STRAIN DISTRIBUTION ANALYSIS IN RING UPSET FORGING
AND HOT-ROLLING BY PHOTOPLASTICITY

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Strain distribution analysis in ring upset forging
and hot-rolling by photoplasticity

by

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Strain distribution analysis in ring upset forging
and hot-rolling by photoplasticity

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Laminac, a photomechanic material which exhibits visible light birefringence at strains beyond the elastic limit, was employed to determine the three dimensional strain distribution in upset forged rings and in hot rolled bars. Specifically, a mixture of 70% rigid Laminac 4116 and 30% flexible Laminac 4134 polyester resins was used. This mixture when tested at 51°C provides a stress-strain curve that exhibits little or no strain hardening and simulates, at least in the first approximation, the characteristics of aluminum when it is deformed at about 425°C. Using photoplasticity techniques, the three dimensional strain distribution along the mid-section of rings was determined. The Male-Cockcroft (1) empirical method for determining coefficients of friction was applied in part to 70:30 Laminac rings compressed between various platens with different surface characteristics. The neutral radius and the interfacial friction factor for compressed rings were determined by Avitzur's (2) analytical method and compared with the experimental values. The neutral radius

values correlated very well.

By sectioning rolled billets of 70:30 Laminac, it was possible to determine the three dimensional strain distribution produced by rolling. Billet geometry and roll speed influence the severity of double bulge formation that occurs in hot-rolled aluminum in commercial production. A good correlation was established regarding lateral spread in the rolling of 70:30 Laminac blocks and the spread predicted by the theory of Oh and Kobayashi (3).

1. Male, A. T., and M. G. Cockcroft. "A Method for the Determination of the Coefficient of Friction of Metals Under Condition of Bulk Plastic Deformation." Journal of the Institute of Metals 93 (1964), 38-46.
2. Avitzur, B. "Forging of Hollow Discs." Israel Journal of Technology 2, No. 3 (1964), 295-304.
3. Oh, S. I., and Shiro Kobayashi. "An Approximate Method for a Three-Dimensional Analysis of Rolling." International Journal of Mechanical Sciences 17 (1975), 295-305.

INTRODUCTION AND LITERATURE REVIEW

Metal forming processes date back 3000 years. Their importance, then and now, is included in the fact that the majority of manufactured metallic products require in part, or totally, a forming operation. Despite the long existence and use of certain forming operations such as hot rolling, cold rolling, drawing, sinking, swaging and extruding, high forming yields have not been achieved in many areas of industrial production. This is due largely to lack of understanding, or to complete ignorance, of some forming parameters. In order to improve the forming process and the sequence which yields the desired final product, the effects of parameters such as strain, strain rate, friction, temperature, billet size etc., on forming operations and their products must be understood better.

One of the key requirements for more precise analyses of deformation forming processes is an accurate description of the three-dimensional states of stress or strain within a body undergoing plastic deformation. Coupled with this, is the need to understand the extent to which friction between the work piece and die influences the three-dimensional strain distribution in the deformed body. Friction is, with some significant exceptions, an unwanted factor with a number of undesirable side effects. In general, it raises the forces

and the power requirements, subjects the deformation tool to higher pressure, renders deformation less uniform within the work piece, raises the temperature of the interface and may set limits to the maximum obtainable deformation.

A survey of the literature regarding forming operations in general revealed that a complete strain distribution has not been established even for unidirectionally rolled billets. Presumably, this is a consequence of the fact that no reliable method is available for establishing three-dimensional strain distributions associated with different sequences of rolling or, for that matter, for most forming operations. A simulative material as well as an experimental technique with a capability for providing three-dimensional strain distribution is sorely needed. It is towards this goal that the present research is directed. A thorough understanding of the three-dimensional strain or stress distribution obtained with modelling materials could help solve some of the problems encountered in commercial forming operations.

Mechanics of Ring Upset Forging

The importance of friction in all forming operations has led to the development of various experimental methods to determine the coefficient of friction and how it affects deformation processes. Among the early pioneers in this field of research were Male and Cockcroft (1) who developed an

experimental test called the ring compression test for the determination of the coefficient of friction of metals under conditions of bulk plastic deformation. Their experiment involves a simple upset forging operation carried out on a flat ring-shaped specimen. The coefficient of friction is related to the change of internal diameter produced by a given amount of compression in the thickness direction. Based on their experiment, an empirical formula, through which the change in internal diameter is related to the coefficient of friction, was developed. The empirical formula they gave had the form $\Delta D = m \ln\left(\frac{\mu}{0.055}\right)$, where m is given by: $\ln m = (0.044 \times \text{deformation percentage}) + 10.6$, ΔD is the percentage decrease in internal diameter of a ring upon compression and μ is the coefficient of friction. As will be shown later, this formula is incorrect.

A major setback of the method is that a satisfactory theoretical analysis of the compression of a ring is not yet available. Consequently, numerical values of the coefficient of friction μ can be obtained only by an independent calibration method. The simplified analysis attempted by Male and Cockcroft did not fit their experimental results that were obtained presumably because effects due to barrelling of the specimen could not yet be treated theoretically. Nevertheless, the ring compression test is often used to determine the coefficient of friction between the die and the

work piece.

The change in geometry during upset forging of hollow discs has been investigated analytically in recent years. Avitzur (2) developed a mathematical expression for the flow of the inner and outer radii of a disc as a function of the motion of the platens, dimensions of the disc, and friction between disc and platens. By using the minimum power approach which he called the Lower Upper Bound solution, the neutral radius was determined based on the assumption of a constant shear factor between platen and disc. The material was assumed to obey Levy-Mises stress-strain rate relations, implying no strain hardening effect, no elastic deformation and consequently no volume change.

The major disadvantage of this mathematical expression is that the assumed velocity field produces a stress distribution which does not satisfy the boundary condition of the interface between disc and platens. The point-by-point agreement with actual conditions cannot be judged without the exact solution or an elaborate experimental study.

Plasticity theory analysis of geometry changes during compression of hollow cylinders have been insufficiently developed or their method of application has been very involved. Therefore, Hawkyard and Johnson (3) developed a simple means of predicting strains in the deforming cylinder from a series of curves which were obtained from geometry

changes during the compression process of the cylinders.

From stress equilibrium considerations, they determined the current position of the neutral surface from which radial movement originates and hence deduced the incremental strain or strain rate pattern. The geometric changes throughout the process were obtained by integrating the incremental strains at the inner and outer surfaces. In their analysis they assumed that there was no barrelling, thereby, making the actual conditions very simple. Such an assumption will definitely increase the error with increasing frictional stress and increasing cylinder height.

An in-depth study of the Male and Cockcroft method of determining the coefficient friction was made by Van Sant (4) whereby a mathematical technique was developed that enables the friction effect to be considered quantitatively. The validity of the proposed mathematical analysis which depends on an assumed expression for the neutral radius has not been checked with experiment.

The practicality of some existing forming theories has been based on simultaneous fulfillment of two conditions; a) the plastic zone is narrow in one direction and b) there is a high degree of symmetry (usually axial), effectively reducing the number of independent coordinates to one. Where either of these conditions does not obtain, no mathematically respectable theories appear to be available,

even for simple basic forming operations such as flat tool forging or hot-rolling of rectangular sections.

Taking these facts into consideration, Hill (5) proposed a new method of analysis for any technological forming process. This analysis, he claimed, is applicable to any material which is plastic in a broad sense, and whatever the friction or tool geometry. The procedure of his method involves choosing initially a class of velocity fields from which the best approximation is eventually taken. The chosen velocity field has to satisfy the static requirements.

Recently, Lahoti and Kobayashi (6) employed Hill's analysis to explore the modes of deformation in ring compression with barrelling. They investigated the nonuniformity of flow in the thickness direction. The neutral radius at various stages of deformation was determined by assuming the neutral radius to be independent of the bulge formation during compression. They found that the geometrical changes obtained by Hill's method agreed very well with previously reported theoretical works.

The major advantages of the aforementioned methods of studying friction at yielding surfaces are that no direct measurement of force is required, and no yield strength values are needed, hence the major difficulties of compression testing at high temperatures or high strain rates are eliminated. What these methods have failed to produce

is the true spectrum of the internal strain distribution starting from the internal diameter to the outside diameter of axially compressed rings whose geometries have been chosen to prevent barrelling.

Mechanics of Hot-Rolling

Most of the well-known theories of rolling (7) have been developed to predict the load and torque required by the rolling mill, and possibly the lateral spread when billets having width to thickness ratios larger than 6 or 10 are rolled. In the formulation of these widely known theories, a number of basic assumptions (7) were made, e.g.: the metal being formed is a continuous isotropic medium; homogeneous deformation; constant yield stress during the pass; circular arc of contact; mathematical approximations; constant coefficient of friction along the arc of contact; plane strain by neglecting lateral spread; the Huber-Von Mises condition of plasticity; slipping takes place along the length of the arc of contact; elastic compression of the strip is negligible; small contact angle and constant speed of rolling. When rolling sections which have width to thickness ratios less than 6, such as is common in hot-rolling of ingots into billets, the theoretical predictions become unreliable and predictive work has to resort to empirical formulas with limited ranges of application. The

most recent empirical formulations are due to El-Kalay and Sparling (8), Helmi and Alexander (9) and Beese (10).

