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PRESSURE PLASMAS IN THE MAGNETOSPHERE

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Theory of Ballooning-Mirror Instabilities for Anisotropic Pressure Plasmas in the Magnetosphere

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Abstract

This paper deals with a kinetic-MHD eigenmode stability analysis of low frequency ballooning-mirror instabilities for anisotropic pressure plasmas in the magnetosphere. The ballooning mode is a dominant transverse wave driven unstable by pressure gradient in the bad curvature region (i.e., $(\vec{\kappa} \cdot \nabla \psi) (\nabla P \cdot \nabla \psi) > 0$, where $\vec{\kappa}$ is the magnetic field curvature and ψ is the magnetic flux function) with a dominant transverse magnetic field perturbation. The mirror mode with a dominant compressional magnetic field perturbation is excited when the product of plasma beta and pressure anisotropy (the ratio of the perpendicular pressure to the parallel pressure) is large. The field-aligned eigenmode equations take into account the coupling of the transverse and compressional components of the perturbed magnetic field and describe the coupled ballooning-mirror mode. Because the energetic trapped ions precess very rapidly across the $\vec{B}$ field, their motion becomes very rigid with respect to low frequency MHD perturbations with symmetric structure of parallel perturbed magnetic field $\delta B_{\parallel}$ and electrostatic potential Φ along the north-south ambient magnetic field, and the symmetric ballooning-mirror mode is shown to be stable. On the other hand, the ballooning-mirror mode with antisymmetric $\delta B_{\parallel}$ and Φ structure along the north-south ambient magnetic field is only weakly influenced by energetic trapped particle kinetic effects due to rapid trapped particle bounce motion and has the lowest instability threshold determined by MHD theory. With large plasma beta ($\beta_{\parallel} \geq O(1)$) and pressure anisotropy ($P_{\perp}/P_{\parallel} > 1$) at equator the antisymmetric ballooning-mirror mode structures resemble the field-aligned wave structures of the multisatellite observations of a long lasting compressional Pc 5 wave event during November 14-15, 1979 [Takahashi et al., 1987a]. The study provides the theoretical basis for identifying the internal excitation mechanism of ULF (Pc 4-5) waves by comparing the plasma stability parameters computed from the satellite particle data with the theoretical values.

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1. Introduction

Observation and theories of magnetic pulsations have been studied for more than three decades since they were first reported in the 1960's [Judge and Coleman, 1962]. The magnetic pulsations have been classified through their frequencies, waveforms, dominant magnetic components (transverse or compressional waves), and the associated plasma and geomagnetic conditions. These morphological features provide clues for understanding their excitation mechanisms (internally-driven instabilities or externally-driven Alfvén waves). To understand the physics of the magnetic pulsations, it is important to identify their excitation mechanisms. The external excitation mechanism involves local field-line resonance of standing shear Alfvén waves in response to the propagation of external disturbances. The externally excited Pc 4-5 waves have azimuthal polarization with small azimuthal mode numbers on the order 10 or less and will not be discussed in the paper. Instead, we will concentrate on the theory of ultra-low frequency (ULF) waves driven internally by MHD type instabilities such as ballooning and mirror modes.

The internally driven ULF waves usually have large azimuthal mode numbers on the order of 100 and are mainly observed in the magnetosphere by satellites [Takahashi, 1988]. ULF compressional Pc 5 magnetic perturbations [Walker et al., 1982; Allan et al., 1982; Nagano and Araki, 1983; Misra et al., 1985; Lin and Barfield, 1985; Takahashi et al., 1985a, 1985b, 1987; Higuchi et al., 1986] with periods in the range of 150-600 seconds observed around the earth's magnetic equator near geosynchronous orbit were believed to be driven by mirror-type instabilities [Hasegawa, 1969; Cheng and Lin, 1987]. These highly compressional magnetic perturbations are usually observed during periods of enhanced ring current intensity and are associated with high beta, anisotropic pressure plasmas with $P_{\perp} > P_{\parallel}$, where the subscripts $\perp$ and $\parallel$ denote the components perpendicular and parallel to the ambient magnetic field respectively. The Pc 5 waves have large azimuthal (east-west) wave numbers (typically, $m \sim 50$). Significant progress was made in the multisatellite (SCATHA, GOES2, GOES3, GEOS2) observations of a long lasting compressional Pc 5 wave event during November 14-15, 1979 [Takahashi et al., 1987; Takahashi, 1988] which revealed the field-aligned structures of the compressional magnetic field $\delta B_{\parallel}$ to be antisymmetric with respect to the earth's magnetic equator with a full latitudinal range of about 20° . The smaller transverse magnetic components, radial component δB_{ψ} and azimuthal component δB_{ϕ} , have a symmetric parity and their polarization varies with the magnetic latitude. The field-aligned structures of the three perturbed magnetic field components were shown in Fig. 14(b) in the paper by Takahashi et al. [1990]. The wave frequencies are about one order of magnitude smaller than the shear Alfvén frequency obtained from the measured plasma density and wave structures along the ambient magnetic field at geosynchronous orbit. The internally driven

transverse waves usually have low frequency and dominant radial polarization, and they are believed to have similar (but more extended) field-aligned wave symmetry properties as the compressional Pc 5 waves [Engebretson et al., 1988].

Linear theories of internally driven hydromagnetic instabilities have been presented in terms of (1) mirror or drift mirror instabilities due to pressure anisotropy [Hasegawa, 1969; Pokhotelov et al., 1986; Cheng and Lin, 1987], (2) drift compressional instabilities due to pressure nonuniformity [Hasegawa, 1971; Ng et al. 1984], and (3) trapped particle bounce and magnetic drift resonance excitation of ULF waves [Southwood, 1976; Chen and Hasegawa, 1991]. Two coupled eigenmode equations were derived [Cheng and Lin, 1987; Cheng, 1991] to describe the coupling between the transverse and the compressional magnetic field components. The transverse magnetic field equation shows that the shear Alfvén type wave can be destabilized by the combined effect of the magnetic field curvature and the plasma pressure gradient to excite the ballooning mode. The compressional magnetic field equation indicates that the mirror mode can be destabilized by the combined effect of plasma beta and pressure anisotropy ($P_{\perp} > P_{\parallel}$). The coupling between the compressional and transverse magnetic field components is due to finite perpendicular pressure gradient and the trapped particle perturbed pressures. By analyzing the mirror instability from the compressional wave equation both analytically and numerically, Cheng and Lin [1987] was able to explain successfully the multisatellite observation of antisymmetric field-aligned structure of the compressional magnetic field of Pc 5 waves during a large substorm [Takahashi et al., 1987; Takahashi et al., 1990] in the magnetospheric ring current region where the plasma perpendicular pressure is larger than the parallel pressure. Recently, Chen and Hasegawa [1991] proposed that the perturbed compressional magnetic field with antisymmetric field-aligned structure can be excited by the drift Alfvén ballooning mirror instability that is destabilized by hot particle pressure gradient via the magnetic drift-bounce resonance and may have lower pressure anisotropy threshold than the mirror instability.

However, comprehensive analytical and numerical eigenmode analyses that take into account the coupling between the transverse and the compressional magnetic field components in anisotropic pressure plasmas along the ambient magnetic field have still not yet been performed. The kinetic effects associated with the energetic trapped ions play an essential role in determining the stability of the ballooning-mirror mode. In this paper we present a kinetic-MHD eigenmode analysis of the ballooning-mirror mode along the ambient magnetic field. If the energetic trapped ion kinetic effects are neglected completely, the eigenmode equations are essentially the MHD eigenmode equations. Without the kinetic effects the MHD mode with symmetric $\delta B_{\parallel}$ field-aligned structure has the lowest instability beta threshold. However,, if the energetic trapped ion

kinetic effects are included, the ballooning-mirror mode with symmetric $\delta B_{\parallel}$ and Φ field-aligned structure is completely stabilized. The stabilization results from the fact that the hot trapped ions precess very rapidly across the $\vec{B}$ field, their motion becomes very rigid with respect to low frequency MHD perturbations with symmetric structure of parallel perturbed magnetic field $\delta B_{\parallel}$ and electrostatic potential Φ along the north-south ambient magnetic field, and thus they do not contribute to the destabilizing pressure gradient to drive ballooning instability. On the other hand, the energetic trapped ion's rapid bounce motion tends to average out the kinetic contribution associated with the antisymmetric $\delta B_{\parallel}$ and Φ wave structure along the north-south ambient magnetic field. Therefore, the antisymmetric ballooning-mirror mode is only weakly influenced by energetic trapped particle kinetic effects and has the lowest instability beta threshold mainly determined by MHD theory. The results not only explain the observed wave structures in terms of predicted plasma properties, but also provide new ways of identifying the internal excitation mechanism of observed ULF waves.

The paper is organized as follows. Section 2 reviews the kinetic-MHD model for describing the stability of low frequency MHD type modes. The kinetic-MHD equilibrium, the kinetic-MHD eigenmode equations for the ballooning-mirror mode, and a quadratic form that can provide significant insight to the stability properties are presented. The theoretical investigation of the ballooning-mirror instabilities in a dipole magnetic field is given in Sec. 3. In particular, a local MHD stability theory, a field-aligned MHD eigenmode analysis, and the energetic trapped particle stabilization of symmetric $\delta B_{\parallel}$ modes are presented. Finally, a summary and discussion is given in Sec. 4.

2. Kinetic-MHD Model for Low Frequency MHD Modes

2.1 Kinetic-MHD Equilibrium

If the plasma convection in the magnetosphere is small, the magnetospheric equilibrium can be approximated by a static MHD equilibrium with anisotropic pressure, which is described by the system of equations

$$\vec{J} \times \vec{B} = \nabla \cdot \vec{P} = \nabla P_{\perp} - \nabla \cdot [(P_{\perp} - P_{\parallel}) \hat{b} \hat{b}], \quad (1)$$

$$\nabla \times \vec{B} = \vec{J}, \quad (2)$$

$$\nabla \cdot \vec{B} = 0, \quad (3)$$

where $\hat{\mathbf{b}}$ is a unit vector along an equilibrium magnetic field line, and $\vec{\mathbf{j}}$, $\vec{\mathbf{B}}$, and $\vec{\mathbf{P}}$ are the equilibrium current, magnetic field, and pressure tensor, respectively.

