

INVESTIGATION OF ROOM TEMPERATURE SPUTTERING AND LASER ANNEALING  
OF CHALCOGEN RICH TMDs FOR OPTO-ELECTRONICS

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Chalcogen-rich transition-metal dichalcogenide (TMD) magnetron sputtering targets were custom manufactured via ball milling and sintering in the interest of depositing p-type chalcogen-rich films. Room temperature radio frequency (RF) magnetron sputtering produced ultra-thin amorphous precursor of  $WS_x$  and  $MoS_x$  (where  $x$  is between 2-3) on several different substrates. The influence of working pressure on the  $MoS_3$  content of the amorphous films was explored with X-ray photoelectron spectroscopy (XPS), while the physical and chemical effects of sputtering were investigated for the  $WS_x$  target itself. The amorphous precursor films with higher chalcogenide content were chosen for laser annealing, and their subsequent laser annealing induced phase transformations were investigated for the synthesis of polycrystalline 2H-phase semiconducting thin films. The role of laser fluence and the number of laser pulses during annealing on phase transformation and film mobility was determined from Raman spectroscopy and Hall effect measurement, respectively. Hall effect measurements were used to identify carrier type and track mobility between amorphous precursors and crystalline films. The p-type 2H-TMD films demonstrates the ability to produce a scalable processing criterion for quality ultra-thin TMD films on various substrates and in a method which is also compatible for flexible, stretchable, transparent, and bendable substrates.

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## CHAPTER 1

### LITERATURE BACKGROUND

#### 1.1 Motivations

The main objective of my research career is to contribute to the cost-efficiency ratio of photovoltaics. Making photovoltaics less expensive is also a goal of the Department of Energy (DOE), as in early 2021, the DOE announced an improvement in the 2030 target of solar cell cost-effectiveness: reducing the cost per kilowatt from the present-day cost of \$0.046/kW to the intended 2030 price of \$0.02/kW.<sup>[1]</sup> Apart from process engineering, improving upon the cost-effectiveness of solar cells are purely material issues.<sup>[2]</sup> One method to reduce the price of photovoltaics is by focusing on thin film materials with much lower manufacturing costs that can be produced in bulk. Low cost production methods could lower the capital costs of photovoltaics, which can be comparatively expensive.<sup>[3]</sup> Therefore, the goal of this work is to create a process to produce p-type TMDs, allowing the future creation of a P-N homojunction of TMDs to form thin film solar cells that are scalable and practically competitive to silicon (in which over 95% of cells are made of).<sup>[2]</sup>

#### 1.2 2D Materials

After the discovery of graphene, an emergence of atomically flat two-dimensional (2D) materials such as transition metal dichalcogenides (TMDs), hexagonal boron nitride (h-BN), black phosphorous (BP), and transition metal carbides/nitrides (MXenes) have been sought out as potential candidates in sensors,<sup>[4-6]</sup> photodetectors,<sup>[7-8]</sup> transistors,<sup>[9-11]</sup> batteries,<sup>[12-14]</sup> and also in internet of things (IoT) devices.<sup>[4]</sup> This wide array of suitable applications owes to the unique combination of mechanical and electrical properties that may soon pave a road into

mainstream electronic applications as alternatives to conventional semiconductor materials (e.g. Si, GaAs, Ge, etc.). 2D semiconductors offer not only mono-to-few layer thicknesses, meaning low weight, flexibility, and the ability to fit into any application and on countless substrates, but also a high carrier mobility with large current on/off ratios.<sup>[15]</sup> Another benefit of being atomically thin is transparency. This coupled with ultra-high photo-responsivity, high light absorption, and efficient exciton production from photo-excitation seen in several 2D materials could make opto-electronics the first industry with widespread 2D usage alongside silicon.<sup>[4]</sup>

### 1.2.1 2D Devices Outlook

2D materials show potential as quick-response phototransistors, highly sensitivity photodetectors, self-powered solar cells, and as image sensors.<sup>[15-16]</sup> 2D materials have a competitive advantage over silicon (which holds most of the opto-electronics market) in high sensitivity and modulation frequency, but have struggled with low-frequency noise, large dark currents, and power consumption.<sup>[15]</sup> Thin film materials can be congruent with limiting the land usage of photovoltaics. The current solar panel farms are land intensive which adds to capital costs and also the operational cost from the leasing the land.<sup>[17]</sup> Adding solar cells to roofs is an indisputable method of harnessing solar power without the consequence of occupying more land area, and while the National Renewable Energy Laboratory (NREL) defines solar rooftop potential as the number of rooftops that can be retrofitted for solar power, only 26% of the surface area of roofs for residential buildings can be utilized for current bulk solar harvesters<sup>[18]</sup> where the remainder could be compatible with thin film photovoltaics.

### 1.2.2 Flexible/Stretchable Devices

2D materials could find its initial niche as dynamic devices that work in the field on

flexible substrates that conventional materials are too costly or cannot be used for. The demand for stretchable and conformal electronics is rapidly transforming the technology industry into the era of structural electronics. A primary cause is innovation in healthcare applications, medical treatments, and consumer products; which all require electronic devices to have intrinsic flexibility, optical properties, biocompatibility, and conformability to tissue surface.<sup>[19-20]</sup> For example, due to the necessity of photodetectors in daily operations, integration of photodetectors with flexible substrates allows a more widespread use of imaging, communications, manufacturing and transportation monitoring in applications previously inaccessible.<sup>[21]</sup> The demand for flexible electronics have allowed for the engineering of 2D TMD materials due to their exceptional mechanical, electrical, and optical properties.<sup>[9], [11], [19], [22-24]</sup>

### 1.2.3 Transition Metal Dichalcogenides

Transition metal dichalcogenides (TMDs) are a class of materials have been used in bulk form for over 50 years as solid lubricants and various applications,<sup>[25]</sup> but have only recently been used in their two-dimensional (2D) forms. These are layered compound semiconductors with strong-in-plane covalent bonds and weak Van der Waals forces out-of-plane.<sup>[26]</sup> Each layer contains a trigonal chalcogen atoms surrounded by metal atoms above and below and can form strain-free heterostructures due to van der Waals bonding at layer interfaces.<sup>[27]</sup> TMDs are sought out as potential candidates for electronic and optoelectronic devices such as batteries,<sup>[12-14]</sup> transistors<sup>[9-11]</sup>, sensors,<sup>[5-6]</sup> photodetectors<sup>[7-8], [16], [28]</sup>, and photovoltaics.<sup>[29]</sup>

### 1.2.4 MoS<sub>2</sub>

Molybdenum disulfide (MoS<sub>2</sub>) was first identified to form a 2H phase and discovered to the band gap shifted from indirect (1.3 eV) to direct (1.9 eV) at monolayer in 2010.<sup>[30]</sup> 2H-MoS<sub>2</sub>

features high electrochemical activity as a strong catalyst with outstanding chemical stability.<sup>[31]</sup> MoS<sub>2</sub> is also inexpensive and is used in batteries,<sup>[13],[31]</sup> hydrogen evolution reaction (HER) fuel cells<sup>[31]</sup> and chemical sensing.<sup>[32]</sup> A semiconductor mono- and bi-layer 2H-MoS<sub>2</sub> can reach high mobilities  $>500 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  <sup>[27]</sup> and is used in field effect transistors (FETs),<sup>[11]</sup> photodetectors<sup>[28]</sup> and photovoltaics.<sup>[33-34]</sup> Oxidation concerns arise for applications in ambient environments,<sup>[13]</sup> or at increased temperatures where m-MoO<sub>2</sub> and  $\alpha$ -MoO<sub>3</sub> are formed.<sup>[35]</sup>

### 1.2.5 WS<sub>2</sub>

Tungsten disulfide, WS<sub>2</sub>, is one of most widely used TMDs that exhibits an attractive combination of electrical and optical properties, such as in-plane carrier confinement and an ability to tune its band gap from an indirect band gap for bulk WS<sub>2</sub> (1.4eV) to a direct-bandgap when at a monolayer 2H-WS<sub>2</sub> (2.1eV).<sup>[36-38]</sup> These attributes as well as being abundant in nature and inexpensive<sup>[39-41]</sup> means that WS<sub>2</sub> is commonly employed in renewable energy applications such as in solar cells,<sup>[26], [37], [40], [42]</sup> in HER fuel cells<sup>[39], [43-45]</sup> and in rechargeable batteries.<sup>[12], [40], [42], [46]</sup> Tungsten disulfide shows promise in photovoltaic devices because of their tunable bandgap, high field mobility up to  $50 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and an on/off ratio above  $10^5$ .<sup>[8]</sup>

## 1.3 TMD Manufacturing and Scalability

TMD films are generally fabricated by a top-down approach with chemical and mechanical exfoliation, or by a top-up approach from gaseous precursors in metal organic chemical vapor deposition (MOCVD) or atomic layer deposition (ALD),<sup>[24], [41], [47]</sup> However, these techniques offer low throughput and are not scalable, have a limited control over the growth size, or require harmful precursors.<sup>[24], [41], [46-47]</sup> CVD and exfoliated processing cannot be incorporated into very large-scale integration (VLSI).<sup>[24], [32], [41], [47]</sup> The expensive cost and



difficulty of fabricating heterostructures limits the advancement of MoS<sub>2</sub> films by CVD or exfoliation.<sup>[48]</sup>

Physical vapor deposition (PVD) methods such as magnetron sputtering offers an alternative route to provide low toxicity, high throughput, and large area processing of TMD films with uniform thickness that are reproducible on a wide-array of substrates with good adhesion.<sup>[11], [22], [24], [49]</sup> Utilizing room temperature magnetron sputtering allows for the deposition of ultra-thin TMDs onto polymeric substrates and do not require a transfer step for semiconducting device manufacturing that can introduce defects and is incompatible with wafer scale processes.<sup>[22], [49]</sup>

### 1.3.1 Laser Annealing of TMDs

While sputtering at room temperature does increase the S:W ratio, it leads to poor crystallinity and sub-stoichiometry,<sup>[36]</sup> where films produced by room temperature sputtering result in amorphous structures that do not have transport properties suited for desirable electronic and optoelectronic devices such as a decrease in mobility and increased carrier scattering.<sup>[9], [11], [19], [22], [23]</sup> Through the use of laser annealing, the amorphous TMD films with initially short-range atomic structuring can undergo a phase transformation into the hexagonal 2H phase P63/mmc. In this configuration the MX<sub>2</sub> films (where M is a transition metal and X are chalcogen atoms) form in a hexagonally packed 6-fold in-plane coordination structure.<sup>[38]</sup>

A certain threshold of laser energy is required for inducing reordering of transition metal and chalcogens from amorphous into the 2H phase. This energy threshold can be met by the absorption of laser irradiation which increases the highly localized temperature of the films.<sup>[50]</sup> Therefore, this process is wavelength dependent, and also depends on the laser

fluence, power density, rep rate, and number of pulses.<sup>[50]</sup> Since the temperature is the main factor towards crystallization, the laser interaction with the film and substrate and the thermal properties of both can affect the crystallization by conducting heat to or from the film.<sup>[19], [50], [51]</sup>

Laser annealing with excimer lasers such as KrF (248 nm) change the photon/material interactions due to a wavelength shift to the near-ultraviolet (UV) range of the light spectra. KrF lasers are pulsed rather than continuous, and the pulse width additionally affects the crystallization kinetics by changing the heating dynamics following the pulse energy delivery over the pulse width time.<sup>[11]</sup>

Magnetron sputtering routes for fabrication of amorphous ultra-thin TMD precursors have been used as a precursor for highly crystalline hexagonal TMDs achieved by the subsequent laser annealing.<sup>[9], [11], [19], [22], [23]</sup> The coupling of room temperature magnetron sputtering allows for the integration of 2D semiconducting TMDs into flexible electronics in a transfer-free processing method.<sup>[22]</sup> Recently, this coupling of laser annealing with room temperature magnetron sputtering has been demonstrated to promote crystallization of TMDs on PDMS substrates.<sup>[9], [11], [19], [23]</sup> This two-step synthesis technique commonly utilizes excimer lasers with short wavelength and a short pulse duration for annealing amorphous precursors on temperature limited substrates<sup>[52]</sup> and has enabled electronic MoS<sub>2</sub> devices to directly grown on PDMS substrates.<sup>[11], [28]</sup> The electron mobility of the MoS<sub>2</sub> channel was suggested to be limited by oxidation due to the existence of sulfur vacancies.<sup>[11]</sup>

### 1.3.2 Chalcogen-Deficient Sputtered TMD Films

A drawback of PVD growth of TMDs, including magnetron sputtering is that this processing method often leads to sub-stoichiometric films due to the high vapor pressure of the

chalcogen atoms, where chalcogens (such as sulfur) have three orders of magnitude higher vapor pressure than refractory metals, and the non-stoichiometry of WS<sub>2</sub> is even worse than that of other TMDs due to a larger mass difference between the chalcogen and metal. [26], [49], [53], [54] Equal flux of TMD material is emitted from the sputtering target but a difference in mass causes sulfur to have a much lower mean free path, in addition sulfur can react with residual water in vacuum chamber, and less sulfur reaches the substrate, leading to sulfur deficient films. [26], [49] Increasing the deposition pressure, reducing growth temperature, and extending PVD growth for longer periods of time may partially compensate. [26], [36], [49], [54] However, the sticking coefficient of sulfur is less than that of the refractory metals, such as W, meaning that still sulfur will be inevitably lost on the substrates surface through desorption at a higher rate than W desorption rates and the resulting films S:W ratio will still be less than 2:1. [26], [49], [54] Using lower sputtering powers, deflecting energetic argon ion bombardment by additional external magnetic fields, [55] or setting a larger working distance between substrate and target produces less energetic bombardment of the sulfur atoms towards the substrate, resulting in a higher sulfur sticking factor. [26], [36], [49]

One result of PVD processing is that produced ultra-thin 2D TMD films are intrinsically n-type [44], [53] due to electron donating sulfur vacancies, V<sub>S</sub>, and the proposed defect equation of WS<sub>2</sub> from Urmilaben P. Rathod et al. is as follows,



where S<sub>2</sub> (g) is gaseous sulfur that is pumped away, W<sub>W</sub><sup>x</sup> are neutral tungsten sites, and e<sup>-</sup> are electrons. [54] As a result, changing sputtering parameters to adapt to all of the above methods that help to increase the S:W ratio will still result in a sub-stoichiometric film that is n-type if a

stoichiometric WS<sub>2</sub> target is used.<sup>[36]</sup>

#### 1.4 Chalcogen-Rich TMDs

Manufacturing both p-type and n-type films is inherently required by the electronics industry for creating P/N junctions and heterojunctions for countless electronic and optical semiconductor applications.<sup>[53]</sup> Seeing as stoichiometric TMDs are natively n-type<sup>[44], [53]</sup>, manufacturing p-type TMD films requires additional efforts. To create p-type semiconducting TMD films, ion implantation is typically used which requires complex equipment with potential safety hazards and limits the scalability of this technique. Thus, there is a need in PVD processing for making p-type TMD films with repeatable stoichiometry and in low-cost manner that conforms to long production runs and using closed low maintenance vacuum systems (e.g. production in-line systems with PVD chambers) that are typical of the semiconductor manufacturing process.<sup>[56]</sup> One method to achieve this is by adding other simultaneous chalcogen sources to magnetron sputtering in order to recoup the chalcogen loss, such as reactively sputtering with H<sub>2</sub>S gas<sup>[49]</sup> or adding a PLD source of sulfur flux.<sup>[56]</sup> These additionally have drawbacks, such as needing to maintain another source while changing two different deposition techniques in-situ<sup>[56]</sup>, and also H<sub>2</sub>S gas is toxic, corrosive, and flammable<sup>[57]</sup>

It has recently been reported that post-deposition annealing in sulfur precursors at an elevated temperature can be used to produce p-type TMD films.<sup>[36]</sup> The use of excess sulfur counteracts the effect of the sulfur vacancies and produce p-type films, by forming sulfur interstitial ions, S<sub>i</sub>, according to a proposed equation from Urmilaben P. Rathod et al.,



where h are holes and j = 1 or 2.<sup>[36]</sup> However, the gaseous precursors used are dangerous, and

the >400 °C annealing temperature preclude a significant number of substrates, such as polymers, and this extra step often requires a break in the vacuum chamber which limits scalability and in general is not compatible for high throughput fabrication.<sup>[49]</sup>

TMD films themselves can be produced chalcogen-rich rather than later introduced to more chalcogen content through annealing in a gaseous chalcogen overpressure. For example, both the W-S and Mo-S systems have the ability to form sulfur rich phases, where the phase diagrams for both systems can be found in Figures 1.1 and 1.2.<sup>[58-60].</sup>

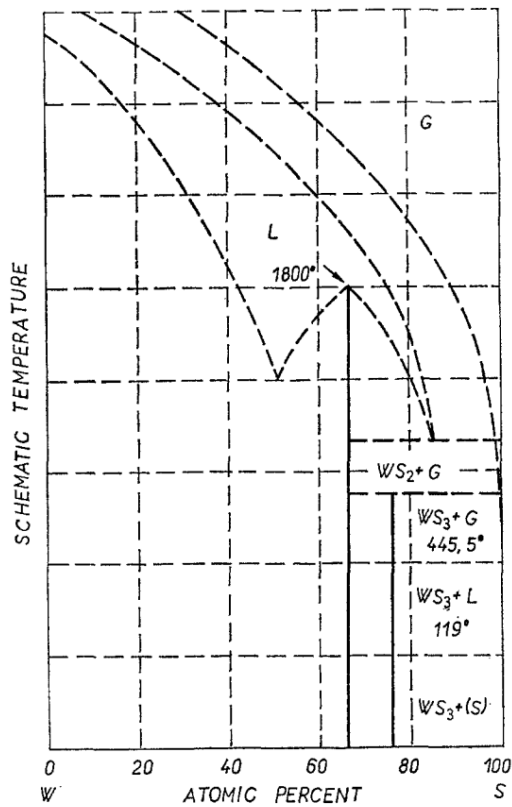
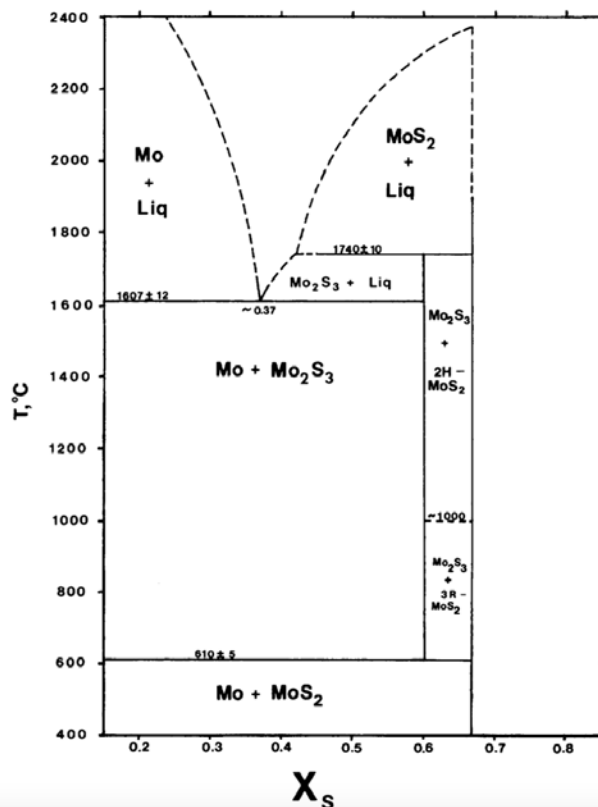


Figure 1.1: Phase diagram of the W-S system.<sup>[58]</sup>



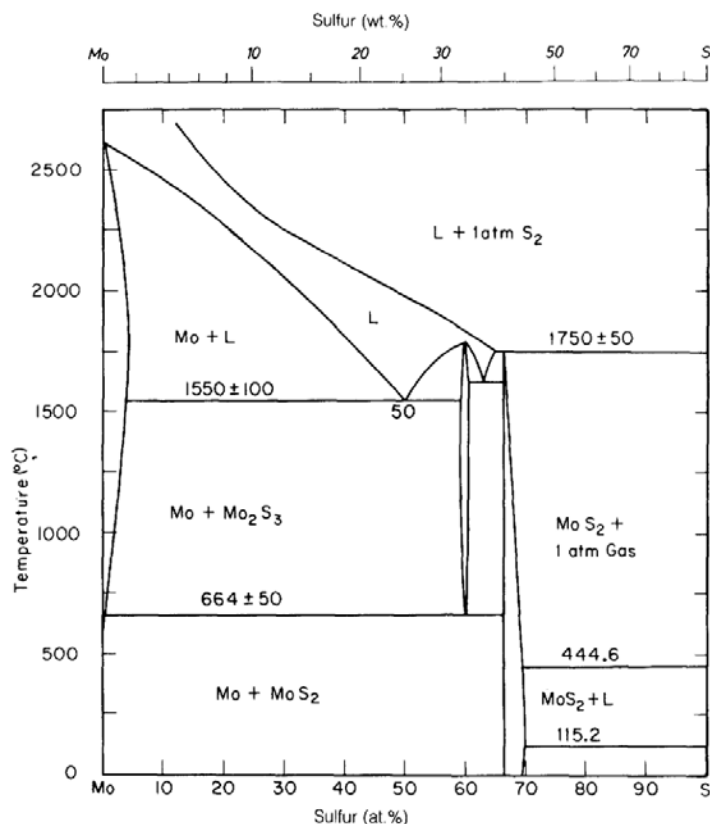
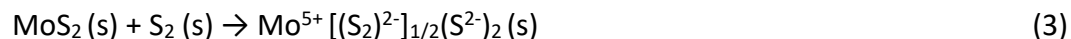


Figure 1.2: Phase diagrams of Mo-S system.[59-60]

WS<sub>3</sub>, and MoS<sub>3</sub>, and WSe<sub>3</sub> compounds have been researched since the 1970's<sup>[68]</sup> and are unusual as they have been experimentally synthesized, but only in the amorphous form.<sup>[61-</sup>

<sup>63]</sup> A proposed reaction for MoS<sub>3</sub> formation,



where the molybdenum atoms are interchangeably linked by the bridging sulfur and disulfide ion which is similar to the structure of crystalline NbS<sub>3</sub><sup>[64-68]</sup>. However, this structure and many others are still debated. It is widely held that MoS<sub>3</sub> forms either chain-like or cyclic models in either a 1D or 2D nanostructure,<sup>[66], [68]</sup> such as linear chains of that share edges and faces of prisms,<sup>[69-70]</sup> or trigonal building blocks.<sup>[68]</sup> However, recently density function theory (DFT) calculations ruled out strictly linear models due and if a chain-like structure did exist it would be

curved due to S<sub>2</sub> dimers in open-rings, rings, wave-like, or helical structures.<sup>[68]</sup>

#### 1.4.1 Amorphous Sulfur-Rich TMD Synthesis Techniques

MoS<sub>3</sub> and WS<sub>3</sub> are untapped resources that are waste product formed in the purification of MoS<sub>2</sub> and WS<sub>2</sub>.<sup>[71]</sup> Otherwise, amorphous sulfur-rich TMDs can be synthesized using conventional TMD fabrication techniques<sup>[41], [45]</sup> but are more commonly produced via electrochemical and electrodeposition techniques with acids,<sup>[63], [66], [69], [72-76]</sup> or the thermal decomposition of ammonium tetrathiotungstate or tetrathiomolybdate.<sup>[46], [57], [66-67], [69], [77-78]</sup> These approaches require a large quantity of precursors such as metal organics and H<sub>2</sub>S or reagents with H<sub>2</sub>S intermediates are both environmentally damaging<sup>[31], [41]</sup> and dangerous to use.<sup>[57]</sup> As such a PVD based process is necessary, where solid earth abundant powder is used to produce the sources.

