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INSTABILITY-THRESHOLD DATA FROM THE BASEBALL II VACUUM-BUILDUP EXPERIMENT

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December 30, 1974

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# INSTABILITY-THRESHOLD DATA FROM THE

BASEBALL II VACUUM-BUILDUP EXPERIMENT\*

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#### ABSTRACT

The instability-threshold data from the Baseball II vacuum-buildup experiment are now extensive, and the parameter range covered by these measurements is large. The agreement with the Baseball I threshold results is poor. Although some of the thresholds lie near the Baseball I averagethreshold line and its extension on an  $e = (\omega_{pi}/\omega_{ci})^2$  vs  $e_{\phi}/W_i$  plot, many fall considerably below. The large spread in the data, when plotted in this way, is well outside the estimated experimental uncertainty. The data scatter evidently is not due to gross inaccuracies in our measurements: a plot of the combined Baseball I and Baseball II threshold data shows a strong and reasonably well-defined variation of density with plasma potential. This variation is apparently a manifestation of the classical collisional relations, which must be satisfied even for instability-threshold data. Selected sets of Baseball II threshold data, obtained when experimental conditions were held almost constant except for one particular parameter, show a strong variation of  $\varepsilon$  with  $e\phi/W_i$ . This variation is in agreement with the classical equations but in disagreement with the Baseball I ion-cyclotron-instability theory. There is no satisfactory explanation for the Baseball II threshold

data at this time. The quantity  $e_{\phi}/W_{i}$  appears to be no longer the controlling parameter for the instability. However, the threshold and maximum (instabilitylimited) densities do seem to vary approximately as the square of the magneticfield magnitude, indicating that  $\epsilon$  ( $\propto n_{i}/B^{2}$ ) is still important.

\*Work performed under the auspices of the U.S. Atomic Energy Commission.

#### I. Introduction

An extensive series of measurements on the threshold for the instability observed in the Baseball I (BBI) experiment has been previously reported.<sup>1</sup> There, the experimental threshold results were interpreted in terms of an ion-cyclotron instability with Landau damping of electron-plasma waves in the body of the plasma. The pertinent parameters in that theory are  $\epsilon = (\omega_{pi}/\omega_{ci})^2 [\sigma c n_i M/B^2]$  and  $e\phi/W_i$ , where  $\omega_{pi}$  and  $\omega_{ci}$  are the ion plasma and cyclotron frequencies, respectively,  $n_i$  is the peak ion density, M is the ion mass, B is the magnetic-field magnitude,  $\phi$  is the plasma potential, and  $W_i$ , the ion energy. The BBI theory predicts that the threshold values should give an  $\epsilon \sigma c e \phi/W_i$  variation. The BBI results do seem to follow this behavior, on the average, and thus define a 45-deg line on an  $\epsilon$  vs  $e\phi/W_i$  log-log plot.

It was assumed that the same instability would be observed in Baseball II (SBII). Lower ion energies were emphasized in this later experiment in the expectation that a scattered ion distribution would raise the instability-threshold level, i.e., that the experimental threshold points would eventually rise above the BBI 45-deg line. It was hoped that a higher and more interesting density regime could be reached in this way.

The BBII results are not as expected. The numerous instability-threshold measurements now available have a large scatter on an v vs  $e\phi/W_i$  nlot, none is above the BBI average-threshold line, and many are considerably below. Even those measurements taken under the best BBII conditions, where considerable ion scattering was evidently occurring, fall low. We cannot explain these

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results at this time.

This report summarizes the BBII threshold data. In Sec. II we present the data and the manner in which they were obtained. The classical collisional equations have been used to check the accuracy of the measurements, as discussed in Sec. III. We summarize the BBI instability theory in Sec. IV, and try to apply it to the BBII threshold data. Some concluding and summarizing remarks are made in Sec. V.

#### II. Instability-Threshold Data

Figure 1 shows many of the BBII instability-threshold measurements on an  $\varepsilon$  vs  $e\phi/W_i$  plot, demonstrating the large variation obtained in the experimental parameters at threshold. The points plotted are a general sample of the array of BBII threshold measurements presented in this report (for the full array, see Table I). A display of all the data would have resulted in too much overlapping and a resulting loss of clarity in the presentation. The average-threshold 45- deg line obtained in the BBI analysis is reproduced in this figure and extended further upward, to provide a comparison with the earlier work.

Threshold for a given set of conditions is defined as that value of  $\epsilon$  at which, as the plasma density is increased, definite sharp bursts of fast ( $\geq$ 300 eV) ions out the mirrors begin to appear. Somewhat above threshold, bursts of activity with frequencies in the range of the ion-cyclotron frequency also begin to be detectable. In the vicinity of a given threshold, we usually adjust the neutral-beam intensity to vary the plasma density and

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thus determine the threshold level: raising the beam above the threshold level increases  $\epsilon$  and the bursting activity, while lowering the beam level decreases  $\epsilon$ , and essentially no fast-ion spikes are then observed. Thus, in Fig. 1, the region above the plotted threshold values has been labeled unstable, and the region below, quiescent.

Four experimental quantities are needed to plot a threshold point in Fig. 1:  $n_i$ , B,  $\phi$ , and  $W_i$ . Values of the peak density  $n_i$  are obtained from measured values of the average line or volume density by applying a conversion factor, assumed approximately constant for all the threshold data. A double-pass 17-GHz microwave-interferometer measurement gives the average density over the microwave path through the plasma. The diameter of the plasma is assumed to be 20 cm for this calculation. For the lower threshold points in Fig. 1, microwave-interferometer density measurements were not always possible. There, relative values of  $(nT_{\rho})/\phi$ are used, normalized to the microwave readings at higher densities. The quantity  $(nT_p)$  is determined from a signal emitted by the plasma at the electroncyclotron frequency, the amplitude of which is proportional to the product of the electron density and the electron temperature. We assume that  $kT_{a} cce\phi$ dividing by  $\phi$ .\* Values of  $\phi$  used here and in  $e\phi/W_i$  are obtained from a \*The plasma potential  $\phi$  and the corresponding energy e $\phi_{\mathbf{y}}$  acquired by a singly charged positive ion escaping the plasma region and thus falling through the potential difference  $\phi_{a}$  are used almost interchangeably in this report.

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retarding-grid analyzer positioned on or near the magnetic axis considerably outside the mirror. The plasma potential is defined as equivalent to the bias necessary to stop essentially all the slow\*ions leaving the plasma and reaching the detector. The value measured in this way is assumed to pertain to the central plasma region, where the density is expected to be highest. A secondary-electron-emission type of fast-atom detector also monitors the density, and tracks reasonably well the values determined by the other detectors. Values of B at the center of the BBII magnetic well (usually 10.3 kG) are obtained from the superconducting-coil current, and  $W_i$  is taken as the mean plasma energy, estimated either from the measured energy spectrum of charge-exchange neutrals leaving the plasma region or from the neutral-beam composition. For most of the BBII thresholds,  $II^+$  is the plasma ion.

In Fig. 2 we show selected groups of threshold points plotted in the same way as in Fig. 1, and all obtained at the same value of central magnetic field. Each group is distinguished by the variation of a particular experimental parameter. To obtain the sets denoted by the squares and circles, with  $W_i$  values of 1.3 and 0.8 keV, respectively, a metal limiter was gradually moved toward the center of the plasma, in the plane of the field-line fan, at an angle of about 30 deg with the magnetic axis. Because of azimuthal precession and axial reflection, particles that reflect at a value of B greater than that at the inner tip of the limiter should eventually hit it. Thus, the spatial extent of the plasma should be reduced as the limiter is moved in. Experimentally, we found that the instability threshold dropped rapidly,

\* Produced from the background gas by charge-exchange and ionization processes.

