

# Derivation Of Probabilistic Damage Definitions From High Fidelity Deterministic Computations

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# DERIVATION OF PROBABILISTIC DAMAGE DEFINITIONS FROM HIGH FIDELITY DETERMINISTIC COMPUTATIONS

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#### **ABSTRACT**

This paper summarizes a methodology used by the Underground Analysis and Planning System (UGAPS) at Lawrence Livermore National Laboratory (LLNL) for the derivation of probabilistic damage curves for US Strategic Command (USSTRATCOM).

UGAPS uses high fidelity finite element and discrete element codes on the massively parallel supercomputers to predict damage to underground structures from military interdiction scenarios. These deterministic calculations can be riddled with uncertainty, especially when intelligence, the basis for this modeling, is uncertain. The technique presented here attempts to account for this uncertainty by bounding the problem with reasonable cases and using those bounding cases as a statistical sample. Probability of damage curves are computed and represented that account for uncertainty within the sample and enable the war planner to make informed decisions.

This work is flexible enough to incorporate any desired damage mechanism and can utilize the variety of finite element and discrete element codes within the national laboratory and government contractor community.

#### 1.0 Introduction And Background

The Underground Analysis and Planning System (UGAPS) is a capability at Lawrence Livermore National Laboratory (LLNL) derived from the combination of ground shock, discrete element, and structural response modeling expertise. UGAPS is under contract to provide USSTRATCOM with high fidelity computational solutions on targets of interest, but the output from high fidelity finite element and discrete element analysis is deterministic in nature. In order to be compatible with STRATCOM's current

probabilistic war planning system, UGAPS must develop a methodology to translate deterministic analysis into probabilistic curves.

The methodology presented here is complementary to the work being performed for the Improved Groundshock Vulnerability Number (IGVN) program, where the damage metrics are peak strain and percent (%) tunnel closure.

## 2.0 CAVEATS

Presented here is a methodology to populate a statistical model with computational and experimental data. Much like the Monte Carlo technique, the model will improve with every additional data point that can be included. Data points include computational simulations as well as experimental data and lessons learned from the Tunnel Target Defeat ACTD. As such, this model accommodates computational uncertainty, intelligence uncertainty, and experimental validation.

Conversely, there exists a level of uncertainty from professional engineering judgment that is inserted during intelligence production and interpretation, as well as with the building of computational models. This uncertainty is difficult to bound, especially since UGAPS is the end user – not the producer – of intelligence data. Consequently, this uncertainty is not accounted for in the model presented here.

Further, this methodology assumes a "direct hit", thus, ignoring the Circular Error Probable (CEP) of a weapon. CEP uncertainty is a spatial phenomenon and is handled separately. Therefore, the STRATCOM planning metric, Damage Expectancy (DE) is the product of Probability of Severe Damage (PSD) as described in this paper, probability of getting within the CEP, and Probability of Arrival (PA) as defined by the weapon/delivery system reliability.

**Destroyed:** A damage level that renders the critical element to a smoking pile of rubble. [author's definition]

**Severe Damage:** A damage level that requires essentially complete reconstruction or replacement of one or more critical major elements of the target, plus major reconstruction repair, or replacement of associated structures or equipment before any function can be performed. Severe Damage precludes the use of the target for any functional purpose. [PVH]

Moderate Damage: A damage level that requires major repairs to one or more critical elements of the target, plus major reconstruction, repair, or replacement of associated structures or equipment before the designed function can be performed. Moderate damage precludes effective use of the target for its intended functional purpose. [PVH]

**Light Damage:** A damage level that does not significantly reduce the target's functional capability but requires some repairs to restore the target to complete usefulness. [PVH]

**Functional Kill:** A damage level that precipitates "a significant reduction in a [target's] capability to perform its military function for a militarily significant period of time" [DTRA]. Each critical element/node/structure has individual damage criteria and associated recuperation time.

[PVH] (C/NSI) "Physical Vulnerability Handbook for Nuclear Weapons (U)" DIA OGA-2800-23-92, I-10-11. [DTRA] "Meeting Series on Full Dimensional Defeat of HDBT Targets: Final Report" 28 February 2001.