Considering a general three dimensional magnetospheric equilibrium with nested flux surfaces, the magnetic field can be expressed as $\vec{\mathbf{B}} = \nabla\psi \times \nabla\alpha$, where ψ is chosen as the magnetic flux function. Both ψ and α are constant along magnetic field lines. The lines where surfaces of constant ψ and α intersect represent magnetic field lines. Note that ψ must be a periodic function of toroidal angle ϕ in cylindrical (R, ϕ, Z) coordinate to ensure periodicity constraint. In terms of a flux coordinate system (ψ, Θ, ϕ) with Θ being the generalized poloidal angle varying between 0 and 2π , α can be expressed as $\alpha = \phi - q(\psi)\Theta - \Delta(\psi, \Theta, \phi)$ without loss of generality, where $\Delta(\psi, \Theta, \phi)$ is periodic in both Θ and ϕ . For a collisionless plasma the particle energy ($\mathcal{E} = v^2/2$) and the adiabatic invariants, magnetic moment ($\mu = v_\perp^2/2B$) and the longitudinal invariant ($J_\parallel = \oint ds v_\parallel$), are constant during the drift motions, where $v_\parallel$ and $v_\perp$ are the components of the velocity parallel and perpendicular to $\vec{\mathbf{B}}$, respectively. The guiding center equilibrium particle distribution function must have the form $F = F(\mathcal{E}, \mu, J_\parallel)$. In general, $J_\parallel = J_\parallel(\mathcal{E}, \mu, \psi, \alpha)$ and $F = F(\mathcal{E}, \mu, \psi, \alpha)$. If all particles on each field line share the same drift surface, where ψ labels the drift surface, then $J_\parallel = J_\parallel(\mathcal{E}, \mu, \psi)$ and $F = F(\mathcal{E}, \mu, \psi)$. The guiding-center particle distributions $F(\mathcal{E}, \mu, \psi)$ can be either prescribed by an analytical form or obtained from the satellite measurements of the particle flux.

The equilibrium parallel and perpendicular pressures are given by

$$\begin{pmatrix} P_\parallel \\ P_\perp \end{pmatrix} = \sum_{j, \sigma_\parallel} 2\pi M_j \int_0^\infty d\mathcal{E} \int_0^{\mathcal{E}/B} d\mu \left[B F_j / |v_\parallel| \right] \begin{pmatrix} 2(\mathcal{E} - \mu B) \\ \mu B \end{pmatrix}, \quad (4)$$

where the summation is over the particle species j and $\sigma_\parallel$ which represents the direction of particle velocity parallel to $\vec{\mathbf{B}}$, and M_j is the particle mass. The parallel velocity $v_\parallel$ has the form

$$v_\parallel = \sigma_\parallel \sqrt{2(\mathcal{E} - \mu B)}. \quad (5)$$

By inspection $P_\perp$ and $P_\parallel$ are functions of ψ and B only.

It is convenient to introduce the functions

$$\sigma \equiv 1 - (1/B)(\partial P_{\parallel}/\partial B)_{\psi}, \quad (6)$$

and

$$\tau \equiv 1 + (1/B)(\partial P_{\perp}/\partial B)_{\psi}. \quad (7)$$

If $\sigma > 0$ and $\tau > 0$ are satisfied everywhere in the plasma, the magnetosphere is stable to the well-known MHD "firehose" and "mirror" instabilities, respectively [Grad, 1967]. The momentum balance equation parallel to the equilibrium magnetic field is given by

$$\vec{B} \cdot \nabla P_{\parallel} = (P_{\parallel} - P_{\perp}) \hat{b} \cdot \nabla B. \quad (8)$$

Making use of Eqs. (6)-(8), the momentum balance equation perpendicular to the magnetic field is given by

$$\tau \nabla_{\perp} (B^2 / 2) + \tilde{\nabla}_{\perp} P_{\perp} = \vec{\kappa} \sigma B^2, \quad (9)$$

where $\tilde{\nabla} = \nabla - \nabla B (\partial/\partial B)_{\psi}$, $\vec{\kappa} = \hat{b} \cdot \nabla \hat{b}$ is the magnetic field curvature. Note that the parallel momentum balance equation, Eq. (8), is automatically satisfied if the particle distribution $F(E, \mu, \psi)$ is used to compute $P_{\perp}$ and $P_{\parallel}$. From Eq. (8), σ can be simplified as $\sigma = 1 + (P_{\perp} - P_{\parallel}) / B^2$.

2.2 Kinetic-MHD Eigenmode Equations

The linearized kinetic-MHD eigenmode equations for the low-frequency transverse and compressional Alfvén waves had been derived in the limit of zero parallel electric field [Cheng and Lin, 1987; Cheng, 1991]. The perturbed transverse magnetic field is related to the electrostatic potential Φ by $\delta \vec{B}_{\perp} \approx \nabla \times [\vec{B}(\vec{B} \cdot \nabla \Phi / \omega B^2)]$, where ω is the eigenmode frequency of the perturbed quantities which have a temporal dependence of $\exp(-i\omega t)$. By assuming that the perpendicular wavelength is shorter than the parallel wavelength which is in turn shorter than the equilibrium scale length ($k_{\perp} \gg k_{\parallel} \gg 1/L$) and the particle gyroradius is small compared to the perpendicular wavelength ($k_{\perp} \rho_L \ll 1$) for all particle species, the perturbed guiding center particle distribution δf is determined from the drift kinetic equation. If the perturbed particle guiding center distribution is written in terms of a nonadiabatic particle distribution function g by

$$\delta f = - \frac{ic}{\omega B^2} \vec{B} \times \nabla \Phi \cdot \nabla F - \frac{\mu}{B^2} \frac{\partial F}{\partial \mu} \vec{B} \cdot \delta \vec{B} + \hat{g}, \quad (10)$$

the perturbed pressures can be written as

$$\begin{pmatrix} \delta p_{\parallel} \\ \delta p_{\perp} \end{pmatrix} = - \frac{i \vec{B} \times \nabla \Phi}{\omega B^2} \cdot \tilde{\nabla} \begin{pmatrix} P_{\parallel} \\ P_{\perp} \end{pmatrix} + \frac{\vec{B} \cdot \delta \vec{B}}{B} \left(\frac{\partial}{\partial B} \right)_{\psi} \begin{pmatrix} P_{\parallel} \\ P_{\perp} \end{pmatrix} + \begin{pmatrix} \delta \hat{p}_{\parallel} \\ \delta \hat{p}_{\perp} \end{pmatrix}, \quad (11)$$

where $\tilde{\nabla} = \nabla - \nabla B (\partial/\partial B)_{\psi}$, and the kinetic effect due to trapped particles is included in the nonadiabatic perturbed pressures $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$ which are given by

$$\begin{pmatrix} \delta \hat{p}_{\parallel} \\ \delta \hat{p}_{\perp} \end{pmatrix} = \sum_j M \int d^3 v \, \hat{g} \begin{pmatrix} 2(\epsilon - \mu B) \\ \mu B \end{pmatrix}. \quad (12)$$

In the right-hand side of Eq. (11), the first term represents the convective derivative of plasma pressure, and the second term represents the compressional field effect associated with pressure nonuniformity along the field line. In the absence of parallel electric field, the drift kinetic equation for the linearized nonadiabatic particle distribution function $\hat{g}$ is given by

$$\left[\frac{\partial}{\partial t} + (\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \right] \hat{g} = \left[\frac{e}{M} \frac{\partial F}{\partial \epsilon} \frac{\partial}{\partial t} - \frac{c \vec{B} \times \nabla F}{B^2} \cdot \nabla \right] Y, \quad (13)$$

where $Y = i \vec{v}_d \cdot \nabla \Phi / \omega - M \mu \delta B_{\parallel} / e$, $\vec{v}_d = (Mc \vec{B} / e B^2) \times [\nabla(\mu B) + \vec{\kappa} v_{\parallel}^2]$ is the particle magnetic drift velocity in the equilibrium magnetic field, and $\vec{\kappa} = (\vec{B}/B) \cdot \nabla(\vec{B}/B)$ is the equilibrium magnetic field curvature. In terms of a Fourier series representation the linearized nonadiabatic particle distribution function $\hat{g}$ has been derived for a magnetospheric geometry in the paper by Cheng [1991] (see Sec. 4 and Appendix C) and will not be repeated here.

We will consider a WKB wave form perpendicular to the ambient magnetic field so that $\nabla_{\perp}$ operates only on the perturbed quantities and $\nabla_{\perp} = i \vec{k}_{\perp}$. The eigenmode equations for $\hat{\Phi}$ ($= \Phi/\omega$) and $\delta B_{\parallel}$ can be obtained from Eqs. (40), (41), and (45) in the paper by Cheng [1991] and are given by

$$\vec{B} \cdot \nabla \left(\frac{\sigma k_{\perp}^2}{B^2} \vec{B} \cdot \nabla \hat{\Phi} \right) + \frac{\rho k_{\perp}^2 \omega^2}{B^2} \hat{\Phi}$$

$$+ \frac{(\vec{B} \times \vec{\kappa}) \cdot \vec{k}_\perp}{B^2} \left[\frac{\vec{B} \times \tilde{\nabla} P_\parallel}{B^2} \cdot \vec{k}_\perp \hat{\Phi} + \sigma B \delta B_\parallel - \delta \hat{p}_\parallel \right] = 0, \quad (14)$$

and

$$\begin{aligned} \vec{B} \cdot \nabla \left[\frac{\sigma}{B^2} \vec{B} \cdot \nabla \delta B_\parallel \right] + \frac{\rho \omega^2}{B^2} \delta B_\parallel \\ - k_\perp^2 \left[\tau \delta B_\parallel - \vec{k}_\perp \cdot \frac{\vec{B} \times \tilde{\nabla} P_\perp}{B^3} \hat{\Phi} + \frac{\delta \hat{p}_\perp}{B} \right] = 0, \end{aligned} \quad (15)$$

where ρ is the mass density, and $\tilde{\nabla} = \nabla - \nabla B (\partial/\partial B)_\psi$.

