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EFFECT OF SOLUTE ATOMS UPON VACANCY CLIMB
OF PRISMATIC DISLOCATIONS IN Al-5% Mg ALLOY

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EFFECT OF SOLUTE ATOMS UPON VACANCY CLIMB
OF PRISMATIC DISLOCATIONS IN Al-5% Mg ALLOY

by

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ABSTRACT

The climb rate of prismatic dislocations in quenched Al-5% Mg alloy has been studied by bulk annealing and also by high temperature electron microscopy of thin foils. In bulk and thin foil specimens loops and helices are always observed to grow. The activation energy is determined to be 0.95 ± 0.05 eV. The results can be understood on the basis of a binding energy between vacancies and magnesium atoms which can be $\sim 0.1 - 0.4$ eV, depending upon their geometrical configuration.

Introduction

Since the fraction of vacant atom sites in metals increases with temperature by the relationship $A \exp(-E_f/kT)$ where A is an entropy factor (~ 1), E_f the energy of formation ($\sim 1\text{ev}$) of a vacancy and k is Boltzmann's constant, very large vacancy supersaturations are possible by quenching rapidly from near the melting point (e.g., $\sim 10^3$ for pure Al⁽¹⁾). Upon aging after quenching, supersaturation can be eliminated by migration of vacancies to sinks (surfaces, grain boundaries, etc.) or by vacancy clustering to form dislocation loops or other defects. The vacancy migration energy, E_m , is the energy barrier for an atom to jump into an adjacent vacant site. The sum of E_m and E_f is the self diffusion energy E_D .

Values of E_D are usually obtained from isothermal resistivity measurements but can also be obtained from direct measurements of the isothermal change in size of dislocation loops using electron microscopy techniques. (For review see Whelan.⁽²⁾) In two component systems if solute atoms and vacancies are bound with an energy E_B the value of E_D may be altered because the migration energy in the alloy is then $E_m + E_B$. E_B may also change E_f , as suggested, e.g., by the differences in dislocation loop densities observed in pure metals and their alloys.⁽³⁾ It is found that in quenched Al alloys loop formation is usually inhibited and helical dislocations, resulting from the vacancy climb of screw dislocations, are the predominant defects.^(3, 4) It has been concluded that solute atoms are associated with vacancies, thereby hindering the elimination of vacancy supersaturation. Estimates of E_D for the systems Al-Sn⁽⁵⁾,

Al-Cu⁽⁶⁾ and Al-Ag⁽⁷⁾ have already been made, and in this paper we have estimated R_p in Al-5% Mg from direct measurements of the change in size of loops using high temperature transmission electron microscopy.

Experimental

Annealed Al-5% Mg alloys (total impurity (Mg + Cu + Si + Fe + Mn) = 0.02%) in the form of 4 ins. x 1 in. x 0.005 ins. thick strip were quenched into iced brine (-15°C) or water at 80°C after $\frac{1}{2}$ hour solution heat treatment at 550°C. Thin foil specimens prepared after quenching showed no noticeable differences in structure when examined in a Siemens Elmiskop 1b microscope (foils were prepared by electropolishing in the usual way). After quenching, specimens were kept at room temperature for 24 hours before being examined in the microscope. Bulk annealing was done at 100°, 183° and 300°C for various times. Annealing of thin foils was done in the electron microscope using a Siemens hot-stage with which the temperature can be estimated to within $\pm 10^\circ\text{C}$. Dynamic changes in the foils were recorded on 16 mm Tri-X film using a Bolex Ciné camera fitted with a Bell and Howell f 0.95 lens (used wide open). The film speed employed was 10 frames/sec.

Results

(1) As-Quenched Structure

In a given specimen prepared after quenching and aging at 20°C adjacent regions contain poorly developed helices, uniform helices, random loops and columns of prismatic loops (Fig. 1).

Fig. 1 Al-5% Mg quenched from 550°C into ice-brine and aged at 20°C.