### 3.0 DAMAGE DEFINITIONS FOR RANGES OF DEFEAT

The first step in determining statistical methodologies for defeat is to establish clear and consistent definitions of defeat. These definitions should not be specific to either nuclear or non-nuclear global strike missions so that the methodology can be applied in the comparison of Global Strike options.

Fortunately, most of the relevant damage definitions exist and a complete set is created by a little historical literature search. These qualitative definitions are listed in Figure 1 (previous page).

Although the qualitative damage metrics get the model started, they do not lead to the final answer. It is necessary that the metrics in Figure 1 correspond to quantitative numbers that are also consistent and universal. These quantitative metrics now bridge the gap between the level of damage and the specific target and, once again, they should not be specific to either nuclear or non-nuclear Global Strike missions so that the methodology can be applied in the comparison of strike options.

Specific quantitative` metrics are defined by the military, and they are available in the Bomb Damage Assessment (BDA) Handbook. Figure 2 has two such definitions:

**Buildings**, % of target element area damaged, "collapse of the structural framing members within steel of concrete framed building is not necessary to achieve the levels of physical damage" [BDA]

-DESTROYED: 75-100% -SEVERE DAMAGE: 45-75% -MODERATE DAMAGE: 15-45% **Bunkers**, fraction of bunker roof/side walls collapsed [BDA]

-DESTROYED: > 1/3

-SEVERE DAMAGE: < 1/3

–MODERATE DAMAGE: weapon penetration or any evidence of non trivial shock arrival

[BDA] Defense Intelligence Report, "BDA Quick Guide" DI-2820-4-03, February 2003.

Figure 2: Quantitative Damage Metrics (QtDM) for buildings and bunkers

By means of comparison, if the QtDM for "Buildings" in Figure 2 were applied to the June 1996 Khobar Tower bombing in Saudi Arabia (Figure 3), the building is considered moderately damaged because less than 45% of the exterior walls and cladding were damaged. On the other hand, the World Trade Center Towers targeted on September 11, 2001 would be considered destroyed.



Figure 3: Khobar Towers' building 131

# 4.0 DAMAGE METRICS AND FINITE ELEMENT ANALYSIS

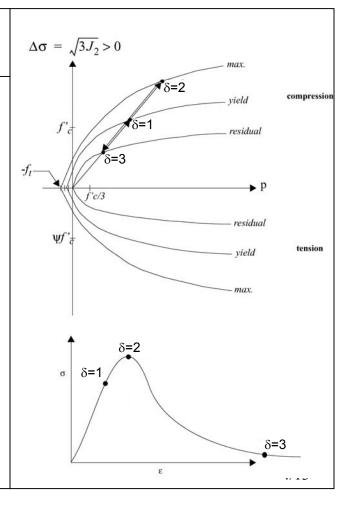
As previously mentioned, the BDA Handbook is being adopted here as the primary reference for QtDM. In this handbook, damage to a plethora of structures (including buildings and bunkers) is quantified for soldiers on the ground post-operation. The handbook specifically quantifies the total mass or volume fractions that have exceeded the yield strength of a material. In finite element constitutive models it is trivial to extract these fractions based on the evolution of plasticity variables after the initial yield strength is reached. A specific case study is presented in Figure 4.

Furthermore, this statistical methodology can use a combination of quantitative state variables to determine defeat. Some of these include relative volume (for bulking, combined with localized increases in nodal velocity could indicate spall), steel rebar strain (for plastic deformation and failure), and rubble volume (only for noncontinuum codes).

This methodology supports the user in the use of sound engineering professional judgment to select the appropriate damage metric (or combination of metrics) for the individual problem. It is imperative that the user exercise due diligence that the damage metric is appropriate and consistent (e.g. users must understand implications of using free field stress vs. free field strain or peak overpressure vs. peak impulse as a defeat criteria).

Figure 4: Case Study: The Karigozian and Case concrete model implemented in DYNA3D [1]

- Concrete is of particular interest because of its ubiquity in underground structure construction.
- The Karigozian & Case concrete model has a residual strength curve and parameter,  $\delta$ , that is equal to 1 when the concrete is on the yield surface (i.e.  $\delta$ =1 when  $\sigma$  =  $\sigma$ <sub>y</sub>) and follows the evolution of the concrete hardening and softening under load.
- o As a first data point, the percentage of concrete volume with  $\delta >=1$ , correlates directly to an aforementioned *quantitative* damage metric (QtDM).



