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Correlation of Laser-induced Damage to Phase Objects in Bulk Fused Silica

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ABSTRACT

The Optical Sciences Laser (OSL) Upgrade facility, described in last year's proceedings¹, is a kJ-class, large aperture (100cm²) laser system that can accommodate prototype optical components for large-scale inertial confinement fusion lasers. High-energy operation of such lasers is often limited by damage to the optical components. Recent experiments on the OSL Upgrade facility using fused silica components at 4 J/cm² (351-nm, 3-ns) have created output surface and bulk damage sites that have been correlated to phase objects in the bulk of the material. Optical Path Difference (OPD) measurements of the phase defects indicate the probability of laser-induced damage is strongly dependent on OPD.

INTRODUCTION

Laser-induced damage to optical components is a limitation of high-fluence laser systems such as the National Ignition Facility (NIF), particularly at UV-wavelengths near 350 nm. Previous experiments at LLNL have revealed a bulk defect in Corning 7980 fused silica responsible for damage at relatively low average 350-nm fluences of 2-4 J/cm² (3 ns)². These defects are localized index inhomogeneities that locally focus the laser beam to a much higher fluence, thereby causing output surface damage, see Figure 1. These index inhomogeneities behave like little lenses, or "lenslets", and thus are pure phase objects which scatter light predominantly in the forward direction. Accordingly, these lenslets only become visible when they are backlit by a uniform light source and viewed out of the plane of the object, see Figure 2. These objects are typically on the order of 150- μ m in diameter, so non-destructively locating them on a 40-cm optic can be challenging. As shown in Figure 3, lenslet identification after a damaging laser pulse is quite straightforward with proper lighting. While previous experience with lenslets was limited to post-damage identification, this study aims to non-destructively characterize the lenslet content of 40-cm optics before laser exposure, and then correlate lenslet-induced laser damage to measured lenslet properties.

It should be noted that Corning 7980 fused silica optics are being used throughout the 1- μ m section of NIF. In particular, lenslet-induced damage probability is much less for the 1- μ m optics. Additionally, recent improvements in the glass manufacturing process for 7980 have reduced its lenslet content.

Details of the operation and configuration of the OSL Upgrade laser, where these experiments were performed, are given in Ref. [1]. The optic under test in these experiments is a wedged focus lens, located behind the tripling crystal.

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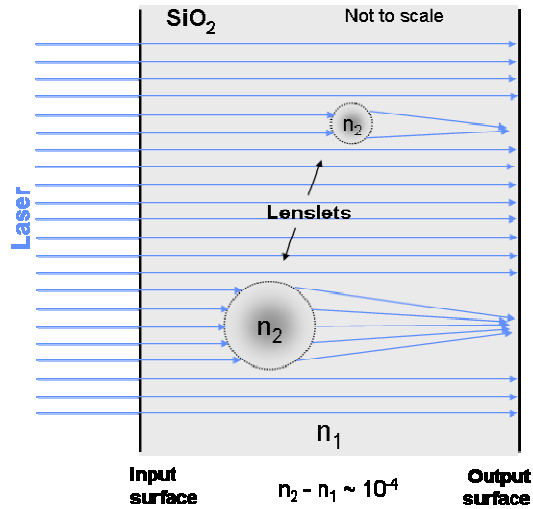


Figure 1. A schematic of the effect of index inhomogeneities on the input laser beam. These defects act like “lenslets” by focusing the incoming laser light towards the output surface.

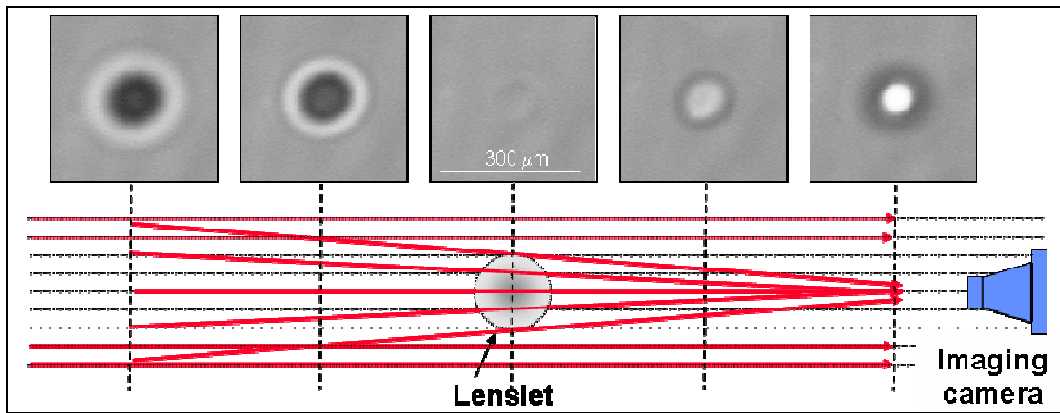


Figure 2. Lenslets are pure phase objects which scatter predominantly in the forward direction, and “disappear” when imaged in-plane. The horizontal dashed lines represent incident rays in the absence of the lenslet (background), while the solid arrows represent the apparent rays as viewed from the imaging camera. The vertical dashed lines represent different image planes along the z-axis.

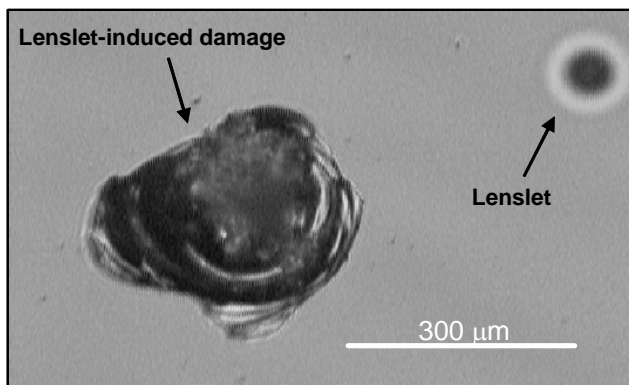


Figure 3. Identification of a lenslet after it has led to laser-induced damage is straightforward with proper backlit imaging. This image of output surface damage was taken looking through the input surface, thus the lenslet is between the damage site and camera in this case.