Equations (14) and (15) describe the coupling between the compressional and transverse perturbed magnetic field due to finite magnetic field curvature, perpendicular pressure gradient, and the nonadiabatic trapped particle kinetic pressure responses $\delta \hat{p}_\perp$ and $\delta \hat{p}_\parallel$. From Eq. (14) we see that the transverse shear Alfvén wave in a high β ($= O(1)$) plasma can be destabilized by the pressure gradient in a bad magnetic field curvature with $(\vec{\kappa} \cdot \nabla \psi) (\nabla P \cdot \nabla \psi) > 0$ to excite ballooning modes. Equation (15) indicates that the compressional Alfvén waves can be destabilized by the pressure anisotropy ($\tau < 0$) to excite mirror modes. The eigenmode structure of the mirror instability was first obtained by analyzing Eqs. (14) and (15) perturbatively. The $\delta B_\parallel$ is obtained from Eq. (15) by neglecting electrostatic potential but including trapped particle effects [Cheng and Lin, 1987; Takahashi et al., 1990]. The transverse magnetic field is then obtained from Eq. (14) by neglecting the magnetic field curvature term. In general, to obtain quantitative eigensolutions of the coupled eigenmode equations, extensive numerical works are required.

2.3 Quadratic Form

A quadratic form can be derived from Eqs. (14) and (15) and provides significant insights to the stability properties. Assuming that the perturbed quantities vanish at the end points of the field line, we obtain the quadratic form

$$D(\omega) = \delta K - \delta W_f - \delta W_k = 0, \quad (16)$$

where the inertia energy is given by

$$\delta K = \omega^2 \int \frac{ds}{B} \frac{\rho}{B^2} \left[k_{\perp}^2 |\hat{\Phi}|^2 + \frac{|\delta B_{\parallel}|^2}{k_{\perp}^2} \right], \quad (17)$$

and s is the distance along the field line. The fluid potential energy is given by

$$\begin{aligned} \delta W_f = \int \frac{ds}{B} \left\{ \frac{\sigma}{B^2} k_{\perp}^2 |\vec{B} \cdot \nabla \hat{\Phi}|^2 + \frac{\sigma}{B^2 k_{\perp}^2} |\vec{B} \cdot \nabla \delta B_{\parallel}|^2 \right. \\ \left. + \tau \left| \delta B_{\parallel} - \frac{\vec{k}_{\perp} \times \vec{B}}{\tau B^3} \cdot \nabla P_{\perp} \hat{\Phi} \right|^2 - \alpha_p \left| \frac{k_{\perp} \hat{\Phi}}{L} \right|^2 \right\} \end{aligned} \quad (18)$$

with

$$\alpha_p = \left(\frac{\vec{k}_{\perp} \times \vec{B} \cdot \vec{\kappa}}{k_{\perp} B} \right) \frac{\vec{k}_{\perp} \times \vec{B}}{k_{\perp} B^3} \cdot \left[\left(\frac{\sigma}{\tau} \right) \nabla P_{\perp} + \nabla P_{\parallel} \right] L^2. \quad (19)$$

The kinetic potential energy due to all species is

$$\delta W_k = \int \frac{ds}{B} \left\{ \left[\delta B_{\parallel} + \frac{\vec{k}_{\perp} \cdot \vec{B} \times \nabla (B^2/2)}{B^3} \hat{\Phi} \right]^* \frac{\delta \hat{p}_{\perp}}{B} + \frac{\vec{k}_{\perp} \cdot \vec{B} \times \vec{\kappa}}{B^2} \hat{\Phi}^* \delta \hat{p}_{\parallel} \right\}. \quad (20)$$

In deriving the quadratic form we have made use of the equilibrium relation, Eq. (9). In obtaining Eq. (18) a term in the order of $(\rho \omega^2 / B^2 - k_{\parallel}^2) / k_{\perp}^2$ is ignored. An approximation, $\delta B_{\parallel} - \vec{k}_{\perp} \times \vec{B} \cdot \nabla P_{\perp} \hat{\Phi} / \tau B^3 \approx -\delta \hat{p}_{\perp} / B$, is also made in obtaining the second $\delta \hat{p}_{\perp}$ term in Eq. (20). Equation (18) clearly displays the various fluid free energy sources. In particular, if $\sigma > 0$ (i.e., $1 + (P_{\perp} - P_{\parallel}) / B^2 > 0$) and $\tau > 0$ (i.e., $1 + (1/B)(\partial P_{\perp} / \partial B)_{\psi} > 0$) are satisfied everywhere in the plasma, the magnetosphere is stable to the well-known MHD "firehose" and "mirror" instabilities, respectively [Grad, 1967]. The third term in Eq. (18) drives ballooning modes by pressure gradient in the bad curvature region where $\alpha_p > 0$.

The quadratic form, Eq. (16), is not Hermitian, and there is no energy principle. However, if δW_k is small compared with δW_f and can be neglected, then Eq. (16) is an energy principle and the variational technique can be applied to obtain eigenvalue and eigenfunction. In the magnetospheric ring current region, it had been shown by Cheng and Lin [1987] that δW_k is small compared with δW_f for perturbations with $\hat{\Phi}$ and $\delta B_{\parallel}$ having antisymmetric structures along

the north-south symmetric equilibrium magnetic field lines in the low frequency limit with $(\omega - \omega_d) \ll \omega_b$, where $\omega_d = \langle \vec{k}_\perp \cdot \vec{v}_d \rangle$ is the trapped particle bounce-averaged magnetic drift frequency and ω_b is the bounce frequency. In this limit δW_k is of the order of (ω/ω_b) smaller than δW_f since the contributions from the $(\omega - \omega_d) = 0$ magnetic drift-bounce resonance vanishes. Therefore, if δW_k can be neglected, two parameters, α_p and τ , will determine the MHD stability. In the limit that the perturbed transverse and the perturbed compressional magnetic field components decouple, it is possible that the ballooning instability can occur with perturbation localized around the region where $\alpha_p > 0$, and the mirror mode can be unstable with perturbation localized around the region where $\tau < 0$. In general, the transverse and the compressional perturbed magnetic field components couple, and the stability properties will be modified.

In the limit $(\omega - \omega_d) \approx \omega_b$ the drift-bounce resonance can contribute to δW_k , and Chen and Hasegawa [1991] proposed that the drift Alfvén ballooning-mirror instability can be destabilized by hot particle pressure gradient via the magnetic drift-bounce resonance in the form of compressional magnetic field with antisymmetric field-aligned structure. The drift Alfvén ballooning mirror instability may have lower pressure anisotropy threshold than the mirror instability. However, wave resonance with all particle species must be included in the calculation before a definite answer on the stability threshold can be concluded.

3. Theory of Ballooning-Mirror Instabilities in a Dipole Magnetosphere

In this section we study the stability of ballooning and mirror modes in the magnetosphere. Let us consider a magnetospheric plasma consisting of a core (c) background component and a hot (h) bi-Maxwellian ion component with the hot plasma density smaller than the core plasma density, $n_h \ll n_c$, and the hot plasma temperature larger than the core plasma temperature, $T_h \gg T_c$. The plasma beta is dominated by the hot component. For the ring current plasma near the geosynchronous orbit, typically the core plasma temperature is $T_c \approx 10$ eV, the hot plasma temperature is $T_h \approx 10^4$ eV, the ratio of the hot plasma density to the core plasma density is $(n_h/n_c) \approx 10^{-1}$, thus the ratio of core plasma beta to the hot plasma beta is $(\beta_c/\beta_h) \approx 10^{-2}$. The equatorial magnetic field is approximately 100 nT. The hot proton Larmor radius ρ_L is approximately 100 km, and for an azimuthal wave number $m \approx 10^2$ we have $(k_\perp \rho_L)^2 \approx (2.5 \times 10^{-3} \text{ m})^2 = 0.0625 \ll 1$. Therefore, the hot ion FLR effect can be neglected.

The bi-Maxwellian guiding center velocity distribution for energetic ion is chosen at the magnetic equator, expressed in terms of adiabatic invariant and constants of motion variables, and is given by

$$\begin{aligned} F(\epsilon, \mu, \psi) &= N(\psi) [2\pi T_{\parallel}(\psi)/M]^{-3/2} \exp[-Mv_{\parallel}^2/T_{\parallel}(\psi) - Mv_{\perp}^2/T_{\perp}(\psi, B)] \\ &= N(\psi) [2\pi T_{\parallel}(\psi)/M]^{-3/2} \exp[-M\epsilon/T_{\parallel}(\psi) + M\mu B_0(\psi)/T_0(\psi)], \end{aligned} \quad (21)$$

where M is the particle mass, and the temperature ratio is $T_{\perp}(\psi, B)/T_{\parallel}(\psi) = [1 - B_0 T_{\parallel}/B T_0]^{-1}$. $B_0(\psi)$ can be chosen as the magnetic field at the magnetic equator. The particle density is given by $n(\psi, B) = N(\psi) [T_{\perp}(\psi, B)/T_{\parallel}(\psi)]$, the parallel pressure is given by $P_{\parallel}(\psi, B) = P(\psi) T_{\perp}(\psi, B)/T_{\parallel}(\psi)$, the perpendicular pressure is given by $P_{\perp}(\psi, B) = P(\psi) [T_{\perp}(\psi, B)/T_{\parallel}(\psi)]^2$. From Eq. (7) the pressure anisotropy parameter is given by $\tau = 1 + (2P_{\perp}/B^2) [1 - P_{\perp}/P_{\parallel}]$, where $N(\psi)$ and $P(\psi) = N(\psi) T_{\parallel}(\psi)$ are the density and pressure in the isotropic pressure limit, respectively. From Eq. (19) the ballooning instability parameter is given by $\alpha_p = (k_{\phi}^2/k_{\perp}^2) (\kappa_c L) [(\sigma/\tau) (P_{\perp}/B^2) \partial \ln P_{\perp}/\partial \ln L + (P_{\parallel}/B^2) \partial \ln P_{\parallel}/\partial \ln L]$, where $\kappa_c = \mathbf{\hat{\kappa}} \cdot \nabla \psi / |\nabla \psi|$ is the normal magnetic field curvature. Note that α_p and τ are not linearly independent. Also note that for a bi-Maxwellian distribution $\partial \ln P_{\parallel}/\partial \ln L = \partial \ln P/\partial \ln L + \partial \ln(T_{\perp}/T_{\parallel})/\partial \ln L$. If $T_{\parallel}/T_0$ is chosen to be a constant and $B_0(L)$ is chosen to be the equatorial magnetic field, then $\partial \ln(T_{\perp}/T_{\parallel})/\partial \ln L = -3(T_{\perp}/T_{\parallel} - 1)$. Similarly, $\partial \ln P_{\perp}/\partial \ln L = \partial \ln P/\partial \ln L + 2\partial \ln(T_{\perp}/T_{\parallel})/\partial \ln L$.