#### 5.0 PROBABILITY OF DAMAGE DEFINITION

Probability of Damage (PD) is defined as the number of times a target is damaged to a specified level (i.e. Severe) divided by the number of shots fired at the target [2]. In this paradigm, the burst/source load remains the same and the target changes with a "population of targets with a hardness distribution specified by the VNTK" [2]. Furthermore, historical statistical analysis of Hiroshima and Nagasaki suggest that the damage expectancy will be log-normally distributed with the burst/source load for a given quantitative damage metric [3].

It is important to note that the PV Handbook leaves little ambiguity regarding the nature of damage expectancy and the relationship to target hardness uncertainty. It is clear that there is no spatial relationship within the target boundaries. That is, damage expectancy cannot come from a range of expectancies at different locations in a target footprint for a particular driver; it comes from a range of expectancies for the same footprint and the same driver, only because the hardness of a target has uncertainty associated with it.

# 6.0 METHODOLOGY: THE LOGNORMAL DISTRIBUTION

Beginning with Hiroshima and Nagasaki historical data analysis [3] suggesting that the damage expectancy will be lognormally distributed with the burst/source load for a given quantitative damage metric, and using the quantitative damage metrics that have been itemized in the previous sections, the methodology characterization continues with lognormal distribution definitions.

When looking into the lognormal distribution, it is important to acknowledge the Central Limit Theorem of the Normal Distribution directs that a sample set should have >30 samples in order to be considered statistically significant. All analysis presented here should keep this factor close at hand: statistical interpretations to whole populations from sample sets are only as good as the quality (and relevance) of the sample size.

Assume that a quantity is lognormally distributed,  $\Lambda(\mu, \sigma^2)$ , where  $\mu$  is the mean of the distribution and  $\sigma$  is the standard deviation of the distribution and  $\sigma^2$  is the variance of the distribution. Given experimental or computational data, the mean and standard deviation can be estimated, and subsequent scenarios can be predicted by interpolating along the curves defined by the estimation of the mean and standard deviation. The minimum variance unbiased estimators of  $\mu$  and  $\sigma^2$  are  $m_1$  and  $s_1^2$  respectively [4]. If  $x_i$  are the observations, then from the Method of Maximum Likelihood:

$$y_{i} = \log x_{i}$$

$$m_{1} = \overline{y} = \frac{1}{n} \sum_{i=1}^{n} y_{i}$$

$$s_{1}^{2} = v_{y}^{2} = \frac{1}{n-1} \sum_{i=1}^{n} (y_{i} - \overline{y})^{2}$$

Furthermore, the mean and variance can be defined as the first and second derivative (respectively) of the moment of the distribution. Aitchison defines the  $j^{th}$  moment for the lognormal distribution as:

 $e^{j\mu+\frac{1}{2}j^2\sigma^2}$ 

Therefore:

$$\alpha \equiv M_1(mean) = e^{\mu + \frac{1}{2}\sigma^2}$$
$$\beta^2 \equiv M_2(variance) = e^{2\mu + \frac{1}{2}\sigma^2}$$

Thus, the lognormal distribution can also be represented as  $\Lambda(\alpha, \beta^2)$  which is a form that is seen frequently in Improved Groundshock Vulnerability (IGVN) literature. The parameters  $\alpha$  and  $\beta$  have corresponding minimum unbiased estimators of  $a_I$  and  $b_I^2$  that are significantly more complicated and will not be reprinted here.

To check the assumption that the lognormal distribution represents the available data, this methodology employs the Chi-Squared,  $\chi^2$ , Goodness of Fit test. The  $\chi^2$  test establishes a confidence measure to determine if, indeed, the parameters calculated, and the resulting lognormal distribution, fit the actual data. In this test, a  $\chi^2$  number is computed that relates the square of the differences between the actual frequency and the frequency predicted by the parent distribution (lognormal in this case). From Young [5]:

$$\chi^{2} = \sum_{n} \frac{(Nf(n) - F(n))^{2}}{Nf(n)}$$

Where N is the number of classes, f(n) is the probability density function predicted by the parent distribution, and F(n) is the cumulative density function of the actual data.