The rolling process is complicated, by many factors, to the extent that it is not possible to obtain a solution for even the relatively simple problem of flat-rolling and a proved, accurate, general solution is not yet known. Two-dimensional states of strain or stress have been described analytically for the rolling process using the aforementioned assumptions (7). Knowledge of three-dimensional strain or stress states and how they vary with work piece geometry and other process variables will help improve existing theories and probably will lead to the development of new theories or accurate numerical methods such as the finite element approach.

Different approaches have been taken by different investigators to gain information about the strain distribution within a body undergoing plastic deformation during hot-rolling. Experimental techniques employed to obtain this type of information typically involve the placement of grids of one material into another model material. The composite material is deformed, sectioned, and the displacements usually in only two dimensions, are measured. For example, Averbach (11) determined the distribution of principal strains within a cast bar of tin by radiographing an embedded network of lead containing squares approximately 2.1 mm wide (144 network

squares per square inch) after a 20% rolling reduction. His results were computed from only two vertical sections where he was able to evaluate the longitudinal and vertical strains directly from the radiographs. The lateral strains were totally neglected based on the fact that they were too small for accurate measurement. Instead, he evaluated the lateral strains by invoking the constancy of volume condition. This condition would have to be in error by 50% to account for the observed lateral strains; however, deviations of this magnitude were not observed.

Orowan (12) studied plastic flow in rolling by part-rolling wide slabs composed of alternate layers of gray and white plasticine between unpolished wooden rolls. These conditions established a coefficient of friction greater than one, thereby providing for complete sticking to occur. After the laminated plasticine slabs were part-rolled, they were withdrawn from the rolls and split longitudinally at the center line to reveal the strain distribution. Orowan neglected observations which were not amenable to analysis within the framework of the mathematical formulation of his rolling theory. For example, the deformation which occurred at the entry side of the mill where the material is squeezed backwards out of the roll gap could not be incorporated in his analysis. Furthermore, he assumed a small angle of contact but obtained large angles of contact in his experiment.

This led to considerable error in his calculations. (An analysis appropriate to large angles of contact needs to be formulated.) Nevertheless, Orowan's theory of rolling seems to stand as the best available since his theory avoids the usual assumption that deformation can be regarded as a homogeneous compression locally and takes into account the inhomogeneity of stress distribution. Furthermore, his theory takes into consideration any given variation of the yield stress and of the coefficient of friction along the arc of contact.

A few attempts have been made recently regarding three-dimensional analysis of rolling. Oh and Kobayashi (13) proposed a theory based on an extremum principle for rigid perfectly plastic material to analyze the three-dimensional deformation in rolling. They obtained theoretical solutions for single-pass rolling in terms of sidewise spread, roll torque and the location of a neutral point. Their theory revealed the fact that the amount of spread decreases as initial width-to-thickness ratio increases and as the initial thickness with respect to roll diameter increases. Previous experimental results based on this fact correlated excellently with their theoretical results. Their theory also agrees excellently with experimental results from rolling of lead (14) which shows that roll torque increases with increasing reduction and with increasing initial thickness. From their

theoretical calculations, they claimed that there is a small increase in torque with increasing friction at low reductions. They reported that by decreasing the friction the neutral point moved toward the exit plane.

Despite the excellent agreement with experimental results, their theory was based on the assumption of a class of surface shapes followed by the determination of the velocity field which minimizes the functional for the assumed surface shape. The minimization was achieved by assuming a class of velocity fields for all shapes assumed and from these velocity fields, the steady-state velocity field and corresponding surface shape was found. The accuracy of their results depends on how closely the assumed surface shapes and velocity field approximate the actual solution.

Photoplastic Techniques

Photoelasticity is that branch of experimental stress analysis which utilizes the phenomenon exhibited by some transparent materials which are optically isotropic when free of stress but become temporarily birefringent (i.e., they are temporarily doubly refractive) in the directions of the principal strains or stresses when loads are applied to them. Models made from such materials can therefore be used to obtain information regarding the stress or strain distributions in materials subjected to various systems of loads (15, 16).

When viewed in a field of polarized light, the birefringence manifests itself as an optical interference pattern of light and dark bands called fringes which may be due to stress, strain, or a combination of the two, depending on the model material being used.

There arise two simultaneous sets of interference fringes which are generally called "isochromatics" and "isoclinics." It has been established that in the region of linear elastic response the isochromatics are proportional to the in-plane maximum shear stresses while the isoclinics give the in-plane principal stress directions. The two sets of fringes can be separated by suitable arrangement of the optical elements of the polarized light field or "polariscope." The quantitative relationship, which has been shown to exist between the stress and/or strain state and the optical effect, provides a precise experimental means for evaluating stress or strain distributions in extremely complicated two- or three-dimensional configurations. The method of photoelasticity has been applied to a wide variety of engineering problems which proved difficult or impossible to solve by analytical means and often provides new insight to difficult problems leading to additional mathematical development.

Experimental evidence to date indicates that the optical effects produced by stress and/or strain in transparent materials exists for both elastic and plastic deformations.

Hetenyi (17) used the results of tensile tests to study plastic strains in a nylon copolymer. He found that the isochromatics could be related to the strain distribution in this material. Emphasis in his study was placed on optical indications of yielding. Fried and Shoup (18) used polyethylene to study the photoelastic effect in a region of large deformation, and found that the optical retardation varied linearly with the principal strain difference well beyond the range of linear stress-strain behavior. Their photoelastic model predictions of strain distributions compared favorably with those present in an aluminum prototype. More recently Brill (19) selected polycarbonate as the model material for basic studies in one- and two-dimensional photoplasticity. He concluded that the birefringence is a function only of the principal strain difference and that the isoclinic parameter provided a measure of the principal strain directions. A tensile specimen with a central circular hole was used and the photoplastic results were compared with test results from metallic analogues. Good agreement was obtained.

Extensive studies of celluloid as a photoplastic material for determining factors of stress concentration and strain distributions have been made by Fried (20), Frocht and Thomson (21), Frocht and Cheng (22), and Mönch and Loreck (23). Polycarbonate material was first suggested by Ito (24); Gurtman, Jenkins and Tung (25), Brinson (26), and Whitfield

(27) have shown the applicability of polycarbonate material to elasto-plastic problems. Loreck (28) conducted studies of the mechanical and optical properties of a polyester material to determine its applicability as a possible photoelastic material. Dally and Mulc (29) recently made an in-depth study of polycarbonate as a model material for three dimensional photo-plasticity and established the strain-optic law relating the permanent plastic strains to the observed optical response.

In all of these previous studies attempts were made to apply the principles of photoelasticity to studies of plastic deformation in small zones of a specimen in regions of high stress concentration (30). Design of such components is usually based on theory-of-elasticity considerations. A major difficulty always encountered when attempting to obtain stress or strain information by photoplastic methods is the selection of a model material which has stress-strain characteristics that simulate the real material. Several of the model materials mentioned previously were satisfactory on the limited scales required by the problems which were solved. Since these tests were usually in two-dimensions and involved only limited plastic deformations, they do not appear to provide much insight into the phenomena associated with gross plastic deformation of the type encountered in forming operations. Morris and Riley (31) developed a photomechanic

material which appeared to be well suited for studies beyond the elastic limit. According to their studies, a mixture of 60% flexible Laminac EPX 126-3 and 40% rigid Laminac 4116 polyester resins adequately simulates the behavior of aluminum under uniaxial tension.

Recently Ohashi and Tadashi (32) determined the stress state within a plastically flowing body in plane strain by a photorheological method. They rolled 6 mm thick celluloid plates subjected to forward tension as well as rolling torque. They determined stresses in two dimensions and showed the effect of the applied tension on their distribution, both in the rolls and in the strip specimens. The method by which they restricted the deformation of the strip to obtain plane strain needs further investigation to evaluate the effect of the constraint on the strain distribution in the strip during rolling. The experiment was carried out at only one temperature (65°C). The variation of stress distribution with temperature also needs further investigation. Only work-piece geometries involving very large length to breadth ratios have been accounted for in-rolling investigations. The application of the photo-rheological method to billet rolling needs experimental proof. Finally, the applicability of this experiment to metal rolling has not been demonstrated.

Employing photoplasticity techniques, Burger, Oyinlola

and Scott, (33) examined the three dimensional strain distribution in rolled billets using a 60:40 Laminac mixture developed by Morris and Riley (31) as the photoplastic material. They demonstrated that the 60:40 Laminac mixture when deformed at 40°C simulates, at least in the first approximation, the characteristics of aluminum when it is deformed at about 425°C. The distribution of principal shear strains determined from the fringe patterns of rolled blocks of the photoplastic material exhibited features which could be related to edge contours known to form during commercial hot-rolling of aluminum.

Recently Koenig (34) used another brand of Laminac to develop a photomechanic material which simulates the behavior of hot aluminum under uniaxial compression. A cast of 70% rigid Laminac 4116 mixed with 30% flexible Laminac 4134 polyester resins provided a stress-strain curve that exhibited little or no strain hardening. This 70:30 Laminac mixture satisfied a majority of the requirements listed by Frocht and Thomson (21) for the transition from model to real material in elasto-plastic problems. However, a recent investigation (35) revealed that the photoplastic material did not exhibit the same yield criterion that aluminum obeys. The investigators (35) observed that the ratio of compressive to tensile yield strength was approximately 1.35 whereas aluminum has equal tensile and com-

pressive yield strengths. The other two well understood requirements satisfied by 70:30 Laminac mixture are:

- a) model and real materials must have the same shape of stress-strain curve
- b) the value of Poisson's ratio in the plastic range must be the same for both materials.

