A SMALL LOW ENERGY CYCLOTRON FOR RADIOISOTOPE MEASUREMENTS

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by

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ABSTRACT

Direct detection of ^{14}C by accelerator mass spectrometry has proved to be a much more sensitive method for radiocarbon dating than the decay counting method invented earlier by Libby. A small cyclotron (the "cyclotrino") was proposed for direct detection of radiocarbon in 1980. This combined the suppression of background through the use of negative ions, which had been used effectively in tandem accelerators, with the high intrinsic mass resolution of a cyclotron. Development of a small electrostatically-focused cyclotron for use as a mass spectrometer was previously reported but the sensitivity needed for detection of ^{14}C at natural abundance was not achieved.

The major contributions of this work are the integration of a high current external ion source with a small flat-field, electrostatically-focused cyclotron to comprise a system capable of measuring ^{14}C at natural levels, and the analysis of ion motion in such a cyclotron, including a detailed analysis of phase bunching and its effect on mass resolution. A high current cesium sputter negative ion source generates a beam of carbon ions which is pre-separated with a Wien filter and is transported to the cyclotron via a series of electrostatic lenses. Beam is injected radially into the cyclotron using electrostatic deflectors and an electrostatic mirror. Axial focusing is entirely electrostatic. A microchannel plate detector is used with a phase-gated output.

In its present form the system is capable of improving the sensitivity of detecting ^{14}C in some biomedical experiments by a factor of 10^4 . Modifications are discussed which could bring about an additional factor of 100 in sensitivity, which is important for archaeological and geological applications. Possibilities for measurements of other isotopes, such as ^3H , and ^{10}Be , and ^{26}Al , are discussed.

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1. INTRODUCTION

1.1 Radiocarbon

Radiocarbon (^{14}C) is present in modern, living organisms at a concentration of about 10^{-12} as compared to the predominant carbon isotope, ^{12}C . This level is kept relatively constant due to the large reservoir of carbon present in the earth's biosphere, which acts to buffer any changes in concentration. Once an organism dies carbon is no longer exchanged with the biosphere. The ^{14}C concentration begins to drop through beta decay of ^{14}C which has a half-life of 5730 years. Thus, measurement of the concentration of ^{14}C in a dead organism can be used to calculate the age of the organism.

Because of the very low concentration of ^{14}C even in modern samples, ^{14}C is also useful as a tracer. This has been especially helpful in biological studies. Chemical compounds can be synthesized with ^{14}C rather than ^{12}C ; these "tagged" compounds are introduced into the organism to be studied. Tracking ^{14}C through the organism allows the study of metabolic and other processes.

Since the introduction of radiocarbon dating by Libby in the 1950's, ^{14}C measurements, whether for archaeological, biological or other studies, have primarily been done by decay counting (Taylor, 1987). Samples are introduced into a counting chamber where the beta particles (156 keV endpoint energy) due to disintegration of ^{14}C are detected with standard nuclear counting apparatus. This method works well for samples which are large enough and recent enough to give a high decay rate (one gram of modern carbon produces about 14 disintegrations per minute [dpm]), but for old or small samples the necessary counting time becomes impractical.

1.2 Accelerator Mass Spectrometry

This limitation of decay counting has been answered by accelerator mass spectrometry (AMS). Rather than counting decays of ^{14}C , the ^{14}C atoms themselves are detected and counted using a particle accelerator. This provides a huge advantage in sensitivity over decay counting techniques.

An accelerator was first used as a mass spectrometer by Alvarez when a large cyclotron was used for detection of ^3He , reported in 1939. (Alvarez and Cornog, 1939a,b;

Alvarez, 1981) The technique was re-introduced by Alvarez in the mid-1970's as part of a search for anomalous particles (quarks) with integral charge (Muller *et al.*, 1977; Muller 1987). It was first suggested as a means of measuring radioisotopes by Muller, who made a successful age determination using tritium (1976). Although early work was done with cyclotrons, measurements quickly shifted to tandem Van de Graaff accelerators, especially for ^{14}C measurements due to the elimination of interference from ^{14}N (Nelson, Korteling and Stott, 1977; Bennett *et al.*, 1977, 1978; Gove, Litherland and Purser, 1987). During the past ten years or so, techniques have been refined so that AMS is now a quite accurate method of dating ^{14}C and other radioisotopes.

Unfortunately, this method has been relatively costly because of the need for a large, high-energy particle accelerator. In response to the increasing demand and high cost of accelerator mass spectrometry (AMS) techniques, the small cyclotron ("cyclotrino") project was begun at Berkeley in 1981 (Muller *et al.*, 1981). The basic concept was to combine the excellent properties of a cyclotron used as a mass spectrometer with the capabilities of negative ion sources to reject unwanted backgrounds such as ^{14}N (Nelson, Korteling and Stott, 1977; Bennett *et al.*, 1977).

A small cyclotron (the "cyclotrino") was built at Berkeley to test these concepts (Welch, 1984a; Welch *et al.*, 1984b, 1987a,b). This cyclotron incorporated a miniature Cs sputter negative ion source at the center of the cyclotron, injecting negatively-charged carbon ions at about 3 keV. Extraction energy was about 40keV at about a 10.5 cm radius. The cyclotron was operated at the 11th to 15th harmonic to obtain the necessary resolution and relied solely on electrostatic focusing for ion confinement. It was found that such a device does indeed produce the necessary resolution for detection of ^{14}C .

Unfortunately, ^{14}C could not actually be detected in the early version of the cyclotron because of the low output of the internal ion source which was used. It was necessary to rebuild the cyclotron to provide for a high-current external ion source. The cyclotron reported here is very similar in design to the earlier machine, with the addition of an external ion source and injection beamline, and is shown in Fig. 1.1.

Research on similar small cyclotrons as mass spectrometers has also begun in China (Chen *et al.*, 1987, 1989b; Chen, Gao and Li, 1989a) and Yugoslavia (Subotić *et al.*, 1990). These machines are both significantly more complex than the cyclotron discussed here. They show great promise, though they have not yet progressed as far as this work.

Our initial work has emphasized ^{14}C , partially because of the many users interested in this isotope, and partially because the required mass resolution and beam currents are relatively easy to obtain. Measurements of other radioisotopes, such as ^{26}Al , ^{10}Be and ^3He are also possibilities with the cyclotron but have not been pursued (Appendix A).

Figure 1.1 External view of the cyclotron. (CBB 874-3258)

While the initial impetus for the small cyclotron was archaeological research, biomedical researchers have become interested in this tool as well. In studies of the human body there are limits on the amount of ^{14}C that can be safely given to a patient. For some metabolic studies it is necessary to separate blood into its various constituent fractions and to determine the amount of ^{14}C in each fraction, which may be so small as to contain less than $1\text{ }\mu\text{g}$ of carbon. Decay counting does not yield the required sensitivity for many studies. Since an activity of 1 dpm or so is needed to make a measurement in a reasonable

amount of time, a 1 μg sample must be enriched 7×10^4 times above modern levels to be measurable. A small cyclotron having a carbon current of 10 μA and a detection efficiency of 0.1% would give about 4 counts per minute with modern carbon, improving the count rate by 5 1/2 orders of magnitude! The cyclotron would be very helpful in such metabolic studies. In fact, it may be feasible to connect the output of a liquid chromatography system to an appropriate ion source, injecting samples into the cyclotron directly (Sargent, private communication).

2. CYCLOTRON DESIGN

2.1 General Design Considerations

In a cyclotron, charged particles orbit freely in a magnetic field. The orbital frequency of these ions (the cyclotron frequency, $\omega = qB/m$) is a constant for non-relativistic particles. The kinetic energy of a charged particle in a cyclotron may be increased by the imposition of electric fields which are driven synchronously at the cyclotron frequency or at a multiple of it. These electric fields establish a resonance condition for ions of the selected mass. Off-mass ions eventually fall out of synchronization with the alternating electric fields and are lost.

In a traditional two-dee cyclotron, each dee subtends 180° . Thus the electric fields are imposed at two positions in the particle's orbit, displaced 180° from each other. In such a design the electric fields may be driven at the cyclotron frequency or at any odd harmonic of the cyclotron frequency. Such harmonic operation will improve the resolution of a cyclotron used as a mass spectrometer, since mass resolution is roughly proportional to the product of the number of turns and the harmonic (Welch, 1984a; Welch *et al.*, 1987a). Typically, sinusoidal excitation is used, though with the relatively low RF voltages occurring in a cyclotron used as a low-energy mass spectrometer, non-sinusoidal excitation could be considered and has been suggested by other researchers (Chen *et al.*, 1989b).

This two-dee design allows one dee to be fixed at ground potential while the other is driven with the alternating RF waveform. The grounded dee may be replaced by a narrow strip ("dummy dee"), freeing a large area for insertion of electrostatic deflection channels, dee probes, etc.

Design of the cyclotron itself is essentially the same as that described previously by our group (Welch, 1984a; Welch *et al.*, 1984b, 1987a,b; Bertsche *et al.*, 1987, 1988). A beam of carbon ions is introduced into the cyclotron. The cyclotron's magnetic field and RF frequency are tuned such that only the desired species (^{14}C) is accelerated; other species eventually fall out of phase with the RF and cease being accelerated, never reaching extraction radius. The cyclotron operates with negative ions to avoid interference from ^{14}N . With ^{14}N eliminated, the nearest interfering mass is the molecular ion ^{13}CH , which is heavier than ^{14}C by a part in 1800 (Appendix A). This sets the minimum resolution requirements. The necessary resolution is obtained by operating at a high harmonic (11th to 15th) of the fundamental cyclotron frequency and by using a very flat magnetic field,

which cause orbits to be isochronous and hence allows ions to make many turns (50 to 100) in the cyclotron. Focusing is purely electrostatic; the flat magnetic field precludes weak focusing. While beam bunching has been suggested and would improve transmission, this machine uses an unbunched, continuous beam.

A 12-inch NMR-type laboratory magnet is used, operated at about 10kG. Beam is injected at an energy of 5 keV with a 2.8 cm radius and extracted at about 40keV with a 10 cm radius. Ions must gain about 260 eV in the first two gap crossings for about half of them to clear an electrostatic deflection channel in the center of the cyclotron (see section 2.2).

A computer program was written to simulate ion motion in the cyclotron. This program follows the approach of Cohen (1953) and Welch (1984a) in that the changes in energy, axial velocity and ion phase are calculated and assumed to occur instantaneously at each gap crossing. The program allows input of arbitrary ion characteristics (axial position, axial velocity and ion phase with respect to the RF waveform) and cyclotron characteristics (RF voltage, harmonic and frequency offset). An ion can be followed through the cyclotron to see the effects of different conditions.

2.2 Energy Gain

The energy gain of particles in a cyclotron is a result of the electric fields which exist across the dee gap. If this field distribution can be calculated, parameters such as energy gain per turn, turn separation, and number of turns can be calculated as well. The field distribution may be easily solved using certain approximations.

Firstly, we may make the electrostatic approximation. In this cyclotron, the time taken for the electric field to propagate in the gap region is so small as to be negligible, i.e. $b/c \ll 1/\omega_{RF}$ where b is the dee height, c is the speed of light, and ω_{RF} is the RF frequency. Thus, the electric potential distribution between the dees is approximately that for an electrostatic problem; the solution is just the electrostatic potential with a time-varying amplitude.

Secondly, we may assume that the gap between the dees is infinitesimal. While this assumption is not necessary (solutions for the case of a finite gap have been presented by Murray and Ratner [1953] and Reiser [1971]), it simplifies the computations greatly and is

a good approximation in the case of this cyclotron. The dees are not excited at extremely high voltages, so it has been possible to space them very close together. (The gap is 1 to 2 mm, while the height is 8 mm.) The assumption of an infinitesimal gap will only cause errors for particles traveling very close to the dees; the field near the median plane will be relatively unaffected by this approximation.

With these approximations, an analytical expression for the electric potential in the gap region may be obtained by conformal mapping:

$$\Phi(y, z) = V \left[1 - \frac{1}{\pi} \tan^{-1} \left(\frac{\cos \frac{\pi z}{b}}{\sinh \frac{\pi y}{b}} \right) \right] \quad (2.1)$$

The resulting electric fields are:

$$E_y = -\frac{V}{b} \left(\frac{\cos \left(\frac{\pi z}{b} \right) \cosh \left(\frac{\pi y}{b} \right)}{\cos^2 \left(\frac{\pi z}{b} \right) + \sinh^2 \left(\frac{\pi y}{b} \right)} \right) \quad (2.2)$$

$$E_z = -\frac{V}{b} \left(\frac{\sin \left(\frac{\pi z}{b} \right) \sinh \left(\frac{\pi y}{b} \right)}{\cos^2 \left(\frac{\pi z}{b} \right) + \sinh^2 \left(\frac{\pi y}{b} \right)} \right) \quad (2.3)$$

where a sinusoidal excitation is assumed:

$$\begin{aligned} V &= V_m \cos(H\omega t + \phi) \\ &= V_m \cos \left(\frac{Hy}{r} + \phi \right), \text{ for } Hy \ll r \end{aligned} \quad (2.4)$$

and where variables are defined as:

y = distance from gap plane (in direction of ion motion)

z = distance from median plane

b = dee height (distance between top and bottom surfaces)

V = instantaneous voltage across gap (dee voltage)

V_m = peak dee voltage

H = harmonic number = ω_{RF}/ω

ω = cyclotron frequency = qB/m

ω_{RF} = RF oscillator frequency

q = ion charge

B = magnetic field strength

m = ion mass

E_y = electric field component in y direction

E_z = electric field component in z direction

ϕ = RF phase at which ion crosses dee gap

r = cyclotron radius = v/ω

The electric field is shown schematically in Figure 2.1.

Figure 2.1 Electric field across dee gap. Electrostatic focusing will be discussed in section 2.3. (XBL 8410-8761, from Welch, 1984a)

Knowing the field distribution in the gap region, the change in kinetic energy due to a gap crossing may be easily approximated:

$$\delta T = \int F_y dy \equiv \int_{-\infty}^{\infty} q E_y dy \quad (2.5)$$

where T = ion energy and the integral extending to infinity assumes that $b \ll r$. This integral is difficult to solve in general, but if one assumes that $\delta T \ll T$ (a good approximation in our case), the cyclotron radius (which appears in trigonometric arguments in the expressions for electric field) may be assumed constant across the gap. The integral may be solved by writing the denominator as a difference of squares and expanding with partial fractions (Appendix B):

$$\delta T \equiv -qV_m \cos \phi \left[\frac{\cosh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \quad (2.6)$$

The bracketed quantity is a transit-time factor (Livingood, 1961, §7-10; Welch, 1984a; Welch *et al.*, 1987a); it tends to reduce the energy gain for ions which traverse the gap slowly compared to the period of the sinusoidal excitation of the dees.

For a negatively-charged ion (as is used in this machine) energy gain is provided only for ϕ in the first or fourth quadrant. In the second and third quadrants ions will lose energy.

From (2.6) it is simple to calculate the change in radius per gap crossing:

$$\begin{aligned} \delta r &\equiv \frac{r \delta T}{2T} \\ &\equiv \frac{-qV_m \cos \phi}{m r \omega^2} \left[\frac{\cosh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \\ &\equiv \frac{r (\delta T)_{\max} \cos \phi}{2T} \left[\frac{\cosh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \end{aligned} \quad (2.7)$$

where $\delta T_{\max}$ = maximum possible energy gain per turn = $-qV_m$ (negative ions are assumed). Since there are two accelerating gaps per orbit, the radial separation of orbits is twice this quantity.

The increase in radius given by the first two gap crossings must be sufficient for the ions to clear the inner deflector (see section 3.6) after the first orbit. The beam width at the position of this deflector, which is 90° from the dee gap, is a minimum and is about 2 mm. Thus, a 2 mm increase (corresponding to a 520 eV increase for a 5000 eV beam) will allow all ions to clear, while a 1 mm increase (corresponding to a 260 eV increase) will allow about half to clear. We have found that about 300 to 400 V peak voltage is necessary to clear most of the ions when running at the 11th to 15th harmonic.

By imposing the additional assumptions that the phase ϕ is approximately constant throughout the acceleration process, and that ions remain near the median plane (z small),

(2.7) may be integrated to find the approximate number of gap crossings for a given radius. The result is somewhat awkward to apply and is only approximate in any case. It is simpler and more useful to calculate the minimum number of turns by the very simple assumption that particles gain the maximum energy available at each gap crossing. Thus:

$$N_{\text{turns}} \geq \frac{T_f - T_0}{2(\delta T)_{\text{max}}} \geq \frac{T_0}{2(\delta T)_{\text{max}}} \left(\frac{r_f^2}{r_0^2} - 1 \right) \quad (2.8)$$

where T_0 = initial ion energy, T_f = extraction energy, r_0 = initial cyclotron radius and r_f = cyclotron radius at extraction.

A more exact computation of the number of turns may be obtained with the computer program which was mentioned previously (section 2.1). For this cyclotron, operating at the 11th harmonic with 300 V peak, one would expect at least 50 turns based on (2.8). The actual number of turns is shown in Fig. 2.2 as a function of input parameters. It is seen that ions entering with 0° phase require 50 turns if they enter near the dees, since they gain the maximum energy at each gap crossing. They require more turns if they enter near the center of the dee gap because of the transit time effect. For ions which enter with 45° phase, still more turns are required, the exact number depending on axial position and angle.

This cyclotron is typically operated with a harmonic H of 11 to 15 and a peak voltage V_m of 300 to 400 volts. The cyclotron frequency ω is about 1 MHz with a magnetic field of about 1 Tesla, so the dees must be driven at 11 to 15 MHz at 600 to 800 volts peak-to-peak. This is done with a modified amateur radio transmitter (Kenwood 930S) with an oven-controlled crystal driving a linear amplifier (ENI 3200L). The signal is matched to the capacitive load of the dees with a tunable matching network (Appendix C). A voltage monitoring circuit provides a measurement of the dee voltage (Appendix C).

XBL 8911-4176

Figure 2.2 Computer simulation of number of turns as a function of initial ion position, for ion phases of 0 and 45°. Initial ion angle (axial velocity) has been swept over the useful range. Operation is at the 11th harmonic with 300V peak RF. (XBL 8911-4176)

2.3 Stability

In traditional cyclotrons, orbit stability is dependant primarily on the magnetic field index of the machine (Livingood, 1961, ch. 2). The magnetic field is given a radial gradient; the field index is defined as the ratio of the fractional change in B to the fractional change in radius. In the cyclotrino it has been necessary to keep the field index as close to zero as possible to retain orbit isochronicity. Isochronous orbits are necessary if particles are to be retained for a large number of turns, which in turn is necessary for high resolution. Thus, orbit stability mechanisms in the cyclotrino are somewhat more subtle than in traditional designs.

2.3.1 Axial Stability

In the absence of axial focusing, particles will eventually strike the inner surfaces of the dees and be lost. Some form of axial focusing must be provided to avoid this. Since the field index of the cyclotron is zero, no focusing results from a magnetic field gradient. Sector focusing (azimuthal variations in magnetic field strength) could have been used to provide focusing while retaining orbit isochronicity. This is the focusing method used in most modern cyclotrons, but was judged more complex than necessary for this machine.