PRE-CHARACTERIZATION OF THE PHASE DEFECTS

In order to non-destructively characterize the lenslet content of the test optic, a backlit mapping station was constructed in another facility. Ideally, a low-power 3ω laser source would be used for backlighting, so that the transmitted light could be monitored downstream for intensification. In practice, however, noise due to laser speckle prohibited the detection of small features on large optics in a reasonable amount of time. Thus an incoherent halogen source was used along with a narrow (10-nm) bandpass filter. 550-nm green light was used primarily for convenience. Highly uniform illumination was achieved by allowing the filtered source light to diverge greatly so that only the axial rays were used to illuminate the sample. A schematic of the set-up is shown in Figure 4. The key optical components are a light source, a condensing lens, an imaging lens, and a CCD camera.

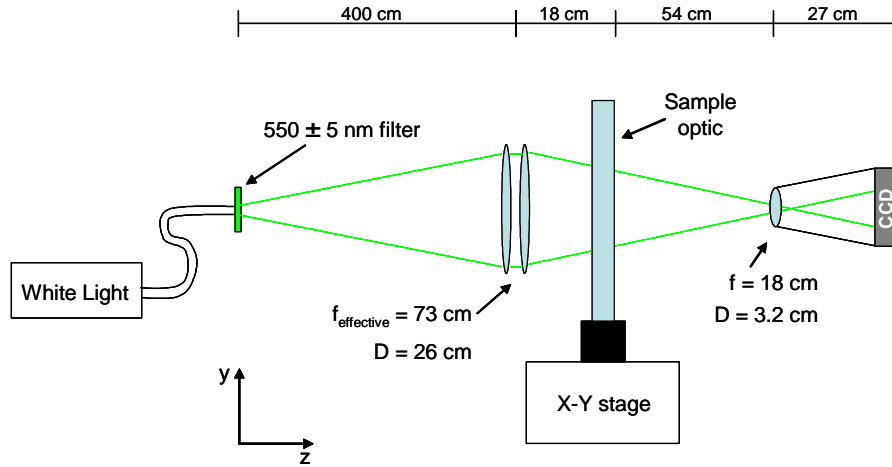


Figure 4. Schematic of backlit mapping set-up used to identify lenslets in 40-cm fused silica optics.

A large x-y stage is used to move the sample. Since the system views the modulation from the features (and not the features themselves), it was determined that a resolution of $25 \mu\text{m}/\text{pixel}$ was sufficient to identify and characterize the relevant features. Using lenses and the CCD camera available on-hand, each image can cover a $\sim 150\text{-mm} \times 150\text{-mm}$ patch of the sample, so that a 3×3 array of images is required to cover the $40\text{-cm} \times 40\text{-cm}$ optic. Each of the nine sub-regions will be referred to as a sector. Currently, the aperture-limiting component is the condensing lens. Optimized optical designs and state-of-the-art CCD cameras could increase the per-image aperture (as well as resolution).

Data is obtained at two separate image planes. This allows modelers to use phase-recovery algorithms to predict the intensification at any plane downstream, as well as locate the feature in the bulk of the material. Preferably, the two images planes would coincide with the optical surfaces of the sample, allowing easier identification of surface artifacts (dust, residue, etc.) which can be otherwise indistinguishable from real bulk lenslets. For this reason, it is important to have the surfaces free of dust and other surface artifacts.

In practice, the image plane nearest the CCD camera (the output surface of the optic relative to the light source) is established by imaging a small ruler placed below the optic in the same plane as the surface. An image is taken of this ruler to establish the resolution. The input surface plane is then established by moving the CCD camera on a rail toward the sample a distance t/n , where t is the sample thickness and n is the refractive index ($n=1.46$ is used for the 550-nm light). The condenser lens is also moved a concomitant distance.

The nine images for the output surface are taken first, the CCD camera and condenser lens are then moved, and the nine input surface images are acquired last. The CCD camera is a BetterLight™ model 6000, which is a 6000×1 pixel array ($12\text{-}\mu\text{m}$ pixels) that is line-scanned to produce a 6000×8000 array. Each 8-bit, grayscale image utilizes 6000×6000 pixels or 35.2 MB, so the total amount of raw data for each optic is almost 640 MB. The scan time for each image is 50 seconds.

Because we are looking for very small lenslets ($\sim 1600 \mu\text{m}^2$) on a very large optic ($\sim 1600 \text{cm}^2$), image analysis is required in order to extract relevant lenslet information. As described above, lenslet mapping data comes in the form of image pairs. One image is taken near the input surface of the optic, the other near the output surface, so that the lenslet is necessarily located between the two images. Pattern-recognition software (currently IPLab®) spatially registers the images in each pair, locates the lenslets within the raw images, and cuts out a 50×50 pixel image from each set to form an image pair for each lenslet. These image pairs are then passed through phase-retrieval algorithms to calculate optical path difference (OPD), proximity to a surface (z), and spatial extent (x, y) of the lenslet. Calibration measurements have been made on a 15-cm test piece with a phase-shifting interferometer to validate the phase-retrieval calculations.

It should be noted that the term *lenslet* will be used hereafter to mean any form of index-variation feature flagged by the pattern-recognition software, including pure lenslets (i.e. pure phase objects), inclusions (i.e. pure amplitude objects), and all combinations in between. Also, since the system uses a pattern-recognition algorithm, there will always be cases of “false positives” (features such as surface dust flagged as lenslets) and “false negatives” (lenslets in the part not identified by the software). Currently, the sensitivity of the system is set such that the latter should be rare (less than 1%), while the former could be on the order of a 10% problem.

