# **CRS Report for Congress**

Received through the CRS Web

# Active Military Sonar and Marine Mammals: Events and References

Updated November 3, 2005

Eugene H. Buck Specialist in Natural Resources Policy Resources, Science, and Industry Division

> Kori Calvert Information Research Specialist Knowledge Services Group

### Active Military Sonar and Marine Mammals: Events and References

### Summary

The deployment of active sonar by the U.S. Navy and its potential impacts on marine mammals has been an ongoing issue of intense debate; regulatory, legislative, and judicial activity; and international concern. Some peacetime use of military sonar has been regulated under the Marine Mammal Protection Act (MMPA) and other statutes due to concerns that active military sonars are operated at frequencies used by some cetaceans (i.e., whales, porpoises, and dolphins), and their highintensity sound pulses may travel long distances in the ocean. There is also concern that sonar transmissions of sufficiently high intensity might physically damage the hearing in cetaceans or cause them to modify their behavior in ways that are detrimental. Although mid-frequency sonar has been implicated in several beaked whale strandings, there is scientific uncertainty surrounding the totality of the effects active sonar transmissions may have on marine mammals.

This report summarizes legal and political events related to active sonar and marine mammals since 1994. Prior to the late 1990s, concerns focused primarily on the use of underwater sound as a research tool. While strandings and mortality of marine mammals, primarily beaked whales, have been observed in concurrence with mid-frequency sonar operation, additional controversy has focused on the development of low-frequency active (LFA) sonar. Environmental interests are concerned with LFA sonar because low-frequency sound travels farther than midfrequency sound and is closer in frequency to those known to be used by baleen whales. Additional questions involve how to balance obligations of the military to comply with MMPA provisions (as well as provisions of the National Environmental Policy Act and the Endangered Species Act) with national security concerns. Generally speaking, concern about the environmental effects of ocean noise is now principally focused on three activities — military sonar exercises, oil and gas exploration, and commercial shipping. This report summarizes some of the more significant recent events pertaining to active military sonar, in particular. It will be updated as events warrant.

### Contents

Introduction	1
Events	3
Selected References	13

# Active Military Sonar and Marine Mammals: Events and References

### Introduction

The Marine Mammal Protection Act of 1972 (MMPA; 16 U.S.C. §§1361, et seq.) established a moratorium<sup>1</sup> on the *taking* of marine mammals in U.S. waters and by U.S. nationals on the high seas. Under the MMPA, *take* means "to harass, hunt, capture, or kill, or attempt to harass, hunt, capture, or kill."<sup>2</sup> In addition, *harassment* encompasses both behavioral disruption and physical injury, short of death.<sup>3</sup> However, the MMPA does allow U.S. citizens to apply for and obtain authorization for taking small numbers of mammals incidental to certain activities (e.g., offshore oil and gas exploration and development), if the taking would have no more than a negligible impact on any marine mammal species or stock, and the mitigation and monitoring requirements and other conditions are met.

Some peacetime use of military sonar has been regulated under the MMPA and other statutes due to concerns that active military sonars<sup>4</sup> operate at frequencies<sup>5</sup> used by some cetaceans<sup>6</sup> and may travel long distances in the ocean. Current sonars in widespread use are primarily mid-frequency sonars. Mid-frequency active sonar operates between 2 and 20 KiloHertz (KHz), with most current systems using 3-5 KHz and 7-10 KHz. Low-frequency sonar is being developed to detect quieter submarines at greater distances.<sup>7</sup> Low-frequency active (LFA) sonar operates below 2 KHz, with most operation between 600 and 1500 Hz, except the U.S. Navy's

<sup>2</sup> 16 U.S.C. §1362(13).

<sup>3</sup> 16 U.S.C. §1362(18).

<sup>5</sup> Frequency is expressed as the number of cycles or completed alternations per unit time of a sound wave, most often measured in Hertz (Hz).

<sup>6</sup> Baleen whale sounds are usually below frequencies of 500 Hz and predominantly below 100 Hz; exceptionally some clicks can reach 3000 Hz. Toothed cetaceans, such as sperm whales, beaked whales, dolphins, and porpoises, are characterized by their higher frequency sounds, from 400 Hz to as high as 8000 Hz.

<sup>7</sup> The U.S. Navy committed to developing a low-frequency active sonar system in the late 1980s.

<sup>&</sup>lt;sup>1</sup> Although the MMPA (16 U.S.C. §1371) refers to this action as a "moratorium," some consider this action a ban or prohibition because it was (and is) permanent.