This structure is typical of quenched Al-alloys. (3) Contrast experiments show that the loops are prismatic $\frac{a}{2} \langle 110 \rangle$ dislocations and the axes of the helices are parallel to $\langle 110 \rangle$. The average diameter of helices was $483\text{\AA}$ with 7×10^{13} turns/cm³. Thus, for a foil $5000\text{\AA}$ thick the vacancy concentration x' is 3.7×10^{-5} ($x' = \pi R^2 b N$ where R is loop radius, b its Burgers vector, N = density of loops). The vacancy concentration x' calculated for loops was 3.6×10^{-5} (see Table I). These values are somewhat

Table I

Treatment	Helices			Loops		
	Turns cm ³	Dia., $\text{\AA}$	Vac. Conc.	Loops cm ³	Dia., $\text{\AA}$	Vac. Conc.
550°C Q. + 24 hr. 20°C Average	7.1×10^{13}	483	3.7×10^{-5}	1.2×10^{14}	360	3.6×10^{-5}
550°C Q. + 60' 183°C Average	1.1×10^{13}	2740	1.9×10^{-4}	1.4×10^{13}	2890	2.6×10^{-4}
Single large defect	7.1×10^{12}	6250	6.3×10^{-4}	3.6×10^{12}	6250	3.1×10^{-4}
550°C Q. 3% el. aged 11' 20°C + 3% el.	---	---	---	6.9×10^{12}	4400	3.0×10^{-4}

Vacancy concentrations in Al-5% Mg after quenching
and aging; all specimens initially quenched into
iced brine.

smaller than those previously determined for Al-Mg alloys⁽³⁾ and are an order of magnitude lower than κ for pure aluminum.⁽⁸⁾ A specimen of Al-5% Mg alloy was deformed immediately after quenching to 3% strain and aged 11 minutes at 20°C. The strain was then increased to 11% total. As shown in Fig. 2, the loop density has increased and the vacancy concentration is now determined to be 3×10^{-4} .

Fig. 2 Al-5% Mg quenched from 550°C into ice-brine. Deformed immediately 3% and aged 11 min. at 20°C followed by extension to 11% total elongation.

This experiment shows that although many vacancies have annealed out during quenching or room temperature aging, a relatively high concentration is still retained in "solid solution" and some fraction of these seem to be released by cold work.

(2) Aged Bulk Specimens

The density of loops was observed to decrease upon aging but their diameter increased, e.g., to $\sim 3000\text{\AA}$ after 60 min. at 183°C. In many cases coalescence of loops occurred. The concentration of vacancies calculated from the size and density of loops and helices is shown in Table I. The values of κ' are again 10 times that for the as-quenched specimen. Helical dislocations also grew and became aligned in $\langle 110 \rangle$. Eventually they often degenerated into large loops. The only indication of precipitation was obtained after annealing for 50 hours above 150°C and furnace cooling, after which treatment, small precipitates could be observed only in grain boundaries. At no time was precipitation observed in the matrix.

(3) Annealing of Foils

The annealing of bulk specimens showed that loops are much more stable than those in pure aluminum and that helices are preserved to relatively high temperatures. Under the aging treatments used here, helices do not appear to be preferential sites for precipitation as they are in Al-4% Cu.⁽⁹⁾ Aging thin foils in the high temperature stage always resulted in loop and helical dislocation growth at all temperatures (100-400°C), as shown in Fig. 3; whereas in thin foils of pure Al, loops are always observed to shrink and eventually disappear at ~200°C as vacancies are lost to the surfaces.⁽¹⁰⁾ The kinetic data show that

Fig. 3 Heating stage time sequence showing,
(a) Loops growing at 365°C and
(b) Helices growing at 280°C.

the rate of growth $\frac{dr}{dt}$ was a constant even when a loop intersected the foil surface, e.g., at A, Fig. 3(a). This rate is shown in Fig. 4 as a function of temperature (for $T \geq 250^\circ\text{C}$) and represents measurements of loop growth with initial radii varying from 200 to 1500 Å. The activation

Fig. 4 Rate of increase in loop radius vs reciprocal of the absolute temperature.

energy E_G^* of the process is 0.95 ± 0.05 eV as compared to the value $E_G = 1.3$ eV for pure Al.⁽¹⁰⁾ This result immediately suggests that a strong vacancy-magnesium atom(s) binding energy exists. During loop growth, no loops were observed to shrink except once only where the

activation energy was found to be 1.3 eV (Fig. 5), i.e., the same value as in pure Al. This loop must therefore be a chance case for an effect near the surface and away from the influence of Hg atoms.

Fig. 5 Decrease in radius with time at 280°C.

