

# Open Access Publishing Fees: Responses, Strategies and Emerging Trends

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# Overview

- Open Access Defined
- Background
- Journal APCs
- Campus OA Funds
- Challenges/Next Steps
- Q&A

# Open Access Defined

# Open Access Defined

## **Open Access (OA) is:**

- unrestricted access
- available online
- peer reviewed
- published research

# Open Access Defined

## Open Access (OA) is:

- free to download
- free to *share*
- free of most copyright and licensing restrictions

# Open Access Defined

## ***Most copyright and licensing:***

- copyright is still held by authors and/or publishers
- licensing may apply
  - Creative Commons (CC)
  - Attribution/Reuse

# Background

# Background

## Article Processing Charge (APC)

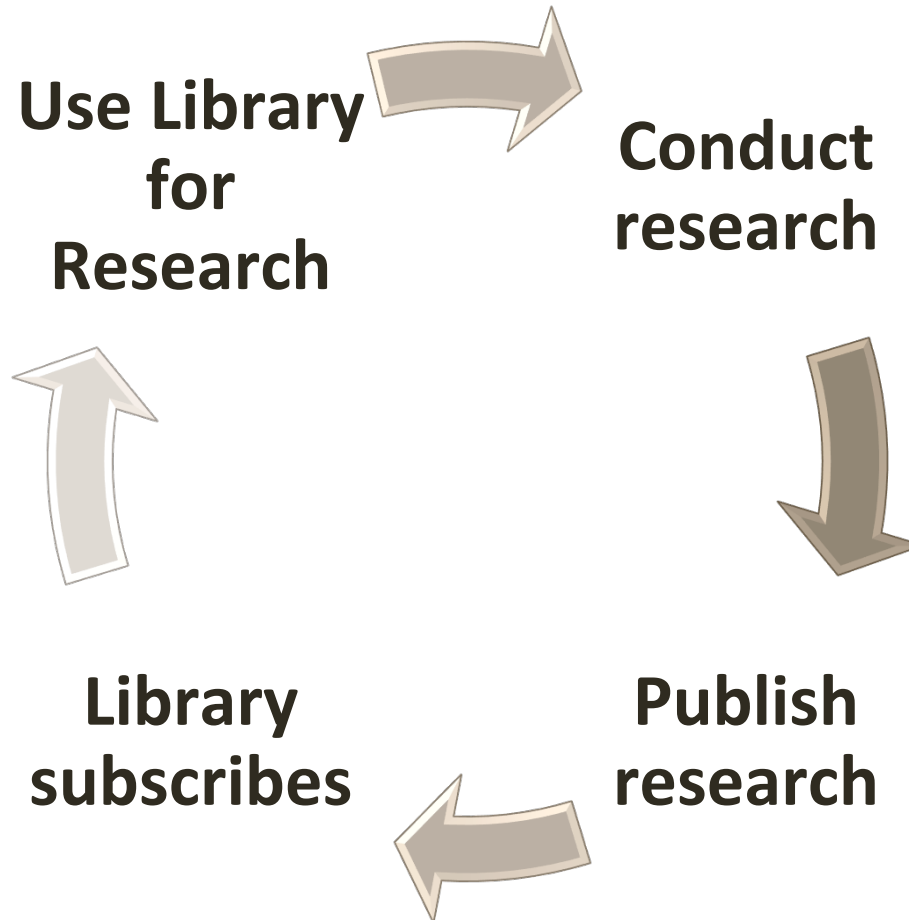
- AKA: Open Access Publishing Fees
- fee paid to publishers to make an article free to access\*