The material must also meet the following requirements which are listed in the experimental stress analysis textbook by Dally and Riley: (15)

- a) visible light transparency
- b) sensitivity to either stress or strain, as indicated by a low material fringe value in terms of either stress, f_σ or strain, f_ϵ
- c) isotropy
- d) homogeneity
- e) nonexcessive creeping
- f) high modulus of elasticity
- g) high ultimate strength
- h) material sensitivity (f_σ or f_ϵ) should not change markedly with small variations in temperature.
- i) no time-edge effects caused by absorption of water vapor
- j) machinability
- k) no residual stresses
- l) material should not be prohibitively expensive

Nondimensional stress-strain comparisons for aluminum and the 70:30 mixture of Laminac polyester resins under uniaxial compression are shown in Fig. 1.

In order to compare the stress-strain behavior of different materials, the Ramberg-Osgood (36) relation is employed. This relation allows the use of dimensionless variables indicated in Fig. 1.

The Ramberg-Osgood relation is

$$\frac{E}{\sigma_1} = \frac{\sigma}{\sigma_1} + 3/7 \left(\frac{\sigma}{\sigma_1} \right)^n$$

where E is Young's modulus, σ_1 is a secant yield stress determined by a secant modulus, $E_s = 0.7E$, σ is the true stress, ϵ is true strain, and n is a parameter chosen to provide the best fit to the stress-strain curve of the actual material being considered and is computed from the expression

$$n = 1 + \frac{0.3853}{\log_{10} \frac{\sigma_1}{\sigma_2}}$$

where σ_2 corresponds to a second secant modulus, $0.85E$.

The curves in Fig. 1 indicate that the 70:30 polyester mixture can be used to simulate the behavior of aluminum under hot working conditions.

Until the present time, all the theories of hot-rolling have been based on the various assumptions mentioned earlier. That these assumptions are not always valid can be demon-

strated, for example, by the fact that defects such as double-edge bulging, edge cracks and other defects which are not predicted by the theories appear during hot rolling of aluminum and other materials in commercial practice. Such problems stem from secondary stresses produced by the distribution of internal strains. Unfortunately, the distribution of internal strains is generally not known nor is the variation of the internal strain distribution known with respect to factors such as; work-roll diameter, reduction per pass, initial thickness of the work-piece, speed of rolling, front and back tension, nature of friction between the rolls and the material rolled, temperature field in the material, physical properties of the material, shape of the roll contour and the mill behavior under load.

It can be deduced from the above review of previous work that a three-dimensional strain distribution for ring upset forging or for hot-rolling has not been accomplished. The photoplastic techniques thus offer exciting investigative possibilities for determining the three-dimensional strain distribution in the field of deformation processing. The main purpose of this research was to employ the photoplastic techniques to analyze the three-dimensional strain distribution in upset forged rings and hot-rolled bars by using the 70:30 Laminac mixture which is suited for photomechanics studies beyond the elastic limit as described above. A better

understanding of strain distributions may provide solutions to problems encountered in forming operations. An experimental analysis of the strain distribution in the compressed rings as a function of the initial geometry will provide data for comparison with theoretical predictions. Also, such data may reveal the optimum geometry of rings to be most consistent with assumptions employed when friction coefficients are calculated from ring-compression test data.

EXPERIMENTAL PROCEDURE

Specimen Preparation

A mixture of 70% rigid Laminac 4116 and 30% flexible Laminac 4134 was blended and mixed thoroughly with 0.25% methyl ethyl ketone peroxide that served as the basic reactor, 0.10% cobalt naphthanate accelerator, and 1% of styrene and wax molding additives. The mixture was cast into cylindrical aluminum molds (12 inches high with internal diameter of 3 inches) whose inner surfaces had been sprayed with a mold release agent to enhance the removal of the cast after curing. The casts were allowed to cure partially by holding at room temperature for 24 hours. The partially cured blocks were then removed from the molds, hung in an oven for 24 hours for post-curing at temperatures from 160°F to 190°F and then cooled at a rate of 60°F per hour. In machining specimens from the cast blocks, care must be taken that stresses are not introduced as a result of clamping.

Compression of Rings

A Baldwin-Tate-Emery Universal Testing Machine modified to permit a controlled strain-rate was used for the ring compression tests to determine the coefficient of friction of the Laminac mixture. The output of a displacement transducer model 7DCDT - 1000 was fed directly to a D.C.

voltmeter that gives a voltage indication proportional to displacement. The signal was then fed to a Moseley Autograph high input impedance X-Y plotter model 2A on which the graph of the required voltage versus time has been plotted. By controlling the cross-head speed of the machine, the pen on the X-Y recorder could be made to follow the graph, thereby controlling the strain rate.

Rings of outside diameter 2.5 inches, height 0.5 inch and internal diameters of 1.625 inch, 1.000 inch and 0.625 inch were machined from a single cast of the 70% rigid- 30% flexible mixture of Laminac. Actually, 12 rings of each size were machined out. Three sets of four rings of the same geometry were compressed axially to reductions of 10, 20, 30 and 40% in height. Each of the three sets was compressed between platens of different roughness: smooth dies with a surface roughness of 10 microinches, rough dies with a surface roughness of 80 microinches, and lubricated smooth dies. A constant strain rate of 0.007 sec^{-1} was employed in the compression of the rings since this strain rate simulated the strain rate used to roll the Laminac billets at 51°C .

Additionally, a ring of outside diameter 2.5 inches, inside diameter 0.625 and height 0.5 inch machined from the 70:30 Laminac mixture was compressed between smooth dies to an 8% reduction in height at which point the load was held for five minutes. This was for the purpose of comparing the

strain distributions obtained when rings of the same geometry were compressed to a greater reduction but with the load removed immediately. The residual reductions after relaxation were similar for the two cases.

Slices were taken transversely through the thickness and circumferentially from the compressed 70:30 Laminac rings and studied photoplastically to determine the three dimensional strain distributions in the rings.

As a check on the validity of theoretical predictions, the coefficient of friction of aluminum was determined experimentally by the ring compression test. Seven aluminum rings of outside diameter 2.5 inches, inside diameter 1.0 inch and height 0.5 inch were compressed at 425°C on smooth dies to reductions in height of 10, 15, 20, 30, 40, 50 and 60%. The temperature of 425°C corresponds to the middle of the commercial rolling temperature range for aluminum. A strain rate of 0.007 sec^{-1} was employed.

Rolling of the 70:30 Laminac Mixture

After establishing the fact that the selected photoplastic material at 51°C fulfilled a majority of all necessary requirements for modelling, nineteen blocks with different thickness-to-width ratios were machined from a single Laminac cast. These blocks were rolled to various

reductions in height by an electric motor-powered rolling mill which was completely enclosed by an insulated hot-air chamber that maintained a constant temperature of 51°C. The air was heated by a resistance element and continually circulated by means of a small blower. The electric powered roll drive system used a variable speed motor linked to a speed reducer gear box which was connected to a large gear by a link chain. The large gear engaged the drive gears on the rolls. The photoplastic billets were placed in the hot-air chamber at the mill entrance until they and the mill were equilibrated at the required temperature ($51 \pm 1^\circ\text{C}$). They were then pushed gently into the mill until the rolls began to grip.

The overall advantages of the rolling mill complex is that it offers the opportunity of controlling the rolling temperature within $\pm 1^\circ\text{C}$, allows continuous variation of roll speed by a factor of 10 in the range compatible with the strain rate requirements of the photoplastic material and it allows the friction to be varied by modifying the texture of the roll surface.

During rolling the speed was adjusted to correspond to the simulative strain-rate of 0.007 sec^{-1} for aluminum. Correspondingly, the majority of blocks were rolled at 0.2 revolution per minute. Four blocks with different thickness-to-width ratios were also rolled to various reductions in

thickness at a roll speed of 0.05 revolution per minute in order to determine the influence of roll speed on the strain distributions. Using photoplasticity techniques and assuming constant volume, it was possible to determine the three dimensional strain distributions on slices taken normal to the rolling direction for each of the blocks. The rolling conditions employed are given in Table 1.

RESULTS

Compression of the 70:30 Laminac Rings

Table 2 shows the ring compression test data on smooth, rough and lubricated smooth platens. The final thicknesses were determined from an average of four measurements with a micrometer gage reading to 0.001 ins. The final internal diameters were also determined by averaging four measurements of internal diameters using a telescoping gage.

Transverse and circumferential slices were taken from each of the rings after compression as shown in Fig. 2. With the cartesian coordinates shown in Fig. 2, the difference between the algebraically larger and smaller in-plane strains at any point in the transverse slice can be expressed as:

$$\epsilon_r - \epsilon_z = \frac{N_t f_\epsilon}{h_t} \quad \text{Eq. 1}$$

where

N_t = the fringe order at the point of interest on the slice

h_t = the thickness of the slice

f_ϵ = the material fringe constant.

At any point in the circumferential slice, the difference between the algebraically larger and smaller in-plane strains can be expressed as:

$$\epsilon_r - \epsilon_\theta = \frac{N_c f_\epsilon}{h_c} \quad \text{Eq. 2}$$

where

N_c = the fringe order at the point of interest on the slice

h_c = the thickness of the slice

f_ϵ = the material fringe constant.

In another investigation (34) the fringe constant for the 70:30 Laminac was found to be 0.004 fringe/inch.

