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ELECTRON AND PROTON MICROSCOPY FOR
INDIVIDUAL PARTICLE ANALYSIS**

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COMPARISON OF SYNCHROTRON X-RAY MICROANALYSIS WITH ELECTRON AND PROTON
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INTRODUCTION

A considerable number of the elements and a profusion of organic compounds are emitted into the atmosphere in association with solid and liquid particles. More than 50 percent of all air pollutants are preferentially present in particulate matter rather than in the gas phase. The assessment of the potential environmental and toxic effects of particulate matter in the atmosphere requires a detailed physical and chemical characterization. Methods for analyzing aerosols have recently been extensively reviewed by Maenhaut¹. Most of these techniques are trace-level bulk analytical methods such as ICP-MS (Inductively Coupled Plasma Mass Spectrometry)², AAS (Atomic Absorption Spectrometry) and its variations³, INAA (Instrumental Neutron Activation Analysis)⁴, (macro-)PIXE⁵ (Particle Induced X-ray Emission) and conventional X-ray Fluorescence (XRF)⁶.

An overview of techniques for the analysis of individual airborne particles is given in the book by Spurny⁷. Such techniques include EPMA⁸ (Electron Probe Micro Analysis), micro-PIXE⁹ and LAMMA¹⁰ (Laser Mass Micro Analysis). By analyzing particles individually, after a classification step, the contributions and composition of each particle source at the sampling location (e.g., sea spray, soil dust, car exhaust, industrial fumes) can be determined directly. In this respect, charged particle-beam techniques such as EPMA have the obvious advantage that particle localization and data acquisition can be automated⁸. EPMA (and for the higher Z elements also μ -PIXE), however, are limited in sensitivity. Due to the high bremsstrahlung background in electron induced X-ray spectra, EPMA features minimum detection limits (MDL's) in the 0.1% range. A drawback of the nuclear microprobe is the considerable energy deposition in the sample, especially when non-thin target materials are employed¹¹.

Due to the high intensity of synchrotron radiation sources, yielding an increased sensitivity of analysis, and the high degree of polarisation of the radiation, causing a decrease in scattered background levels, sub-ppm detection limits for bulk analysis have been reported for synchrotron radiation induced X-ray fluorescence (SRXRF)¹²⁻¹⁶. In most of these studies, comparisons were made with other X-ray emission techniques for bulk

analysis, such as PIXE^{14,15}, tube^{13,14}- and radio-isotope excited EDXRF^{16,17}.

Relatively few X-ray microprobe facilities currently exist in the world. At Hasylab (Hamburg, FRG) and at the NSLS (Brookhaven, Upton, NY, USA), white light microprobes are in operation, using collimated pencil beams and attaining lateral resolutions in the order of 3 to 10 μm ^{18,19} with detection limits at the 10 ppm level. At SRS²⁰ (Daresbury, UK), SSRL²¹ (Stanford, CA) and the Photon Factory²² (Tsukuba, Japan), focused monochromatic microbeams are employed.

This paper is concerned with the evaluation of the use of μ -SRXRF as implemented at two existing X-ray microprobes for the analysis of individual particles. As representative environmental particulates, National Institutes of Science and Technology (NIST) K227, K309, K441 and K961 glass microspheres were analyzed using two types of X-ray micro probes: the white light microprobe at beamline X26A of the NSLS and the monochromatic (15 keV) X-ray microprobe at station 7.6 of the SRS. For reference, the particles were also analyzed with microanalytical techniques more commonly employed for individual particles analysis such as EPMA and micro-PIXE.

Evaluation of any microanalytical technique obviously involves assessing its sensitivity/limits of detection and its lateral resolution. Sensitive methods have the advantage that classification of particles can be done also on the basis of the trace element content of the particulates, making it possible to distinguish between two very similar aerosol sources. With respect to particle analysis, the lateral resolution is only important in the sense that particulates which are physically separated on a filter can also be analyzed separately. A much more important property of techniques suitable for individual particle analysis is the time required to obtain statistically meaningful information from each particle. This length of time obviously includes the data acquisition period (typically in the order of 20 to 100 sec/particle), but also entails the time required to locate and optimise the position of the next particle in the beam. As in atmospheric studies, in order to study, e.g., seasonal or regional variations in the aerosol composition, extensive numbers of samples are examined, while for each sample, several hundred of particles need to be analyzed, in practice, this property proves to be a very stringent one indeed.