\*Not necessarily Open Access



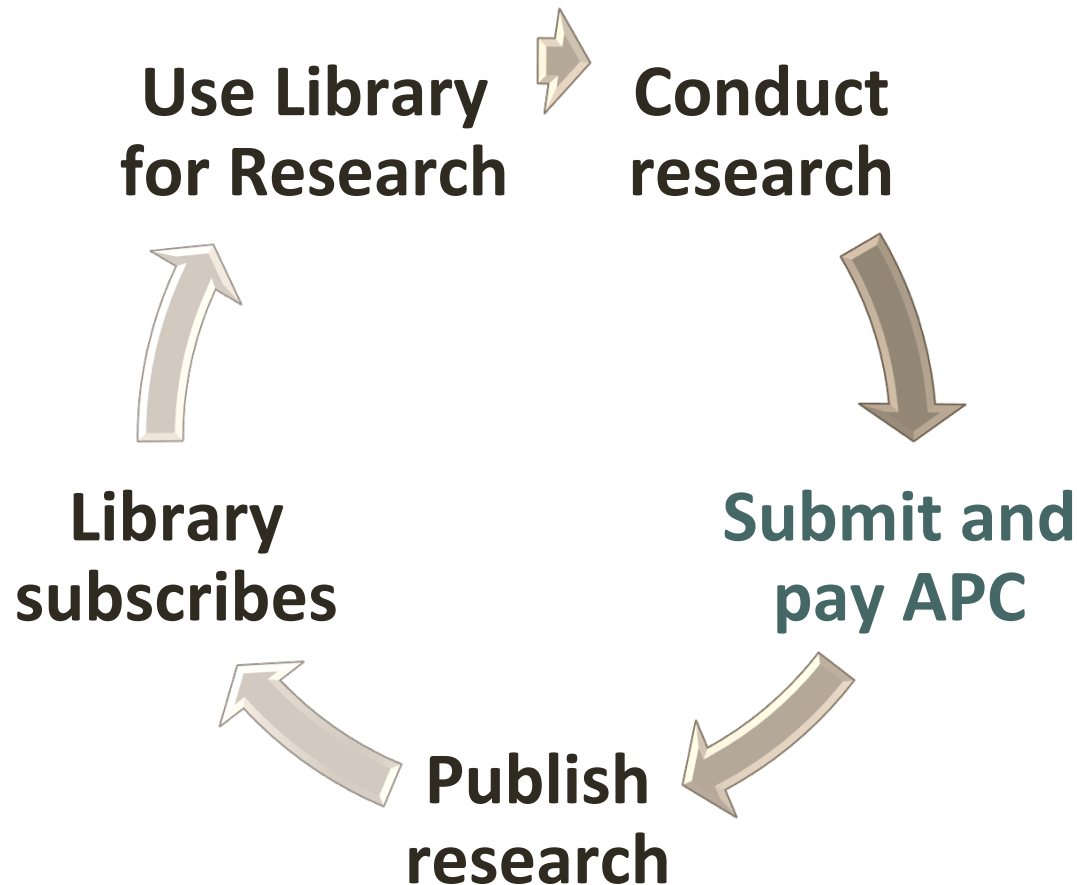
# Background

## Research Publications



# Background

## Research Publications



# Background

## Article Processing Charge (APC)

- optional
- fee paid to publishers for full access
- only through publisher website
- not necessarily “Open Access”

# Background

## Full Open Access

- unrestricted,  
online
- free to download
- *free to reuse and  
share*

## Publisher APC

- unrestricted,  
online
- free to download
- *restrictions for  
reuse and sharing*

# Background

## OA Journals

- online access
- free to download
- *no subscription*
- *may charge APC*
- *free to share*

## Hybrid Journals

- online access
- free to download
- *subscription-based*
- *APCs apply*
- *Reuse and share restrictions apply*

# Background

## Versions of Work

- Preprint
  - submitted version
  - not peer-reviewed
- Post-print
  - accepted manuscript
  - peer-reviewed
- Final PDF
  - publisher's PDF

# Background

## Green Open Access

- free user access
- *repository hosted*
- *preprint or post-print*
- *no associated APCs*

## Gold Open Access

- free user access
- *publisher hosted*
- *final PDF version*
- *charges APC*

# Background

## Three Main APC Funding Sources

1. Author pays
2. Author's research funder pays
3. Author's institution pays



# Journal APCs

# APCs

## Research

- Built on SPARC initiatives\*
  - 15 OA Journals
  - 12 Hybrid Journals

\*Scholarly Publishing and Research Coalition

# APCs

## Reviewed

- Licensing Policies
- Reuse and Sharing
- Average APCs

# APCs

## Creative Commons (CC) Licensing

- 15 OA Journals Reviewed
  - 14 allow a CC license
  - authors choose which CC license