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even though eq decreased slowly, as the limiter was moved inward from a position completely outside the plasma to a position about 18 cm from the center (the mirror points are at about 44 cm on axis). The mean plasma energy is assumed not to have changed significantly.

The other sets of threshold points in Fig. 2 show a similarly strong threshold variation, even though quite different experimental parameters were changed. To obtain the points denoted by the crosses ( $W_i \approx 1.9 \text{ keV}$ ), we varied the area of transmission of the neutral beam at a location after the  $\mathrm{N}_{\mathrm{2}}$  neutralizer but before the Ne screen and the sweep tank. The area was changed so that the over-all beam size was not varied significantly; i.e., adjustable "Venetian blind" collimator was used. The threshold decreased an rapidly, as shown by the data, as the open area of the collimator was varied from 100% (wide open) to 12.5%. For the remaining two sets of threshold points in Fig. 2, the background-gas conditions in the plasma region were varied. To obtain the threshold points denoted by the triangles ( $W_i \approx 0.8 \text{ keV}$ ), we admitted  $N_2$  gas into the confinement region through a port below the plasma. As the flow of gas was increased, the plasma decay time decreased from about 1400 to around 200 ms, and the threshold level dropped as shown. The diamond points (W\_i  $\approx 1.9~{\rm keV}$ ) were obtained by varying the density of the Ne screen in the beam line before the main chamber. This affected the amounts of both Ne and  $N_2$  streaming through the plasma region from the beam line. To obtain the threshold drop shown, the No flow rate to the screen was decreased by a factor of about 2.5. (At W<sub>i</sub>≈0.8 keV, little change in threshold was observed as the Ne flow rate was dropped.)

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The instability-threshold data as plotted in Figs. 1 and 2 do not agree with the BBI results and have a large spread. On an  $\varepsilon$  vs  $e\phi/W_1$  plot, the threshold trend for each of the various individual sets of measurements shown, where diverse experimental parameters were varied, is much steeper than the BBI trend. Also, in comparison with the BBI results, the threshold values in Figs. 1 and 2 tend to fall low. The spread of the data points is well outside the estimated experimental uncertainty, as indicated by the typical error bar on one point in Fig. 1.

Some order can be made out of the spread of threshold points in Fig. 1 by grouping the data according to mean energy. This has been done in Fig. 3, where the data of Fig. 1 are shown again, but this time with different symbols denoting different energy ranges. Lines showing average trends of two of the energy groups have been added. One might imagine, discounting the scatter, that each energy group defines a steep trend like that in Fig. 2. The lower the energy of the group, the further the group is shifted to higher values of  $e\phi/W_i$ . This spread in the threshold data and the general lack of correlation with our previous work indicate that  $e\phi/W_i$  is no longer the controlling parameter for the onset of the instability.

The impression now conveyed by the BBII threshold data is different from the picture given earlier<sup>2,3</sup> when only about 10% of the present number of measurements existed. That initial threshold data approximately followed the BBI 45-deg line to  $e\phi/W_i \approx 0.1$ , and then deviated from it, forming a plateau region. Many of those earlier threshold points that lay close to the BBI line have now been displaced to the right because of better estimates of mean plasma energy: some of the former values of mean energy have been revised downward

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considerably because of the large half-energy component found in the beam. The plateau originally observed was formed because, as the neutral beam energy was lowered, a region was reached where  $\varepsilon$  and  $\phi$  at threshold stopped increasing, and thereafter changed little as the energy was lowered further. When these almost-constant threshold results were displayed on an  $\varepsilon$  vs  $e\phi/W_i$  plot, the decreasing  $W_i$  spread them out horizontally, thus forming a plateau. As further threshold data were accumulated, under various vacuum conditions, the plateau effect continued to be seen but at different  $\varepsilon$  levels at different times. Supposedly, the level of the plateau was dependent on the vacuum conditions. When many threshold measurements, taken under various conditions, are combined as in Fig. 3, the plateau effect no longer is particularly apparent. Instead, one notes the steep variation at each energy that was discussed above, with the different energy groups being displaced with respect to one another.

In an attempt to obtain an improved correlation of the threshold data, we have tried plots of simply  $n_i$  versus  $e\phi$ . For example, in Fig. **4** we have replotted the thresholds for the individual runs of Fig. 2. A fairly well-defined band is formed showing a strong variation of  $n_i$  with  $e\phi$ . The straight line is an approximate fit. A similar plot in Fig. 5 displays all the published BBI data and a general sample of the BBII results. The totality of these threshold points, obtained over a period of about  $5^{I_2}$  years, shows a strong and reasonably well-defined variation of  $n_i$  with  $e\phi$ . Density values range over a factor of almost 600. The BBI results tend to be in the lower density region, and the BBII results in the higher, with overlapping of the

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two groups in the central region. The general correlation observed between the two experiments tends to reassure us that our experimental measurements are not greatly in error.

A summary of the BBII and BBI threshold data is given in Table I. Except for the few additions on the right, all entries in the table were reproduced from punched cards that were prepared for use in the dataanalysis program REDUCE.\*

<u>111.</u> Corroboration by Classical Collisional Equations

The fairly good correlation observed in the data and the steep variation obtained, when density is plotted against plasma potential (or  $e\phi$ ), are apparently manifestations of the classical collisional equations. Even though the densities are threshold densities and thus pertain to the plasma instability, as a group they still must satisfy the classical relations because the plasma is essentially stable.

A way to write the classical equations, convenient for our present analysis, is

 $e\phi = \left(\frac{\left(1.8 \times 10^{-12}\right) n_i W_i}{n_0 \left(\sigma_i \cdot V + \overline{\sigma_i \cdot V_0} + \overline{\sigma_i \cdot V}\right) + n_1 \overline{\sigma_i \cdot V_0}}\right)^{\frac{1}{5}} \left(-\ln K\right)^{\frac{2}{5}}$ 

This program was developed by Neil Maron (report in preparation) upon the suggestion of Brendan McNamara, with our data-analysis needs particularly in mind. It has been very useful in our analysis of the large amount of available threshold data (see Secs. III and IV).

and

$$kT_e = \frac{e\phi}{-\ln K} ,$$

where

$$K = \frac{n_o |\mathcal{J}_i^i \vee + \overline{\mathcal{J}_i^e \vee_e} + \mathcal{J}_{cx} \vee|}{n_i |\overline{\mathcal{J}_s^e \vee_e}|} + \frac{\overline{\mathcal{J}_s^i \vee}}{\overline{\mathcal{J}_s^e \vee_e}} \cdot$$

These equations are obtained from Eqs. (12) through (14) of Ref. 4. For the derivation of these equations and for definition of the quantities involved, refer to that article. We use the relations

$$\overline{\bigcup_{s}^{e} V_{e}} = (1.4 \times 10^{-7}) (0.04/e\phi)^{3/2}$$

$$\overline{\mathcal{T}_{s}^{i}} \vee = (2 \times 10^{-10}) \quad \bigvee_{i}^{-3/2}$$

In Eq.  $(4)^5$  we have used an electron temperature equal to  $e_{\phi}$ , saying that the electrons of interest here are those with energy about equal to the plasma potential (i.e., they are electrons that can just escape). Equation (5) is a rearrangement of<sup>6</sup>

$$\Pi_{i} T_{s} \left[ = 1 / \overline{\sigma_{s}^{i} V} \right] \approx (5 \times 10^{9}) W_{i}^{3/2}$$

In Eqs. (1) through (5), the quantities W,  $e_{\phi}$ , and  $kT_e$  are in keV. We also use the equation

 $\Pi_{n}(\mathcal{T}_{i}^{i}V + \overline{\mathcal{T}_{i}^{e}V_{e}} + \mathcal{T}_{cx}V) = \beta C_{i}/\Pi_{i}$ 6

where  $\beta$  is the slow-ion current to an end-loss detector,  $C_1$  is the appropriate

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(4)

5

calibration factor, and the left side is summed over all the gas species in the plasma region. The ionization and charge-exchange processes represented by the three ov terms in Eq. (6) produce the slow ions, which are then accelerated by the plasma potential along field lines out the mirrors. The product  $\beta C_1$  is divided by  $n_i$  because the slow-ion current is proportional to the trapped-fast-ion density as well as to the backgroundgas density. We have tried using slow-ion currents to two different detectors in the present analysis: Low-Energy Ion Spectrometer (LEIS)<sup>7</sup> and a gridded detector near the magnetic axis at the north end of the magnetic field (GD)<sup>8</sup>. Because LEIS is more sensitive to alignment than GD, we use the GD results in the detailed analysis to follow, for possibly more consistent results.