<sup>&</sup>lt;sup>4</sup> Active sonar involves the transmission of various sounds (pulses, tonal signals, or bands of acoustic energy) and the interpretation of received echoes as a way of sensing the environment. Passive sonar involves listening without transmitting these sounds. Nonmilitary active sonars, such as the Integrated Marine Mammal Monitoring Protection System (IMAPS) active tracking system and high-frequency bathymetric mapping sonars, are not the primary focus of this report.

Surveillance Towed Array Sensor System (SURTASS) which operates between 100 and 500 Hz. When the transmission power is sufficiently high in intensity,<sup>8</sup> there is concern that these sonar transmissions could physically damage the hearing in cetaceans or cause them to modify their behavior in ways that are detrimental to their well-being, invoking the requirements of the MMPA moratorium on taking. Although mid-frequency sonar has been implicated in several beaked whale strandings, there is scientific uncertainty surrounding the totality of the effects active sonar transmissions may have on marine mammals.

This report summarizes legal and political events related to active sonar and marine mammals. Prior to the late 1990s, concerns focused primarily on the use of underwater sound as a research tool.<sup>9</sup> While strandings and mortality of marine mammals, primarily beaked whales, have been observed in concurrence with mid-frequency sonar operation, additional controversy has focused on the development of LFA sonar. Environmental interests are concerned with LFA sonar because low-frequency sound travels further than mid-frequency sound and is closer in frequency to those known to be used by baleen whales. Additional questions involve how to balance the obligations of the military to comply with MMPA provisions (as well as provisions of the National Environmental Policy Act and the Endangered Species Act) with national security concerns. Generally speaking, concern about the environmental effects of ocean noise is now principally focused on three activities — military sonar exercises, oil and gas exploration, and commercial shipping. This report summarizes some of the more significant recent events pertaining to active military sonar, in particular.

Additional information and background can be obtained from the Navy's website at [http://www.whalesandsonar.navy.mil/], from the National Marine Fisheries Service's (NMFS, National Oceanic and Atmospheric Administration, U.S. Department of Commerce) "Ocean Acoustics Program" website at [http://www. nmfs.noaa.gov/pr/acoustics/], and from the University of Rhode Island's "Discovery of Sound in the Sea" website at [http://www.dosits.org/]. Four National Research Council reports provide substantial technical background and summarize the scientific progress in understanding these interactions. The concerns of environmental interest groups are summarized by the Natural Resources Defense Council at [http://www.nrdc.org/wildlife/marine/sonar.asp] and in their 1999 report *Sounding the Depths: Supertankers, Sonar, and the Rise of Undersea Noise.*<sup>10</sup>

<sup>&</sup>lt;sup>8</sup> Sound intensity is measured in decibels; a decibel is a unit used to express the intensity of a sound wave, equal to 20 times the common logarithm of the ratio of the pressure produced by the sound wave to a reference pressure (typically 1 micropascal at 1 meter). According to the Natural Resources Defense Council, each loudspeaker in the U.S. Navy's LFA sonar system can generate 215 decibels of sound — as intense as that produced by a twin-engine fighter jet at takeoff — and some mid-frequency sonar systems can put out over 235 decibels — as loud as a Saturn V rocket at launch.

<sup>&</sup>lt;sup>9</sup> For background on early research concerns, see archived CRS Report 95-603 ENR, *Acoustic Thermometry of Ocean Climate: Marine Mammal Issues*, available from the author.

<sup>&</sup>lt;sup>10</sup> [http://www.nrdc.org/wildlife/marine/sound/sdinx.asp], viewed on Oct. 31, 2005.

### Events

- 03/00/1994 The National Research Council published Low Frequency Sound and Marine Mammals: Current Knowledge and Research Needs.<sup>11</sup>
- 05/12-13/1996 A mass stranding of 12 Cuvier's beaked whales occurred in the Kyparissiakos Gulf, Greece, coincident with a NATO Shallow Water Acoustic Classification research exercise.<sup>12</sup>
- 07/18/1996 The U.S. Navy published a notice of intent to prepare environmental impact statements for deployment of its SURTASS LFA sonar.<sup>13</sup>
- 01/31/1997 The Marine Mammal Commission (MMC), an independent federal agency, noted significant concerns in its annual report that marine mammals could be affected by SURTASS LFA deployment and recommended that uncertainties in understanding potential impacts be addressed.<sup>14</sup>
- 06/15-17/1998 The SACLANTCEN (Supreme Allied Commander, Atlantic, Undersea Research Center) Bioacoustics Panel met in La Spezia, Italy, to investigate the May, 1996 mass stranding.<sup>15</sup>
- 07/23/1999 The U.S. Navy released for public comment a draft environmental impact statement on the world-wide deployment of its SURTASS LFA sonar system.
- 08/12/1999 The U.S. Navy submitted an application for a Letter of Authorization from NMFS to harass marine mammals incidental to operating SURTASS LFA sonar.<sup>16</sup> NMFS subsequently asked the Navy to modify its application.
- 10/04/1999 —The Navy submitted a request to NMFS for formal consultation<br/>on SURTASS LFA pursuant to \$7 of the Endangered Species<br/>Act.