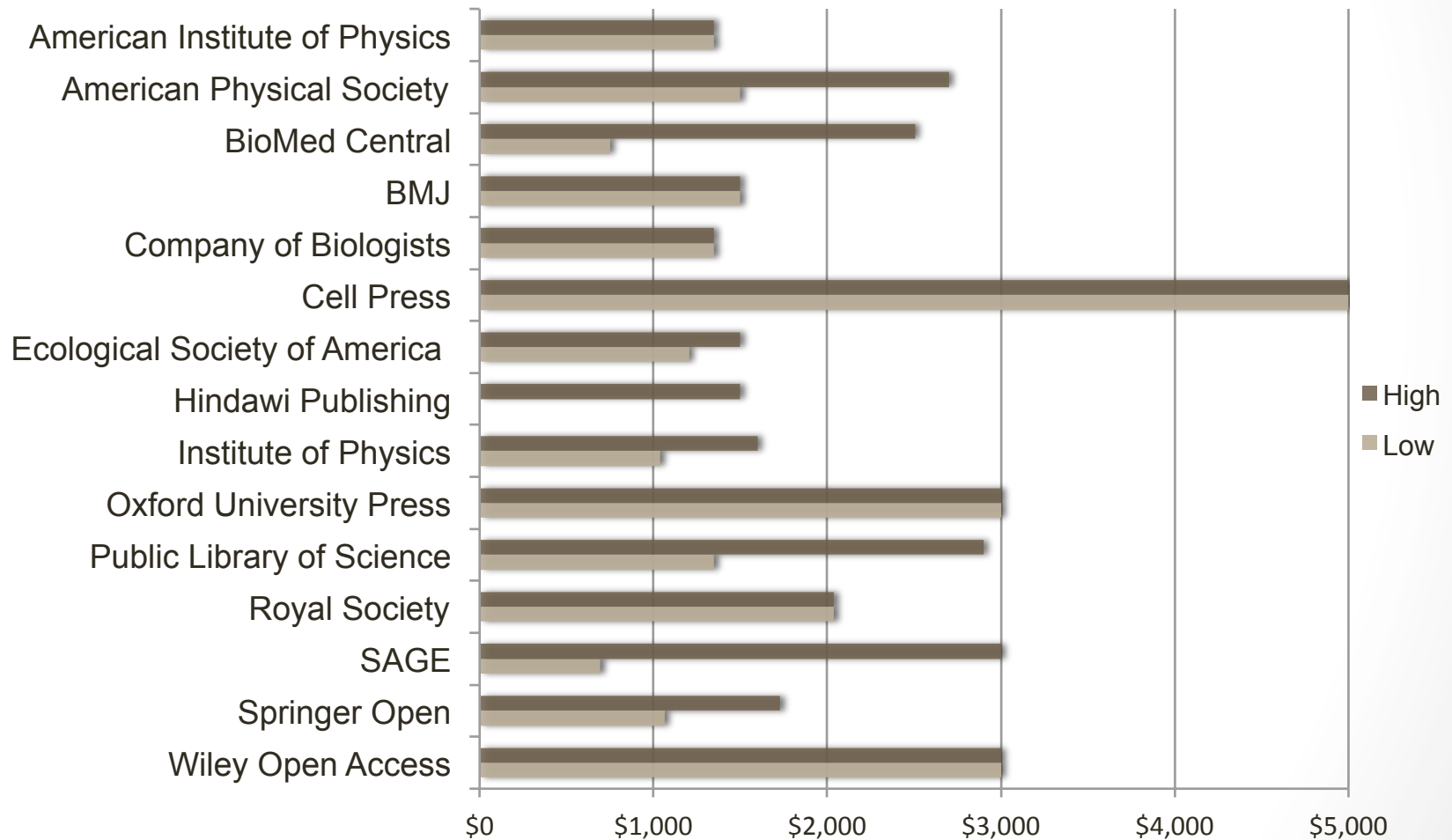
# APCs

## Average APCs

- 15 OA Journals' APCs
  - Range: \$695 - \$5000
  - Average: \$2000

# APCs

## OA Journal APCs



# APCs

## Creative Commons (CC) Licensing

- 12 Hybrid Journals Reviewed
  - 8 allow a CC license
  - type of CC license not specified