To show that these equations suggest a strong variation of  $n_i$  with  $e_{\phi}$ , as evidenced by Figs. 4 and 5, we rewrite Eqs. (1) and (3) as follows:



where

$$K = \frac{n_o \Sigma \sigma V}{n_i (\sigma_s^e V_e)}$$

and

$$\sum \sigma V = \sigma_i^i V + \overline{\sigma_i^e V_e} + \sigma_{cx} V$$

For simplicity, the ion-scattering terms have been dropped here. For much of our threshold data, these terms are relatively small. Disregarding all

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the factors on the left of the proportionality sign in Eq. (7) except for the first  $n_i$ , one notes a  $n_i \propto (e\phi)^{5/2}$  relation. The other factors tend to increase the variation of  $n_i$  with  $e\phi$ . In particular, the dependence on  $e\phi$  of the electron-ionization term  $\overline{\sigma_i^e v_e}$  and of the electron-scattering term  $\overline{\sigma_s^e v_e}$  strengthen the variation. Hence, the strong relationship between  $n_i$  and  $e\phi$  displayed in Figs. 4 and 5 is not surprising. [Variations in other variables in Eqs. (7) through (9), such as  $n_o$  and  $W_i$ , will tend to blur the  $n_i - e\phi$  relation somewhat.]

The classical equations have been used to check in some detail the accuracy of our experimental measurements for two of the sets of data in Fig. 4 (circles and crosses). For this analysis we rewrite Eqs. (1) and (3) once again, this time using Eqs. (4) through (6):

$$\left( \frac{\left( 1.8 \times 10^{-12} \right) W_{i}}{\frac{BC_{1}}{n_{i}^{2}} + \left( 2.0 \times 10^{-10} \right) W_{i}^{-3/2}} \right) \left( -\ln K \right)^{3/2} = \left( e\phi \right)^{5/2}, (10)$$
where

 $K = \frac{\beta C_1}{n_1^2 |1.4 \times 10^{-7}| |0.04/e\phi|^{3/2}}$ 

 $+(1.4\times10^{-3})(e\phi/0.04W_{1})^{3/2}$ 

For each of the threshold determinations in the two sets of data considered, we substituted into the left-hand side of Eq. (10) and into Eq. (11) the experimental values of  $n_i$ ,  $W_i$ ,  $\phi$ , and  $\beta$ , calculated  $\phi$  from Eq. (10), and compared it with the measured  $\phi$ . Expecting that the measured values of  $n_i$ might have the largest uncertainty of any of the experimental quantities, and anticipating a possible systematic correction (to be discussed later), we allowed the  $n_i$  values in each set to be corrected according to  $(90/\phi)^{C_2}$ . The quantity  $C_2$  is an adjustable constant, and the number 90 was chosen to minimize the correction in the region where we have the greatest concentration of threshold measurements.

Agreement between the classical equations and experimental results means that each  $\phi$  calculated from Eqs. (10) and (11) should be about equal to its corresponding experimental value. Our procedure here is to find values of C<sub>1</sub> and C<sub>2</sub> that give this agreement, and then, from other considerations, to determine if these numbers are reasonable ones. Figures 6 and 7 show the intermediate results of this analysis. There we have plotted the calculated versus the experimental value of  $(\phi/100)^{2.5}$  for each of the individual thresholds considered. We have chosen three values of C<sub>1</sub>, spread over a factor of ten in magnitude and corresponding to n<sub>1</sub> in units of 10<sup>9</sup> cm<sup>-3</sup> in Eqs. (10) and (11). For each C<sub>1</sub> and for each of the two sets of data analyzed, we have varied C<sub>2</sub> to give a 45-deg line on these plots. For agreement between theory and experiment, the plotted points should fall on the  $\phi_{calc.} = \phi_{exper}$ . 45-deg line in each figure. Therefore, from the results in Figs. 6 and 7 we have interpolated to obtain values of C<sub>1</sub> and C<sub>2</sub> that will give this agreement: C<sub>1</sub> = 1.23 x 10<sup>-10</sup> and C<sub>2</sub> = 0.41 in Fig. 6, and C<sub>1</sub> = 5.7 x 10<sup>-11</sup>

and  $C_2 = 0.50$  in Fig. 7.

Our best experimental estimate of the calibration factor  $C_1$  is  $(2 \pm 1) \times 10^{-10}$ , for  $n_i$  in units of  $10^9$  cm<sup>-3</sup>, when using GD measurements of  $\beta$ . This value of  $C_1$  is obtained from the corresponding LEIS calibration factor\* as calculated from detailed observations with LEIS of the relative amounts of the different gas components in the plasma region. By comparing total slow-ion \*Supplied by Ronald K. Goodman.

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currents measured by GD and LEIS, we then convert to a value of  ${\rm C}_1$  for the GD data.

When comparing the experimental estimate of  $C_1$  with the interpolated values that give the desired  $\phi_{calc.} = \phi_{exper.}$  lines in Figs. 6 and 7, an approximation made in obtaining Eq. (14) of Ref. 4 [our Eq. (3)] should be first improved upon. There, the quantity  $F(e\phi/kT_e)$ , which is the fraction of scattered electrons having sufficient energy to escape over the potential barrier, is approximated by  $exp(-e\phi/kT_e)$ . For  $e\phi/kT_e$  in the range 1.5 to 3.0, which includes the data presently being considered, the calculated ratio  $F(e\phi/kT_e)/exp(-e\phi/kT_e)$  varies from 1.76 to 2.29. If we pick 2.0 for a representative value of this ratio, then, to account for this correction, a factor of 2.0 is added to the denominator of the two terms in Eq. (11). When this correction is included in the analysis leading to Figs. 6 and 7, the values of  $C_1$  must be raised by about 28% to give results like those plotted in these figures. Thus, the interpolated values of  $C_1$  to give the desired  $\phi_{calc} = \phi_{exper}$ . lines in Figs. 6 and 7 become about 1.6 and 0.7 x  $10^{-10}$ , respectively, to be compared with the experimental estimate of  $(2 \pm 1) \times 10^{-10}$ .

This good agreement between the experimental estimate of  $C_1$  and the values needed in the classical equations so that  $\phi_{calc.} \approx \phi_{exper.}$  suggests that the measured quantities describing the experimental thresholds are reasonably accurate. In particular, if we assume that the experimental values of  $W_i$ ,  $\phi$ , and  $\beta$  are substantially correct, we have a check on the validity of the  $n_i$  values. The quantities  $C_1$  and  $n_i$  enter into Eqs. (10) and (11) only as the ratio  $C_1/n_i^2$ . Thus, a factor-of-2 change in  $C_1$  means a change in  $n_i$  of only  $\sqrt{2}^-$  in order not to change  $C_1/n_i^2$  and thus not upset the

 $\Phi_{calc} = \Phi_{exper}$ , agreement between the classical equations and the two sets of data analyzed here. It appears that no more than this amount of uncertainty in density is warranted, on the basis of the satisfactory comparison between the required and experimentally estimated values of C<sub>1</sub> given just above. (We actually used the average-density values of Table I in the foregoing analysis instead of the peak densities. These latter may be  $\approx 50\%$  greater and are assumed to correspond to the measured values of  $\phi$ . However, this correction to peak density is comparable to the relatively small uncertainty just discussed, and thus is not of major consequence.)