<sup>&</sup>lt;sup>11</sup> [http://www.nap.edu/books/0309050251/html/], viewed on Oct. 31, 2005.

<sup>&</sup>lt;sup>12</sup> [http://www.pelagosinstitute.gr/en/pelagos/pdfs/Frantzis%202003%20Active%20Sonar %20Workshop.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>13</sup> 61 *Fed. Reg.* 37452-37453.

<sup>&</sup>lt;sup>14</sup> U.S. Marine Mammal Commission, *Annual Report to Congress, 1997* (Bethesda, MD), p. 168-170.

<sup>&</sup>lt;sup>15</sup> [http://enterprise.spawar.navy.mil/nepa/whales/bioacoust.cfm], visited Oct. 31, 2005.

<sup>&</sup>lt;sup>16</sup> [http://www.surtass-lfa-eis.com/docs/Request%20for%20LOA%2012%20Aug%20199 9.pdf], viewed Oct. 31, 2005.

- 10/22/1999 NMFS gave advance notice of proposed rulemaking and requested public comment on the Navy's application.<sup>17</sup>
- 03/03/2000 The National Research Council published Marine Mammals and Low Frequency Sound: Progress Since 1994.<sup>18</sup>
- 03/15-16/2000 Mass stranding of multiple whale species in the Northeast and Northwest Province Channels of the Bahamas coincident with tactical mid-frequency active sonar training exercises by the U.S. Navy. Mortalities included five Cuvier's beaked whales and one Blainville's beaked whale.
- 04/06/2000 The U.S. Navy submitted a revised application for a Letter of Authorization from NMFS to incidentally harass marine mammals incidental to operating SURTASS LFA sonar.<sup>19</sup>
- 05/09-10/2000 Three Cuvier's beaked whales stranded at Madeira Island, Portugal, coincident to the NATO Linked Seas 2000 exercise. The pattern of injury to the auditory system of the stranded whales was consistent with that observed in the Bahamas strandings.
- 01/26/2001 The U.S. Navy released its Final Environmental Impact Statement for the SURTASS LFA system.<sup>20</sup>
- 03/19/2001 NMFS published a proposed rule authorizing the U.S. Navy to harass marine mammals incidental to operating SURTASS LFA sonar.<sup>21</sup>
- 06/05/2001 The MMC commented to NMFS that its proposed rule was insufficient to be confident that the proposed action would affect only small numbers of marine mammals and have only negligible effects on the affected species and stocks. In addition, MMC viewed proposed monitoring and mitigation programs as insufficient to confirm the validity of assumptions.<sup>22</sup>

<sup>&</sup>lt;sup>17</sup> 64 *Fed. Reg.* 57026-57029.

<sup>&</sup>lt;sup>18</sup> [http://books.nap.edu/books/030906886X/html/index.html/], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>19</sup> [http://www.surtass-lfa-eis.com/docs/Request%20for%20LOA%206%20Apr%202000. pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>20</sup> [http://www.surtass-lfa-eis.com/docs/FEIS%20Vol%20I.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>21</sup> 66 *Fed. Reg.* 15375-15394.

<sup>&</sup>lt;sup>22</sup> U.S. Marine Mammal Commission, *Annual Report to Congress, 2001*, Bethesda, MD, Mar. 31m 2002, p. 175.