Given the  $\chi^2$  and the degrees of freedom,  $\nu$ , which is (k-1) [where k is the number of classes used in computing the  $\chi^2$ ], a glance at the cumulative distribution of Chi-Square indicates the likelihood that the data "fits". Typical examples for 2 degrees-of-freedom are  $\chi^2$ =0.02 for 99% confidence,  $\chi^2$ =0.58 for 75% confidence, and  $\chi^2$ =1.39 for 50% [6]. Modern statistical computation programs can calculate an exact confident percentage from  $\chi^2$  and  $\nu$ .

# 7.0 APPLICATION OF METHODOLOGY TO HARD HAT AND PILE DRIVER

The aforementioned methodology can now be applied to the community accepted benchmark tests: Hard Hat (HH) and Pile Driver (PiD). HH and PiD were nuclear tests

conducted in granite at the Nevada Test site and they included underground structures of varying design and hardness. Each test had three radial drifts (intuitively named A, B, and C Drift) at varying distances away from the device. The tests were designed so that the letter drift in each test saw a similar side-on shock peak strength; therefore the HH and PiD tests could be lumped together and the structures in the test could be treated as "a population of targets with a hardness distribution" as directed by the PV Handbook. There is a significant amount of uncertainty regarding the actual shock strength and freefield stress in the drifts for these tests. The estimates from Alfred Hendron are used based on the recommendation of the Hard Rock Database Review (HRDR) final review [7] because all the relevant available estimates fit within A. Hendron's estimated range. Hendron's shock strength estimates at the three different drifts for the two tests are shown in Table 1. To normalize the data ranges from the two tests, an assumption was made that the data within the range is normally (Gaussian) distributed and that there is a 99% confidence interval that all the data falls within this range. Using statistical relationships for the Normal Distribution, the expected value (mean) is calculated and shown in Table 1. The expected value for each test is averaged to get three values for each drift and this number is used as the "burst/source load" for each of the drifts. That gives a shock magnitude of 1.3, 3.2, and 6.9 kBar for the C, B, and A drift respectively. To reiterate, with this methodology, the damage expectancy for the range of target hardness in each drift will be lognormally distributed.

Table 1: Hendron free field stress estimates (in kiloBar)

Drift	Bottom of	Top of range	Expected Value	
Dilli		Top of failige	- , .	
	range		(μ)	
	На	ard Hat		
C	0.5	2.0	1.25	
В	1.2	4.1	2.65	
A	2.6	9.0	5.80	
Pile Driver				
С	0.6	2.2	1.4	
В	1.5	5.9	3.7	
A	3.4	12.4	7.9	

Tables 2, 3 and 4 call out the test and the state of damage as described in the literature [8] either: Destroyed (D), Severely Damaged (SD), Moderately Damaged (MD), or Lightly Damaged (LD). The heading of each table calls out the calculated Probability of Severe Damage (PSD) based on each data set. It is these PSD's, with the corresponding peak free-field stress, that will be fit to a lognormal parent distribution.

Table 2: Drift A 6.9 kbar N=36 PSD=0.99

Table 2: Drift A, 6.9 kbar, N=36, PSD=0.99				
test/structure	damage			
НН АЗа	D			
HH A3b	D			
HH A3c	SD			
HH A3d	D			
HH A4a	D			
HH A4b	D			
HH A4c	D			
HH A5a	D			
HH A5b	D			
HH A5c	D			
PD AR1	D			
PD AR2	D			
PD AR3	D			
PD AR4	D			
PD AR5	D			
PD AR6	D			
PD AR7	D			
PD AR8	D			
PD AR9	D			
PD AR10	D			
PD AR11	D			
PD AL1	D			
PD AL2	D			
PD AL3	D			
PD AL4	D			
PD AL5	D			
PD AL6	D			
PD AL7	D			
PD AL8	D			
PD AL9	D			
PD AL10	D			
PD AL11	D			
PD AL12	D			
PD AL13	D			
PD AL14	D			
PD AL15	D			
PD AL14	D			