#### 1.4.2 Amorphous Sulfur-Rich TMD Applications

Amorphous MoS<sub>3</sub> and WS<sub>3</sub> are used for electrocatalytic activities where their amorphous structure exposes more active sites than crystalline samples. Electrochemical applications include hydrogen evolution reaction (HER) and N<sub>2</sub> fuel cells,<sup>[45], [57], [69], [73-74], [79-80], [85]</sup> electrodes in batteries,<sup>[61], [63], [66-67], [75], [80-86]</sup> catalytic tribological coatings,<sup>[62], [76]</sup> constituents in organic photovoltaic cells and gas capture systems.<sup>[72]</sup> They are also often used as precursors or intermediates to form oxides or different compounds.<sup>[68], [76-77]</sup>

#### 1.4.3 Chalcogen-Rich Sputtering Targets

A way to directly integrate the excess-sulfur required to form p-type TMDs, which is inexpensive and does not necessitate another manufacturing step nor the use of a synchronous

sulfur source, is by making the sputtering target itself a sulfur-rich source by making it overstoichiometric. Sulfur is environmentally friendly, inexpensive, and nontoxic<sup>[87-89]</sup> and serves the added benefit of acting as a binder for TMD materials.<sup>[53]</sup> Starting from this composition with an abundance of sulfur lowers the margin of error from the higher vapor pressure and substrate desorption, and sulfur loss still results in an overstoichiometric TMD film rather than a substoichiometric film produced by a stoichiometric target.

These targets are advantageous for sputtering p-type films and have the potential to be tailored for to the individual need of the sputtering user for specific compounds, stoichiometry, or doping concentration to tune n- or p-type conductivity and electrical behavior,<sup>[53]</sup> where that level of control has been shown for electrodepositing TMDs by varying the amount of sulfur precursor.<sup>[74]</sup>

TMD sputtering targets are commonly made by spark plasma sintering,<sup>[90-93]</sup> where metal sulfides and selenides are made to great success for use sputtering thermoelectric material such as SnSe,<sup>[94]</sup> SnS<sub>2</sub>,<sup>[95]</sup> VSe<sub>2</sub>,<sup>[96]</sup> and Sb<sub>2</sub>Se<sub>3</sub>.<sup>[29]</sup> Sintered products can be fabricated at a much lower temperature and higher purities and finer microstructures which can provide superior sputtering performances.<sup>[97]</sup> The use of short heating times and using a graphite die was found to suppress sulfur loss while preventing the oxidation of metal sulfides during heating.<sup>[98]</sup> Chalcogen-rich sputtering have also been prepared by spark plasma sintering (SPS), such as NiSe<sub>2</sub> with extra selenium powder and NiS<sub>2</sub> with excess-sulfur powder placed into a die for 10 min at 600°C.<sup>[99]</sup> Still little is known in terms of such targets behavior during sputtering to assess stability of the target performance over extended runs suitable for TMD film growth in device manufacturing.



## 1.5 Knowledge Gaps

The literature review above has identified several knowledge gaps in the processing of excess-chalcogen films and sputtering targets needed for their production.

### 1.5.1 Chalcogen-Rich Target Manufacturing

At the time of writing, only two chalcogen-rich TMD sputtering targets have previously been reported in literature, all by SPS instead of traditional manufacturing. Most amorphous  $\text{MoS}_3$  and  $\text{WS}_3$  sources are gaseous or liquid, so it is not known how chalcogen-rich powders would differ from traditional solid-state sintering or liquid phase sintering.

### 1.5.2 Chalcogen-Rich Target Sputtering

Little is known of the sputtering stability, behavior, and performance of chalcogen-rich targets, especially for those not manufactured via SPS. Investigation is lacking into how electrical biasing and plasma interactions effect the targets and subsequently the resulting sputtered films.

### 1.5.3 Sputtering and Laser Annealing Sulfur-Rich Precursors

Depositing films from excess-chalcogen targets has not been reported as of the time of writing. It is unknown if this process can yield p-type semiconducting TMD films. Additionally, it is not clear if the deposition process can be successful at room temperature. For the amorphous precursors subsequent laser annealing, the crystallization threshold for amorphous sulfur-rich films also needs to be determined. Further, it is not known if the crystallization threshold of the amorphous sulfur-rich films dependent on the thermal properties of the substrate used similarly as to stoichiometric films.

## 1.6 Scientific Hypothesis and Research Objectives

*Hypothesis: P-type semiconducting films can be produced from laser annealing of chalcogen-rich precursors deposited from excess-chalcogen targets with high S:W ratio*

The following objectives of this thesis are guided the testing of aforementioned hypothesis:

1. Chalcogen-rich target manufacturing
  - a. Compare the targets made by hot pressing and vacuum sintering
  - b. Study the surface morphology of the sintered targets
2. Chalcogen-rich target sputtering
  - a. Determine the mechanism for the change in S:W ratio and oxidation of a sputtering target over its use and how excess sulfur effects it
  - b. Confirm if this mechanism effects stoichiometric targets
3. Sputtering and laser annealing sulfur-rich precursors
  - a. Deposit a sulfur-rich film through the sputtering of an excess-sulfur target
  - b. Laser anneal the sulfur-rich MoS<sub>2</sub> precursors into p-type 2H-MoS<sub>2</sub>
  - c. Crystallize 2H-MoS<sub>2</sub> onto various substrates

## CHAPTER 2

### METHODS

#### 2.1 Sputtering Target Preparations

##### 2.1.1 Materials

Chalcogen-excess (chalcogen to transition metal ratio  $> 5$ ) powder was prepared from  $\text{MoS}_2$  and sulfur,  $\text{WS}_2$  and sulfur, and  $\text{WSe}_2$  and selenium powder (sourced from Aldrich Chemistry, 99% and 99.98% purity, respectively) which was ground into a homogenous mixture in a mortar and pestle under a fume hood or a glovebox filled with a  $\text{N}_2$  overpressure and either ball-milled or acoustically mixed with steel medium for 24 hours and five minutes, respectively. A Plasmaterials stoichiometric  $\text{WS}_2$  target of 99% purity was additionally sputtered for comparison purposes.

##### 2.1.2 Hotpressing

The powder was poured into a 1.3-inch diameter steel packing die which was used to prepare green pellets and to sinter sputtering targets. Targets were prepared by hotpressing with a Carver hydraulic press at  $75^\circ\text{C}$  at 15,000 lbs for three days.

##### 2.1.3 Liquid Phase Sintering

The powder mixed in a glovebox and with a labRAM acoustic mixer was pressed into a green pellet using the 1.3-inch diameter die and liquid phase sintered in a vacuum furnace. The sintering either used the packing die as a vessel or the green pellet was ejected and placed onto an alumina boat. Titanium foam was placed into a tungsten crucible which was placed near the target and used as a getter for oxygen.

The tube furnace was allowed to heat up to 300°C and pump down with a roughing pump for 24 hours while 5 sccm of argon gas was run into the furnace. After that 24 hour period the target was liquid phase sintered in the vacuum furnace at 300°C for 24 hrs.

## 2.2 TMD Thin Film Synthesis

### 2.2.1 Magnetron Sputtering

A box chamber with two magnetron sputtering guns was used to produce the amorphous chalcogen-rich precursors.



**Figure 2.1: Sputtering box chamber (left) with magnetron sputtering in use (right).**

The chamber is loaded with targets and substrates (such as polymers, silicon, glass, etc.) The door to the chamber was then closed, and an attached roughing pump was used to pump the chamber down to 1 mTorr before the attached turbopump was activated. Depositions began when the turbo pumped vacuum chamber reached  $10^{-7}$  Torr base pressure or lower. The sputtering targets on the magnetron guns both had pulse direct current (DC) and radiofrequency (RF) power supplies, where an RF power supply was required to start a plasma

for the chalcogen-rich targets. The sputtering targets were presputtered for typically three minutes or longer behind a shutter while water-cooled through the entire process.

### 2.2.2 WS<sub>x</sub> and MoS<sub>x</sub> Film Growth

For depositing excess-sulfur precursors, such as WS<sub>x</sub> and MoS<sub>x</sub>, a sputtering power density of 18.18 W/cm<sup>2</sup> was used at room temperature. Argon gas was introduced at a 25 sccm flowrate with a working pressure to start the plasma which was then reduced to either 10 or 20 mTorr. The samples were at a working distance of 2.5 cm and were on a stage that rotated to allow a higher throughput of sputtered precursor.

### 2.2.3 BON Film Growth

A plasmaterials boron nitride (BN) target of 99.5% purity was used to deposit the amorphous boron-oxynitride (BON) which was used as a dielectric and encapsulant for the TMDs. A sputtering power density of 26.26 W/cm<sup>2</sup> was used at room temperature. Nitrogen gas was introduced at a 20 sccm flowrate with a working pressure of 85 mTorr which was used to start the plasma and reduced to either 5 or 15 mTorr.

## 2.3 Laser Annealing of Thin Films

Following the TMD amorphous precursor film growth, a 248 nm Lambda Physik Novatube KrF laser with an unfocused beam footprint of 1 x 3 cm was used for laser annealing to crystallize as-deposited MoS<sub>x</sub> to 2H-MoS<sub>2</sub> thin films at room temperature and atmospheric pressure. The films were annealed at laser beam energies between 100-450mJ at 1 Hz pulse frequency for 1, 5, and 10 pulses. The maximum fluence of the system is 450 mJ directly after a gas refill.

## 2.4 Material Characterization Methods

### 2.4.1 Profilometry

The thickness of deposited films were determined by a Veeco Dektak 150 stylus profilometer, where a step was created on the film by way of a glass cover slip or heat resistant on the substrate for depositing the film. The stylus of the profilometer runs along the substrate and is deflected by the step, which is used to determine the thickness and growth rate of a sample.

### 2.4.2 Scanning Electron Microscopy

Both films and sputtering targets were characterized with a Hitachi TM300 scanning electron microscope (SEM) with energy dispersive spectroscopy (EDX) capabilities. SEM images were taken in backscattered electron (BSE) modes for information on chemical distribution of samples and secondary electron (SE) mode for surface morphological information. EDX maps were created by collecting W  $M\alpha_1$ , Mo  $L\alpha_1$ , Se  $L\alpha$ , and S  $K\alpha_1$ , and O  $K\alpha_1$  characteristic X-rays.

### 2.4.3 Raman Spectroscopy

A Renishaw Virsa Raman analyzer with a 532 nm laser was used to confirm the amorphous nature of the films deposited at room temperature and determine the crystalline nature of the films after laser annealing. Information on the samples structure and identification of different species can be determined by characteristic vibrational modes from Stokes shifts. The system used for this work was not able to detect low-Stokes to anti-Stokes photon shifts due to a noise filter on the CCD detector. Laser powers less than 10% were used in order to prevent unintended damage or changes in crystallinity. A silicon wafer was used to calibrate the system between each use.

The relationship between the two characteristic 2H-WS<sub>2</sub> vibrational modes E<sup>1</sup><sub>2g</sub>(Γ) (in-plane vibration) and A<sub>1g</sub>(Γ) (out-of-plane vibration) have been reported extensively.<sup>[24], [37-38]</sup> The broadening of the E' line is generally recognized to be caused by overlap from a double 2LA(M) process, where the LA(M) motion is in-plane collective lattice movement.<sup>[37], [100]</sup> These peaks must be separated via deconvolution in order to assign both peaks within the E' line, and even the assignment still could be arbitrary. Yet, the E<sup>1</sup><sub>2g</sub>(Γ) and 2LA(M) modes seem to be used synonymously in papers that do not deconvolute their peaks within the E' line. For example, Qiao et al. report their E<sup>1</sup><sub>2g</sub>(Γ) peaks between 348-352 cm<sup>-1</sup> while Berkdemir reports peaks within this same range as the 2LA(M) mode while reporting E<sup>1</sup><sub>2g</sub>(Γ) from 354-355 cm<sup>-1</sup> for all samples and thicknesses.<sup>[37-38]</sup> Additionally, either of the two vibrational modes could be of higher intensity, as 2LA(M) mode has been reported as being roughly twice the relative intensity as the A<sub>1g</sub>(Γ) mode due to a double resonant process.<sup>[37-38]</sup> These inconsistencies make the deconvolution and assignment of the overlapped vibrational modes within the E' line dubious, which is why deconvolution of the peaks for this work was avoided and assignment was based on peak location alone for the most intense point within the E' line. Additionally, using peak intensity ratios should be approached with caution as either E<sup>1</sup><sub>2g</sub>(Γ) or 2LAM can be more intense and the overall position of the E' line can shift due to various factors (thickness, stress/strain, etc.) and it is unclear if peak intensity ratios of E<sup>1</sup><sub>2g</sub>(Γ):A<sub>1g</sub>(Γ) and 2LAM:A<sub>1g</sub>(Γ) are interchangeable for correlating to film properties.

#### 2.4.4 X-Ray Photoelectron Spectroscopy

Chemical composition of the MoS<sub>x</sub> films was analyzed with a PHI 5000 Versaprobe

Scanning X-ray photoelectron spectroscopy (XPS) with monochromatic 1,486.6 eV Al K $\alpha$  radiation. High-resolution Mo 3d, S 2p, O 1s, and C 1s XPS spectra were collected over the binding energy range of 0 to 1100 eV. Peak positions were calibrated for all scans using the C 1s peak with a binding energy of 284.80 eV. The Gaussian-Lorentzian function with a 30% Lorentz contribution, GL (30), was used to deconvolute the high-resolution peaks with a Shirley or linear background subtraction.

#### 2.4.5 Hall-Effect Measurements

An Ecopia HMS5000 Hall measurement system with the Van der Pauw contact configuration was used for electrical measurements. Indium (In) metal (workfunction 4.1 eV) was used for contacts as it readily forms ohmic contacts. Electrically insulating substrates are required for use. Semiconducting properties such as carrier type, carrier density, and mobility were recorded. The amorphous TMDs were found to be too resistive for measurements and crystallization was required before analysis

#### 2.4.6 X-Ray Diffraction

The crystal structure of the targets was investigated using a Rigaku Ultima III X-ray diffractometer at a scan speed of 3 deg/min with 40kV Cu K $\alpha$  incident beam in a coupled 2theta/omega scan axis. The X-ray diffraction (XRD) data was analyzed using JADE software by selecting the diffraction peaks and calculating interatomic spacing, full width at half maximum (FWHM), and crystallite size.



## CHAPTER 3

### RESULTS AND DISCUSSION

#### 3.1 Manufactured Chalcogen-Rich Targets for Magnetron Sputtering of TMD Films

Excess-chalcogen  $WS_{2+x}$ ,  $MoS_{2+x}$ , and  $WSe_{2+x}$  sputtering targets were manufactured with various manufacturing techniques described in Section 2.1 and were compared based on resulting target morphology and chemical composition.

##### 3.1.1 $WS_{2+x}$ Targets

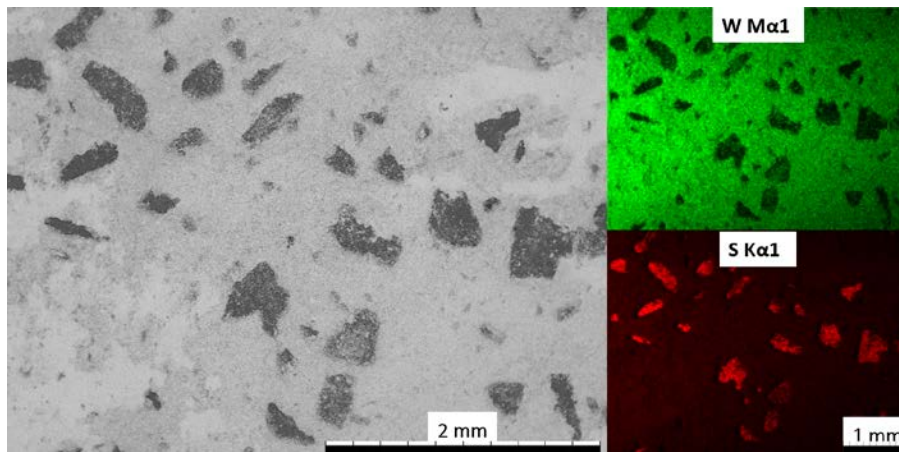
A  $WS_{2.7}$  target was prepared from ball-milling and hotpressing as confirmed by EDX, but had a large amount of oxygen inclusions, shown in Table 3.1, due to both the 24 hours of ball milling and the three days of sintering both being done in an ambient environment.

**Table 3.1: EDX compositional data of  $WS_{2.7}$  target made from ball milling and hotpressing.**

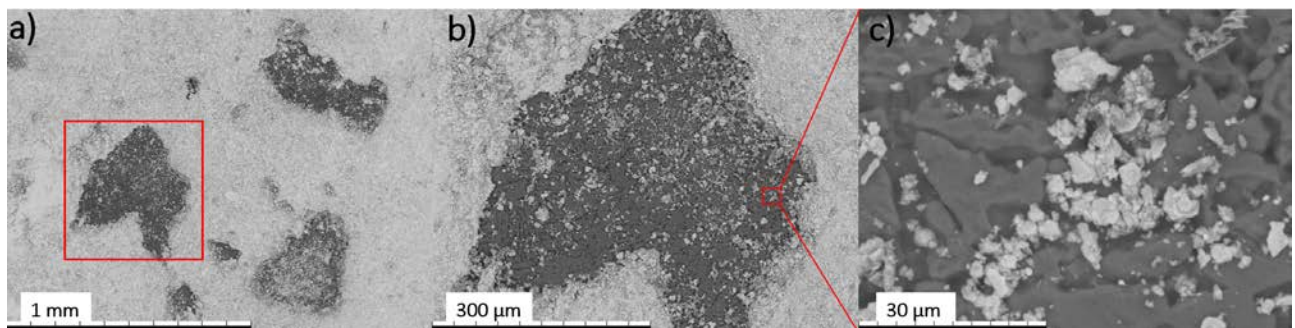
Element	Atomic %
S	60.17
W	23.2
O	16.63
Total	100

The  $WS_{2.7}$  powder was likely supersaturated with sulfur, which precipitated out into a separate sulfur phase as shown in EDX mapping in Figure 3.1, and a pattern resembling a spinodal phase can be seen on the unspattered  $WS_{2.7}$  target in Figure 3.2.

The decomposition of the unspattered  $WS_{2.7}$  target is likely due to the instability of the  $WS_3$  compound, and could be indicative of the  $WS_3 + S$  phase.<sup>[58]</sup> Tan et al. also reports a sample of similar composition,  $WS_{2.64}$ , that was initially  $WS_3$  and underwent a partial conversion to  $WS_2$ , with a proposed chain-like structure of  $S^{2-}$  and  $S_2^{2-}$  ligands.<sup>[41]</sup>

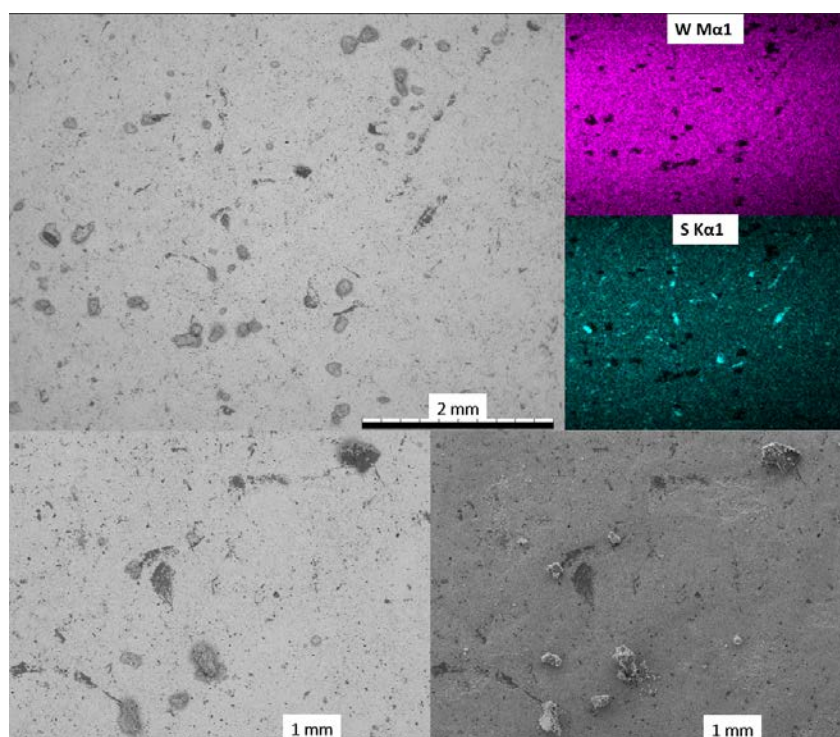


**Figure 3.1: SEM image and EDX scans of unspattered  $WS_{2.7}$  target that was manufactured from ball milling and hotpressing.**



**Figure 3.2: SEM images of unspattered  $WS_{2.7}$  target, a) BSE x100, b) BSE x300, c) BSE x2500 magnification.**

In an effort to decrease the oxidation of the target, the target powder was mixed in a glovebox with a nitrogen overpressure, then the powder was placed into an acoustic mixer for 5 minutes, and finally hotpressed. This process significantly reduced the sulfur precipitates in the target and increased the homogeneity as seen in Figure 3.3, which is likely due to a more thoroughly mixed powder.