- 09/00/2001 The Natural Resources Defense Council and a coalition of environmental groups sued the U.S. Navy to force the preparation of a programmatic Environmental Impact Statement on the Navy's Littoral Warfare Advanced Development (LWAD) program.<sup>23</sup>
- 10/11/2001 The House Resources Subcommittee on Fisheries Conservation, Wildlife, and Oceans held a hearing on the MMPA, including Panel V on SURTASS LFA.<sup>24</sup>
- 12/20/2001 NMFS and the U.S. Navy completed a *Joint Interim Report Bahamas Marine Mammal Stranding Event of 15-16 March 2000.*<sup>25</sup> The stranding was attributed to the Navy's intensive use of multiple tactical mid-frequency sonar units over an extended period of time and contributory factors, including the presence of a strong surface duct, unusual underwater bathymetry, and a constricted channel with limited egress.
- 05/30/2002 NMFS issued a biological opinion for SURTASS LFA in accordance with §7 of the Endangered Species Act.<sup>26</sup>
- 06/10/2002 The General Accounting Office (GAO, now Government Accountability Office) issued a report entitled *Testing Needed* to Prove SURTASS/LFA Effectiveness in Littoral Waters.<sup>27</sup>
- 07/16/2002 NMFS published a final rule authorizing the U.S. Navy to harass marine mammals incidental to operating SURTASS LFA sonar.<sup>28</sup> This final rule became effective on August 15, 2002, and remains in effect until August 15, 2007.
- 07/23/2002 The U.S. Navy published its record of decision on the worldwide deployment of its SURTASS LFA sonar system.<sup>29</sup>

<sup>&</sup>lt;sup>23</sup> LWAD's purpose is to develop and test techniques and technology, including several operational and new experimental active sonars to detect and track submarines in shallow coastal waters where SURTASS LFA would be ineffective.

<sup>&</sup>lt;sup>24</sup> [http://resourcescommittee.house.gov/archives/107cong/fisheries/2001oct11/agenda.htm], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>25</sup> [http://www.nmfs.noaa.gov/prot\_res/overview/Interim\_Bahamas\_Report.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>26</sup> [http://www.nmfs.noaa.gov/prot\_res/readingrm/ESAsec7/7pr\_surtass-2020529.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>27</sup> [http://www.gao.gov/new.items/d02692.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>28</sup> 67 *Fed. Reg.* 46712-46789.

<sup>&</sup>lt;sup>29</sup> 67 *Fed. Reg.* 48145-48154; [http://www.surtass-lfa-eis.com/docs/LFA%20EIS%20ROD. pdf], viewed Oct. 31, 2005.

- 08/07/2002 Five environmental groups and a concerned individual filed a lawsuit in federal district court in San Francisco seeking to halt Navy deployment of SURTASS LFA sonar (*Natural Resources Defense Council v. Evans*).
- 08/30/2002 NMFS announced that a one-year Letter of Authorization had been issued to the Navy authorizing the taking of specified marine mammals within specified areas of operation.<sup>30</sup>
- 09/19/2002 The Federal District Court (Central District of California, Western Division) found that the National Environmental Policy Act (NEPA) applies to LWAD activities, but that the LWAD program, as distinct from its component parts, is not subject to programmatic challenge under NEPA or the Endangered Species Act.<sup>31</sup>
- 09/24/2002 At least 14 beaked whales of three species stranded on the Canary Islands of Fuerteventura and Lanzarote coincident with NATO naval exercise *Neo Tapon 2002*.
- 10/31/2002 U.S. Magistrate Judge Elizabeth LaPorte granted a preliminary injunction limiting Navy deployment of SURTASS LFA after finding NMFS issued the Navy a permit that likely violated federal law.<sup>32</sup>
- 11/15/2002 U.S. Magistrate Judge Elizabeth LaPorte approved a stipulated agreement between the Navy and environmental groups allowing limited testing of SURTASS LFA while the federal court considered the lawsuit challenging deployment.<sup>33</sup>
- 01/17/2003 Because NMFS granted authorization on a categorical exclusion for research rather than on an environmental assessment, U.S. District Judge Samuel Conti granted a temporary injunction to block testing of a low-power, high-frequency (above 20 KHz) civilian whale-finding sonar (the Integrated Marine Mammal Monitoring Protection System or IMAPS) off the California coast.<sup>34</sup>

<sup>&</sup>lt;sup>30</sup> 67 *Fed. Reg.* 55818.

<sup>&</sup>lt;sup>31</sup> NRDC v U.S. Department of the Navy, 2002 U.S. Dist Lexis 26360.

 <sup>&</sup>lt;sup>32</sup> NRDC press release at [http://www.nrdc.org/media/pressreleases/021031.asp], viewed Oct. 31, 2005. *Natural Resources Defense Council v. Evans*, N.D. Cal., No. C-02-3805, 232 F. Supp. 2d 1003 at [http://www.animallaw.info/cases/caus232fsupp2d1003.htm], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>33</sup> [http://www.ens-newswire.com/ens/nov2002/2002-11-18-06.asp].

<sup>&</sup>lt;sup>34</sup> *Hawaii County Green Party v. Evans*, C-03-0078-SC (N.D. CA 24 January 2003). This sonar was designed for shallow water use, to detect subsurface whales before they came too (continued...)