In the cyclotron, axial stability is provided by electrostatic focusing. Electrostatic focusing is well understood (Cohen, 1953; Cohen and Rainwater, 1969) and has been recognized since the early days of the cyclotron (Rose, 1938; Wilson, 1938). Livingston, one of the members of Lawrence's original cyclotron team, has noted that "The electrostatic focusing between D's is one of the most important features leading to practical results, after the resonance principle itself." (Mann, 1953)

Electrostatic focusing arises from the fact that the electric field varies in both space and time. The axial impulse which an ion receives at a gap crossing may be approximated by:

$$\begin{aligned}\delta p_z &\equiv \int_{t=-\infty}^{t=\infty} qE_z dt \\ &\equiv q \int_{y=-\infty}^{y=\infty} \frac{E_z(y)}{v_y(y)} dy\end{aligned}\quad (2.9)$$

where, again, the approximation has been made that $b \ll r$. Again, it is beneficial to assume that $\delta T \ll T$. This simplifies trigonometric arguments as before, and also allows $v(y)$ to be approximated as constant and pulled out of the integral. The integral may be solved similarly to the integral for energy gain discussed above (Appendix B):

$$\delta p_z \equiv \frac{qV_m \sin \phi}{v} \left[\frac{\sinh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \quad (2.10)$$

For ϕ in the first or second quadrant a negative ion experiences a restoring force toward the median plane. These particles are focused axially, while those with ϕ in the third or fourth quadrant experience axial defocusing and are quickly lost. Thus only ions

with ϕ in the first quadrant will experience simultaneous axial focusing and energy gain; only these ions will have a chance to be successfully extracted from the cyclotron.

Equation (2.10) represents the axial momentum kick provided to an ion at each gap crossing. It is obviously nonlinear in z , but for small z may be linearized:

$$\delta p_z \equiv \frac{qV_m H \sin \phi}{v_r \cosh \frac{Hb}{2r}} z \quad (2.11)$$

These discrete momentum changes may be approximated as a continuous momentum change, due to an equivalent force of:

$$F_{z \text{ equiv}} = \frac{\delta p_z}{\delta t} = \frac{\omega}{\pi} \delta p_z$$

The equation of axial motion is:

$$m \frac{d^2 z}{dt^2} = F_{z \text{ equiv}}$$

This differential equation may be solved for the frequency of axial oscillations, which is:

$$\omega_z = \sqrt{\frac{-qV_m H \sin \phi}{\pi m r^2 \cosh \frac{Hb}{2r}}} \quad (2.12)$$

The axial betatron frequency for ions near the cyclotron median plane is defined as:

$$\nu_z \equiv \frac{\omega_z}{\omega} = \sqrt{\frac{(\delta T)_{\max}}{T} \frac{H \sin \phi}{\pi \cosh \frac{Hb}{2r}}} \quad (2.13)$$

In this cyclotron, ions start with 5 keV energy and 3.8 cm cyclotron radius, exiting with about 35 keV energy at a 10 cm radius. The dee height is 0.8 cm. Operating at the 15th harmonic with 400 V peak RF voltage, we find that the axial betatron frequency ν_z is $0.39\sqrt{\sin \phi}$ initially and is $0.21\sqrt{\sin \phi}$ at extraction. Thus, the potential resonance at $\nu_z = 0.50$ due to mechanical imperfections is avoided (Livingood, 1961, ch 5); other potential resonances at 0.33, 0.25 and 0.20 are either mild enough or are traversed so

rapidly that they do not cause problems. There are no coupled resonances to radial or phase motion in this machine since there are no radial or phase oscillations.

The frequency of these small axial oscillations will not remain constant throughout the acceleration process since T and r will change. Hence the amplitude of axial oscillations will not remain constant, either. The WKB approximation may be used to determine the approximate variation in amplitude of these oscillations. Following the analysis of Livingood (1961, §2-9), one finds that the amplitude is proportional to $v_z^{-1/2}$. For a given ion, then, the amplitude of axial oscillations is proportional to:

$$\sqrt[4]{\frac{r^2 \cosh \frac{Hb}{2r}}{\sin \phi}} \quad (2.14)$$

Trajectories of ions may be studied with the computer simulation mentioned in section 2.1. The trajectory of a typical ion is shown in Fig. 2.3, illustrating axial oscillations and amplitude variations.

Axial Oscillations

Focus = 30°

RF Volts = 300 V

Harmonic = 11

Axial Position = 1 mm

Phase = 30°

Axial Angle = 1 mrad

XBL 8911-4220

Figure 2.3 Simulated axial position of a typical ion as it traverses the cyclotron, showing axial oscillations. Operation is at the 11th harmonic with 300 V peak RF. (XBL 8911-4220)

2.3.2 Radial Stability

There will be no focusing in the radial plane (the orbit plane) in this machine, provided that the B-field is uniform. But there will be perturbations to the radial motion. This motion can be analyzed conveniently by describing the location of the center of an ion's orbit (Milinković, Subotić and Fabrici, 1988). When not in the dee gap, the orbit center is fixed and the ion describes circular motion about this point with a radius determined by ion energy. When traversing a dee gap, an ion gains energy and the center of its orbit shifts.

As an ion gains energy, the center of its orbit moves in alternate directions at each gap crossing, appearing to hop back and forth along the dee gap. The distance of each hop decreases in magnitude as the ion approaches extraction radius. For an ion whose center lies on the dee gap, the magnitude of each of these hops may be expressed as:

$$\delta x = \frac{r}{\sqrt{T_0}} \delta(\sqrt{T}) \approx \frac{r_0^2}{2T_0} \frac{\delta T}{r} \quad (2.15)$$

The distances of these hops may be summed to find the net offset of orbit centers at extraction radius due to the acceleration process. For $\delta T \ll T_0 \ll T_f$ this sum converges to a total shift of half of the initial hop:

$$\Delta x_{\text{acc}} \approx \frac{1}{2} (\delta x)_0 \quad (2.16)$$

It is important to examine the effect of perturbing the initial orbit center position from its assumed initial position on the dee gap. There are two dimensions to be considered. If the perturbation is along the dee gap by an amount Δx_0 , all of the succeeding orbit centers will be offset the same amount and the final position will simply be perturbed by a distance $\Delta x = \Delta x_0$.

However, if the perturbation is orthogonal to this, by a distance Δy_0 away from the dee gap, there is a coupling to other dimensions. The ion will reach the gap at a different time, hence at a different RF phase:

$$\Delta \phi = \frac{H \Delta y_0}{r_0}$$

If y is positive as shown in Fig. 2.4, the phase shift is also positive and the ion arrives later. At the next gap the ion will arrive early by the same amount. Since the energy gained at each gap crossing depends on phase, the energy gains will be unbalanced and the hops along the dee gap will be unequal. The ion's orbit center will drift in the x dimension while it maintains a constant offset $\Delta y = \Delta y_0$ from the dee gap.

The total offset Δx_{off} due to an offset Δy_0 from the dee gap may be easily approximated for a simplified case. If it is assumed that $\Delta y_0 \ll r/H$, ϕ constant (no phase bunching—discussed in the next section), and no transit time effect, the δx shifts experienced at each gap crossing may be summed to find:

$$\Delta x_{\text{off}} \approx \frac{H \Delta y_0}{4} \tan \phi \ln \frac{T_f}{T_0} \quad (2.17)$$

Thus the ions will drift in the x dimension as shown in Fig. 2.4. For typical operating parameters of $T_0 = 5$ keV, $T_f = 35$ keV, $H = 15$, and assuming that $\phi \approx 30^\circ$, one finds the drift $\Delta x_{\text{off}} \approx 4 \Delta y_0$.

Figure 2.4 Loci of orbit centers, showing radial perturbations to ion trajectories. Initial distribution of orbit centers is shown in (a), leading to the final distribution in (b). The distribution is shifted according to (2.16) and is distorted according to (2.17). (XBL 8911-4172)

2.3.3 Phase Stability

In a simple cyclotron with no sector focusing, phase stability is a function of field index. With a field index of zero, as in this design, one would expect no phase focusing. Phase focusing relies on a change in the time required to make one orbit. If orbits are truly isochronous, there can be no phase focusing and will be a condition of neutral phase stability. One would think that a flat field cyclotron such as this would be truly isochronous and would thus have no coupling of orbit time to phase of gap crossing, resulting in neutral phase stability for all ion phases. Such is not the case. It is true that there are no phase oscillations in this machine, but there is a phase bunching effect.

Although a flat-field machine has no change in orbit time as a function of radius, there is nevertheless a coupling of orbit time to phase of gap crossing as noted by Chen and collaborators (Chen *et al.*, 1987; Chen, Gao and Li, 1989a). In this sense a flat-field

cyclotron is not truly isochronous. A flat field implies that the cyclotron *frequency* is constant, but it does not follow that the *orbit time* is a constant as well. Constant cyclotron frequency means that a fixed angle is subtended per unit time. Interestingly, the angle subtended in crossing the dee gap is not a constant; it depends on the details of energy gain and is a function of the phase of the particle.

The region of energy gain is not infinitesimally thin but is extended in space due to fringing of the electric field in the gap. To see how this gives rise to phase shifts, one may imagine that the energy gain occurs at two planes physically separated from the dee gap by a fixed distance. It can be seen by graphical construction (Fig. 2.5) that if more energy gain occurs at the second plane the total subtended angle in traversing a half-orbit is greater than 180° , more time is taken and the particle phase is retarded. If more energy gain occurs on entering the dee gap, the total subtended angle for a half-orbit is less than 180° and particle phase is advanced.

Figure 2.5 Origin of phase bunching in the small cyclotron. In (a), ions experience more energy gain upon exiting dee gap than upon entering; total angle ($\theta_1 + \theta_2$) subtended in a half-orbit is greater than 180° and ion phase is retarded. In (b), more energy is gained upon entering the dee gap; total subtended angle is less than 180° and ion phase is advanced. (XBL 8911-4173)

Consider operation in the first quadrant of the RF cosine wave, which is necessary for simultaneous energy gain and axial focusing. A particle arriving at the waveform peak

receives nearly equal energy gains on both sides of the dee gap, thus no phase shift. A particle arriving later gets more energy gain on entering the gap region than on exiting since the cosine waveform have decreased in magnitude by the time the ion exits, thus advancing its phase. It is seen that particle phase tends to be bunched toward the peak of the RF waveform.

In a cyclotron, the energy gain does not only occur at two planes, but is distributed across the gap with a distribution which depends on a particle's axial position and velocity. The phase change due to a single gap crossing may be calculated by integrating the angle subtended as an ion crosses the gap region and subtracting the total angle which would have been subtended if the energy gain had all occurred at an infinitesimal-width gap. Thus:

$$\delta\phi_{ion} \equiv \int_{\text{gap}} \frac{dy}{r} - \left(\frac{1}{r_0} \int_{\text{first half gap}} dy + \frac{1}{r_f} \int_{\text{second half gap}} dy \right) \quad (2.18)$$

Assuming that $\delta T \ll T$, and that $b \ll r$ (the distance over which the electric field extends is on the order of b), the phase change experienced in a single gap crossing may be reduced to (Appendix B):

$$\begin{aligned} \delta\phi &\equiv \frac{H}{2rT} \int_{y=-\infty}^{y=\infty} y dT \equiv \frac{H}{2rT} \int_{-\infty}^{\infty} y F_y(y) dy \\ &\equiv \frac{qH}{2rT} \int_{-\infty}^{\infty} y E_y(y) dy \end{aligned} \quad (2.19)$$

where ϕ_{ion} = ion phase and ϕ = RF phase = (H) (ion phase).

This phase shift has been expressed in terms of RF phase (ϕ), which is greater than ion phase (ϕ_{ion}) by a factor of H . This allows for operation at a harmonic of the cyclotron frequency. The integral may be solved in a similar manner to the previous integrals for energy gain and axial momentum gain (Appendix B):

$$\delta\phi \equiv \frac{qV_m Hb}{4rT} \sin \phi \left(\frac{\cosh\left(\frac{Hz}{r}\right) \sinh\left(\frac{Hb}{2r}\right) + \frac{2z}{b} \sinh\left(\frac{Hz}{r}\right) \cosh\left(\frac{Hb}{2r}\right)}{\cosh^2\left(\frac{Hb}{2r}\right)} \right) \quad (2.20)$$

In deriving this expression it has been assumed that $\delta T \ll T$ and $b \ll r$, and that the gap between dees is infinitesimal. The total phase shift of an ion at extraction radius may be estimated with some additional approximations. If it is assumed that the ions remain near the median plane (z small), (2.20) may be approximated as:

$$\begin{aligned}\delta\phi &\equiv \frac{qV_m Hb}{4rT} \sin\phi \left(\frac{\sinh\left(\frac{Hb}{2r}\right)}{\cosh^2\left(\frac{Hb}{2r}\right)} \right) \\ &\equiv \frac{-\delta T_{\max}}{T} \frac{Hb}{4r} \sin\phi \left(\frac{\sinh\left(\frac{Hb}{2r}\right)}{\cosh^2\left(\frac{Hb}{2r}\right)} \right)\end{aligned}\quad (2.21)$$

If the additional assumptions are made that the total phase shift is small ($\phi \equiv \text{constant}$) and that many turns are made in the cyclotron, the phase change $\Delta\phi_{\text{cyc}}$ as an ion traverses the cyclotron, which is the summation of the individual phase shifts $\delta\phi_{\text{RF}}$ at each gap crossing, may be approximated by an integral, which may then be simplified using (2.7) and (2.21):

$$\begin{aligned}\Delta\phi_{\text{cyc}} &\equiv \int \delta\phi = \int \frac{\delta\phi}{\delta r} dr \equiv -\frac{Hb}{2} \tan(\phi) \int_{r_0}^{r_f} \frac{1}{\rho^2} \tanh\left(\frac{Hb}{2\rho}\right) d\rho \\ &\equiv -\tan(\phi) \ln \left(\frac{\cosh\left(\frac{Hb}{2r_0}\right)}{\cosh\left(\frac{Hb}{2r_f}\right)} \right)\end{aligned}\quad (2.22)$$

It is apparent that the phase ϕ will not be constant as an ion traverses the cyclotron. This phase shifting may be partially compensated by offsetting the RF frequency slightly, giving an additional, constant phase shift at each gap crossing.

Suppose the RF frequency is offset slightly so that an ion of the desired species, making its first gap crossing at RF phase ϕ_s , also makes its final gap crossing at phase ϕ_s . Then:

$$\Delta\phi_{\text{RF}} = -\Delta\phi_{\text{cyc}}$$

where:

$$\Delta\phi_{\text{RF}} \propto N_c \propto \frac{1}{\delta r} \propto \frac{1}{\cos(\phi)}$$

and:

$$\Delta\phi_{\text{cyc}} \propto \tan(\phi)$$

Consider an ion beginning with phase $\phi_s + \Delta\phi_{\text{in}}$. Its final phase will be offset from ϕ_s by an amount $\Delta\phi_{\text{out}}$. In general, $\Delta\phi_{\text{out}}$ will differ from $\Delta\phi_{\text{in}}$, implying a spreading or bunching of ion phases. A phase compression factor α_{phase} can be defined:

$$\begin{aligned} \alpha_{\text{phase}} &\equiv \frac{\Delta\phi_{\text{out}}}{\Delta\phi_{\text{in}}} \\ &= \frac{d}{d\phi} (\phi_s + \Delta\phi_{\text{in}} + \Delta\phi_{\text{RF}} + \Delta\phi_{\text{cyc}}) \\ &= 1 - \ln \left(\frac{\cosh\left(\frac{Hb}{2r_0}\right)}{\cosh\left(\frac{Hb}{2r_f}\right)} \right) \end{aligned} \quad (2.23)$$

Computer calculations of phase as a function of radius for paraxial ions are shown in Figs 2.6a-d. In Fig. 2.6a, the phase bunching for the 15th harmonic and 400 V peak RF voltage is seen. The effect of offsetting the RF frequency slightly is shown in Fig. 2.6b. Performance at the 31st harmonic is shown in Fig. 2.6c.d. It is obvious that the phase bunching increases as the harmonic is raised.

The approximation given above (2.23) is in good agreement with computer calculations for low H (below about 9) in the cyclotron. For $H=15$, the agreement with computer calculations is poor (Fig. 2.6b), while for $H=31$ the agreement is very poor (Fig. 2.6d), with α_{phase} becoming negative as calculated from (2.23), which is physically unreasonable. These disagreements seem to be because of the approximation $\phi \equiv \text{constant}$, used in deriving (2.23). Negative values may be avoided by approximating α_{phase} as:

$$\alpha_{\text{phase}} \equiv \frac{1}{1 + \ln \left(\cosh\left(\frac{Hb}{2r_0}\right) / \cosh\left(\frac{Hb}{2r_f}\right) \right)} \quad (2.24)$$

which has the same asymptotic behavior as (2.23) for low H , but which cannot become negative as H increases. This gives good agreement with computer simulations for $H=15$ in the cyclotron, but is in error by about a factor of two at $H=31$.

The phase grouping effect tends to reduce the resolution of the cyclotron, as noted by Chen, Gao and Li (1989a). In fact, since the phase compression factor (2.23,24) is independent of RF voltage (in the approximation that $\phi \equiv \text{constant}$) and since the compression increases as the harmonic is increased (as seen in (2.23,24) and Fig. 2.6), one may think that the resolution cannot be significantly increased by increasing the harmonic or lowering the RF voltage. This is not the case, however. Increasing the harmonic or reducing the voltage will increase the number of turns an ion makes in the cyclotron; thus the average phase shift per turn tends to be reduced and the mass resolution tends to be increased.

The mass resolution may be approximated by computer simulations of an off-mass ion which enters on-axis at 0° phase (a lower limit on the ion phases which can be focused), with the ion's mass adjusted so that it drifts in phase to exit at 60° phase (an approximate upper limit on ion phases which can successfully exit the extraction channel). This gives rise to the family of curves shown in Fig. 2.7 for this cyclotron. It is obvious that the resolution is approximately proportional to H/V . In fact, as the harmonic is increased, resolution increases faster than does the harmonic, especially for high harmonics. (*Doubling the harmonic from 15th to 31st triples the resolution*).

It would appear from (2.7) that operation at 15th harmonic and 400 V peak is not quite adequate for detection of ^{14}C , with a resolution of about 1600. However, we have found that it gives more than enough resolution to separate ^{14}C from ^{13}CH . The approximate curves in (2.7) understate the actual resolution because a perfectly uniform magnetic field has been assumed in the analysis. In practice, the field strength drops slightly at larger radii, causing additional phase retardation. This tends to increase the resolution and reduce the transmission beyond what would be expected with a perfectly flat field.

XBL 8911-4208

Figure 2.6a Phase bunching for paraxial ions, with cyclotron operating at the 15th harmonic and 400 V peak. (XBL 8911-4208)

XBL 8911-4212

Figure 2.6b Phase bunching for paraxial ions, with cyclotron operating at the 31st harmonic and 400 V peak. (XBL 8911-4212)

XBL 8911-4209

Figure 2.6c Phase bunching for paraxial ions, with cyclotron operating at the 15th harmonic and 400 V peak, with RF frequency offset slightly so that ions entering with 30° phase also exit with 30° phase. (XBL 8911-4209)

XBL 8911-4213

Figure 2.6d Phase bunching for paraxial ions, with cyclotron operating at the 31st harmonic and 400 V peak, with RF frequency offset slightly so that ions entering with 30° phase also exit with 30° phase. (XBL 8911-4213)

Figure 2.7 Approximate resolution of cyclotron in the presence of phase bunching. (XBL 8911-4263)

2.4 Acceptance

The acceptance of the cyclotron (a description of the characteristics required of a resonant ion for successful acceleration) can be described in terms of a 5-dimensional phase space. The components are axial position, axial velocity (or angle), radial position, radial velocity (or angle), and ion phase with respect to the RF waveform.

The cyclotron acceptance must be matched to the ion source emittance to avoid losing beam. Extrapolating from estimates of the source emittance at 20 keV, it was estimated that about 80% of the source output should fall within 40π mm-mrad at 5 keV. This estimate of 40π mm-mrad was used for most emittance and acceptance calculations.

Radial acceptance properties may be calculated from the equations for radial motion (section 2.3.2). It is desired to minimize the spread of orbit centers at extraction radius. For a fixed emittance, the minimum spread of orbit centers along the dee gap may be obtained by allowing the orbit centers to "walk" a distance Δx_{off} (2.17) about equal to the initial spread in centers, Δx_0 . Thus, at $H = 15$, the orbit centers would preferably lie in an ellipse with $\Delta x_0 \approx 4 \Delta y_0$. Since the harmonic is variable and will not necessarily be 15th, a 6:1 ratio was decided upon. The focusing elements were designed to place orbit centers in an elliptical region along the dee gap with a semi-major axis of 3 mm and a semi-minor axis of 0.5 mm. With an injection radius of 3.8 cm, this encloses the desired emittance area of 40π mm-mrad.