**Figure 3.3: SEM images and EDX scans of unspattered  $WS_{2.4}$  target that was manufactured from a combo of glovebox/acoustic powder mixing and hotpressing.**

However, the porosity of the target increased. The target produced by the glovebox, acoustic mixing, and hotpressing process had a slightly lower S:W ratio of 2.4:1 and had an increase of oxygen, as found in Table 3.2. This could similarly be a result of a more thorough mixing of the mix as the acoustic mixing is done in an ambient atmosphere and seems to introduce more oxygen in 5 minutes than ball milling does in 24 hours.

**Table 3.2: EDX compositional data of WS<sub>2.4</sub> target made from ball milling and hotpressing.**

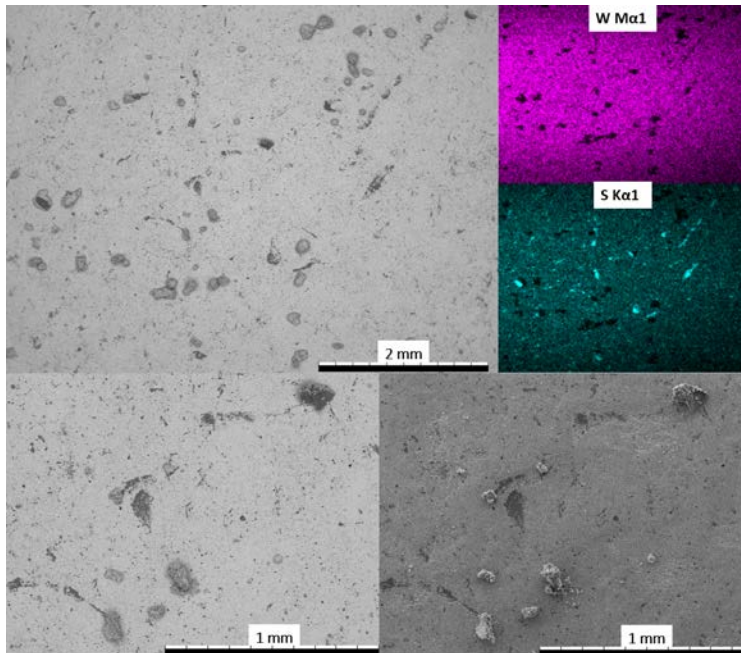
Element	Atomic %
O	18.2
S	56.7
W	25
Total	100

To find a target processing method that does lower the oxygen liquid-phase sintering was utilized in a vacuum chamber. Resultingly a WS<sub>2.3</sub> target was manufactured, and a marked reduction in oxygen occurred; less than half of that for the WS<sub>2.4</sub> and WS<sub>2.7</sub> hotpressed targets, as shown in Table 3.3.

**Table 3.3: EDX compositional data of WS<sub>2.3</sub> target made from liquid phase sintering.**

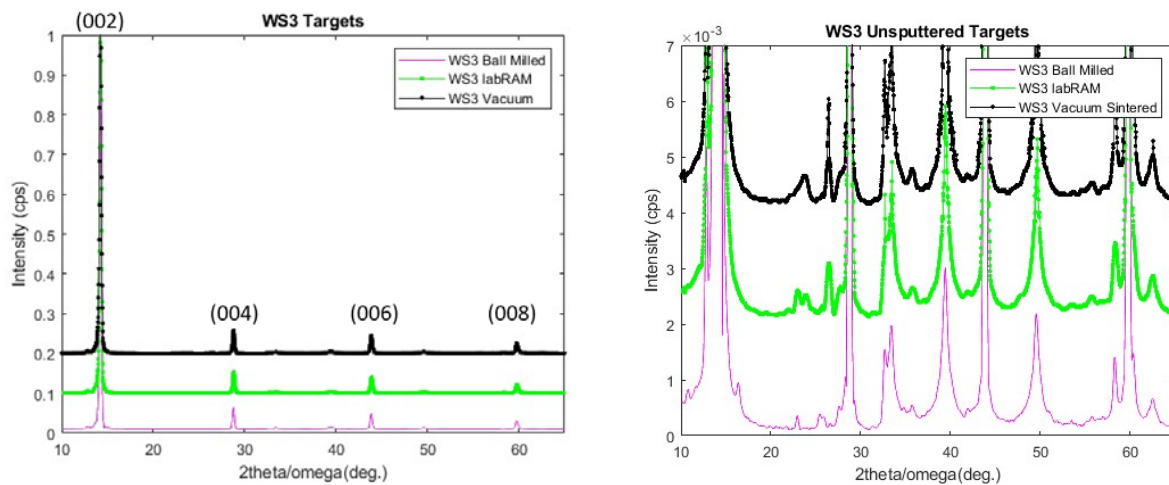
Element	Atomic %
S	64.71
W	28.43
O	6.86
Total	100

The targets are more homogenous than the WS<sub>2.4</sub> hotpressed target made from acoustic mixing of the target powder, with no separate sulfur phases formed as shown in the EDX mapping in Figure 3.4. However, a noticeable increase in porosity occurred likely due to the lack of pressure on the target during sintering.



**Figure 3.4:** SEM images and EDX scans of unspuntered  $WS_{2.3}$  target which was manufactured from liquid phase sintering in a vacuum furnace.

To additionally compare the manufacturing methods of the three aforementioned  $WS_{2+}$  targets, the crystallinity of the of targets were investigated with XRD where the results are presented in Figure 3.5.



**Figure 3.5:** Diffraction spectra from coupled  $2\theta/\omega$  XRD scans of the manufactured  $WS_{2+}$  sputtering targets.

All three targets are highly crystalline and all displayed a dominant (002) hkl peak of

hexagonal  $WS_2$  phase, and shared all bulk poly-crystalline diffraction peaks with the original  $WS_2$  powder and other XRD patterns from literature.<sup>[39], [44]</sup> All targets were highly textured in the (002), (004), (006), and (008) orientations. No discernable difference can be found from the high-resolution spectra in Figure 3.5, meaning the found porosity and morphology differences had no significant effect on the targets crystallinity or texture.

### 3.1.2 $WSe_{2+}$ Targets

A  $WSe_{4.2}$  target was manufactured by ball milling and hotpressing which yielded the highest chalcogen:transition metal ratio among targets investigated in this work, much higher than that of the sulfur based targets. Additionally, it had a fairly low relative percentage of oxygen as shown in Table 3.4.

**Table 3.4: EDX compositional data of  $WSe_{2+}$  target made by hotpressing.**

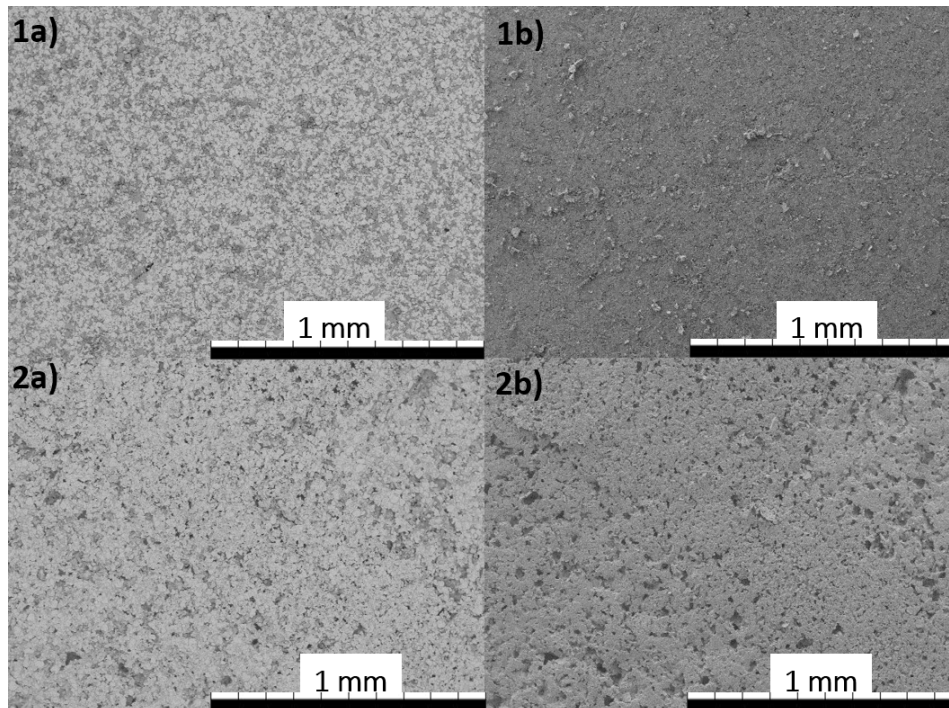
Element	Atomic %
Se	72.42
W	17.31
S	2.55
O	7.72
Total	100

Liquid phase sintering was attempted to increase the Se:W ratio and reduce the oxygen content further, but it did not accomplish either, and actually drastically reduced the Se:W ratio while increasing the oxygen content drastically, according to EDX results in Table 3.5.

**Table 3.5: EDX compositional data of  $WSe_{2+}$  target made from liquid phase sintering.**

Element	Atomic %
Se	27
W	20.3
O	52.7
Total	100

The chalcogen:transition metal ratio of the  $WSe_{1.3}$  target was the lowest of all targets produced in this work. Selenium seemed to have melted from the target while resting on the alumina boat during sintering and left residue on the boat. The loss of selenium was replaced nearly 1:1 with oxygen. The morphology of the targets was compared in Figure 3.6, where the liquid phase sintered target was more homogenous than the hotpressed target, while being much more porous due to the loss of the selenium.



**Figure 3.6: SEM images of unspattered  $WS_{4.2}$  target produced by hotpressing (1a-b), and the unspattered  $WS_{1.3}$  target produced from liquid phase sintering (2a-b).**

### 3.1.3 $MoS_{2+}$ Targets

A  $MoS_{2+}$  target was produced from ball milling and hotpressing, resulting in a S:Mo ratio of 2.09 but a considerable amount of oxygen was also present according to EDX results in Table 3.6. The presence of tungsten in the target is due to reuse of a steel packing dye, mortar and pestle, and mixing containers.

**Table 3.6: EDX compositional data of the unspattered MoS<sub>2+</sub> target.**

Element	Atomic %
S	51.04
Mo	24.47
W	1.59
O	22.9
Total	100

Following sputtering the target for 33 minutes the target has a new S:Mo ratio of 1.88:1 and the oxygen content was reduced, according to EDX scan results in Table 3.7.

**Table 3.7: EDX compositional data of the MoS<sub>2+</sub> target after 33 mins of sputtering.**

Element	Atomic %
S	54.81
Mo	29.1
W	1.69
O	14.4
Total	100

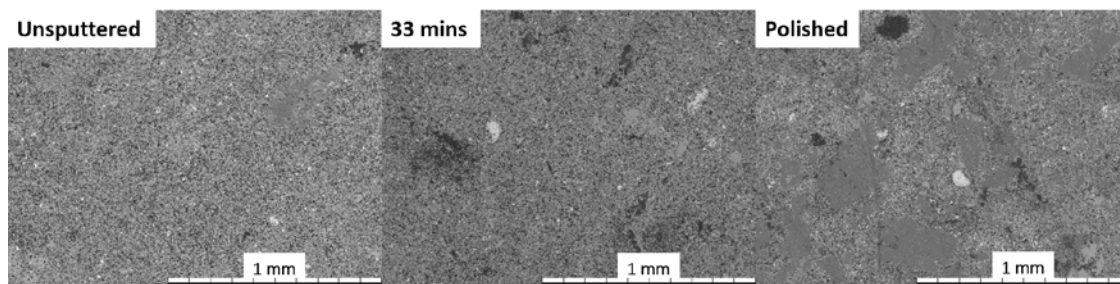
The MoS<sub>2+</sub> target was then polished and experienced an increase in S:Mo ratio to 3.61:1 and another gradual decrease in oxygen content according to Table 3.8.

**Table 3.8: EDX compositional data of the MoS<sub>2+</sub> target after 33 mins of sputtering.**

Element	Atomic %
S	68.28
Mo	18.91
W	1.89
O	10.92
Total	100

The morphology change as a function of sputtering time was investigated via SEM images which are show in Figure 3.7. An explanation as to why the oxygen content trends down after sputtering use and polishing is there was likely an oxide on the surface of the initial

unspattered target that formed during sintering, which is removed by sputtering and polishing. As a result of this removal of the oxide, the S:Mo ratio of 3.6:1 of the bulk target volume is measured after polishing the surface.



**Figure 3.7: SEM images of unspattered MoS<sub>3.6</sub> sputtering target after sputtering and polishing.**

### 3.2 W-S Based Target Sputtering

The unspattered WS<sub>2.7</sub> target that was produced by ball-milling and sintering was characterized after the total sputtering time reached three minutes, 36 minutes, 96 minutes, and five hours. A pulse DC power supply was not sufficient to strike a plasma on the WS<sub>2.7</sub> target, and, hence, a RF power supply was required to start the plasma since sulfur is electrically insulating.<sup>[102]</sup>

#### 3.2.1 Chemical Composition and Morphology

A typical erosion groove produced during planar magnetron sputtering from the non-uniform plasma distribution was formed on the commercial stoichiometric target after a total sputtering time of 10 hours with a 1mm width as shown in Figures 3.8 and 3.9. This is typical overall behavior well known to sputtering community practitioners.

In contrast, the overstoichiometric WS<sub>2.7</sub> target did not exhibit such erosion groove formation even after a total sputtering time of 10 hours occurred. Despite the absence of the sputtering groove on the surface of the sulfur-rich target, it had shown a clear evolution of the



composition over the sputtering time. Figure 3.10 shows the tracking of chemical composition of the  $WS_{2.7}$  target over the extended sputtering time investigated via EDX mapping of target surface at random locations and averaged for the target's surface.

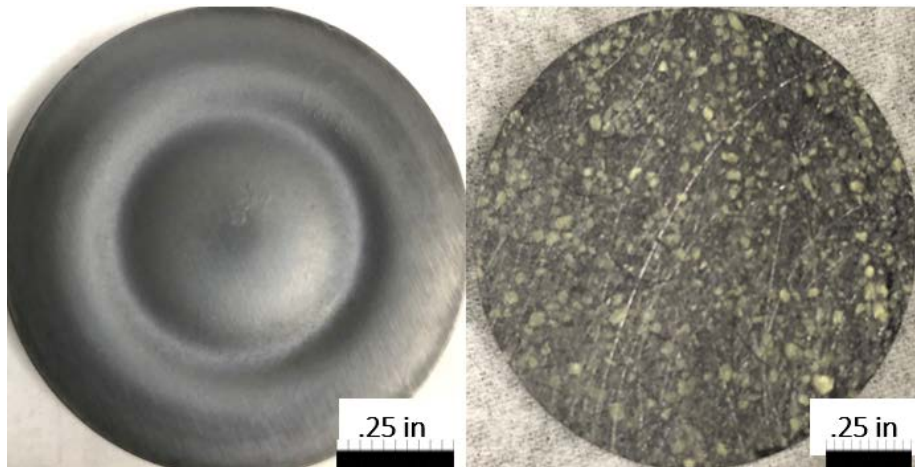


Figure 3.8: Picture of erosion groove that forms on sputtering targets as a result of magnetron sputtering vs the lack of erosion groove of sulfur-rich target.

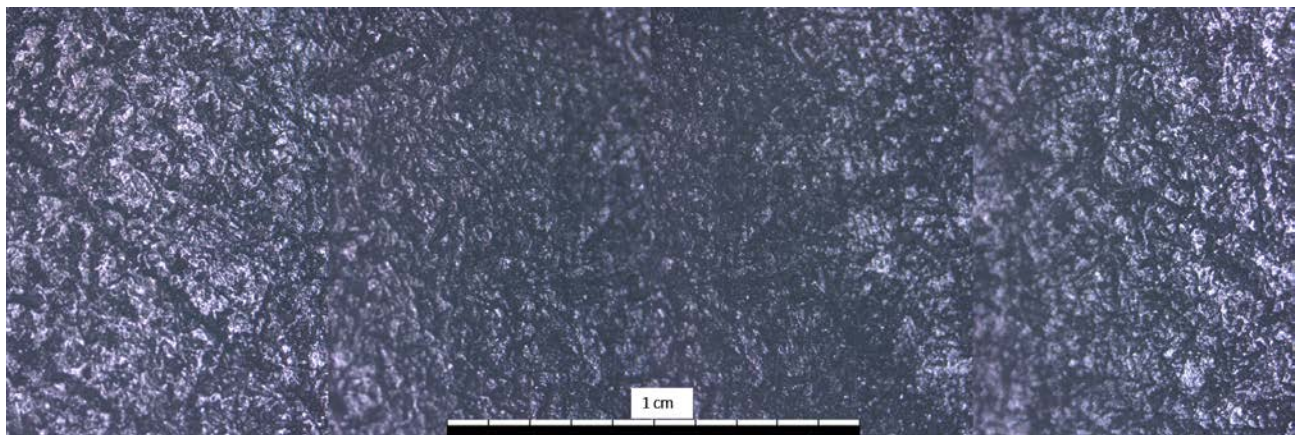


Figure 3.9: Optical image of the erosion groove of the sputtered commercial  $WS_2$  target.

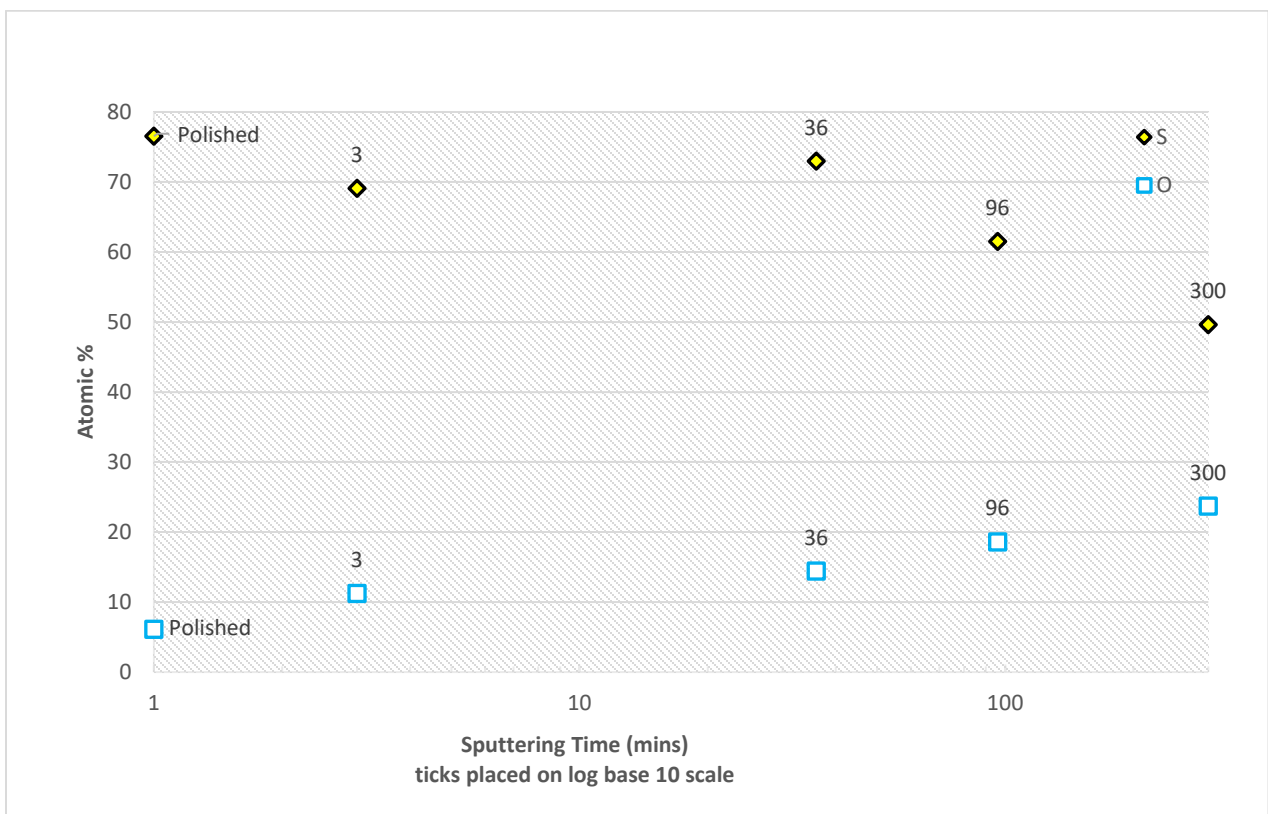
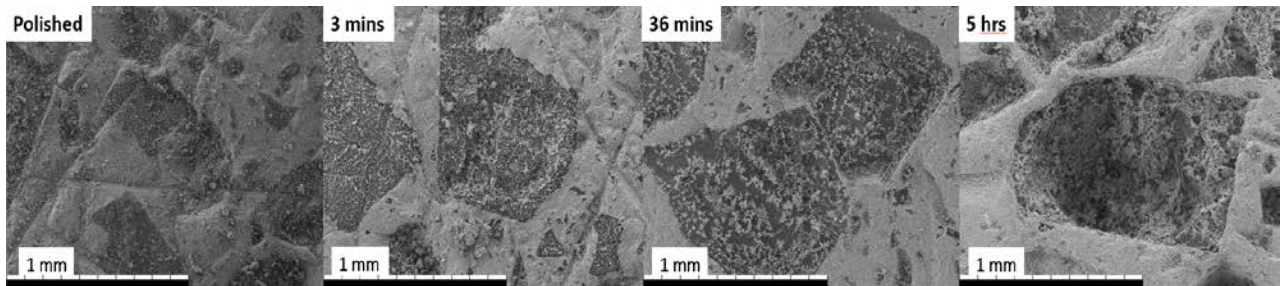
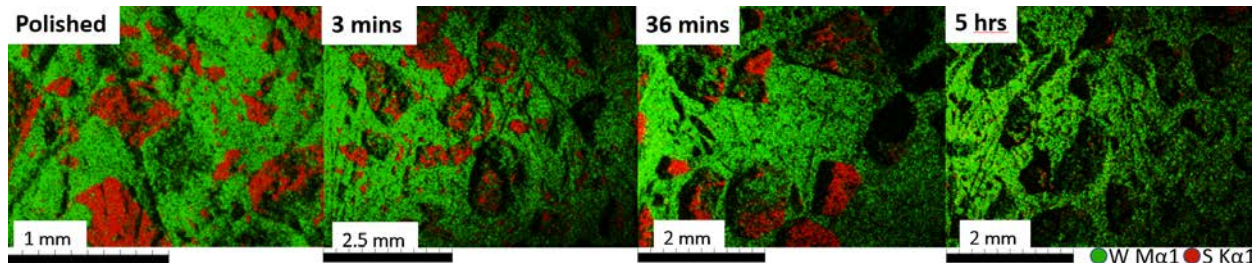


Figure 3.10: Logarithmic plot of sulfur and oxygen content from EDX spectra of the  $WS_{2.7}$  target as a function of sputtering time.