A unique method has been employed in order to determine the fringe order, N , in both the transverse and circumferential slices taken from each of the compressed Laminac rings. Previous work (34) established that the 70:30 Laminac mixture from which these rings were machined was negatively birefringent. In order to find the exact fringe order, N , in any of the slices, a diametrically loaded, stress-frozen disk made from Bakelite ERL 2774 which is positively birefringent was placed on the slice where fringe order information was needed. The fringe patterns were observed using white light with the polariscope. The stress-frozen disk was laid on the slice and oriented with its fringes parallel to those in the slice at the position where fringe order information was desired. The stress-frozen disk was translated back and forth in a direction

perpendicular to the fringes until matching integral fringe orders in the slice and disk superposed. This condition produced an extinction which appeared as a black fringe. Thus, knowing the fringe order of the disk which corresponded to the extinction, the fringe order of the extinct fringe in the slice was obtained. In other words, fringe order one in the slice provided a black fringe at the order one position in the disk while all other fringe orders in the disk remained colored. This was also true for successively increasing fringe orders. Using this technique to determine the fringe order in either of the transverse or circumferential slice at any point, the successive full fringe orders at other points could be determined from the colored fringes of the slices.

The circumferential slice taken from the center of each of the compressed rings gave the difference between the larger and smaller in-plane strains only along the mid-section of the ring from the inner radius to the outer radius (Eq. 1). Consequently, on the transverse slice the difference between the larger and smaller in-plane strains along the mid-section (Eq. 2) had to be considered. A third equation involving the three strain components was obtained by assuming constancy of volume which gives:

$$\epsilon_r + \epsilon_z + \epsilon_\theta = 0$$

Eq. 3

Therefore, the three strain components (ϵ_r , ϵ_θ and ϵ_z) at positions where the circumferential and transverse slices intersected, could be determined by simultaneous solution of the three equations (Eqs. 1, 2 and 3).

To assist in selecting the correct fringe order, N , either on the circumferential or transverse slice, dark-field isochromatic fringe patterns of the slices from each of the compressed rings were taken. The dark-field isochromatic fringe patterns of the slices taken from the rings with initial internal diameters of 1.625, 1.00 and 0.625 inch and compressed on smooth platens are shown in Fig. 3a. In Figures 3b and 3c are shown the dark-field isochromatic fringe patterns of the slices from the rings compressed on rough and lubricated smooth platens with the initial internal diameters cited directly above.

In order to determine N_t and N_c , the fringe orders at any particular point along the mid-section of the ring on the transverse and circumferential slices, a graph in which the fringe order versus the relative position $\frac{r-r_o}{R-r_o}$ was plotted. In this plot, r is the distance of any full fringe order from the center of the compressed ring, r_o is the inner radius of the ring after compression while R is the final external radius of the compressed ring. For any relative position along the mid-section of the ring, the corresponding N_t and N_c were determined from the plot for each of the

compressed rings. A typical plot of the fringe order versus the relative distance $\frac{r-r_o}{R-r_o}$ is presented in Fig. 4.

From this plot a spectrum of points was picked along the relative distance axis. Knowing the corresponding N_t , N_c , h_t , h_c and the value of f_e (0.004 fringe/inch), the three dimensional strain distributions at each selected point were determined. The three dimensional strain distributions from the inner radius to external radius of each of the compressed rings were plotted against the selected position points. These plots are shown in Figs. 5a, 5b, and 5c for the rings compressed on smooth, rough and lubricated smooth platens respectively. In cases where the initial reductions and consequent relaxed residual reductions were too small to produce a sufficient number of fringes, strain distributions were omitted.

Besides the limitation imposed by too few fringes in the lightly deformed rings, the maximum load output of the machine limited the highest initial reduction that could be attained. This situation was more prevalent when compressing rings with original internal diameters of 0.625 inch on rough platens. An initial reduction of only 30% could be attained on these rough platens at the maximum load capacity of the machine.

Coefficient of Friction of the 70:30 Laminac Mixture

An attempt was made to use the Male-Cockcroft empirical analysis for determining the coefficient of friction under conditions of bulk plastic deformation. However, examination of their data showed that their formula was not correct. Based on their data, the empirical formula was corrected to the form:

$$\Delta D = m \log_{10} \left(\frac{\mu}{0.055} \right)$$

where m is given by

$$\ln m = (0.044 \times \% \text{ Deformation}) + \ln 6.6$$

and

ΔD = Percentage decrease in internal diameter of the ring. Their experiment was repeated as a check on the corrected formula. The decrease in internal diameter of an aluminum ring compressed at 425°C at a strain rate of 0.007 sec⁻¹ versus the percent deformation showed excellent agreement with the form of their plot as shown in Fig. 6.

The geometric changes obtained in the compression of the 70:30 Laminac rings on platens with different surface characteristics, are given in Table 2. Plots of the decrease in internal diameter of the 70:30 Laminac rings versus the percent retained reduction are presented in Fig. 7.

To evaluate the homogeneity of plastic deformation during

the upsetting of various ring sizes on smooth, rough and lubricated smooth platens, graphs of the average strain components ϵ_z (avg.), ϵ_r (avg.), and ϵ_θ (avg.), along the mid-section of various rings were plotted against $\ln \frac{h_o}{h}$ in Fig. 8. The definition of the average strains can be illustrated using ϵ_z (avg.); it is the sum of the ϵ_z at the data points shown, for example, in Fig. 5 divided by the number of such data points. The dashed lines on each plot represent the appropriate strain component variation that would occur for homogeneous deformation.

As indicated earlier, the first satisfactory analysis of the compression of a flat ring was made by Avitzur (2) through an optimum upper bound mathematical solution. This was verified later by Hawkyard and Johnson (3) using a stress analysis approach. In his theoretical treatment, Avitzur (2) developed the following mathematical relationships which can be used to determine the neutral radius, R_n , for a ring specimen under compression.

1) When $R_n \leq R_i$

$$- \ln \left| \left(\frac{R_i}{R_o} \right)^2 \frac{1 + \sqrt{1 + 3(R_o/R_n)^4}}{1 + \sqrt{1 + 3(R_i/R_o)^4 (R_o/R_n)^4}} \right| = 2 \left(m \frac{R_o}{T} \right) \left(1 - \frac{R_i}{R_o} \right)$$

Eq. 4

2) When $R_i \leq R_n \leq R_o$

$$-2 \left(m \frac{R_o}{T} \right) \left(1 + \frac{R_i}{R_o} - 2 \frac{R_n}{R_o} \right) = \ln \left| \left(\frac{R_i}{R_o} \right)^2 \frac{1 + \sqrt{1 + 3(R_o/R_n)^4}}{1 + \sqrt{1 + 3(R_i/R_o)^4} (R_o/R_n)^4} \right|$$

Eq. 5

where

R_n = Neutral radius

m = Shear factor

R_i = Internal radius

R_o = Original (initial) outer radius

T = Thickness

Avitzur (2) also proposed the following mathematical relationship to use for computing the neutral radius directly from experimental data:

$$\left(\frac{R_n}{R_o} \right)^2 = \frac{R_i/R_o - \Delta R_i/\Delta R_o}{R_o/R_i - \Delta R_i/\Delta R_o} \quad \text{Eq. 6}$$

where

$\Delta R_o, \Delta R_i$ = changes in the outer and inner radii
respectively and the other symbols were
defined above.

All three mathematical relationships (Eqs. 4, 5 and 6) were derived based on the assumption of a kinematically admissible pattern of deformation, that is, one satisfying

the volume-constancy requirement and the geometrical boundary conditions (no bulging). Furthermore, it was assumed that planes normal to the axis of symmetry retain this property and their velocity varies linearly with their vertical distance from the origin which is the bottom center of the hollow disk. The assumption of a constant shear factor between platens and disk was also invoked.

Using Eq. 6, the neutral radius for each of the rings compressed on smooth, rough, and lubricated smooth platens was determined. Also, experimental positions of the neutral radii were obtained from the points where the ϵ_θ curves intersected the zero strain axis in Figures 5a, 5b, and 5c. These values can be compared with those computed from Eq. 6 (see Table 3).

Using the graphically determined or the computed values of R_n , the shear factor for each of the compressed rings was calculated from Eqs. 4 and 5. The shear factors, m , are presented in Table 3.

The additional 70:30 Laminac ring of outside diameter 2.5 inches, inside diameter 0.625 and height 0.5 inch compressed between smooth platens to 8% reduction in height with load held for five minutes acquired identical patterns with another ring of the same geometry but with the load removed immediately. That identical fringe patterns resulted from both cases is shown by the dark-field isocromatic

fringe patterns presented in Figs. 9a and 9b. The three dimensional strain distribution and the average strain components [ϵ_z (avg.), ϵ_r (avg.) and ϵ_θ (avg.)] along the mid-section of the two rings are shown in Figs. 10a and 10b.

Rolling of the 70:30 Laminac Mixture

Three sets of slices were taken from each block that was rolled for photoplasticity studies; two transverse slices, slices 1 and 2, three slices parallel to the rolling direction, slices 3, 4 and 5, and three slices perpendicular to the roll axis, slices 6, 7 and 8. The orientations and locations of these slices are shown in Fig. 11. For the 1/2 and 1/4 inch thick blocks, only slice 4 was taken from the set of slices parallel to the rolling direction due to the thinness of the blocks. Furthermore, only this slice can be machined conveniently from the block. Each slice was 0.125 inch thick and provided isochromatic and isoclinic (15) data on the strain field in the plane of the slice. The three sets of slices thus provided information on the strain distributions in three orthogonal planes.