- 02/10/2003 The National Research Council published *Ocean Noise and Marine Mammals.*<sup>35</sup>
- 02/13/2003 Language in the conference report on H.J.Res. 2 (P.L. 108-7), providing FY2003 omnibus appropriations, directed the MMC to fund an international conference, or series of conferences, to share findings, survey "acoustic threats" to marine mammals, and develop means of reducing those threats while maintaining the oceans as a global highway of international commerce.<sup>36</sup>
- 03/08/2003 A workshop on Active Sonar and Cetaceans was held at Las Palmas, Gran Canaria, Canary Islands, immediately before the start of the 17th Annual Conference of the European Cetacean Society.<sup>37</sup>
- 05/05/2003 Fourteen harbor porpoises were found beached and killer whales were videotaped showing behavioral reaction to sonar coincident with *U.S.S. Shoup* transit of Haro Strait, WA, while using tactical mid-frequency sonar.
- 08/20/2003 NMFS announced that two one-year Letters of Authorization had been issued to the Navy authorizing the taking of specified marine mammals within specified areas of operation.<sup>38</sup>
- 08/26/2003 U.S. Magistrate Judge Elizabeth LaPorte issued an opinion concluding that the NMFS marine mammal take authorization to the U.S. Navy had violated several federal laws and ordered the parties to meet and confer on the terms of a permanent injunction that would allow the Navy to operate SURTASS LFA in a limited fashion.<sup>39</sup>

<sup>&</sup>lt;sup>34</sup> (...continued)

close to potentially risky situations, such as harbor blasting or LFA. For additional information on IMAPS, see [http://www.scisol.com/imaps.htm].

<sup>&</sup>lt;sup>35</sup> [http://www4.nationalacademies.org/news.nsf/isbn/0309085365?OpenDocument], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>36</sup> H.Rept. 108-10, p. 777.

<sup>&</sup>lt;sup>37</sup> [http://www.mail-archive.com/bioacoustics-l@cornell.edu/msg00315.html], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>38</sup> 68 *Fed. Reg.* 50123-50124.

<sup>&</sup>lt;sup>39</sup> Natural Resources Defense Council v. Evans, N.D. Cal., No. C-02-3805, 279 F. Supp. 2d 1129.

- 10/08/2003 The parties to the LFA litigation filed a stipulation regarding the permanent injunction, which the court entered as an order on October 14, 2003.<sup>40</sup>
- 11/00/2003 The United Kingdom's Ministry of Defence released "Sonar 2087 and the Environment" to consider and address public concerns about active sonar.<sup>41</sup>
- 11/24/2003 President Bush signed P.L. 108-136, the National Defense Authorization Act for FY2004, wherein §319 amended the MMPA to exempt military readiness activities from "specified geographical region" and "small numbers" requirements, and to modify the definition of "harassment" applicable to military readiness activities.<sup>42</sup>
- 12/11/2003 The MMC announced the establishment of an Advisory Committee on Acoustic Impacts on Marine Mammals.<sup>43</sup>
- 01/29/2004 After NMFS prepared an environmental assessment, U.S. District Judge Samuel Conti denied a permanent injunction to block IMAPS testing off the California coast.<sup>44</sup>
- 02/09/2004 NMFS announced release of preliminary report investigating the acoustic exposure of stranded porpoises in Haro Strait, WA. Although no conclusive link between Navy sonar and porpoise deaths was found, the possibility of acoustic trauma as a contributory factor in the mortalities was not ruled out. Killer whales experienced acoustic levels likely to induce behavioral reactions but insufficient to cause either temporary or permanent hearing loss.<sup>45</sup>
- 02/09/2004 The U.S. Navy released its report on the U.S.S. Shoup/Haro Strait porpoise incident.<sup>46</sup>

<sup>&</sup>lt;sup>40</sup> [http://www.nrdc.org/media/pressreleases/031013.asp], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>41</sup> [http://www.mod.uk/dpa/projects/sonarenvir.htm], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>42</sup> For more information on these amendments, see CRS Report RL32183, *Defense Cleanup and Environmental Programs: Authorization and Appropriations for FY2004*, by David M. Bearden, pages 19-22.

<sup>&</sup>lt;sup>43</sup> 68 *Fed. Reg.* 69089-69090; see also [http://www.mmc.gov/sound/], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>44</sup> Australians for Animals v. Evans, 301 F. Supp. 2d 1114 (N.D. CA 2004), see [http://www. whalesafety.net/OPINION.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>45</sup> [http://www.nwr.noaa.gov/mmammals/cetaceans/fullreport.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>46</sup> [http://www.cpf.navy.mil/news\_images/special\_projects/shoup/SHOUP%20Final%20 REPORT%209%20Feb%2004(1530).pdf], viewed Oct. 31, 2005.