Axial acceptance was determined with the computer simulation of cyclotron performance mentioned earlier. Since axial properties are coupled to ion phase, the results may be expressed as a family of axial phase plots, parametrized by ion phase (Fig. 2.8a-d). A beam of 40π mm-mrad may be focused to a phase space ellipse at the dee gap with semi-axes of 2 mm and 20 mrad, matching the cyclotron's axial acceptance almost exactly.

In Fig. 2.8a, the axial acceptance for 11th harmonic and 300 V peak is shown. Offsetting the frequency slightly, so that ions which enter with a 30° phase lag also exit with a 30° phase lag, improves the acceptance slightly (Fig. 2.8b). When losses due to first turn clearance (assuming 1 mm clearance, which is necessary for about half of the ions to clear) are included (Fig. 2.8c), it is seen that many ions are lost when operating with a 300 V peak RF voltage. Thus, most of our data was taken with 400 V peak and 15th harmonic (Fig 2.8d). This results in about the same resolution as 300 V peak and 11th harmonic, but gives lower loss due to the higher voltage. It was found that increasing the RF voltage from 300 to 400 volts approximately doubled the transmission through the cyclotron.

These axial acceptance plots are in general agreement with those published earlier (Welch, 1984a; Welch *et al.*, 1987a). However, these simulations indicate that the acceptance for this new system is not quite so symmetric and encloses a somewhat smaller

area. This is probably due to a higher ion injection energy and to the inclusion of phase bunching in the computer simulations.

Useful phase acceptance is estimated to be about 45° , based on Fig. 2.8a-d. If ion phase is less than about 15° , the axial acceptance shrinks dramatically due to insufficient axial focusing. If ion phase exceeds about 60° , not enough energy is gained to clear the inner deflector on the first turn.

Figure 2.8a Axial acceptance of cyclotron at 11th harmonic and 300 V peak, parametrized by ion phase. (XBL 8911-4177)

XBL 8911-4178

Figure 2.8b Axial acceptance of cyclotron at 11th harmonic and 300 V peak, with RF frequency offset for 30° ions. (XBL 8911-4178)

Figure 2.8c Axial acceptance of cyclotron at 11th harmonic and 300 V peak for 45° ions, including the effect of losses due to first turn clearance. (XBL 8911-4179)

XBL 8911-4180

Figure 2.8d Axial acceptance of cyclotron at 15th harmonic and 400 V peak, parametrized by ion phase. (XBL 8911-4180)

3. ION PRODUCTION AND INJECTION

3.1 Overview

A beamline transports the beam from the ion source to the cyclotron, shown schematically in Fig. 3.1 and pictorially in Fig. 3.2. An einzel lens captures and slightly focuses the highly divergent output of the ion source. An electrostatic quadrupole lens further focuses and steers the beam into the object slit of a Wien filter. The Wien filter (a velocity selector) performs the dual purpose of reducing the ion load entering the cyclotron (including elimination of the high energy tails from ^{12}C and ^{13}C) and allowing ion source output to be monitored. It focuses the mass 14 beam from the object slit to an image slit, deflecting the mass 12 and 13 ions to a Faraday cup to provide normalization for ion source output fluctuations. The mass 14 beam (containing ^{14}C and molecular ions such as ^{13}CH) is focused and steered into the cyclotron with a combination of four electrostatic quadrupole lenses. The beam is injected into the cyclotron radially using electrostatic deflection channels and an electrostatic mirror.

A computer program was written to simulate the optical performance of the beamline and cyclotron injection, based on the optical matrices given in Appendix E. This program allows easy examination of the first-order optical properties of the system. Either an individual ray or the beam envelope may be traced through the system. This allowed adjustment of beamline composition and geometry, leading to the beamline shown in Fig. 3.1 with the beam envelope shown in Fig. 3.3.

In addition to these first-order optical analyses, aberrations caused by higher order effects must be considered. For elements which are symmetric in the off-axis dimensions (e.g. einzel and quadrupole lenses) even-order aberrations cancel; the lowest-order aberration remaining is third order. For asymmetric elements (e.g. Wien filters) there are second-order aberrations as well.

Figure 3.1 Cyclotron system schematic, showing injection beamline. (XBL 874-1819)

Figure 3.2 Cyclotron system, showing injection beamline. (CBB 888-8200)

XBL 8911-4210

Figure 3.3 Approximate envelope of ion beam along beamline. The radial envelope (in the plane of the paper) is shown above, while the axial envelope (the plane out of the paper) is shown below. EQ stands for electrostatic quadrupole lens. (XBL 8911-4210)

Of the third order geometrical aberrations, spherical aberration is the most important (Moore, Davis and Coplan, 1983, § 5.2), although chromatic aberration is also somewhat important. The spherical aberration coefficient C_{so} may be defined as:

$$\Delta r_o = C_{so} \alpha_o^3 \quad (3.1)$$

where C_{so} is the spherical aberration coefficient referred to the object, α_o is the angle of an ion which starts on axis at the object plane, and Δr_o is the aberration in the object plane (the apparent off-axis origin of the ion in the object plane). (El-Kareh, 1970, vol. 2, §10) This may be referred to the image plane very simply:

$$\Delta r_i = M C_{so} \alpha_o^3 \quad (3.2)$$

where Δr_i is the aberration in the image plane and M is lens magnification.

In the beam injection system, aberrations are primarily due to the einzel lens, with the Wien filter also contributing somewhat. The quadrupole lenses contribute negligibly to the overall aberration.

3.2 Ion Source

The earlier version of the small cyclotron did not have enough current to enable ^{14}C detection at modern abundance levels (Welch, 1984a; Welch *et al.*, 1984b). For this reason the current machine was built with an external ion source.

A Cs sputter negative ion source was purchased from General Ionex, capable of more than 20 μA of C^- output using graphite or CO_2 . The source is designed to operate with about 20 keV extraction energy. However, this machine is designed to have only a 5 keV input beam energy; thus, beam properties were not well known. Extrapolating from performance at 20kV (Middleton, Purser, private communication), estimates were that at 5 keV the beam should have an emittance of about 40π mm-mrad with an apparent origin over a region of about 1 mm diameter at about the actual sample location. These estimates are probably not extremely accurate, since there is significant loss of beam before the exit of the Wien filter.

An RF plasma source was also investigated (Walther, Leung and Kunkel, 1986a). This has the advantages of a very simple design and a low beam emittance and seems like a

The cyclotron beamline had space for about a 6" diameter lens. After comparison of various designs an axially asymmetric lens similar to that of Riddle (1978) and Drummond (1984) was built (Fig. 3.4). This seems to work fairly well but does seem to introduce a significant amount of aberration due to the high divergence of the impinging ion beam.

Figure 3.4 Schematic diagram of the axially asymmetric einzel lens used in the beamline.

3.4 Quadrupole Lenses

The cyclotron uses a number of electrostatic quadrupole lenses. Quadrupole lenses can generally be built with lower aberrations than einzel lenses and are thus preferred where it is possible to use them. They behave optically as would a saddle-surface glass lens, focusing along one axis and defocusing along the other. Since one axis is defocusing, it is generally necessary to use at least two quadrupole lenses in an optical system to avoid spreading the beam.

Good control is needed of beam characteristics (beam position, beam width, and focal plane locations) on injection into the cyclotron. A combination of four electrostatic quadrupole lenses provides the needed flexibility. By independent adjustment of the powers of the four lenses, control is gained over the four degrees of freedom associated with beam focal properties. (These four degrees of freedom may be described as the position and size of the beam focus for each of the two dimensions orthogonal to the beam direction.) Beam steering may be accomplished by driving the quadrupoles asymmetrically; this allows adequate control of the four additional degrees of freedom associated with beam position. Custom-built power supplies allow independent adjustment of the focal power and vertical and horizontal deflections of the quadrupole lenses (Appendix C).

Quadrupole lenses, if properly designed, have relatively small aberrations. An ideal quadrupole lens has four hyperbolic poles. In practice, circular cross sections are generally used because of the simplification in manufacture. The substitution of circular poles introduces spurious multipole components, the lowest of which is a 12-pole, corresponding to a fifth-order aberration. This can be cancelled on axis by adjusting the spacing of the poles, leaving a 20-pole component (ninth-order) as the next remaining aberration. The correct pole spacing is about $r = 1.15a$, where r is the pole radius of curvature and a is the radius of the aperture across its smallest dimension (Banford §6.4; Septier, Strong-focusing; Dawson, 1976).

These remaining aberrations are such high-order (ninth and above) that they are relatively unimportant for ions near the axis. However, lower order aberrations are introduced through other mechanisms. The fields at the ends of a quadrupole are distorted due to their fall off, introducing a third-order aberration (Septier, Strong-focusing). More importantly, the effective length of the lens varies with off-axis distance of an ion, because ions travel in different fringe fields. This also gives rise to a third order aberration (Banford §§6.5, 6.8). It is possible to design quadrupole electrodes with an axially-varying diameter to reduce these aberrations (Tiefenback, 1986); however, for this system simple cylindrical electrodes could be used without excessive aberration.

The effective length of a quadrupole lens is approximately $L + a$, where L is the physical length of the poles and a is the entrance radius (Banford, 1966, §6.4). The difference in effective length on axis and at the edge of the aperture is approximately $0.15a$ (Banford, 1966, §6.8). The effective length must vary quadratically with off-axis distance

in order to produce a third-order aberration. Thus, realizing that lens power is proportional to effective lens length for a weak lens, one finds:

$$P \propto \frac{1}{f} \propto L_{\text{eff}} \propto \left(1 + \frac{0.15 (r/a)^2}{L/a + 1} \right) \quad (3.3)$$

where P is lens power, f is focal length, r is off-axis distance, a is radius of lens aperture, and L is physical lens length. This effect is the dominant source of aberration for a quadrupole lens.

An expression for spherical aberration may be derived from this variation in effective length. Based on the definition of spherical aberration (3.1), the effective length (3.3) and simple formulas of Newtonian optics, one finds:

$$C_{so} = \frac{-0.15 f^3}{(L/a + 1) a^2} \left(\frac{M + 1}{M} \right)^4 \quad (3.4)$$

where M is the lens magnification.

The lenses which were built for the small cyclotron have a length of 4.0 inches, a pole diameter of 2.0 inches, and an aperture of 1.74 inches. The poles are constructed from thick-walled aluminum tubing and are sandwiched between two aluminum end plates with ceramic tubes acting as insulators and threaded rods holding the assembly together (Fig. 3.5). For these lenses, with $L \approx 4a$ and $M \approx 1$, we find $C_S \approx 0.48 f^3/a^2$ from (3.4). The aberration of the quadrupole lenses was found to be relatively unimportant; although the beam becomes quite large in the quadrupoles (Fig. 3.3), there is virtually no loss of beam in traveling from the Wien image slit to the cyclotron's injection channel.

Figure 3.5 Quadrupole lens as used in the injection beamline. (CBB 874-3103)

3.5 Wien Filter

A Wien filter is composed of an electric and a magnetic field which are orthogonal to one another. The ion beam travels along an axis orthogonal to both. The electric and magnetic fields are adjusted to pass the desired species undeflected; any species with incorrect velocities are deflected away from the main path. This is also known as a crossed-field separator or a velocity selector. It can be shown that such a device is truly velocity selective, independent of ion energy (Appendix D).

Wien filters can be designed in a number of ways. A Wien filter will have a focusing effect in the plane normal to the magnetic field direction. If it has a uniform magnetic field and flat magnet ends it will exert no focusing effect in the direction of the magnetic field. If focusing is needed in both dimensions, the magnet ends may be slanted or the magnetic field may be given a linear gradient (Seliger, 1972; Galejs and Kuyatt, 1978).

In the small cyclotron these complications were unnecessary. The Wien filter is composed of a slit, a drift length, the crossed field region, a second drift length and a second slit. The length of the crossed field region is such that:

$$L \equiv \frac{\pi}{2} r_c \quad (3.5)$$

where r_c is the nominal cyclotron radius for particles in the crossed field region. The two drift lengths are each about r_c in length. This establishes a focus at the second slit (Appendix D).

The separation of off-mass ions at the second slit is given by:

$$x_{\text{tot}} \equiv r_c \frac{dm}{m} \quad (3.6)$$

Once such a filter is installed, the desired beam must be imaged at the output slit. In general, it will not be imaged exactly at the slit due to measurement and assembly errors. It is of course possible to remove the filter and make mechanical adjustments. But it is also possible to adjust the focus by changing the beam energy (velocity). If the beam energy is changed a small amount at the ion source, the focal position moves according to:

$$dz = \left(\frac{\pi + 2}{2} \right) \frac{dT}{T} r_c \quad (3.7)$$

where dz is the change in focal position, r_c is the nominal cyclotron radius ($=mv/qB$) inside the Wien filter, T is nominal beam energy, and dT is the change in beam energy.

If the beam energy is changed a small amount only in the Wien filter (by imposing asymmetric voltages on the electric field plates), the focal position moves according to:

$$dz = \left(\frac{\pi n}{2} \right) \frac{dT_{\text{in}}}{T_{\text{in}}} r_c \quad (3.8)$$

where n is the "refractive index", equal to $v_{\text{outside}}/v_{\text{inside}}$, and T_{in} is the beam energy inside the Wien filter.

The above approximations are useful for small fractional changes in energy. For larger changes in energy, as is used in this system, the more fundamental equations must be used (Appendix D). It should also be noted that adjusting the beam energy will affect the separation of off-velocity ions.

A symmetric optical element such as a quadrupole lens or einzel lens will have no second-order aberrations due to symmetry about the beam axis. However, a Wien filter is not symmetric and has second-order aberrations which must be considered. These aberrations seem to arise as a result of the fact that the ion trajectories are cycloids rather than parabolas and may be calculated by integrating the equations of motion for an ion traversing the filter.

This origin of this second order aberration may be seen qualitatively by looking at the situation in the following way. An ion whose angle carries it to a region of higher potential energy in the electric field of the Wien filter travels slower and spends longer in the filter than does an ion traveling along the optic axis, while an ion with the opposite angle goes to a lower potential and traverses the filter more quickly. This tends to increase the effect of the filter for the first ion and to reduce it for the second, causing both to deflect away from the image point in the direction of the electric force of the Wien filter.

A computer program was written to integrate the motion of an ion through a Wien filter to numerically calculate the magnitude of these aberrations. For our design, where the drift length $d=r_c$ and the field length $L=\frac{\pi}{2} r_c$, the aberration is $\Delta x \approx 6 \alpha^2 r_c$, while for a design where $d=0$ and $L=\pi r_c$, the aberration at the focal plane is $\Delta x \approx 3 \alpha^2 r_c$, where Δx is the separation of the ion from the focal point measured in the focal plane and α is the ion's incident angle through the Wien filter object slit, in radians.

The effects of this aberration may be controlled by limiting the angles of incident ions. The beam should not be focused to an extremely narrow ribbon at the slits, because the beam's angular spread will grow as a consequence of conservation of beam emittance. It is also advisable to physically limit the maximum angles which can traverse the filter; this may be done by inserting a field aperture at a point optically conjugate to the entrance slit. For our design, where $d=r_c$ and $L=\frac{\pi}{2}r_c$, this consists of merely inserting an aperture at the entrance to the magnetic field region.

The Wien filter in this system has been built with samarium cobalt permanent magnet material and a soft steel yoke, placed entirely in vacuum (Fig. 3.6). The magnetic field is 4.2 kG over an effective length of 12.0 cm, with a width of about 4.7 cm and a gap of about 2 cm. According to calculations by Dr. Klaus Halbach (private communication), this should produce a field uniform to better than 0.2% over a 1 cm by 1 cm central region. Magnetic field shunts cause the field to drop quite rapidly at the ends, falling from 90% to 10% of its maximum value in less than 2 cm.

The electric field is produced between two parallel plates about 2.5 cm apart. The plates are narrow (less than 2 cm) and the conductive magnet pole pieces are in very close proximity. The combination of these two factors would lead to severe electric field distortion unless something were done to deal with this.

A simple design was tried using low conductivity sheets (about 300 MΩ per square) to connect the two electric field plates on their edges (Bertsche *et al.*, 1987, 1988). This should establish a linear electric field gradient at the edges of the electric field region, producing a nearly perfect parallel electric field inside. This scheme worked well at first, but the filter performance gradually degraded and began to work very poorly at high currents, probably due to charging of the sheets.

The electric field plates were rebuilt more carefully with three discrete metallic electrodes on each edge. Great care was taken to shield all insulators from the beam and to use two fringing field shunts at each end (Wollnik, 1987). Since ions spend more time near the center of the filter, electric field uniformity is more important near the center than near the edges. Hence the electrode dimensions were adjusted to provide a more uniform field near the center of the filter at the expense of field uniformity near the plates. The electric field assembly is shown in Fig. 3.7.

Figure 3.6 Magnet assembly used in Wien filter. The entire assembly is in vacuum. (CBB 874-3087)

Figure 3.7 Electric field assembly used in Wien filter. (CBB 893-1972)

The electric potentials are applied asymmetrically in this design, with one electric field plate grounded and the other operated at a high negative voltage (about 2500 V). This slows the incident 5 keV beam significantly, shortening the necessary length of the Wien filter since ions spend more time in the crossed field region.

The separation of masses obtained with this Wien filter is shown in Fig. 3.8, measured at low currents with a Faraday cup at the output slit of the Wien filter. This plot greatly understates the off-mass rejection of the Wien filter. Many off-mass ions are able to exit the Wien filter's image slit but do not fall in the injection channel of the cyclotron because they exit the slit with a small angular deviation. Measurements of ^{12}C taken at the detector show that off-tuning the Wien filter by one mass unit (to mass 11) suppresses current by a factor of 50, while off-tuning by two mass units (to mass 10) suppresses by 10^5 . Thus, with the Wien filter tuned to mass 14, very little mass 12 should enter the cyclotron.

XBL 8911-4214

Figure 3.8 Mass resolution of Wien filter, measured at image slit. (XBL 8911-4214)

3.6 Injection

The ion beam is injected into the cyclotron using a novel radial injection method (Morris, 1986). This was used because of concern that the more traditional axial injection would cause distortion of the magnetic field near the center and destruction of orbit isochronicity, due to the need to bore a hole through one of the magnet pole pieces. The radial injection system is shown schematically in Fig. 3.9, with a photograph in Fig. 3.10.

ED = ELECTROSTATIC DEFLECTOR
EM = ELECTROSTATIC MIRROR

XBL 874-1818

Figure 3.9 Cyclotron schematic, showing method of beam injection. (XBL 874-1818)

Beam crosses the magnet edge at an angle, providing the proper focusing characteristics for a nearly parallel incident beam to be axially focused to a beam waist at the first dee gap crossing. Ion path and focal properties due to crossing the magnet edge must be determined by numerically integrating an ion's path through the magnet's fringing field; the standard approximations for focal properties of a magnet edge are not accurate enough (Livingood, 1969, ch. 1).

Figure 3.10 Photograph showing the components of the cyclotron. (CBB 888-8210)

After entering the magnet region it is deflected in an electrostatic channel. This deflects the beam through about a 90° angle with a 3.8 cm radius. The beam then curves through a 180° arc, whereupon it strikes an electrostatic mirror at normal incidence. After reflection the beam travels along a circle which is nearly, but not exactly, centered on the cyclotron axis. A final electrostatic deflection channel (a 1.9 cm radius over about 30° , with a 2 mm gap) near the center of the cyclotron shifts the beam orbit slightly to center it and to provide clearance between the electrostatic mirror and the beam's first orbit.