The Tardy (15) method of compensation was used to determine the fringe orders in the planes of the slices. Knowing the fringe order N , the thickness h , and substituting 0.004 fringe/in. for the value of f_ϵ , the material fringe constant, the difference between the algebraically larger and smaller

in-plane strains ($\epsilon_1 - \epsilon_2 = \frac{Nf}{h} \epsilon$) can be determined at any point in the slices. In terms of the cartesian coordinates Ox , Oy and Oz shown in Fig. 11, the difference between the in-plane strains in either slice 1 or 2, are $\epsilon_x - \epsilon_y$; for any of the slices 3, 4 and 5, they are $\epsilon_z - \epsilon_x$, and for any of the slices 6, 7 and 8 they are $\epsilon_z - \epsilon_y$. At any point of intersection of two sets of slices, it was possible to obtain two independent equations. By assuming constancy of volume, a third independent equation was obtained. The solution of these three independent equations provided the three dimensional strain distributions on slices taken normal to the rolling direction for each of the rolled blocks. The three dimensional strain distributions at 9 points for blocks with initial thickness of 1 inch and 3 points (2, 5 and 8) for blocks with initial thickness of 1/4 or 1/2 inch were determined. The two dimensional views of slices 2 (slice taken normal to the rolling direction) and the corresponding intersection slices taken parallel and perpendicular to the roll axis are shown in Fig. 12. Points at which strains were determined are indicated in the figure. The three dimensional strain distributions on slices taken normal to the rolling direction for each of the rolled blocks are presented in Table 4.

Theoretical predictions of Oh and Kobayashi (13) indicate that the amount of spread decreases as initial width-to-thickness ratio increases and increases with increasing reduction. To check the validity of these predictions,

the strain at position 2, for each of the blocks with sizes 0.25 x 2 x 6 inches and 0.25 x 1 x 6 inches, was plotted versus initial percentage reduction and versus the residual percentage reduction as shown in Fig. 13. The residual reduction is that which remains when the block has relaxed completely after rolling.

In order to determine the effect of geometry on the double bulging caused by nonuniform strain distributions, the average of each strain, ϵ_x , ϵ_y and ϵ_z at positions 1, 3, 7 and 9 for the blocks with original thicknesses of 1 and 2 inches was plotted (Fig. 14) against the parameter, ϕ , that combines the original thickness, h_o , the roll radius, R , and the fractional reduction, δ , in the form $\phi = \frac{h_o}{\sqrt{R\delta}}$. The dashed lines in Fig. 14 join the difference of the ϵ_x average at positions 1, 3, 7 and 9 and the ϵ_x average at positions 2 and 8. This was done to reveal the different behavior of lateral strains at the mid-width and at the edges.

DISCUSSION

Upset Forging of 70:30 Laminac Rings

As a result of recent ring upset forging research a relatively simple method has been developed for determining the coefficient of friction between die and workpiece under conditions simulating actual practice (1). Theoretical predictions for edge bulging during ring upsetting were given by Liu (37). Kobayashi (38) investigated the stress and strain histories at the equatorial free surface in simple upsetting of solid cylinders and rings of 1040 steel. His test methods were almost identical to those of Kudo and Aoi (39) in which the friction condition at the interface was controlled by using grooved dies and conical dies with and without lubrication. He derived stresses at the free surface from the measurements of strains.

In spite of these previous research efforts, the strain distribution within compressed rings has not been established. From the present research effort, three-dimensional strain distributions were obtained for the mid-thickness planes of upset rings. The results were only qualitative because the visco-elastic photomechanic material used allowed the strain to relax with time. Methods of inhibiting relaxation, or for determining how the relaxation alters the strain distribution, must be established before

quantitative results can be obtained.

Many phenomena observed during upset forging of the 70:30 Laminac rings agreed well with reported observations during upset forging of metallic rings. Two possible modes of deformation, depending on tool-workpiece interface friction conditions, have been predicted and demonstrated in ring upset forging (37, 40). The first mode, which is characterized by a convex outer edge and a concave inner edge, is favored when the friction between workpiece and tool is very low. All the 70:30 Laminac rings compressed between smooth platens lubricated with Molykote Z, exhibited this mode of deformation during forging. Previous investigators (37, 40) claimed that the neutral radius, for this mode, was less than the inner radius of the rings. In the present investigation the neutral radius of 70:30 Laminac rings compressed between lubricated smooth platens did not behave this way consistently. For example, Fig. 5c shows that the neutral radius, taken as the position where ϵ_θ equals zero, is less than the inner radius of sample L-2. Sample L-3 which had the same geometry and was forged under the same condition, but to a greater reduction, had a neutral radius greater than the inner radius of the ring. For an even higher reduction (Sample L-4) the neutral radius was less than the inner radius. In samples L-6, L-7 and L-8 (original

inner diameter of 1.000 inch), the neutral radius was always greater than the inner radius. For the rings with 0.625 inch original inner diameter sample L-10 had a neutral radius less than the inner radius of the rings. Two other samples with the same inner diameter (Samples L-11 and L-12) but given greater reductions had neutral radius positions greater than the inner radius. On the whole, the location of the neutral radius for the 70:30 Laminac rings forged between lubricated smooth platens was not consistent and did not agree with the prediction and observation of the earlier investigators (37, 40).

From the above discussion it is evident that the geometry and the percentage reduction (be it residual or initial percentage reduction) determined the location of the neutral radius for the 70:30 Laminac rings compressed between lubricated smooth platens.

The second mode of deformation, predicted and observed, is characterized by convex inner and outer edges. For this mode of deformation, the neutral radius falls between the inner and outer radii. All the 70:30 Laminac rings compressed on both the smooth and rough platens exhibited this mode of deformation.

Male and Cockcroft (1) pointed out earlier that measurements of the internal diameter of a compressed ring pro-

vide a particularly sensitive means of determining the coefficient of friction, μ . The internal diameter increases when μ is small and decreases when μ is large. This trend was observed in the compression of 70:30 Laminac rings on smooth, rough and lubricated smooth platens. However, as mentioned earlier, in case of lubricated smooth platens, only Samples L-4 and L-8 exhibited an increase in internal diameter. An increase occurred at higher reductions only when the load was held for a short period of time. This may have been a reflection of the reduced relaxation that occurred when the load was maintained for a period of time.

Despite the fact that the geometry of the aluminum ring compressed between smooth platens was quite different from the geometry employed for friction studies of aluminum by Male and Cockcroft (1), excellent agreement regarding the form of the ΔD versus reduction curve (Fig. 6) was obtained even though they used a higher test temperature (600°C). This similarity in the form of the curve shows that the coefficient of friction between the aluminum ring and the platens was constant during compression. However, the ΔD versus reduction curves for the 70:30 Laminac rings of similar geometry had greatly different forms compared to those obtained with metals in this investigation and by Male and Cockcroft. The discrepancies, shown in Fig. 7, reveal that the coefficient of friction decreases as the percentage

reduction increases. The Male-Cockcroft curves for metals are indicated by the dashed lines for different values of μ . Besides the decreased barrelling of the 70:30 Laminac rings, relaxation probably affects the overall experimental results. The extent of this relaxation affect is not known. Based on these facts, it is not surprising that there is a general lack of correlation between the Male-Cockcroft ΔD versus reduction curves and those obtained with 70:30 Laminac rings.

The first satisfactory analysis of the compression of a flat ring was made by Avitzur (2) through an optimum upper bound mathematical solution. Included in this analysis is the experimental determination of the neutral radius. To a certain degree, there is a very good correlation between the neutral radius determined from Avitzur's (2, Eq. 6) relationship and the value obtained graphically from the three dimensional strain distributions along the mid-section of the rings shown in Fig. 5a,b,c.

Strong evidence supporting the validity of the graphical determination of the neutral radius was provided fortuitously by sample S-4. In machining the transverse slice to a thickness of 0.045 inch, a circumferential crack became visible. This crack resulted in the separation of the circumferential slice into two pieces with separation occurring almost at the neutral radius evaluated graphically and by

using Avitzur's relationship (Eq. 6). In addition to providing experimental evidence to back the theoretical prediction of the neutral radius position, sample S-4 also confirmed that the neutral radius is actually the radial position where the radial velocity vanishes. In other words, there exists a cylindrical boundary at the neutral radius such that, on compression, the material outside the neutral radius is displaced outward and the material inside the neutral radius is displaced inwards.

In the mathematical analysis of ring compression carried out by Avitzur (2), a constant interfacial friction factor was assumed and barrelling was neglected. In other words, the effect of frictional stresses at the die/specimen interfaces was assumed to be transmitted uniformly throughout the specimen thickness thus simplifying conditions. Avitzur's (2) assumptions have been shown to be invalid for metals over all conditions of interfacial friction until the outside diameter to inside diameter to thickness ratio approaches 6:3:0.5 as predicted from the experiments of Male and Depierre (41). A number of experimental curves analyzed theoretically by Male and Depierre (41) yielded values of m considerably in excess of unity. The values of the interfacial friction factor computed from Avitzur's relationship in the present investigation were sometimes in excess of unity. The values of m in Table 3 show that the interfacial friction

factor, m , is not constant. However, for the samples compressed on lubricated smooth platens the majority of the m values were less than unity. It was only for sample L-6 that m was greater than one. For samples compressed on smooth or rough platens, the majority of computed m values were greater than one. It appears that, in general, Avitzur's theory for the interfacial friction factor leads to errors even for the Laminac mixture.