We will consider a dipole magnetic field $\vec{B} = \nabla \psi \times \nabla \phi$, where $\psi = -M_E \sin^2 \theta / r$, with r and θ being the radius and polar angle in the right-handed spherical coordinate system (r, θ, ϕ) , and M_E is the dipole moment. The dipole magnetic field line equation is given by $r = L \sin^2 \theta$, where L is the L-shell distance in the equatorial plane. Note that $\psi = -M_E / L$. The components of the dipole magnetic field are given by $B_r = -2M_E \cos \theta / r^3$, $B_{\theta} = -M_E \sin \theta / r^3$, and the magnitude of the magnetic field is given by $B = B_0(\theta = 0, L) (1 + 3 \cos^2 \theta)^{1/2} / \sin^6 \theta$ along the field line, where $B_0 = B(\theta = 0, r = L)$. The normal component of the magnetic field curvature is given by $\kappa_c = -3(1 + \cos^2 \theta) / [L \sin \theta (1 + 3 \cos^2 \theta)^{3/2}]$.

In the following we will assume that $(\omega - \omega_d) \ll \omega_b$ and neglect small bounce resonance terms in $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$ that are in the order of (ω/ω_b) . In Sec. 3.1 we will first totally neglect $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$ and discuss the local stability properties by considering the coupling between the ballooning-mirror modes. The corresponding eigenmode stability theory of the ballooning and the mirror modes that takes into account the field-aligned plasma properties is presented in Sec. 3.2. By totally neglecting $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$, the eigenmode equations are essentially MHD equations with

anisotropic pressure along the field line. It is shown that the MHD ballooning modes with $\hat{\Phi}$ and $\delta B_{\parallel}$ having symmetric structures along the north-south equilibrium magnetic field lines have the lowest beta stability threshold. The antisymmetric MHD modes have higher beta stability threshold. For small pressure anisotropy the antisymmetric MHD mode is a pure ballooning mode. But, for higher pressure anisotropy with simple mirror threshold ($\tau = 0$) exceeded at equator, the antisymmetric MHD mode becomes a hybrid ballooning-mirror mode with strong coupling between the transverse and the parallel magnetic field components. When the leading kinetic contribution from $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$ is included in the analysis for the symmetric modes, the symmetric $\delta B_{\parallel}$ modes are shown numerically to be stabilized by the energetic trapped particle kinetic effect in the absence of wave-particle resonance. An analytical theory for the energetic trapped particle stabilization of the symmetric ballooning mode is given in Sec. 3.3. On the other hand, the kinetic trapped particle effects on the stability of the antisymmetric $\delta B_{\parallel}$ mode are relatively small. Therefore, the antisymmetric MHD modes have the lowest critical beta threshold for instability and should account for the ULF wave events.

It is important to comment here that in the MHD theory unstable MHD instabilities have zero real frequency. The real frequency of the MHD instabilities must be determined by considering the Doppler shift frequency associated with the bulk plasma convection as well as the diamagnetic and magnetic drift frequencies of various plasma species. As long as the frequency of the mode frequency, the core plasma diamagnetic drift frequencies, and the Doppler shift frequency associated with the bulk plasma convection are small compared with the hot ion magnetic drift and diamagnetic drift frequencies, the analysis performed in the paper is valid in the lowest order in the frequency ordering.

3.1 Local Stability Theory

A great deal of physical insight can be achieved by studying the local dispersion relation of the coupled eigenmode equations, Eqs. (14) and (15), near equator by neglecting the nonadiabatic pressure responses $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$. In an axisymmetric magnetospheric geometry without toroidal magnetic field the perpendicular wave vector can be expressed as $\vec{k}_{\perp} = k_{\phi} \nabla \phi / |\nabla \phi| + k_{\psi} \nabla \psi / |\nabla \psi|$, where $k_{\phi} = m/R$ is the azimuthal wave number, m is the azimuthal mode number, and k_{ψ} is the radial (across the L-shells) wave number. We will consider that the azimuthal wavelength is much shorter than the radial wavelength, i.e., $k_{\phi} \gg k_{\psi}$. By assuming a WKB wave form along the field line so that $\hat{\Phi}$ and $\delta B_{\parallel}$ are proportional to $\exp(ik_{\parallel}s)$ where s is the distance along the field line. Eqs. (14) and (15) reduce to

$$[\omega^2/V_A^2 - \sigma k_{\parallel}^2 + \kappa_c P_{\parallel}' \sin^3 \theta / BB_0] \hat{\Phi} + (\sigma \kappa_c / k_{\phi}) \delta B_{\parallel} = 0, \quad (22)$$

$$[\omega^2/V_A^2 - \sigma k_{\parallel}^2 - \tau k_{\phi}^2] \delta B_{\parallel} + (k_{\phi}^3 P_{\perp}' \sin^3 \theta / BB_0) \hat{\Phi} = 0, \quad (23)$$

where $\kappa_c = \vec{\kappa} \cdot \nabla \psi / |\nabla \psi|$, $P_{\parallel, \perp}' = \partial P_{\parallel, \perp} / \partial L$, and $V_A = B / \sqrt{\rho}$ is the Alfvén velocity, and the background plasma mass density ρ is uniform along the field line. In the decoupling limit, Eq. (22) describes a purely growing ballooning mode with a dominant $\delta \vec{B}_{\perp}$ perturbation when $\omega_K^2 \equiv (\sigma k_{\parallel}^2 - \kappa_c P_{\parallel}' \sin^3 \theta / BB_0) V_A^2$ is negative, where the $\sigma k_{\parallel}^2$ term represents stabilizing field line bending effect for $\sigma > 0$ and the $\kappa_c P_{\parallel}'$ term represents the destabilizing effect of pressure gradient in a 'bad' magnetic field curvature if $\kappa_c P_{\parallel}' > 0$. Equation (23) describes a purely growing mirror mode with a dominant $\delta B_{\parallel}$ perturbation when $\omega_M^2 \equiv (\sigma k_{\parallel}^2 + \tau k_{\phi}^2) V_A^2$ is negative, where the τk_{ϕ}^2 term represents the destabilizing free energy associated with the anisotropy in the velocity distribution for $\tau < 0$.

In general plasma geometry with magnetic field curvature and pressure gradient, an MHD perturbation has both $\delta \vec{B}_{\perp}$ and $\delta B_{\parallel}$ components. In particular, if τk_{ϕ}^2 is large, the stability of the ballooning mode must be determined by including the coupling between $\delta \vec{B}_{\perp}$ and $\delta B_{\parallel}$ components. On the other hand, if τ is near zero, the coupling between $\delta \vec{B}_{\perp}$ and $\delta B_{\parallel}$ components must also be considered to study the stability of the mirror mode. The solutions of the coupled equations, Eqs. (22) and (23), are given by

$$\omega_{\pm}^2 = [\Gamma \pm (\Gamma^2 - \Sigma)^{1/2}] (V_A^2 / 2), \quad (24)$$

where $\Gamma = (\omega_K^2 + \omega_M^2) / V_A^2 = \tau k_{\phi}^2 + 2\sigma k_{\parallel}^2 - \kappa_c P_{\parallel}' \sin^3 \theta / BB_0$ and $\Sigma = 4 [(\tau k_{\phi}^2 + \sigma k_{\parallel}^2) (\sigma k_{\parallel}^2 - \kappa_c P_{\parallel}' \sin^3 \theta / BB_0) - k_{\phi}^2 \sigma \kappa_c P_{\perp}' \sin^3 \theta / BB_0]$. If $\Sigma < 0$, there is only one purely growing mode with $\omega^2 = \omega_-^2$. If $\Gamma^2 > \Sigma > 0$ and $\Gamma < 0$, there are two purely growing modes with $\omega^2 = \omega_{\pm}^2$. If $\Gamma^2 > \Sigma > 0$ and $\Gamma > 0$, there is no unstable mode. If $\Sigma > \Gamma^2$, there are two unstable complex frequency modes.

On the outer side of the ring current region $\sigma > 0$ and $\kappa_c P_{\perp}' > 0$, it is possible that $\Sigma < 0$ (equivalently, $\omega_K^2 \omega_M^2 < k_{\phi}^2 \sigma \kappa_c P_{\perp}' \sin^3 \theta / BB_0$) and there is one purely growing mode. The condition can be satisfied even when both $\omega_K^2 > 0$ and $\omega_M^2 > 0$, i.e., both the simple ballooning instability condition ($\omega_K^2 < 0$) and the simple mirror instability condition ($\omega_M^2 < 0$) are not satisfied. This indicates that coupling between $\delta \vec{B}_{\perp}$ and $\delta B_{\parallel}$ can reduce the instability threshold. If

both $\omega_K^2 < 0$ and $\omega_M^2 < 0$, then $\Gamma < 0$, and the condition to have two purely growing modes is $\Gamma^2 > \Sigma > 0$, or equivalently $(\omega_K^2 - \omega_M^2)^2 > -4k_\phi^2 \sigma \kappa_c P_\perp' \sin^3 \theta / BB_0$ and $\omega_K^2 \omega_M^2 > k_\phi^2 \sigma \kappa_c P_\perp' \sin^3 \theta / BB_0$. If $\omega_K^2 > 0$ and $\omega_M^2 > 0$, then $\Gamma > 0$, and the condition to have two unstable modes with complex frequency is $\Gamma^2 < \Sigma$, or equivalently $(\omega_K^2 - \omega_M^2)^2 < -4k_\phi^2 \sigma \kappa_c P_\perp' \sin^3 \theta / BB_0$. This condition cannot be met on the outer side of ring current region since $\sigma \kappa_c P_\perp' > 0$. Therefore, coupling between ballooning and mirror modes does not create unstable modes with complex frequency.