Not only is little change in magnitude of the two sets of experimental density values needed for agreement with the classical equations, but also little change is necessary in the  $n_i$  vs  $\phi$  slope of either of the data sets. This is shown by the small values of  $C_2$  required to obtain the 45-deg slopes in Figs. 6 and 7 for over a factor of 10 in  $C_1$ . It appears that, at most, a correction roughly proportional to  $(1/\phi)^{\frac{1}{2}}$  is needed, which is a relatively small change in the original  $n_i$  vs  $e\phi$  slope of about 3.5. Thus, the measured slope for  $n_i$  vs  $\phi$  is within 14% of that predicted theoretically.

This indicated systematic correction is qualitatively consistent with what one would expect. In the preceding analysis, we effectively assumed a constant factor for conversion from the measured average density to the peak density at the center. As  $n_i$  and  $\phi$  increase, the ratio of peak to average density is expected to decrease because of additional ion scattering and spatial spreading. These effects will tend to give a variable conversion factor and a **decr**eased slope for peak density vs  $\phi$ , as suggested by the numerical analysis above.

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The classical collisional equations thus have helped check the accuracy of our threshold-density measurements. In effect, we have used the classical equations, which turn out to be quite sensitive to the density values when used in the form of Eqs. (10) and (11), to confirm the experimental density calibration. Unless there is some strong density peaking effect that varies sharply with potential but does not greatly affect the measured values of potential, it appears that our measurements of the magnitude of  $n_i$  at threshold and the variation of  $n_i$  with  $\phi$  are reasonably accurate.

We assume that the two sets of data analyzed in detail here are representative of all the BBII threshold data in this report. The reasonable agreement obtained between the values of the four measured parameters and the classical predictions gives us more confidence in the threshold measurements and their apparent variance with the BBI theory.

#### IV. Comparison with Baseball I Theory

The BBI instability-threshold theory, which is based on Landau damping of electron plasma waves, is represented by the equation  $^1$ 

$$\mathcal{E} = (\omega_{pi}/\omega_{ci})^2 = (k_1 a_i)^2 (e\phi/w_i)$$
. (12)

According to this theory, the plasma should be unstable when the parameters for the maximum-density portion of the plasma define a point above the region delineated by Eq. (12) on an  $\epsilon$  vs  $e\phi/W_i$  plot; and the plasma should be quiescent when the point is below. In reality, the BBI data are considerably spread about an average 45-deg line on the  $\epsilon$  vs  $e\phi/W_i$  log-log plot. The plausible interpretation that was suggested for this scatter in the data is that of a normal-mode structure for  $\textbf{k}_{\bot}$  :

$$k_{1m} = [k_r^2 + k_{\theta}^2]^{1/2} = [(0.25)^2 + (m/6.9)^2]^{1/2} \text{ cm}^{-1}.$$

When the quantity m takes on the appropriate integral value from 1 through 6 for each threshold determination, the resulting values of  $k_{\perp m}$  agree well, in general, with the experimental  $k_{\perp}$  structure. While the numerical values in Eq. (13) were selected to give this correspondence, the choices are reasonable when compared with BBI plasma dimensions.

The procedure used in the BBI experimentation is as follows: If the plasma density is varied (usually by changing the source arc current and thus varying the beam level), a line of slope 2.5 or greater on an  $\varepsilon$  vs e $\phi/W_i$ plot is defined. This assumes that B and  $W_i$  are constant, so the behavior observed is that of  $n_i$  vs eq (because  $\epsilon \alpha n_i/B^2$ ). The steep slope is that from the classical relation Eq. (1). In one region along this line of steep slope, where the line intersects the 45-deg threshold line defined by Eq. (12), the plasma passes from the stable to the unstable regime (as the beam level increases). If we then change the experimental conditions (the vacuum, for example) and again vary the beam level, a new line of steep slope is defined, displaced from the first. If the vacuum conditions are poorer, it is displaced to the left. One threshold point is found along this line, again where it crosses the line defined by Eq. (12). By varying the plasma conditions, a series of thresholds can be determined. The array of these thresholds will then give the 45-deg-line behavior of Eq. (12), neglecting the complication introduced by the possible spread in  $k_{\perp}$  mode number. (On the  $n_{i}^{}$  -  $e\varphi$  plot of Fig. 5, such an array tends to disperse the data but does not destroy the over-all classical steep-slope trend.)

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The situation in BBII seems to be different. We have seen that varying a particular parameter gives an array of threshold points with a slope much steeper than a 45-deg line. For example, refer to the set of seven triangle points in Fig.2, where vacuum conditions were varied as discussed above for BBI. The slope for this set is definitely steeper than that of the BBI average-threshold line. The BBII results just do not seem to fit the earlier theory.

One question to consider when trying to relate the BBII data to the BBI Landau-damping theory is whether the steep threshold variation observed could be a result of continuous  $k_{\perp}$ -mode switching. Figure 8 gives the histogram showing the distribution of values of ln  $k_{\perp}$  for the BBII data of Table I. A similar type of plot was previously obtained for BBI.<sup>1</sup> We use Eq. (12) to calculate  $k_{\perp}$  for each threshold determination, substituting in experimental numbers for the other parameters. As a guide, some mode assignments are given above the distribution. Mode numbers for the BBI fit are shown, plus two further, somewhat arbitrary, fits. Unlike the BBI results, nothing strongly suggestive is evident. If quantization does occur, the high mode numbers apparently needed give levels so close together that it is difficult to distinguish them in our data. The large peak at ln  $k_{\perp} \approx 0.8$ should be discounted -- it is at the level where we made many of the periodic threshold checks. Although  $k_{\perp}$  quantization is not distinct in the BBII threshold data, it cannot be ruled out entirely.

Quantities involved in the theory of Eq. (12) include the gyroradius  $a_i$ and the parallel wave number  $k_{||}$  as well as the perpendicular wave number  $k_{\perp}$ . Figure 9 shows a plot of  $k_{\perp}$  vs  $a_i$  and includes both the

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BBI and BBII sets of threshold data of Table I. A plot of  $k_{\parallel}$  vs  $k_{\perp}$ , again for all the data, is given in Fig. 10. To obtain  $k_{\parallel}$  one can use

$$k_{\parallel} = \frac{k_{\perp}}{\left(\frac{m_{i}}{m_{e}}\right)^{\frac{1}{2}} \mathcal{E}^{\frac{1}{2}}} = \frac{1}{\left(\frac{m_{i}}{m_{e}}\right)^{\frac{1}{2}} d_{i} \left(\frac{e\phi}{W_{i}}\right)^{\frac{1}{2}}}, \qquad (14)$$

where  $m_i/m_e$  is the ion-electron mass ratio. Equation (14) is derived from the equations in Ref. 1 for the fundamental mode (n=1). The plots in Figs. 9 and 10 were made directly with the aforementioned data-analysis program REDUCE. The straight lines shown are first-order, log-log, least-squares fits automatically made by the program. These plots show the different ranges of these pertinent parameters in the two experiments, using the equations of the BBI theory.

We should mention that the variable  $W_i$  in Eq. (12) is really the perpendicular component of the plasma-ion energy. In its place we have used the full mean energy throughout this report. The error in doing this should not, in general, be large because most of the thresholds were obtained under conditions where ion-ion scattering was not significant. For the threshold points with the highest values of e and  $e\phi/W_i$  (upper-right points in Fig. 1), where considerable ion-ion scattering occurred, reducing the full mean energy to its perpendicular component would noticeably increase  $e\phi/W_i$ and these points would be moved to the right. However, they would be moved by less than a factor of 1.3 (estimated for a scattered distribution in a magnetic well with depth of 2:1).