# APCs

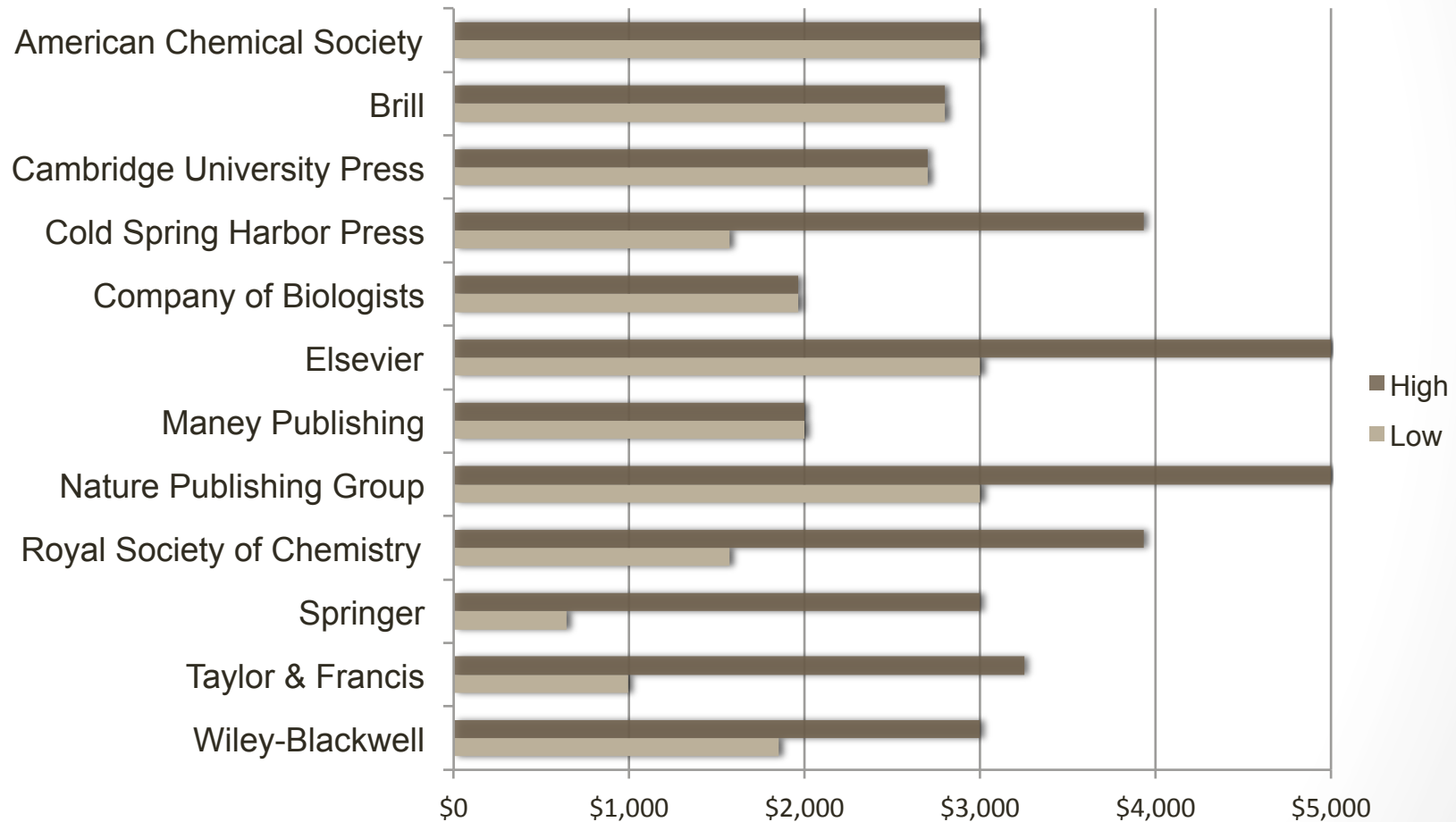
## Average APCs

- 12 Hybrid Journal APCs
  - Range: \$645 - \$5000
  - Average: \$2600



# APCs

## Hybrid Journals' APCs



# Campus Open Access Funds

# Campus OA Funds

## Research Includes:

- 30 North American Universities
- All with Campus OA Funds
- Built on research by SPARC\*