On the inner side of the ring current region, typically we have $\sigma > 0$, $\kappa_c P_\parallel' < 0$, and $\tau > 0$. Thus, $\Gamma > 0$ and $\Sigma > 0$. Therefore, if $\Gamma^2 > \Sigma$ there is no unstable mode, and if $\Gamma^2 < \Sigma$ there are two unstable modes with complex frequencies. Since $\tau \approx 1$ and $\omega_M^2 \gg \omega_K^2$ usually, we expect $\Gamma^2 > \Sigma$ and there is no unstable mode on the inner side of the ring current region.

To illustrate the above qualitative features we show in Fig. 1 numerical solutions of the stability boundaries in $(\beta_\parallel, T_\perp/T_\parallel)$ space for the outer side of the ring current region with typical equatorial parameters: $(k_\parallel/k_\phi)^2 = 10^{-2}$, $(k_\parallel^2 L/\kappa_c) = -1$, and $\partial \ln P / \partial \ln L = -5$. Note that for a dipole field $\kappa_c = -3/L$ at equator. In Fig. 1, there are two curves satisfied by $\Sigma = 0$. In the region between these two $\Sigma = 0$ curves, $\Sigma < 0$, and there is only one purely growing mode. In the region below the lower $\Sigma = 0$ curve there is no unstable mode. We note that the condition of $\Sigma = 0$ can be approximately given by $\omega_B^2 = 0$. The $\omega_M^2 = 0$ and $\Gamma = 0$ curves lie in this region and almost coincide because $\omega_K^2 \ll \omega_M^2$. Below the $\omega_M^2 = 0$ and $\Gamma = 0$ curves in this region, $\omega_M^2 > 0$ and $\Gamma > 0$, and the unstable mode is dominantly a ballooning type mode; above the $\omega_M^2 = 0$ and $\Gamma = 0$ curves, $\omega_M^2 < 0$ and $\Gamma < 0$, and the unstable mode is mainly a mirror type mode. In the region above the upper $\Sigma = 0$ curve, $\Gamma^2 > \Sigma > 0$ and $\Gamma < 0$, and there two purely growing modes. Note that the $\omega_M^2 = 0$ and $\Gamma = 0$ curves are insensitive to the fixed parameters as long as $(k_\parallel/k_\phi)^2 \ll 1$. The $\Sigma = 0$ curves are quite sensitive to the fixed parameters; if $|k_\parallel^2 L/\kappa_c|$ decreases or $|\partial \ln P / \partial \ln L|$ increases, both the $\Sigma = 0$ curves move downward, and vice versa. We emphasize that for usual ring current parameters the lower $\Sigma = 0$ curve is lower than the $\omega_M^2 = 0$ and $\Gamma = 0$ curves, and therefore, the critical beta for ballooning mode is lower than for mirror mode. Near the region between the $\omega_M^2 = 0$ and $\Gamma = 0$ curves there is a strong coupling between the ballooning and the mirror modes, and the mode changes from a ballooning mode at lower $\beta_\parallel$ to a mirror mode at higher $\beta_\parallel$.

Near the marginal stability and if $|\sigma k_{\parallel}^2| \ll |\tau k_{\phi}^2|$, we have from Eq. (23) $\delta B_{\parallel} \approx k_{\phi} P_{\perp} \hat{\Phi} / \tau B^2$. The usually quoted ballooning mode stability frequency can be obtained from Eq. (22) and is approximately given by

$$\omega^2 \approx \omega_B^2 \equiv [\sigma k_{\parallel}^2 - \kappa_c (P_{\parallel}' + \sigma P_{\perp}' / \tau) \sin^3 \theta / BB_0] V_A^2. \quad (25)$$

Similarly, the mirror mode stability frequency is approximately given by

$$\omega^2 \approx \omega_M^2 \equiv [\sigma k_{\parallel}^2 + \tau k_{\phi}^2] V_A^2. \quad (26)$$

Figure 2(a) shows the magnetic latitudinal dependence of Ω_M^2 , Ω_B^2 , and $\Omega_{\pm}^2$ along a dipole field line for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel}(\theta = 0) = 1.5$, $\beta_{\parallel}(\theta = 0) = 0.75$, $m = 20$, $(k_{\parallel}L)^2 = 4$, and $\partial \ln P / \partial \ln L = -5$, where Ω_M^2 , Ω_B^2 , and $\Omega_{\pm}^2$ are normalized in the form of $(\omega L / m V_A(\theta = 0))^2$ with m being the azimuthal mode number. Figure 2(b) shows the corresponding θ dependence of $T_{\perp}/T_{\parallel}$ and $\beta_{\parallel}$ along the field line. It is clear that Ω_B^2 and Ω_M^2 are good approximations of $\Omega_{\pm}^2$. This is because $\tau > 0$ along the field line for the set parameters. On the other hand if $\tau = 0$ at some θ_0 , there will be a strong coupling between the mirror and the ballooning modes around θ_0 where mode transition occurs. Figure 3(a) shows the magnetic latitudinal dependence of Ω_M^2 , Ω_B^2 , and $\Omega_{\pm}^2$ along the dipole field line for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel} = 2.0$ at $\theta = 0$, $\beta_{\parallel}(\theta = 0) = 1.0$, $m = 20$, $(k_{\parallel}L)^2 = 4$, and $\partial \ln P / \partial \ln L = -5$. The corresponding θ dependence of $T_{\perp}/T_{\parallel}$ and $\beta_{\parallel}$ along the field line is shown in Fig. 3(b). At $\theta_0 (\approx 9^\circ)$ where $\tau = 0$, Ω_B^2 is discontinuous and changes from ∞ to $-\infty$. The Ω_{+}^2 branch changes from a stable ballooning type (Ω_B^2) mode in the low latitude region to a stable mirror type (Ω_M^2) mode in the high latitude region. Similarly, the Ω_{-}^2 branch changes from an unstable mirror type mode in the low latitude region ($\theta < 9^\circ$) to an unstable ballooning type mode in the high latitude region ($\theta > 9^\circ$).

3.2 MHD Eigenmode Stability Theory

We will consider numerical solutions of the eigenmode equations, Eqs. (14) and (15), by neglecting the nonadiabatic pressure responses $\delta \hat{p}_{\perp}$ and $\delta \hat{p}_{\parallel}$. Thus, the trapped particle kinetic effects such as particle trapping, wave-particle resonance, and finite ion Larmor radius are ignored. There are many eigenmode solutions for a given set of parameters; there are symmetric and antisymmetric field-aligned eigenmode solutions with respect to the equator. For a parity, there are also many eigenmode solutions with different mode structures; $N = 0, 2, 4, \dots$ specify symmetric

modes with $N = 0, 2, 4, \dots$ nodes excluding the boundary points, respectively, and $N = 1, 3, 5, \dots$ specify antisymmetric modes with $N = 1, 3, 5, \dots$ nodes, respectively. In general, the most unstable mode has the least number of oscillation node and is the $N = 0$ symmetric mode, and the next most unstable mode is the $N = 1$ antisymmetric mode. In the following we will present the results for the $N = 0$ symmetric mode and the $N = 1$ antisymmetric mode.

To compute the perpendicular perturbed magnetic field components we assume that the azimuthal wavelength is much shorter than the wavelength perpendicular to the magnetic flux surface, i.e. $k_\phi \gg k_\psi$. Then, the $\nabla\psi$ component can be obtained from the Faraday's law and Ohm's law, $\nabla \times (\vec{\xi} \times \vec{B}) = \delta\vec{B}$, where $\vec{\xi} = -(\hat{\nabla}\hat{\Phi} + \vec{A}) \times \vec{B} / B^2$ is the plasma displacement, and $\vec{A}$ is the vector potential. In an axisymmetric magnetosphere we have

$$\delta B_\psi \equiv \delta\vec{B} \cdot \nabla\psi / |\nabla\psi| = \vec{B} \cdot \nabla(\vec{\xi} \cdot \nabla\psi) / |\nabla\psi| \approx -i \vec{B} \cdot \nabla (\nabla\phi \cdot \nabla\hat{\Phi} / |\nabla\phi|^2) / |\nabla\psi|, \quad (27)$$

where ϕ is the azimuthal angle and we have assumed that $\nabla\phi \cdot \nabla\hat{\Phi} \gg \nabla\phi \cdot \vec{A}$ for high- m modes. The azimuthal component of the perturbed magnetic field is given by

$$\delta\vec{B} \cdot \nabla\phi / |\nabla\phi| = \vec{B} \cdot \nabla(\vec{\xi} \cdot \nabla\phi) / |\nabla\phi| \approx \vec{B} \cdot \nabla [\nabla\psi \cdot \vec{A} / |\nabla\psi|^2] / |\nabla\phi|. \quad (28)$$

Note that $\nabla\psi \cdot \vec{A}$ is larger than $\nabla\psi \cdot \nabla\hat{\Phi}$ and is related to the parallel magnetic field component by $\delta B_\parallel = \vec{B} \cdot \nabla \times \vec{A} / B \approx -B \partial[\nabla\psi \cdot \vec{A} / |\nabla\psi|^2] / \partial\phi$. Thus, if the perturbed quantities are proportional to $\exp(im\phi)$, we have

$$\delta B_\phi \equiv \delta\vec{B} \cdot \nabla\phi / |\nabla\phi| \approx i \vec{B} \cdot \nabla (\delta B_\parallel / mB) / |\nabla\phi|. \quad (29)$$