For the four particle types and using the various microanalytical techniques mentioned above, X-ray spectra were collected from particles 20 to 30 μm in size in order to compare attainable detection limits and typical analysis times. Using the white light X-ray microprobe and the electron microprobe, also the size dependence of the fluorescent X-ray yield was experimentally determined.

EXPERIMENTAL

Sample material and sample preparation

As representative examples of coarse mode environmental samples with known composition, NIST K227, K309, K441 and K961 glass microspheres were studied. Details on the preparation of these microspheres can be found in Ref. 23; the diameters of the spheres are in the range from 0.25 to 250 μm (corresponding to a weight range of 20 fg to 20 μg /particle) and were verified to be homogeneous and identical in composition to the bulk glass they were produced from using electron- and ion microscopy. The nominal composition of the various materials is listed in Table 1.

For the EPMA measurements, samples were prepared by dispersing the glass particles into an inert solvent (n-hexane) and filtering through a polycarbonate filter with pore holes of 0.4 μm . The particles were

Table 1. Nominal Composition of NIST glass microspheres.

Element	Concentration (%w)			
	K227	K309	K411	K961
O	16.4	38.82	42.88	47.00
Na	-	-	-	2.97
Mg	-	-	9.05	3.02
Al	-	7.94	-	5.82
Si	9.3	18.70	25.71	29.98
P	-	-	-	0.22
K	-	-	-	2.49
Ca	-	10.72	10.70	3.57
Ti	-	-	-	1.20
Mn	-	-	-	0.32
Fe	-	10.49	11.66	3.50
Ba	-	13.43	-	-
Pb	74.3	-	-	-

subsequently transferred onto marked electron microscopy grids coated with a formvar foil by pressing the grids against the filter. For the NSLS measurements, individual glass particles in the range 5 to 50 μm were mounted onto Kapton foil through micro-manipulation and held in place by means of droplets of silicon-oil. The kapton foil was attached to cardboard 5 mm slide frames which fit into the micro probe sample holder. For the SRS and PIXE experiments, glass spheres were dispersed into a 2 % formvar solution: by means of a rotating disk, droplets of the suspension were spread out and allowed to dry in the form of thin films. These films were subsequently mounted onto Al support rings fitting in the sample holder of the microprobes.

Instrumentation and Experimental Procedure

For the white light synchrotron excitation experiments, the X-ray microprobe at the X26A beamline of the NSLS (National Synchrotron Light Source) was employed. After emerging from the storage ring UHV, the beam is defined by four Ta slits and further collimated by a $5 \times 8 \mu\text{m}^2$ crossed slit system. The sample is positioned at 45° to the incoming beam; X-ray spectra are detected using a Si(Li) detector positioned at 90 degrees to the original beam.

The spectrum impinging on the sample is shown in Fig. 1, having a maximum in flux density of about 10^4 photons/sec/ μm^2 /mA near 8 keV. Soft X-rays ($0 < E < 5$ keV) are heavily absorbed in the Be-end window of the beam pipe and in the air path between the collimator slits and the sample. Specimen can

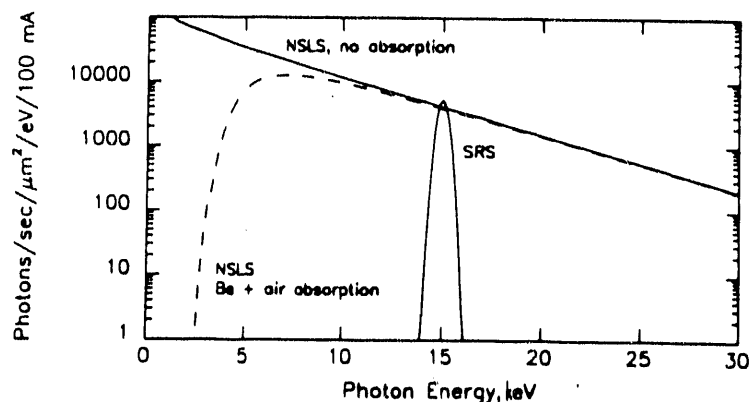


Fig. 1. Excitation Spectra at the NSLS and SRS XRM facilities.