Following sputtering use, rather than a circular region on the surface of the target being eroded as a result of the plasma, which was the case for stoichiometric target, the sulfur-rich target had an absence of such sputtering circular groove and exhibited a surface randomized selective sputtering of sulfur phase regions due to the high vapor pressure of unbonded sulfur. This resulted in random and non-uniform sized depressions appearing on the target surface, which also deepen over the sputtering time, as depicted in Figure 3.11. These regions become sulfur depleted as confirmed with EDX mapping in Figure 3.12.



**Figure 3.11: SEM images of the WS<sub>2.7</sub> target after initial polishing, 3 minutes, 36 minutes, and 5 hours of sputtering use.**

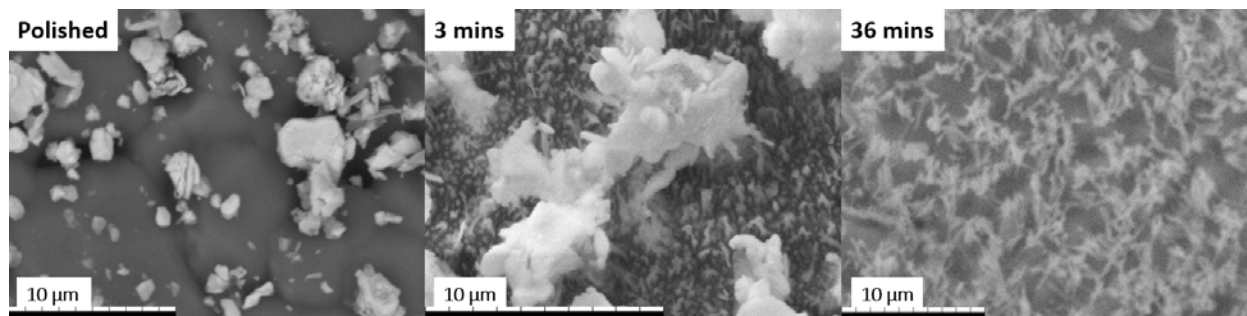


**Figure 3.12: EDX maps of WS<sub>2.7</sub> target sulfur-rich region's progression after sputtering use.**

The amount and density of the regions with sulfur phase selective sputtering and surface depressions was clearly detectable already after three minutes of sputtering. This could be caused from both biasing the target itself and the heat generated from the plasma at the targets surface which is much higher than the 75°C during sintering. It is then plausible that the lack of erosion groove on the WS<sub>2.7</sub> target surface can be explained by either the over-

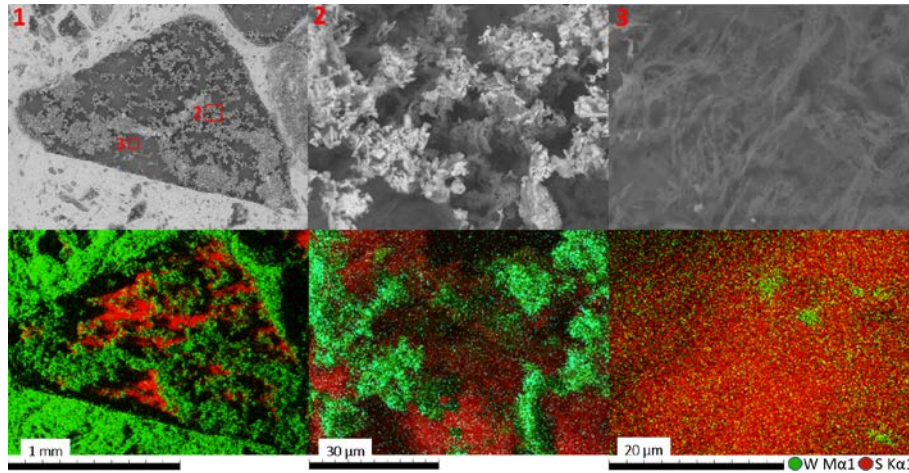
stoichiometry itself or the high density of the sulfur phase segregates. Alternatively, the selective sputtering of the sulfur phase segregates could disrupt the typical for unbalanced magnetron ionization concentration caused by the high electron density following the circular magnetic field.<sup>[103]</sup> The sulfur high local pressure originating by randomized vaporization from the target surface segregated phases could randomize the gas ionization and lead to a more macroscopically uniform plasma distribution across the surface of the target. The localization of erosion at the sulfur-rich sites also led to forming metallic tungsten dendrites after first three minutes of sputtering, which have a higher electrical conductivity and hence the regions of electrical field concentrations from the imposed RF bias voltage, which do not follow the magnetic field pattern. A combination of these phenomena could be taking place and was suggested to explain the absence of the erosion groove on  $WS_{2.7}$  target surface, as compared to stoichiometric target. We also note that a similar absence was noticed in our experiences with sulfur-rich  $MoS_{3.6}$  targets.

As the sulfur phase is selectively sputtered, tungsten dendrites can also be seen at the base of the eroded depression regions. These dendrites grow larger as a function of the sputtering time, which can be seen in the nearly 10  $\mu m$  wide cluster formed after three minutes of sputtering in Figure 3.13.



**Figure 3.13: SEM images of dendrite formation on  $WS_{2.7}$  target.**

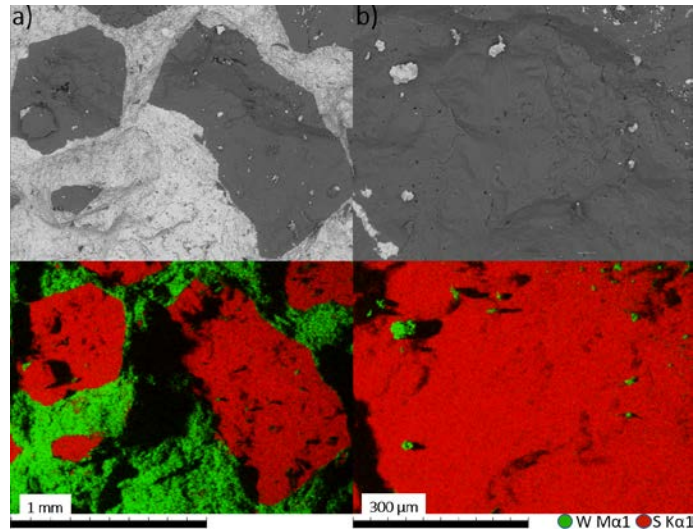
A chemical contrast within the sputtering depressions of the  $WS_{2.7}$  target can be clearly identified in the EDX maps in Figure 3.14[1] with tungsten dendrites (Figure 3.14[2]) sitting above the base of the depression which is composed of 97% sulfur. The SEM image in Figure 3.14[3] depicts the morphology of the sulfur phase at the base of the depression after one hour and 36 minutes of sputtering time.



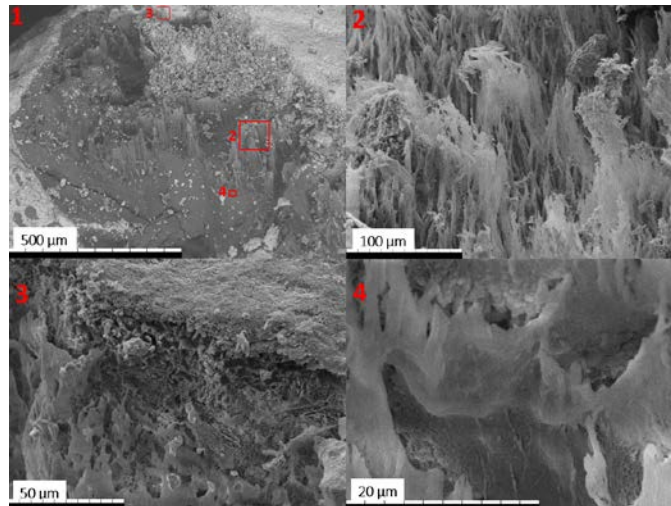
**Figure 3.14:** SEM surface images and corresponding EDX maps obtained from the surface of  $WS_{2.7}$  target sputtered 1 hour and 36 mins, illustrating large depressions (1) with tungsten dendrite growth (2) and sulfur-rich bottom (3), using different magnifications to locations indicated in SEM image a).

To investigate if the observed phase segregation is limited to the surface only or also occur within the bulk of the target, additional studies were performed deeper into the target after five hours of sputtering time. First, the  $WS_{2.7}$  target was removed from bonded copper backing plate and any remaining colloidal silver conductive paste was cleaned. The back face of the target was then investigated. Clear phase segregation was present, apparent from the EDX maps of Figure 3.15, but is much denser, smoother, and homogenous compared the unsputtered sulfur phases.

The  $WS_{2.7}$  target was then fractured in half through its face in order to explore a cross-section of the sulfur phase depressions and tungsten dendrite evolutions shown in Figure 3.16.



**Figure 3.15: EDX maps of sulfur segregates on back-side of  $WS_{2.7}$  target after 5 hours sputtering.**



**Figure 3.16: Cross-fracture SEM image of depression in  $WS_{2.7}$  target after 5 hours sputtering. BSE x120 of sulfur rich region with sites 1) SE x600 of dendrites, 2) SE x1200 of W grain boundary, 3) SE x5000 below dendrites.**

When the sulfur phase is selectively sputtered, the small percentage of tungsten present is left unsputtered, leaving behind porous dendrites and foams of tungsten that look similar to tungsten based nanoflakes prepared in Yuvasravan et al.<sup>[46]</sup> This small amount of tungsten present in the native sulfur is possibly interrelated to the formation of the elemental sulfur, where the oxidation of metals can catalyze the oxidation of elemental sulfur by oxygen.<sup>[104]</sup>

Further adding to this theory, the sulfur phase on the bottom of the  $WS_{2.7}$  target has no tungsten in its matrix and has no discernable damage after five hours of sputtering. The sulfur phase grew in size and number of sites on the  $WS_{2.7}$  target with increased sputtering use; progressing to the point where they are easily noticeable by eye and cause extensive damage across the whole surface of the target. This rapid increase in the elemental sulfur phase is likely caused by sulfur migration and oxidation of sulfur due to the biasing of the target and the energy added into the target over time through sputtering. The sulfur vacancies were filled by oxygen shown in Figure 3.10, which could have driven a runaway loop of oxygen catalyzing elemental sulfur which was then sputtered and replaced by more oxygen.

An analogous example to sulfur oxidation in the target over sputtering time is elemental sulfur growth reported for TMDs used in lithium-based batteries.<sup>[31], [46], [87-89], [104]</sup> The first recharge of a battery does not lead to complete polysulfide oxidation into elemental sulfur.<sup>[104]</sup> Instead, it takes an overcharge of the battery and several more charges of the sulfur electrode in order for high-order polysulfides to react, and several steps for the oxidation of the short chain polysulfides with the long chain polysulfides to form elemental sulfur.<sup>[104-105]</sup> The sulfur cathodes expand to a significant volume after charge cycling occurs, which also takes place in the  $WS_{2.7}$  target from the considerable cavities left in the target that are visible in Figure 3.11 after five hours of sputtering.<sup>[31], [89]</sup> An explanation is required as to why the  $WS_{2.7}$  target experiences this reaction while the commercial  $WS_2$  target that similarly becomes sulfur-depleted shows no damage besides the typical erosion groove. The migration of the sulfur and formation of elemental sulfur in batteries is termed the “shuttle effect” of sulfur, and is dependent on both the total sulfur concentration ( $S_{total}$ ) and the interaction between positively

charged sulfur vacancies ( $V_S$ ) and negatively charged polysulfides.<sup>[31], [87-89], [104]</sup> These two factors could likewise explain why the stoichiometric  $WS_2$  target suffers less damage, as it intrinsically has a lower sulfur concentration and would initially contain much more sulfur vacancies than its sulfur-rich counterpart. The sulfur vacancies act as traps for the polysulfides through adsorption while affecting their redox reactions by limiting active sites for polysulfide intermediates.<sup>[88]</sup> Other TMDs have been deemed more promising sulfur-rich hosts than  $WS_2$ , such as vanadium disulfide ( $VS_2$ ) owing to high chemical adsorption of polysulfides, high polarity for catalytic sites, and conversion of the polysulfides.<sup>[31], [89]</sup>

### 3.2.2 Phase Analysis of the Target Surface

The phase analysis of the target surfaces was accomplished with Raman and XRD investigations, which were referenced to a stoichiometric target surface for the phase evolutions. Characterizing the phase compositions of targets containing unbonded sulfur is challenging because of the several allotropes of sulfur crystals, the varying length of sulfur chains possible, and the wide array of different polysulfides that could be present.<sup>[104]</sup> As a background to our analysis of  $WS_{2.7}$  target phases, elemental sulfur (denoted as either  $S_8$  or  $S^0$ ) can take the form orthorhombic native sulfur ( $\alpha$ -sulphur) which is the most common form, a common monoclinic configuration ( $\beta$ -sulfur, or an uncommon monoclinic form rosickyite ( $\gamma$ -sulfur)).<sup>[107]</sup> To make matters more complex, the different polytypes of sulfur crystals can be unstable both chemically and structurally, and have been shown to form a mixture of  $\alpha$ -,  $\beta$ - and  $\gamma$ -sulphur.<sup>[104], [107]</sup> This mixture of sulfur is also dynamic, as rosickyite is formed from molten sulfur that is slowly cooled, but rosickyite is meta-stable at room temperature and slowly reverts to native sulfur.<sup>[107]</sup> Sulfur also undergoes a cycle between elemental sulfur and

polysulfide chains/ions through sulfide oxidation, where the intermediate oxide species have many possible redox reactions.<sup>[104], [107]</sup> Proposed redox reactions describe the stepwise sulfur reduction of soluble polysulfide intermediates, which include unstable  $S^+$  atoms at the end of polysulfide chains reacting with other polysulfide chains, while a split in the S-S chain would yield elemental sulfur.<sup>[108-109]</sup> Other proposed redox reactions involve negative polysulfide chains of increasing lengths combining and releasing electrons until elemental sulfur is generated.<sup>[87], [104], [106]</sup> Compounding the issues on a lack of consensus for the redox reactions themselves, the thermodynamic potential of forming any of the polysulfide intermediates is equal, meaning that any of the  $S_4^{2-}$ ,  $S_4^-$ , and  $S_3^-$  are equally probable. Further, these reactions consume different sulfide species which can be in equilibrium with the polysulfide intermediates.<sup>[106-108]</sup>

In Raman spectroscopic analysis, crystalline sulfur's three allotropes share many vibrational features with few differences. Peaks at  $153\text{ cm}^{-1}$ ,  $220\text{ cm}^{-1}$ , and  $473\text{ cm}^{-1}$  are common between all the three allotropes, as well as a broad feature at  $\sim 440\text{ cm}^{-1}$  and a shoulder at  $\sim 467\text{ cm}^{-1}$ .<sup>[104]</sup> There are slight differences in the spectral features of the sulfur allotropes, such as a sharper peak at  $\sim 440\text{ cm}^{-1}$  for  $\alpha$ -S8, broader peaks and a missing a peak at  $248\text{ cm}^{-1}$  for  $\beta$ -S8,  $\gamma$ -S8 displaying a shoulder at  $\sim 216\text{ cm}^{-1}$ , and inconsistent changes between intensity and FWHM for the  $\sim 150\text{ cm}^{-1}$  peak for all samples.<sup>[104]</sup> All samples displayed a more intense symmetric bending mode peak at  $\sim 220\text{ cm}^{-1}$  than the less intense asymmetric bending peak at  $\sim 150\text{ cm}^{-1}$ .<sup>[104]</sup> In general, the  $100\text{-}800\text{ cm}^{-1}$  region of the spectra represents the asymmetric and symmetric S-S bending, as well as the S-S stretching vibrations for all three S8 allotropes.<sup>[104], [106]</sup> In order to find distinct vibrational differences in the spectra, analysis of low



frequency Stokes-shifts is required.<sup>[104]</sup> The Raman system used for this work has a low-frequency filter and as such this analysis could not be carried out. However, based on Table 3.9 which compiled the Raman studies of the S8 allotropes in *Nims et al.*

**Table 3.9: Raman peak assignment for sulfur from experimental data.**

Peak Position (cm-1)	Assignment	Vibrational Mode	Reference
153	Elemental S	Asymmetric S-S bending	[77], [104], [107]
217-220	Elemental S (and S <sub>8</sub> <sup>2-</sup> )	Bending mode of S8 ring	[77], [106-107]
234	S <sub>4</sub> <sup>2-</sup> (x=4~8)	Bending mode	[106]
~280	S <sub>x</sub> <sup>2-</sup> (x=4~8)	N/A	[107]
~320	S <sub>x</sub> <sup>2-</sup> (x=4~8)	N/A	[107]
~400	S <sub>x</sub> <sup>2-</sup> (x=4~8)	N/A	[107]
~440 (broad)	Elemental S	S-S stretching modes	[77], [104]
467 (shldr)	Elemental S (γ)	S-S bond stretching of the S8 ring	[104]
473	Elemental S (γ)	Symmetric S-S bending	[77], [104], [107]
518	S <sub>4</sub> <sup>-</sup>	N/A	[106]
534-535	S <sup>3-</sup>	Symmetric stretching mode	[106-107]
766	S <sub>x</sub> <sup>2-</sup> (x=4~8)	N/A	[106-107]
1066	S <sub>2</sub> O <sub>4</sub> <sup>2-</sup>	S-O stretching mode	[106]

The spectral features of the three S8 allotropes were tabulated from Nims et al.<sup>[104]</sup> and was recorded in Table 3.10. These features were required in order to differentiate the sulfur Raman results due to the shared peaks at ~150 cm<sup>-1</sup>, 216 cm<sup>-1</sup>, ~220 cm<sup>-1</sup>, and ~440 cm<sup>-1</sup>. Most differences in the sulfur spectra occur at sub 150 cm<sup>-1</sup> Stokes shifts.

Figure 3.17 provides Raman spectroscopy comparison of the WS<sub>2.7</sub> target against the stoichiometric commercial WS<sub>2</sub> target before and after sputtering. Raman spectra of both W-S based targets were also recorded before and after polishing and at different features and phases within each target.

Table 3.10: Raman spectral features S8 allotropes.<sup>[104]</sup>

$\alpha$ -S8 peaks (cm-1)	$\beta$ -S8 peaks (cm-1)	$\gamma$ -S8 peaks (cm-1)
N/A	N/A	15
N/A	N/A	22
28	N/A	N/A
N/A	33	33
44	42	41
51	N/A	N/A
63	60 (shldr)	68
82	82	83
88	N/A	85
~150	~150	~150
216	216	216 (shldr)
~220	~220	~220
248	N/A	248
~440	~440	~440
N/A	N/A	468 (shldr)
N/A	N/A	473 (broad)

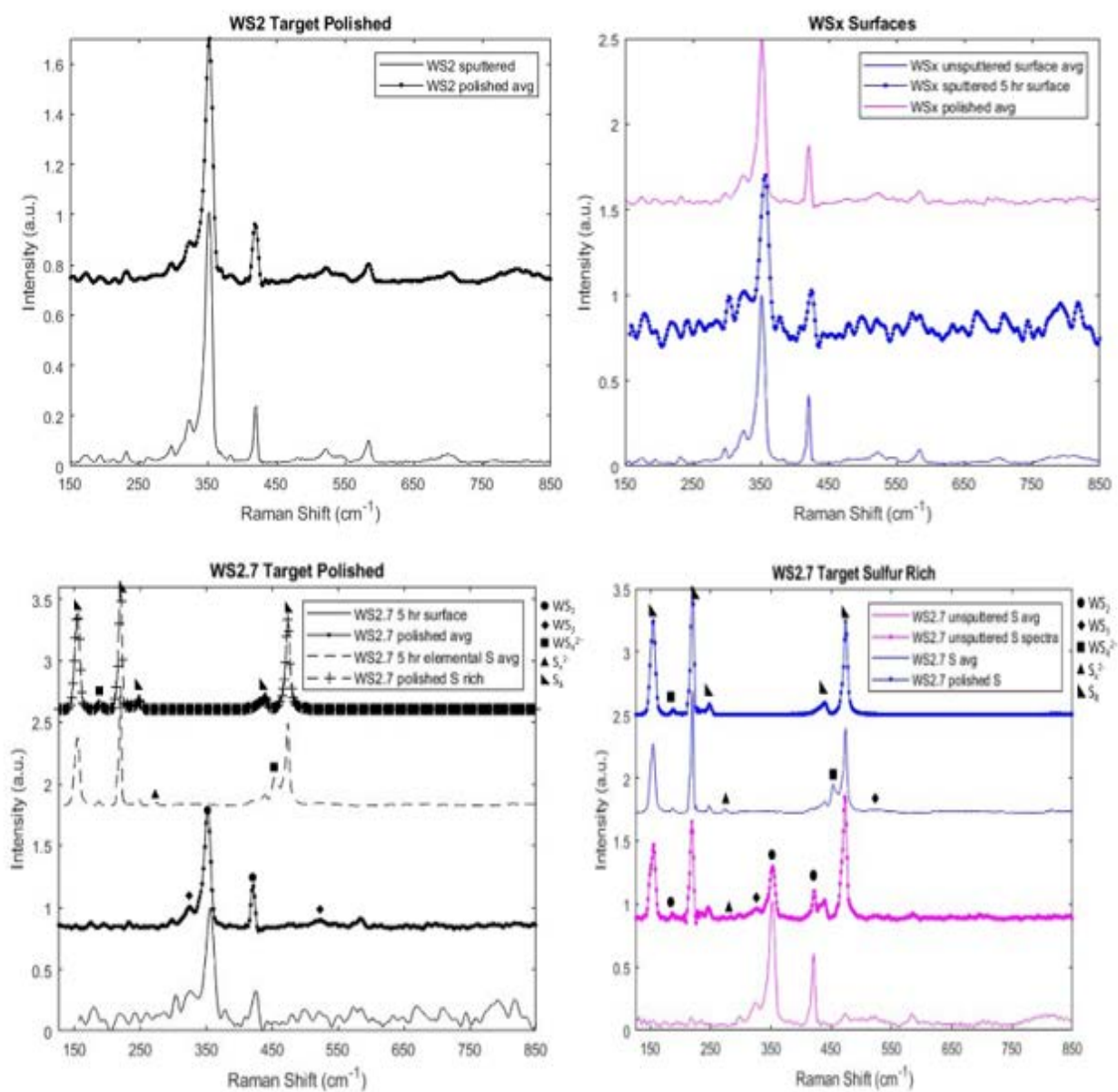
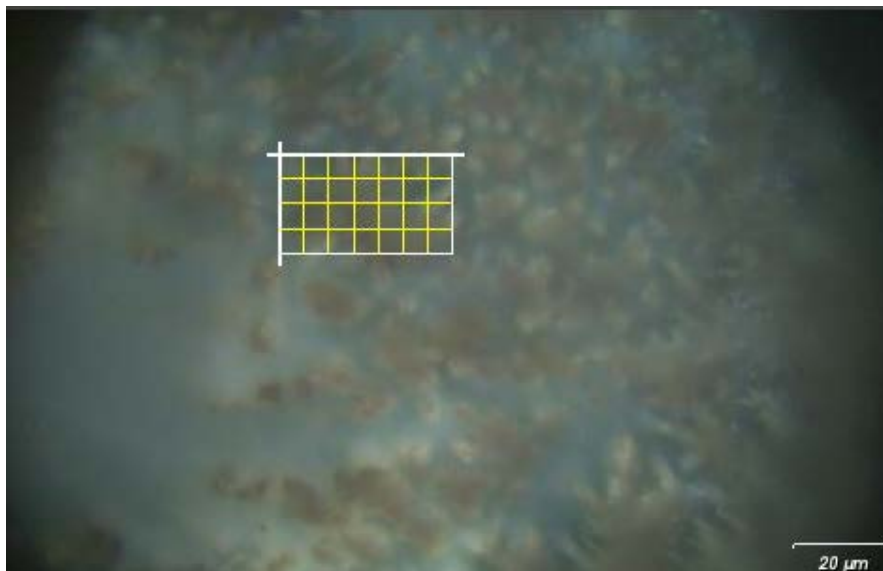


Figure 3.17: Raman vibrational modes of the W-S based targets.