3.2.1 Symmetric $\delta B_\parallel$ Modes

Figure 4 shows the field-aligned structure of the three perturbed magnetic field components (a) $\delta B_\parallel$, (b) δB_ψ , (c) $-i\delta B_\phi$ normalized by B_0 , and (d) the normalized electrostatic potential $\delta\Phi$ ($=\Phi/\omega L^2 B_0$, where Φ is the electrostatic potential) of a marginally stable $N = 0$ symmetric ballooning mode for $L = 6.6 R_E$, $T_\perp/T_\parallel = 1.5$ at $\theta = 0$, $\beta_\parallel(\theta = 0) = 0.10068$ ($\tau = 0.928$), azimuthal mode number $m = 50$, and $\partial \ln P / \partial \ln L = -5$. We note that the radial magnetic field δB_ψ is the dominant component. Figure 5(a) shows the marginal stability boundaries of the $N = 0$ symmetric ballooning modes in the equatorial $(\beta_\parallel, T_\perp/T_\parallel)$ space for $\partial \ln P / \partial \ln L = -5, -2.5$, and -1 .

with the fixed parameters: $L = 6.6 R_E$ and azimuthal mode number $m = 50$. Also shown in Fig. 5(a) is the $\tau = 0$ curve above which the mirror instability criterion is satisfied locally. It is clear that the $N = 0$ symmetric ballooning mode has a small critical beta that is much smaller than the local mirror stability threshold. The pressure anisotropy is destabilizing and can lower the critical beta for ballooning mode. We note that the critical beta value for the $N = 0$ symmetric ballooning mode for $\partial \ln P / \partial \ln L = -5$ is about the same as the local theory prediction presented in Fig. 1. The small discrepancy is mainly due to the use of fixed values of $(k_{\parallel}/k_{\phi})^2$ and $(k_{\parallel}^2 L / \kappa_c)$ in the local theory calculation. The eigenmode solutions provide the variation of $(k_{\parallel}/k_{\phi})^2$ and $(k_{\parallel}^2 L / \kappa_c)$ along the field line. The corresponding stability boundaries in the equatorial (α_p, τ) space are also shown in Fig. 5(b), where α_p is defined in Eq. (19). Above the marginal stability boundary curves the $N = 0$ symmetric ballooning modes are unstable. We note that the stability curves are much closer to each other (within 50%) in the equatorial (α_p, τ) space than in the equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space. It is important to point out that the critical beta or α_p thresholds for the $N = 0$ MHD ballooning modes are much smaller than those for the $N = 1$ modes presented in Sec. 3.2.2

An important comment is made here that the $N = 0$ modes will be stabilized if the kinetic effects associated with the energetic trapped particles are included. This will be discussed in Sec. 3.3. On the other hand, the kinetic trapped particle effects on the stability of the $N = 1$ mode are relatively small. Therefore, the $N = 1$ MHD mode has the lowest critical beta threshold for instability.

3.2.2 Antisymmetric $\delta B_{\parallel}$ Modes

Figure 6 shows (a) the field-aligned structure of the three perturbed magnetic field components $\delta B_{\parallel}$, δB_{ψ} , and $-i\delta B_{\phi}$ normalized by B_0 , and (d) the normalized electrostatic potential $\delta\Phi$ ($=\Phi/\omega L^2 B_0$, where Φ is the electrostatic potential) of a marginally stable $N = 1$ antisymmetric ballooning mode for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel}(\theta = 0) = 1.6$, $\beta_{\parallel}(\theta = 0) = 1.179$ ($\tau = -0.1317$), azimuthal mode number $m = 50$, and $\partial \ln P / \partial \ln L = -2$. Note that $\delta B_{\parallel}$ is antisymmetric with respect the equator and is localized with a latitudinal spread of about 20° . The transverse magnetic components, radial component δB_{ψ} and azimuthal component δB_{ϕ} , have a symmetric parity and their polarization varies with the magnetic latitude. From Eq. (29) we see that the azimuthal component δB_{ϕ} is proportional to the field-aligned derivative of $\delta B_{\parallel}$, but is inversely proportional to the azimuthal mode number. We also note that the field-aligned wave structure is similar to those of the multisatellite (SCATHA, GOES2, GOES3, GEOS2) observations of a long lasting compressional Pc 5 wave event during November 14-15, 1979 [Takahashi et al., 1987; Takahashi,

et al., 1990] which revealed the field-aligned structures of the compressional magnetic field $\delta B_{\parallel}$ to be antisymmetric with respect to the earth's magnetic equator with a full latitudinal range of about 20° .

From Fig. (6) the maximum value of the normalized electrostatic potential of the ballooning-mirror mode is roughly $\delta\Phi_{\max} \approx 10^{-3}(\delta B_{\parallel}/B_o)_{\max}$, and the maximum azimuthal electric field in the ring current region can be estimated. Assuming that $(\delta B_{\parallel}/B_o)_{\max} = 0.05$, a typical hot proton energy $T_h \approx 10$ keV, a hot proton Larmor radius $\rho_L \approx 100$ km for an equatorial magnetic field with $B_o \approx 100$ nT, and the wave frequency is much smaller than the proton cyclotron frequency with $(\omega/\omega_c) \approx 10^{-2}$, the maximum perpendicular electric field near the equatorial plane is estimated to be $\delta E_{\phi\max} \approx m\Phi_{\max}/L = (2\omega/\omega_c)(mL/\rho_L)(T_h/e\rho_L)\delta\Phi_{\max} \approx 2$ mV/m, which is about the same order as the typical dawn-dust electric field. Therefore, energetic particles resonating in phase with the ULF wave can be accelerated (or decelerated) in the azimuthal direction and will gain (or lose) about 13 keV in a traveling distance of one Earth radius.

The corresponding perturbed current density can be obtained from $\delta\vec{J} \approx i\vec{k} \times \delta\vec{B}$, where $ik_{\parallel} = (\vec{B}/B) \cdot \nabla$. The three components of the perturbed current density is given by the radial component $\delta J_{\psi} = i(k_{\phi}\delta B_{\parallel} - k_{\parallel}\delta B_{\phi})$, the azimuthal component $\delta J_{\phi} = i(k_{\parallel}\delta B_{\psi} - k_{\psi}\delta B_{\parallel})$, and the field-aligned component $\delta J_{\parallel} = i(k_{\psi}\delta B_{\phi} - k_{\phi}\delta B_{\psi})$. Figures 7 shows the three components of the perturbed current density, (a) $-i\delta J_{\parallel}$, (b) $-i\delta J_{\psi}$, and (c) δJ_{ϕ} , normalized by (B_o/L) for the corresponding magnetic field perturbation shown in Fig. 6. It is clear that the field-aligned current density is the dominant component near the ionosphere-magnetosphere boundary. Assuming that $(\delta B_{\parallel}/B_o)_{\max} = 0.05$, the field-aligned current density near the ionosphere-magnetosphere boundary can be estimated from Fig. 7(a) to be $\delta J_{\parallel} \approx 10^{-7}$ A/m², which is about 20 times larger than the typical westward ring current density of $J_{\phi} \approx 5 \times 10^{-9}$ A/m². The large field-aligned current density generated near the ionosphere-magnetosphere boundary by the ballooning-mirror instability may be related to the substorm activities.

Figure 8(a) shows the marginal stability boundaries of the $N = 1$ antisymmetric mode in the equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space for $\partial \ln P / \partial \ln L = -100, -50, -25, -10, -5, -2.5$, and -1 , respectively, with the fixed parameters: $L = 6.6 R_E$ and azimuthal mode number $m = 50$. Also shown in Fig. 8(a) is the equatorial $\tau = 0$ curve above which the mirror instability criterion is satisfied at equator. The pressure anisotropy is destabilizing and can lower the critical beta for ballooning mode presented in Fig. 5(a). We note that the critical beta for the $N=1$ antisymmetric mode is much higher than the $N = 0$ symmetric ballooning mode. For $T_{\perp}/T_{\parallel} > 1.6$ (with $\partial \ln P / \partial \ln L = -5$) the critical beta is larger than the beta values that satisfy $\tau = 0$. Therefore, for equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$

values that are above the $\tau = 0$ curve, the mode will have mirror mode characteristics and can be classified as mirror mode. The above demonstration clearly shows the transition from an antisymmetric ballooning mode in low $\beta_{\parallel}$ and $T_{\perp}/T_{\parallel}$ to an antisymmetric, dominant mirror-type mode as $\beta_{\parallel}$ and $T_{\perp}/T_{\parallel}$ increase. The corresponding stability boundaries are also shown in Fig. 8(b) in the equatorial (α_p, τ) space. Note that for $\tau < 0$, the α_p threshold is also negative, and we have plotted absolute value of α_p in Fig. 8(b) for $\tau < 0$. Above the marginal stability boundary curves the $N = 1$ antisymmetric ballooning-mirror modes are unstable. The marginal stability boundary curves in the equatorial (α_p, τ) space is very close to each other even for $100 > |\partial \ln P / \partial \ln L| > 1$. This probably holds even for different type of particle velocity distributions. This property is useful when we compare theoretical calculations with satellite observations where information on $\partial \ln P / \partial \ln L$ and particle velocity distribution is either unavailable or can not be obtained accurately.

The field-aligned structure of the perturbed magnetic field of the $N = 1$ antisymmetric mode changes as the mode changes from a ballooning mode in low $\beta_{\parallel}$ and $T_{\perp}/T_{\parallel}$ to a mixed mirror-ballooning type mode as $\beta_{\parallel}$ and $T_{\perp}/T_{\parallel}$ increase (or equivalently, as τ decreases). Figures 9(a) - (d) show the field-aligned perturbed magnetic field structures of the marginally stable $N = 1$ antisymmetric modes along a marginal stability curve shown in Fig. 8(a) with equatorial values of (a) $T_{\perp}/T_{\parallel} = 1$, $\beta_{\parallel} = 1.93$ ($\tau = 1$), (b) $T_{\perp}/T_{\parallel} = 1.3$, $\beta_{\parallel} = 1.489$ ($\tau = 0.419$), (c) $T_{\perp}/T_{\parallel} = 1.6$, $\beta_{\parallel} = 0.987$ ($\tau = 0.052$), and (d) $T_{\perp}/T_{\parallel} = 2$, $\beta_{\parallel} = 0.575$ ($\tau = -0.149$). The fixed parameters are $L = 6.6$, azimuthal mode number $m = 50$, and $\partial \ln P / \partial \ln L = -5$. For positive values of τ , the local dispersion indicates a ballooning mode along the field line. As τ decreases, the amplitudes of the parallel magnetic field component $\delta B_{\parallel}$ and the azimuthal component δB_{ϕ} increase, and the mode also becomes more localized. As τ becomes negative, the local dispersion is a mirror mode near the equator, but has ballooning mode characteristics as the latitude increases away from the equatorial region. In fact, Figs. 9(c) and 9(d) resemble the field-aligned wave structures (all three perturbed magnetic field components) of the multisatellite (SCATHA, GOES2, GOES3, GEOS2) observations of a long lasting compressional Pc 5 wave event during November 14-15, 1979 [Takahashi et al., 1987; Takahashi, et al., 1990]. This strongly suggests that this particular multisatellite observation may be related to the marginally stable ballooning-mirror mode with τ value close to zero.