An analysis of the homogeneity of the plastic deformation occurring during the upsetting of 1040 steel rings was made by Kobayashi (38). He claimed that in the upsetting of the ring specimens, the displacements deviated greatly from those for homogeneous deformation even under lubricated conditions, thus causing the dimensional change of the inner surface to be sensitive to the friction conditions at the interface. Also, he pointed out that the more severe the frictional constraints the greater the strain path deviated from that for homogeneous deformation. For the three sets of ring geometries employed in the analysis of strain distribution in compressed rings, the circumferential strain (ϵ_θ), shown in Figs. 8a and 8b, deviates from the behavior expected for homogeneous deformation which is depicted by the dashed lines. Presumably this deviation is a result of barrelling or bulging. The deviation of ϵ_r is less, as shown in Fig. 8c, when the platens and rings are lubricated. But, the axial

and radial strains ϵ_z and ϵ_r obtained from rings compressed between smooth and rough platens deviate substantially more from homogeneous deformation as Figs. 8a and 8b reveal. On the other hand, ϵ_z and ϵ_r values obtained from rings compressed between lubricated smooth platens show less deviation (Fig. 8c). Also, from Figs. 8a,b and c, it is evident that both ϵ_z and ϵ_r increase as the percentage reduction increases but ϵ_θ values do not increase with reduction in all cases.

Based on an in-depth study of the 70:30 Laminac mixture under compression, it became evident that holding the load at an initial reduction minimized the relaxation and thus lead to higher residual reduction after unloading. Compressing to a higher initial reduction with the load removed immediately, gave rise to a residual reduction which was nearly equal to that obtained when the load was held. The similarity exhibited by dark-field isocromatic fringe patterns in Figs. 9a and b for the ring with 8% reduction with the load held and the ring given 20% reduction with the load removed immediately can be correlated with the strain distribution resulting from their final measured residual reductions which are about equal. The average values of the radial strain, ϵ_r (avg.) for the two rings were the same. Also point-by-point values of ϵ_r along the mid-section of the two rings are equal. By holding the load for a period of time, a higher reduction is retained because the strain

relaxation is reduced. An important observation worth noting is that the value of the average axial strain at the mid-thickness of the rings which were held under load for a short time was twice the value of the residual percentage reduction when rough and smooth platens were used. For rings compressed between lubricated smooth platens with the load held, the ratio of the average axial strain to residual percentage reduction was roughly one-to-one.

Rolling of the 70:30 Laminac Mixture

The effect of friction on shape change during rolling and, consequently on the three dimensional strain distribution in the block, is evident in the strain distributions of the 0.25 inch thick x 1 inch wide and 0.25 inch thick x 2 inch wide blocks as shown in Table 4. At an initial reduction of 7%, there was no sidewise spread in either of the blocks, i.e., ϵ_x was zero at all positions. At 14% reduction ϵ_x was greater than zero in the blocks only at positions 2 and 8 (edge positions). At center position 5, ϵ_x remained zero. A different strain distribution was obtained when the two sizes of blocks were rolled to a 20% reduction. While ϵ_x at the center of the two-inch wide block remained zero, it increased to 4.7% at the center of the one-inch wide block. All the ϵ_x values computed at positions 2 and 8 for the one-inch wide blocks were greater than those computed for the

two-inch wide blocks at the same relative positions. Thus it can be concluded that the roll pressure penetration was greater for the one-inch wide block than for the two-inch wide block. In other words, the friction effect was less for the one-inch wide blocks than for the two-inch wide blocks.

The plane-strain assumption upon which most of the existing rolling theories have been based was reconfirmed by the strain analysis of the 0.5 inch high x 2 inch wide blocks which were rolled to 7 and 14% reductions in height. At 20% reduction, the plane-strain assumption no longer held for this particular size of block. While spread occurred at position 2, at position 8 on the opposite side, ϵ_x was zero. A block of similar height but with a width of 1 inch, exhibited compressive strain at a reduction of 7% at positions 2 and 8 while at 14% reduction, the strain at the edges were zero and increased rapidly until at 20% reduction the block cracked.

The double-bulge phenomenon that has been observed during hot-rolling of aluminum billets in commercial practice was also observed in the rolling of 70:30 Laminac blocks with original heights of one inch when rolled at 51°C. As pointed out earlier, the three-dimensional strain distribution at nine points were evaluated on slice 2 taken parallel to the

roll axis from the blocks which were originally 1 inch high. Considering the strains at positions 1, 2 and 3 along one edge of the block and at positions 7, 8 and 9 along the other edge, it is evident from the results presented in Table 4 that the values of ϵ_x at positions 1, 3, 7 and 9 are greater than the ϵ_x value at either position 2 or 8 corresponding to the edge-center of the block. In other words, a clear picture of double-bulging was depicted by the values of ϵ_x computed along the edges of the block. Positions 1, 3, 7 and 9 were located close to the rolls while positions 2 and 8 were at the center of the rolled billet. For the four blocks with original heights of 1 inch, irrespective of the width, the values of ϵ_x through the thickness at positions 4, 5 and 6 acquired the same profile for all the blocks; ϵ_x was maximum at the point close to one roll but decreased in value towards the billet center.

The influence of the block geometry on double-bulge formation during hot-rolling is clearly demonstrated by Fig. 14 where the average of each of the strains at positions 1, 3, 7 and 9 is plotted against the parameter ϕ . The difference between the average ϵ_x values at positions 1, 3, 7 and 9 and those at positions 2 and 8, shown by the dashed lines, reflects the extent of double-bulging at various reductions. It is evident that double-bulging was less in

the one-inch wide block than in the two-inch wide block. This is revealed by the difference between ϵ_x averaged over positions 1, 3, 7, 9 and ϵ_x averaged over positions 2 and 8. For the two one-inch wide blocks rolled to various initial percentage reductions in height, the value of the difference between ϵ_x averaged over positions 1, 3, 7, 9 and ϵ_x averaged over positions 2 and 8, is equal to ϵ_x averaged over positions 1, 3, 7 and 9 at a lower reduction. At a higher reduction, the ϵ_x value averaged over positions 1, 3, 7 and 9 was close to being equal to the difference between ϵ_x averaged over positions 1, 3, 7, 9 and ϵ_x averaged over positions 2 and 8. For the two two-inch wide blocks rolled to various initial percentage reductions in height, the value of the difference between ϵ_x averaged over positions 1, 3, 7, 9 and ϵ_x averaged over positions 2 and 8 was equal to ϵ_x averaged over positions 1, 3, 7 and 9 only at lower initial reduction. At higher initial percentage reduction in height, the difference in ϵ_x averaged over positions 1, 3, 7, 9 and ϵ_x averaged over positions 2 and 8, was not at all close to ϵ_x averaged over positions 1, 3, 7 and 9. From the above discussions, it is evident that the chances of double-bulge formation occurring in the two-inch wide 70:30 Laminac block when rolled to higher percentage reductions in height, are greater than for the one-inch wide block.

The effect of roll speed employed in the rolling of the

70:30 Laminac mixture was clearly demonstrated by the 0.5 x 1 x 6 inch and the 0.5 x 2 x 6 inch blocks rolled at speeds of 0.2 RPM and 0.05 RPM. When 0.5 x 1 x 6 inch blocks were rolled to a reduction of 14% at roll speeds of 0.2 and 0.05 RPM the transverse spread was uniform on both edges as revealed by the fact that ϵ_x values were equal at positions 2 and 8 (Table 5). Furthermore, the ϵ_x values at positions 2, 5 and 8 were greater when the roll speed was 0.05 RPM than when the roll speed was 0.2 RPM. With another set of 0.5 x 1 x 6 inch blocks rolled to a reduction of 5%, the spread at positions 2 and 8 were equal only at a roll speed of 0.2 RPM. A plane-strain condition was attained when a 0.5 x 2 x 6 inch block was rolled at 0.2 RPM to reductions of 7% and 14%. For similar blocks given the same reductions but at a roll speed of 0.05 RPM, a different spread pattern resulted. ϵ_x was compressive on one edge but tensile on the other edge.

From their experimental results concerning lateral spread in the rolling of lead, Chitkara and Johnson (14) found that the ratio of the final width to the original width increased with increasing reduction. Theoretical solution of this claim was given by Oh and Kobayashi (13) using extremum principles for rigid perfectly plastic materials. This trend was also predicted for Steckel rolling by Hill's (5) analysis. The plot of ϵ_x values at the edge of the rolled

70:30 Laminac blocks versus percentage reduction also followed this trend as shown graphically in Fig. 13.

As indicated earlier in the Introduction, photoplasticity has been used extensively for the past few decades as a unique technique for solving complicated engineering problems. A number of successes have been reported in the literature regarding the use of photoplasticity as a tool for determining stresses or strains in machine complexes. One significant fact which has not been given special attention is the extent to which the visco-elastic nature of most of the photomechanic materials influences the distribution of either stress or strain.

Based on the present research, the 70:30 Laminac mixture is visco-elastic and the strain relaxes with time. Despite the fact that the neutral radius computed using Avitzur's (2) mathematical solutions for compressed rings agrees approximately with the one obtained from the $\epsilon_0 = 0$ intercept of the three dimensional strain distribution along the mid-section of the rings and, despite the fact that the increase in spread in the rolled 70:30 Laminac blocks increases with reduction as predicted by theory, it would be erroneous to conclude at this time that the three dimensional strain distributions for various ring and block geometries under various percentage reductions is quantitative without giving due consideration to the influence of relaxation on the

distributions. A theory based on the visco-elastic properties of the photomechanic materials is worth pursuing. Such a theory will further enhance the validity of the strains evaluated by photoplasticity techniques.