Based on Table 3.10 which compiled the Raman studies of the S8 allotropes in *Nims et al.*,<sup>[104]</sup> the crystalline sulfur present in the WS<sub>2.7</sub> target can be assumed to be  $\gamma$ -S8 due to symmetric S-S bending at 473 cm<sup>-1</sup> and the shoulder at 467 cm<sup>-1</sup>. No  $\beta$ -S8 was detected due to peaks present at 248 cm<sup>-1</sup>. Additional evidence of  $\gamma$ -S8 are the pale needles at the bottom of the sulfur-phase depression in Figure 3.14[3] which likely forms after sputtering one hour and 36 mins due to the high temperatures the sulfur at the target surface experiences from ion-bombardment that is then slowly vacuum cooled. The crystalline sulfur containing a mixture of  $\alpha$ - and  $\gamma$ -S8 cannot be ruled out since the features specific to  $\alpha$ -S8 are almost indiscernible from  $\gamma$ -S8 besides the  $\gamma$ -S8 specific peaks. Moreover, it is known that the  $\gamma$ -S8 will eventually transition to  $\alpha$ -S8 at room temperature. A mixture being present can be seen optically by the different oxidation states of the elemental sulfur in Figure 3.18 and looks markedly similar to the sulfur cathode with various polysulfides present in Jin-Tak Yeon et al.<sup>[107]</sup>



**Figure 3.18: Optical image of native sulfur phase of the WS<sub>2.7</sub> target.**

In our Raman analysis, the W-S based targets all displayed WS<sub>2</sub> first-order, second-order, and a combination of vibrations throughout sputtering and polishing at 176 cm<sup>-1</sup> for of

LA(M), at 190 cm<sup>-1</sup>, at 213 cm<sup>-1</sup>, at 230 cm<sup>-1</sup> for A<sub>1g</sub>(M)-LA(M), at 264 cm<sup>-1</sup> for 2LA(M)-3E<sub>2g</sub><sup>2</sup>(M), at 297 cm<sup>-1</sup> for 2LA(M)-2E<sub>2g</sub><sup>2</sup>(M), a shoulder at 312 cm<sup>-1</sup>, at 324 cm<sup>-1</sup>, at 350-351 cm<sup>-1</sup> for 2LA(M), at 355 cm<sup>-1</sup> for E<sub>2g</sub><sup>1</sup>(Γ), at 420 cm<sup>-1</sup> for A<sub>1g</sub>(Γ), at 523 cm<sup>-1</sup>, at 582 cm<sup>-1</sup> for A<sub>1g</sub>(M)+LA(M), and at 704 cm<sup>-1</sup> for 4LA(M) Many of these combinational vibrational modes were surprising as they are reported for 2D WS<sub>2</sub> samples at resonance.<sup>[38], [100]</sup> For example, the vibrational mode experimentally recorded at 324 cm<sup>-1</sup> is not near any other phonon mode and has been assigned to the E'' vibrational process in literature and is often reported as resonant excitation for TMDs but is sometimes undetectable due to the orientation of detectors.<sup>[100]</sup> Further, the features at 582 cm<sup>-1</sup> and 704 cm<sup>-1</sup> combined processes A<sub>1g</sub>(M)+LA(M) and 4LA(M) which are observed in the scattering spectrum for monolayer WS<sub>2</sub>.<sup>[100]</sup>

The unspattered WS<sub>2.7</sub> target showed the only sign of surface oxide formation with WO<sub>3</sub> broad peaks at ~700 cm<sup>-1</sup> and ~800 cm<sup>-1</sup> but this was due to the target sitting in storage for some time and the oxide was removed after a three minute pre-sputter.<sup>[101]</sup> No other vibrational modes or species were detected for the WS<sub>2</sub> target after sputtering or polishing. Raman acquisitions of the sulfur-phase in the unspattered WS<sub>2.7</sub> target show two intermediate sulfur-rich species; one within a WS<sub>2</sub> matrix, and one within an α-S8 matrix. The sulfur-rich WS<sub>2</sub> intermediate species has a peak at 234 cm<sup>-1</sup> for S<sub>4</sub><sup>2-</sup> polysulfide and all the resonant WS<sub>2</sub> vibrations besides LAM at 176 cm<sup>-1</sup>, 213 cm<sup>-1</sup>, A<sub>1g</sub>(M)-LA(M) at 230 cm<sup>-1</sup>, and 4LA(M) at 704 cm<sup>-1</sup>.<sup>[77]</sup> The α-S8 intermediate species has the three elemental sulfur S-S bending and stretching vibrational modes, and does not yet show the γ-S8 vibrational modes. The α-S8 intermediate species also has all the resonant WS<sub>2</sub> vibrational modes besides LAM at 176 cm<sup>-1</sup>, 190 cm<sup>-1</sup>, 213 cm<sup>-1</sup>, A<sub>1g</sub>(M)-LA(M) at 230 cm<sup>-1</sup>; while also having a WS<sub>3</sub> peak at 460 cm<sup>-1</sup>, a WS<sub>4</sub><sup>2-</sup> peak at 182

$\text{cm}^{-1}$ , a  $\text{WO}_2\text{S}_2^{2-}$  peak at  $432 \text{ cm}^{-1}$ , and polysulfide peaks at  $234 \text{ cm}^{-1}$  for  $\text{S}_4^{2-}$  and at  $\sim 280 \text{ cm}^{-1}$  for  $\text{S}_x^{2-}$  ( $x=4\sim 8$ ).<sup>[77]</sup> After sputtering and polishing the  $\text{WS}_{2.7}$  target shows no major spectral differences besides the elemental sulfur-phase. After five hours of sputtering, the elemental sulfur phase in the  $\text{WS}_{2.7}$  target is now comprised of a mixture of  $\alpha$ -S8 and  $\gamma$ -S8 with new shoulders at  $216 \text{ cm}^{-1}$  and  $467 \text{ cm}^{-1}$  for S-S bond stretching of the  $\gamma$ -S8 ring. The phase still contains polysulfides as well as a new  $\text{WS}_4^{2-}$  peak at  $455 \text{ cm}^{-1}$  and two new  $\text{WS}_3$  vibrational modes at  $330 \text{ cm}^{-1}$  and  $530 \text{ cm}^{-1}$ .<sup>[77]</sup> During the sulfurization of  $\text{WO}_3/\text{Al}_2\text{O}_3$  in Payen et al,  $\text{WS}_3$  is also formed as an intermediate with an oxysulphide species during the oxidation of sulfur.<sup>[77]</sup> The oxidation of the sulfur was proposed to change in the intermediates from  $\text{WS}_2 \rightarrow \text{WS}_3 \rightarrow \text{oxysulphide} \rightarrow \text{oxide}$ .<sup>[77]</sup> After polishing, some of the elemental sulfur phase in the  $\text{WS}_{2.7}$  target still contains  $\gamma$ -S8 based on the shoulder at  $467 \text{ cm}^{-1}$  remaining. No more  $\text{WS}_3$  peaks remain, and the only  $\text{WS}_2$  vibrational mode is at  $190 \text{ cm}^{-1}$ . Some of the sulfur-phases contained a  $\text{S}_4^{2-}$  bending mode at  $234 \text{ cm}^{-1}$ , and others had  $\text{WS}_3\text{O}^{2-}$  vibrational modes at  $182 \text{ cm}^{-1}$  and  $878 \text{ cm}^{-1}$ .<sup>[77]</sup>

The  $A_{1g}(\Gamma)$  peak location,  $E'$  line location, peak ratios, and distance from one another are commonly correlated to film thickness.<sup>[24], [37-38], [54]</sup> These factors were determined for the  $\text{WS}_2$  and  $\text{WS}_{2.7}$  Raman spectra in order to validate their effectiveness. It has been reported that the  $E_{12g}^1(\Gamma)$  phonon mode redshifts and the  $A_{1g}(\Gamma)$  mode blueshifts for an increasing number of layers, and the distance between these peaks can also identify the number of layers.<sup>[37]</sup> However, these factors gave conflicting values of thickness for the bulk sputtering targets. The  $A_{1g}(\Gamma)$  peak locations for the commercial  $\text{WS}_2$  target varied from  $419\text{-}421 \text{ cm}^{-1}$  after sputtering and polishing. The  $A_{1g}(\Gamma)$  peak locations for  $\text{WS}_{2.7}$  also varied from  $419\text{-}422 \text{ cm}^{-1}$  for unsputtered,

sputtered, and polished spectra. Based on literature, an  $A_{1g}(\Gamma)$  peak position of  $419\text{ cm}^{-1}$  is reported as three-layers,  $420\text{ cm}^{-1}$  is bulk, while  $421\text{ cm}^{-1}$  is “multi-layered” and  $422\text{ cm}^{-1}$  is not reported.<sup>[24], [37-38]</sup> The broad  $E'$  line position was recorded by its highest relative intensity across multiple spectral acquisitions and maps, and the  $E'$  line position for the commercial  $WS_2$  target was roughly  $353\text{ cm}^{-1}$  before and after polishing which does not have a reported correlated thickness in literature. The  $E'$  line position for the unsputtered  $WS_{2.7}$  target was at  $350\text{-}351\text{ cm}^{-1}$  which fluctuates from monolayer, two-layer, and bulk.<sup>[38]</sup> After the  $WS_{2.7}$  target was sputtered for five hours the  $E'$  line position was at  $355\text{-}356\text{ cm}^{-1}$  and after polishing the  $E'$  line position was at  $351\text{-}352\text{ cm}^{-1}$ , which again is reported as monolayer, two-layer, and bulk.<sup>[38]</sup> The  $E$  line position at  $353\text{ cm}^{-1}$  for the  $WS_2$  target before and after polishing was assigned the  $E_{2g}^1(\Gamma)$  mode. The unsputtered  $WS_{2.7}$  target's  $E'$  line position was the  $2LA(M)$  mode at  $350\text{-}351\text{ cm}^{-1}$ , but after sputtering it shifted to the  $E_{2g}^1(\Gamma)$  mode at  $355\text{-}356\text{ cm}^{-1}$ , and again after polishing it transitions back to  $2LA(M)$  at  $351\text{-}352\text{ cm}^{-1}$ . The  $WS_{2.7}$  target had a  $2LA(M)$  to  $A_{1g}(\Gamma)$  distance of  $69\text{ cm}^{-1}$  before sputtering, an  $E_{2g}^1(\Gamma)$  to  $A_{1g}(\Gamma)$  distance of  $69\text{-}70\text{ cm}^{-1}$  after sputtering for five hours, and a  $2LA(M)$  to  $A_{1g}(\Gamma)$  distance of  $70\text{ cm}^{-1}$  after polishing; which are all reported as bulk.<sup>[37-38]</sup> The  $E_{2g}^1(\Gamma)$  to  $A_{1g}(\Gamma)$  distance for the commercial  $WS_2$  target was  $68\text{ cm}^{-1}$  after sputtering which is reported as three-layers, and after polishing was  $66\text{ cm}^{-1}$  which is reported as monolayer.<sup>[37-38]</sup> The  $4\text{ cm}^{-1}$  difference between the recorded peak distances for the  $WS_2$  and  $WS_{2.7}$  targets is comparable to the roughly  $4\text{-}5\text{ cm}^{-1}$  distance between the  $2LA(M)$  and  $E_{2g}^1(\Gamma)$  peaks. This confirms the  $E_{2g}^1(\Gamma)$  mode does in fact dominate the  $E'$  line overlap for the  $WS_2$  target, and that the  $2LA(M)$  mode dominates the  $E'$  line overlap for the  $WS_{2.7}$  target. The  $E'$

line:  $A_{1g}(\Gamma)$  peak intensity ratio was calculated for the  $WS_2$  and  $WS_{2.7}$  targets to distinguish if this factor would accurately determine thickness. All peak intensity ratios in this work use a ratio of the highest  $E'$  line intensity to the  $A_{1g}(\Gamma)$  peak intensity. Therefore, the 2LA(M) mode is assigned to the highest intensity of the  $E'$  line between  $351-353\text{ cm}^{-1}$ , and the  $E_{2g}^1(\Gamma)$  mode is assigned for intensities of the  $E'$  line between  $355-356\text{ cm}^{-1}$ . Peak ratios of the targets vary widely from 2:1 which is monolayer, 1:1 which is two-layers, 4.48:1 which is significantly higher than monolayer, and even some non-integer layers of  $WS_2$ . Not a single scan on the W-S based targets produced a peak ratio agreeing with bulk.<sup>[38]</sup>

The factors calculated from the Raman spectra did not accurately predict the thickness of the W-S based targets.  $A_{1g}(\Gamma)$  peak location as an indicator of film thickness is far too narrow, with a slight shift in between scans on the same sample being the difference between monolayer and bulk. Using the  $E'$  line location, peak ratios, or  $E'$  line to  $A_{1g}(\Gamma)$  peak distance for thickness are predicated upon deconvoluting or assigning vibrational modes between the  $E_{2g}^1(\Gamma)$  and 2LA(M) overlap in the  $E'$  line, which sabotages the whole process. None of these factors were consistent in determining the number of layers, nor did their results agree with each other. Ultimately these factors require precise Raman spectra due to their very fine line separating the number of layers, and any slight shift in value from any other material property or sample parameters would lead to inaccurate results such as the disagreement with the bulk W-S based samples.

The  $E'$  line and  $A_{1g}(\Gamma)$  peak intensities and the  $E'$  line:  $A_{1g}(\Gamma)$  peak intensity ratio have been correlated to the level of crystallinity and lattice defects within a crystalline sample.<sup>[24], [37-38], [54]</sup>

Tan et al. correlates a  $WS_{2.64}$  hybrid sample of and the ratio of its peaks to oxygen defects which were seen in SEM images and were confirmed by XPS.<sup>[45]</sup> A similar approach but for sulfur defects was attempted after visibly seeing damage to the sulfur phase in SEM images and EDX scans and later confirming sulfur content with XPS. The sulfur content was found to increase as the  $E'$  line: $A_{1g}(\Gamma)$  peak intensity ratio decreased, which was accurate in comparing i) the W-S based targets against each other, ii) the same target at different positions (such as at sulfur-rich positions with known S:W ratios), and iii) for the same target after sputtering and after polishing. The  $WS_2$  commercial target had an  $E'_{2g}(\Gamma)$  to  $A_{1g}(\Gamma)$  intensity ratio of 4.42 after sputtering and 3.82 after polishing, which agrees with the S:W ratio of 1.3 after sputtering and 1.7 after polishing. The unsputtered  $WS_{2.7}$  target has a  $2LA(M)$  to  $A_{1g}(\Gamma)$  intensity ratio of 2.42 and sulfur-rich phases with ratios of 1.72-1.76, which is again in agreement with the trend. After sputtering the intensity ratio increases to 3.07, and the sulfur-rich phase spectra shows the first instance where the  $A_{1g}(\Gamma)$  peak is more intense than the  $E'$  line with a ratio of 0.78, which is presumably caused by the further oxidation of the sulfur as a result of sputtering. After polishing, the  $WS_{2.7}$  target nearly returns to a ratio of 2.42, with a  $2LA(M)$  to  $A_{1g}(\Gamma)$  intensity ratio of 2.66; which is also in agreement with near-full restoration of the sputtering target after polishing.

It is not known exactly which sulfur defect most effects the peak intensity ratios, but it is likely sulfur interstitials or the shorter  $S_x^{2-}$  polysulfides. These sulfur species also likely induced the double resonance of the  $2LA(M)$  vibrational mode, and why the stoichiometric  $WS_2$  target is more intense in the  $E'_{2g}(\Gamma)$  vibrational mode. The only chemical species that would likewise



explain the transition in intensity from  $2LA(M)$  to  $E_{2g}^1(\Gamma)$  after sputtering and reverting back to  $2LA(M)$  after polishing is  $S_4^{2-}$ , based on its vibrational bending mode at  $234\text{ cm}^{-1}$ .  $S_4^{2-}$  is present in the sulfur-rich phase of the unsputtered  $WS_{2.7}$  target and after polishing, but is not detected after the sample is sputtered for five hours.

As for the general resonance that occurred, Berkdemir et al. report their 2D samples only reached resonance near the 512 nm laser that was used. A 532 nm laser was used for this work, which has also been used to generate resonance, but these targets are at least 1 cm thick.<sup>[37-38]</sup> The entire profile of the Raman spectra depends on the phonon excitation energy, where conditions for double resonance of a second-order phonon process highly depend on the optical excitation energy equaling a band transition.<sup>[38], [100]</sup> Therefore, starting with a very fine polycrystalline target with many different orientations available and averaging spectra from a large map scan using a 532 nm laser at its lowest power setting, could generate the exciton-phonon interaction to achieve detectable resonance. Ultimately, resonant conditions are material dependent and are not common across TMDs, and may vary from sample to sample.<sup>[100]</sup> Further study is required and is outside the scope of this work.

Figure 3.19 presents results of XRD analysis for the investigations of phases of the  $WS_{2.7}$  target and their comparisons against the stoichiometric commercial  $WS_2$  target before and after sputtering. The crystal structure of both W-S based targets was recorded before and after polishing, and at different features and phases within each target.

From the measured interplanar (002) spacing from the corresponding diffraction peak at  $2\theta=14.2$  degrees, the peak FWHM and crystallite size were calculated using the Scherrer formula which are presented in Table 3.11.

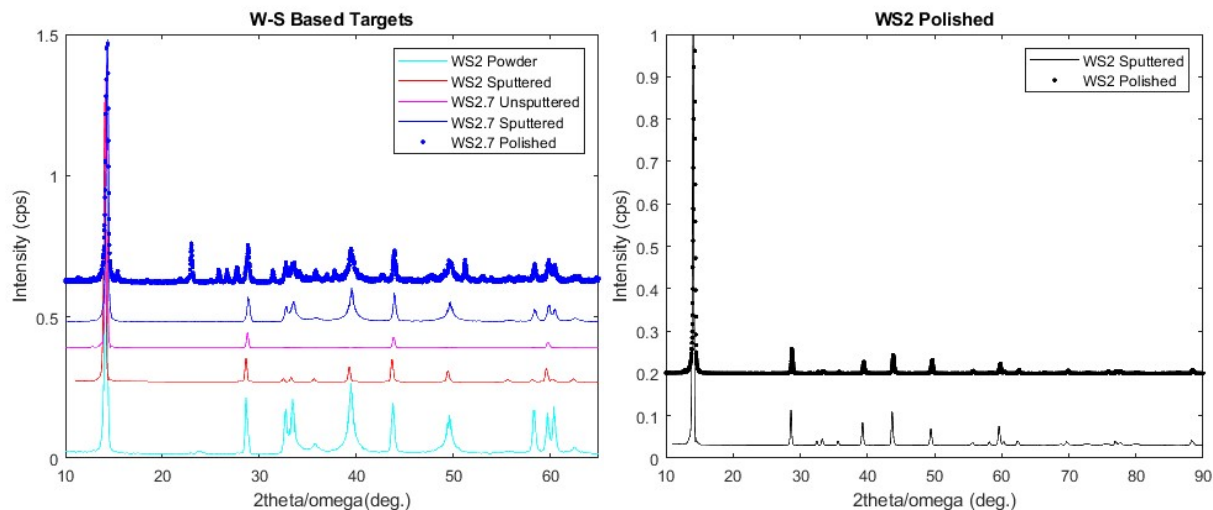


Figure 3.19: Diffraction patterns from coupled 2theta/omega XRD scans of sputtering targets.

Table 3.11: W-S Target XRD interplanar spacing, peak FWHM, and crystallite size which was calculated with the Scherrer equation.