\*Scholarly Publishing and Research Coalition

# Campus OA Funds

## Criteria Evaluated:

1. Sponsorship
2. Journal eligibility
3. Author eligibility
4. Reimbursement criteria

# Campus OA Funds

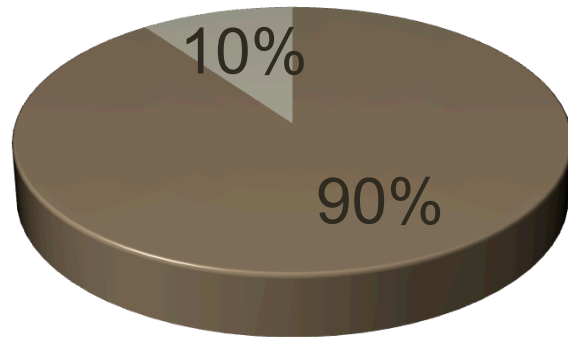
## 1. Sponsorship

- University libraries
- Other
- Co-Sponsorship

# Campus OA Funds

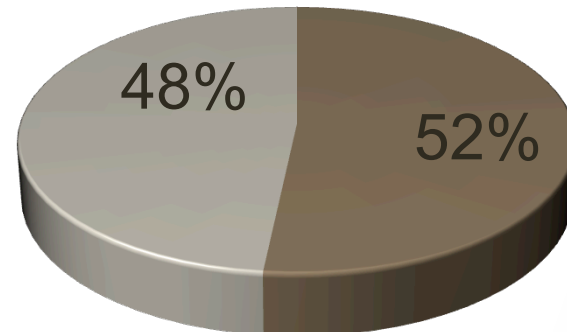
## Library Sponsorship

- Sponsored by University Library
- Other



## Co-Sponsorship

- Not Co-sponsored
- Co-sponsored



# Campus OA Funds

## **Co-Sponsorship Includes:**

- Office of the Provost
- Office of the Vice President
- Office of Research
- Office of Scholarly Communication
- School of Medicine

# Campus OA Funds

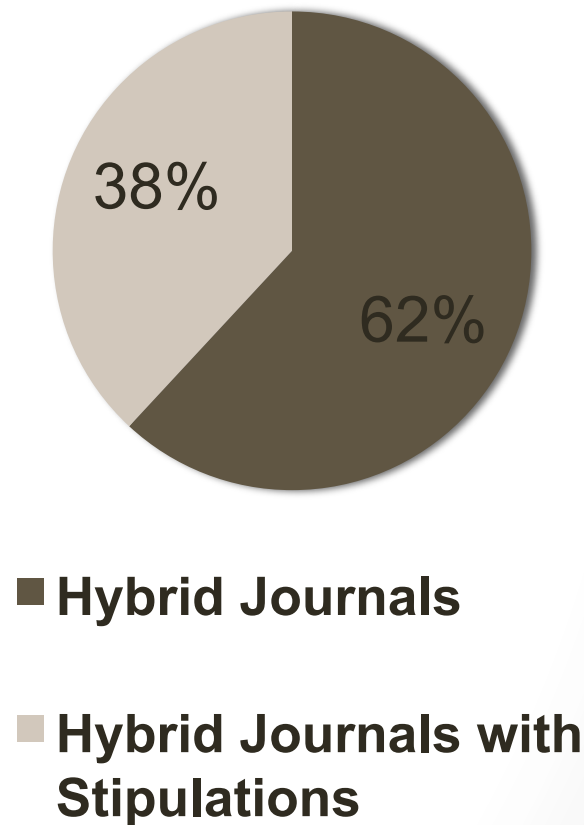
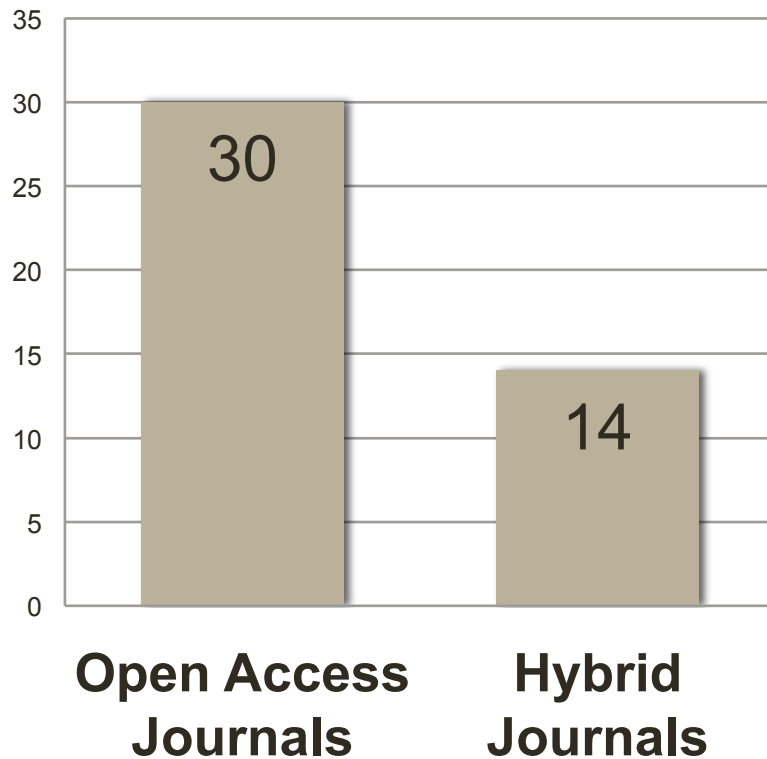
## 2. Journal Eligibility