The calculation of $E_{-G}^{\ddagger}$ for the shrinking loop was made using the analysis of Silcox and Whelan.⁽¹⁰⁾ The time t for a given loop to shrink to $r = 0$ is related to $E_{(-G)}^{\ddagger}$ by:

$$t = t_0 \exp \left(\frac{E_{-G}^{\ddagger}}{kT} \right)$$

$$\text{where } t_0 = \tau_0^2 (zv^2 a)^{-1}$$

z is the coordination number (11), v the atomic frequency factor ($\sim 10^{13}$) $b = 2.85 \text{ \AA}$ and a was estimated from the above work⁽¹⁰⁾ to be ~ 50 .

Kinetic data for growth of helices is not yet available. However, helices also grew and became more angular following $\langle 110 \rangle$. Eventually helices break up into large loops (Fig. 6) which then grow with an activation energy, again ~ 0.95 eV.

Fig. 6 Possible loop formation from single helical turn in thin foil at 280°C.

Discussion

(1) The Pinning Energy

The increase in the vacancy concentration upon aging compared to that for the quenched specimen (Table I) indicates that after quenching, specimens still contain supersaturated vacancies. It is reasonable to suppose that these are bound to magnesium atoms (in some way) as this can account for the low activation energy for climb in the Al-Mg alloy compared to pure Al.

The vacancy concentration x' in a two component system is given by the following: (11)

$$x' = A \exp \left(-\frac{E_p}{kT} \right) \left[1 - 12c + 12c \exp \left(\frac{E_p}{kT} \right) \right]$$

where E_p is the vacancy formation energy in the pure metal and c is the atomic fraction of solute atoms.

Since x' is measured and x for the pure metal can be calculated from $x = A \exp \left(-\frac{E_p}{kT} \right)$, the ratio $\frac{x'}{x}$ can be used to estimate E_p , since

$$\exp \left(\frac{E_p}{kT} \right) = \left[\left(\frac{x'}{x} - 1 \right) + 12c \right] \frac{1}{12c}.$$

Values of $\frac{x'}{x}$ and E_p were calculated for the x' values shown in Table I and the results are shown in Table II.

Table II

Specimen Treatment	$\frac{x'}{x}$	E_p
Q 550° and aged 60 ¹ at 183°C		
Av. both loops and helices	2.3	0.08 eV
Single large loop	3.1	0.10
Single large helix	6.3	0.16
Q 550°C and strain-aged at 20°C		
Column of large loops	3.0	0.10

The binding energies are thus between 0.1 and 0.2 eV. For $E_B = 0.1$ eV the ratio $\frac{\text{Mg atoms} - \text{vacancies}}{\text{Al atoms} - \text{vacancies}}$ is 10^2 at 20°C , i.e., about 1×10^{-5} unassociated vacancies are present after quenching. This is about the value that is measured (Table I), so presumably these are the vacancies which first condense to loops or onto screw dislocations causing climb into helices.

The remaining vacancies are thus probably bound to magnesium atoms; in the simplest case as a Mg-vacancy pair or as a more complex but stable Mg-vacancy group, e.g., in Mg atom clusters* which cannot be resolved in the electron microscope. With this in mind one can envisage the aging sequence as follows: free vacancies $\rightarrow$ loops and helices; vacancies + magnesium atoms $\rightarrow$ pairs or clusters; vacancies ($\pm$ Mg atoms) $\rightarrow$ loop, helix growth. The bound vacancies thus provide the flux necessary for the growth of loops. In pure Al, only free vacancy diffusion occurs and loops can grow only by competition with other loops.⁽¹²⁾ If the vacancy-magnesium pairs provide the vacancy sources for loop growth, the energy E_G^1 is $E_m + E_B$ and does not include E_F . Thus taking E_D for pure Al = 1.3 eV, $E_m = 0.54$ eV,⁽¹³⁾ and $E_G^1 = 0.95$ eV, the maximum value for E_B is 0.4 eV. On the other hand, if vacancies are "lost" in some complex configuration with Mg atom clusters, $E_G^1 = E_F + E_m + 2 E_B$, assuming that E_B is equally effective on E_F and E_m . Taking the same values for

* Since the precipitates Mg_2Al_3 in Al-Mg alloys form under compression, they are expected to be a vacancy sink so that prior to precipitation groups of magnesium atoms are expected to collect vacancies.