Analysis of the optical properties of an electrostatic mirror in a magnetic field was done by computer simulation of ion trajectories in the mirror. The electrostatic mirror is composed of a grounded metal mesh with a flat, high voltage electrode behind it. A 5 keV beam passing through the mesh drifts in the electric field until it reaches a 5 kV equipotential surface, turns around, and re-exits the mesh. Due to the cycloidal paths of ions in the mirror, the apparent reflection plane is not the 5 keV equipotential plane, but is short of this by a factor of 1.39. The focal properties of the mirror were also calculated (Appendix E). The mirror electrode was operated at a potential about 20 V above the incident beam energy to keep beam from reaching the electrode and depositing on it.

The focal properties of the electrostatic deflection channels were also calculated and are given in Appendix E. When the matrices for all of these elements are inserted into the beamline program mentioned earlier, the beam envelope of Fig. 3.11 is obtained.

XBL 8911-4174

Figure 3.11 Approximate envelope of ion beam in cyclotron. The radial profile is shown above and the axial below. (XBL 8911-4174)

4. ION EXTRACTION AND DETECTION

4.1 Extractor

An electrostatic channel is used to deflect ions out of the cyclotron. The entrance to the channel is located 90° from the dee gap (Fig. 3.9,10). This prohibits any low energy ions from entering the channel by drifting along the dee gap.

The channel has a 45° arc with a 7.9 cm radius and a 2 mm gap between the two concentric electrodes. The extracted ions exit the magnetic field and strike the detector.

The first order optical effects of the channel and the crossing of the B-field edge combine to produce a focus in the axial dimension with size of about 2 mm at a distance of about 15 cm from the actual edge of the magnet. At this point the radial dimension has a size of about 13 mm.

4.2 Detector

A custom microchannel plate detector was designed and built to detect the output ions (Friedman, 1986; Friedman *et al.*, 1988). This detector uses an aluminum oxide conversion dynode which is impacted by the extracted ions at glancing incidence. A number of secondary electrons are liberated from the aluminum and pulled into the microchannel plate. This allows discrimination between the 30-40 keV output ions and any low energy ions or photons, which will release fewer secondary electrons.

The microchannel plate is operated in saturation, so that each pore which is struck will produce a saturated pulse at the anode of the microchannel plate. Thus the output charge is proportional to the number of secondary electrons collected by the microchannel plate.

The extracted ions should fall into a region about 2 mm by 13 mm at a distance of 15 cm, where the detector is placed inside a magnetic shield made of magnet-grade soft steel. Ions strike the detector's aluminum dynode with an incident angle of about 12° . This angle reduces its area of 19mm by 13mm to a projected area of about 4 mm by 13 mm which should provide a good match to the extracted beam size. At this angle, an average of

about 17 secondary electrons should be liberated by an incident ion; the peak of the distribution should be at 10 to 15 electrons (Friedman, 1986).

A large number of photons, presumably UV, seems to be produced in the injection channel. This is probably due to secondary electrons which are produced in the channel by incident ions, gain energy from the electric field, then strike surfaces at high velocity. Copper plated optical shields were installed to block and absorb these photons. Unfortunately, a significant amount of UV still strikes the detector. The tail of the UV-induced single electron distribution extends well into the distribution for high energy ions. Because of this it was necessary to reduce the angle at which ions strike the dynode by both physically rotating the detector and by increasing the dynode voltage to deflect beam electrically. The angle of ion beam incidence was reduced from about 12° to about 6° , shifting the peak of the high energy ion distribution from about 10 secondary electrons to about 17 secondary electrons. This also reduced the projected area of the dynode by a factor of two, making alignment much more critical.

4.3 Detection Electronics

In a further attempt to reduce the interference of UV photons, pulses from the detector were gated in time (Fig. 4.1). Pulses were only accepted if they fell into a phase window of about 90° width. This reduced the number of UV photons by about a factor of four, while reducing the number of high energy ions by about 25%. The width of this window can not be reduced arbitrarily; ions are spread in phase due to a (small) spread in extraction energy (which is due to the radial spread in position of orbit centers, as discussed in section 2.3.2), and due to their striking the dynode in different places, which correspond to different distances from the extractor. For our design, the time spread at the detector due to the ions' velocity spread is about ± 6 ns and the time spread due to the dynode size is about ± 9 ns, resulting in a ± 11 ns spread (adding in quadrature). For our typical operation at the 15th harmonic, this corresponds to about $\pm 60^\circ$.

Figure 4.1 Block diagram of detection electronics. (XBL 8911-4181)

The tradeoffs involved in adjusting the width of this phase window may be seen in Fig. 4.2. Data is shown at the 15th harmonic and 400 V peak for no phase windowing, a wide phase window, and a narrow phase window. Data for the "noise" curves was taken about 10kHz above the ^{13}CH peak, while data for the "signal" curves was taken on the ^{13}CH peak with some of the lenses in the beamline de-tuned to protect the microchannel plate detector from high count rates.

XBL 8911-4183

Figure 4.2 Counts above threshold measured as a function of discrimination threshold. The upper curves of each set show the effects of amplitude discrimination with no phase gating, the center curves with a wide phase window (about 90°), and the lower curves with a narrow phase window (about 45°). (XBL 8911-4183)

5. SYSTEM PERFORMANCE

5.1 Transmission

System losses are illustrated in Table 5.1. The transmission of the most complex part of the system (from the output of the Wien filter to the extraction channel of the cyclotron) is quite good, at about 2.5%. A factor of 8 loss in the cyclotron would be expected due to phase acceptance alone. (Only ions with a phase between about 15° and 60° have enough energy gain and focusing to reach extraction radius.) Transmission through the cyclotron itself is shown in Fig. 5.1, measured with a dee probe. The abrupt drop at about 10 cm is due to interception of ions by the extraction channel.

Table 5.1
Transmission losses in cyclotron system.

From:	To:	Loss Factor
ion source	Wien first slit	4*
Wien first slit	Wien second slit	5
Wien second slit	injection channel	1
injection channel	mirror	2
mirror	inner deflector	2
inner deflector	extraction radius	10
extraction radius	detector	5
phase discrimination		1.3
amplitude discrimination		4
Wien output	extraction radius	40
Wien output	detection	10 ³
ion source	detection	2x10 ⁴

* estimated

The overall efficiency of the system is somewhat lower than expected, with unexpected losses seen at each end of the system. Loss at the beginning of the beamline is probably due to aberrations (and possibly some mispositioning) of the einzel lens. It should be possible to improve this performance by re-designing the einzel lens on the basis of detailed emittance measurements or computer calculations, yielding a transmission increase of a factor of 5 to 10.

XBL 8911-4182

Figure 5.1 Ion current as a function of radius in the cyclotron. (XBL 8911-4182)

There is also unexpected loss in ion detection due to the discrimination of ions from UV photons. The loss from extraction radius to detector is larger than expected; a factor of two would be expected (Welch, 1984a) while a factor of five is seen. This is probably due to part of the beam missing the dynode. (The dynode should be able to collect the entire beam; this loss is probably a result of minor misalignments and uncertainties in beam focus position.) A factor of four loss is due to setting a high discrimination threshold.

It may be possible to reduce these detection losses by a more thorough addition of optical absorbers, though this would probably not make a significant improvement. However, extending the size of the dynode and changing the position of the microchannel plate (to present a larger projected area to the beam) and placing the dynode at more of a

glancing incidence (to produce more secondary electrons from each incident ion) should reduce losses both from beam missing the dynode and from the detection threshold, improving the detection efficiency by at least a factor of four.

It is also possible to add a beam buncher. This has been suggested for this system (Bertsche *et al.*, 1987) and has been investigated in detail by Chandu Karadi (Appendix F). A beam buncher should yield another factor of four increase in efficiency.

Making all of the above improvements (einzellens, beam buncher, and detector modifications) should boost system efficiency by two orders of magnitude. The relationship between efficiency and counting rate is shown in Appendix G.

5.2 ^{14}C Measurements

The resolution of the system has proven to be adequate for ^{14}C measurements, as shown previously (Welch, 1984a; Welch *et al.*, 1987a). The resolution peak is relatively flat over a frequency range of about $\pm$ one part in 6000, with very steep skirts outside this range which fall about six orders of magnitude for an offset of one part in 10,000 (Fig. 5.2).

At mass 14, the dominant species are $^{12}\text{CH}_2$ and ^{13}CH . The relative proportion of these two species is quite variable, depending on residual gases in the vacuum chamber and on ion source output current. (As source output is increased, the sample heats up and $^{12}\text{CH}_2$ production drops in relation to ^{13}CH .) A typical scan of these peaks is shown in Fig. 5.2.

XBL 8911-4222

Figure 5.2 Mass 14 hydrocarbon peaks. Operation is at the 15th harmonic with 400 V peak RF. RF frequency is along the horizontal axis, with count rate on the vertical axis. (XBL 8911-4222)

A sample of modern carbon prepared by Prof. Erv Taylor from the OX-1 standard was measured in the system (Fig 5.3). The ^{14}C peak is easily identified. The shape of the peak was found by measuring an enriched sample of ^{14}C (Fig. 5.4). The peak should be at a frequency which is higher than the ^{13}CH peak by a part in 1800, or about 8.5 kHz. Since the ^{13}CH peak is asymmetric (see Fig. 5.2), its location was mis-identified systematically by about 1 kHz, and the ^{14}C peak lies 9.5 kHz above this "apparent" ^{13}CH peak location. At the ^{14}C peak, the count rate was about 0.2 counts per minute with an ion current of roughly 10 μA .

A sample of enriched carbon was also measured in the system (Fig. 5.4). This sample had been enriched roughly 15 times above modern levels in a reactor, courtesy of Dr. Frank Asaro and Dr. Helen Michel. The enrichment of this sample allowed a more

efficient detection setting than that in Table 5.1; the amplitude discrimination setting was lowered, giving a loss factor of about 1.5 rather than 4. The measured count rate for this sample was actually higher than expected by about a factor of 6. It is not completely understood why this is so; it is possible that the enrichment was higher than expected, and it may be that other loss factors were reduced from the values in Table 5.1 due to the lower ion currents used for the measurements of the enriched sample. At the peak, the count rate was about 15 per minute with an ion source output of roughly 4 μA .

XBL 8911-4185

Figure 5.3 Carbon of modern age. Operation is at the 15th harmonic with 400 V peak RF. RF frequency offset from the ^{13}CH peak is along the horizontal axis. The vertical axis is the normalized count rate, expressed as the counts per coulomb of ^{12}C measured at the output of the Wien filter. (XBL 8911-4185)

XBL 8911-4186

Figure 5.4 Enriched sample. Operation is at the 15th harmonic with 400 V peak RF. RF frequency offset from the ^{13}CH peak is along the horizontal axis. The vertical axis is the normalized count rate, expressed as the counts per coulomb of ^{12}C measured at the output of the Wien filter. (XBL 8911-4186)

6. APPLICATIONS AND IMPROVEMENTS

The cyclotrino offers great potential for biomedical research. Samples with enriched levels of ^{14}C can be easily measured with a 10^4 increase in sensitivity over that of conventional scintillation counting. This conclusion is based on measurements with the OX-1 standard; a factor of 5 enrichment over natural abundance would give a count rate of about one count per minute. Since a factor of 7×10^4 enrichment is necessary to give 1 dpm for a $1\text{ }\mu\text{g}$ carbon sample by decay counting, this represents an improvement of four orders of magnitude.

Though the device has very good sensitivity for biomedical studies, at present it is not competitive with tandem accelerators for archaeological or geological measurements. However, with improvements in the einzel lens geometry to better match beam from the ion source to the Wien filter, a factor of 5 to 10 increase in efficiency should be attainable. With improvements in the detector system a factor of about 4 should be attainable. The addition of a beam buncher should add another factor of 4. Thus, count rates of 5 per minute without a buncher, or 20 per minute with a buncher should be attainable from modern carbon. This would make the system a practical tool for archaeological and geological applications.

In order to make high precision measurements, a rapid sample changing method is necessary to cancel errors due to small changes in the transmission and detection efficiency of the system. This could be provided with a rotary sample changing apparatus as is used with many tandem accelerator mass spectrometers. Rapid sample changing could also be done by making measurements with CO_2 gas rather than graphite; CO_2 could be injected into the ion source through a porous plug and sample switching could be done by simply switching gas sources.

It would also be possible to measure other isotopes with the cyclotrino (Appendix A). Tritium should be measurable. The nearest interfering mass is different by a part in 500, so the resolution requirements are much more relaxed than for carbon and are easily attained. It will be much lower in abundance than is ^{14}C , which may lead to some difficulties with backgrounds or count rates. Normalization of tritium to hydrogen may pose some minor difficulties since there tends to be a low level background of hydrocarbons in the vacuum.

If the resolution of the cyclotron can be increased by a factor of about 10, ^{10}Be would be measurable. This resolution increase would entail operating the system at a very high harmonic (higher than 50th), which would greatly reduce the transmission of the system. (At such high harmonics the transit time effect leads to insufficient radius increase for many ions on the first orbit.) Since the natural abundance of ^{10}Be is relatively high, this reduced transmission may well be tolerable. Be does not form negative ions, so it would be necessary to accelerate a negative molecular ion (e.g. BeH^-) or to generate Be^+ with a positive ion source.

A more modest increase in resolution should allow measurements of ^{40}K and ^{44}Ti . This would require about a factor of 3 increase in resolution, which can be achieved by doubling the harmonic to 31st (Fig. 2.7). There is probably no benefit to be found to using the cyclotron for ^{40}K , since conventional mass spectrometers are quite adequate for ^{40}K measurements. There may be some applications for ^{44}Ti as a tracer, though its relatively short half life implies that the advantages of the cyclotron over decay counting are not extremely large.

The most promising isotope other than ^{14}C seems to be ^{26}Al . The abundance of ^{26}Al is about the same as that of ^{14}C , so should pose no additional problems. The nearest interfering mass is different by a part in 3700, so the cyclotron resolution would have to be doubled; this can be done by operating at about the 25th harmonic (Fig. 2.7). Furthermore, the abundance of the nearest interfering species (^{25}MgH) should be relatively low. There will probably also be some mass-26 hydrocarbons produced (e.g. $^{13}\text{C}_2$, $^{12}\text{C}_2\text{H}_2$), but these will be at least three times as far away in mass. Production of a beam of Al^- ions is problematic; it may be necessary to use AlO^- (Middleton, 1977). It seems that detection of ^{26}Al should be easily achieved if adequate ion beams can be produced.

7. CONCLUSIONS

A small low energy cyclotron similar to that of Welch (1984a) has been combined with a high current external ion source, a beamline, and radial beam injection to detect ^{14}C at natural levels. The system's overall efficiency is about 2×10^{-4} . In its present form, it would be quite useful for measurements of samples enriched in ^{14}C ; it could improve the sensitivity of detecting ^{14}C in $1\mu\text{g}$ biomedical samples by four orders of magnitude over conventional scintillation counting techniques.

Improvements in system efficiency by a factor of 100 should be possible by redesigning the optics of the einzel lens, adding a beam buncher, and optimizing the detector geometry. With these modifications the system would be well suited to archaeological and geological applications.

The system could also be used for measurements of radioisotopes other than ^{14}C . With minor modifications, ^{26}Al and ^3He should be detectable. With further modifications, ^{10}Be and other species may be measurable as well.

A small cyclotron such as this has great potential as a mass spectrometer. It offers a huge advantage over decay counting methods for small samples. With efficiency improvements, it would be able to do archaeological and geological measurements which are now done by tandem accelerators, yet would require a small fraction of the space, construction costs, and operating costs.

Appendix A: Some Isotopes of Potential Interest

Isotope	Half Life (yrs)	Stable Isotope	Natural Abund.	Stable Isobar	Mass Diff. (m/ Δ m)
^3H	12.3	^1H ^2H (.01%)	10^{-17}	$^3\text{He}^*$ HD H_3	-150,000 +510 +400
$^{10}\text{Be}^*$	1.5 M	$^9\text{Be}^*$	10^{-8}	^{10}B ^9BeH	-17,000 +1500
^{14}C	5730	^{12}C ^{13}C (1%)	10^{-12}	$^{14}\text{N}^*$ ^{13}CH $^{12}\text{CH}_2$	-83,000 +1800 +1100
^{26}Al	705 k	^{27}Al	10^{-14}	$^{26}\text{Mg}^*$ ^{25}MgH $^{13}\text{C}_2$	-6000 +3700 +1300
^{32}Si	100	^{28}Si	10^{-16}	^{32}S	-15,000
^{35}S	87.2 days	^{32}S also 33,34,36		^{35}Cl	-48,000
^{36}Cl	300 k	^{35}Cl ^{37}Cl	10^{-12}	$^{36}\text{Ar}^*$ ^{36}S	-47,000 -29,000
$^{39}\text{Ar}^*$	269	$^{40}\text{Ar}^*$		^{39}K	-64,000
^{40}K	1.25 G	^{39}K	10^{-4}	$^{40}\text{Ca}^*$ $^{40}\text{Ar}^*$ ^{39}KH	-28,000 -25,000 +5700
$^{41}\text{Ca}^*$	103 k	$^{40}\text{Ca}^*$	10^{-12}	^{41}K	-91,000
^{44}Ti	47	^{48}Ti also 46-50		$^{44}\text{Ca}^*$ ^{43}CaH	-10,000 +4900
^{53}Mn	3.7 M	^{55}Mn	10^{-12}	^{53}Cr	-83,000
^{59}Ni	76 k	^{58}Ni also 60-62,64	10^{-12}	^{59}Co	-51,000
^{87}Rb	48.9 G	^{85}Rb	28%	^{87}Sr	-287,000
^{129}I	15.7 M	^{127}I	10^{-12}	$^{129}\text{Xe}^*$ ^{129}TcH $^{127}\text{IH}_2$	-620,000 +19,000 +8800

* Does not form negative ion.

Data from Faure (1977), Middleton (1977), Muller *et al.* (1981), Nishiizumi and Arnold (1981), General Electric Co. (1984), Elmore and Philips (1987) and Fink *et al.* (1987).

Appendix B: Evaluation of Integrals

B.1 Energy Gain

The change in kinetic energy due to a gap crossing is approximated by (2.5):

$$\delta T = \int F_y dy \cong \int_{-\infty}^{\infty} q E_y dy \quad (2.5; B.1)$$

The electric field E_y (2.2) may be substituted to find:

$$\begin{aligned} \delta T &\cong \int_{-\infty}^{\infty} \frac{-qV_{\max}}{b} \frac{\cos\left(\frac{Hy}{r_c} + \phi\right) \cos\left(\frac{\pi z}{b}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy \\ &\cong \frac{-qV_{\max}}{b} \cos \phi \cos\left(\frac{\pi z}{b}\right) \int_{-\infty}^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy \end{aligned} \quad (B.2)$$

As mentioned previously, the integral extending to infinity assumes that $b \ll r$. The use of a constant r in the $\cos$ factor is based on the assumption that $\delta T \ll T$.

This integral appears somewhat foreboding, but may be simplified and expanded with partial fractions:

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy &= \int_{-\infty}^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cosh^2\left(\frac{\pi y}{b}\right) - \sin^2\left(\frac{\pi z}{b}\right)} dy \\ &= \int_0^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) - \sin\left(\frac{\pi z}{b}\right)} dy + \int_0^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) + \sin\left(\frac{\pi z}{b}\right)} dy \end{aligned} \quad (B.3)$$

These terms may be integrated analytically (Gradshteyn and Ryzhik, 1980, 3.983 #1):

$$\int_0^{\infty} \frac{\cos\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) \pm \sin\left(\frac{\pi z}{b}\right)} dy = \frac{b \sinh\left(\frac{Hb}{2r_c} \mp \frac{Hz}{r_c}\right)}{\cos\left(\frac{\pi z}{b}\right) \sinh\left(\frac{Hb}{r_c}\right)} \quad (\text{B.4})$$

Substitution into (B.2) results in:

$$\delta T \cong -qV_{\max} \cos \phi \left(\frac{\sinh\left(\frac{Hb}{2r_c} + \frac{Hz}{r_c}\right) + \sinh\left(\frac{Hb}{2r_c} - \frac{Hz}{r_c}\right)}{\sinh\left(\frac{Hb}{r_c}\right)} \right)$$

which reduces to (2.6):

$$\delta T \cong -qV_m \cos \phi \left[\frac{\cosh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \quad (2.6; \text{B.5})$$

B.2 Axial Focusing

This integral may be solved similarly to the integral for energy gain discussed above.