- 04/13-16/2004 The MMC convened a workshop in Baltimore, MD, to discuss the vulnerability of beaked whales to anthropogenic sound.<sup>47</sup>
- 06/03/2004 U.S. Navy submitted an annual report to NMFS on SURTASS LFA sonar operations.
- 06/16/2004 NMFS received an application from the U.S. Navy for two Letters of Authorization for taking marine mammals by harassment incidental to deploying the SURTASS LFA sonar system.<sup>48</sup>
- 06/29/2004 NMFS published a proposed rule to amend its July 16, 2002 final rule and regulations on SURTASS LFA sonar to implement provisions of P.L. 108-136 related to a military readiness activity.<sup>49</sup>
- 06/00/2004 The MITRE Corporation's JASON Program Office completed a study for the Office of Naval Research, seeking, in response to whale strandings, to recommend modifications of the sonar waveform as a mitigation strategy. The study's conclusion was that not enough was known about the damage mechanism and the chain of causation for an engineering solution to be developed to address this problem.<sup>50</sup>
- 07/03/2004 Coincident with joint U.S.-Japan military exercises involving tactical mid-frequency sonar, 150 to 200 melon-headed whales were observed milling in Hanalei Bay, Kauai, HI.
- 07/00/2004 The Standing Working Group on Environmental Concerns of the International Whaling Commission's Scientific Committee held a Mini-Symposium on Acoustics and reported that the accumulated evidence is very convincing and appears overwhelming, associating mid-frequency military sonar with atypical beaked whale mass strandings.<sup>51</sup>
- 07/14/2004 The Natural Resources Defense Council and three other environmental groups sent a letter to Secretary of the Navy Gordon R.

<sup>&</sup>lt;sup>47</sup> [http://www.mmc.gov/sound/beakedwhalewrkshp/pdf/bwhale\_wrkshpsummary.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>48</sup> [http://www.nmfs.noaa.gov/prot\_res/readingrm/MMSURTASS/NavyLFALOA\_32004 Application.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>49</sup> 69 Fed. Reg. 38873-38876.

<sup>&</sup>lt;sup>50</sup> [http://www.fas.org/irp/agency/dod/jason/sonar.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>51</sup> [http://www.iwcoffice.org/\_documents/sci\_com/SCRepFiles2004/56annexk.pdf], viewed Oct. 31, 2005.

England requesting a review of naval actions involving midfrequency active sonar.<sup>52</sup>

- 08/24/2004 NMFS published a notice announcing issuance of two one-year Letters of Authorization to the U.S. Navy to take marine mammals by harassment incidental to operation of the SURTASS LFA sonar system.<sup>53</sup>
- 09/28-30/2004 The U.S. MMC and the U.K. Joint Nature Conservation Committee held an international workshop in London, UK, on the policy on sound and marine mammals.<sup>54</sup>
- 10/00/2004 NMFS published a final report on the necropsy analysis of stranded porpoises in Haro Strait, WA. Although the examinations did not reveal definitive signs of acoustic trauma in any of the porpoises examined, the multidisciplinary team noted that lesions consistent with acoustic trauma can be difficult to interpret or obscured, especially in animals in advanced postmortem decomposition.<sup>55</sup>
- 10/20/2004 The 9<sup>th</sup> Circuit Court of Appeals affirmed that marine mammals do not have standing to sue the government over the Navy's testing of SURTASS LFA.<sup>56</sup>
- 10/28/2004 The European Union's (EU) Parliament passed, by a vote of 441-15, a non-binding resolution urging EU member states "... to adopt a moratorium on the deployment of high-intensity active naval sonars until a global assessment of their cumulative environmental impact on marine mammals, fish and other marine life has been completed."<sup>57</sup>
- 11/12/2004 At the second meeting of the parties to the Agreement on the Conservation of Cetaceans of the Black Sea, Mediterranean Sea and contiguous Atlantic Area (ACCOBAMS; Nov. 9-12, 2004, in Palma, Majorca), the 16 member nations adopted Resolution

<sup>55</sup> [http://www.nmfs.noaa.gov/pr/pdfs/acoustics/porpoise.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>52</sup> [http://www.nrdc.org/media/docs/040714.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>53</sup> 69 *Fed. Reg.* 51996-51998.

