

Notes: VA = Department of Veterans Affairs; TBI = Traumatic Brain Injury; PSCT = Polytrauma Support Clinic Team.
Appendix C. Past Congressional Action

Table C-1 summarizes provisions of public laws that address TBI among veterans, beginning with the 108th Congress and ending with the 111th Congress. Note that many of the laws listed in Table C-1 do not focus on the VA, but include provisions relevant to veterans. For example, the National Defense Authorization Act for Fiscal Year 2007 (P.L. 109-364) primarily addresses the DOD, but is included because the panel it requires the DOD Secretary to establish is responsible for developing curricula for training family members in the provision of care and assistance to veterans (and servicemembers) with TBI. Similarly, the Traumatic Brain Injury Act of 2008 (P.L. 110-206) primarily addresses HHS, but is included because of a requirement that the HHS Secretary report on activities and procedures that can be implemented by the Centers for Disease Control and Prevention (CDC) to improve the collection and dissemination of epidemiological studies on the incidence and prevalence of TBI in veterans.

Table C-1. Congressional Action on TBI Among Veterans, 2004-2010
(Excludes provisions focused on servicemembers, rather than veterans)

<table>
<thead>
<tr>
<th>Public Law</th>
<th>Short Title</th>
<th>Key Provisions Related to TBI Among Veterans</th>
</tr>
</thead>
<tbody>
<tr>
<td>P.L. 108-422</td>
<td>Veterans Health Programs Improvement Act of 2004</td>
<td>Directs the VA to designate an appropriate number of cooperative centers for clinical care, consultation, research, and education activities on polytrauma (i.e., the Polytrauma System of Care).</td>
</tr>
<tr>
<td>P.L. 109-364</td>
<td>John Warner National Defense Authorization Act for Fiscal Year 2007</td>
<td>Requires the DOD Secretary to establish a Traumatic Brain Injury Family Caregiver Panel to develop curricula for training family members in the provision of care and assistance to servicemembers and veterans with TBI.</td>
</tr>
<tr>
<td>P.L. 110-161</td>
<td>Consolidated Appropriations Act, 2008</td>
<td>Authorizes the VA Secretary to transfer up to $5 million to the HHS Secretary for the Graduate Psychology Education Program to support increased training of psychologists in the treatment of TBI, PTSD, and related disorders.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Directs the VA and DOD Secretaries to propose codes for inclusion in the ICD to ensure that veterans and servicemembers with TBI receive a medical designation concomitant with the injury.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Requires the VA Secretary, in selecting locations for an assisted-living services pilot program, to give special consideration to rural areas and locations with a high concentration of TBI.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Requires the VA Secretary to establish and maintain a TBI Veterans Health Registry, including information about each OEF/OIF veteran who exhibits symptoms associated with TBI and applies for care or files a claim for disability compensation from VA. The VA is to notify veterans in the registry of significant developments in research on health consequences of OEF/OIF service.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Requires the VA to collaborate with the TBI rehabilitation research community, grantees of the NIDRR of the Department of Education, the DVBIC, and other governmental entities engaged in TBI rehabilitation.</td>
</tr>
<tr>
<td>Public Law</td>
<td>Short Title</td>
<td>Key Provisions Related to TBI Among Veterans</td>
</tr>
<tr>
<td>------------</td>
<td>-------------</td>
<td>---------------------------------------------</td>
</tr>
<tr>
<td>P.L. 110-206</td>
<td>Traumatic Brain Injury Act of 2008</td>
<td>Requires the HHS Secretary to report on activities and procedures that can be implemented by the CDC to improve the collection and dissemination of compatible epidemiological studies on the incidence and prevalence of TBI in veterans.</td>
</tr>
<tr>
<td>P.L. 110-329</td>
<td>Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009</td>
<td>Authorizes the VA Secretary to transfer up to $5 million to the HHS Secretary for the Graduate Psychology Education Program to support increased training of psychologists in the treatment of TBI, PTSD, and related disorders.</td>
</tr>
<tr>
<td>P.L. 111-117</td>
<td>Consolidated Appropriations Act, 2010</td>
<td>Authorizes the VA Secretary to transfer up to $5 million to the HHS Secretary for the Graduate Psychology Education Program to support increased training of psychologists in the treatment of TBI, PTSD, and related disorders. [Same provision appears in P.L. 110-161 and P.L. 111-117.]</td>
</tr>
<tr>
<td>P.L. 111-163</td>
<td>Caregivers and Veterans Omnibus Health Services Act of 2010</td>
<td>Directs the VA Secretary to establish a program of comprehensive assistance for family caregivers of eligible veterans, including those with TBI. Once fully implemented, the program will include instruction and training in personal care services; ongoing technical support; counseling; and lodging and subsistence while accompanying the veteran for VA medical care. The program will also allow additional assistance for the primary personal care provider, including mental health services, respite care, and a monthly stipend comparable to that provided to commercial caregivers in that geographic area. Authorizes the VA Secretary to utilize non-VA facilities (that meet accreditation standards) for the care and treatment of veterans with TBI, and to contract with non-VA providers for specialized residential care and rehabilitation services to veterans with TBI who would otherwise require nursing home admission. Directs the VA Secretary to establish the Committee on Care of Veterans with TBI, to continually assess VA capabilities to meet the treatment and rehabilitation needs of veterans with TBI.</td>
</tr>
</tbody>
</table>

**Source:** CRS analysis of data from the Legislative Information Service.

**Notes:** TBI = Traumatic Brain Injury; OEF/OIF = Operation Enduring Freedom and Operation Iraqi Freedom (including Operation New Dawn); VA = Department of Veterans Affairs; DOD = Department of Defense; HHS = Department of Health and Human Services; CDC = Centers for Disease Control and Prevention; ICD = International Classification of Diseases; DVBIC = Defense and Veterans Brain Injury Center; NIDRR = National Institute of Disability and Rehabilitation Research.

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