It is interesting to point out that the perturbed magnetic field near the ionosphere boundary is dominated by the radial component for the internally excited ballooning-mirror mode. On the other hand, for the field line resonance (external excitation) the perturbed magnetic field near the

ionosphere boundary is dominated by the azimuthal component [Cheng et al., 1993a]. This knowledge can be used to help determine the excitation mechanism of ULF waves.

3.3 Trapped Particle Stabilization of Symmetric Ballooning Modes

From the MHD eigenmode calculations we have found that the symmetric ballooning modes have lower critical beta thresholds than the antisymmetric ballooning-mirror modes. Therefore, from the MHD theory one would conclude that modes with symmetric $\delta B_{\parallel}$ field-aligned structure should be observed. However, the satellite observations clearly indicate that compressional Pc 5 waves have antisymmetric $\delta B_{\parallel}$ field-aligned structure. This paradox can be resolved if the kinetic trapped particle effect is retained in the analysis. In fact, numerical solutions including the kinetic trapped particle effect show that ballooning modes with symmetric $\delta B_{\parallel}$ field-aligned structure are stable for the parameters of interest in the ring current region. In the following, we will perform an analytical analysis to support the conclusion.

Considering modes near marginal stability and assuming that $|\sigma k_{\parallel}|^2 \ll |\tau k_{\perp}|^2$, then from Eq. (15), we have $\delta B_{\parallel} \approx -(\vec{B} \times \vec{\nabla} P_{\perp} \cdot \vec{\nabla} \hat{\Phi} / B^2 + \delta \hat{p}_{\perp}) / \tau B$. Then Eq. (14) reduces to

$$\begin{aligned} \vec{B} \cdot \nabla \left(\frac{\sigma k_{\perp}^2}{B^2} \vec{B} \cdot \nabla \hat{\Phi} \right) + \frac{\rho k_{\perp}^2}{B^2} \hat{\Phi} \\ + \frac{(\vec{B} \times \vec{\kappa}) \cdot \vec{k}_{\perp}}{B^2} \left[\left(\frac{\vec{B} \times \vec{\nabla} P_{\parallel}}{B^2} + \frac{\sigma \vec{B} \times \vec{\nabla} P_{\perp}}{\tau B^2} \right) \cdot \vec{k}_{\perp} \hat{\Phi} + \delta \hat{p}_{\parallel} + \sigma \delta \hat{p}_{\perp} / \tau \right] = 0. \end{aligned} \quad (30)$$

In the limit of small gyroradius and small frequency, we can neglect contributions that are on the order of (ω/ω_b) , ω_b is the trapped bounce frequency. The nonadiabatic trapped particle pressure perturbation is then given by [Cheng and Lin, 1987]

$$\begin{pmatrix} \delta \hat{p}_{\parallel} \\ \delta \hat{p}_{\perp} \end{pmatrix} = \sum_j \int d^3v \frac{(-\omega \partial F / \partial \epsilon - \omega_* F / \epsilon_0)}{(\omega - \langle \omega_d \rangle)} \langle Z \rangle \begin{pmatrix} 2(\epsilon - \mu B) \\ \mu B \end{pmatrix} + O(\omega/\omega_b), \quad (31)$$

where $Z = e\omega_d \hat{\Phi} + M\mu \delta B_{\parallel}$, e is the particle charge, the trapped particle bounce average of Z is given by $\langle Z \rangle = \oint ds Z / |v_{\parallel}| / \oint ds / |v_{\parallel}|$ where the integration is along the trapped particle trajectory in

one bounce period, $\omega_* = cM\epsilon_0(\vec{B} \times \nabla \ln F \cdot \vec{k}_\perp)/eB^2$, $M\epsilon_0$ is the typical particle energy, and M is the particle mass. To carry out the velocity integration in Eq. (31), we further assume $\omega \ll \omega_*$, $\langle \omega_d \rangle$ and $\langle Z \rangle \approx e\langle \omega_d \rangle \hat{\Phi}$. These approximations are reasonably good because the $\delta B_\parallel$ term is small in comparison with the potential term in Z , and near the marginal stability the mode frequency is much smaller than the diamagnetic drift and magnetic drift frequencies of the hot trapped particles. Equation (31) can be integrated to give

$$\left(\frac{\vec{B} \times \nabla P_\parallel}{B^2} + \frac{\sigma \vec{B} \times \nabla P_\perp}{\tau B^2} \right) \cdot \vec{k}_\perp \hat{\Phi} + \delta p_\parallel + \sigma \delta p_\perp / \tau \approx 0. \quad (32)$$

Thus, Eq. (32) shows that the fluid magnetic field curvature-pressure gradient driven term in the ballooning mode equation, Eq. (30), is canceled by the nonadiabatic hot trapped particle pressure response. Therefore, Eq. (30) only admits stable symmetric $\hat{\Phi}$ and $\delta B_\parallel$ Alfvén eigenmodes. Physically, the hot trapped ion stabilization of ultra low frequency ($\omega \ll \omega_*$, $\langle \omega_d \rangle$) MHD modes with symmetric $\hat{\Phi}$ and $\delta B_\parallel$ structure is due to the fact that the hot trapped ion dynamic is no longer governed by the $E \times \vec{B}$ drift, but rather by the magnetic ∇B and curvature drifts. To perturb the hot trapped ion pressure the hot ion precession orbit must be perturbed through the perturbed electric and magnetic fields. Since the hot trapped ions precess very rapidly across the $\vec{B}$ field, their motion becomes very rigid with respect to the ultra low frequency MHD perturbation. Equation (32) indicates that the nonadiabatic hot trapped ion pressure changes through the perturbed perpendicular magnetic field (through electrostatic potential Φ) so that their total pressure perturbation due to both the fluid and kinetic responses is zero (see Eq. (11) in the limit of small $\delta B_\parallel$).

Again, we emphasize that the nonadiabatic perturbed hot trapped ion pressure responding to low frequency antisymmetric $\hat{\Phi}$ and $\delta B_\parallel$ perturbations is vanishingly small because the bounce average of perturbed quantities is zero. This is because that the hot ions bounce very rapidly along the north-south symmetric field line and the nonadiabatic hot ion pressure response from perturbations in the northern hemisphere cancels that from the southern hemisphere. Thus the hot ion fluid pressure response survives and determines the ballooning-mirror stability. Therefore, the antisymmetric ballooning-mirror modes have the lowest critical beta threshold for instability, and we expect that the ULF waves observed in the ring current region should have antisymmetric $\hat{\Phi}$ and $\delta B_\parallel$ field-aligned structures.

4. Summary and Discussion

In the paper we have performed a kinetic-MHD eigenmode stability study of the ballooning-mirror mode for anisotropic pressure plasmas in a dipole magnetic field. We consider that $(\omega - \omega_d) \ll \omega_b$ and neglect small kinetic contributions in the nonadiabatic pressure responses $\delta\hat{p}_\perp$ and $\delta\hat{p}_\parallel$ that are in the order of (ω/ω_b) . The eigenmode equations take into account the coupling of the transverse and compressional components of the perturbed magnetic field. By totally neglecting $\delta\hat{p}_\perp$ and $\delta\hat{p}_\parallel$, the eigenmode equations are essentially MHD equations with anisotropic pressure along the ambient magnetic field line. It is shown that the MHD ballooning modes with symmetric $\delta\Phi$ and $\delta B_\parallel$ structures along the north-south equilibrium magnetic field lines have the lowest beta stability threshold. The antisymmetric MHD modes have higher beta stability threshold. For small pressure anisotropy the antisymmetric MHD mode is a pure ballooning mode. But, for higher pressure anisotropy with simple mirror threshold ($\tau = 0$) exceeded at equator, the antisymmetric MHD mode becomes a hybrid mirror-ballooning mode with strong coupling between the transverse and the parallel magnetic field components. When the leading kinetic contribution from $\delta\hat{p}_\perp$ and $\delta\hat{p}_\parallel$ is included in the analysis for the symmetric modes, the symmetric ballooning modes are shown both analytically and numerically to be stabilized by the energetic trapped particle kinetic effect in the absence of wave-particle resonance. The stabilization results from the fact that the hot trapped ions precess very rapidly across the $\vec{B}$ field, their motion becomes very rigid with respect to low frequency MHD perturbations with symmetric structure of parallel perturbed magnetic field $\delta B_\parallel$ and electrostatic potential Φ along the north-south ambient magnetic field, and thus they do not contribute to the destabilizing pressure gradient to drive ballooning instability. On the other hand, the energetic trapped ion's rapid bounce motion tends to average out the nonadiabatic pressure contributions associated with the antisymmetric $\delta B_\parallel$ and Φ wave structure along the north-south ambient magnetic field. Therefore, the antisymmetric ballooning-mirror mode is only weakly influenced by energetic trapped particle kinetic effects and has the lowest critical beta threshold mainly determined by MHD theory.