<sup>&</sup>lt;sup>54</sup> [http://www.mmc.gov/sound/internationalwrkshp/internationalwrkshp.html], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>56</sup> *Cetacean Community v. Bush*, U.S. District Court of Appeals for the Ninth Circuit, No. 03-15866, 386 F.3d 1169.

<sup>&</sup>lt;sup>57</sup> [http://www2.europarl.eu.int/omk/sipade2?PUBREF=-//EP//NONSGML+MOTION+B 6-2004-0089+0+DOC+PDF+V0//EN&L=EN&LEVEL=2&NAV=S&LSTDOC=Y], viewed Oct. 31, 2005.

#### CRS-11

2.16 addressing man-made ocean noise, including naval sonar, and guidelines for its use.<sup>58</sup>

- 11/24/2004 The 3<sup>rd</sup> IUCN-World Conservation Union Congress (Bangkok, Thailand, Nov. 17-25, 2004) passed a resolution encouraging governments to reduce undersea noise, restrict military active sonar training to low-risk areas and develop "... international standards that regulate its use."<sup>59</sup> The United States abstained from voting on this resolution.
- 11/11/2004 The National Research Council published Marine Mammal Populations and Ocean Noise: Determining When Noise Causes Biologically Significant Effects.<sup>60</sup>
- 01/21/2005 NMFS published a technical analysis of acoustic exposures to killer whales in Haro Strait, WA.<sup>61</sup>
- 02/00/2005 The International Council for the Exploration of the Sea<sup>62</sup> released an assessment of the impact of sonar on cetaceans.<sup>63</sup>
- 02/10/2005 A coalition of international conservation organizations petitioned NATO to modify active sonar naval exercise protocols to lessen potential harm to whales and other marine mammals.<sup>64</sup>
- 02/28/2005 The United States was reported to be drafting a policy position stating strong opposition to any international regulatory framework addressing military use of active sonar because of the potential to restrict the ability of individual nations to balance the relevant security and environmental interests.<sup>65</sup>

<sup>58</sup> [http://www.accobams.mc/Accob/Wacco.nsf/Fram5Gb!OpenFrameSet], viewed Oct. 31, 2005.

<sup>59</sup> [http://www.iucn.org/congress/members/WCC\_Res\_Recs\_ENGLISH.pdf], viewed Oct. 31, 2005.

<sup>60</sup> [http://books.nap.edu/catalog/11147.html], viewed Oct. 31, 2005.

<sup>61</sup> [http://www.nmfs.noaa.gov/pr/pdfs/acoustics/assessment.pdf], viewed Oct. 31, 2005.

<sup>62</sup> Created by the 1964 Convention for the International Council for the Exploration of the Sea, this organization coordinates and promotes marine research in the North Atlantic among its 19 Member nations.

<sup>63</sup> [http://www.ices.dk/advice/Request/EC/DG%20Env/sonar/agisc05.pdf], [http://www.ices. dk/advice/cetaceans/sonaradvice.pdf], and [http://www.ices.dk/advice/Request/EC/DG%20 Env/sonar/sonaradvice.asp], all viewed Oct. 31, 2005.

<sup>64</sup> [http://www.nrdc.org/media/pressreleases/050210b.asp] and [http://www.nrdc.org/media/ docs/050210a.pdf], both viewed Oct. 31, 2005.

<sup>65</sup> [http://www.washingtonpost.com/wp-dyn/articles/A58339-2005Feb27.html], viewed Oct. (continued...)

- 06/01/2005 The Natural Resources Defense Council filed suit in U.S. District Court (Manhattan) under the Freedom of Information Act, seeking the release of documents by NMFS relating to mass strandings, deaths of marine mammals, and the impacts of mid-frequency active sonar on marine life.<sup>66</sup>
- 06/08/2005 At the sixth meeting of the United Nations Open-Ended Informal Consultative Process on Oceans and the Law of the Sea (UNICPOLOS), a coalition of more than 120 environmental organizations urged that steps be taken to protect cetaceans from increasing human-generated underwater noise as a largely unregulated form of pollution.<sup>67</sup>
- 07/05/2005 The parties to the SURTASS LFA sonar litigation filed an amendment to the stipulation regarding the permanent injunction with Judge Elizabeth LaPorte, agreeing to expand the operational area to include most of the Pacific between Japan and Hawaii. Judge LaPorte approved this amendment on July 8, 2005.
- 08/25/2005 NMFS announced that two one-year Letters of Authorization had been issued to the Navy authorizing the taking of specified marine mammals within specified areas of operation.<sup>68</sup>
- 09/20-22/2005 The MMC's expert panel on marine mammals and acoustics met.<sup>69</sup>
- 10/19/2005 The Natural Resources Defense Council and four other environmental groups filed suit against the U.S. Navy, alleging violation of environmental laws in mid-frequency sonar testing and training.
- 10/21/2005 The U.S. Navy released its Draft Environmental Impact Statement on a proposed sonar training range about 50 miles off the NC coast.<sup>70</sup>