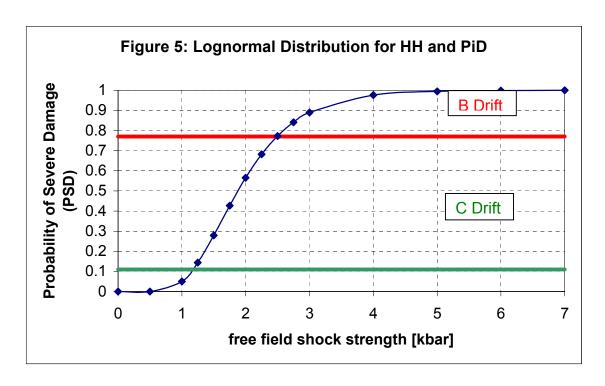
Table 3: drift B, 3.2 kbar, N=39, PSD 0.77

Table 3: drift B, 3.2 kbar, N=39, PSD 0.77				
test/structure	damage			
HH B2a	D			
HH B2b	D			
HH B3a	D			
HH B3b	SD			
HH B3c	LD			
HH B3d	D			
HH B4a	SD			
HH B4b	SD			
HH B4c	SD			
HH B5a	D			
HH B5b	SD			
HH B5c	D			
НН В6а	D			
HH B6b	SD			
HH B6c	D			
PD BL1	D			
PD BL2	D			
PD BL3	D			
PD BL4	D			
PD BL5	D			
PD BL6	D			
PD BL7	SD			
PD BL8	D			
PD BL9	SD			
PD BL10	MD			
PD BR1	D			
PD BR2	D			
PD BR3	D			
PD BR4	D			
PD BR5	D			
PD BR6	SD			
PD BR7	SD			
PD BR8	LD			
PD BR9	LD			
PD BR10	LD			
PD BR11	LD			
PD BR12	LD			
PD BR13	LD			
PD BR14	LD			

Table 4: Drift C, 1.3 kbar, N=19, PSD=0.11

	, , , , , , , , , , , , , , , , , , , ,
test/structure	damage
HH C2a	SD
HH C2b	SD
HH C3a	LD
HH C3b	LD
HH C3c	LD
HH C3d	LD
HH C4a	LD
HH C4b	LD
HH C4c	LD
HH C6a	LD
HH C6b	LD
HH C6c	LD
PD CR7	LD
PD CR1	LD
PD CR1A	LD
PD CR2	D
PD CR3	LD
PD CR4	MD
PD CR-T	MD
	·

Combining all the data, and using the Method of Maximum Likelihood, the minimum variance unbiased estimators of  $\mu$  and  $\sigma^2$  are calculated ( $m_I$ =0.6233 and  $s_I^2$ =0.3739) and plotted in Figure 5. A Chi Squared ( $\chi^2$ ) Goodness of Fit test shows that the parameters fit within that of the Hendron estimates of Table 1 with a confidence of 98%, the corresponding  $\chi^2$ =0.04. Once the parameters are fit, it is trivial to interpolate PSD's at other shock strengths. For this particular example, the shock strength required to produce a PSD=0.7 is 2.3 kBar.



For the IGVN program, a series of finite element computations were performed to recreate tunnel closure seen in HH and PiD and to calculate % peak closure for a subset of structures in these events. The IGVN community theorizes that the damage mechanism for tunnel defeat is peak strain (% peak closure), NOT peak stress (kBar) as shown in Figure 5. Combining the data from IGVN with that of Tables 2, 3 & 4, the same methodology can be applied to create damage curves based on % peak (maximum) tunnel closure – for the same set of tests. That data is shown in Tables 6, 7 & 8 (next page).

Below, in Table 5 the % peak closure data is "binned" by the % closure to create classes for fitting lognormal parameters.

Table 5: Data "bins" for HH and PiD

Peak closure (%)	PSD	# data points supporting
0.5-1 %	0.375	8
1.01-2 %	0.5	2
2.01-3 %	0.5	6
3.01-4 %	.99	4
4.01-5 %	.99	1
5.01-6 %	N/A	0
6.01-7 %	.99	1
7.01-8 %	.99	1
8.01-9 %	.99	2
9.01-11.1 % (and up)	.99	3