The phase-retrieval problem has been extensively studied for several decades. The well-known Gerchberg-Saxton (G-S) algorithm has been analyzed and used in many contexts. A slight extension of this algorithm was found to be suitable for defect characterization in this context. Amplitudes at two distances (f_1, f_2) from an imaging camera are supplied. To determine the phases (ϕ_1, ϕ_2) that reconstruct the complete fields $g=f*\exp(i\phi)$, we propagate to the pure phase plane (where the amplitude vanishes) and estimate the OPD of the scattering object by fitting the spatial phase distribution using the following steps:

- $g_1 = f_1*\exp(i\xi_1)$ where ξ_1 is an initial estimate of the phase at plane 1; propagate to plane 2 using split-step propagation.
- $g_2 = f_2*\exp(i\xi_2)$ replace modulus with measured modulus f_2 at plane 2; propagate backwards to plane 1.
- $g_3 = f_1*\exp(i\xi_3)$ replace modulus with measured modulus f_1 at plane 1; continue until convergence.

An example of the result of this algorithm is shown below in **Figure 5**.

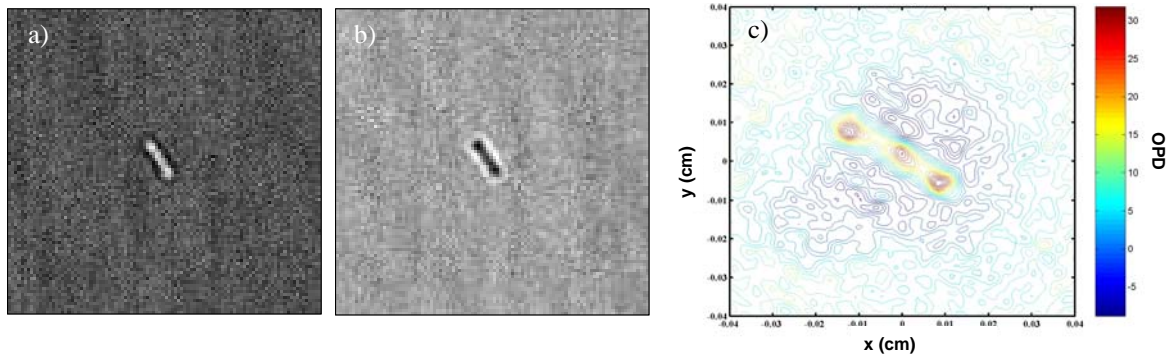


Figure 5. Example of phase-retrieval results (c) for input images (a) and (b).

The results of the pattern-recognition analysis are visually displayed as circles overlaid on a dark-field optic image. The circles locate the positions of lenslets in the sample. Lenslets are numbered according to their brightness (as found in the raw images). The results of the pattern-recognition analysis are shown in Figure 6. A total of 271 lenslets were identified. Examples of the raw mapping data for lenslets are also shown in the Figure. The clear-aperture volume represents $\sim 4800 \text{cm}^3$ of material, so that the volume density of lenslets is $\sim 0.06 \text{cm}^{-3}$.

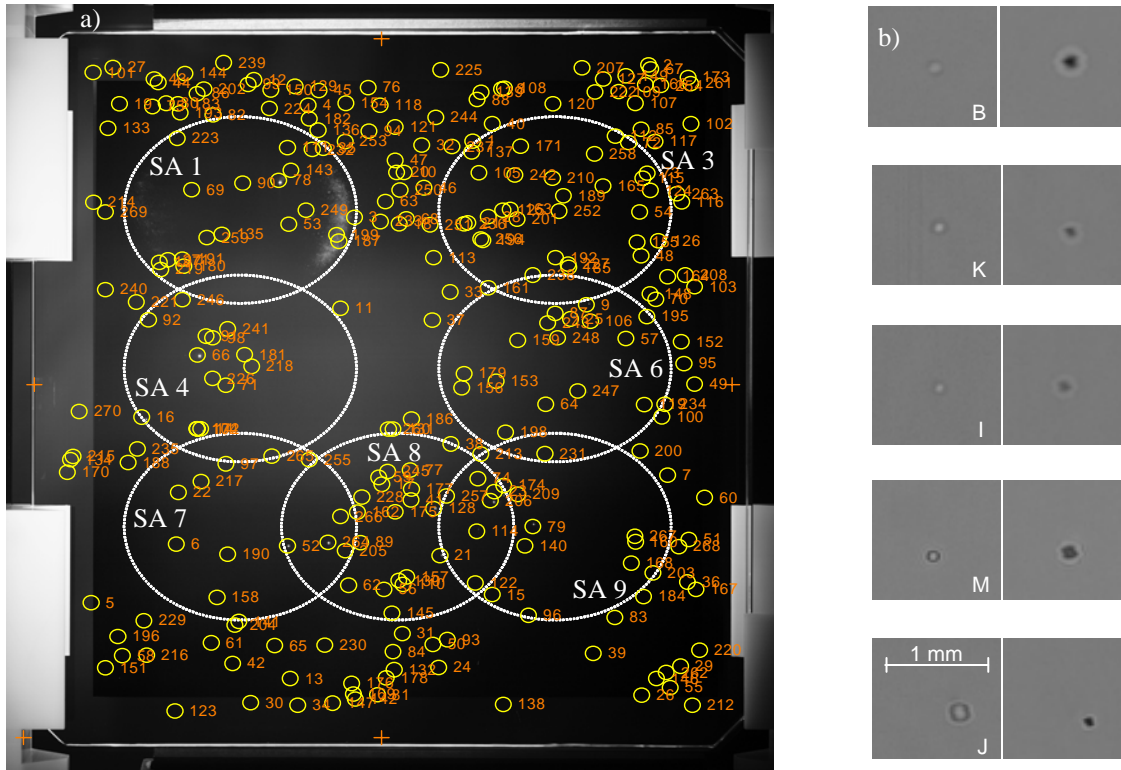


Figure 6. (a) Lenslet locations (circles) are overlaid on a side-lit photograph of the optic for visual display. (b) Examples of the raw data for some of the lenslets: output surface at left, corresponding input surface at right. Each of these lenslets led to output surface damage, the letter designation of the damage site is indicated. OSL Upgrade laser beam sub-apertures (SA, large ovals) are shown overlaid on the image.