Sample Status	(002) D Spacing [Å]	FWHM	Crystallite Size [nm]
WS <sub>2</sub> sputtered	6.2852	0.236	46
WS <sub>2</sub> polished after sputtering	6.2233	0.278	37
WS <sub>2.7</sub> unspuntered	6.2229	0.215	51
WS <sub>2.7</sub> sputtered five hours	6.1963	0.29	38
WS <sub>2.7</sub> polished after sputtering	6.1889	0.292	35

In the XRD analysis, the W-S based targets all displayed a dominant (002) hkl peak of hexagonal WS<sub>2</sub> phase, and shared all bulk poly-crystalline diffraction peaks with the original WS<sub>2</sub> powder and other XRD patterns from literature.<sup>[39], [44]</sup> Both the WS<sub>2.7</sub> and WS<sub>2</sub> targets had no distinguishable change in the WS<sub>2</sub> diffractions after sputtering or after polishing and no tungsten oxide diffraction peaks were observed in the XRD spectra.<sup>[39], [43]</sup> The interatomic spacing of the WS<sub>2.7</sub> and WS<sub>2</sub> commercial targets are comparable before and after polishing, and both show a decrease in strain after polishing. The targets' crystallinity was also virtually the same.

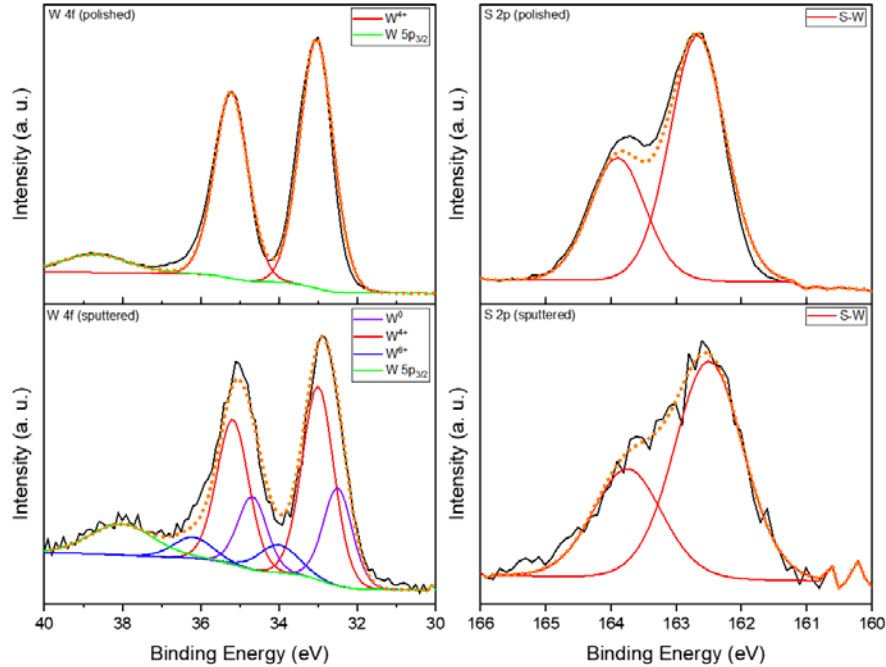
The XRD analysis of the targets only displayed sulfur diffraction peaks in the  $WS_{2.7}$  target and none of these were present in the control  $WS_2$  target. The sulfur crystal structure diffraction peaks were most easily observable between  $20-30^\circ$  in Figure 3.19 (left). These features were very minor for the unspattered  $WS_{2.7}$  target, then become almost entirely diminished after five hours of sputtering. However after polishing, the sulfur peaks reach the highest relative intensity. The sulfur phase XRD peak intensification after experiencing both sputtering and polishing demonstrates the growth and oxidation of the crystalline sulfur as a direct result of sputtering. The sulfur phase in the  $WS_{2.7}$  target exhibits the same peaks as the original sulfur powder (except the relative intensities and their relationship to each other are much different). The sulfur seems to exhibit diffractions consistent with orthorhombic sulfur, and rosickyite diffraction peaks are not detected which may be due to the high absorption of X-rays by the crystal.<sup>[110]</sup>

### 3.2.3 Chemical Bonding of W and S in Sulfur Rich Targets

The W-S based target's bonding environment and chemical composition were confirmed through XPS. Figure 3.20 presents high resolution XPS original and deconvoluted peak fitted spectra of both W 4f and S 2p orbitals from the  $WS_2$  target after five hours of sputtering and then again after polishing. The C 1s peak was used as a reference. Table 3.12 presents the results of an elemental percentages of W, S, and O from in the  $WS_2$  target after five hours of sputtering and then again after polishing, obtained from XPS survey spectra analysis.

**Table 3.12: XPS derived elemental percentage composition of  $WS_2$  target.**

Target Status	W (4f)	S (2p)	O (1s)	S:W
Sputtered 10+ hrs	19.2%	25.2%	55.6%	1.3
Polished	28.8%	51.6%	19.6%	1.8

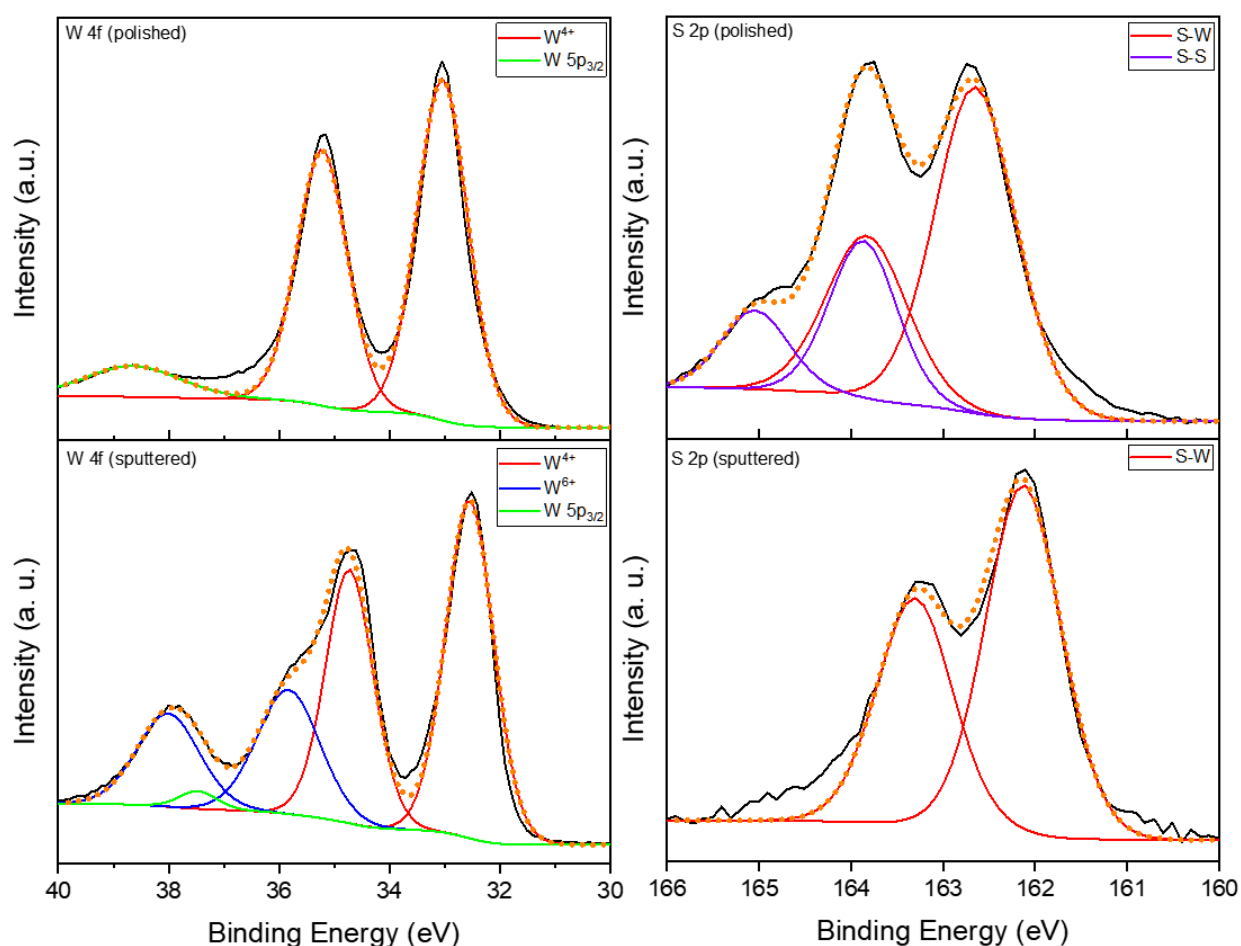


**Figure 3.20: XPS spectra in W 4 f and S 2 p spectral regions with deconvolution of WS<sub>2</sub> commercial target after sputtered over 10 hours, and polished WS<sub>2</sub> target respectively.**

XPS analysis revealed that after extensive sputtering the WS<sub>2</sub> target has several oxidation states of tungsten, featuring a doublet due to metallic tungsten, another doublet for W<sup>4+</sup> that reflects stoichiometric WS<sub>2</sub> bonding, and higher binding peaks of W<sup>6+</sup> that are indicative of a WO<sub>3</sub> oxide on the sulfur-depleted surface. The presence of metallic tungsten after extensive sputtering use gives credence to the theory of the tungsten acting as a catalyst for sulfur oxidation. During the sputtering, the TMD target will be sub-stoichiometric after 1-2 seconds of a plasma being introduced due to depletion of the chalcogen atoms, but the metal surface should then be exposed to more impinging argon atoms and thus the metal atoms will resultingly increase their yield to equal that of the chalcogen atoms; causing a steady-state of target stoichiometry over time to be reached.<sup>[49]</sup> Instead, equilibrium was not reached and metallic tungsten remains, possibly as sulfur oxidation by-products which led to more sulfur being sputtered than the tungsten. After polishing the WS<sub>2</sub> target, the W<sup>0</sup> and W<sup>6+</sup> oxidation

states disappear from the spectrum, indicating that the metal-rich surface and oxides were mechanically removed. The sulfur  $S^{2-}$  oxidation state remains relatively unchanged for the S 2p orbital besides a more resolved spectra after polishing. The S:W ratio is almost fully restored for the commercial  $WS_2$  target; from 1.3:1 to 1.8:1. The commercial  $WS_2$  target does not return back to its fully stoichiometric 2:1 S:W ratio, which could be due to sulfur leaching throughout the whole target, but it is more likely that the target was not fully polished through the sulfur-depleted region.

High resolution XPS spectra of the deconvoluted W 4f and S 2p orbitals from the  $WS_{2.7}$  target after five hours of sputtering and then again after polishing are depicted in Figure 3.21. Elemental survey percentages of W, S, and O from the  $WS_{2.7}$  target were obtained after five hours of sputtering and then again after polishing obtained from XPS survey spectra analysis can be found in Table 3.13.



**Figure 3.21: XPS spectra in W 4 f and S 2 p spectral regions with deconvolution of  $WS_{2.7}$  target after sputtered 5 hours, and polished  $WS_{2.7}$  target respectively.**

**Table 3.13: XPS derived elemental percentage composition of  $WS_{2.7}$  target.**

Target Status	W (4f)	S (2p)	O (1s)	S:W
Sputtered 5 hrs	18.4%	24.8%	56.8%	1.4
Polished	25.6%	68.3%	6.1%	2.7

After five hours of sputtering  $WS_{2.7}$  target exhibits two oxidation states of tungsten, with the doublet for  $W^{4+}$  distinctive of  $WS_2$ , and the higher binding energies of  $W^{6+}$  which could again signify  $WO_3$  or could corroborate the Raman results of the existence of  $WS_3$  that was also found in the target five hours after sputtering. The S 2p orbital of the  $WS_{2.7}$  target after five hours of sputtering only shows the  $S^{2-}$  oxidation state, similar to the  $WS_2$  target, but polishing exposes a S-S bond. This result further substantiates the Raman results of a  $S_4^{2-}$  species being present before sputtering, being absent after five hours of sputtering, and replenished after polishing. The sulfur-rich  $WS_{2.7}$  target undergoes a complete restoration of S:W ratio, from 1.4:1 after five hours of sputtering back to its original 2.7:1 after polishing. XPS analysis confirmed the EDX results of a near 1:1 replacement of sulfur with oxygen in both targets. Upon polishing both targets the thick oxide layers were removed, revealing the untarnished sulfur layers below.

### 3.3 Laser Annealing of Sulfur-Rich Precursors

#### 3.3.1 Chemical Composition of Amorphous Films

The film's bonding environment and chemical composition were confirmed through XPS. For the deconvolution of the XPS peaks, multiple oxidation states of molybdenum and sulfur were considered with positions of Mo 3d and S 2p duplets summarized in Table 3.14 and compared to the positions of these oxidation state peaks as reported in L. Benoist et al.<sup>[64]</sup>

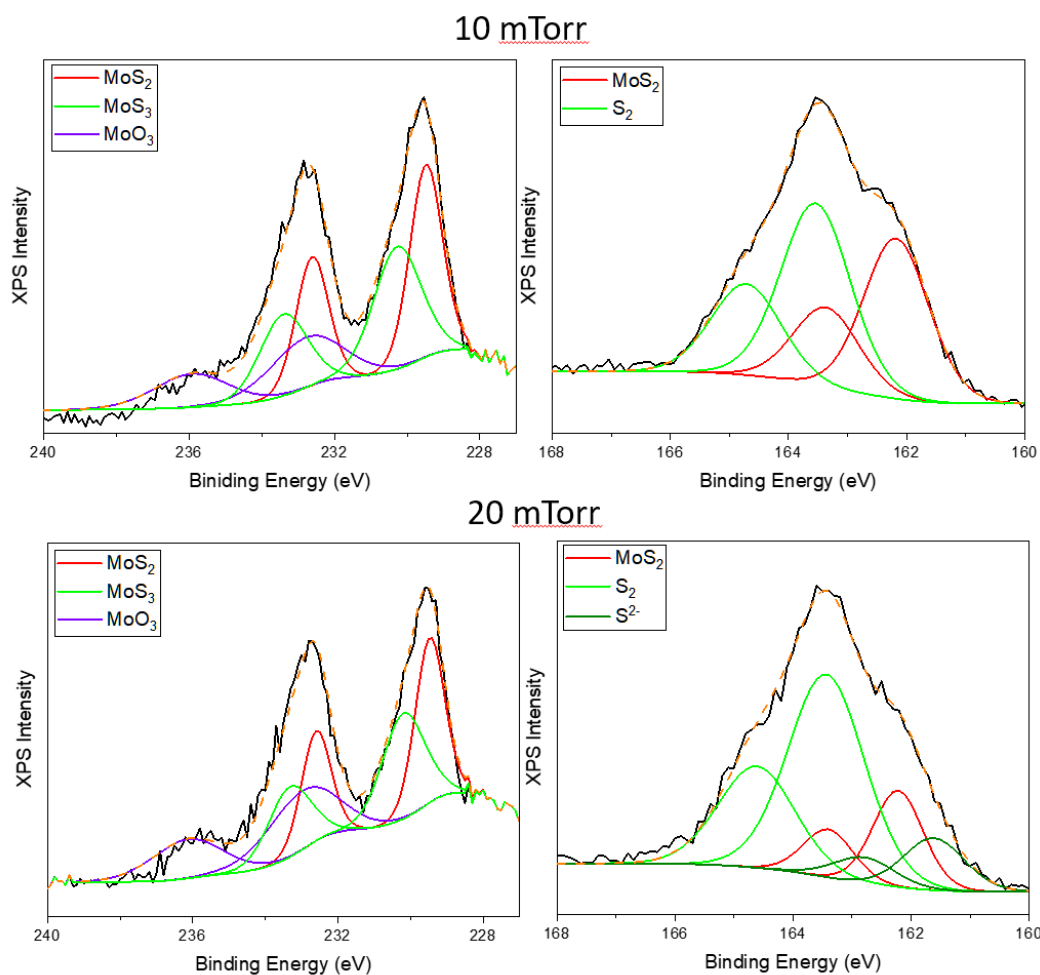
Table 3.15 likewise references the binding energies of oxidation states of sulfur in its S 2p orbital with the chemical compounds the oxidation states are found in.<sup>[64]</sup> Figure 3.22 presents high resolution XPS original and deconvoluted peak fitted spectra of both Mo 3d and S 2p orbitals from the amorphous films before laser annealing.

**Table 3.14: Binding energies of Mo 3d orbitals from XPS data of MoS<sub>x</sub> films.<sup>[64]</sup>**

Compound	Species	Mo 3d <sub>5/2</sub>	Mo 3d <sub>3/2</sub>
MoS <sub>2</sub>	Mo <sup>4+</sup>	229.5 – 229.6	232.6 – 232.8
MoO <sub>2</sub>	Mo <sup>4+</sup>	229.6	232.6
MoS <sub>3</sub>	Mo <sup>5+</sup>	229.3 – 230.8	232.6 – 234.1
MoO <sub>3</sub>	Mo <sup>6+</sup>	232.6 – 232.7	235.8 – 235.9

**Table 3.15: Binding energies of S 2p orbitals from XPS data of MoS<sub>x</sub> films.<sup>[64]</sup>**

Compound	Species	Mo 3d <sub>5/2</sub>	Mo 3d <sub>3/2</sub>
MoS <sub>2</sub>	S-Mo	162.4 – 162.5	163.6 – 163.8
MoS <sub>3</sub>	(S <sub>2</sub> ) <sup>2-</sup>	162.2 – 163.4	164.6 – 164.7
MoS <sub>3</sub>	S <sup>2-</sup>	161.7 – 161.8	162.9 – 163.0



**Figure 3.22: XPS spectra in Mo 3d and S 2p spectral regions with deconvolution of MoS<sub>x</sub> amorphous films sputtered on ITO-coated glass at working pressures of 10 mTorr and 20 mTorr, respectively.**

The molybdenum atoms in the MoS<sub>3</sub> compound have a Mo<sup>5+</sup> oxidation state due to the bonding proposed by Mo<sup>5+</sup> [(S<sub>2</sub>)<sup>2-</sup>]<sub>1/2</sub>(S<sup>2-</sup>)<sub>2</sub> (s) from Benoist et al. and Liang et al.<sup>[64], [70]</sup> From this deconvolution, the fraction of MoS<sub>3</sub> present in the overall MoS<sub>x</sub> film was calculated by the ratio of the Mo<sup>5+</sup> to the S<sup>2-</sup> and (S<sub>2</sub>)<sup>2-</sup> oxidation states in Table 3.16. The results of an elemental percentage survey scan present the composition of the MoS<sub>x</sub> films in Table 3.17.

**Table 3.16: XPS derived compound percentage MoS<sub>x</sub> films sputtered on ITO-coated glass at working pressures of 10 and 20 mTorr.**

Compound	10 mTorr	20 mTorr
MoS <sub>2</sub>	39.7%	35.9%
MoS <sub>3</sub>	36.8%	33.5%
MoO <sub>3</sub>	23.5%	30.6%

**Table 3.17: XPS derived elemental percentage composition of MoS<sub>2+</sub> films sputtered ITO-coated glass at working pressures of 10 and 20 mTorr.**

Element	10 mTorr	20 mTorr
Mo	16.67%	13.55%
S	39.82%	33.60%
O	43.51%	52.86%
S:Mo	2.39	2.49

The MoS<sub>x</sub> films sputtered from the MoS<sub>3.6</sub> target were confirmed to be sulfur-rich by XPS elemental scans in Table 3.17 where the S:Mo ratios were 2.39:1 and 2.49:1 for the growth at 10 and 20 mTorr working pressure, respectively. The increase in S:Mo ratio between 10 and 20 mTorr was expected as increasing working pressure tends to increase TMD film's transition metal to chalcogen ratio.<sup>[49]</sup> The relatively high amount of oxygen that was also present for both working pressures originates from both the incorporation of residual water pressure in the sputtering chamber during film growth and from surface absorbents accumulated during film exposure to laboratory air before XPS analysis.

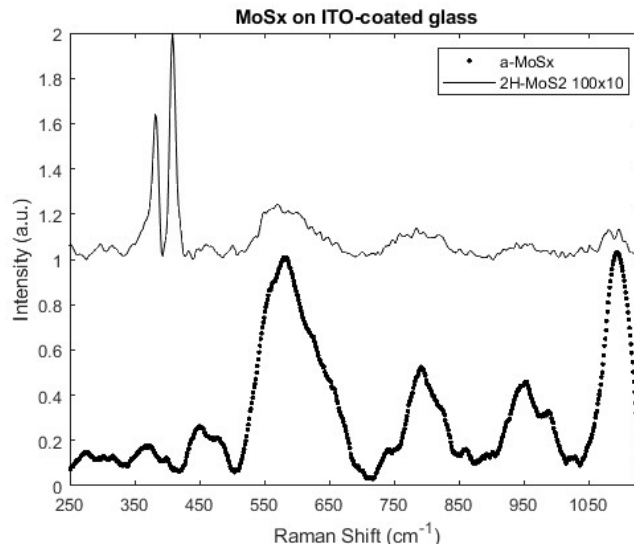


### 3.3.2 Laser Annealing of Amorphous MoS<sub>x</sub> Films and Raman Analysis

The substrates selected for the precursor film growth had the most profound effect on the film crystallization in the laser annealing processing step. Therefore, the laser annealing results presented below are organized by the used substrate. The crystallization was assessed through Raman spectroscopic analysis of the characteristic E<sub>12g</sub> and A<sub>1g</sub> 2H-MoS<sub>2</sub> peaks.

### 3.3.3 Annealing of MoS<sub>x</sub> Films on ITO-Coated Glass Substrates

The MoS<sub>x</sub> films deposited at working pressures of 10 and 20 mTorr onto ITO-coated glass were the first samples laser annealed in this work in order to determine if the chemical compositions of the films determined by XPS had an effect on laser induced phase transformations. The amorphous precursors were annealed at 100 mJ for one, five, and ten pulses. All films prepared at the 10 mTorr working pressure remained amorphous for all number of pulses. The 20 mTorr samples annealed at 100 mJ for ten pulses were crystallized to 2H-MoS<sub>2</sub> as evidenced by the characteristic duplet of E<sub>12g</sub> and A<sub>1g</sub> peaks in Figure 3.23.



**Figure 3.23: Raman spectra of vibrational modes of MoS<sub>x</sub> sample films on ITO-coated glass after being laser annealed at 100 mJ for ten pulses. The spectrum from the amorphous precursor film is included for a reference.**

The crystallinity of the films was correlated by the positions of the  $E_{12g}^1$  and  $A_{1g}$  peak positions as was done successfully for  $MoS_3$  by C.H. Chang et al. where they determined the peak locations for  $x-MoS_2$  (where  $x$  denotes a very crystalline film) and the peak locations of  $px-MoS_2$  (where  $px$  denotes a poorly crystalline film).<sup>[75]</sup> The 2H- $MoS_2$  on ITO had a high degree of crystallinity as shown in Table 3.18.