The impulse which an ion receives at a gap crossing is given by (2.9):

$$\begin{aligned} \delta p_z &\cong \int_{t=-\infty}^{t=\infty} qE_z dt \\ &\cong q \int_{y=-\infty}^{y=\infty} \frac{E_z(y)}{v_y(y)} dy \end{aligned} \quad (2.9; \text{B.6})$$

Substitution of E_z (2.3) results in:

$$\delta p_z \equiv \int_{-\infty}^{\infty} \frac{-qV_{\max} \cos\left(\frac{Hy}{r_c} + \phi\right) \sin\left(\frac{\pi z}{b}\right) \sinh\left(\frac{\pi y}{b}\right)}{bV_y \cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy \quad (\text{B.7})$$

where, again, the approximations that that $b \ll r$ and $\delta T \ll T$ are implicit. For $\delta T \ll T$, velocity is roughly constant across the gap and $v(y)$ may be pulled out of the integral. Thus:

$$\delta p_z \equiv \frac{-qV_{\max} \sin \phi \sin\left(\frac{Hy}{r_c}\right)}{bV_y} \int_{-\infty}^{\infty} \frac{\sin\left(\frac{\pi z}{b}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy \quad (\text{B.8})$$

The integral may be simplified by a partial fraction expansion as in section B.1:

$$\begin{aligned} & \int_{-\infty}^{\infty} \frac{\sin\left(\frac{Hy}{r_c}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy = \int_{-\infty}^{\infty} \frac{\sin\left(\frac{Hy}{r_c}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cosh^2\left(\frac{\pi y}{b}\right) - \sin^2\left(\frac{\pi z}{b}\right)} dy \\ &= \frac{1}{\sin\left(\frac{\pi z}{b}\right)} \left\{ \int_0^{\infty} \frac{\sin\left(\frac{Hy}{r_c}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cosh\left(\frac{\pi y}{b}\right) - \sin\left(\frac{\pi z}{b}\right)} dy - \int_0^{\infty} \frac{\sin\left(\frac{Hy}{r_c}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cosh\left(\frac{\pi y}{b}\right) + \sin\left(\frac{\pi z}{b}\right)} dy \right\} \quad (\text{B.9}) \end{aligned}$$

Again, the terms may be integrated analytically (Gradshteyn and Ryzhik, 1980, 3.984 #1):

$$\int_0^{\infty} \frac{\sin\left(\frac{Hy}{r_c}\right) \sinh\left(\frac{\pi y}{b}\right)}{\cosh\left(\frac{\pi y}{b}\right) \pm \sin\left(\frac{\pi z}{b}\right)} dy = \frac{b \cosh\left(\frac{Hb}{2r_c} \mp \frac{Hz}{r_c}\right)}{\sinh\left(\frac{Hb}{r_c}\right)} \quad (\text{B.10})$$

Substitution into (B.8) results in:

$$\delta p_z \equiv \frac{qV_{\max} \sin \phi}{v_y} \left(\frac{\cosh\left(\frac{Hb}{2r_c} + \frac{Hz}{r_c}\right) - \cosh\left(\frac{Hb}{2r_c} - \frac{Hz}{r_c}\right)}{\sinh\left(\frac{Hb}{r_c}\right)} \right)$$

which reduces to (2.10):

$$\delta p_z \equiv \frac{qV_m \sin \phi}{v} \left[\frac{\sinh \frac{Hz}{r}}{\cosh \frac{Hb}{2r}} \right] \quad (2.10; B.11)$$

For an elegant alternative derivation of this result and the previous see Jeffries (1951). He forms an analytic function from the two integrals and solves them simultaneously by contour integration.

B.3 Phase Bunching

As stated in the text (section 2.3.3), the phase change may be calculated by integrating the angle subtended as an ion crosses the gap region and subtracting the total angle which would have been subtended if the energy gain had all occurred at an infinitesimal-width gap. The subtended angle may be approximated as $d\phi \equiv dy/r$, thus:

$$\delta\phi_{ion} \equiv \int_{\text{gap}} \frac{dy}{r} - \left(\frac{1}{r_0} \int_{\text{first half gap}} dy + \frac{1}{r_f} \int_{\text{second half gap}} dy \right) \quad (2.18; B.12)$$

Assuming that $\delta T \ll T$, and that $b \ll r$ (since the distance over which the electric field extends is on the order of b), the phase change experienced in a single gap crossing may be approximated as:

$$\delta\phi_{ion} \equiv \int_{-\infty}^0 \left(\frac{1}{r(y)} - \frac{1}{r_{-\infty}} \right) dy + \int_0^{\infty} \left(\frac{1}{r(y)} - \frac{1}{r_{\infty}} \right) dy \quad (B.13)$$

We may write $r(y) = r_{-\infty} + dr_1(y)$ for the first half of the dee gap, and $r(y) = r_{\infty} + dr_2(y)$ for the second half. Then, assuming $\delta T \ll T$ (thus $dr \ll r$), the equation may be written as:

$$\begin{aligned}
\delta\phi_{\text{ion}} &\equiv \frac{-1}{r_{-\infty}^2} \int_{-\infty}^0 dr_1(y) dy + \frac{-1}{r_{\infty}^2} \int_0^{\infty} dr_2(y) dy \\
&\equiv \frac{-1}{2r_{-\infty} T_{-\infty}} \int_{-\infty}^0 dT_1(y) dy + \frac{-1}{2r_{\infty} T_{\infty}} \int_0^{\infty} dT_2(y) dy
\end{aligned} \tag{B.14}$$

Now, $dT_1(y) = \int_{-\infty}^y F_y(\eta) d\eta$, and $dT_2(y) = \int_{\infty}^y F_y(\eta) d\eta$. These integrals may be evaluated by parts:

$$\int_{-\infty}^0 \int_{-\infty}^y F_y(\eta) d\eta dy = - \int_{-\infty}^0 y F_y(y) dy \tag{B.15}$$

To first order, r and T are constants, so the two integrals in (B.14) may be combined. Thus:

$$\delta\phi_{\text{ion}} \equiv \frac{1}{2rT} \int_{y=-\infty}^{y=\infty} y dT \tag{B.16}$$

However, this phase is in terms of the ion's cyclotron frequency. The phase with respect to the RF waveform is the crucial parameter, and since the RF may be operated at a harmonic H , the phase shift with respect to the RF will be greater by a factor of H , yielding (2.19):

$$\begin{aligned}
\delta\phi &\equiv \frac{H}{2rT} \int_{y=-\infty}^{y=\infty} y dT \equiv \frac{H}{2rT} \int_{-\infty}^{\infty} y F_y(y) dy \\
&\equiv \frac{qH}{2rT} \int_{-\infty}^{\infty} y E_y(y) dy
\end{aligned} \tag{2.19; B.17}$$

where ϕ_{ion} = ion phase and ϕ = RF phase = $H\phi_{\text{ion}}$.

Substituting for E_y :

$$\begin{aligned}
\delta\phi &\equiv \frac{-qHV_{\max}}{2brT} \int_{-\infty}^{\infty} \frac{\cos\left(\frac{Hy}{r_c} + \phi\right) \cos\left(\frac{\pi z}{b}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} y dy \\
&\equiv \frac{qHV_{\max} \sin\phi \cos\left(\frac{\pi z}{b}\right)}{2brT} \int_{-\infty}^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy
\end{aligned} \tag{B.18}$$

Again, the integral may be simplified by expansion in partial fractions:

$$\begin{aligned}
&\int_{-\infty}^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cos^2\left(\frac{\pi z}{b}\right) + \sinh^2\left(\frac{\pi y}{b}\right)} dy = \int_{-\infty}^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cosh^2\left(\frac{\pi y}{b}\right) - \sin^2\left(\frac{\pi z}{b}\right)} dy \\
&= \int_0^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) - \sin\left(\frac{\pi z}{b}\right)} dy + \int_0^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) + \sin\left(\frac{\pi z}{b}\right)} dy
\end{aligned}$$

These terms may be integrated analytically. One may find (taking a limit of Gradshteyn and Ryzhik, 1980, 3.983 #5):

$$\begin{aligned}
&\int_0^{\infty} \frac{y \sin ay}{\cosh \gamma y - \cos \delta} dy = \\
&= \frac{\pi}{\gamma^2 \sin \delta \sinh^2\left(\frac{\pi a}{\gamma}\right)} \left(\pi \cosh\left(\frac{\pi a}{\gamma}\right) \sinh\left(\frac{\delta a}{\gamma}\right) - \delta \sinh\left(\frac{\pi a}{\gamma}\right) \cosh\left(\frac{\delta a}{\gamma}\right) \right)
\end{aligned} \tag{B.19}$$

Changing variables, we find:

$$\int_0^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right)}{\cosh\left(\frac{\pi y}{b}\right) \pm \sin\left(\frac{\pi z}{b}\right)} dy = \frac{b^2(A+B)}{4\pi \cos\left(\frac{\pi z}{b}\right) \sinh^2\left(\frac{Hb}{2r}\right) \cosh^2\left(\frac{Hb}{2r}\right)} \tag{B.20}$$

where:

$$A = \cosh\left(\frac{Hz}{r}\right) \sinh\left(\frac{Hb}{2r}\right) \left(\pi \sinh^2\left(\frac{Hb}{2r}\right) \pm \frac{2\pi z}{b} \cosh^2\left(\frac{Hb}{2r}\right) \right)$$

$$B = \sinh\left(\frac{Hz}{r}\right) \cosh\left(\frac{Hb}{2r}\right) \left(\frac{2\pi z}{b} \sinh^2\left(\frac{Hb}{2r}\right) \mp \pi \cosh^2\left(\frac{Hb}{2r}\right) \right)$$

Substituting and simplifying:

$$\begin{aligned} & \int_{-\infty}^{\infty} \frac{y \sin\left(\frac{Hy}{r_c}\right) \cosh\left(\frac{\pi y}{b}\right)}{\cosh^2\left(\frac{\pi y}{b}\right) - \sin^2\left(\frac{\pi z}{b}\right)} dy = \\ &= \frac{b^2}{2 \cos \frac{\pi z}{b}} \left(\frac{\cosh\left(\frac{Hz}{r}\right) \sinh\left(\frac{Hb}{2r}\right) + \frac{2z}{b} \sinh\left(\frac{Hz}{r}\right) \cosh\left(\frac{Hb}{2r}\right)}{\cosh^2\left(\frac{Hb}{2r}\right)} \right) \end{aligned}$$

Thus:

$$\delta\phi \cong \frac{qV_m Hb}{4rT} \sin\phi \left(\frac{\cosh\left(\frac{Hz}{r}\right) \sinh\left(\frac{Hb}{2r}\right) + \frac{2z}{b} \sinh\left(\frac{Hz}{r}\right) \cosh\left(\frac{Hb}{2r}\right)}{\cosh^2\left(\frac{Hb}{2r}\right)} \right) \quad (2.20; B.21)$$

This expression is based on the approximations that $\delta T \ll T$ and $b \ll r$, and that the gap between dees is infinitesimal.

Appendix C: Electronics

A number of custom circuits have been designed and built for the small cyclotron. These will be described in this appendix.

C.1 RF Circuitry

The dees must be driven with a high voltage at typically 9 to 15 MHz. We have used a commercial amateur radio transceiver (Kenwood 930S) with an oven-controlled crystal which has been modified so that it will transmit at any frequency between 1.5 and 30 Mhz. This feeds a wideband linear amplifier (ENI 3200L) which provides up to 200 watts output and is very tolerant of impedance mismatches. The 50 ohm output impedance of the amplifier must be matched to the cyclotron. The cyclotron is essentially a capacitor to ground of about 140pF, with a large parallel resistance representing the power lost due to resistance, eddy currents, and energy gain of ions.

This matching is performed with a standard matching network, as shown in Fig. C.1. The inductance and capacitance are varied to match the load to 50 ohms.

Figure C.1 RF matching network.

A circuit was built to monitor the voltage on the dees (Fig. C.2). The dee voltage is divided by 100 in a capacitive voltage divider. (A resistive divider would either have too much power loss or too high impedance to function properly.) This signal is then sent through a peak detector circuit and buffered by an op-amp. The diode D1 is a hot-carrier type, since it must respond at many MHz. The diode drop is cancelled by placing an

identical diode, D2, in the op-amp's feedback loop, operating with the same DC current. Resistor R1 is necessary to provide a DC current path for the peak detector. The buffered voltage and a ground reference are sent through a cable to a rack-mounted digital panel meter in a chassis which also contains circuitry for the Hall probe (Fig. C.3). A potentiometer on the input of the meter calibrates the reading.

Figure C.2 Dee voltage monitoring circuitry.

We found that this circuit had a severe, unexplained response notch at about 10 MHz. This is presumably due to parasitic reactances. Rather than redesign the circuit, a curve was generated providing a multiplying factor to correct the readings.

HALL PROBE--RF VOLTMETER BOX

Figure C.3 Hall probe/RF voltmeter display unit.

A circuit was built to measure the magnetic field strength inside the cyclotron. This uses a high linearity Hall effect device mounted near the center of the cyclotron. An instrumentation amplifier circuit is located near the vacuum chamber (Fig. C.4). The preamplified signal is then sent to a DVM in a rack-mount chassis (Fig. C.3), which also contains the constant current source for the Hall device and the panel meter for the RF voltage measurement circuit of Fig. C.2.

A number of high voltage supplies are used in the small cyclotron; none exceeds 5kV. A number of connectors are used for these supplies, including MHV, SHV, and 10kV Reynolds. High voltage feedthroughs into the vacuum enclosure are via MHV

connectors; these are used because they were present on pre-existing hardware. They will mate with BNC connectors. This can be advantageous in that it allows the high voltage to be disconnected from a device and the current intercepted by the device to be monitored instead. But MHV connectors pose a safety hazard. Although they are rated at 5kV, if energized with 5kV while not connected, a spark is easily drawn from the end of the connector due to the geometry of the electrodes. The system was made safe by building a number of small current limiting boxes.

The circuit of these protection boxes is shown in Fig. C.5. Resistor R1 safely limits current at 5kV to 50 μ A. Capacitor C1 filters any ripple which may otherwise appear on the line. The neon light indicates current flow, providing an indication of either arcing or conduction in the vacuum enclosure. Resistor R2 is necessary to eliminate voltage drop across the neon light when no current is flowing.

CURRENT LIMITER/BREAKDOWN MONITOR

Figure C.5 Circuit for limiting current and indicating arcing of high voltage electrostatic devices.

The Wien filter is connected somewhat differently. Since it may collect significant current (more than a milliamp), a series resistor is problematic. Thus, a clamp has been placed over the dangerous MHV connectors to prohibit their removal, and a box with a high voltage switch has been assembled to enable current measurements (Fig. C.6). This box also contains a resistor divider which provides the potential for the guard electrode in the Wien filter.

Figure C.6 Switchbox for Wien filter electrodes.

The microchannel plate detector requires a number of voltages for its functioning. A circuit was built to properly distribute the voltages, to couple the output pulses to a preamplifier, and to protect the microchannel plate. This is shown in Fig. C.7. The detector's dynode is at ground potential. Negative high voltage leads go to the microchannel plate's input, output, and anode. High value resistors provide some protection for the channel plate. Further protection is provided by a string of neon bulbs; this limits the maximum voltage which may be applied across the channel plate. Output current pulses from the anode are coupled to a preamplifier at ground potential through a capacitor.

Figure C.7 Circuitry to protect microchannel plate detector and to filter and distribute voltages.

C.4 Power Supplies

A power supply was designed and built to run the negative ion source. The source requires a heater current of about 2.5 amps at about 20 volts and an ionizing current of about 20 amps at 12 volts. The circuitry designed is shown in Fig. C.8. The supplies are isolated from ground so that the ion source may be biased as much as 2kV from ground. Interlocks are provided so that the cesium heater may not be energized unless the ionizer is energized, and the ionizer may not be turned on unless the carbon target high voltage is present. The entire supply is also interlocked with the ion source's Freon cooling loop, so that operation is not possible unless Freon is flowing. Analog meters show voltages and currents, and meters also display cesium energy and cesium heater temperature. (The heater temperature measuring circuitry does not operate properly; this is apparently due to grounding problems, either ground loops or noise. Ensuring that the thermocouple is electrically isolated from ground would probably help.)

Ion Source Power Supply (page 1 of 2)

Figure C.8a Power supply generating AC voltages for ion source.

Figure C.8b Interlocks and thermocouple measurement circuit for ion source.

Power supplies were also designed and built to drive the quadrupole lenses. These supplies are fairly complex (Fig. C.9). Each quadrupole element requires a DC voltage of ± 500 volts or less with negligible current, and has a capacitance to ground of 10 to 1000

pF, primarily due to wiring rather than to the structures themselves. A command voltage between -5 and +5 volts is generated for each of the quadrupole elements by linearly combining focus, deflection, and mean energy settings in op-amp circuitry. Each quadrupole element's output voltage is divided by 100 and subtracted from the command voltage. The resultant error voltage is forced to zero in a feedback loop.

QUADRAPOLE SUPPLY POWER SUPPLY BOARD:

Figure C.9a Supply section of quadrupole power supply.

The circuit in the feedback loop of the power supply is essentially a high-gain comparator followed by a two-stage high-voltage amplifier. With high DC gain and multiple stages of amplification in a feedback loop there is a danger of instability. The feedback loop is stabilized by lowering the comparator op-amp's dominant pole to about .003 Hz and by lag-lead compensating the first high voltage amplifier stage. Additionally, the 100:1 voltage divider is bypassed with a capacitor to reduce phase shift and increase feedback for higher frequencies. This zero which this introduces (at about 600 Hz) approximately cancels the pole introduced by the first stage lag compensation. The first stage lead introduces a zero at about 6kHz. This helps to limit the phase shift introduced by the second stage transistors. (Their Miller capacitances introduce a pole at about 4 kHz.) The Miller capacitance of the first stage devices introduces a pole at around 30kHz. The voltage divider lag introduces an additional pole at about 60kHz. When all of these effects are included, the two-stage high voltage amplifier (measured from input to voltage divider output) reaches a 90° phase lag at about 40 kHz with a gain of about 1 (DC gain is about 10). Including the 90° phase shift introduced by the comparator, the open loop phase shift would reach 180° at about 40kHz. However, an additional lead compensation has been introduced in the comparator stage, with a zero at about 600Hz. The loop reaches a 180° phase shift at about 300kHz, with a gain of about -26dB. Unity gain is reached at about 15kHz, with a phase lag of about 80°. These large gain and phase margins are sufficient to ensure stability in spite of the significant shifting of the Miller-capacitance poles due to changes in FET bias. (The Miller capacitance is a function of the thickness of the FET's depletion zone, which is a function of bias voltage.) The circuit is stable driving capacitive loads of up to 1000pF at any voltage between +500 and -500 volts. Open-loop DC gain is about 120dB. The open-loop gain at 60Hz is about 50 (34 dB); output ripple is on the order of 10mV. The output voltages should change no more than a volt due to temperature changes of 10°C.

C.5 Low Current Circuitry

A number of circuits were needed to measure low currents in the cyclotron. A commercial picoammeter (Keithley model 480) with a minimum resolution of 1 pA was used to measure currents on the dee probe, electrostatic mirror, and Wien filter. A dual multi-input picoammeter (Fig. C.10) was built to measure currents at other locations

(primarily probes on each side of the injector's entrance and on one side of the inner deflector and extractor entrance). This uses a low current, low bias IC op-amp (Harris HA-5180) on a PC board etched with a guard ring and also has a minimum resolution of 1 pA. A similar, battery operated circuit was built and electrically insulated in a small box for measurements of low currents on high voltage electrodes. For higher current measurements (1 nA or so) at high voltages a DVM was also used, placed inside a protective enclosure and connected in-line as a voltmeter. The current could be calculated easily from the voltage reading and the meter's input impedance.