Histograms of the results of the phase-retrieval analysis for the 271 lenslets are shown in Figure 7. Size of the lenslet is calculated as $\sqrt{x \cdot y}$, where x is horizontal width and y the vertical width. Of the 271 lenslets in the entire part, only 116 fell within the aperture of the OSL Upgrade 4 J/cm² laser beams (see Figure 6). Results of the phase-retrieval analysis for these 116 lenslets are given in Table 1. The sub-aperture (SA) number of the OSL Upgrade beam that hit the lenslet is indicated in the table. Multiple entries indicate the lenslet was in a region of overlapping beams. The table is sorted by OPD.

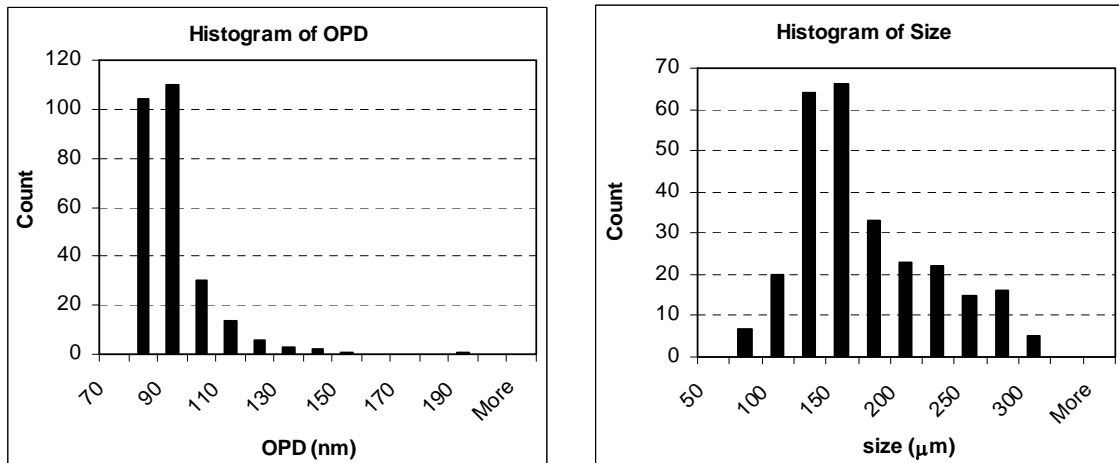


Figure 7. Histograms of the phase-retrieval analysis for the 271 lenslets identified in the test optic.

Table 1. Results of phase-retrieval analysis on the 116 lenslets that fell within the OSL Upgrade 4 J/cm² clear-aperture. A letter designation in the last column indicates associated damage. The x-y coordinates are relative to an origin at the lower left corner of the optic. The flat surface of the lens is located at z = 0.

Meas. #	x (mm)	y (mm)	z (mm)	size (μm)	OPD (nm)	OPD rank	SA	Letter	Meas. #	x (mm)	y (mm)	z (mm)	size (μm)	OPD (nm)	OPD rank	SA	Letter
25	332	253	6	285	180	1	6	J	161	282	272	21	291	82	59	3	
16	72	194	6	113	142	2	4	M	157	233	97	20	292	82	60	8	
23	286	149	6	249	139	3	9	H	111	160	357	20	315	82	61	1	
66	106	231	19	216	131	4	4	B	191	96	290	32	241	82	62	1	
52	160	116	19	195	126	5	7,8	C	187	191	300	32	267	82	63	1	
6	93	117	6	200	113	6	7		145	224	75	20	326	82	64	8	
22	94	148	6	215	108	7	7		115	376	339	20	333	81	65	3	
17	218	153	6	217	105	8	8		266	193	134	39	296	81	66	7,8	
128	249	139	20	207	104	9	8	E	155	372	300	20	310	80	67	3	
14	108	187	6	204	103	10	4		153	287	216	20	327	80	68	5,6	
9	342	262	6	192	103	11	6	K	219	83	283	32	274	80	69	1	
54	374	318	19	200	98	12	3		198	293	185	32	367	80	70	6	
21	253	110	6	189	97	13	8	I	135	121	304	20	308	80	71	1	
264	185	118	39	243	97	14	7,8	D	143	162	343	20	317	80	72	1	
91	111	243	20	215	96	15	4		249	172	319	36	294	80	73	1	
15	285	86	6	209	96	16	9		194	279	301	32	412	80	74	3	
71	123	213	19	218	94	17	4		130	228	95	20	302	79	75	8	
189	328	328	32	229	94	18	3		197	82	288	32	450	79	76	1	
258	347	353	39	238	94	19	3		243	318	251	36	369	79	77	6	
110	230	92	20	239	92	20	8	N	156	266	212	20	409	79	78	5,6	
79	309	128	19	196	91	21	9	G	177	235	150	32	428	78	79	8	
28	282	315	6	222	91	22	3		201	300	314	32	514	78	80	3	
104	105	187	20	279	90	23	4	O	257	257	146	39	338	78	81	8,9	
206	284	143	32	304	88	24	8,9	F	190	124	111	32	377	78	82	7	
62	197	92	19	251	88	25	8		238	309	280	36	315	78	83	3	
119	377	201	20	257	88	26	6		162	203	136	23	494	78	84	8	
53	161	311	19	206	88	27	1		228	206	146	32	343	78	85	8	
56	219	90	19	253	87	28	8		171	302	358	32	570	77	86	3	
77	235	162	19	239	87	29	8		172	106	187	32	570	77	87	4	
105	277	342	20	234	87	30	3		122	274	94	20	389	77	88	8,9	
78	155	337	19	249	86	31	1	A	192	323	290	32	369	77	89	3	
87	323	257	20	282	86	32	6		241	124	247	36	359	77	90	4	
231	317	172	32	246	86	33	6,9		252	325	319	36	376	77	91	3	
211	270	311	32	208	86	34	3		218	138	224	32	361	77	92	4	
74	276	157	19	241	86	35	8,9		209	300	147	32	425	77	93	9	
89	205	118	20	257	86	36	8		242	298	341	36	433	77	94	3	
92	76	253	20	274	86	37	4		41	235	144	15	428	77	95	8	
158	118	85	21	256	86	38	7		236	268	311	32	420	76	96	3	
57	366	241	19	263	85	39	6		227	330	286	32	523	76	97	3	
90	133	335	20	264	85	40	1		179	268	220	32	583	76	98	5,6	
59	216	157	19	272	85	41	8		256	277	302	39	488	76	99	3	
106	344	252	20	257	85	42	6		248	325	242	36	508	76	100	6	
96	307	74	20	282	85	43	9		181	134	232	32	443	76	101	4	
98	115	242	20	239	84	44	4		217	108	155	32	371	76	102	7	
69	102	332	19	261	84	45	1		245	221	161	36	398	76	103	8	
114	275	125	20	290	84	46	8,9		168	369	106	32	477	76	104	9	
48	375	292	19	293	84	47	3		175	226	137	32	250	75	105	8	
163	296	320	32	305	84	48	3		271	88	289	39	445	75	106	1	
97	123	166	20	254	84	49	4,7		140	304	116	20	438	75	107	9	
210	321	339	32	218	84	50	3		259	112	302	39	517	75	108	1	
185	331	284	32	280	84	51	3		246	97	265	36	323	75	109	4	
180	97	285	32	248	83	52	1		199	190	304	32	490	75	110	1	
226	115	217	32	287	83	53	4		205	195	113	32	484	75	111	8	
125	291	319	20	265	83	54	3		265	151	170	39	509	75	112	4,7	
159	300	240	21	298	83	55	6		267	371	122	39	329	75	113	9	
160	372	118	21	294	82	56	9		247	337	210	36	356	75	114	6	
94	210	367	20	271	82	57	3		174	291	152	32	375	74	115	9	
165	352	334	32	274	82	58	3		64	317	202	19	447	74	116	6	