V. Concluding Remarks

There is no satisfactory explanation for our threshold data at this time. As one searches for an interpretation, there are differences in the BBI and

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BBII experiments that should be kept in mind. For example, the neutral beam in BBII passes through the central region at an angle of 90 deg with respect to the magnetic axis instead of at the 61-deg angle in BBI. This geometric difference, along with the plasma-trapping mode of plasma formation in BBII instead of Lorentz trapping only, accentuates the density peak at the minimum of the well. (However, the Phoenix II experiment used perpendicular injection, had a small amount of plasma trapping, and still gave results that seemed to fit the BBI instability theory.<sup>9</sup>) In BBI the vacuum-chamber wall intersected the magnetic axis somewhat inside the maximum-field region. while in BBII the chamber wall is outside the mirror region. Another difference between BBI and BBII is that densities in the latter are in general considerably higher, as shown in Fig. 5. Also, the gyroradii are smaller in BBII, both absolutely and relative to the plasma dimensions. In addition, points in Fig. 1 plotted at the extreme top and right represent plasma conditions for which there was considerable ion-ion scattering. None of the thresholds of BBI was obtained under these conditions.

It was earlier thought that the radial boundary conditions might be affecting the threshold. So, between the final two running periods in which we investigated vacuum-buildup plasma formation, we moved outward or removed entirely probes near the midplane around the circumference of the plasma. These changes had little or no effect either on the maximum-obtainable threshold level or on the steep variation of the threshold on an c vs  $e\phi/W_i$ plot. (However, applying +300 V to a large isolated screen, which was outside the plasma in the midplane at 24 cm from the center of the plasma, appeared to lower the threshold level considerably.)

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The role of the plasma potential in the BBII instability is unclear. It appears that, from the threshold data, we cannot say which of two extremes is true. On the one hand, the potential does not seem to affect the threshold; that is, the threshold is dependent on one or more other quantities. According to this point of view, as the threshold density changes, being affected by an unknown parameter, the potential adjusts according to the classical theory. We therefore obtain the classical relation between density and potential. On the other hand, it might be that the threshold is strongly dependent on the potential. In Sec. IV, we discussed how, according to the BBI theory, when a parameter such as the background gas is varied, a series of threshold points is obtained delineating a 45-deg line on an  $\epsilon$  vs  $\epsilon \phi/W_i$  or  $n_i$  vs  $e\phi$  log-log Using the same reasoning, one can imagine what would happen plot. if an instability should give a variation of threshold density with potential much steeper than 45 deg. If a parameter such as the background gas were then varied, a set of threshold points would again be obtained at the intersections of the various classical  $n_i - e\phi$  lines and the threshold region. This time the set of threshold points would define the steep variation pertinent to this instability. It appears that, if this latter case is true, the dependence of the threshold on  $\phi$  is close to that of the classical behavior. The uncertainties in our threshold data make it difficult to differentiate between the two possibilities just discussed.

With  $e\phi/W_i$  apparently no longer the controlling parameter for the instability, and with the role of the plasma potential unclear, the question is raised as to what is important. One wonders what is the common denominator affecting the threshold in the experimentation leading to the data in Fig. 2, for which such dissimilar experimental variables were changed. The purity of

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the injected neutral beam is not clearly a parameter pertinent to the threshold level. Whether the incident-beam composition is predominantly full energy, predominantly half energy, or a roughly equal mixture, the thresholds still fall considerably below the BBI line, and the steep variation with  $e\phi$  is still evident.

One parameter that does seem to be still pertinent is  $\varepsilon$ , which is proportional to  $n_1 M/B^2$ . As B is increased, both the threshold and maximum (instability-limited) densities increase approximately as  $B^2$ . Also, in one series of measurements (data are not included in Table I), where we changed from pure H<sub>2</sub> gas in the source to pure D<sub>2</sub>, the threshold density dropped to 0.34 of its value with pure H<sub>2</sub>. This drop counteracted the change in M of a factor of 2, and thus  $\varepsilon$  was held almost constant.

The threshold density may also depend on the spatial distribution of the injected neutral beam. There are some threshold measurements (all the data not included in Table I) that suggest that, when the beam is restricted by a collimating aperture, the threshold density decreases while the corresponding value of  $e\phi/W_{i}$  increases. This is in contrast to behavior such as that illustrated in Fig. 2.

### <u>Acknowledgments</u>

The experimental data presented in this report exist only because of the endeavors of the entire Baseball II group. There is much unmentioned effort involved in providing experimental apparatus that functions reliably and measurements in which one can have confidence. Those who especially helped to obtain and interpret the instability-threshold measurements discussed here are Archer H. Futch, Ronald K. Goodman, and Gary D. Porter.

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SUMMARY	OF T	HÈ BASE	BALL II AND B	BASEBALL I	INSTABII	LITY-THRESHO	LD DATA.	
REFER TO	S THE	NOTES	AT THE END OF	F THIS TAB	LE.			
ORDER O SET& CA SET# CA	F THR RD# RD#	ESHOLD DATA SE MASS	EXPERIMENTAL ET DENSITY BEAM CUR.	PARAME (ER EPSILON COLLIMATR	S ON DATA POTENTIAN LEIS BETA	A CARDS: L ENERGY A GD BETA	MAGN FLI	DECAYTIME
		·		BASEBALL	11			
1	1	1.	0.95	0.205	155.	1.34	10.3	1090.
12	2	1 2	0. 1,05	0. 0.227	D. 130.	0.83	10.3	2040.
23	2	1. 3.	0. 1.02	0. 0.220	130.	0.43	10.3	1470.
34	2	1. 4.	0. 1.42	0. 0.226	0. 134	0.43	12.0	1000.
4 5	2	1. 5.	0. 1.14	0. 0.182	0. 157.	1.34	12.0	1140.
5 6	2	1. 6,	0. 1.25	0.199	0. 148	0.83	12.0	1190.
6 7	2	1 7.	0.62	0.193	136.	1.34	8.6	1470.
7 9	2	8.	0.62	0.193	115	0.43	8.6	1260.
89	2	9.	0. 0.53	0. 0.165	127.	0.83	8.6	1650.
10	2	10	0,91	0.197	145.	1.34	10.3	1410.
10 11	2	11.	0. • 0.55	0. 0.119	105.	1.34	10.3	400.
11	2	1. 12.	0. 0.28	0.061	93.	1.85	10.3	740.
12 13	2	1. 13.	0. 0.26	0.056	0. 102.	1.85	10.3	380.
13 14	2 1	14.	0. 0.53	0. 0.125	97.	1.34	10.3	1180.
14 15	2	15.	1.2 0.40	0. 0.086	0. 83.	0.83	10.3	1200.
15 16	2 1	1. 16.	1.35 0.43	0. 0.093	0. 83.	.0.50	10.3	1270.
16 17	2	1. 17.	1,92 0,46	0. 0.099	0. 100.	1.34	10.3	1660.
17 18	2	1. 18.	1.15 0.64	0. 0.138	0. 93.	1.34	10.3	1250.
18	2	1 19	1.25 0.54	0. 0.117	0 83.	0.83	10.3	1210.
19	2	20.	1.74 0.48	0. 0.104	0. 67.	0,83	10.3	680.
20	2	1. 21.	1.12	0. 0.097	0. 85.	0.83	10.3	1000.
21	2	22	0.76	0.086	0, 88,	0,50	10.3	1020.
22	2 1	23	1.2 0.66	0. 0.142	0. 77.	1.34	10.3	820.