[illegible]

U1 ICL 7660
PS1 5V POWER SUPPLY MODULE

Figure C.10 Circuitry of dual picoammeter.

C.6 Other Circuitry

A simple temperature measurement circuit was built (Fig. C.11). The detector was baked out by wrapping heating tape around the box; this circuit enabled monitoring the temperature of the detector assembly.

Figure C.11 Circuitry of thermometer circuit used to monitor detector assembly temperature during baking.

Appendix D: Ion Optical Calculations

D.1 Ion Optics Overview

Ion optics is very similar to photon optics. In each, the instantaneous state of a paraxial photon or ion may be described by a two-dimensional vector composed of the particle's distance from the optic axis and its angle of motion with respect to the optic axis. The effect of an optical element may be represented by a 2x2 matrix; the state of a particle after traversing the optical element is found by multiplying the vector representing the particle's initial state by the matrix. Matrices may be cascaded together to describe the net effect of an entire optical system; the effect of the system on an input ion is found by simply multiplying an input ion's vector this system matrix.

Photon optical systems are generally rotationally symmetric, so this simple description is sufficient. Ion optical systems are often not rotationally symmetric. Thus separate vectors and matrices are needed for each of the two off-axis dimensions. Additionally, it is useful in ion optics to add a third component to the vectors and matrices to represent a perturbation in ion velocity (or momentum or energy), since the focusing of ion optical elements is often highly velocity dependent.

Before the focusing characteristics of an ion optical element can be calculated, the zeroth-order behavior of the element must be understood. Zeroth-order calculations simply solve for the path of an ion traveling along the optic axis of the system. For an einzel lens, quadrupole lens, or Wien filter the zeroth order solution is simply a straight line through the element.

D.2 First Order Calculations

In this analysis ions it will be assumed that ions travel along the z-axis. An ion is denoted by a three-vector composed of x (its offset from the optic axis), x' (the angle of its path with respect to the optic axis, equal to dx/ds) and dp/p (fractional z-momentum offset). We will use 3x3 matrices to describe optical elements.

The elements of these matrices can be found by first expressing the equations of motion of a general ion in the optical element. The parameters are assumed to deviate only small amounts from the fiducial values (the paraxial approximation) and are written as a

sum of the fiducial value and a small perturbation. The equation of the fiducial path is then used to eliminate fiducial values from the equations. Terms are retained to first order, and the equations are solved to yield matrix elements.

As an example, we will consider a Wien filter (also known as a crossed field separator or velocity selector). With the ion traveling along the z-axis, the electric field applying a force in the +x direction, and the magnetic field applying a force in the -x direction, the equation of motion is:

$$m\vec{a} = \vec{F} = \vec{F}_B + \vec{F}_E = (qE - qv_z B)\hat{x} + (qv_x B)\hat{z} \quad (D.1)$$

Breaking this into components:

$$m \frac{d^2 x}{dt^2} = qE - qv_z B \quad (D.2a)$$

$$m \frac{d^2 z}{dt^2} = qv_x B \quad (D.2b)$$

$$m \frac{d^2 y}{dt^2} = 0 \quad (D.2c)$$

These equations may be rewritten with spatial derivatives rather than time derivatives:

$$mv_z \left(v_z \frac{d^2 x}{ds^2} + \frac{dx}{ds} \frac{dv_z}{ds} \right) = qE - qv_z B \quad (D.3a)$$

$$mv_z \frac{dv_z}{ds} = qv_z \frac{dx}{ds} B \quad (D.3b)$$

where the y equation has been ignored since there are no forces in the y direction.

Now one can substitute variables which explicitly show the zeroth and first order terms:

$$v_z = v_{z0} + v_{z1}$$

$$x = x_1$$

where the numeric subscript denotes the order of the term. Zeroth-order terms are the parameters of the fiducial ion and are assumed constant, first-order terms will be assumed

non-constant (i.e. x_1 may be a function of t or z ; this avoids the need to follow x_1' as an independent variable). Making these substitutions in (D.3) and separating into zeroth and first order terms:

$$0 = qE - qv_{z0} B \quad (D.4a)$$

$$mv_{z0} \left(v_{z0} \frac{d^2 x_1}{ds^2} \right) = -qv_{z1} B \quad (D.4b)$$

$$m \frac{dv_{z1}}{ds} = q \frac{dx_1}{ds} B \quad (D.4c)$$

utilizing the fact that v_{z0} is constant and neglecting second-order terms. Notice that (D.4a) is just the equation of a fiducial ion. It is obvious from (D.4a) that a Wien filter is a true velocity selector; the only ion-dependent parameter in this equation is the velocity.

Equations (D.4b,c) are the desired first-order equations. These may be rewritten slightly as:

$$\frac{d^2 x_1}{ds^2} = -\frac{\omega_0 v_{z1}}{v_{z0}^2} \quad (D.5a)$$

$$\frac{dv_{z1}}{ds} = \omega_0 \frac{dx_1}{ds} \quad (D.5b)$$

where $\omega_0 = \frac{qB}{m}$ has been substituted.

Integration of (D.5b) yields:

$$v_{z1} = \omega_0 x_1 + C \quad (D.6)$$

which may be substituted into (D.5a) to yield:

$$\frac{d^2 x_1}{ds^2} + \frac{\omega_0^2}{v_{z0}^2} x_1 = -\frac{\omega_0 C}{v_{z0}^2} \quad (D.7)$$

The solutions to this are:

$$x_1 = A_1 \cos(ks) + A_2 \sin(ks) - \frac{C}{\omega_0} \quad (D.8a)$$

$$v_{z1} = \omega_0 A_1 \cos(ks) + \omega_0 A_2 \sin(ks) \quad (D.8b)$$

where:

$$k = \frac{\omega_0}{v_{z0}} = \frac{1}{r_c}$$

Equation (D.8a) may be differentiated to yield an equation for $\frac{dx}{ds}$, which we will write as x' , and dp/p can be calculated knowing that $dp/p = \frac{v_{z1}}{v_{z0}}$ to first order. After substituting for $r_c = \frac{v_{z0}}{\omega_0}$ and $k = \frac{1}{r_c}$, we finally arrive at the first-order equations for ion motion in a Wien filter:

$$x_1 = A_1 \cos(ks) + A_2 \sin(ks) - \frac{C}{\omega_0} \quad (\text{D.9a})$$

$$x_1' = \frac{A_2}{r_c} \cos(ks) - \frac{A_1}{r_c} \sin(ks) \quad (\text{D.9b})$$

$$\frac{dp}{p} = \frac{A_1}{r_c} \cos(ks) + \frac{A_2}{r_c} \sin(ks) \quad (\text{D.9c})$$

Equations (D.9) for x_1 , x_1' , and dp/p may now be used to calculate matrix elements. It is convenient to start with rays initially having a deviation in only one of the three dimensions. For example, try a ray with $(x_1)_0 \neq 0$ and $(x_1')_0 = (dp/p)_0 = 0$. Then:

$$x_1 = (x_1)_0$$

$$x_1' = 0$$

$$dp/p = 0$$

So x_1 has a constant value equal to its initial value. In the same way, we can calculate the case where $(x_1')_0 \neq 0$:

$$x_1 = A_2 \sin(ks)$$

$$x_1' = \frac{A_2}{r_c} \cos(ks)$$

$$\frac{dp}{p} = \frac{A_2}{r_c} \sin(ks)$$

where:

$$\left(x_1' \right)_0 = \frac{A_2}{r_c}$$

and the case where $(dp/p)_0 \neq 0$:

$$x_1 = A_1(\cos(ks) - 1)$$

$$x_1' = -kA_1 \sin(ks)$$

$$\frac{dp}{p} = \omega_0 A_1 \cos(ks)$$

where:

$$\left(\frac{dp}{p}\right)_0 = \frac{A_1}{r_c}$$

The above results may be combined and expressed in matrix form:

$$\begin{bmatrix} 1 & r_c \sin(ks) & r_c(\cos(ks) - 1) \\ 0 & \cos(ks) & -\sin(ks) \\ 0 & \sin(ks) & \cos(ks) \end{bmatrix} \quad (\text{D.10})$$

It appears that we are done and that (D.10) is the matrix we have been seeking. But this is not the case. An additional, subtle effect must be considered. When the ions cross into and out of the Wien filter, they cross electric and magnetic fringe fields which affect their motion. The details of the field profiles will in general create aberrations which are second-order or higher; these may be neglected in the derivation of a first-order ray-trace matrix. However, the integrated effect of crossing these fringe fields does produce a first-order effect which must be accounted for.

In order to calculate this effect, we assume that the fringe field region is quite short in extent and that ions do not deflect appreciably in it. As an ion crosses this fringe field, it will either gain or lose velocity due to the crossing of electric field lines. This will affect the ion angle, x_1' . Thus, there is a perturbation to beam parameters on entry and exit of the Wien filter.

Assume that a fiducial ion enters the Wien filter along a path of constant electric potential. An ion which enters with an offset, $(x_1)_0$, will experience a potential change U_1 , which may be expressed to first order as:

$$U_1 = -(x_1)_0 qE = (x_1)_0 qv_{z0} B \quad (\text{D.11})$$

where the equation of the fiducial ion (D.4a) has been used to eliminate E . We may also calculate U_1 from the first order change in kinetic energy:

$$U = U_0 + U_1 = \frac{1}{2}m(v_{z0} + v_{z1})^2 = \frac{1}{2}mv_{z0}^2 + mv_{z0}v_{z1} + \dots \quad (D.12)$$

Thus, from (D.12), $U_1 = m v_{z0} v_{z1}$. Substituting this in (D.11), we find:

$$v_{z1} = x_1 \frac{qB}{m} = \omega_0 x_1$$

or:

$$\frac{dp}{p} = \frac{x}{r_c} \quad (D.13)$$

This dp/p may affect x_1' . Realizing that the transverse velocity, $\frac{dx}{dt}$, must be constant as an ion crosses into or out of the filter, we may write x_1' as:

$$x_1' = \frac{dx}{ds} = \frac{dx}{dt} \frac{1}{v_z} = \frac{dx}{dt} \frac{1}{v_{z0} + v_{z1}} = \frac{dx}{dt} \frac{1}{v_{z0}} \left(1 - \frac{v_{z1}}{v_{z0}} \right) \quad (D.14)$$

Breaking this into zeroth and first-order terms:

$$x_0' = \frac{dx}{dt} \frac{1}{v_{z0}} \quad (D.15a)$$

$$x_1' = -x_0' \frac{v_{z1}}{v_{z0}} \quad (D.15b)$$

But, since x_0' is zero (the fiducial ions go through the Wien filter with no deflection), x_1' is also zero and there is no change in x' to first order. The only parameter which is affected by crossing field lines into or out of the Wien filter is dp/p , and the perturbation to dp/p depends only on x_1 .

These equations may be expressed as a matrix which describes the effect of crossing the fringe fields on entry into the Wien filter:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{1}{r_c} & 0 & 1 \end{bmatrix} \quad (D.16)$$

A matrix describing the effects of exiting the filter can be derived in the same way: the result is the same except for a sign change in the lower left matrix element.

The true net effect of the Wien filter is found by cascading matrices of the form (D.16) with (D.10) to represent entrance, field region, and exit:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -\frac{1}{r_c} & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & r_c \sin(ks) & r_c(\cos(ks) - 1) \\ 0 & \cos(ks) & -\sin(ks) \\ 0 & \sin(ks) & \cos(ks) \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \frac{1}{r_c} & 0 & 1 \end{bmatrix}$$

When this is done, the final matrix for a Wien filter is obtained:

$$\begin{bmatrix} \cos \frac{L}{r_c} & r_c \sin \frac{L}{r_c} & r_c \left(\cos \frac{L}{r_c} - 1 \right) \\ -\frac{1}{r_c} \sin \frac{L}{r_c} & \cos \frac{L}{r_c} & -\sin \frac{L}{r_c} \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{D.17})$$

where L is the Wien filter length. Notice that the bottom row is (0 0 1) as it should be; for any optical element with static fields and with the beam entering and exiting in regions of the same potential there should be no change in beam energy or in dp/p .

This same procedure may be followed to derive the focusing matrices for other optical elements of interest, some of which are included in Appendix E.

D.3 Large Velocity Deviations

The above first order analysis applies to small deviations from the fiducial parameters. At times, however, it may be necessary to deal with larger perturbations, especially in velocity. For this the equations of motion for the ion (D.2) must be re-examined. Assuming an ion entering on-axis with no angular deviation, but with an arbitrary velocity perturbation, the temporal solutions to these equations are easily derived:

$$z = \frac{A}{\omega} \sin(\omega x) + \frac{E}{B} t \quad (\text{D.18a})$$

$$x = \frac{A}{\omega}(\cos(\omega x) - 1) \quad (\text{D.18b})$$

$$v_x = -A \sin(\omega x) \quad (\text{D.18c})$$

where:

$$A = v_i - \frac{E}{B}$$

v_i = initial ion velocity (in z-direction)

$$\omega = \frac{qB}{m} \text{ (for ion of interest)}$$

However, the crossing of electric field lines as an ion exits the filter must again be dealt with, as mentioned above. (We have assumed entry on-axis, so there is no effect on entering the filter.) The effect may be modeled as causing an abrupt change in z-velocity, while leaving the x-velocity unchanged. This will instantaneously change the direction of an ion exiting the Wien filter. The Wien filter will not change the energy of an ion. Thus, the exit velocity is given by:

$$v_z^2 + v_x^2 = v_i^2 \quad (\text{D.19})$$

The exit direction (after fringe fields) may be found by combining (D.18c) and (D.19):

$$\theta_f = \tan^{-1} \frac{v_x}{v_z} = \tan^{-1} \left(\frac{-A \sin(\omega x)}{\sqrt{v_i^2 - A^2 \sin^2(\omega x)}} \right) \quad (\text{D.20})$$

Collecting (D.18) and (D.20) together:

$$L = \frac{A}{\omega} \sin(\omega t) + \frac{E}{B} t \quad (\text{D.21a})$$

$$x_f = \frac{A}{\omega}(\cos(\omega t) - 1) \quad (\text{D.21b})$$

$$\theta_f = \tan^{-1} \left(\frac{-A \sin(\omega t)}{\sqrt{v_i^2 - A^2 \sin^2(\omega t)}} \right) \quad (\text{D.21c})$$

where:

L = length of Wien filter,

$$A = v_i - \frac{E}{B}$$

$$\omega = \frac{qB}{m} \text{ (for ion of interest)}$$

v_i = initial ion velocity (in z-direction)

x_f = final x-offset

θ_f = final angle

This (D.21) represents three equations in three unknowns. To solve them for an arbitrary ion, the nonlinear equation (D.21a) must first be solved for t ; this may be substituted in (D.21b,c) to find the ion's offset and angle upon exiting the Wien filter.

For small velocity shifts dv , (D.21a-c) reduce to:

$$L = \frac{E}{B} t = v_z t \quad (\text{D.22a})$$

$$x_f = \frac{dv}{\omega} (\cos(\omega t) - 1) = \frac{dv}{\omega} \left(\cos \frac{\omega L}{v_z} - 1 \right) \quad (\text{D.22b})$$

$$\theta_f = \frac{dv \sin \frac{\omega L}{v_z}}{v_z} \quad (\text{D.22c})$$

which are in a different form, but agree with (D.17).

D.4 A Practical Wien Filter

To use a Wien filter as a velocity separation device there must be a slit or aperture to allow the desired ions to pass, while off-velocity ions miss the slit. This slit should be placed at the optical focus of the selected ions for best separation. Generally a slit is placed before the Wien filter as well; this object slit is imaged onto the output (or image) slit.

Thus, in general, a Wien filter system will have a slit, a drift length, the Wien filter, another drift length, and the second slit. Restricting ourselves to the case where the two drift lengths are equal, the first-order optical matrix of this system may be calculated by cascading the matrices for the Wien filter and drift lengths:

$$\begin{bmatrix} 1 & d & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos \frac{L}{r_c} & r_c \sin \frac{L}{r_c} & r_c \left(\cos \frac{L}{r_c} - 1 \right) \\ -\frac{1}{r_c} \sin \frac{L}{r_c} & \cos \frac{L}{r_c} & -\sin \frac{L}{r_c} \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & d & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \\
\begin{bmatrix} \cos \frac{L}{r_c} - \frac{d}{r_c} \sin \frac{L}{r_c} & \left(r_c - \frac{d^2}{r_c} \right) \sin \frac{L}{r_c} + 2d \cos \frac{L}{r_c} & r_c \left(\cos \frac{L}{r_c} - 1 \right) - d \sin \frac{L}{r_c} \\ -\frac{1}{r_c} \sin \frac{L}{r_c} & \cos \frac{L}{r_c} - \frac{d}{r_c} \sin \frac{L}{r_c} & -\sin \frac{L}{r_c} \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{D.23})$$

where:

L = Wien filter length

r_c = cyclotron radius of selected ions = v_i/ω_c

v_i = incident ion velocity of selected ions

d = drift length

In order for this system to focus the input slit onto the output slit, we require that the final position be independent of the initial angle, i.e. in (D.23) the matrix element $M_{12}=0$. Thus:

$$\left(r_c - \frac{d^2}{r_c} \right) \sin \frac{L}{r_c} + 2d \cos \frac{L}{r_c} = 0 \quad (\text{D.24})$$

This, of course, can be satisfied in many ways. Two choices of parameters immediately suggest themselves, however. Firstly, one could choose $d=0$, i.e. no drift lengths. In this case the slits are physically located at the beginning and end of the crossed fields. Then (D.24) reduces to:

$$r_c \sin \frac{L}{r_c} = 0, \text{ or } L = \pi r_c \quad (\text{D.25})$$

For small velocity shifts dv , the ion separation at the second slit is given by (D.22):

$$x = \frac{dv}{\omega} (\cos \pi - 1) = -2 \frac{dv}{\omega} \quad (\text{D.26})$$

Alternatively, one could choose the case $d=r_c$. Then the focusing condition is:

$$2r_c \cos \frac{L}{r_c} = 0, \text{ or } L = \frac{\pi}{2} r_c \quad (\text{D.27})$$

And for small velocity shifts, from (D.22) we find at the end of the crossed-field region:

$$x = \frac{dv}{\omega} \left(\cos \frac{\pi}{2} - 1 \right) = -\frac{dv}{\omega} \quad (\text{D.28a})$$

$$\theta = -\frac{dv}{v_z} \sin \frac{\pi}{2} = -\frac{dv}{v_z} \quad (\text{D.28b})$$

After the final drift length of $d=r_c$ to the image plane, the separation is:

$$x_{\text{tot}} = -\frac{dv}{\omega} - \frac{dv r_c}{v_z} = -2 \frac{dv}{\omega} \quad (\text{D.29})$$

Thus the separation of off-velocity ions is the same for both cases. The second case has a shorter field region by a factor of two but a longer overall length by a factor of 1.14.

This result may be expressed in terms of a mass difference as well, assuming all ions have equal energy. (All ions do have approximately the same energy. Since we use a cesium sputter ion source at a -5kV bias, all ions must have at least 5 keV energy. There will be very few multiply-charged negative ions. The singly-charged ions may have a few eV extra kinetic energy due to the Cs ion collisions which generate them, but this should not exceed 10 or 20 eV.) Using the relations $r_c = v_i/\omega_c$ and $T = 1/2 mv^2$, we find:

$$x_{\text{tot}} = -2r_c \frac{dv}{v_z} = r_c \frac{dm}{m_0} \quad (\text{D.30})$$

D.5 Adjustment of Wien Filter Focus

Once such a filter is installed, the desired beam must be imaged at the output slit. In general, it will not be imaged exactly at the slit due to measurement and assembly errors. There are two ways in which this may be adjusted without removing the filter and making mechanical adjustments. Both methods rely on changing the beam energy (velocity).