be viewed by a horizontally mounted stereozoom binocular microscope, equipped with a TV camera. X-ray spectra of the particles were collected by localising the particles on the foil using the microscope, moving them into the beam and maximizing the detectable count rate.

Experiments using monochromatic synchrotron radiation excitation were performed at station 7.6 of the Synchrotron Radiation Source (SRS), Daresbury (UK). White radiation from the bending magnet beam line 7 was used as primary source for the measurements. The basic component of the microprobe is an ellipsoidally concave bent Si(111) crystal which simultaneously monochromates and focuses 15 keV radiation. The crystal passes a bandwidth of ca. 0.3 keV and produces a focused beam spot of $10 \times 15 \mu\text{m}^2$ FWHM; in the spot, flux densities of $3.4 \cdot 10^4$ ph/s/mA/ μm^2 are achieved. A 50 mm^2 area Si(Li) detector is located at 90° to the incoming beam at 35 mm from the sample. The sample is observed by means of a Zeiss Sv8 stereo (zoom) microscope with 175mm working distance, equipped with a CCD camera. Details on the optics, beam profiles and fluxes can be found elsewhere²⁰. The excitation spectrum of the SRS microprobe is also shown in Fig. 1. SR induced X-ray spectra were obtained in a similar way as at the NSLS.

Micro-PIXE measurements were performed at the Nuclear Microprobe setup of the Free University, Amsterdam. 3 MeV protons are accelerated by a Philips AVF cyclotron, yielding after focusing a microbeam of typically $2 \times 5 \mu\text{m}^2$ cross section at the focal spot²⁷, with a current density of 2 to 5 pA/ μm^2 . Similarly prepared samples as employed for the SRS measurements were used.

Using a Jeol 733B Superprobe microanalyser equipped with a Tracor TN2000 computer system, electron induced X-ray spectra were acquired for typically 120 sec per particles; data acquisition was started after manual localisation of the particles and focusing of the beam at their centre. A 25 kV, 1 nA electron beam was used in all cases.

RESULTS AND DISCUSSION

Peak-to-Background ratios and Limits of Detection

Fig. 2 shows X-ray spectra obtained from K961 particles of 20 to 30 μ diameter using resp. the white and monochromatic X-ray microprobes and the electron and proton microprobes. As can be seen from Fig. 2a, due to scattering of the white spectrum of the NSLS-microprobe, a more or less uniform background level of about 100 counts can be observed over the entire energy range for a collection time of 300 sec. In contrast, in the SRS-spectrum (Fig. 2b), almost no background in the region 2-14 keV is present. Only near 15 keV, the background level rises due to the low-energy tail of the incoherent scatter peak. As a result of the high count rates achievable at the NSLS facility, in Fig. 2a also Ca+Fe and Fe+Fe sum peaks can be readily observed near 8 and 10 keV. Because the measurements are performed in air, also an appreciable Ar peak is present. The Si characteristic radiation is heavily absorbed; no Al peak can be discerned. Fig. 2c illustrates the (dis)advantages of electron induced X-ray emission. Overall, a fairly high bremsstrahlung background is observed, although on the other hand, significant amounts of characteristic radiation of low-Z elements such as Na, Mg, Al and Si can be detected in a relatively short counting time, which is not the case for the XRM measurements. It should be noted however that none of the XRM-instruments are optimized for light element detection (detector windows, air operation) and that the comparison is only valid for the specific conditions listed above. Finally, in Fig. 2d, the background due to proton-induced secondary electron bremsstrahlung only gives rise to an appreciable background in the 2-5 keV energy range.