- OA Journals
- Hybrid Journals
- Stipulations



# Campus OA Funds

## Supported Journal Types    Hybrid with Stipulations



# Campus OA Funds

## OA Journal Stipulations:

- Peer-reviewed
- Directory of Open Access Journals (DOAJ)
  - Member of Open Access Scholarly Publishing Association (OAPSA)
  - Or follow OAPSA code of conduct

# Campus OA Funds

## Hybrid Journal Stipulations:

- Peer-reviewed
- No embargo period
- Author retains certain rights to work
- Subscription discount
- Author can self-archive
- Less funding for Hybrid Journals

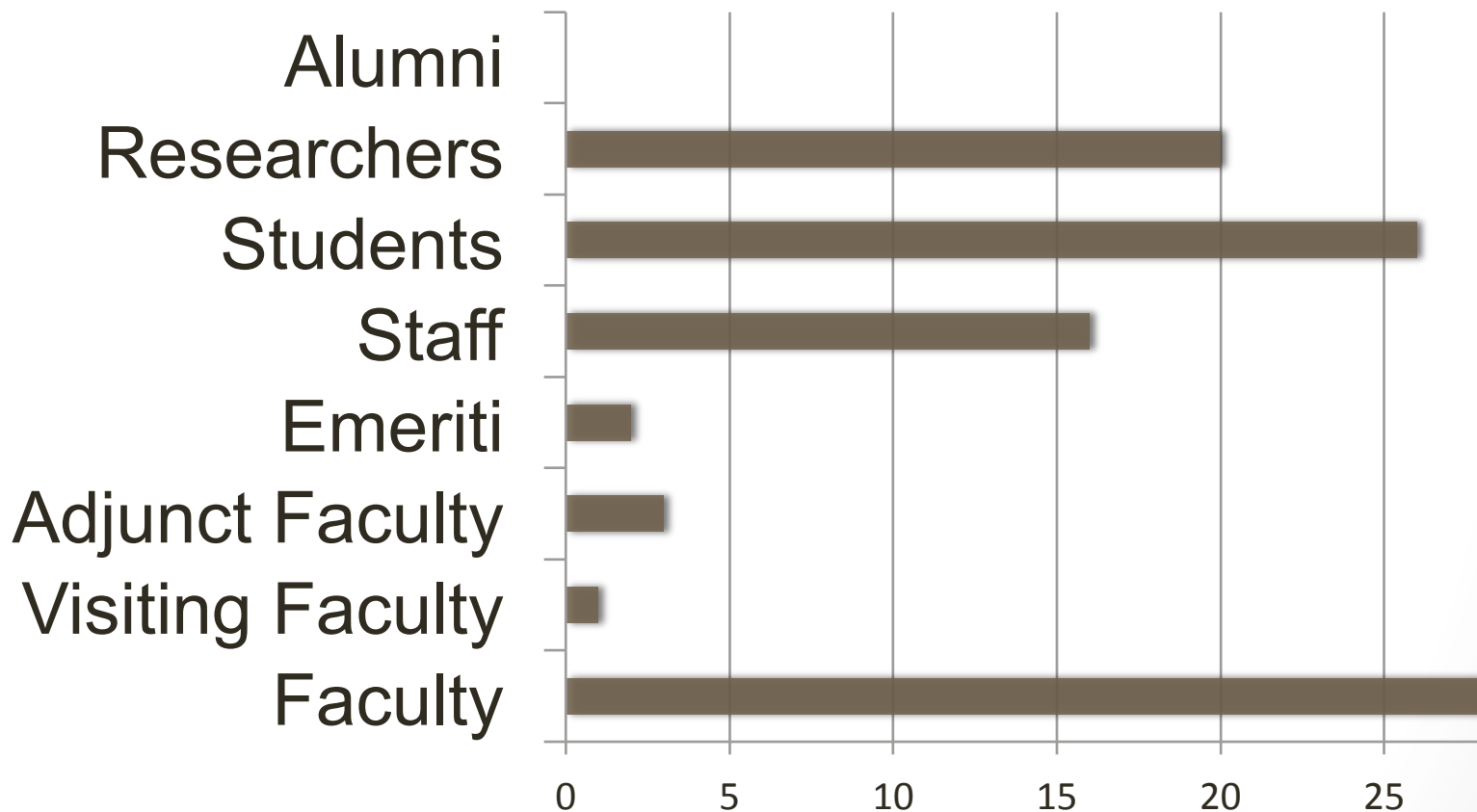
# Campus OA Funds

## **3. Author Eligibility**

- University Rank
- Affiliation to university