Table	6.	Drift	Α	6.9	khar	N = 36

Table 6. Dill A,		00
test/structure	peak closure (%)	damage
НН АЗа	4.2	D
HH A3b		D
HH A3c		SD
HH A3d		D
HH A4a		D
HH A4b		D
HH A4c		D
HH A5a		D
HH A5b		D
HH A5c		D
PD AR1	11.1	D
PD AR2	10.3	D
PD AR3		D
PD AR4		D
PD AR5	10.4	D
PD AR6		D
PD AR7		D
PD AR8		D
PD AR9		D
PD AR10		D
PD AR11	3.7	D
PD AL1		D
PD AL2		D
PD AL3		D
PD AL4		D
PD AL5	8.8	D
PD AL6		D
PD AL7		D
PD AL8		D
PD AL9	7.7	D
PD AL10		D
PD AL11	6.9	D
PD AL12		D
PD AL13	8.5	D
PD AL14		D
PD AL15		D

test/structure         closure (%) closure (%)         damage           HH B2a         2.5         D           HH B2b         2.5         D           HH B3a         1.5         D           HH B3b         SD         LD           HH B3c         LD         LD           HH B3d         D         D           HH B4a         SD         D           HH B4b         SD         D           HH B5a         D         D           HH B5b         SD         D           HH B5c         D         D           HH B6a         D         D           HH B6b         SD         D           HH B6b         SD         D           HH B6c         D         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BR1         D         D           PD BR2 <t< th=""><th colspan="5">Table 7: drift B, 3.2 kbar, N=39</th></t<>	Table 7: drift B, 3.2 kbar, N=39				
HH B2a         2.5         D           HH B2b         2.5         D           HH B3a         1.5         D           HH B3b         SD           HH B3c         LD           HH B3d         D           HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL7         SD           PD BL8         D         D           PD BL9         SD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD	1 1/ . 1 1	peak			
HH B2b         2.5         D           HH B3a         1.5         D           HH B3b         SD           HH B3c         LD           HH B3d         D           HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR8					
HH B3a         1.5         D           HH B3b         SD           HH B3c         LD           HH B3d         D           HH B4a         SD           HH B4b         SD           HH B5c         D           HH B5b         SD           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR1					
HH B3b         SD           HH B3c         LD           HH B3d         D           HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD         D           PD BR11         LD         D <tr< td=""><td></td><td></td><td></td></tr<>					
HH B3c         LD           HH B3d         D           HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         D           PD BR11		1.5			
HH B3d         D           HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD         D           PD BR10         2.5         LD           PD B					
HH B4a         SD           HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD         D           PD BR11         LD         D					
HH B4b         SD           HH B4c         SD           HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         D           PD BR11         LD         D <td></td> <td></td> <td></td>					
HH B4c         SD           HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         SD           PD BL8         D         D           PD BL9         SD         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         D           PD BR11         LD         D           PD BR12         2.8<					
HH B5a         D           HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         SD           PD BL8         D         D           PD BL9         SD         PD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         LD           PD BR11         LD         D           PD BR12         2.8         LD           PD					
HH B5b         SD           HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         PD           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         LD           PD BR11         LD         D           PD BR12         2.8         LD           PD BR13         LD         D <td></td> <td></td> <td></td>					
HH B5c         D           HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         D           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD         D           PD BR11         LD         D           PD BR12         2.8         LD           PD BR13         LD         D					
HH B6a         D           HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL9         SD         D           PD BL9         SD         D           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         D           PD BR7         SD         D           PD BR9         LD         D           PD BR10         2.5         LD           PD BR12         2.8         LD           PD BR13         LD         D					
HH B6b         SD           HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         PD           PD BL9         SD         PD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR9         LD         LD           PD BR11         LD         D           PD BR12         2.8         LD           PD BR13         LD         D					
HH B6c         D           PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         PD           PD BL9         SD         PD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         PD           PD BR9         LD         LD           PD BR11         LD         PD           PD BR13         LD         LD	НН В6а		D		
PD BL1         3.4         D           PD BL2         3.2         D           PD BL3         D         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL8         D         D           PD BL9         SD         PD           PD BL9         SD         PD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR8         2.6         LD           PD BR10         2.5         LD           PD BR11         LD         D           PD BR13         LD         D	HH B6b		SD		
PD BL2         3.2         D           PD BL3         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         SD           PD BL8         D         D           PD BL9         SD         SD           PD BL9         SD         D           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         PD           PD BR8         2.6         LD           PD BR10         2.5         LD           PD BR11         LD         PD BR13         LD			D		
PD BL3         D           PD BL4         3.3         D           PD BL5         D         D           PD BL6         D         D           PD BL7         SD         D           PD BL7         SD         D           PD BL9         SD         D           PD BL9         SD         D           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR8         2.6         LD           PD BR10         2.5         LD           PD BR11         LD         D           PD BR13         LD	PD BL1	3.4	D		
PD BL4         3.3         D           PD BL5         D           PD BL6         D           PD BL7         SD           PD BL8         D           PD BL9         SD           PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         SD           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR11         LD         D           PD BR13         LD         D	PD BL2	3.2	D		
PD BL5         D           PD BL6         D           PD BL7         SD           PD BL8         D           PD BL9         SD           PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         SD           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR12         2.8         LD           PD BR13         LD         D	PD BL3		D		
PD BL6         D           PD BL7         SD           PD BL8         D           PD BL9         SD           PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         SD           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR12         2.8         LD           PD BR13         LD	PD BL4	3.3	D		
PD BL7         SD           PD BL8         D           PD BL9         SD           PD BL9         SD           PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         PD           PD BR8         2.6         LD           PD BR9         LD         PD           PD BR10         2.5         LD           PD BR11         LD         PD           PD BR13         LD         LD	PD BL5		D		
PD BL8         D           PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         PD BR8         LD           PD BR9         LD         LD           PD BR10         2.5         LD           PD BR11         LD         PD BR12         2.8           PD BR13         LD         LD	PD BL6		D		
PD BL9         SD           PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         SD           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR11         LD         D           PD BR13         LD         D	PD BL7		SD		
PD BL10         1.6         MD           PD BR1         D         D           PD BR2         D         D           PD BR3         D         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR11         LD         D           PD BR13         LD         D	PD BL8		D		
PD BR1         D           PD BR2         D           PD BR3         D           PD BR4         2.9         D           PD BR5         D           PD BR6         SD           PD BR7         SD           PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BL9		SD		
PD BR2         D           PD BR3         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR11         LD         D           PD BR12         2.8         LD           PD BR13         LD         D	PD BL10	1.6	MD		
PD BR3         D           PD BR4         2.9         D           PD BR5         D         D           PD BR6         SD         SD           PD BR7         SD         D           PD BR8         2.6         LD           PD BR9         LD         D           PD BR10         2.5         LD           PD BR11         LD         D           PD BR12         2.8         LD           PD BR13         LD         D	PD BR1		D		
PD BR4         2.9         D           PD BR5         D           PD BR6         SD           PD BR7         SD           PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR2		D		
PD BR5         D           PD BR6         SD           PD BR7         SD           PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR3		D		
PD BR6         SD           PD BR7         SD           PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR4	2.9	D		
PD BR7         SD           PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR5		D		
PD BR8         2.6         LD           PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR6		SD		
PD BR9         LD           PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR7		SD		
PD BR10         2.5         LD           PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR8	2.6	LD		
PD BR11         LD           PD BR12         2.8         LD           PD BR13         LD	PD BR9		LD		
PD BR12 2.8 LD PD BR13 LD	PD BR10	2.5	LD		
PD BR13 LD	PD BR11		LD		
	PD BR12	2.8	LD		
PD BR14 LD	PD BR13		LD		
	PD BR14		LD		