TABLE I

-25-

23	2	1.	1.78	Ο.	Ó.	•			
24	1	24.	0.64	0.138	72.	0,83	10.3	630.	
25	1	25	0.56	0.121	70	0.30	10.3	830.	
26	1	26	0.50	0.108	51.	0.83	10.3	400.	
27	1	27.	0.162	0.035	40	1.34	10.3	290.	
28	1	28	0.102	022	45.	1.85	10.3	380.	
29	1	29:	0.34	0.074	60	1.34	10.3	790.	
30	1	ဒဝုံ	0.52	0.113	70	1.34	10.3	640.	
31	1	31	0.20	0.043	60.	2.32	10.3	1430.	
32	1	32.	0.027	0.0059	29	11.3	10.3	Ο.	
33	1	33.	28.0	0.0094	49.	5.7	10.3	Ο,	
33	1	34	0,56	0.121	0. 85.	1.34	10.3	ο.	
34	1	35.	0.091	0.020	0. 68.	2.85	10.3	Ο.	
35	2	36	0.8 0.27	0. 0.058	0. 71.	1.87	10,3	ο,	
36	1	37.	0,041	0.0089	0. 35.	3.5	10.3	ο.	
38	1	38.	3,1 0,093	0.0201	0. 63.	3.0	10.3	ο.	
39	2	39.	0.029	0.013	0. 57.	1.34	10.3	ο.	
40	2	40	0.35	0.015	0. 66.	1.34	10,3	Ο.	
40	1	41	0.24	0. 0.037	0. 71.	1.87	10.3	958,	
41	1	42	0,83	1. 0.018	36.5 74.	2.69 1.87	10,3	1500,	
42 43	2 1	43.	0,66 0,32	4. 0,063	30.2 85.	1.16 0.83	10.3	1236,	
43 44	2	1. 44.	1.14 0.38	4. 0.082	68.1 76.	3,46 0,83	10.3	987	
44 45	2	1. 45.	1.42 0.35	1.076	90,1 76,	5,64 0,61	10.3	978	
45 46	2	1. 46.	1.53 0.23	1.	85.0 85.	5.24	10.3	1035	
46 47	2	1.	1.12	4	85,9	4.18	10.0	лооо. <i>В А Л</i>	
47	2	1. 48	0.79	1,040	64.9 76	3.00	10.0	044	
48 49	2	1.	1.52	1.	118.4	6.40	10.3	1171	
49 50	2	50	1.43	4,	79.2	4.96	10.0	1050	
50 51	2 1	1.	1.63	4.	32.3	1 24	10.0	060	
ši 52	2	1. 52	1.14	1.	116.1	1.34	10.3	303, 1200	
52	2	1.	1.14	4.	0.	1 50	10.3	1044	
	•	00.	0.10	0.020	/0,	1.09	10.3	1044.	

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53 54	· 1	54.	0.90 0.52	4. 0.109	87.	1.59	10.3	1179.	
54 55	2	1. 55.	1,45 0,35	1. 0.069	92.3 99	1.59	10.3	1653.	
55	2	1.	1.22	4.	48.4	0.83	10.2	1281	
56	2	1	2.28	4,	102.7	0.83	10.5	1201.	•
57 37	2	57. 1.	0.25	0.055 4.	87. 77.3	0.83	10,3	1257.	
58 58	1	<b>58</b> .	0.22	0.047	94. 93 3	1.59	10.3	1277.	
59	Ì	59.	0 27	0.054	80.	1.59	10.3	1040.	
59 60	1	60 <sup>1</sup>	0,26	0.058	78.	1.59	10.3	1014.	
50 61	2	61.	0.78	1.084	83.8 81.	1.34	10.3	995,	
61 62	2	î. 62	1.01	1.	107.6 84	1 59	10.3	1212	
62	2	1.	1.21	i.	Ö.	1.03	10.0		
63 63	2	63. 1.	0.22	0.048 4.	93.	1.34	10.3	1699,	
64 54	1	64, 1	0,36	0,078 1	74.	0.50	10.3	500.	,
85 65	ĩ	65	0.37	080	75	0.83	10.3	861.	
85 86	Ĩ	66.	0.34	0.075	92.8 73.	1.34	10.3	707.	
67 67	2	67.	0.037	0,008	55.	1.87	10.3	500.	
67 68	2	1. 68.	.42 0.098	1. 0.021	45.1 57.	1,17	10.3	397.	
68 69	5	1.	1,55	1, 0, 063	106.4	2.40	10.3	834	
69 70	2	1.	2.15	1.016	160.6	6.64	10.2	25.9	
20	2	1.	1.56	1.	87.5	1.83	10.5	200.	
71 71	2	1.	0.083	0.018	52. 82.0	2,20	10.3	430,	
72 72	1	72.	0.39 1.92	0.085 1.	90. 124.7	1.59 4.72	10.3	1000.	
73	Ĩ	73.	0.47	0.101	90.	1,59	10.3	1000.	
74	ភ្ន	74.	0.21	0.047	77.	1.87	10.3	1151.	•
75	ĩ	75	0.059	0.013	57.	2.32	10.3	500.	
75 76	2	76.	2.00 0.40	1. 0.087	34.1 84.	2.25	10.3	1053.	
76 77	2	77	1,58 0,40	1. 0.086	126.7	4,80	10.3	965.	
77	2	1.	1.33	1	106.4	3,76	10 3	922	
78	ż	1.	1.82	1.	89.7	3.52	10.0	322. 000	
79 79	ź	/9.	0.22	0.048 6.	57.5	2,66	10.3	992.	
80 80	1	80. 1.	0.23	0.050 6.	76. 66.5	0.83 3.16	10.3	867.	
81	ī	81.	0.36	078	92.	0.83	10.3	1502.	
82	1	82.	0.33	071	93.	0.83	10.3	1513.	
83 83	1	83.	0.38	0.081	39.8 84.	0,83	10.3	1188.	

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83 84	2 1	1.19	1. 43.3 0.090 84.	4.00 0.83	10.3	1251.	
84 85	2 1.	1,09	7. 46.5 0.096 91.	4.44 1.34	10.3	1247.	
85	2 1.	1,15	7, 0. 0.093 90.	6.36 1.34	10.3	1339.	
86	2 1.	1.04	7. 0.	6,12	10.3	1428	
87	2 1.	0,78	7. 0.	2.10	10.3	1100	
88	2 1.	0.79	7. 24.3	1.27	10.5	1000	
89 89	1 89. 2 1.	0.11 0.95	0.024 67. 7. 0.	2.32	10,3		
90	1 90. 2 1.	0.045	0.0097 57. 7. 16.9	2.32 0.65	10.3	944.	
91	j 9j.	0.17	0.037 78.	2.32	10.3	1105.	
92	1 92.	0.34	0.075 85	2.32	10.3	1392.	· ·
92 93	1 93.	0,18	0.039 77.	2.32	10,3	1695.	
93 94	2 1. 1 94.	0.82 0.24	7. 87.3 0.053 79.	2.56	10.3	1176.	
94	2 1.	1.20	1. 142.9	3,15	10.3	1000.	
95	2 1.	0.96	1. 112.2	2.68	10.3	1116	
96	2 1.	1.12	1 174.2	4.16	10.0	1100	
97 97	1 97. 2 1.	1.11	1. 138.5	4.86	10.5	1100.	-
98 98	198. 21.	0,24 2,8	0.053 83. 0. 0.	0.80	10,3	1600.	
99	ī 99. 2 1	0.33	0.072 B4.	0.80	10.3	1600.	
100	1 100	0.016	0.0035 48	1.9	10,3	Ο.	
101	1 101	0. <u>6</u> 20	0.0043 55.	1.9	10.3	Ο.	
102	1 102	0.31	0.066 81	0.80	10.3	1900.	
102	1 103	0.20	0.043 79.	0.80	10.3	1700.	
103	1 104	2.4 0.36	0.078 87.	0.80	10.3	1300.	
104 105	2 1. 1 105.	2.1 0.39	0. 0.084 <b>8</b> 7	0.80	10.3	1300.	
105 106	2 1. 1 106.	2.2 0.28	0.086 76.	0.80	8.6	1750.	
106 107	2 1. 1 107.	1.4 0.23	0.072 80.	0.80	8.6	2300.	
107	2 1. 1 108.	1.2	0.081 63.	0.80	6,9	ο.	
108	21. 1109.	0.7	0.074 63.	0.80	6.9	ο.	
109	2 1	0,019	<u>0.0041 30.</u>	0.80	10.3	217.	No (this measurement and the six
$-\frac{110}{111}$	<u>-2 11</u>	<u>4.1</u> 0.39	0.084 93.	0.80	10.3	1600.	marked with "No" below are the
111 112	2 1. 1 112.	2.1 0.43	0. 0. 0.092 88.	0.55	10.3	1300.	triangle results plotted in
112	2 1 1 113	2.2 0.39	0. 0. 0.084 83.	0.55	10.3	1250.	Figs. 2 and 4)