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(2) Growth of Helices

Columns of prismatic dislocation loops which are observed in quenched Al-5% Mg (Fig. 1) appear to have formed from helices by some mechanism. The interaction of a gliding dislocation with a helix, and the interaction of adjacent helices of opposite sign have been discussed. (4, 14-16) The latter interaction results in prismatic loops having twice the diameter of original helices as is found in electron micrographs. One would also expect to find some trace of moving dislocations after the first type of reaction had occurred. These mechanisms do not appear to account for many of the present observations.

One example of a loop which has formed within helices is shown in Fig. 9. Here one can observe the segment of dislocation which is repelled by the loop after the helix degenerates. Figure 10 shows a helix along which adjacent line segments appear ready to combine at A. The straight segment, B, is parallel to the $[110]$ trace and may be pure screw. These results, together with Fig. 6, imply that the degeneration of helicoidal lines into prismatic loops can occur without interaction with a second dislocation.

Fig. 9 Al-5% Mg, quenched and aged 60 min. at 183°C.

Fig. 10 Al-5% Mg, quenched and aged 60 min. at 200°C.

The three cases illustrated in Figs. 9 and 10 show helices which are not uniformly developed, a feature which may be important in loop formation. Local fluctuations in vacancy or solute atom concentration,

or stress fields may allow one segment of helix to climb at a faster rate than neighboring segments. A larger than normal turn, having a larger radius of curvature, should be less stable under existing stress fields.

The effectiveness of stress in the process of forming columns of loops may be more important during quenching. Data in Table I indicate equivalent vacancy concentrations for both helices and columns of loops after the quench and 20°C age. Therefore, if the concentrations are reasonably accurate, one would expect that columns of loops originated from helices by a combined climb and glide process and not exclusively by climb. Some of the glide could be produced by quenching strains. The quench-deformation experiment (Fig. 2) may also be taken as evidence for the degeneration of helices into loops. Uniform growth of helices in thin foils (see Fig. 3b) is expected to occur by the same process that was discussed for loop growth.

Acknowledgments

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Figure Captions

- Fig. 1 Al-5% Mg quenched from 550°C into ice-brine and aged at 20°C.
- Fig. 2 Al-5% Mg quenched from 550°C into ice-brine. Deformed immediately 3% and aged 11 min. at 20°C followed by extension to 11% total elongation.
- Fig. 3 Heating stage time sequence showing,
(a) Loops growing at 365°C and
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- Fig. 4 Rate of increase in loop radius vs reciprocal of the absolute temperature.
- Fig. 5 Decrease in radius with time at 240°C.
- Fig. 6 Possible loop formation from single helical turn in thin foil at 280°C.
- Fig. 7 Al-5% Mg, quenched from 550°C and aged 60 min. at 183°C.
- Fig. 8 Al-5% Mg, quenched from 520°C and aged 9 $\frac{1}{2}$ hrs. at 100°C.
- Fig. 9 Al-5% Mg, quenched and aged 60 min. at 183°C.
- Fig. 10 Al-5% Mg, quenched and aged 60 min. at 200°C.

ZN-3264

FIG 1

ZN-3265

Fig. 2

ZN-3266

Fig. 3a

0 sec

20 sec

40 sec

60 sec

ZN-3267

Fig. 3b

MU-27667

Fig. 4

MU-27666

Fig. 5

0 sec

7.5 sec

11.3 sec

19.7 sec

ZN-3268

Fig. 6

ZN-3269

Fig. 7

ZN-3270

Fig. 8

ZN-3271

Fig. 9

ZN-3272

Fig. 10

CLIMB OF PRIMITIVE DISLOCATIONS AND VACANCY CLIMB
OF PRIMITIVE DISLOCATIONS IN AL-5% Mg ALLOY

by

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ABSTRACT

The climb rate of primitive dislocations in quenched Al-5% Mg alloy has been studied by bulk annealing and also by high temperature electron microscopy of thin foils. In bulk and thin foil specimens loops and helices are always observed to grow. The activation energy is determined to be 0.95 ± 0.3 eV. The results can be understood on the basis of a binding energy between vacancies and magnesium atoms which can be $\sim 0.1 - 0.4$ eV, depending upon their geometrical configuration.