Table 8: Drift C, 1.3 kbar, N=19

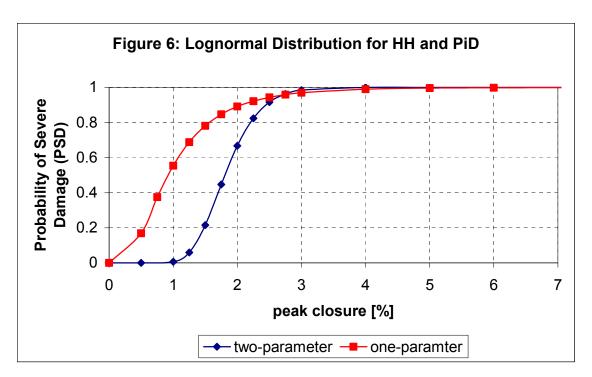
peak closure					
test/structure	(%)	damage			
HH C2a	0.8	SD			
HH C2b	0.8	SD			
HH C3a	0.6	LD			
HH C3b		LD			
HH C3c		LD			
HH C3d		LD			
HH C4a		LD			
HH C4b		LD			
HH C4c		LD			
HH C6a		LD			
HH C6b		LD			
HH C6c		LD			
PD CR7	0.6	LD			
PD CR1	0.9	LD			
PD CR1A		LD			
PD CR2	1	D			
PD CR3	0.9	LD			
PD CR4	0.9	MD			
PD CR-T		MD			