LASER DAMAGE EXPERIMENTS

As described in Ref. [1], the OSL Upgrade beam size is ~ 10-cm x 13-cm elliptical. A 40-cm optic is accessed by the laser via different sub-apertures on the optic. The shot history of each OSL Upgrade sub-aperture for these experiments is shown in Table 2. 15 damage features (labeled A through O) were identified during a post-campaign inspection. Local fluence statistics at these 15 sites are given in Table 3. Microscope images of these 15 damage sites are shown in Figure 8. The key feature of Figure 8 is that *all* the damage sites identified map can be associated with lenslets located upstream of the damage (although not all lenslets are visible in the images). The distance z_L from the output surface damage to the lenslet (corrected for index) is indicated in the images and in Table 3. Local fluence was obtained by taking the average fluence in a 5-mm patch at the damage site. Also note the damage at site H is in the *bulk* of the optic, and not at the output surface (as are all the others).

Table 2. Shot history of each of the sub-apertures (SA) shown in Figure 6.

SA	3σ Pulse width (ns)	Pulse shape	# of shots
1	3.0	Gaussian	21
3	3.0	Gaussian	11
4	3.0	Gaussian	22
6	3.0	Gaussian	11
7	3.0	Gaussian	9
8	2.0	Square	9
9	2.0	Square	8

Table 3. Statistics associated with the 15 lenslet-induced damage sites OSL campaign.

Site	SA	Ave. Local Fluence	Max. Local Fluence	Z_L (mm)	Site	SA	Ave. Local Fluence	Max. Local Fluence	Z_L (mm)
A	1	4.7	6.9	22.7	G	9	4.4	5.1	13.7
B	4	3.8	4.3	30.1	H	9	4.7	5.4	21.3
C	7	3.8	5.1	30.3	I	8	4.5	5.1	36.0
C	8	3.5	3.7	-	J	6	3.6	4.2	9.4
D	7	3.8	5.5	36.5	K	6	3.3	4.4	29.4
D	8	3.2	3.4	-	L	2	2.1	2.2	6.0
E	8	4.5	5.0	42.9	M	4	2.6	3.8	5.3
F	8	3.8	4.4	41.6	N	8	4.5	5.3	35.8
F	9	4.5	5.3	-	O	4	4.1	5.5	19.8