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113	2 1.	1.7 0.38	0. 0.081	0. 90.	· 0, 80	10.3	1350.	
114	2 1. 1 115.	1.3 0.43	0. 0.092	0. 92.	0.80	10.3	1400.	
115	2 1	2.0	0, 0,073	77.	0.80	10.3	850.	N <sub>2</sub>
116	2 1.	2.8 0.29	0. 0.062	77.	0,80	10.3	900.	N <sub>2</sub>
-117	$\frac{2}{1}$ $\frac{1}{118}$	2.3	0.084		1.35	10.3	1600.	~~~~
118	2 1.	0.9 0.30	0. 0.065	88.	1.35	10.3	1800.	
119	2 1.	0.6 0.130	0. 0.028	0. 79.	1.9	10.3	1500.	
120	2 1.	0.8	0, 0,084	0. 91.	0.8	10.3	1500.	
121	2 1	1.8	0. 0.059	0. 72.	0.8	10.3	800.	
122	2 1	2.9	0,078	0, 83,	0.8	10.3	1050.	
123	2 1.	2.3	0.073	0. 77.	0.55	10.3	1100.	
124	2 1	2.0	0.000	0,	0.8	10.3	1400.	N
125	1 125.	1.7	0,009	<u> </u>		10.2	000	
126	1 126,	0.28	0.061	73.	0.8	10.3	900.	· · · · ·
127	1 127.	0.22	0,047	68.	0.8	10.3	900.	N <sub>2</sub>
127 128	2 1. 1 128.	0.093	0.050	ອງ	0.9	10.3	SOO.	N <sub>2</sub>
128 129	2 1. 1 129.	2.4 0.065	0.014	52.	C.9	10,3	600.	N <sub>2</sub>
$-\frac{129}{130}$	-2 <u>1</u> <u>1</u> <u>1</u> <u>3</u> 0.	0,031	0.0068	51.	3.0	10.3	0.	
130	2 1. 1 131.	<b>2</b> .5 0.21	0. 0.046	0. 84.	1.59	10.3	1300.	
131	2 1.	1.5	<b>0</b> . 0.017	0. 67.	1.85	10.3	Ο.	
132	2 1.	1,3	0.052	0. 81	1.14	10.3	1250.	
133	2 1.	1.1	0.040	0. 85.	1.59	10.3	1100.	*
134	2 1.	1.3	0,071	0. 87	0.80	10.3	1200.	
135	2 1.	1.0	0.071	0 83	0.47	10.3	1300.	
136	2 1.	1.8	0.088	0. 0.	0.80	10.3	1400.	
137	2 1.	1.4	0.000	<u>0</u> .	0.80	10-3	1500.	1225
138 138	1 138. 2 1.	0.43 1.5	0.093	0.	. 0,00	10.0	1300	2100
139	1 139. 2. 1.	0.41 1.1	0,089 0,	83.	0.60	10.3	1100	2100
140	1 140	0.39	0.084	89. 0.	0.80	10.3	1100.	600
141	1 141	อี่เจ้ง	072 Ő	81	0,80	10.3	1100.	600
141 142	2 1. 1 142.	0.139	0:030	75.	1.90	10.3	1400.	1225
142 143	2 1. 1 143.	1.2 0.148	0.032	76.	1.90	10.3	1600.	2100

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Neon flow to screen (cc/min)

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-29-

143	2 1.	0,9	0. 0.057 8	0. 36.	1.90	10.3	1400.	2100			
144	2 1.	1,5	0.0076	0, 55.	1.90	10.3	500.	800 .			
145	2 1.	2.1	0,	<u>0</u>	0.80	10.3	1400.	<u> </u>			
146	1 146.	1,4	0,056	0.	5.48 1.90	10.3	1700.	50	Onen	area of	
147 147	1 147. 2 1.	1.5	0, 042	0	4,00	10.3	1700.	50 (	> Venet	ian-blind	
148 148	1 148. 2 1.	1,1	0.	0.	3.70	10.3	1700.	25	colli	imator (%)	
149 149	1 149. 2 1.	0.148	0,032	0.	2.49	10.3	1400.	12 5			
150 150	1 150. 2 1.	0,062 0,9	0.0134	0,	0.80	10.3	1500	100			
151 151	1 151. 2 1.	0.35 1.7	0.076 1 0.	03.	6.44	10.0	1650	100		· .	2
152	1 152	0.20	0.043	89. 0	1.90 <u>3.92</u>	10.3					•
-153	1 153.	0.082	0,018	65. 0.	1.34 1.32	10,3	900.	41			
153	1 154	0.16	0.035	75. 0.	1.34 2.62	10.3	1500.	36		Relative position	
154	1 155	0.25	0.056	81. 0.	1.34 4.82	10.3	1370.	31	>	of axial limiter.	
155	1 156	0.40	0.086	85.	1.34 5.53	10.3	1240.	26		Distance (in cm)	
156 157	2 1. 1 157.	0.40	0.088	86	1.34	10.3	1270.	20.7		from center of	
157 158	2 1. 1 158.	0.42	000	87.	1,34	10.3	1260.	0 (d	out)	tin of limiter is	
158 159	2 1. 1 159.	0.39	0.085	79.	0.83	10.3	1090.	0		59 minus relative-	။ ယ
159 160	2 1. 1 160.	1,91 0.27	0.058	75.	0.83	10,3	1140.	31		position reading.	õ
160 161	2 1. 1 161.	1.32 0.096	0.021	57.	0.83	10,3	1510.	41		-	
161 162	2 1 1 162	0.81 0.34 2.06	0, 0,074 0,	0. 75. 0.	0.83 3.80	10.3	1140.	25.8	J		
102	<u> </u>	B	ASEBALL I								
200	1 200	. 0041	.0031	16.	15.	5.03	240.				
200	2 1.	. 68 . 0030	0. .0020	0. 15.	15.	5.26	300.				
201	2 1.	48	0. .0043	0. 44.	15.	5,21	2250.				
202	2 1.	.18 .0090	0 0062	0. 54.	15.	5.21	1870.				
203	2 1.	.34 .0030	0.0021	0. 19.	15.	5.17	390.		4		
204	2 1	.73	0, ,034	0. 83.	15.	5.40	1200.				
205	2 1.	91	0. .012	0. 26.	10.	4.41	80.				
206	2 1.	17,8	0. .030	0, 36,	12.	4,92	500.				
207	2 1.	5,1	0.027	0. 63.	8.	4.25	1210.				e
208	2 1.	6,1	0.	0.							
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•••																			
	209	1	209.		. 0	194	. (	034	45.	5.	3	1.30	1200.						
	209 210	2	210		12.0	178	0.	134	0.	5		1 1 1	1050						
	210	ź			17.8		o	204	Õ.	с. =			0100						
	211	2	211.		10.5		o.'		0.	5.	-		3100.						
•	212	2	212.		4.7	/11 <u>2</u> /5	o:'	)29	42.	4.	2	2.68	1170.						
	213 213	12	213.		7.3	012 12	0.0	034	42.	З.	2	2.57	2300.						
	214	1	214.			112	, i	064	26	2.	1	. 80	1600.						
	215	ĩ	215			076	<u> </u>	044	28	2.	1	. 80	1400.						
	216	1	216		; <u>`</u>	205		108	55	1.5	1	. 89	3300.						
	216	2	217		4.3	0148	0.1	378	53.	1.5	1	. 89	3300.			•			•
	217 218	2	218. 218.		2.8	37 0075	0.	041	0. 30.	2.	1	. 85	1300.						
	218 219	2 1	1 219		7.0	89 1046	0.	027	0. 30.	2.	1	. 84	1000.						
	219	2	220		4.0	05	0.	126	0.	2	1	85	2350						
	220	ź	1		2.3	9	o.	020	<u>ğ</u> .	1 6	4		1800						
•	221	2	1.		2	54	oĽ	020	27. 0.	1.5	l	. 66	1800.						
	222	2	222.		1.0	)025 )	o.'	028	23. 0.	1.	1	. 29	2100.						
	223	1	223.		6.6	01 <b>3</b> 9	o.'	025	42.	5.	:	3.23	900.						
	224	1	224			<u>i</u> 67		0046	35	15.	Ę	5.25	290.						
	225	1	225		(	175		022	37	5.	:	3.90	1000.						F
	225 226	2	226		5.2 .(	5183	<b>U</b> .	018	25	5.		4.35	700.					•	<u></u>
	226 227	2	1. 227.		7.5	56 0458	0.	041	0. 45.	5.	4	1.56	2000.						•
	227	2	1		18.	0276	<b>o</b> :	0.25	0.	5		1 40	1150						
	228	2	220.		21	7	o.	035	0.	5.	-	4.49	1130,				·		
	229	2	229.		8.1	)274  9	oĽ	020	45. 0.	5.	,	5.12	990.	· <del></del>					
	230 230	1	230,		5	259	· ∩ '	018	54.	5.	÷	5.27	770,						
	231	1	231		10	2731		036	77.	5.	6	6.20	1200.						
	232	1	232			197	U.	028	43.	2.	:	3,64	1500.	-					
	232 233	2 1	1. 233.		5.6	53 )27ย	0.	040	0. 53.	2.	:	3,64	1730.						
	233	2	1.		7.2	22	o.	- • •	Ō,										