Based on the results obtained from this research, the 70:30 Laminac mixture shows some trends in characteristics which have been established for metals. However, studies should be conducted to learn more about the structural properties of this mixture before the mixture can be claimed to be a good simulating material or before photoplasticity itself can be claimed a good technique to be used in analyzing strains from hot forming processes. Nevertheless, for the first time, a semi-quantitative three-dimensional strain distribution has been obtained experimentally for the mid-section of a ring. Future research should be directed toward finding the variation of the three dimensional strain distribution over the thickness of the ring and also in the rolling direction for rolled billets. This may involve compressing thicker rings and rolling longer blocks which would permit more slices from either compressed rings or from rolled blocks to be taken for photoplasticity strain analyses.

SUMMARY

Photoplasticity techniques have been employed to determine the three-dimensional strain distribution along the mid-section in rings of various geometries under uniaxial compression and blocks of various sizes rolled to various reductions in height. A photomechanic material called Laminac has been used in the analysis of strains in both compressed rings and rolled blocks. Specifically, a 70% rigid Laminac mixed with 30% flexible Laminac polyester resins which, at 51°C, provides a stress-strain curve that exhibits little or no strain hardening and which simulates, at least in the first approximation, the characteristics of aluminum when it is deformed at 425°C, was used in the strain analysis.

A good correlation was found between the neutral radius determined graphically from the three-dimensional strain distribution along the mid-section of the ring plotted against the relative distance $\frac{r-r_0}{R-r_0}$, and the neutral radius determined using Avitzur's (2) mathematical solutions for compressed rings. However, as has been indicated for metals as well, the interfacial friction factor m determined by another Avitzur's relationship applied to the 70:30 Laminac rings was found, in certain instances, to be in excess of unity. This tends to invalidate his theoretical solutions for determining the interfacial friction factor, m .

Based on the three-dimensional strain distribution on the slice taken parallel to the roll axis, the ratio of the final width to the original width (which is equivalent to ϵ_x at the edge of the rolled block) increased with reduction thereby supporting the theoretical prediction of Oh and Kobayashi (13) for spread during rolling. Double-bulging was found to be less in one-inch wide blocks than in two-inch wide blocks. Uniform spread on both edges of the block of this size was found to exist at higher reductions either at a roll speed of 0.2 RPM or 0.05 RPM. Plane-strain was found to exist only when the two inch wide blocks were rolled at 0.2 RPM either at lower or higher percentage reductions. The viscoelastic property of the 70:30 Laminac mixture still stands as a major problem in reaching a quantitative strain distribution in either the compressed rings or the rolled blocks.

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APPENDIX A: TABLES

Table 1. Rolling conditions of the 70:30 Laminac blocks at 51°C

Block Size	Original % Reduction	Final % Reduction After Relaxation	Roll Speed (RPM)
0.25"x2"x6"	7	5.2	0.2 RPM
0.25"x2"x6"	14	12	"
0.25"x2"x6"	20	18	"
0.5"x2"x6"	7	4.8	0.2 RPM
0.5"x2"x6"	14	11.2	"
0.5"x2"x6"	20	13.8	"
1"x2"x6"	5	3	0.2 RPM
1"x2"x6"	10	8.6	"
0.25"x1"x6"	7	3.6	0.2 RPM
0.25"x1"x6"	14	11.2	"
0.25"x1"x6"	20	15.2	"
0.5"x1"x6"	7	3.4	0.2 RPM
0.5"x1"x6"	14	11.8	"
1"x1"x6"	7	3.7	0.2 RPM
1"x1"x6"	10	7.3	"
0.5"x1"	7	5.8	0.05 RPM
0.5"x1"	14	12.8	"
0.5"x2"	7	5.8	0.05 RPM
0.5"x2"	14	13.4	"

Table 2. Ring compression test data^a

Sample	O.D. (ins.)	H _o (ins.)	H _f (ins.)	Initial Reduction %	Residual Reduction %	I D _o (ins.)	I D _f (ins.)	ΔD %
S-1 ^b	2.5	0.5	0.484	10	3.2	1.625	1.595	1.85
S-2	"	"	0.468	20	6.4	"	1.576	3.02
S-3	"	"	0.436	30	12.8	"	1.550	4.62
S-4	"	"	0.410	45	18	"	1.542	5.11
S-5	2.5	0.5	.488	10	2.4	1.0	0.998	0.20
S-6	"	"	0.472	20	5.6	"	0.933	6.70
S-7	"	"	0.450	30	10	"	0.889	11.10
S-8	"	"	0.423	40	15.4	"	0.862	13.8
S-9	2.5	0.5	0.481	20	3.8	0.625	0.567	9.28
S-10	"	"	0.466	12 ^c	6.8	"	0.530	15.20
S-11	"	"	0.450	20	10	"	0.513	17.92
S-12	"	"	0.432	30	13.6	"	0.506	19.04

^aO.D. = Initial outside diameter of the ring; H_o = Original height of the ring; H_f = Final height of the ring; I D_o = Initial internal diameter of the ring; I D_f = Final internal diameter of the ring; ΔD = Percentage decrease in internal diameter of the ring.

^bSmooth platens.

^cRing compression with load held for short period of time.

Table 2 (Continued)

Sample	O.D. (ins.)	H _O (ins.)	H _f (ins.)	Initial Reduction %	Residual Reduction %	I D _O (ins.)	I D _f (ins.)	ΔD %
R-1 ^d	2.5	0.5	0.490	10	2	1.625	1.588	2.28
R-2	"	"	0.463	20	7.4	"	1.575	3.08
R-3	"	"	0.455	30	9	"	1.557	4.18
R-4	"	"	0.416	35 ^C	16.8	"	1.539	5.29
R-5	2.5	0.5	0.487	10	2.6	1.00	0.966	3.4
R-6	"	"	0.461	20	7.8	"	0.911	8.9
R-7	"	"	0.433	30	13.4	"	0.868	13.2
R-8	"	"	0.431	40 ^C	13.8	"	0.876	12.4
R-9	2.5	0.5	0.491	10	1.8	0.625	0.591	5.44
R-10	"	"	0.450	30	10	"	0.504	19.36
R-11	"	"	0.449	30 ^C	10.5	"	0.472	24.48

^dRough platens.

Table 2 (Continued)

Sample	O.D. (ins.)	H _o (ins.)	H _f (ins.)	Initial Reduction %	Residual Reduction %	I D _o (ins.)	I D _f (ins.)	ΔD %
L-1 ^e	2.5	0.5	0.486	10	2.8	1.625	1.609	0.985
L-2	"	"	0.467	20	6.6	"	1.604	1.29
L-3	"	"	0.453	30	9.4	"	1.588	2.28
L-4	"	"	0.391	45 ^c	21.8	"	1.657	-1.97
L-5	"	"	0.490	10	2	1.00	0.984	1.60
L-6	"	"	0.467	20	6.6	"	0.970	3.00
L-7	"	"	0.448	30	10.4	"	0.964	3.60
L-8	"	"	0.371	40 ^c	25.8	"	1.004	-0.4
L-9	"	"	0.496	10	0.8	0.626	0.615	1.60
L-10	"	"	0.476	20	4.8	"	0.597	4.48
L-11	"	"	0.451	30	9.8	"	0.585	6.40
L-12	"	"	0.370	40	26	"	0.591	5.4

^eLubricated smooth platens.

Table 3. Dimensional changes in the upset forging of 70:30 Laminac rings at 51°C

Sample	R_i	R_o	R_i/R_o	T	R_o/T	R_n/R_o	R_n Calculated	R_n Graphical	ΔR_o	ΔR_i	m
S-1	0.800	1.281	0.625	0.25	2.562	0.721	0.924	0.855	0.031	-0.013	0.439
S-2	0.788	1.288	0.612	0.5	2.576	0.749	0.965	0.875	0.038	-0.025	0.792
S-3	0.775	1.306	0.593	0.5	2.612	0.741	0.968	0.981	0.056	-0.038	0.857
S-4	0.725	1.325	0.547	0.5	2.650	0.774	1.025	1.018	0.075	-0.088	12.125
S-6	0.463	1.288	0.359	0.5	2.576	0.611	0.787	0.815	0.038	-0.032	1.518
S-7	0.425	1.325	0.321	0.5	2.650	0.597	0.791	0.789	0.075	-0.075	1.784
S-8	0.425	1.35	0.315	0.5	2.7	0.551	0.744	0.713	0.100	-0.075	1.011
S-9	0.275	1.288	0.214	0.5	2.576	0.485	0.625	0.606	0.038	-0.038	1.326
S-10	0.265	1.3	0.204	0.5	2.6	0.475	0.617	0.619	0.050	-0.048	1.301
S-11	0.250	1.35	0.185	0.5	2.7	0.404	0.545	0.665	0.100	0.063	0.808
S-12	0.250	1.35	0.185	0.5	2.7	0.404	0.545	0.645	0.100	0.063	1.563

Table 3 (Continued)