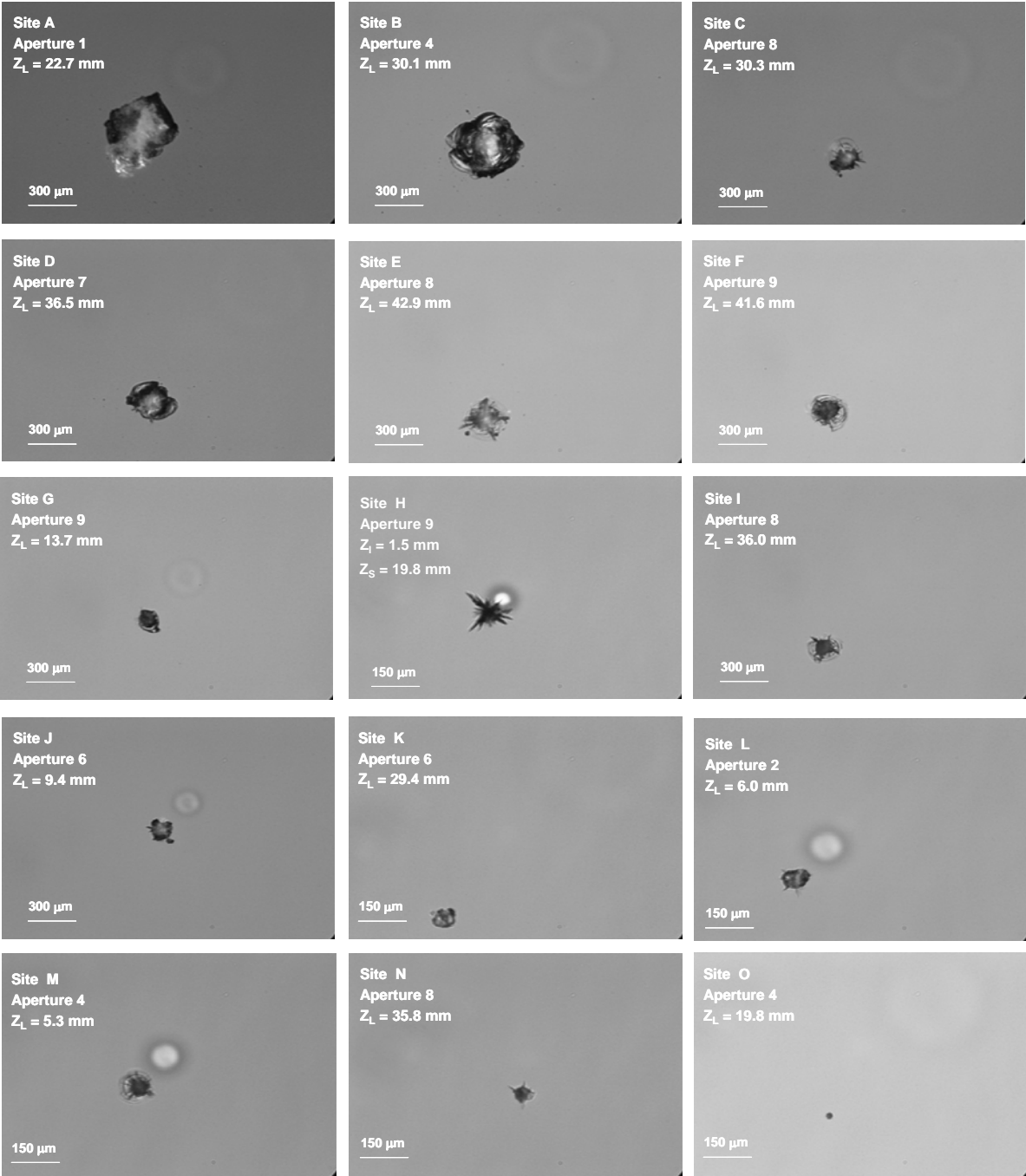


Figure 8. Microscope images of the 15 features identified in the post-campaign inspection. All 15 sites could be correlated to an upstream lenslet. The distance z_L from the output surface to the lenslet is indicated along with the sub-aperture. Site H showed damage in the bulk, as thus also indicates the distance from the damage to the surface, z_S .

RESULTS AND ANALYSIS

It is clear from Table 1 that a correlation exists between OPD and damage initiation, as the top five lenslets in terms of OPD all led to damage, and no lenslet below OPD rank of 31/116 led to damage. The larger lenslets that did *not* lead to damage were probably either: (a) at a “cold” spot in the beam, (b) at a z-location in the sample not favorable for large rear-surface intensification, or (c) were not actually lenslets (i.e. were surface features misidentified as lenslets). Likewise, the smaller lenslets that *did* lead to damage were probably (a) at a “hot” spot in the beam or (b) at a z-location that provided maximal intensification at the rear surface. In any case, this data indicates that no lenslet with an OPD of 85-nm or less led to damage at 4.5 J/cm^2 , while all those with an OPD of 125 or greater led to damage at 4.5 J/cm^2 .

It should be noted that the results of the phase-retrieval process are more difficult to interpret when the features are not pure lenslets (e.g. those that have associated opacities, and those that have multiple features). Nearly 25% of the 271 features fall in this category. This mostly affects the size determination, and has less of an effect on the OPD results. Some of the size data, therefore, will have large error bars associated with it. Also, the z-location determination is only accurate to within about 5 mm, making it difficult to use this measurement as a filter for surface defects flagged by the pattern-recognition software.

Similar data was obtained from two other similar parts, omitted here for brevity. Figure 9 summarizes the statistics of the combined data. We find that no damage occurred from lenslets with an OPD less than 85 nm, while damage always occurred from lenslets with an OPD more than 117 nm. The phase-retrieval-derived OPD appears to be a good indicator of lenslet-induced damage potential.

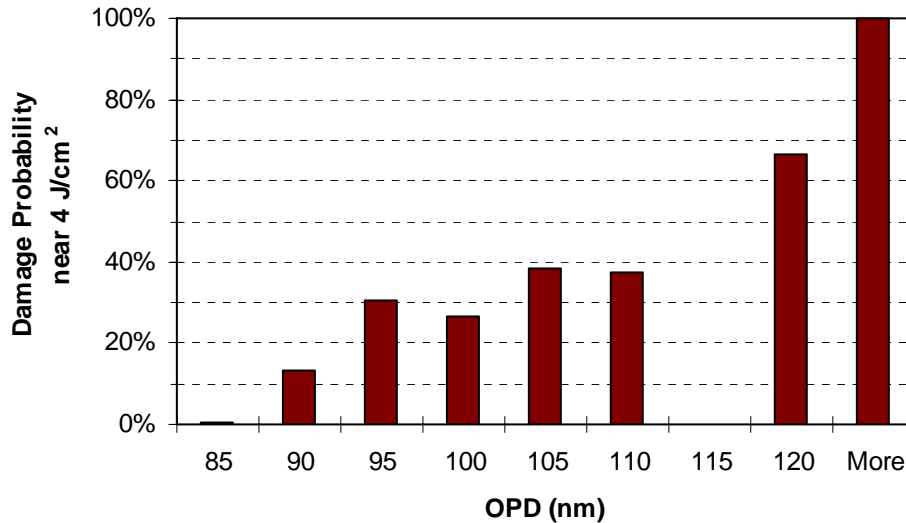


Figure 9. Lenslet-induced damage probability statistics from three Corning 7980 optics. All were shot near 4 J/cm^2 . Only lenslets with an OPD of 70 nm or greater were detectable.