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#### TABLE I NOTES

General

A zero or blank entry in the table means that the particular value has not been entered on the punched data cards. (It still may be available from the data notebooks or from analog magnetic tape.)

Most well-analyzed BBII threshold measurements have been included in this table.

 $\frac{\text{Density}}{\text{Units} --} 10^9 \text{ cm}^{-3}.$ 

Typical accuracy -- approximately that indicated by the error bar in Fig. 1 (a range of a factor of 2).

BBII results -- average-density values, using microwave-interferometer calibration, where a constant density is assumed over a 20-cm path along a line through the center at about 70 deg to the magnetic axis. These average-density numbers are the values used in Figs. 4 and 5 and in the numerical analysis of Sec. III, which uses the classical equations.

BBI results -- peak-density values.

Epsilon

$$\mathcal{E} = \left(\frac{\omega_{pi}}{\omega_{ci}}\right)^{2} = \left(4\pi M_{H} + c^{2}\right) \left(An_{i} / B^{2}\right) = (18.8) \frac{An_{i} (10^{9} \text{ cm}^{-3})}{[B(kG)]^{2}},$$

where A is 1 for  $H^{\dagger}$  and 2 for  $D^{\dagger}$ .

BBII results -- in calculating the values of  $\varepsilon$  in the table, the averagedensity values in the table have been multiplied by 1.21 to convert them to peak-density values. (Actually, the plasma radial profiles suggest that a larger number, more like 1.5, would have been a better conversion factor.) The occasional deviation of an  $\varepsilon$  value in the table from that calculated by the above equation reflects our uncertainty as to the best n, value to use.

the best n, value to use. BBI results  $-\frac{1}{2}$  the densities in the table have already been converted to peak values, so no further correction has been made in converting to values of  $\varepsilon$ .

Plasma Potential

Units -- volt.

Typical accuracy  $--\pm 10\%$  in the retarding-bias determination.

TABLE I NOTES (continued)

Energy Units -- keV. Typical accuracy -- +15%. The ion-energy numbers given in the table are mean values. Magnetic Field Units -- kG. Typical accuracy -- +5%. The values given correspond to the minimum of the magnetic well. Decay Time Units -- ms. Typical accuracy -- +25% or better. BBII results: Data sets #1-9 -- characteristic decay time shortly after beam turnoff of fast-atom detector (FAD). Data sets #10-162 -- characteristic decay time of nT\_ (see Sec. II) shortly after beam turnoff. To convert to the density decay time, approximately, multiply by the factor of 1.4 (assuming  $T \propto n^{2/5}$  so  $nT \propto n^{1.4}$  and assuming  $n \propto \exp(-t/\tau)$  where  $\tau$  is the characteristic decay time of the density). BBI results -- FAD characteristic decay time corrected to beam-on conditions. Mass T for H<sup>+</sup>, 2 for D<sup>+</sup>. Beam Current Units -- mA. Typical accuracy -- +25%. (The BBI results may be less certain.) Collimator <u>Code: 1 --</u> open (about 3.5-in. diam.), 4 -- 1.5-in. diam., 6 -- 3.5 in. diam. with top half blocked off, 7 -- Venetian blind collimator. Collimator position for codes 1-6 is in the beam line before the confinement chamber; position for code 7 is further up the beam line toward the source. LEIS Beta See Sec. III for explanation -- not used in the analysis described in this report. The magnetic field was reversed from the normal direction for Data Sets #50-71. Because of alignment sensitivity, the calibration for these values of LEIS  $\beta$  may be somewhat different from that for the other recorded values of LEIS g. GD Beta The  $\beta$  values in the table obtained from the gridded detector are signal amplitudes in volts for standard amplifier-gain settings. The values of the calibration factor  $C_1$  in Sec. III are those appropriate for these  $\beta$  measurements and for  $n_1$  in units of 10<sup>9</sup> cm<sup>-3</sup>.

Fig. 1. General sample of instability-threshold measurements in BBII, plotted as  $\varepsilon$  vs  $e\phi/W_i$ , compared with the BBI results. Typical experimental uncertainty is shown by error bar on one point.



Fig. 2. Selected groups of threshold points, compared with one another and with the BBI results: squares and circles -- axial-limiter position varied, 1.3 and 0.8 keV, respectively; crosses -- area of transmission of neutral beam varied, 1.9 keV; triangles -- N<sub>2</sub> gas in plasma region varied, 0.8 keV; diamonds -- Ne-screen density varied, 1.9 keV.





Fig. 3. Threshold data of Fig. 1, plotted again as  $\mathcal{E}$  vs  $e\phi/W_1$ , but now with different symbols denoting different energy ranges. Average trends of two of the energy groups are indicated.

Fig. 4. Same threshold data as in Fig. 2, but now plotted as ion density vs  $e\phi$ . An approximate fit to the data is shown.



Fig. 5. All published BBI threshold measurements and general sample of BBII results. A fit similar to that in Fig. 4 is shown.









Fig. 9. Plot of k vs ai for the BBI and BBII threshold data. The BBI points are noted. (The straight line is a first-order, least-squares fit.)



Fig. 10. Plot of  $k_{\parallel}$  vs  $k_{\perp}$  for the BBI and BBII threshold data. The BBI points are noted. The two BBII points grouped in with the BBI results are the only two BBII threshold measurements in Table I for D<sup>+</sup> instead of H<sup>+</sup>. (The first-order, least-squares fit automatically made by the data-reduction program is not too meaningful here.)



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