Firstly, the incident beam energy can be changed, if possible. This will change v_2 and r_c inside the filter. This is the most direct way of adjusting the image position, although it may not be possible due to other system constraints, external to the Wien filter. The sensitivity of image position to beam energy may be calculated by cascading the system matrix given above with a subsequent drift of length z , and calculating the derivative $\frac{dz}{dT}$. If this is done, the M_{12} matrix element of (D.23) (which must be zero for a focused image) becomes:

$$\left(r_c - \frac{d(d+z)}{r_c}\right) \sin \frac{L}{r_c} + (2d+z) \cos \frac{L}{r_c} = 0 \quad (\text{D.31})$$

The derivative $\frac{dz}{dT}$ may be found by taking differentials, fixing every parameter except T (hence v) and z . One then obtains:

$$\frac{dz}{r_c} = \frac{1}{2} \frac{dT}{T} \frac{\left(1 + \frac{d^2}{r_c^2} + \frac{2dL}{r_c^2}\right) \sin \frac{L}{r_c} - \frac{L}{r_c} \left(1 - \frac{d^2}{r_c^2}\right) \cos \frac{L}{r_c}}{\frac{d}{r_c} \sin \frac{L}{r_c} - \cos \frac{L}{r_c}} \quad (\text{D.32})$$

For the first case, where $d=0$ and $L=\pi r_c$, this reduces to:

$$\frac{dz}{r_c} = \frac{\pi}{2} \frac{dT}{T} \quad (\text{D.33})$$

For the case where $d=r_c$ and $L=\frac{\pi}{2} r_c$, it reduces to:

$$\frac{dz}{r_c} = \frac{(\pi+2)}{2} \frac{dT}{T} \quad (\text{D.34})$$

If the incident beam energy cannot be adjusted due to external system constraints, the image position may still be adjusted by changing the ion energy inside the Wien filter. This can be done by offsetting the voltages of the electric field plates in the Wien filter. Calculation of this case is similar to the previous, except that the matrix is more complex. A matrix representing change in beam energy on entrance to the Wien filter must be included in the calculation of the system matrix. This matrix is of the form:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & n & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{D.35})$$

On exiting the Wien filter, a similar matrix must be inserted in which n is replaced by $1/n$. In these matrices, n is the "refractive index", equal to $\frac{v_{\text{outside}}}{v_{\text{inside}}}$.

When this is done, a new system optical matrix is obtained. The element M_{12} becomes:

$$\left(nr_c - \frac{d(d+z)}{nr_c} \right) \sin \frac{L}{r_c} + (2d+z) \cos \frac{L}{r_c} = 0 \quad (\text{D.36})$$

The derivative $\frac{dz}{dT}$ may again be found by taking differentials, fixing every parameter except T_{in} (hence v_{in} , r , and n) and z . One then obtains:

$$\frac{dz}{L} = \frac{1}{2} \frac{dT_{\text{in}}}{T_{\text{in}}} \frac{\frac{2d}{r_c} \sin \frac{L}{r_c} - \left(n - \frac{d^2}{nr_c^2} \right) \cos \frac{L}{r_c}}{\frac{d}{nr_c} \sin \frac{L}{r_c} - \cos \frac{L}{r_c}} \quad (\text{D.37})$$

For the case $d=0$ and $L=\pi r_c$:

$$\frac{dz}{L} = \frac{n}{2} \frac{dT_{\text{in}}}{T_{\text{in}}}, \text{ or } \frac{dz}{r_c} = \frac{n\pi}{2} \frac{dT_{\text{in}}}{T_{\text{in}}} \quad (\text{D.38})$$

And for $d=r_c$ and $L=\frac{\pi}{2} r_c$, it reduces to:

$$\frac{dz}{L} = n \frac{dT_{\text{in}}}{T_{\text{in}}}, \text{ or } \frac{dz}{r_c} = \frac{n\pi}{2} \frac{dT_{\text{in}}}{T_{\text{in}}} \quad (\text{D.39})$$

where T_{in} is the beam energy inside the Wien filter. Note that n will generally be unity or near unity. Thus we see that changing the energy inside the Wien filter has roughly the same effectiveness in adjusting image location as does changing the original beam energy.

These derivations regarding focusing apply only for small changes in beam energy and small focus adjustments. For large changes, the approximations which were used do not hold and more detailed calculations are necessary. It should also be realized that adjusting the beam energy in either of these ways will also affect the separation of off-velocity ions.

Appendix E: Catalog of Matrices for Ion Optical Elements

In the matrices to follow, $r_c = \frac{v_i}{\omega_0}$ is the cyclotron radius of a fiducial ion, and the components of the three-vectors which are transformed by these matrices are x , $x' = dx/ds$, and dp/p .

FREE SPACE:

$$\begin{bmatrix} 1 & d & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

where d is the distance travelled.

MAGNETIC FIELD:

$$\begin{bmatrix} \cos \alpha & r_c \sin \alpha & r_c(1 - \cos \alpha) \\ -\frac{1}{r_c} \sin \alpha & \cos \alpha & \sin \alpha \\ 0 & 0 & 1 \end{bmatrix}$$

where α is the angle travelled in the magnetic field. This may be expressed in terms of distance travelled by $s = \alpha r_c$.

WIEN FILTER:

$$\begin{bmatrix} \cos \frac{L}{r_c} & r_c \sin \frac{L}{r_c} & r_c \left(\cos \frac{L}{r_c} - 1 \right) \\ -\frac{1}{r_c} \sin \frac{L}{r_c} & \cos \frac{L}{r_c} & -\sin \frac{L}{r_c} \\ 0 & 0 & 1 \end{bmatrix}$$

where L is the Wien filter length.

CURVED ELECTRIC DEFLECTION CHANNEL IN MAGNETIC FIELD:

$$\begin{bmatrix} \cos B\beta & \frac{|R|}{B} \sin B\beta & r_c A(1 - \cos B\beta) \\ -\frac{B}{|R|} \sin B\beta & \cos B\beta & \frac{r_c AB}{|R|} \sin B\beta \\ 0 & 0 & 1 \end{bmatrix}$$

where R is the radius of curvature of the deflection channel, positive if in the same direction as r_c and negative if in the other direction; β is the angle traversed in the channel; and:

$$A = \frac{1 - C^2}{1 + C^2}$$

$$B = \sqrt{C^2 + 1}$$

$$C = \frac{R - r_c}{r_c}$$

Notice that the Wien filter and the magnetic field matrices are special cases of this matrix.

QUADRUPOLE LENS:

A different matrix is needed for the focusing and defocusing dimension:

$$\begin{bmatrix} \cos kL & \frac{1}{k} \sin kL & 0 \\ -k \sin kL & \cos kL & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{if focusing})$$

$$\begin{bmatrix} \cosh kL & \frac{1}{k} \sinh kL & 0 \\ -k \sinh kL & \cosh kL & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (\text{if defocusing})$$

where:

$$k^2 \equiv \frac{\Phi}{2Ta^2}$$

L =electrode length

Φ =total potential difference on lens electrodes

T =beam energy

a =lens aperture

180° ELECTROSTATIC MIRROR IN MAGNETIC FIELD:

$$\begin{bmatrix} 1 & 3.6x_{MP} & -7.2\frac{x_{MP}}{r_c} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

where x_{MP} is the distance from the outside of the mirror to the effective "mirror plane", which the ions appear to reflect from. This distance is short of the distance one would expect the ions to travel based on energy considerations by a factor of 1.39. For example, a 5 keV ion would be expected to go into the uniform electric field of the mirror until it reaches the 5kV equipotential surface; it appears optically, however, to reflect off of the 3.59kV equipotential.

Appendix F: Beam Bunching

A Simple Buncher for the Berkeley Small Cyclotron

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Abstract

A simple buncher and its associated radio frequency electronics has been designed for the Berkeley Small Cyclotron. The buncher should boost the efficiency of the existing system by a factor of four to five, enabling the dating of archaeological samples in a practical amount of time. The buncher will operate over the frequency range of 5 to 15 MHz with mass 14 and mass 12 negative ions at 5 KeV. Also included is a discussion on a variation of the simple buncher, dubbed the gap buncher. The gap buncher employs three grids and is driven by a square wave which in the ideal case creates perfect linear bunching of 50% of the beam down to 0°.

F.1. Introduction:

Today there is much interest in the development of accelerator mass spectroscopy (AMS) which today takes place at large accelerator facilities at a relatively high cost. In 1981 the small cyclotron project began at UC. Berkeley and is now coming close to the point of dating archaeological samples by separating ^{14}C ions from the other background ions [1,2]. There is also great current interest in using this device in medical research where the cyclotron could be used to trace a variety of biochemical pathways which have been tagged by ^{14}C [3]. This could help uncover the mechanism behind many metabolic disorders such as schizophrenia and other mental diseases.

At present the system consists of an external negative ion source, einzel lens, Wien filter, electrostatic focusing optics, and 15 cm. radius cyclotron (see Fig. F.1). It was proposed [2] that a buncher should be installed along the beam path to boost the efficiency of the system. At present, we estimate a buncher will increase the efficiency by a factor of four or five. This will allow the dating of archaeological samples in a practical amount of time. Assuming a count rate of about 4 per minute, we estimate that it will take 4.5 hours to date modern carbon with an accuracy of 3% [4]. A buncher should speed the process up to one hour.

There are a variety of schemes for bunching ions, ranging from a buncher with only two electrodes [5] to more novel schemes such as a double drift buncher with six electrodes [6]. We decided for a variety of reasons to design a simple buncher with three electrodes with the center electrode driven by a sinusoidal voltage. This design allows the maximum ease in construction and requires the least amount of additional radio-frequency (RF) electronics. Other systems such as harmonic bunchers or double drift bunchers require special RF electronics which are not readily available. The main advantage to these other schemes is that they are able to bunch a large fraction (>70%) of the beam down to a phase angle of $\pm 6^\circ$ to 9° [5,6]. Our cyclotron, though, has a phase acceptance of $\pm 20^\circ$ [4] for which a simple buncher will suffice. Ideally (not including losses due to grids) a simple buncher should bunch about 55% of the beam down into this phase window.

In this paper we will discuss the basic physics of two types of bunchers, the simple buncher and the gap buncher. Then we will go on to a detailed discussion on the design of a complete bunching system employing a simple buncher.

Figure F.1 Berkeley small cyclotron beamline. (See also Fig. 3.1, in body of thesis.)

F.2. Simple and Gap Bunchers.

Principles of Operation:

Here we discuss the basic principles behind the operation of a simple buncher and a gap buncher.

F.2.1 Simple Buncher

Here is a schematic drawing of a simple buncher.

The two outer electrodes are grounded while the center electrode is driven by a sinusoidally varying voltage $= V(t)$. Here we assume that the electric fields are confined to the gap region between the tube and the two end plates and that the gap is much smaller than the length of the buncher ($g \ll L$). Each gap can be thought of as an infinite parallel plate capacitor. Of course in reality the plates are replaced by grids so that an ion may pass through them (see F.3.1.2 for details). The length of the buncher is such that a singly charged ion (we take a negative ion for concreteness) of mass $= M$ and velocity $= v$, will transverse the length of the buncher $= L$ in half a cycle of $V(t)$. Now consider an ion (1) that enters the buncher at point A on the waveform. (1) enters at A and exits at A', each time seeing no force, and thus no net bunching. Now consider the ion (2) which enters at a later time B and exits at B'. (2) sees a positive (z -direction) accelerating force both at the first and second gap. This gives (2) a boost in velocity, allowing him to catch up to (1) once they travel a distance l_c . This is the basis of the bunching mechanism. Similar considerations show that an ion that enters before (1) will get a net deaccelerating

force, allowing the other ions to catch up. We call (1) the center ion, to which the other ions are focused. A simple way to picture the bunching effect is to use phase space diagrams, where we go into the frame of the center ion (1)

Throughout this paper we assume that the beam has no initial velocity spread. After the ions have received a velocity modulation, the sinusoidal shape shears in the z direction. From this it is obvious that only ions on the linear portion will be perfectly bunched. Notice also that bunching quality degrades rapidly for ions out of phase by more than 90° . If one assumes that distance to the cyclotron (l_c) is much greater than the length of the buncher (L), then all the ions within $\pm 100^\circ$ of the center ion can be focused down into our phase window of $\pm 20^\circ$. These numbers were computed by allowing the 90° ion to reach 0° , and then computing the fraction of ions which fell into our phase window.

F.2.2 Gap Buncher:

You can see easily from above that if one applies a triangle waveform to a simple buncher, one can have linear bunching for 50% of the beam down to 0° . In the past much effort has gone into adding up harmonics of a particular frequency to create a nearly triangle waveform. Now we turn briefly to a novel idea for a buncher which allows for linear bunching for 50% of the beam, but does not employ a triangle waveform. This new type of buncher, dubbed gap buncher, could possibly be used in future generations of the small cyclotron.

The gap buncher is driven by a square wave voltage and its center electrode is now a thin grid, so that an ion passing through the gap buncher will see an integrated force. Previously, with the simple buncher, the gaps were assumed to be small, so that this effect was neglected. The integration of a square wave is of course a triangle wave, therefore after the gap buncher the velocity spread of the beam will be a triangle wave. Note also that the length of the gap buncher is twice that of a simple buncher operating at the same frequency. Aside from linear bunching, another attribute of a gap buncher is that it requires only three grids as opposed to the four grids needed in the simple buncher. Grids are normally required to create uniform electric fields, but have the disadvantage of intercepting the beam. The main disadvantage of the gap buncher is that it requires an RF square wave voltage. In large accelerators the voltages required can be quite large (in excess of 500 volts) which may make it impractical to design the necessary electronics. For our cyclotron, though, the voltages needed are around 100 volts which should make it possible to find the necessary electronics.

We believe the gap buncher is an interesting idea, and will keep it in mind as further changes are made in the small cyclotron. For now, though, we have decided to use a simple buncher in the current version of the small cyclotron.

F.3. Design of A Simple Buncher:

The design of a buncher consists of two basic parts, physical design and RF electronics design. First we discuss the physical design and then briefly the electronics which can be bought mostly off the shelf. The basic design parameters are as follows:

1. Bunches at least 50% of the beam down into a phase window of $\pm 20^\circ$
2. Operates over the range of frequencies from 5 MHz to 15 MHz
3. Works both with mass 14 and mass 12 negative ions
4. $10 \text{ eV} < \text{Axial gain} < 250 \text{ eV}$
5. Angular spread caused by grid wires $\ll 10 \text{ mrad}$ (half angle)
6. Uses the minimum amount of grid wires
7. Low voltage operation
8. Small size (less than 5 cm. in length)
9. Simple to build and operate

F.3.1 Physical Design:

F.3.1.1 Frequency and Mass Effects:

The first question that arises is what length should we make the buncher since we will be operating over a range of frequencies and masses. Since the cyclotron will only operate at odd harmonics of about 1 MHz, a simple minded guess would be to choose a length corresponding to 9 or 11 MHz which is at the middle of our operating range. It turns out for this case that 11 MHz is the better choice for reasons that will be discussed later. When considering mass effects, we primarily consider mass 14 ions since mass 12 will only be running through the buncher during tuning of the machine. It turns out that most of the corrections for off mass operation go like the $\text{mass}^{1/2}$ which is only an 8% variation for our specific case.

It is easy to show that the length of the buncher is given by the following equations.

$$\lambda_0 = \frac{v}{f_0} = \sqrt{\frac{2T_0}{M}} \frac{1}{f_0} = \text{wavelength}$$

$$L = \text{Buncher length} = \frac{\lambda_0}{2}$$

$f_0 = \text{center frequency}$

$T_0 = \text{initial kinetic energy}$

$M = \text{mass of ion}$

$v = \text{ion velocity}$

For operation at 11 MHz, with mass 14 ions at 5 KeV, the wavelength is about 2.4 cm so that L is about 1.2 cm.

Next we would like to know how much voltage will be necessary to bunch the beam if the injection point to the cyclotron is a distance l_c away from the buncher. This too is a simple mechanics problem with the following solution.

$$\Delta T = \text{Necessary KE Gain} = 2T_0 \frac{d}{l_c}$$

$V_m = \text{voltage on buncher} = \Delta T/2e$

$T_0 = \text{initial kinetic energy}$

$l_c = \text{distance from buncher to cyclotron}$

$d = \text{distance which must be bunched}$

$e = \text{magnitude of the charge on an electron}$

$d \ll l_c$

As an estimate, we take d to be a 1/4 wavelength since we want to bunch the 90° ions to about 0°. This gives for 5 KeV ions with $l_c \approx 100$ cm, a necessary gain of 60 eV. This means that the voltage on the buncher need only be about 30 volts (we divide by a factor of 2 since the ion gains energy both entering and leaving the buncher). This assumes of course that the buncher is 100% efficient, and that we operate at the center frequency, f_0 , given above.

This brings us to the next question. What is the effect of changing the frequency on the buncher, while the length of the buncher remains constant. It turns out that the buncher has a sinusoidal frequency response with full gain operation only at odd multiples of the of the center frequency. Below we plot the gain of the 90° ion as a function of the frequency, f , applied to buncher.

$$\text{Gain} = 2eV_m \sin \frac{\pi f}{2f_0}$$

V_m = voltage on to buncher

f = frequency applied
to buncher

e = charge on electron

There is no gain at even multiples. This is because an integral number of wavelengths will fit into the buncher, and thus whatever an ion gains on the way in is lost on the way out. For the general case of an ion with arbitrary phase, it is not clear at first what happens to the gain of that ion as the frequency is changed. The solution, though, is quite easy to see from the following phasor diagram and the general equation for energy gain of the ion as a function of the time that it enters the buncher.

$$\text{Gain} = T(t_1) = eV_m \left[\sin(2\pi f t_1) - \sin\left(2\pi f t_1 + \pi \frac{f}{f_0}\right) \right]$$

t_1 = time ion enters the buncher

$\phi = \pi f / f_0$ = phase angle

As you can see, changing the frequency implies a rotation of the second phasor in the diagram, which changes the magnitude of the gain. If f is an odd multiple of f_0 then (1) and (2) add for maximum amplitude. When f is an even multiple of f_0 , (1) and (2) cancel. To get the time dependence we recall that all the phasors have the same time dependence $= 2\pi f t$. This means that even though we are not operating at the center frequency, the ions will still have a gain which varies sinusoidally with the time they enter the buncher, however the magnitude of the gain will be reduced unless you happen to be operating at exactly a odd harmonic of the center frequency.

It would appear from the above equations and plots, that the voltage needed to bunch ions would be a symmetric function about the center frequency. This though is not the case. The above equations give the amount of energy gained by the ion, not the energy required to bunch the ions. One has to take into account the fact that as the frequency is changed, the actual distance to be bunched, d , is also changed. d is still taken to $\lambda = 1/4$ of a wavelength, but now that wavelength corresponds to a new frequency, f . Below we give the expression for the magnitude of the applied voltage necessary to bunch ions at arbitrary frequency and center frequency.

$$V_m = \frac{\pi T_0 \sqrt{\frac{2T_0}{M}}}{8 l_c e f_0} \left[\frac{1}{\left(\frac{\pi f}{2f_0}\right) \sin\left(\frac{\pi f}{2f_0}\right)} \right]$$

In figure F.2 we give a plot of the function: $\frac{1}{X \sin X}$

Notice that one has to be careful in picking the center frequency since the function tends to blow up for $X < 1$ or $X > 2.75$. This implies for the two choices of center frequency, 9 and 11 MHz:

<u>center frequency</u>	<u>operating range</u>
9 MHz	5.4 to 16 MHz
11 MHz	6.6 to 19 MHz

We will be operating mostly at the higher harmonics so we go with the 11 MHz design.