GROWTH OF LENSLET-INDUCED DAMAGE

Damage/lenslet sites D, E, F, G, H, I, N (which all were contained within a single OSL UPGRADE sub-aperture (SA 10)) were targeted for further laser shots in order to determine the nature of the lenslet-induced damage growth (i.e. mostly in x-y (“lateral”), or in z (“drilling”)). The “drilling” hypothesis postulates that since light is being focused in the sample, a high-fluence core exists between the output-surface damage and the lenslet, resulting in a low-fluence ring away from the central core. The low-fluence ring would limit lateral growth, while the high-fluence core would promote “drilling” back towards the lenslet, terminating when the fluence falls below a critical value. Shot statistics for this “growth” campaign are given in Table 4.

Figure 10 shows the microscope images of the seven features targeted in the growth campaign. These images indicate major lateral growth can occur, and that “drilling” has *not* occurred at these sites. Generally speaking, the growth behavior of these sites is similar to sites studied previously which were *not* associated with lenslets³. Table 5 shows the local fluence statistics for each of the seven sites. A shot-by-shot fluence and ODI signal (Optical Damage Inspection system, see Ref [1]) history for the two largest sites (I and N) are shown in Figure 11. The ODI signal was obtained by summing pixel intensities at three different locations (of equal total pixel size): SIGNAL= location of the damage site; REF= location of a scatterer that did not grow, used to reference/normalize the input signal level; and BKG= location at edge of image to sample static background. The integrated signal (S) is then calculated by $S = (\text{SIGNAL}-\text{BKG})/(\text{REF}-\text{BKG})$. In the figure, the ODI signal has been re-scaled to reflect the estimated *diameter* of the damage site by using the known sizes of the damage sites (before and after the growth campaign) for calibration and assuming a linear relationship between damage *area* and scatter signal. This assumption breaks down at larger diameters (~1.5-2 mm) since only the high-frequency components scattering from the edge of the damage site contribute to the Schlieren signal (i.e. the scatter profile becomes donut-shaped). An exponential growth curve for site I is included in the figure, while site N does not appear to follow exponential growth.

Table 4. Shot statistics for the 42-shot growth campaign. Average and Maximum is taken over the number of shots. Fluence (J/cm^2) and contrast are whole beam averages per shot.

SA	# Shots	Ave. Fluence	Ave. Contrast	Max. Fluence
10	42	4.0	0.176	4.9

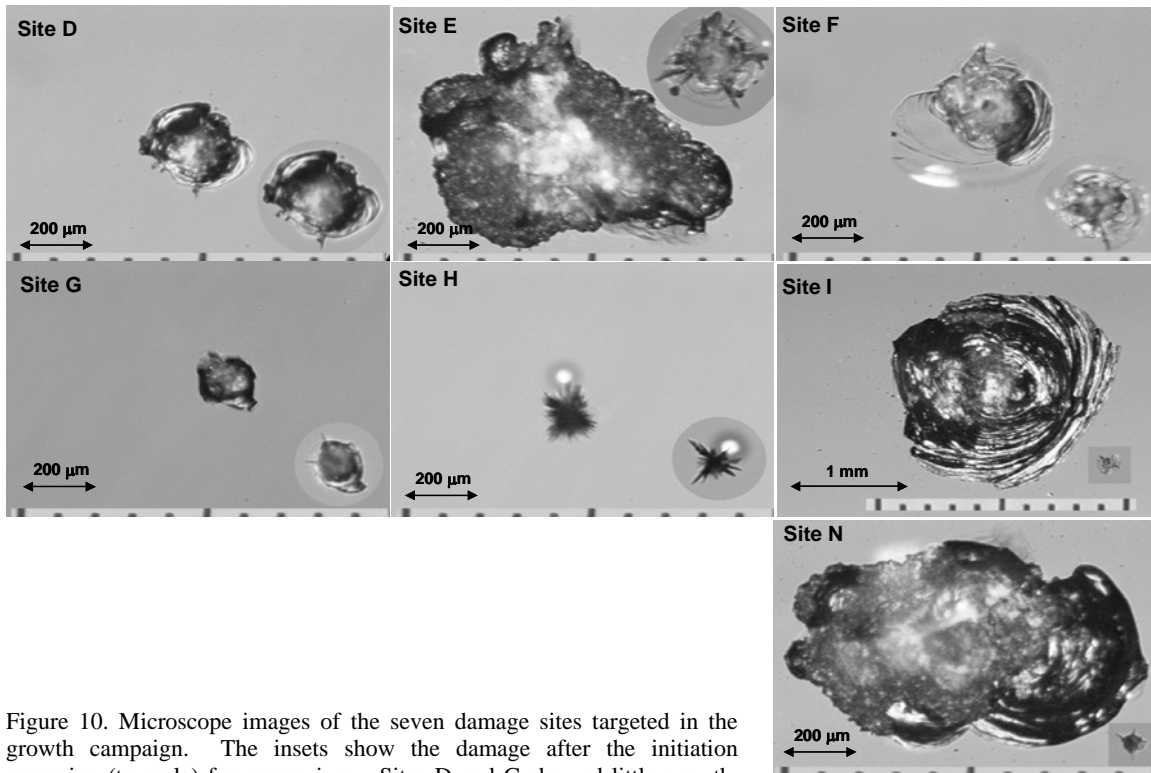


Figure 10. Microscope images of the seven damage sites targeted in the growth campaign. The insets show the damage after the initiation campaign (to scale) for comparison. Sites D and G showed little growth, while I and N showed considerable growth.