**Table 3.18: Raman shifts of  $MoS_x$  films on ITO-coated glass substrates after laser annealing.**

Raman Modes	100x10	$x-MoS_2$	$px-MoS_2$
$E_{12g}$	382	386	380
$A_{1g}$	408	408	405.5

Despite the higher percentage of  $MoS_2$  and  $MoS_3$ , and a lower proportion of  $MoO_3$ , the 10 mTorr samples retained the amorphous character of the films. Thus, all films thereafter were deposited using the 20 mTorr working pressure conditions.

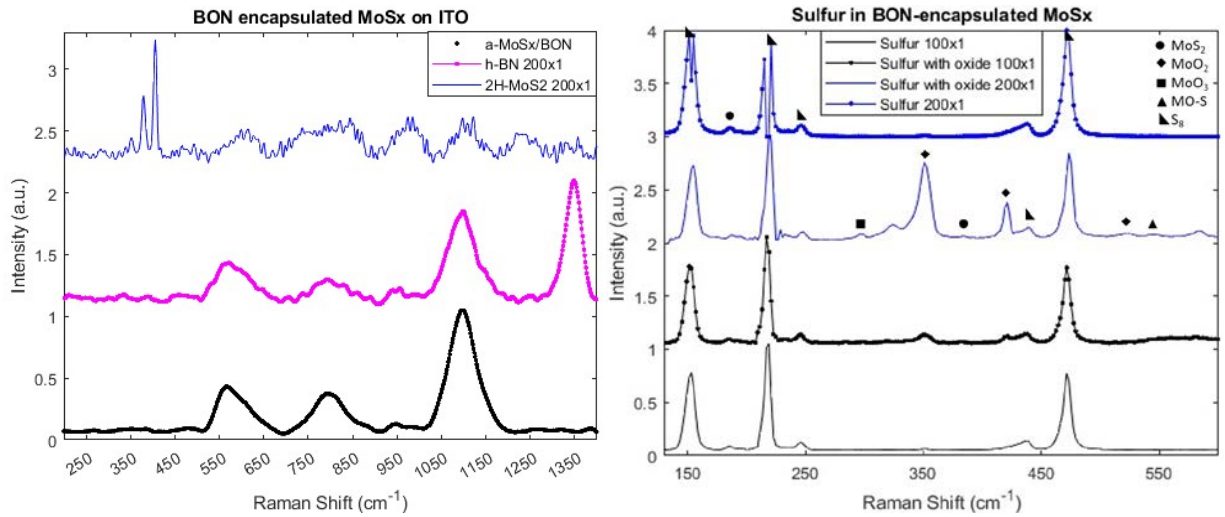
### 3.3.4 Annealing of BON Encapsulated $MoS_x$ Films on ITO-Coated Glass Substrates

The  $MoS_x$  films were encapsulated with BON, where BON serves several functions:

- Preventing  $MoS_x$  film from being physically damaged from scratching
- Preventing  $MoS_x$  from oxidation and water absorption in exposure to humid air
- Protecting  $MoS_x$  film during laser annealing from film ablation/delamination, oxidation, and sulfur-depletion by evaporation

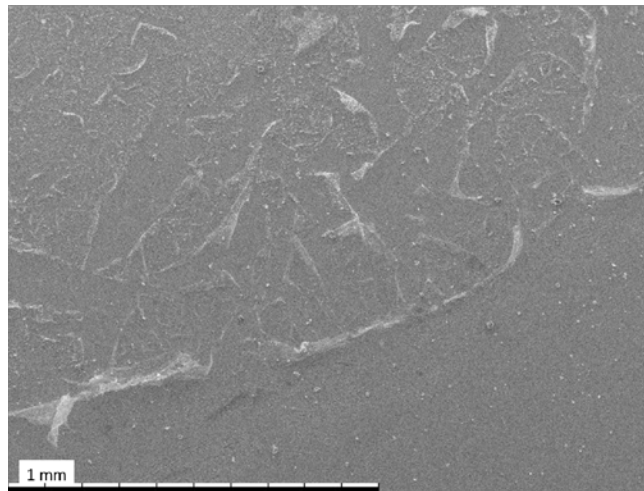
The encapsulated films were annealed at 100, 200, 300, 400, and 450 mJ for one pulse each. At 100 mJ after one pulse, the films had equal regions of oxides  $MoO_2$  and  $MoO_3$  and elemental sulfur phase as shown in Figure 3.24. At 200 mJ, the samples contained the same amount of elemental sulfur and, in addition, a 2H- $MoS_2$  of crystallinity was detected from the  $E_{12g}^1$  and  $A_{1g}$  duplet. The amorphous BON also unexpectedly transitioned to h-BN at 100 and 200 mJ based

on its peak at  $1350\text{ cm}^{-1}$ .



**Figure 3.24: Raman spectra of vibrational modes of  $\text{MoS}_{2+}$  sample films on ITO-coated glass encapsulated by amorphous BON after being laser annealed at one pulse at beam energies of 100 and 200 mJ.**

At 300 mJ and higher fluences, the films had shown ablation/delamination as pictured in Figure 3.25 and no  $2\text{H-MoS}_2$  was detected.



**Figure 3.25: SEM image of amorphous BON after being ablated/delaminated after one pulse of 450 mJ.**

Amorphous BON displays an average optical absorption of 80% at 248 nm with a band gap of  $5.4\text{ eV}^{[111]}$  so the BON likely is the cause for the delamination rather than the lower  $\text{MoS}_x$  or ITO layers. It is unclear if this process for BON was physical (i.e. delamination caused by

thermally induced stress) or chemical (photons rupturing bonds), and whether either are thickness dependent and the BON is too thick at 200-250 nm.

**Table 3.19: Raman peak locations of MoS<sub>x</sub> films on encapsulated in amorphous BON after laser annealing.**

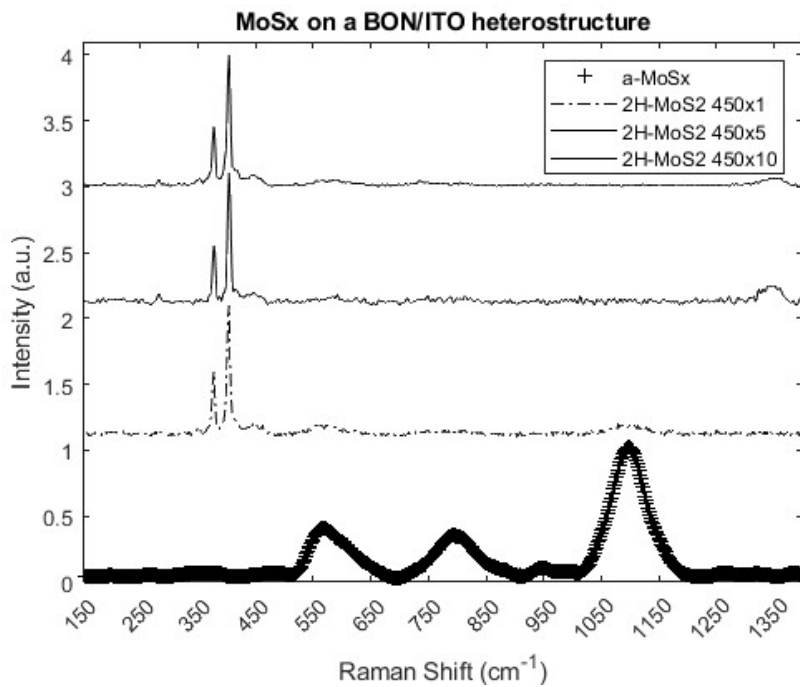
Raman Modes	200x1	x-MoS <sub>2</sub>	px-MoS <sub>2</sub>
E12g	389	386	380
A1g	406	408	405.5

The best quality 2H-MoS<sub>2</sub> films were formed at one pulse at fluence of 200 mJ based on Table 3.19. The films oxidized at one pulse for both 100 and 200 mJ despite the films being sandwiched between 150nm thick ITO and 200-250nm thick amorphous BON. It is unlikely that the films oxidized as a result of oxidation diffusion through the thick amorphous BON as the amorphous structure acts as a diffusion barrier. Instead, the oxygen diffusion from the ITO and BON interfaces are likely the source of oxygen in these laser annealed films. Amorphous BON underwent a phase transformation to form h-BN at both 100 and 200 mJ after one pulse and this process could also release oxygen that leads to the oxidation of MoS<sub>x</sub>. The amount of elemental sulfur detected in the encapsulated films is greater than that detected with any other film/substrate combination investigated, which corroborates the theory that the BON prevents sulfur evaporation during phase formation from sulfur rich MoS<sub>x</sub> to 2H-MoS<sub>2</sub>, but it does not prevent the sulfur from phase segregating into a crystalline form.

### 3.3.5 Annealing of MoS<sub>x</sub> Films on BON/ITO Heterostructures

MIS structures were formed by depositing amorphous BON on ITO-coated glass, followed by depositing amorphous MoS<sub>x</sub> precursor to be transformed into semiconducting 2H phase. The films on the BON/ITO heterostructure were annealed at 450 mJ for one, five, and

ten pulses. At one pulse the  $\text{MoS}_x$  film contained mostly 2H- $\text{MoS}_2$  and a small amount of mixed phase 2H- $\text{MoS}_2$  and  $\text{MoO}_2$ . At five pulses the films still contain 2H- $\text{MoS}_2$ , an equal amount of mixed phase oxide, but now shows a spectrum with  $\text{MoO}_3$ . The sample still has 2H- $\text{MoS}_2$  after ten pulses but now is mostly a mixed phase 2H- $\text{MoS}_2$  and  $\text{MoO}_2$ . The amorphous BON layer undergoes a phase transition at five and ten pulses at 450 mJ as evidenced from the h-BN peak at  $1350 \text{ cm}^{-1}$  shown in Figure 3.26.



**Figure 3.26:** Raman spectra of vibrational modes of  $\text{MoS}_{2+}$  sample films on a BON-ITO heterostructure stack after being laser annealed at 450 mJ for one, five, and ten pulses.

**Table 3.20:** Raman peak locations of  $\text{MoS}_x$  films on BON/ITO heterostructure stack after laser annealing.

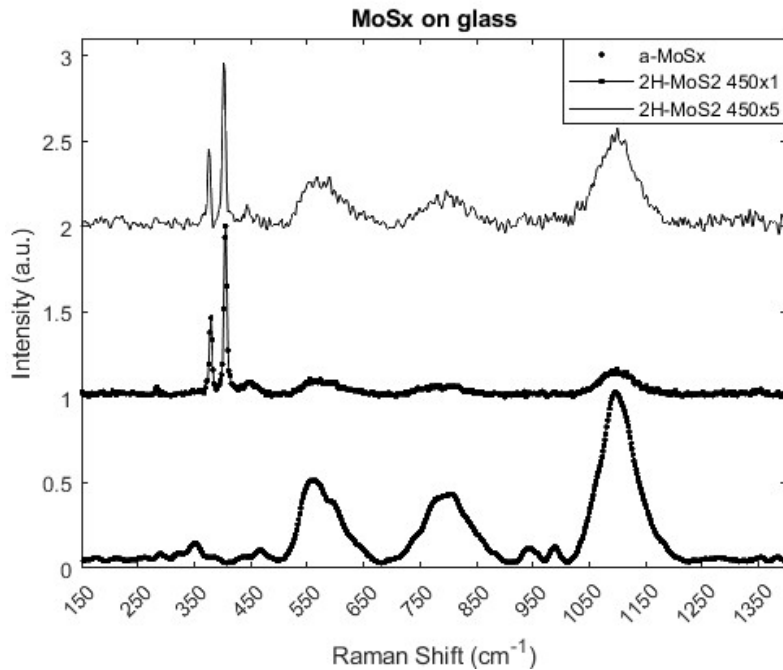
Raman Modes	450x1	450x5	450x10
E12g	378	378	
A1g	404	404	

No real trend in terms of crystallinity of the films in Table 3.20 was detected as all films exhibited a worse degree of crystallinity than the poorly crystalline samples of 2H- $\text{MoS}_2$

reported in C.H. Chang et al. based on peak location. A clear increase in the oxidation of the films occurred at higher pulse numbers for annealing these MIS structures, where the  $\text{MoO}_2$  was the minority phase at one pulse and a near equal amount of  $\text{MoO}_2$  and 2H- $\text{MoS}_2$  was present in a mixed phase at ten pulses. The BON/ITO heterostructures were the only substrate in which the  $\text{MoS}_x$  films were not ablated after annealing at a fluence at 450 mJ for ten pulses.

### 3.3.6 Annealing of $\text{MoS}_x$ Films on Bare Glass Substrates

$\text{MoS}_x$  films were deposited on bare glass substrates as they are electrically insulating and could thus be used for Hall effect measurements.  $\text{MoS}_x$  shown crystallizations of the 2H- $\text{MoS}_2$  phase only when annealed at the highest fluence of 450 mJ for one and five pulses as shown in Figure 3.27. The films were ablated from the substrates after ten pulses for the max fluence allowed.



**Figure 3.27: Raman spectra of vibrational modes of  $\text{MoS}_{2+}$  sample films on bare glass after being laser annealed at 450 mJ for one and five pulses.**

**Table 3.21: Raman peak locations of MoS<sub>x</sub> films on glass substrates after laser annealing.**

Raman Modes	450x1	450x5	x-MoS <sub>2</sub>	px-MoS <sub>2</sub>
E12g	379	376	386	380
A1g	406	402	408	405.5

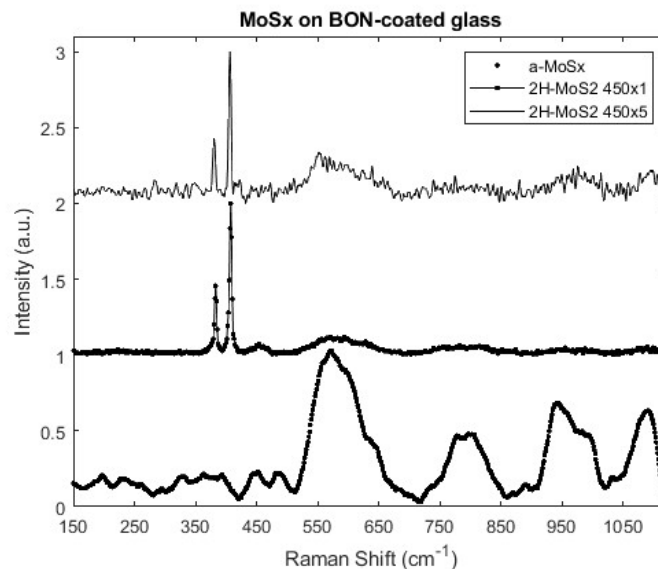
Previously the MoS<sub>x</sub> films on glass had not met the crystallization threshold during laser annealing due to the thermal properties of glass, such as low thermal conductivity of 1.05 W/(m-K) and high specific heat of 840 J/(g-K). After a laser refill which allowed the maximum fluence available on our laser system, the films were annealed at 450 mJ which was sufficient to crystallize the films. After one pulse the MoS<sub>x</sub> films on glass contained poorly crystalline 2H-MoS<sub>2</sub>, and after five pulses the crystallinity decreased as shown in Table 3.21.

### 3.3.7 Annealing of MoS<sub>x</sub> Films on BON-Coated Glass Substrates

Amorphous BON had previously been used as a full encapsulant above and below TMD films, and due to the difficulty annealing on glass the BON was deposited as an interlayer in an attempt to decrease the crystallization threshold towards 2H-MoS<sub>2</sub> crystallization from the difference in thermal properties between glass and BON. MoS<sub>3</sub> deposited on BON-glass was annealed at 450 mJ for one, five, and ten pulses. At one pulse the surface of the film is ruptured from delamination of the BON from glass. However, there are flakes still on the surface that allowed analysis of the MoS<sub>x</sub> film which is solely composed of 2H-MoS<sub>2</sub>. After five pulses more delamination of BON occurs, and a smaller portion of the total film remains, but still is crystalline 2H-MoS<sub>2</sub> as shown in Figure 3.28. After ten pulses all film is ablated.

The implementation of BON on glass did not lower the crystallization threshold, and instead the MoS<sub>x</sub> films crystallized at beam energies of 450 mJ at one and five pulses and was

ablated at ten pulses, where the MoS<sub>x</sub> on glass had the same outcomes at the same laser annealing parameters. The only slight deviations between laser annealing of MoS<sub>x</sub> films on glass and BON-coated glass were a total lack of oxidation for films on BON-coated glass and the high crystallinity of the 2H-MoS<sub>2</sub> on BON at a single pulse of 450 mJ shown in Table 3.22. The lack of oxidation is presumed to be better adhesion between MoS<sub>x</sub> and BON than MoS<sub>x</sub> and glass, leading to less sulfur evaporation and infiltration by oxygen.



**Figure 3.28:** Raman spectra of vibrational modes of MoS<sub>2+</sub> sample films on BON-coated glass after being laser annealed at 450 mJ for one and five pulses.

**Table 3.22:** Raman peak locations of MoS<sub>x</sub> films on BON-coated glass substrates after laser annealing.

Raman Modes	450x1	450x5	x-MoS <sub>2</sub>	px-MoS <sub>2</sub>
E12g	383	380	386	380
A1g	408	407	408	405.5

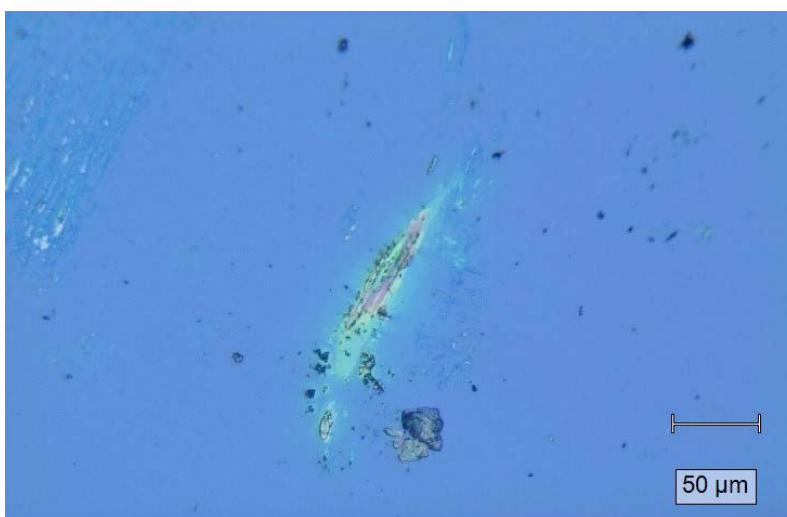
### 3.3.8 Annealing of MoS<sub>x</sub> Films on SiO<sub>2</sub> Substrates

Thermally grown silicon dioxide has both necessary conditions of favorable thermal properties towards crystallization while being electrically insulating for identifying the films



semiconducting electrical properties through Hall effect measurements. Silicon and SiO<sub>2</sub> have the most beneficial thermal properties of all substrates used in this work, such as thermal conductivity of 148 and 1.3 W/m K and specific heat, for silicon and SiO<sub>2</sub>, respectively. Therefore, the MoS<sub>x</sub> films on SiO<sub>2</sub> underwent the most thorough laser annealing from 100, 200, 300, 400, and 450 mJ one for one, five, and ten pulses.

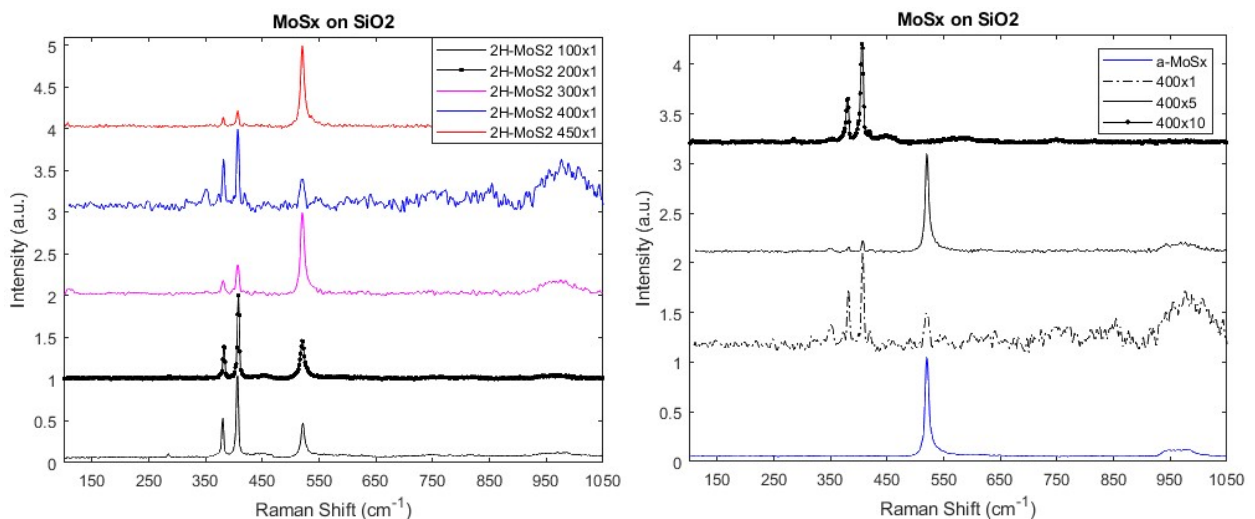
The samples annealed at 100 mJ for one pulse yielded a high crystalline quality 2H-MoS<sub>2</sub> regions (these have high intensity and narrow FWHM characteristic Raman peaks) as well as regions with mixed phases of 2H-MoS<sub>2</sub> and MoO<sub>2</sub>. After five pulses no 2H-MoS<sub>2</sub> remained but there was some MoO<sub>3</sub>, and ten pulses resulted in a complete ablation. One pulse of the MoS<sub>x</sub> film at a fluence of 200 mJ also generates a good crystalline quality 2H-MoS<sub>2</sub> with a small portion of MoO<sub>3</sub> and mixed phase MoO<sub>2</sub> and 2H-MoS<sub>2</sub>. After five pulses the amount and quality of 2H-MoS<sub>2</sub> crystallites was unchanged but no oxides were detected. After ten pulses at 200 mJ, elemental sulfur phase is formed and both MoO<sub>2</sub> and MoO<sub>3</sub> are present at the surface.