<sup>&</sup>lt;sup>65</sup> (...continued)

<sup>31, 2005.</sup> 

<sup>&</sup>lt;sup>66</sup> NRDC v. NMFS, Civ. Action No. 05-CV-5172 (S.D. NY), see [http://www.nrdc.org/ media/docs/050601.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>67</sup> "Coalition Urges UN Curbs on Harmful Ocean Sounds," *Reuters* (June 8, 2005); see also [http://www.earthisland.org/project/genPage2.cfm?generalID=211&pageID=196&sub SiteID=51], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>68</sup> 70 Fed. Reg. 49914-49915.

<sup>&</sup>lt;sup>69</sup> [http://www.mmc.gov/sound/], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>70</sup> Marc Kaufman, "Navy Moves Forward on Sonar Facility Despite Concerns About Whales," *Washington Post*, Oct. 23, 2005, p. A9.

### **Selected References**

- A. Fernandez, et al. "Pathology: Whales, Sonar and Decompression Sickness," *Nature*, v. 428 (2004): 1-2.
- A. Frantzis, "Does Acoustic Testing Strand Whales?" Nature, v. 392 (1998): 29.
- A. Frantzis and D. Cebrian, "A Rare, Atypical Mass Stranding of Cuvier's Beaked Whales. Cause and Implications for the Species Biology," in *European Research on Cetaceans - 12*, Proc. 12th Ann. Conf. ECS, Monaco, 20-24 January, 1998, p. 332-335.
- John Hildebrand, *Impacts of Anthropogenic Sound on Cetaceans*, International Whaling Commission, Scientific Committee, IWC/SC/56/E13, (Sorrento, Italy:2004).<sup>71</sup>
- P.D. Jepson, et al., "Gas-Bubble Lesions in Stranded Cetaceans: Was Sonar Responsible for a Spate of Whale Deaths after an Atlantic Military Exercise?" *Nature*, v. 425, no. 6958 (Oct. 9, 2003): 575-576.<sup>72</sup>
- P.J.O. Miller, et al., "Whale Songs Lengthen in Response to Sonar," *Nature*, v. 405 (2000): 903.
- C.A. Piantadosi and E.D. Thalmann, "Pathology: Whales, Sonar and Decompression Sickness," *Nature*, v. 428 (2004): 1.
- W. John Richardson, et al., *Marine Mammals and Noise* (San Diego, CA: Academic Press, 1995), 576 p.
- Mark Schrope, "Whale Deaths Caused by US Navy's Sonar," *Nature*, v. 415 (Jan. 10, 2002): 106.
- M. Simmonds and L.F. Lopez-Jurado, "Whales and the Military," *Nature*, v. 337 (1991): 448.
- U.S. Dept. of Commerce, *Report of the Workshop on Acoustic Resonance as a Source of Tissue Trauma in Cetaceans* (Washington, DC: National Marine Fisheries Service, Nov. 2002).<sup>73</sup>

<sup>&</sup>lt;sup>71</sup> Available at [http://cetus.ucsd.edu/projects/pubs/SC-56-E13Hilde.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>72</sup> Available at [http://www.eurocbc.org/Gas-bubble%20lesions%20in%20stranded%20 cetaceans.pdf], viewed Oct. 31, 2005.

<sup>&</sup>lt;sup>73</sup> Available at [http://www.nmfs.noaa.gov/pr/readingrm/MMSURTASS/Res\_Wkshp\_Rpt\_ Fin.PDF], viewed Oct. 31, 2005.

- Jon M. Van Dyke, et al., "Whales, Submarines, and Active Sonar," in *Ocean Yearbook*, v. 18 (2004): 330-363.<sup>74</sup>
- M.T. Walsh, et al., "Mass Strandings of Cetaceans," in *CRC Handbook of Marine Mammal Medicine*, L.A. Dierauf and F.M.D. Gulland, eds. (2001), pp. 83-96.

<sup>&</sup>lt;sup>74</sup> Available at [http://www.mmc.gov/sound/internationalwrkshp/pdf/vandykeetal.pdf], viewed Oct. 31, 2005.