Sample	R_i	R_o	R_i/R_o	T	R_o/T	R_n/R_o	R_n Calculated	R_n Graphical	ΔR_o	ΔR_i	m
R-1	0.800	1.275	0.627	0.5	2.550	0.736	0.938	1.150	0.025	0.013	0.533
R-2	0.788	1.300	0.606	0.5	2.600	0.690	0.892	1.125	0.050	0.025	0.358
R-3	0.775	1.300	0.596	0.5	2.600	0.753	0.979	1.139	0.050	0.038	1.077
R-4	0.750	1.313	0.571	0.5	2.626	0.768	1.007	1.168	0.063	0.063	3.139
R-7	0.438	1.313	0.334	0.5	2.626	0.600	0.788	0.907	0.063	0.062	1.663
R-8	0.425	1.325	0.321	0.5	2.650	0.592	0.791	0.871	0.075	0.075	1.849
R-10	0.250	1.300	0.192	0.5	2.600	0.515	0.670	0.658	0.050	0.063	2.514
R-11	0.238	1.325	0.180	0.5	2.650	0.472	0.625	0.698	0.075	0.075	1.578

Table 3 (Continued)

Sample	R_i	R_o	R_i/R_o	T	R_o/T	R_n/R_o	R_n Calculated	R_n Graphical	ΔR_i	ΔR_o	m
L-2	0.788	1.288	0.612	0.5	2.576	0.749	0.965	-	0.038	0.025	0.236
L-3	0.788	1.300	0.606	0.5	2.600	0.722	0.939	0.969	0.050	0.025	0.541
L-4	0.788	1.350	0.584	0.5	2.700	0.657	0.887	-	0.100	0.025	0.192
L-6	0.475	1.275	0.373	0.5	2.550	0.620	0.791	0.616	0.025	0.025	1.516
L-7	0.475	1.313	0.362	0.5	2.626	0.500	0.656	0.703	0.063	0.025	0.659
L-8	0.475	1.413	0.336	0.5	2.826	0.404	0.571	0.664	0.663	0.025	0.223
L-10	0.281	1.288	0.218	0.5	2.576	0.461	0.594	-	0.038	0.032	0.384
L-11	0.281	1.325	0.212	0.5	2.650	0.369	0.489	0.465	0.075	0.032	0.496
L-12	0.281	1.45	0.194	0.5	2.900	0.271	0.393	0.404	0.200	0.032	0.242

Table 4. Values of the strain components on the slice taken parallel to the roll axis from each of the 70:30 Laminac blocks rolled at 51°C

Block Size	Initial % Reduction	Position	ϵ_x	ϵ_y	ϵ_z	Roll Speed (RPM)
0.25"x2"x6"	7	2	0	-0.01	0.01	0.2
		5	0	-0.01	0.01	
		8	0	-0.01	0.02	
0.25"x2"x6"	14	2	0.019	-0.011	0.09	0.2
		5	0	-0.10	0.10	
		8	0.019	-0.11	0.09	
0.25"x2"x6"	20	2	0.046	-0.18	0.14	0.2
		5	0	-0.16	0.16	
		8	0.024	-0.17	0.15	
0.5"x2"x6"	7	2	0	-0.02	0.02	0.2
		5	0	-0.02	0.02	
		8	0	-0.02	0.02	
0.5"x2"x6"	14	2	0	-0.10	0.10	0.2
		5	0	-0.10	0.10	
		8	0	-0.10	0.10	
0.5"x2"x6"	20	2	0.019	-0.11	0.10	0.2
		5	0.009	-0.12	0.11	
		8	0	-0.13	0.13	
0.25"x1"x6"	7	2	0	-0.05	0.05	0.2
		5	0	-0.04	0.05	
		8	0	-0.05	0.04	
0.25"x1"x6"	14	2	0.03	-0.14	0.10	0.2
		5	0	-0.14	0.14	
		8	0.02	-0.15	0.12	
0.25"x1"x6"	20	2	0.07	-0.20	0.14	0.2
		5	0.047	-0.19	0.15	
		8	0.070	-0.20	0.14	
0.5"x1"x6"	7	2	-0.011	-0.06	0.07	0.2
		5	0	-0.06	0.06	
		8	-0.01	-0.06	0.07	
0.5"x1"x6"	14	2	0	-0.06	0.06	0.2
		5	0.025	-0.17	0.05	
		8	0	-0.06	0.06	
1"x1"x6"	7	10	0.03	-0.05	0.03	0.2
		2	-0.006	-0.03	0.03	
		3	0.036	-0.06	0.02	
		4	0.01	-0.03	0.03	
		5	0.008	-0.04	0.04	
		6	0.008	-0.04	0.04	
		8	-0.006	-0.03	0.03	
		9	0.036	-0.06	0.02	

Table 4 (Continued)

Block Size	Initial % Reduction	Position	ϵ_x	ϵ_y	ϵ_z	Roll Speed (RPM)
1"x1"x6"	10	1	0.038	-0.11	0.07	0.2
		2	0	-0.07	0.07	
		3	0.031	-0.10	0.07	
		4	0.018	-0.08	0.07	
		5	0.018	-0.08	0.07	
		6	0.008	-0.09	0.08	
		7	0.048	-0.10	0.06	
		8	0	-0.07	0.07	
		9	0.031	-0.10	0.08	
1"x2"x6"	5	1	0.01	-0.03	0.03	0.2
		2	0	-0.01	0.01	
		3	0.006	-0.01	0.01	
		4	-0.006	-0.03	0.03	
		5	0	-0.01	0.01	
		6	0	-0.01	0.01	
		7	0.01	-0.03	0.03	
		8	0	-0.01	0.01	
		9	0.006	-0.01	0.001	
1"x2"x6"	10	1	0.027	-0.10	0.006	0.2
		2	-0.012	-0.06	0.08	
		3	0.049	-0.11	0.05	
		4	0.009	-0.07	0.07	
		5	0	-0.08	0.08	
		6	-0.007	-0.07	0.09	
		7	0.027	-0.10	0.06	
		8	-0.038	-0.07	0.09	
		9	0.049	-0.11	0.06	
0.5"x1"x6"	7	2	0	-0.05	0.5	0.5
		5	0.023	-0.05	0.04	
		8	0.01	-0.05	0.05	
0.5"x1"x6"	14	2	0.011	-0.12	0.11	0.05
		5	0.034	-0.13	0.10	
		8	0.011	-0.12	0.11	
0.5"x2"x6"	7	2	0.008	-0.04	0.05	0.05
		5	0	-0.05	0.05	
		8	-0.01	-0.05	0.05	
0.5"x2"x6"	14	2	-0.008	-0.09	0.10	0.05
		5	0.015	-0.1	0.09	
		8	0.021	-0.11	-0.09	

Table 5. Effect of roll speed on strain distribution (ϵ_x) at the edges of rolled 70:30 Laminac blocks

Block Size	ϵ_x (2)	ϵ_x (5)	ϵ_x (8)	Original % Reduction (Residual)	Roll Speed (RPM)
0.5"x1"x6"	-0.01	0.00	-0.01	7 (3.4)	0.20
0.5"x1"x6"	0.00	0.023	-0.01	7 (5.8)	0.05
0.5"x2"x6"	0.00	0.00	0.00	7 (4.8)	0.20
0.5"x2"x6"	0.008	0.00	-0.01	7 (5.8)	0.05
0.5"x1"x6"	0.00	0.025	0.00	14 (11.8)	0.20
0.5"x1"x6"	0.011	0.034	0.011	14 (12.8)	0.05
0.5"x2"x6"	0.00	0.00	0.00	14 (11.2)	0.20
0.5"x2"x6"	-0.008	0.015	0.021	14 (13.4)	0.05

APPENDIX B: FIGURES

Figure 1. Comparison of stress-strain curves for aluminum and 70:30 Laminac mixture plotted according to Ramberg-Osgood relation from Ref. 34.

Figure 2. Sketch to show how transverse and circumferential slices were taken from the compressed rings at 51°C.

Figure 3a. Dark-field isocromatic fringe patterns of the circumferential and transverse slices taken from the rings compressed at 51°C on smooth platens

Figure 3b. Dark-field isocromatic fringe patterns of the circumferential and transverse slices taken from the rings compressed at 51°C on rough platens

Figure 3c. Dark field isocromatic fringe patterns of the circumferential and transverse slices taken from the rings compressed at 51°C on lubricated platens

Figure 4. A typical plot of photoplastic fringe order N against the relative distance $\frac{r-r_0}{R-r_0}$ along the mid-section of the ring.

Figure 5. The three dimensional strain distributions along the mid-section of the rings compressed at 51°C on (a) smooth, (b) rough, and (c) lubricated smooth platens, respectively.

Figure 5 (Continued)

Figure 5 (Continued)

Figure 6. The percentage decrease in internal diameter, ΔD , of aluminum rings compressed at 425°C versus percentage deformation.

Figure 7. The percentage decrease in internal diameter, ΔD , of the 70:30 Laminac rings compressed at 51°C on smooth, rough, and lubricated smooth platens versus retained percentage deformation.

Figure 8. Variation of the average strains at the mid-section in upset rings on, (a) smooth platens, (b) rough platens, and (c) lubricated smooth platens.

Figure 8 (Continued)

Figure 8 (Continued)

Figure 9. Dark-field isochromatic fringe patterns of the circumferential and transverse slices taken from the rings compressed at 51°C on smooth platens; (a) 8% reduction with load held for five minutes; (b) 20% reduction with load removed immediately..

Figure 10. The three dimensional strain distributions along the mid-section of the rings compressed at 51°C on smooth platens; (a) 8% reduction with load held for five minutes; (b) 20% reduction with load removed immediately.

Figure 11. Schematic illustration of the numbering and orientations of sections sliced from a rolled block for photoplastic studies.

Figure 12. Two dimensional views of slices 2 to 9 showing their points of intersections.

Figure 13. Increase of strain ϵ_x on the edges of the rolled blocks with increase in percentage reductions.

Figure 14. Effect of width on double-bulge formation.