To allow for a more quantitative comparison, Table 2 lists for the four microprobes the overall count rates and peak-to-background ratios derived from the spectra in Fig. 2. For Mn and Fe, the SRS microprobe features the highest peak-to-background ratios, although for the lower elements, the NSLS

micro probes offers better values. Despite the use of white light, the overall ratio of characteristic to background count rate is better for the NSLS than for the SRS case; the count rate is also a factor 100 higher at the NSLS than at Daresbury due to the differences in available flux at both facilities. For this type and size of particles, practical counting times per particle are in the range of 100 sec for the NSLS and 1000 sec for the SRS microprobe; for EPMA, typical counting times are shorter than 60 sec./particle. Of the four techniques used, the PIXE spectra offer the best overall net-to-background ratio; however, due to the transparency of the microspheres to the proton beam and the fact that the beam current had to be limited to 25 pA in view of sample charging, an acquisition time of 30 min./particle was required in order to obtain statistically meaningful spectra.

Employing as figure-of-merit the minimum limit of detection (IUPAC definition²⁵) rather than the peak-to-background ratio, Fig. 3 shows MDL's for the four techniques as a function of the atomic number Z. Whereas for EPMA, a more or less uniform sensitivity in the 100 ppm range is obtained, the MDL values of the other techniques vary considerable with atomic number. Corresponding to the maximum in the excitation spectrum of the NSLS XRM near 8 keV, the lowest MDL values are obtained for elements such as Mn, Fe and Co. Here for Fe, a relative MDL of 6 ppm at a counting time of 100 sec. is achieved, corresponding in the present case to an absolute detectable amount of 7.8 fg of Fe. In the case of the SRS microprobe, an MDL for Fe of around 60 ppm is obtained; for Zn, this value is expected to be around 5 ppm.

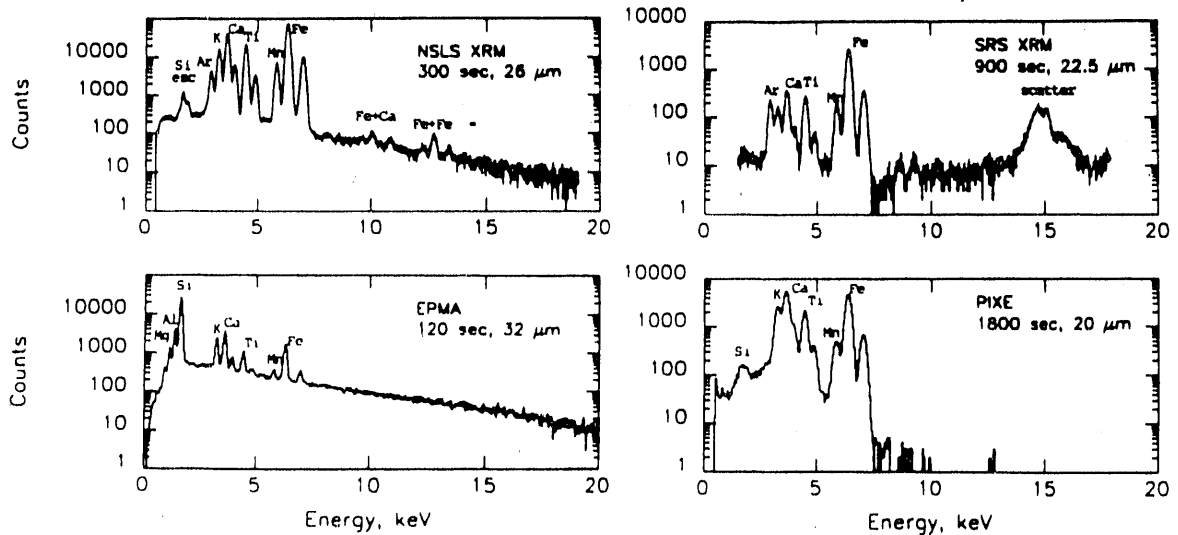


Fig. 2. X-ray spectra obtained from K961 glass microspheres using the four different micro probes.