Based on a local bi-Maxwellian velocity distribution, the stability of the ballooning-mirror mode is numerically computed and is expressed in terms of parallel plasma beta $\beta_\parallel$ and temperature ratio $T_\perp/T_\parallel$ at equator. The field-aligned structure of the perturbed magnetic field of the $N = 1$ antisymmetric mode changes from a pure ballooning mode with dominant transverse magnetic field component at small values of $\beta_\parallel$ and $T_\perp/T_\parallel$ to a mixed mirror-ballooning type mode with comparable transverse and compressional magnetic field components near the equator as $\beta_\parallel$ and

$T_{\perp}/T_{\parallel}$ increase so that $\tau < 0$. As τ decreases, the amplitudes of the parallel magnetic field component $\delta B_{\parallel}$ and the azimuthal component δB_{ϕ} increase, and the mode also becomes more localized near the equator. In fact, at negative or small positive values of τ the theoretical eigenmode structures resemble the field-aligned wave structures (all three perturbed magnetic field components) of the multisatellite (SCATHA, GOES2, GOES3, GEOS2) observations of a long lasting compressional Pc 5 wave event during November 14-15, 1979 [Takahashi et al., 1987; Takahashi, et al., 1990]. This strongly suggests that this particular multisatellite observation may be related to the marginally stable ballooning-mirror mode with τ value close to zero.

The electric field and field-aligned current associated with the ballooning-mirror instability can be evaluated. Assuming $(\delta B_{\parallel}/B_0)_{\max} = 0.05$, the maximum perpendicular electric field near the equatorial plane is about a few mV/m in the ring current region, and is the same order of magnitude as the typical dawn-dust electric field. Near the ionosphere-magnetosphere boundary the corresponding perturbed field-aligned current density is estimated to be one to two order of magnitude larger than the typical westward ring current density. Thus, large field-aligned current density generated near the ionosphere-magnetosphere boundary by the ballooning-mirror instability may be related to the substorm activities.

The stability of the ballooning-mirror mode can be better given in terms of the equatorial values of α_p and τ , where α_p represents the product of pressure gradient and magnetic field curvature and is defined in Eq. (19), and τ represents the combined effect of plasma beta and pressure anisotropy and is defined in Eq. (7). The marginal stability boundaries in the equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space are very sensitive to the pressure gradient. But the marginal stability boundary curves in the equatorial (α_p, τ) space is very close to each other even for $10 > |\partial \ln P / \partial \ln L| > 0.1$. This probably holds even for different type of particle velocity distributions. The property should be useful when we compare theoretical calculations with those obtained from satellite observations where information on $\partial \ln P / \partial \ln L$ is either unavailable or can not be obtained accurately.

Although significant progress has been made in the satellite observational data of Pc 4-5 waves and theoretical understandings of these waves, a close comparison between theory and observation is still required to provide better understanding of the plasma and wave properties. A comprehensive theory not only helps to explain the observations but also provides new ways of measuring plasma properties and wave structures. However, a more detailed measurement of energetic particle energy and pitch angle distributions during ULF wave events would be required to give a conclusive test of the instability threshold of the ballooning-mirror instabilities. A correlation study between the instability parameters α_p and τ obtained from satellite particle data

during Pc 4-5 wave events and the theoretical ballooning-mirror instability threshold conditions has recently been performed [Cheng, et al., 1993b]. The results clearly suggest that the transverse wave is related to the ballooning mode at lower beta. The compressional wave is related to a mixed ballooning-mirror mode excited when pressure gradient and pressure anisotropy ($P_{\perp}/P_{\parallel}$) are large.

In this paper we have neglected wave-particle resonance. To consider wave-particle resonance one needs to include both hot and core plasma contributions. Typically, the hot particles resonating with the wave will give a destabilizing effect to the ballooning-mirror stability because $\omega < \omega_{*h}$. On the other hand $\omega > \omega_{*c}$, and the core (cold) plasma resonating with the wave will have a stabilizing effect to the ballooning-mirror stability. The net effect of the wave-particle resonance due to all particle species will probably modify the stability threshold slightly from those computed in this paper. If the wave-particle resonance destabilizes the ballooning-mirror mode at plasma beta below the MHD critical beta, the resultant growth rate will be very weak compared with the MHD growth rate. Similar results of resonance effects on ballooning mode have been studied for toroidal plasmas [Cheng, 1982a,b].

It is also important to note that the theory of ballooning-mirror instabilities presented in the paper have zero real frequency when the ballooning-mirror modes are unstable. The real frequency of the ballooning-mirror instabilities must be determined by considering the Doppler shift frequency associated with the bulk plasma convection as well as the diamagnetic and magnetic drift frequencies of various plasma species. As long as the mode frequency, the core plasma diamagnetic drift frequencies, and the Doppler shift frequency associated with the bulk plasma convection are all small compared with the hot ion magnetic drift and diamagnetic drift frequencies, the analysis performed in the paper is valid in the lowest order in the frequency ordering. Finally, we note that the theoretical investigation can still be improved by employing self-consistent magnetospheric equilibrium [Cheng, 1992] for more accurate stability threshold calculation. These effects on the stability of ballooning-mirror mode will be investigated in the future.

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Figure Captions

- Fig. 1. Local stability boundaries in $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space for the outer side of the ring current region with typical equatorial parameters: $(k_{\parallel}/k_{\phi})^2 = 10^{-2}$, $(k_{\parallel}^2 L/\kappa_c) = -1$, and $\partial \ln P/\partial \ln L = -5$. Note that for a dipole field $\kappa_c = -3/L$ at equator.
- Fig. 2. (a) The magnetic latitudinal dependence of Ω_M^2 , Ω_B^2 , and $\Omega_{\pm}^2$ along the dipole field line for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel}(\theta = 0) = 1.5$, $\beta_{\parallel}(\theta = 0) = 0.75$, $m = 20$, $(k_{\parallel} L)^2 = 4$, and $\partial \ln P/\partial \ln L = -5$, where Ω is the normalized frequency given by $(\omega L/m V_A(\theta = 0))$ with m being the azimuthal mode number. (b) The corresponding θ dependence of $T_{\perp}/T_{\parallel}$ and $\beta_{\parallel}$ along the field line.
- Fig. 3. (a) The magnetic latitudinal dependence of Ω_M^2 , Ω_B^2 , and $\Omega_{\pm}^2$ along the dipole field line for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel} = 2.0$ at $\theta = 0$, $\beta_{\parallel}(\theta = 0) = 1.0$, $m = 20$, $(k_{\parallel} L)^2 = 4$, and $\partial \ln P/\partial \ln L = -5$, where Ω is the normalized frequency given by $(\omega L/m V_A(\theta = 0))$ with m being the azimuthal mode number. (b) The corresponding θ dependence of $T_{\perp}/T_{\parallel}$ and $\beta_{\parallel}$ along the field line.
- Fig. 4 The field-aligned structure of the three perturbed magnetic field components (a) $\delta B_{\parallel}$, (b) δB_{ψ} , (c) $-i\delta B_{\phi}$ normalized by B_0 , and (d) the normalized electrostatic potential $\delta\Phi$ ($=\Phi/\omega L^2 B_0$, where Φ is the electrostatic potential) of a marginally stable $N = 0$ symmetric ballooning mode for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel} = 1.5$ at $\theta = 0$, $\beta_{\parallel}(\theta = 0) = 0.10068$ ($\tau = 0.928$), azimuthal mode number $m = 50$, and $\partial \ln P/\partial \ln L = -5$.
- Fig. 5 (a) The marginal stability boundaries of the $N = 0$ symmetric ballooning modes in the equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space for $\partial \ln P/\partial \ln L = -5, -2.5$, and -1 with the fixed parameters: $L = 6.6 R_E$ and azimuthal mode number $m = 50$. The $\tau = 0$ curve is also shown. (b) The same stability boundaries expressed in the equatorial (α_p, τ) space, where α_p is defined in Eq. (19).
- Fig. 6 The field-aligned structure of (a) the three perturbed magnetic field components $\delta B_{\parallel}$, δB_{ψ} , and $-i\delta B_{\phi}$ normalized by B_0 , and (d) the normalized electrostatic potential $\delta\Phi$ ($=\Phi/\omega L^2 B_0$, where Φ is the electrostatic potential) of a marginally stable $N = 1$ antisymmetric ballooning mode for $L = 6.6 R_E$, $T_{\perp}/T_{\parallel}(\theta = 0) = 1.6$, $\beta_{\parallel}(\theta = 0) = 1.179$ ($\tau = -0.1317$), azimuthal mode number $m = 50$, and $\partial \ln P/\partial \ln L = -2$.

Fig. 7 The field-aligned structure of the three perturbed current density components (a) $-i\delta J_{\parallel}$, (b) $-i\delta J_{\psi}$, (c) δJ_{ϕ} normalized by (B_0/L) of a marginally stable $N = 1$ antisymmetric ballooning mode with corresponding magnetic field perturbation shown in Fig. 6.

Fig. 8 (a) The marginal stability boundaries of the $N = 1$ antisymmetric mode in the equatorial $(\beta_{\parallel}, T_{\perp}/T_{\parallel})$ space for $\partial \ln P / \partial \ln L = -100, -50, -25, -10, -5, -2.5$, and -1 , respectively. with the fixed parameters: $L = 6.6 R_E$ and azimuthal mode number $m = 50$. The equatorial $\tau = 0$ curve is also shown. (b) The same stability boundaries are shown in the equatorial (α_p, τ) space. Note that for $\tau < 0$, the α_p threshold is also negative and absolute values of α_p for $\tau < 0$ are plotted.

Fig. 9 The field-aligned perturbed magnetic field structures of the marginally stable $N = 1$ antisymmetric modes along a marginal stability curve in Fig. 7(a) with equatorial values of (a) $T_{\perp}/T_{\parallel} = 1$, $\beta_{\parallel} = 1.93$ ($\tau = 1$), (b) $T_{\perp}/T_{\parallel} = 1.3$, $\beta_{\parallel} = 1.489$ ($\tau = 0.419$), (c) $T_{\perp}/T_{\parallel} = 1.6$, $\beta_{\parallel} = 0.987$ ($\tau = 0.052$), and (d) $T_{\perp}/T_{\parallel} = 2$, $\beta_{\parallel} = 0.575$ ($\tau = -0.149$). The fixed parameters are $L = 6.6 R_E$, azimuthal mode number $m = 50$, and $\partial \ln P / \partial \ln L = -5$.

Fig. 1

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Fig. 6

Fig. 7

Fig. 8

Fig. 9

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