# Campus OA Funds

## Eligibility by University Rank



# Campus OA Funds

## Eligibility by University Affiliation

- Currently affiliated
- Individually apply
  - does not include co-authors
  - reimbursement is equally divided
    - Example:
      - \$1200 APC = \$400 for each author

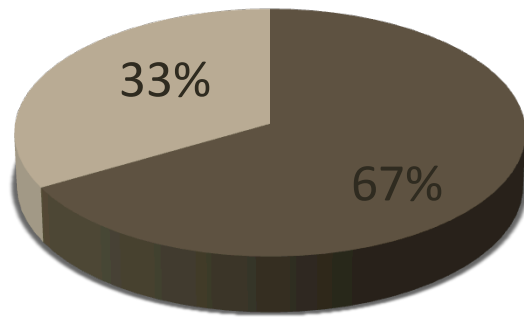
# Campus OA Funds

## 4. Reimbursement Criteria

- Caps on Funding
  - author cap
  - article cap
- Stipulations

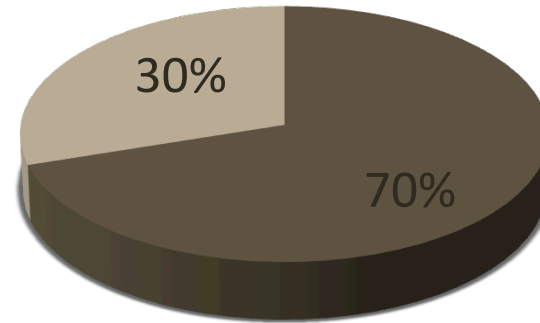
# Campus OA Funds

Caps on Author Funding



■ Cap on Authors  
■ No Cap

Caps on Article Funding



■ Cap on Articles  
■ No Cap



# Campus OA Funds

## Stipulations

- First-come, first-serve basis
- Funding institution listed first on author affiliations
- Must seek grant-funding first
- Must include article in IR