The minimum variance unbiased estimators of  $\mu$  and  $\sigma^2$  are calculated to be  $m_I$ =0.5913 and  $s_I^2$ =0.3739, but unfortunately Chi Squared ( $\chi^2$ ) Goodness of Fit test shows that the parameters do not fit with the full set of data in Table 8. A closer inspection of the Goodness of Fit reveals that a high  $\chi^2$  value is driven by the data under 1% peak closure. When that data was removed from the set, the lognormal distribution with the aforementioned estimators corresponded with 99.9% certainty. Therefore, this

two-parameter estimation is perfectly valid (statistically speaking) for predictions above 1% peak closure.

Since the estimators form the Method of Maximum Likelihood do not create a distribution that fits all the data in this case, an alternative method is utilized to account for the tail of the data (under 1%). A new set of estimators is created using a fixed value of the variance estimator,  $b_1^2$ , and varying the median estimator,  $\Delta D/D_{50}$ , to match the data. This is the same one-parameter fit technique that is employed in the IGVN process and it is quite powerful for this case. The variance used in the IGVN program, based on US underground nuclear test data for tunnels is 0.4 [9]. Using  $b_1^2$ =0.4, the  $\Delta D/D_{50}$  value is calculated to be 0.9 and the correspondence with all the data improves to 99.9%.

For example, if the planning factor for Probability of Severe Damage (PSD) is 0.7 or greater, the two techniques (two-parameter and one-parameter) predict a required closure of 2% and 1.3% respectively. Graphs of the two distributions are plotted in Figure 6. It is obvious that the two-parameter distribution is more conservative for warplanning applications where "good enough" is not "good enough" when nuclear weapons are being employed.



The emphasis of presenting two defeat mechanisms (peak stress and peak strain) is intended to demonstrate the flexibility of the methodology and the relevance of selecting a reasonable damage mechanism as well as doing statistical tests to check the Goodness of Fit of the lognormal distributions that will be used in the war-planning process. This is not a methodology that should be used blindly by the unintelligent or unobservant. The discussion of which damage mechanism is appropriate for this specific application will continue within the confines of the IGVN program.

### 8.0 COLLATERAL EFFECTS AND RECUPERATION TIME

Every burst, source, or driver must have an associated collateral effect and recuperation time based on a Command-defined defeat objective and target footprint. Combining collateral damage, recuperation time, and probability of damage (severe, functional, etc.) - as outlined in this paper - is the only true way to enable a Commander to make educated Global Strike decisions.

Collateral effects and recuperation times are vital in the definition of combined nuclear and conventional scenarios that are required under the Global Strike mission. Recuperation time is the time after an attack during which a target cannot perform its original mission. It has been less relevant in low-fidelity, high volume, attack planning scenarios where the Damage Level Required (DLR) is severe or greater and the number of targets is enormous. The recuperation time for destroyed or severe damage mechanisms require complete reconstruction so recuperation time is long after conflict, but for lighter DLR (i.e., a functional kill that might be required because the collateral effects are unacceptable), recuperation time is for a "military significant period of time" as defined by the Commander. This can be hours, days, or months.

### 9.0 CONCLUSION

This paper has laid out a robust methodology for calculating the probabilities of damage from field and computational data. It is flexible enough to be used for varied damage mechanisms (from functional and light damage to severe damage) and it is this flexibility that will enable the Global Strike planning process. As UGAPS evolves and creates a database of high fidelity simulations for defeat for a variety of targets, these targets can be mixed and matched to provide a distribution of targets to encompass uncertainty on new threats where detailed structural data are not available. As such, the methodology described here becomes more robust as data is produced to populate statistical models and UGAPS becomes a more powerful planning tool.

Finally, it is essential to reiterate that the PD's defined here are only one piece of a larger Global Strike Planning puzzle that accounts for missions when the damage objective could be something other than a smoking hole and collateral effects and recuperation time are significant. It is the combination of collateral effects, recuperation time, and probabilities of damage that create the complete planning symphony.

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