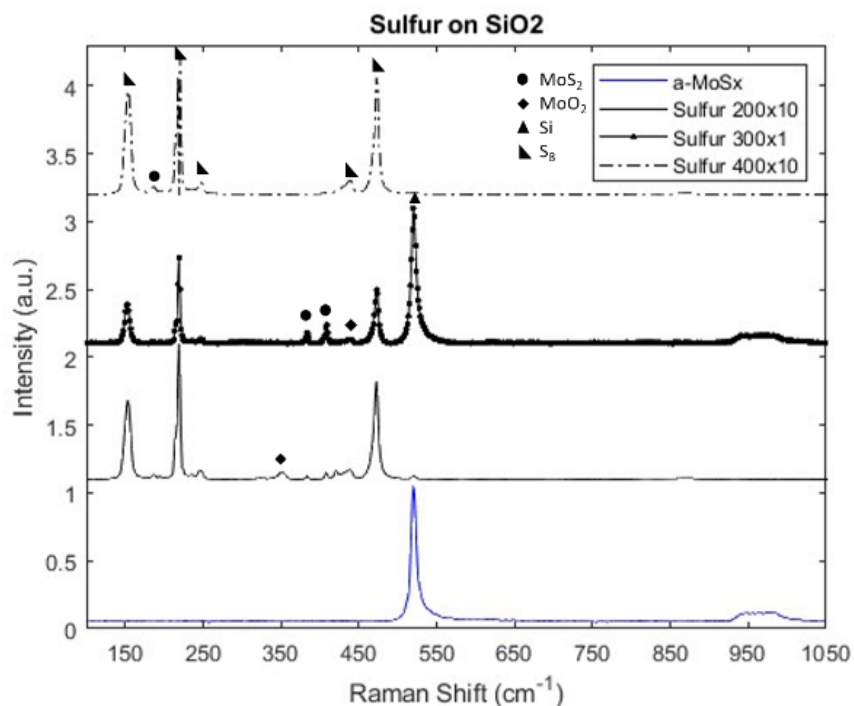
**Figure 3.29: Optical images of sulfur-rich site of MoS<sub>2+</sub> on SiO<sub>2</sub> substrate after being laser annealed at 300 mJ for one pulse.**

For MoS<sub>x</sub> films annealed at 300 mJ, one pulse induces 2H-MoS<sub>2</sub> phase as well as the

elemental sulfur segregation shown in Figure 3.29 with a small fraction of oxide growth. After five pulses at 300 mJ, the poorly crystalline 2H phase is present with the same amount of oxide, and no more elemental sulfur is detected. After ten pulses only MoO<sub>3</sub> remains with signs of the film ablation. MoS<sub>x</sub> annealed at 400 mJ for one pulse promoted mostly MoO<sub>2</sub> formation with only one spectrum of 2H-MoS<sub>2</sub> detected with a small amount of mixed phase oxide as shown in Figure 3.30 (right). After five pulses the 2H phase grows and increases in crystallinity, with a reduction in the relative fraction of oxide phase. After ten pulses the elemental sulfur segregates, while the 2H phase continues to grow in phase fraction and increases in crystallinity, with the relative fraction of oxide reducing even more. For MoS<sub>x</sub> annealed at a maximum fluence of 450 mJ for one pulse, Raman analysis detected mostly poorly crystalline 2H-MoS<sub>2</sub> with a small amount of MoO<sub>3</sub>. After five pulses there is very little film present at the surface due to ablation with only a single flake of MoO<sub>3</sub> detected. Finally, at ten pulses of 450 mJ no films remain on the surface.



**Figure 3.30: Raman spectra of vibrational modes of MoS<sub>x</sub> sample films on SiO<sub>2</sub> after being laser annealed for one pulse at beam energies of 100, 200, 300, 400, and 450 mJ (left) and one, five, and ten pulses at 400 mJ (right).**



**Figure 3.31: Raman spectra of vibrational modes of MoS<sub>x</sub> sample films on SiO<sub>2</sub> which contain sulfur crystallites after being laser annealed for ten pulses at 200 mJ, one pulse at 300 mJ, and ten pulses at 400 mJ.**

These thermal properties reduced the threshold towards crystallization as MoS<sub>x</sub> films on SiO<sub>2</sub> underwent the phase transformation to 2H-MoS<sub>2</sub> on SiO<sub>2</sub> at the lowest fluence and number of pulses possible for our laser system (one pulse at 100 mJ) and the lowest for all substrates in this study. Further, the MoS<sub>3</sub> films suffered the least amount of ablation on SiO<sub>2</sub> vs. all other substrates. All films on SiO<sub>2</sub> formed 2H-MoS<sub>2</sub> for one pulse for all fluences as shown in Figure 3.31.

Based on Table 3.23, the SiO<sub>2</sub> the films tended to be best after a single pulse, where only one fluence of 400 mJ promoted growth in 2H-MoS<sub>2</sub> and better film quality at a higher number of pulses. The films with the highest crystallinity on SiO<sub>2</sub> were annealed at a fluence of 200 mJ while higher fluences of 400 and 450 mJ promoted more growth of the 2H phase, leading to the only network of 2H-MoS<sub>2</sub> forming at 450 mJ.

**Table 3.23: Raman peak locations of MoS<sub>x</sub> films on SiO<sub>2</sub> after laser annealing.**

Raman Modes	100x1	200x1	200x5	300x1	300x5	400x1	400x5	400x10	450x1
E12g	380	382	382	380	383	382	382	380	382
A1g	407	408	408	406	408	407	407	405	404

### 3.3.9 Summary of Laser Annealing of Films on Various Substrates

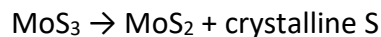
Raman analysis confirmed all substrates were covered by an amorphous film before annealing, and 2H-MoS<sub>2</sub> was formed on every investigated substrate surface except for the BON-coated SiO<sub>2</sub> surface. MoS<sub>x</sub> on every substrate besides films on ITO-coated glass and encapsulated BON on ITO-coated glass were confirmed to be ultra-thin based on a broad feature composed of three peaks at 440, 450, 459 cm<sup>-1</sup> which forms for ultra-thin 2H-MoS<sub>2</sub>.<sup>[34, 88]</sup>

Overall, a critical threshold fluence of the laser energy was always necessitated in order to reach a phase transformation. MoS<sub>x</sub> films on ITO-coated glass were the only films where 2H-MoS<sub>2</sub> was not formed after one pulse of a given fluence, but a higher number of pulses at the same fluence was sufficient for crystallizing 2H-MoS<sub>2</sub>. All other film/substrate combinations that did not induce crystallization for a given fluence at one pulse was also unsuccessful in yielding crystallization at the same fluence for a larger number of pulses. Instead, increasing fluence to meet the critical fluence threshold was necessary in order to reach a phase transformation. As a general observation across all substrates, a lower number of pulses at a given critical fluence was needed to induce crystallization with a more pronounced formation of 2H-MoS<sub>2</sub> phase as compared to oxide phase formation. Counterintuitively, for a given fluence that is above the critical for crystallization, the best 2H-MoS<sub>2</sub> crystallinity was

observed at a single pulse for all substrates. If 2H-MoS<sub>2</sub> formed at a lower number of pulses for a given fluence, a higher number of pulses at that same fluence resulted in a reduction in crystallinity of the 2H phase and the increase in oxide formation.

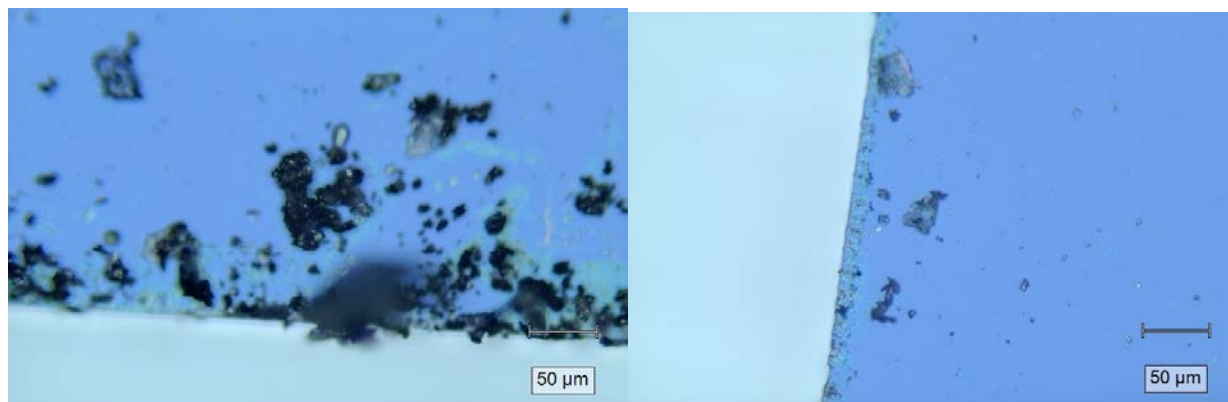
The decomposition at higher number of pulses is evidenced by the segregation of sulfur and the formation of elemental sulfur that formed for MoS<sub>x</sub> films on SiO<sub>2</sub> and films encapsulated by BON after laser annealing at higher fluences, where the decomposition of 2H-MoS<sub>2</sub> is likely caused by the evaporation of sulfur at higher temperatures induced from laser irradiation. There is also a thermodynamic competition of 2H-MoS<sub>2</sub>, m-MoO<sub>2</sub>, and α-MoO<sub>3</sub>, where m-MoO<sub>2</sub> and α-MoO<sub>3</sub> form at higher temperatures.<sup>[35]</sup> Mixed phases of 2H-MoS<sub>2</sub> and evidence of MoO<sub>2</sub> and/or MoO<sub>3</sub> were also formed for all substrates after laser annealing. Higher number of pulses generally led to an increase in the fraction of oxides detected. There was a transition of MoS<sub>x</sub> to MoO<sub>2</sub> evidenced by the mixed 2H-MoS<sub>2</sub> and MoO<sub>2</sub> phase present for all substrate types, then a slow transition of m-MoO<sub>2</sub> to α-MoO<sub>3</sub> at higher number of pulses and fluences.<sup>[35]</sup> C.H. Change et al. and Lince et al. both report MoS<sub>3</sub> films decomposing into MoO<sub>3</sub> at higher laser powers, where excessive heating MoS<sub>3</sub> from laser irradiation in ambient environments caused the oxidation.<sup>[75-76]</sup>

Only films deposited on SiO<sub>2</sub> or encapsulated by BON formed elemental sulfur phases. This was assumed to be due to the decomposition of amorphous MoS<sub>3</sub> to crystalline MoS<sub>2</sub> where the reaction is proposed by Lince et al. to be,



which occurs at temperatures above 350 °C.<sup>[61], [76]</sup> The sulfur crystals were confirmed to be the γ-S8 crystal structure from the respective vibrational modes during Raman analysis. Thus, the

presence of elemental sulfur is evidence of  $\text{MoS}_3$  precursor on those substrates which decomposed after laser irradiation. Sulfur crystallized for  $\text{MoS}_x$  films on  $\text{SiO}_2$  at higher fluences, likely indicating the laser irradiation necessary to heat the films to  $350^\circ\text{C}$ , and contained a mixed phase of  $\text{MoS}_2$  and  $\alpha\text{-S}$ , as predicted by the proposed decomposition reaction. The 2H- $\text{MoS}_2$  with the highest crystallinity based on peak location was measured in this study were found in the sulfur phases on the  $\text{SiO}_2$  substrate produced from 200 mJ at ten pulses and 300 mJ at a single pulse. After 400 mJ at ten pulses, the 2H- $\text{MoS}_2$  in sulfur displays very short broad peaks that are easily missed shown in Figure 3.32. This is likely an indicator that the decomposition of amorphous  $\text{MoS}_3$  into 2H- $\text{MoS}_2$  and  $\alpha\text{-S}$  has been exhausted, which could explain why the following sets of samples annealed at 450 mJ at one pulse formed the only continuous network of 2H- $\text{MoS}_2$ . More sulfur crystallites formed from the laser annealing of  $\text{MoS}_x$  encapsulated by BON than any other samples, yet the 2H- $\text{MoS}_2$  peaks in the sulfur crystallites are similarly broad with low intensities as shown in Figure 3.24. Instead, oxides formed likely as a result of the phase transition of amorphous BON to h-BN which took place and released oxygen.



**Figure 3.32: Optical images of  $\text{MoS}_{2+}$  crystallites on edge of  $\text{SiO}_2$  substrate after being laser annealed for five pulses at 400 mJ (left) and one pulse at 450 mJ (right).**

All substrate types yield some amount of ablation and/or delamination at the high fluences during amorphous MoS<sub>x</sub> film annealing. A higher number of pulses generally led to ablation, where only the films on the MIS structure was not ablated at 450 mJ for 10 pulses. All other films were annealed at 450 mJ for high numbers of pulses or were ablated at lower temperatures. Ablation likely was likely most dependent on film adhesion to the substrate, as films on substrates with different thermal properties did not fair any differently at the maximum fluence. Amorphous BON was used as an interlayer and encapsulant in order to reduce ablation and oxidation from better adhesion and protecting the MoS<sub>x</sub> from ambient environment. BON as an encapsulant did not prevent oxidation, as the MoS<sub>x</sub> film may have oxidized from the oxygen in BON after sputtering, but the BON seemed to prevent sulfur evaporation evidenced by the amount of elemental sulfur at later fluences. BON as an interlayer did allow the highest fluence and number of pulses combo where all other films ablated, which also limited the oxidation of the films. Hexagonal BN formed for both the encapsulated film and MIS structure, while it could not be determined if the amorphous BON on glass formed h-BN as it had some amount of ablation/delamination for all number of pulses.

### 3.4 Electronic Properties of the Films

Electrically insulating substrates are required to record Hall effect measurements of the films, so although films on glass ITO-coated glass had promising homogeneity of 2H-phase and high conductivity, they are incompatible with Hall measurements to record the film's carrier type which was the motivation for depositing insulating BON layers on top of it. However, the MoS<sub>x</sub> films annealed on the MIS did not display the level of crystallinity necessary, and the MoS<sub>x</sub> films deposited and annealed on SiO<sub>2</sub> substrates were selected for Hall effect

measurements. Films on SiO<sub>2</sub> were only films that grew in 2H phase at higher fluences, where a network of 2H-MoS<sub>2</sub> formed along the edges of the SiO<sub>2</sub> after annealing at 400 mJ for five pulses and at 450 mJ for one pulse, as shown in Figure 3.32.

Ultimately the 2H phase formed at 450 mJ for one pulse displays the conductivity required for Hall measurements, where the results can be seen in Table 3.24. Films were expectedly p-type as a result of the excess-sulfur, with high bulk and sheet concentrations, respectable conductivity, and high mobility.



**Table 3.24: Hall effect measurements of p-type MoS<sub>2</sub> on SiO<sub>2</sub> after laser annealing at 450mJ energy for one pulse.**

Test number	Bulk Concentration [cm <sup>3</sup> ]	Sheet Concentration [cm <sup>2</sup> ]	Resistivity [Ω-cm]	Conductivity [1/Ω-cm]	Magneto-Resistance [Ω]	Mobility [cm <sup>2</sup> /Vs]	Average Hall Coefficient [cm <sup>3</sup> /C]
1	-3.50E+19	-7.00E+13	2.44E-05	4.10E+04	2.67E+04	7.31E+03	-1.78E-01
2	1.55E+17	3.10E+11	2.73E-05	3.67E+04	1.65E+04	1.48E+06	4.02E+01
3	3.67E+16	7.34E+10	2.79E-02	3.58E+01	3.12E+04	6.10E+03	1.70E+02
4	2.06E+17	4.11E+11	9.89E+01	1.01E-02	4.74E+04	3.07E-01	3.04E+01
5	1.34E+18	2.67E+12	6.95E-04	1.44E+03	4.15E+04	6.73E+03	4.67E+00
P-type average	4.33E+17	8.66E+11	2.47E+01	9.53E+03	3.41E+04	3.72E+05	6.14E+01

## CHAPTER 4

### CONCLUSIONS

The original scientific hypothesis for the ability to produce p-type crystalline TMD films by laser annealing of sulfur-rich amorphous precursor films produced by magnetron sputtering from targets with high chalcogenide content has been confirmed. Several knowledge gaps important to the scientific and engineering community regarding TMD thin films were filled by the results of this study; including how excess-chalcogen TMD sputtering targets can be produced, how they perform over extended sputtering time, and how the growth of amorphous chalcogen-rich precursors at room temperature can be accomplished and coupled with laser annealing for conversion to crystalline 2H phases to produce p-type semiconducting TMD films.

It was found that the ball milling and hotpressing processing methods proved to be the best manufacturing techniques for producing highly crystalline denser targets with the highest chalcogen to transition metal ratio in the range of 2.7-4.2 for W-S, Mo-S and W-Se sputtering targets. Acoustic mixing and liquid phase sintering were found to provide good target homogeneity and reduced sulfur phase segregation, but the acoustic mixing added more oxygen to the powder than ball milling. The oxygen incorporation can be reduced by using a vacuum processing environment, the porosity of the liquid phase sintered targets limits its current usability and needs to be addressed with changes in processing parameters. Based on these results, sulfur-rich  $WS_{2.7}$  and  $MoS_{3.6}$  targets prepared by ball milling and hotpressing were selected for the studies of TMD film sputtering and laser annealing.

The excess-sulfur targets prepared by ball milling and hotpressing performed well during

sputtering. The decrease of sulfur to metal ratio during sputtering was analyzed from the perspective of the target surface and bulk evolution over extended periods of sputtering using  $WS_{2.7}$  target as a representative example. A decrease in S:W ratio was found over extended sputtering use for both the excess-sulfur and stoichiometric targets. The determined mechanism was the selective sputtering of sulfur, leading to surface depressions and the formation of metal dendrite structure in such sulfur depleted regions. An observation was also made for additional elemental sulfur phase segregation in the presence of metal dendrites. It was hypothesized to be caused by a process similar to that of sulfur electrodes in batteries and where the tungsten was concluded to act as a catalyst. This suggested mechanism was corroborated by SEM/EDX, Raman, XRD and XPS results. Polishing target surfaces proved to be a necessary maintenance step for all TMD based targets used in this work, where polishing both removed damage and restored, and in some cases improved, the chalcogen to transition metal ratio of the targets.

The amorphous sulfur-rich TMD precursor films were produced at the room temperature by sputtering a  $MoS_{3.6}$  target.  $MoS_x$  films (with x in the range of 2.4-2.6) were deposited at a working pressure of 10 and 20 mTorr and contained a ratio of  $MoS_2$  and  $MoS_3$  compounds. These precursor films sputtered at a working pressure of 20 mTorr yield a higher S:W ratio and were the only films which provided 2H- $MoS_2$  crystallization after laser annealing at 100 mJ for ten pulses. From these results, a working pressure of 20 mTorr was selected for succeeding sulfur-rich TMD film synthesis.

Produced sulfur rich precursor  $MoS_x$  films were successfully crystallized by laser annealing with 248 nm laser beam in air on all substrate types used in this study (BON, ITO,

glass, SiO<sub>2</sub>) and confirmed to be ultra-thin by way of Raman analysis. For the considered substrates, the highest crystalline quality films were found to form when using the lowest number of pulses with fluences exceeding the threshold of the crystallization. The crystallization threshold was dependent on the used substrates, ranging from 100 mJ for films on SiO<sub>2</sub> to 450 mJ for films on glass. Increasing fluences above threshold and increasing number of pulses led to preferential growth of molybdenum oxides rather than the 2H-MoS<sub>2</sub> phase, which was a competing phase formation mechanism during the laser annealing in air. MoS<sub>x</sub> films on SiO<sub>2</sub> and ITO-coated glass had the lowest threshold for crystallization at 100 mJ and produced the films of highest crystallinity of the 2H-MoS<sub>2</sub> phase.

A network of 2H-MoS<sub>2</sub> crystals on SiO<sub>2</sub> was formed for MoS<sub>x</sub> films laser annealed on SiO<sub>2</sub> at 450 mJ fluence for a single pulse, allowing Hall effect measurements to be recorded. The 2H-MoS<sub>2</sub> film was confirmed to be p-type based on the bulk and sheet concentration of holes of 4.33E+17/cm<sup>3</sup> and 8.66E+11/cm<sup>2</sup>, respectively. The films had a modest conductivity of 9.53E+03/Ω-cm and a high mobility of 3.72E+05 cm<sup>2</sup>/Vs. Hall effect measurements confirmed sulfur-rich is p-type with electrical properties which are favorable for use in optoelectronics, which validates the approach of coupling the room temperature sputtering with excess-sulfur targets and laser annealing the sulfur-rich amorphous precursors into p-type 2H-MoS<sub>2</sub> semiconducting films.

The results of this work clearly demonstrate that the suggested preparation of sulfur-rich targets and coupling of RF magnetron sputtering and UV laser annealing processes allow for the room temperature sputtering of amorphous TMD precursors and their laser annealing to 2H crystalline phases universally on multiple substrate types. Furthermore, the suggested

process can produce films as n- or p-type based on either changes in magnetron sputtering deposition parameters or laser annealing parameters for controlling the S:W ratio of the films. This allows for the formation of P/N junctions or heterojunctions of TMDs on polymers or other substrates without the added steps of a transfer method, doping, or an additional sulfur incorporation by a high temperature annealing. This permits high throughput and scalable production of electronic devices, such as transistors, sensors, photodetectors, or photovoltaics on variety of substrates.

## CHAPTER 5

### FUTURE WORK

#### 5.1 Target Manufacturing

Liquid phase sintering was promising in making homogenous targets with low amounts of oxygen inclusions, but the processing parameters need to be fine-tuned in order to decrease their porosity and limit the evaporation of the chalcogen atoms during sintering.

#### 5.2 Sputtering Use of Chalcogen-Rich Targets

Further testing is needed for other TMDs targets to determine if the chalcogen-deficiencies found in the W-S targets are common across TMDs after sputtering use. Likewise, targets composed of binary compounds with large differences in vapor pressure must be tested to determine if this phenomenon is not exclusive to  $WS_2$  or other TMDs.

#### 5.3 Laser Annealing

The sulfur-rich  $MoS_x$  were annealed on different substrate at the maximum fluences allowed with the laser used in this work. Using higher fluences or employing rastering may be required to produce more continuous 2H-films. Additionally, since this manufacturing processing is acceptable of polymer substrates, depositing  $MoS_x$  films and annealing directly on polymer substrates needs to be tested. Full device implantation of either transistors or devices with heterojunctions could be manufactured using n- and p-type films deposited from the same excess-sulfur target and laser annealed is now possible.

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