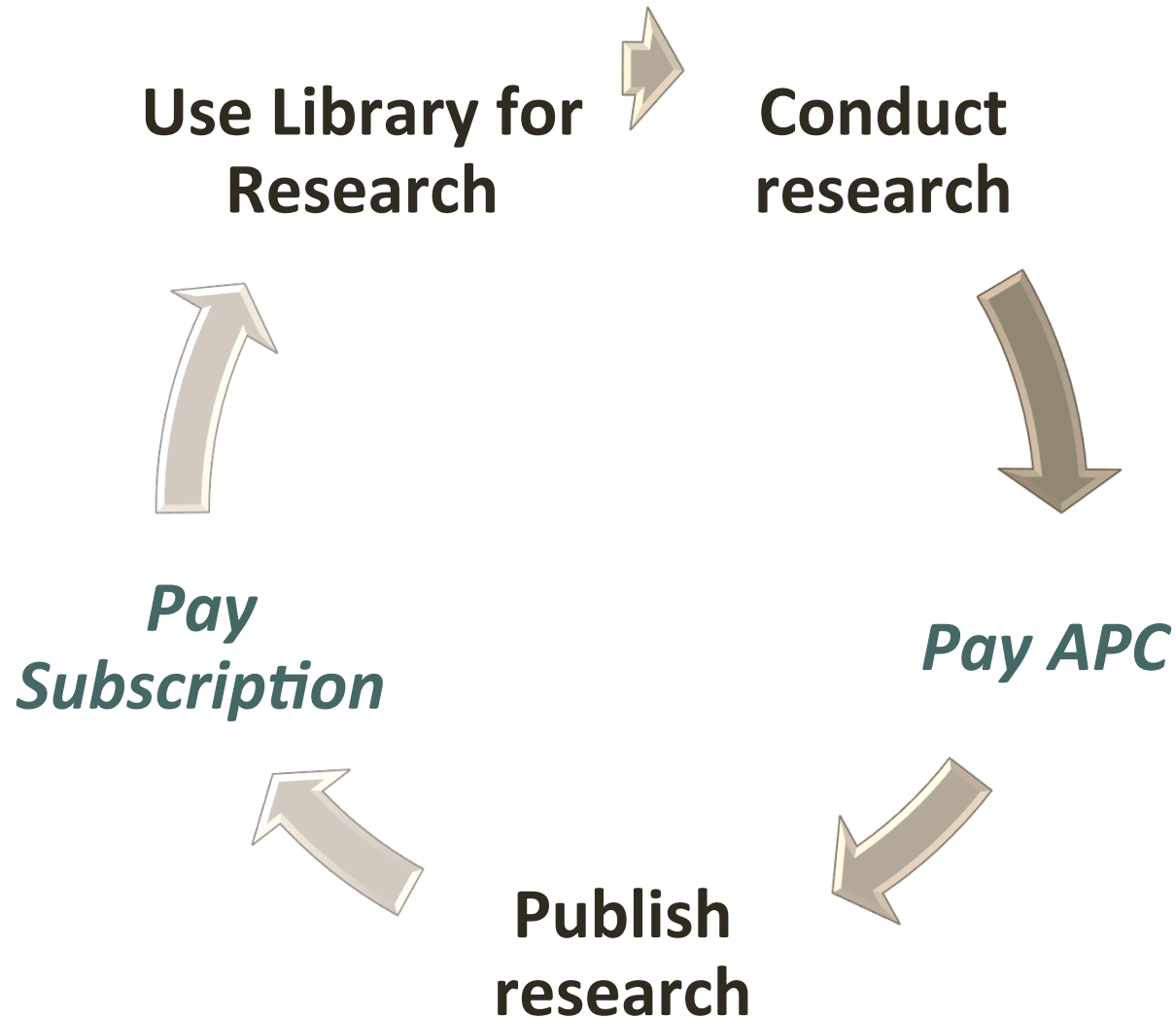
# Challenges & Next Steps

# Challenges

## Double Dipping

- Publisher charges APC on top of normal subscription fee
  1. Funder/Institution pays APC
  2. Funder/Institution pays subscription

# Challenges



# Challenges

## **Campus Open Access Funds**

- Limited Funding
- Staffing/management of funds
- Requirements to include a copy in author's institutional repository (IR)

# Challenges

- **Publisher policies**
  - frequently change
  - limit reuse and sharing
- **Limited transparency**
  - publishing contracts
  - APC fee contracts

# Next Steps

## Options and Ideas

- Set funding threshold for APCs?
  - (Wellcome Trust, Research Councils UK, Jisc and Research Libraries UK)
- SPARC Author Addendum?
- Negotiate with publishers?
- Choose Green over Gold OA?

# Next Steps

## Stay Informed

- Open Access Discussion Groups
  - AOASG, SPARC
- Blogs
  - Scholarly Kitchen, Digital Koans
- Listservs
  - Global Open Access List (GOAL)



# Questions & Answers