One may also ask what is the effect of changing the mass of the ion. The above equation still holds, but now the center frequency has to be replaced by an effective center frequency given by the following equation. Also the mass, M , has to be replaced by the new mass, M' .

$$f_{0\text{eff}} = f_0 \sqrt{\frac{M}{M'}}$$

This means that as we lower the mass, the buncher corresponds to a higher center frequency. This effect, though, is canceled in our machine because we change the resonant frequency as the mass changes in order to keep the cyclotron radius (in the magnetic field) constant ($f \cdot M^{-5} = \text{constant}$) This also the cancel the other M^{-5} in the formula for V_m given above. Thus the mass does not change the voltage necessary to bunch the ions.

Figure F.2 Plot of the function $\frac{1}{x \sin x}$

F.3.1.2 Effect of Finite Gaps and Grids on Beam Quality:

Now we turn to a more realistic analysis of a simple buncher. Previously we assumed that the gaps between the three electrodes in the simple buncher were infinitely small. In reality the gaps are of finite width, so we must decide how large to make them. To understand the effect of a finite gap, we first look at the simple case of two parallel plates, one of which is grounded while the other is driven by a sinusoidal voltage, $V(t) = V_m \sin(\omega t)$. The ratio of the kinetic energy gain (T) of an ion to the maximum kinetic energy gain ($T_{\max}$, @ $g=0$) is given by the sinc function.

This effect is often times referred to as the transit time effect [5] If $g = \lambda/4$ then the gain only drops by about 10%.

In the buncher we not only have finite gaps, but we also have grids rather than parallel plates. The grids accelerate the ions through the buncher. It was decided that an analysis should be done on the effect of grids on the beam quality and voltage efficiency of the buncher. The important factor here is beam quality, ie. uniformity in gain for ions traveling in the same transverse plane, and minimum transverse dispersive effects. The grid problem was solved in two steps. First we considered the electrostatic case and looked at different aspect ratios of grid spacing to gap spacing. This allowed for a qualitative understanding of the problem. Next we considered the time varying problem for two specific aspect ratios, and integrated the forces to yield the energy gain in the axial and transverse directions for a variety of wavelengths. This problem has also been discussed by other authors [5,7] in varying degrees of detail and generality.

The first step in solving this problem involved solving Laplace's equation for an infinite grid. This was done using conformal mapping methods [8]. Please note that now y is the axial direction while x is the transverse direction.

$$\Phi(x, y) = K \ln \left[\frac{\cos^2 X \sin^2 X + (\cosh Y \sinh Y + b \tanh D)^2}{\cos^2 X \sin^2 X + (\cosh Y \sinh Y - b \tanh D)^2} \right]$$

$$b = \sin^2 X \sinh^2 Y + \cos^2 X \cosh^2 Y$$

$$X = \frac{\pi x}{a} \quad Y = \frac{\pi y}{a} \quad D = \frac{\pi d}{a}$$

Find K by using the boundary conditions on the wires.

$$\Phi(0, d-r) = +V \quad (r = \text{radius of wire})$$

The above equation for Φ can be plotted on a computer. In figure F.3 we show a plot of the equipotentials. In figures F.4 and F.5 we show the potential profiles as two different values of x and different aspect ratios. These plots, although not useful for quantitative numbers, are extremely useful for a qualitative feel for the effects of grids on the beam quality. You will notice right away from Fig. F.3 that an ion traveling in the y -direction at $x=0$ (#1) will see a radically different potential than an ion traveling at $x=a/2$ (#2). #1 will see an effective gap which is smaller than #2, therefore #1 will have an energy gain greater than #2 due to the transit time effect discussed earlier. For good beam quality, we would like an energy gain variation of less than 10%. In figures F.4 and F.5, we show the potential profile in the y direction at $x=0$ and $x=a/2$ for two different wire aspect ratios, $a=d$ and $a=2d$, with d held constant. As intuition might suggest, $a=d$ gives a potential curve which is more linear than $a=2d$. Also the overall gain is greater in the former case since the potential rises to a higher value as y approaches infinity. This introduces the curious point that although the potential on the wire is one volt, the potential at infinity is not one volt but rather 0.7 volts for the $a=d$ case and 0.5 volts for the $a=2d$ case. It appears that there will be a loss in gain due to the transit time effect and due to the fact that we do not have an infinite number of grids. It is not clear that the second effect will be observed experimentally, since we have solved the problem for an infinite grid isolated in space (other conductors will probably change the spatial variations in the potential). This drop in gain though is significant, and should be taken into consideration in the design of the RF electronics.

Figure F.3 Equipotentials near grids. Equipotential separation = 0.1

Fig. 4

Figure F.4

Fig. 5

Figure F.5

We now turn to the real problem we would like to solve, axial gain and transverse gain in a time varying potential. We assume to first order that the path of the ion and its velocity in the axial direction are not affected by the action of the buncher. In the subsequent plots the voltage,

$$V(t) = V_m \sin(\omega t), \quad V_m = 2 \text{ volts},$$

is applied to one of the grids, while the other grid is grounded (in other words, if we had an infinite number of wires and zero gap, the maximum net gain would be 2 eV). For each configuration, there are sets of curves corresponding to different wavelengths, where:

$$\omega \lambda = v 2\pi$$

$$v = \text{velocity of ion}$$

First we consider the gain in the axial direction for $a=2d$ (Fig. F.6) and $a=d$ (Fig. F.7), $d=\text{constant}$. The units of distance are arbitrary since the important quantities are the ratios, a/d and a/λ . We compute the gain for the ion that is at the center of the gap, when the voltage is a maximum (ie. the 90 degree ion discussed earlier). Since the voltage is changing the slowest at this point of the curve, this ion will receive the most axial gain as compared to other ions of different phase.

As expected the gain is much more uniform for $a=d$ than $a=2d$. Also the overall gain is higher in the $a=d$ case. The price though that you pay is that you need twice as many wires, which doubles the amount of beam intercepted by the grid. You will notice that as the wavelength decreases, the gain drops slowly at first, till a threshold is reached, and then the gain drops suddenly. This is the action of the sinc function in the transit time effect discussed earlier. From these two plots, it appears that the $a=d$; $a=.05$ case, with $\lambda=0.4$

is best for our purpose. The gain varies by less than 10%, and the overall gain is 60% of the ideal case.

Next we turn to the transverse gain, to see what sort of angular dispersive effects the grids will have on the beam. We compute the gain for the ion that is at the center of the gap, when the voltage is zero (ie. the 0° ion discussed earlier). Here the voltage is changing the most rapidly, so that there will be a maximum amount of gain in the transverse direction. Notice the important point that the ion that receives the most transverse gain will also receive the least axial gain. The axial gain is a minimum for the 0° ion because he sees a force which integrates to zero.

Figures F.8 and F.9 show the transverse gain for the different aspect ratios. For $a=d$, $a=0.05$, and $\lambda=0.4$, the maximum transverse gain is 0.5 eV which is 1/4 the maximum axial energy gain. We now compute the half angle associated with this transverse gain for the specific example:

$m = \text{mass } 14$	$a = d$
$f_0 = 11 \text{ MHz}$	$a = .05$
$f = 15 \text{ MHz}$	$\lambda = .4$
$T_0 = 5 \text{ KeV}$	$a/\lambda = 1/8$

$$l_c = 100 \text{ cm}$$

$V_m \approx 40 \text{ volts} = \text{voltage applied to buncher} = \text{maximum axial energy gain}$

$$\Delta v_x = \text{transverse velocity}$$

$$v = \text{axial velocity.}$$

$$\text{Half Angle} = \beta = \Delta v_x / v = \Delta T / 2T$$

$$\Delta T = eV_m/4 = 10 \text{ eV}$$

$$\beta = 1 \text{ mrad}$$

So with the given configuration, we expect mrad of dispersion / gap. The dispersion of the beam at this point in the cyclotron is about 10 mrad, so the dispersion caused by the buncher is not excessive.

Figure F.8

Figure F.9

In the actual buncher, you will recall that there are two sets of grids (entrance/exit). A question arises, as to how to align the second set of grids relative to the first set. There are two choices, perfectly aligned grids or grids 90° out of phase (of course there are an infinite number of choices but these are the most obvious). It would appear at first that all the wires should be perfectly aligned, so that the four grids appear to be one grid, thereby intercepting less of the beam. This though is difficult to do in practice, so we decided to look into the possibility of non aligned grid wires.

As you recall from the previous plots and discussion, ion #2 will receive less axial gain than ion #1 as they pass through the first set of grids, A, but when they travel through B, the reverse occurs, thereby effectively canceling the nonuniform axial gain introduced at grid A. A similar effect occurs when one considers the transverse gain. Ion #2 will only receive a transverse gain at grid B, while ion #1 will only receive a transverse gain at grid A. Therefore the ions traveling through nonaligned grids receive half the dispersive effect as compared to the aligned situation. These two factors are a great advantage since it should allow for a reduction in the number of grid wires without a severe degradation in beam quality. The disadvantage, of course, is that in the ideal case twice as many ions will be intercepted by the nonaligned method than the aligned method. In practice, though, alignment is difficult, and rarely can one align all four grids perfectly.

This then concludes a fairly involved discussion of the dynamic properties of a simple buncher.

F.3.1.3 Other Effects:

Now we turn to some final considerations on the physical design. One important point is the orientation of the grid wires relative to the beam. We show below a sketch of the beam profile, and

its orientation with respect to the grid wires. The major axis of the beam should lie along the direction of the wires. This has two advantages, (1) a minimum amount of the beam is intercepted by the wires, and (2) the transverse energy goes into the x-direction of the beam which already has the larger divergence (this assumes the beam is nearly focused at the buncher). The last important point to consider is that the beam, as it passes through the buncher, should not see any insulators such as ceramic. The problem is that the insulators can become charged up and deflect the beam which may in turn cause discharging. This generally leads to instabilities which are hard to diagnose and generally wreak havoc with the entire experiment.

F.3.1.4 Final Design Parameters:

This completes all the considerations necessary to properly design the mechanical components of a simple buncher. We will conclude this section with a sketch of the buncher, along with the necessary parameters to build one.

SIMPLE BUNCHER
NOT DRAWN TO
SCALE

$$T_0 = 5 \text{ KeV}$$

$$M = \text{mass 14 ions}$$

$$f_0 = 10.8 \text{ MHz} = \text{center frequency}$$

$$f_{\max} = 15 \text{ MHz}$$

$$L = \frac{\lambda_0}{2} = 1.2 \text{ cm}$$

$$g = 2a = \frac{\lambda_{\min}}{4} = 0.43 \text{ cm}$$

$$v = \sqrt{\frac{2T_0}{M}} = \text{velocity} = 2.6 \times 10^7 \text{ cm/s}$$

$$f_0 \lambda_0 = v$$

$$f_{\max} \lambda_{\min} = v$$

F.3.2 Electronics Design:

With the mechanical design completed we now turn briefly to the necessary electronics for the buncher. As stated previously, much of the equipment necessary can be

bought off the shelf [9] or salvaged from around the laboratory. Here are the basic design requirements for the buncher electronics.

1. Frequency range, 5 MHz to 17 MHz
2. Voltage range, 0 - 200 volts
3. Phase shift, 0° to 360°
4. Power consumption < 100 Watts
5. RF Signal Source the same as for the cyclotron

First we give the reason for voltage requirement. In the ideal case at 11 MHz, the voltage needed is 30 volts (see sec. F.3.1.1). This should be increased by the following factors:

2X	due to frequency variation
1.5X	grids
2X	Safety factor

This totals to about 180 volts.

Next we discuss the phase shifter. It is necessary to adjust the phase of the buncher relative to the phase of the cyclotron, in order to have the bunches of ions properly accelerated by the RF on the cyclotron. At the moment we have acquired a delay box (essentially coax cable and switches) to act as a phase shifter. It would be desirable in the future to have an electronic phase shifter, which would continuously adjust the phase from 0° to 360° . An easy way to do this is with some 0° - 90° phase splitters and electronically controlled attenuators. Here is a block diagram for a phase shifter [10,11]. See [11] for circuit.

The input signal is first split into two signals which are 90° out of phase. Each signal is then attenuated by the proper amount and then finally recombined to form a signal shifted from 0° to 360° . The principle behind this is most easily seen from a phasor diagram, where one signal is along the real axis while the other signal is along the imaginary axis. By combining the signals in the proper ratio, one can cause the resultant signal to rotate in the complex plane, thus shifting the phase of the output relative to the input.

Next we give a block diagram of the RF electronics for both the cyclotron and the buncher.

CYCLOTRON - BUNCHER
RF ELECTRONICS
LAYOUT

- Components need to be purchased or made
- Components already exist

F.4. Conclusions:

This concludes the design of a simple buncher for use with the Berkeley Small Cyclotron. In summary, the buncher should boost the efficiency of the system by a factor of five which will allow the dating of archaeological samples in a practical amount of time. Also we have discussed a variation on the simple buncher, the gap buncher which employs three grids and a square wave, which allows for perfect linear bunching of 50% of the beam. Although perfect bunching is not necessary for the small cyclotron, the gap buncher may prove useful in other accelerators.

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Appendix G: Counting Rates and Sample Sizes

G.1 Errors

The fundamental equation for isotopic decay is (Faure, 1977):

$$-\frac{dN}{dt} \propto \lambda N \quad (\text{G.1})$$

where N is the number of atoms of the radioisotope at a time t . The number of isotopes decaying in a fixed time varies linearly with the total number of isotopes present. The decay constant λ is related to the half life $\tau_{1/2}$:

$$\lambda = \frac{\ln 2}{\tau_{1/2}} \quad (\text{G.2})$$

Equation (G.1) may be re-expressed as:

$$\frac{dN}{N} \propto -\lambda dt \quad (\text{G.3})$$

showing that the fractional change in N is a linear function of time. This equation may also be used, however, in a dating context to relate the fractional error in measuring the number of decays to the associated error in the date. Interpreting (G.3) in this way, we find the interesting result that a given fractional measurement error translates into a fixed time error, independent of sample age. For ^{14}C , with $\tau_{1/2}=5730$ years, we find:

Table G.1

Errors in Radioisotope Measurements

Measurement Error	Date Error	Counts Needed
10%	827 yrs	100
3%	248 yrs	1000
1%	83 yrs	10,000
0.3%	25 yrs	100,000

The precision of measurement which is necessary is thus determined by the required precision of age determination. Assuming that systematic errors are eliminated, the remaining measurement errors are primarily due to counting statistics. An exponential

decay results in a Poisson distribution of counts, with an RMS error of $\sqrt{N}$ for large N . The number of counts needed is shown in Table G.1.

G.2 Counting Rates

The number of atoms counted depends on the number of atoms available and on the efficiency of the measurement process.

$$N_{\text{actual}} = \eta N_{\text{available}} \quad (\text{G.4})$$

Of the available atoms, only a fraction of them will be ionized and exit the ion source as countable ions. The rest will be lost in the ion source or will exit as molecular ions or as ions of incorrect charge state. It will be convenient to write efficiency, η , as the product of two terms:

$$\eta = \eta_{\text{source}} \eta_{\text{accelerator}} \quad (\text{G.5})$$

where η_{source} is the efficiency of correctly ionizing sample atoms and $\eta_{\text{accelerator}}$ is the efficiency of the rest of the system. Hence:

$$N_{\text{ionized}} = \eta_{\text{source}} N_{\text{available}} \quad (\text{G.6})$$

But N_{ionized} is related to the output charge Q :

$$N_{\text{ionized}} = \frac{Q}{e} = \frac{rQ_{\text{total}}}{e} \quad (\text{G.7})$$

where r is the abundance ratio (fraction of current which is the desired species) and e is the electron charge. (It is assumed here that atoms are only singly-ionized.)

Substituting (G.5,6,7) into (G.4):

$$N_{\text{actual}} = \eta N_{\text{ionized}} = \frac{rQ_{\text{total}}}{e} \quad (\text{G.8})$$

The number of ions, N_{actual} , can be converted to count rate, f_{actual} , by dividing by count time:

$$f_{\text{actual}} = \frac{\eta_{\text{accelerator}} r I}{e} \quad (\text{G.9})$$

where I is the total beam current.

For a radioisotope, the abundance ratio r can be expressed as r_0 , the modern abundance, times an exponential factor, following directly from integration of the fundamental equation (G.1):

$$N = N_0 e^{-\lambda t}, \text{ or } r = r_0 e^{-\lambda t} \quad (\text{G.10})$$

If this is substituted into (G.9), the count rate may be expressed in terms of the sample age:

$$f_{\text{actual}} = \frac{\eta_{\text{accelerator}} I r_0 e^{-\lambda t}}{e} \quad (\text{G.11})$$

The time T required to make a measurement depends on the number of counts needed and the count rate:

$$T = \frac{N_{\text{actual}}}{f_{\text{actual}}} = \frac{N_{\text{actual}} e}{\eta_{\text{accelerator}} I r_0 e^{-\lambda t}} \quad (\text{G.12})$$

Thus the results of the previous section do not tell the whole story. Although a given fractional error results in a given time error, independent of the age of the sample, we see that older samples require longer counting times.

For carbon, the modern abundance ratio of $^{14}\text{C}/^{12}\text{C}$ is about 1.2×10^{-12} . Substituting for this and for electron charge in (G.12):

$$T = \frac{N_{\text{actual}} 1.3 \times 10^{-7}}{\eta_{\text{accelerator}} I_{\text{carbon}} e^{-\lambda t}} \quad (\text{G.13})$$

The efficiency of the small cyclotron, $\eta_{\text{accelerator}}$, is about 5×10^{-5} (see section 5.1). The General Ionex ion source is capable of producing carbon currents of over $10 \mu\text{A}$. Assuming $10 \mu\text{A}$ output, (G.13) becomes:

$$T = \frac{(270 \text{ sec}) N_{\text{actual}}}{e^{-\lambda t}} \quad (\text{G.14})$$

Thus one atom is counted about every 5 minutes for modern carbon. As mentioned in the text, this rate is much too low. But it should be possible to increase it by 2 orders of magnitude by making the modifications discussed in the text; then we would see a count every 3 seconds. This would be quite practical; one could make a 3% measurement on modern material in less than an hour.

G.3 Sample Sizes

The number of atoms necessary in the sample is easily calculated:

$$N = \frac{N_{\text{actual}}}{\eta r} = \frac{N_{\text{actual}}}{\eta_{\text{source}} \eta_{\text{accelerator}} r_0 e^{-\lambda t}} \quad (\text{G.16})$$

Converting this to sample size, we obtain:

$$m = \frac{ZN}{N_A} = \frac{ZN_{\text{actual}}}{\eta_{\text{source}} \eta_{\text{accelerator}} N_A r_0 e^{-\lambda t}} \quad (\text{G.16})$$

where N_A is Avagadro's number, 6.023×10^{23} g/mol and Z is atomic number. The ion source that we used should have an efficiency of roughly 3%. Substituting these values into (G.16), we have for carbon ($Z=12$ for the dominant isotope):

$$m = \frac{(1.1 \times 10^{-5} \text{g}) N_{\text{actual}}}{e^{-\lambda t}} \quad (\text{G.17})$$

Thus about $10 \mu\text{g}$ of C is needed per count for modern carbon with the assumed parameters. Again, if the efficiency is increased by two orders of magnitude, 100ng would be needed per count. Since the present count rate is about 5 min per count at $10 \mu\text{A}$ (G.14), we see that the source burns about $2 \mu\text{g}$ of carbon per minute when operating at $10 \mu\text{A}$.

G.4 Tracer Studies

Thus far, ^{14}C measurements have been discussed in a dating context. If instead, ^{14}C is being used as a tracer, the equations are modified slightly. The abundance ratio is not determined by (G.10), but by the dynamics of the system to be traced, the amount of ^{14}C injected, and any subsequent dilutions. Thus, (G.14) and (G.17) may be written respectively as:

$$T = (270 \text{ sec}) N_{\text{actual}} \frac{r}{r_0} \quad (\text{G.18})$$

$$m = (1.1 \times 10^{-5} \text{g}) N_{\text{actual}} \frac{r}{r_0} \quad (\text{G.19})$$

where r is the abundance ratio of $^{14}\text{C}/^{12}\text{C}$ in the sample, r_0 is the modern ratio (1.2×10^{-12}), and the currents and efficiencies are as stated above.

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