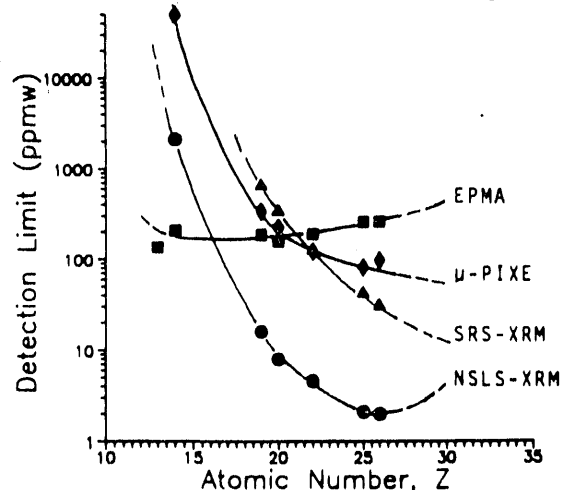


Fig. 3. Minimum Detection Limits obtainable using the four micro-probes at 100 sec counting times in K309 glass microspheres of 20-30 μ diameter.

Table 2. Overall count rates and peak-to-background ratios derived from the K961 particle spectra shown in Fig. 2.

Micro probe	Count Rate		K_{α} Peak-to-background ratio							
	Total (cps)	% Net/Backgr	Mg	Al	Si	K	Ca	Ti	Mn	Fe
NSLS XRM	7773	79/21	-	-	2.3	16	49	37	15	55
SRS XRM	70	64/36	-	-	-	7.6	22	23	21	330
EPMA	1646	61/39	1.2	4.5	28	2.7	4.8	1.5	0.3	3.4
μ -PIXE	59	89/11	-	-	1.2	6.5	15	14	9	39

Size dependence of X-ray yields

As a function of particle diameter, K961 particles were analyzed using the NSLS XRM and the electron micro probe. The variation of the characteristic X-ray intensities with size is shown in Fig. 4. As the currently achievable synchrotron beam sizes are in the order of 5 to 10 μm , the optical resolution of the sample viewing microscope at the NSLS facility is also of this order. Consequently, only particles with radii down to 3.5 μm could be analyzed.

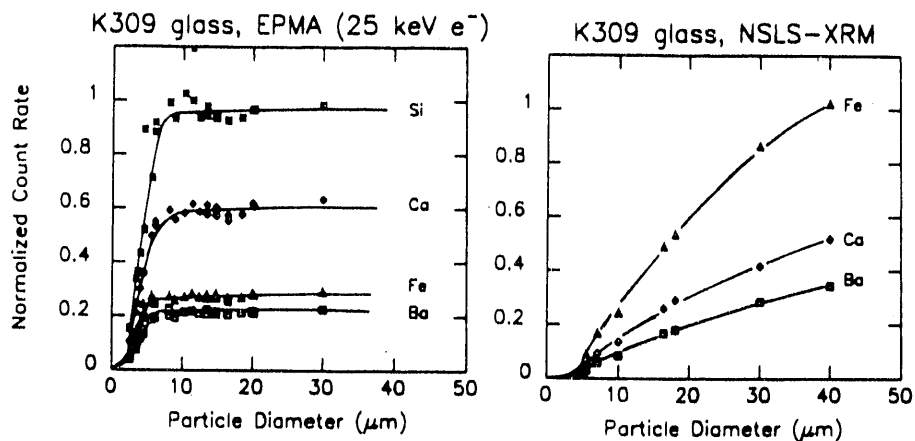


Fig. 4. Size-dependence of X-ray yields obtainable from K309 microspheres using EPMA and the NSLS XRM. Solid curves intended to guide the eye.