Table 5. Local fluences statistics for the seven lenslet sites targeted in 42-shot Round 2 campaign. Local fluence (J/cm^2) refers to data averaged over a $\sim 5mm$ -diameter patch near the damage site.

Lenslet Site	D	E	F	G	H	I	N
Ave. Local Fluence	3.5	5.0	4.7	3.9	4.5	4.6	3.8
St. Dev. Local Fluence	0.5	0.6	0.6	0.6	0.6	0.5	0.6
Max. Local Fluence	4.8	6.2	5.8	4.8	5.6	5.4	5.1

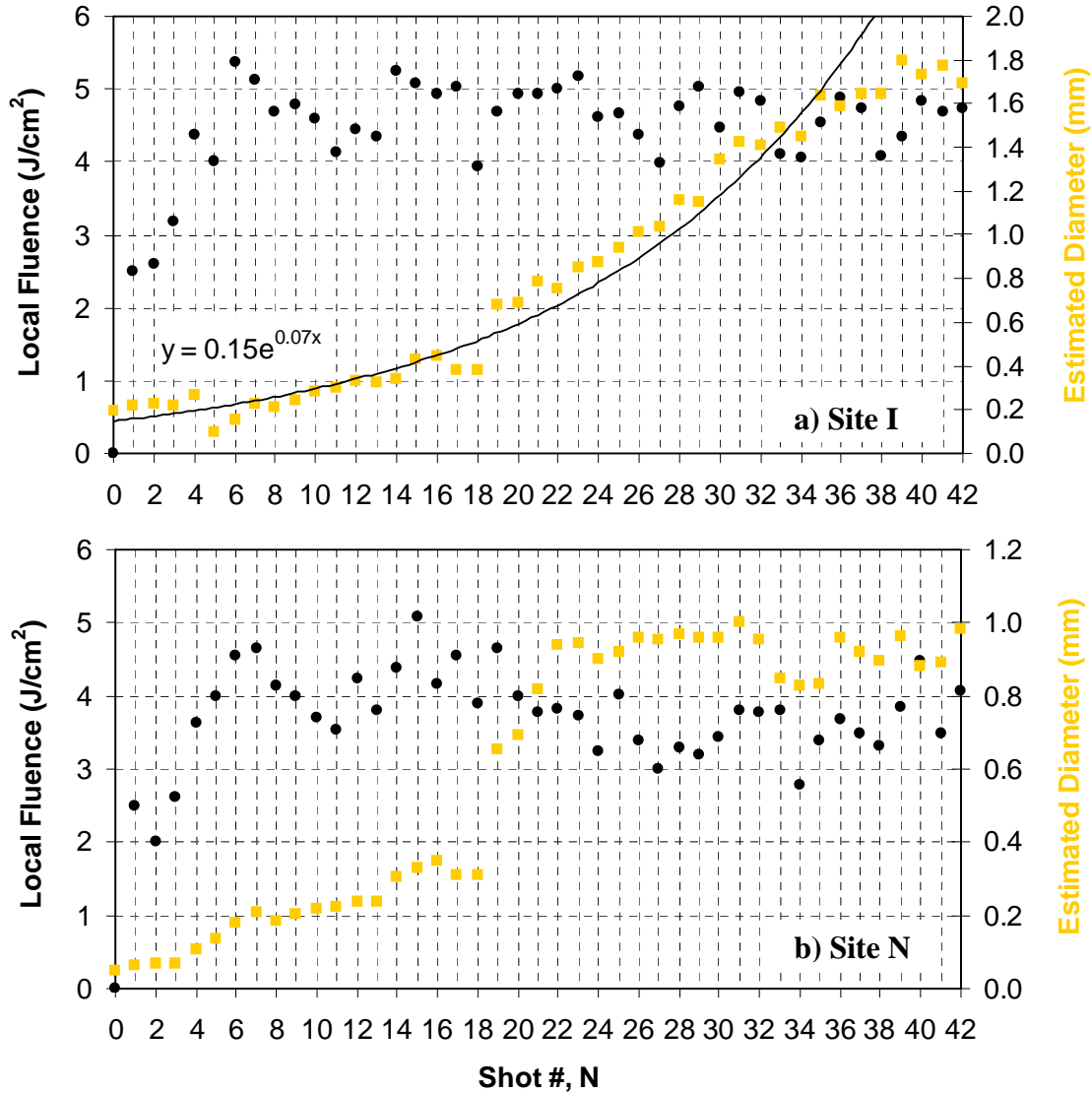


Figure 11. Estimated diameter and local fluence as a function of shot number for (a) lenslet/damage site I and (b) lenslet/damage site N. Diameter was estimated from the ODI signal intensity using the known diameter before shot #1 and after shot #42. Site I growth can be fit to an exponential (shown), while site N seems to have grown mostly in a few shots beginning at shot 19. Recall that these 42 shots from the growth campaign followed ~ 9 shots from the initiation campaign, so that the total number of shots at each site was ~ 50 .

CONCLUSIONS

Experiments performed on the OSL Upgrade laser at 4 J/cm^2 (351-nm, 3-ns) have revealed a strong correlation between output surface damage and the optical path difference of phase defects (referred to as “lenslets”) identified in the fused silica parts. Near 4 J/cm^2 , lenslets with an OPD below 80-nm were benign, while all of the lenslets with an OPD above 117-nm lead to damage. The growth of these sites upon further illumination at 4 J/cm^2 did not exhibit any unique characteristics. That is, the character of the growth rate and aspect ratio were comparable to our previous experience with output surface damage at sites *not* associated with lenslets; however, the measured growth rate was somewhat higher than expected from previous off-line measurements.

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