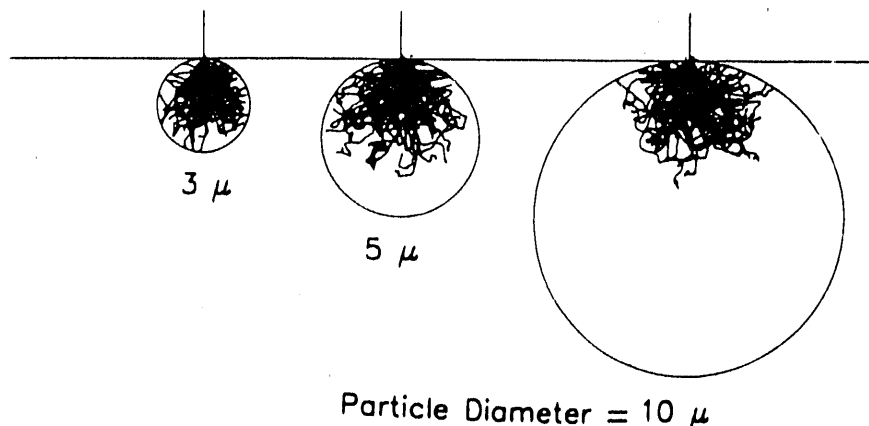


Fig. 5. Monte Carlo simulation of electron paths in K309 microspheres.

Table 3. Minimum detectable amounts of Ca, Fe, Ba and Pb in 3.5 μm radius microscopic particles.

Element	Minimum Detectable Amount (pg)	
	NSLS XRM	EPMA
Ca	0.10	0.5
Fe	0.04	0.8
Ba	0.2	1.6
Pb	0.4	4.1

For the EPMA data, a rise in sensitivity is observed up to a particle diameter of around 5 μm . This behaviour can be explained by considering the size of the interaction volume of the primary electrons with the particles which is of the order of 5 to 7 μm in the present case. As illustrated in Fig. 5, for particles with a radius larger than 2.5 μm , only a limited part of the particles is 'seen' by the electron beam, giving rise to a plateau in the size vs. intensity plots in Fig. 4.

In the case of the XRM data, in view of the much larger penetration depth of X-rays in comparison with electrons, a less outspoken dependence of the X-ray yield with size can be observed. From Fig. 4 it follows that for particles smaller than 10 μm radius, a much diminished X-ray yield can be expected, corresponding to MDL-values higher than those plotted in Fig. 3. This observation is important in view of the fact that the size distribution of e.g. ambient aerosols as collected on Nuclepore filters extends roughly from 0.1 to 10 μm . Whereas the NSLS XRM clearly features better relative MDL's in the case of particles which are larger than the beam dimensions (i.e., diameter > 10 μm), as shown in Table 3, for smaller particles, and when absolute detectable amounts are considered, not so large differences between EPMA and XRM are observed. Indeed, when the particles become smaller than the X-ray beam size, not all of the available photon flux is used effectively, while in the case of EPMA, the total electron flux will still impinge on the particle. Nevertheless, for the smallest particles which could be analyzed, the NSLS XRM still is 5 to 10 times more sensitive than EPMA for resp. Ca and Pb.

CONCLUSIONS

In this paper, the possibilities of employing X-ray based micro beam instruments for performing individual particle analysis were evaluated by analyzing glass microspheres of known composition. In contrast to EPMA detection limits in the 0.1% range, for the elements Ca to Zn, the NSLS XRM offers MDL values at the ppm 1 to 10 ppm level for counting times of 1000 sec/particle and for particles of ca. 20 μm . In the case of the SRS microprobe, in view of limitations in flux, MDL values are a factor 10 higher in this range.

Considering the net count rate obtainable from microscopic particles at the NSLS, it can be concluded that performing individual particle analysis at the 10 to 100 ppm level on coarse fraction aerosols (diameter > 5 μm) using the NSLS XRM is feasible employing relatively short measuring times (typically 50 to 100 sec per particle) as required for individual analysis of large particle sets.

However, for (fine mode) particles whose diameter is smaller than the X-ray penetration depth and smaller than currently achievable beam sizes, a decrease in sensitivity with the third power of the particle diameter needs to be taken into consideration. In practise, analysis of the size fraction below 5 μm is hampered by limitations in the optical visualisation system used on the X-ray microprobes.

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